# Near Solvable Signalizer Functors on Finite Groups

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# 1. INTRODUCTION

The object of this paper is to prove the following result.

THEOREM. Suppose p is a prime, A is an elementary Abelian p-subgroup of a finite group G, m(A) = 3, and  $\theta$  is a near solvable A-signalizer functor on G. Then  $\theta$  is complete.

Non-solvable signalizer functors were first treated by Gorenstein and Lyons (see [11]). They identified certain "unbalancing" problems in their work. These problems can be traced to the existence of certain nontrivial subgroups; if X is such a subgroup and  $\theta$  is an A-signalizer functor then  $C_X(A)$  is solvable. This situation occurs in the extreme when  $\theta(C(A))$  is solvable. Our main theorem fixes on this case. It provides a means to pass from the solvable theorems, as treated in [6, 7, 9, 10] and culminating in [5], to general signalizer functor theorems (see [14]).

By [2, 5] it is sufficient to treat only odd primes in the main theorem. We shall assume in the sequel that p is a fixed odd prime. All groups treated are assumed to be finite. Notation for groups of Lie type agrees with [1], other notation is taken from [5, 6, 8, and 12]. For the convenience of the reader we shall repeat some of this notation. The notation of associated set of signalizers is altered to suit the problem.

DEFINITION. (1) The group G is near p-solvable means that G is a p'group and any non-abelian simple section of G is isomorphic to  $\mathscr{L}_2(2^p)$ ,  $\mathscr{L}_2(3^p)$ ,  $Sz(2^p)$ , or  $U_3((2^p)^2)$ .

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### PATRICK PASCHAL MC BRIDE

(2) The statement G is *near A-solvable* means that A is an elementary abelian p-group acting on the near p-solvable group G, and that  $C_G(A)$  is solvable.

(3) The statement " $\theta$  is an A-signalizer functor on G" means that A is an abelian r-subgroup of the group G for some prime r, and that for each  $a \in A^{\#}$  there is defined an A-invariant r'-subgroup  $\theta(C_G(a))$  of  $C_G(a)$  such that

$$C_G(a) \cap \theta(C_G(b)) \subseteq \theta(C_G(a)) \quad \text{for all } a, b \in A^{\#}.$$
(\*)

The property (\*) is called *balance*.  $\theta$  is said to be a *near solvable* A-signalizer functor, if in addition  $\theta(C_G(a))$  is near A-solvable for all  $a \in A^{\#}$ .

(4) The associated set of A-signalizers is the set of all near A-solvable subgroups X of G having the property that  $C_X(a) \subseteq \theta(C_G(a))$  for all  $a \in A^{\#}$ . It is denoted  $\mathcal{M}_{\theta}(A)$ . The set of maximal elements of  $\mathcal{M}_{\theta}(A)$  under inclusion is denoted by  $\mathcal{M}_{\theta}^{*}(A)$ .

(5) Let  $\pi(\theta) = \bigcup_{a \in A \neq \#} \pi(\theta(C_G(a)))$  and  $|\theta| = \sum_{a \in A \neq \#} |\theta(C_G(a))|$ .

(6) For  $s \in \pi(\theta)$  let  $\mathcal{H}_{\theta}(A; s)$  be the set of all s-groups in  $\mathcal{H}_{\theta}(A)$ , and let  $\mathcal{H}_{\theta}^{*}(A; s)$  be the set of maximal elements of  $\mathcal{H}_{\theta}(A; s)$ . The elements of  $\mathcal{H}_{\theta}^{*}(A; s)$  are called  $S_{s}(A)$ -subgroups of G.

(7) We say  $\theta$  is complete if G contains a unique maximal element of  $\mathcal{U}_{\theta}(A)$  under inclusion. The element is then denoted  $\theta(G)$ .

(8) We say  $\theta$  is *locally complete* if for every non-identity element X of  $\mathcal{H}_{\theta}(A)$ ,  $N_{G}(X)$  contains a group  $\theta(N_{G}(X))$  which is the unique maximal element of  $\mathcal{H}_{\theta}(A)$  contained in  $N_{G}(X)$ . In this case we set  $\theta(C_{G}(A)) = \theta(N_{G}(X)) \cap C_{G}(X)$ .

(9) For every non-identity subgroup B of A, let

$$\theta(C_G(B)) = \bigcap_{b \in B} \theta(C_G(b)).$$

(10) A group is semi-simple means that G is the direct product of its normal non-abelian simple groups. A group is perfect if it is its own derived subgroup. A group G is an *E*-group if G is perfect and G/Z(G) is semi-simple. Given any group H, E(H) is the unique maximal normal *E*-subgroup of H, F(H) is the fitting subgroup of H, and  $F^*(H) = E(H)F(H)$  is the generalized fitting subgroup of H.

(11) The solvable radical of a group G is the unique maximal solvable normal sugroup of G. It is denoted Sol(G).

(12) Let G be a group. The components of G,  $\mathscr{L}(G)$ , is the set of subnormal non-abelian simple subgroups of G.  $\widetilde{\mathscr{L}}(G) = \{G\}$  when G is

solvable. When G is nonsolvable  $\tilde{\mathscr{L}}(G)$  is the set of all subgroups X of G which contain Sol(G) and satisfy  $X/Sol(G) \in \mathscr{L}(G/Sol(G))$ .

- (13)  $K(G) \supseteq \operatorname{Sol}(G)$  and  $K(G)/\operatorname{Sol}(G) = E(G/\operatorname{Sol}(G))$ .
- (14)  $\hat{K}(G) = \bigcap \{ N_G(X) | X \in \tilde{\mathscr{L}}(G) \}.$

In definitions (15) to (24), A is a p-subgroup of a group G,  $\theta$  is a near solvable A-signalizer functor on G, and  $D = \theta(C_G(A))$ .

- (15)  $\hat{\mathcal{H}}_{\theta}(A) = \{X \in \mathcal{H}_{\theta}(A) | X^{D} = X\}.$
- (16)  $\widetilde{\mathfrak{H}}_{\theta}(A) = \{X \in \mathfrak{H}_{\theta}(A) | D \subseteq X\}.$
- (17)  $P(\theta)$  is the set of all pairs  $(X_1, X_2)$  satisfying:
  - (a)  $X_i \subseteq \hat{\mathcal{H}}_{\theta}(A)$  for i = 1 or 2.
  - (b)  $X_2 \triangleleft X_1$  and  $X_1/X_2$  is a chief factor of  $X_1DA$ .

(c) 
$$C_{X_1D}(X_1/X_2) = X_2$$

- (18)  $D(\theta) = \{D \cap X_2 | (X_1, X_2) \in P(\theta)\}.$
- (19) For each  $Y \in D(\theta)$  and  $X \in \tilde{\mathbb{M}}_{\theta}(A)$

$$\begin{split} P(\theta, Y) &= \{(X_1, X_2) \in P(\theta) | X_2 \cap D = Y\}, \\ P(\theta, Y, X) &= \{(X_1, X_2) \in P(\theta, Y) | X_1 \subseteq X\}, \\ C(\theta, Y) &= \{X \in \tilde{\mathbb{M}}_{\theta}(A) | P(\theta, Y, X) \neq \emptyset\}, \\ U(\theta, Y) &= \{Z \in \tilde{\mathbb{M}}_{\theta}(A) | \langle Y^Z \rangle \cap D = Y\}, \\ U(\theta, Y, X) &= \{Z \in U(\theta, Y) | Z \subseteq X\}, \\ E(\theta, Y) &= \{Z \in \hat{\mathbb{H}}_{\theta}(A) | Z \cap D = Y\}, \\ E(\theta, Y, X) &= \{Z \in E(\theta, Y) | Z \subseteq X\}. \end{split}$$

(20) For each  $Y \in D(\theta)$  and  $X \in \tilde{\mathcal{H}}_{\theta}(A)$  define

$$\theta_{Y}(X) = \langle E(\theta, Y, X) \rangle.$$

(21) For each  $Y \in D(\theta)$  and  $X \in C(\theta, Y)$  define

$$\begin{aligned} \theta_Y^u(X) &= \langle U(\theta, Y, X) \rangle, \\ \theta_Y^l(X) &= \langle X_2 | (X_1, X_2) \in P(\theta, Y, X) \rangle, \\ \theta_Y^m(X) &= \langle X_1 | (X_1, X_2) \in P(\theta, Y, X) \rangle. \end{aligned}$$

(22) For each  $Y \in D(\theta)$  define

$$\begin{aligned} \theta_Y^l(G) &= \langle \theta_Y^l(X) | X \in C(\theta, Y) \rangle, \\ \theta_Y^m(G) &= \langle \theta_Y^m(X) | X \in C(\theta, Y) \rangle. \end{aligned}$$

(23) For each  $X \in \tilde{\mathcal{H}}_{\theta}(A)$ 

 $\theta_{\text{sol}}(X) = \langle Z \in \hat{\mathbb{N}}_{\theta}(A) | Z \subseteq X; Z \text{ is solvable} \rangle.$ 

(24) Suppose  $\Gamma = \theta_Y^u$ ,  $\theta_Y^m$ ,  $\theta_Y^l$ ,  $\theta_Y$ , or  $\theta_{sol}$ , and X is an A-invariant subgroup of G such that  $\theta(X)$  and  $\Gamma(\theta(X))$  are defined. Then we write  $\Gamma(X) = \Gamma(\theta(X))$ .

(25) Suppose X and N are subgroups of a group G, and  $G = N \times C_G(N)$ . Then  $\operatorname{Proj}_N(X)$  is the projection of X on N where projections are taken with respect to the pair  $(N, C_G(N))$ .

Glauberman conjectured that  $\theta_{sol}$  is a solvable signalizer functor whenever  $\theta$  is a signalizer functor. We shall show this when  $\theta$  is a near solvable A-signalizer functor (see Lemma 3.1). This subfunctor furnishes Frattini type arguments which simplify proofs (see Theorem 2.11(c)). In [14],  $\theta_{sol}$  is nested inside another subfunctor  $\theta_{n.s.}$ . Combining these ideas it can be seen that the above conjecture is valid in a large class of signalizer functors.

Remarks on the proof. The proof pivots on showing that  $\theta_Y$  is a signalizer functor for all  $Y \in D(\theta)$ . Assume  $\theta$  is a minimal counterexample. Then  $\theta_Y$  is complete and  $\theta$  is locally complete. Since  $\theta_Y^l(G) \subseteq \theta_Y(G) \in \mathcal{H}_{\theta}(A)$  it follows first that  $\theta_Y^l(G) \in \mathcal{H}_{\theta}(A)$  and then  $\theta_Y^m(G) \in N_G(\theta_Y^l(G))$ . By local completeness  $\theta_Y^m(G) \in \mathcal{H}_{\theta}(A)$  or  $\theta_Y^l(G) = 1$ .

First suppose that  $\theta_Y^m(G) \notin M_\theta(A)$  for some  $Y \in D(\theta)$ . Then the structure of  $A\theta(C_G(a))$  for all  $a \in A^{\#}$  is obtained. The structure of  $\theta(G)$  readily follows and leads to a contradiction.

Next suppose  $\theta_Y^m(G) \in \mathcal{H}_{\theta}(A)$  for all  $Y \in D(\theta)$ . Then for any  $X, Z \in \tilde{\mathcal{H}}_{\theta}(A)$ , such that  $X \cap Z$  is nonsolvable, there is a  $Y \in D(\theta)$ , which depends on  $X \cap Z$ , such that  $\langle K(X), K(Z) \rangle \subseteq \langle \theta_Y^m(X), \theta_Y^m(Z) \rangle \subseteq \theta_Y^m(G) \in \mathcal{H}_{\theta}(A)$ . It is then almost enough to obtain a non-solvable  $W \in \tilde{\mathcal{H}}_{\theta}(A)$  satisfying:  $K(W) \subseteq U \in \hat{\mathcal{H}}_{\theta}(A)$  implies  $U \subseteq W$ . Subgroups with such properties are treated in Section 4.

The principal idea, used in this paper and in [14], is illustrated in [5, Lemma 2.11 and Theorem 4.5]. This technique focuses on subfamilies of  $\hat{H}_{\theta}(A)$ . In this paper we are keying on families each of whose members intersect  $\theta(C_G(A))$  in a fixed subgroup.

### 2. PRELIMINARY LEMMAS

LEMMA 2.1. Suppose the abelian p-group A acts on the p'-group X. Then  $X = \langle C_X(A_0) | A/A_0$  is cyclic  $\rangle$ .

*Proof.* See [6, Lemma 2.1].

LEMMA 2.2 (Glauberman). Suppose the  $\pi$ -group A acts on the  $\pi$ '-group K. Suppose K is generated by A-invariant subgroups  $K_1, K_2, ..., K_n$ , and  $K_i K_j = K_j K_i$  for all  $1 \le i, j \le n$ . Then

$$C_{\kappa}(A) = C_{\kappa_1}(A) C_{\kappa_2}(A) \cdots C_{\kappa_n}(A).$$

*Proof.* See [11, Lemma 2.1].

LEMMA 2.3. Suppose  $\theta$  is an A-signalizer on a group G,  $P \in M_{\theta}(A; r)$ , and B is a non-cyclic subgroup of A. Then the following statements are equivalent:

- (1)  $P \in \mathcal{M}^*_{\theta}(A; r),$
- (2)  $C_{P}(b)$  is an  $S_{r}$ -subgroup of  $\theta(C(b))$  for all  $b \in B^{*}$ .

Proof. See [6, Lemma 3.2].

LEMMA 2.4. Let G be a group and  $\overline{G} = G/Sol(G)$ . Then the functors  $F^*$ , K, E, and Sol satisfy:

(a)  $\operatorname{Sol}(\overline{G}) = \overline{1}$ .

(b) 
$$C_G(F^*(G)) \subseteq F^*(G)$$
.

(c) 
$$\overline{K(G)} = K(\overline{G}) = E(\overline{G}) = F^*(\overline{G})$$
 is semi-simple.

**Proof.** (a) follows directly from the definition of Sol. (b) is well known. (c) is an immediate consequence of (a) and the definition of  $F^*$ .

LEMMA 2.5. Suppose the elementary abelian p-groups A acts on the p'group G,  $m(A) \ge 3$ , and  $C_G(a)$  is abelian for all  $a \in A^*$ . Then G is abelian.

**Proof.**  $\theta(C_{GA}(a)) = C_G(a)$  for all  $a \in A^{\#}$  is a solvable A-signalizer functor on GA. By Lemma 2.1 and [5], G is solvable. Let G/M be a chief A factor, and let  $B = C_A(G/M)$ . By induction we may suppose M is abelian. Since G/M is solvable, Lemma 2.1 implies that A/B is cyclic. Lemma 2.1 implies that  $C_G(B)$  centralizes M. Hence  $G = MC_M(B)$  is abelian.

LEMMA 2.6. Suppose the group G acts faithfully on the set  $\Omega$ , G has a Sylow r-subgroup S acting transitively on  $\Omega$ , and  $O^{p}(G) = O_{p'}(G)$ . Then G = S.

*Proof.* Let  $a \in \Omega$ . Then  $G_a S = G$ , whence

$$O^{p}(G) \subseteq () \{ G_{a} | a \in \Omega \} = 1.$$

LEMMA 2.7. Suppose the elementary abelian p-group A acts on the p'group X. Suppose the outer automorphism group of each chief section of each characteristic section of X has cyclic sylow p-subgroups. Let B be a subgroup of A. Let  $W = \langle C_X(E) | E \times B = A \rangle$ , and  $Z = C_X(B)$ . Then

- (a) If X is a chief XA factor, it follows that X = W or X = Z.
- (b) WZ = ZW = X.

*Proof.* (a) The subgroups W, Z are unaffected if we replace (A, B) by (A/D, BD/D) where  $D = C_A(X)$ . Hence we may first suppose that  $C_A(X) = 1$ , and then suppose that X is non-solvable. The hypothesis applies to  $(C_X(a), A, C_W(a), C_Z(a))$  replacing (X, A, W, Z) whenever  $a \in A^{\#}$  and  $\langle a \rangle$  acts semi-regularly on  $\mathscr{L}(X)$ . By induction  $C_X(V) \subseteq W$  or  $C_X(V) \subseteq Z$  whenever V is a non-identity subgroup of A acting semi-regularly on  $\mathscr{L}(X)$ .

Suppose first that we can find  $V_1$ ,  $V_2$ ,  $V_3 \in \mathscr{E}_1(A)$  all distinct and such that  $V_i$  acts semi-regularly on  $\mathscr{L}(X)$ . Then by permuting the indices we may suppose  $\langle C_X(V_1), C_X(V_2) \rangle \subseteq L$  where L = W or L = Z. However,  $C_X(V_i)$  is a maximal A-invariant subgroup of X, and  $C_X(V_1) \neq C_X(V_2)$ . Hence X = W or X = Z.

We may therefore suppose that there is at most one element of  $\mathscr{E}_1(A)$  not acting semiregularly on  $\mathscr{L}(X)$ . We may suppose that  $1 \neq A$  is cyclic. If B = 1, then X = Z. If B = A, then X = W. Hence (a) holds.

(b) Let X/Y be a chief XA section. By induction  $Y = (Y \cap W)(Y \cap Z)$ . By (a) applied to X/Y, it follows that X = YZ or X = WY. Hence X = WZ = ZW.

Our next theorem is very important. It lists most of the common properties of simple near p-solvable groups needed to prove the main theorem.

THEOREM 2.8. Suppose G is a non-abelian simple near p-solvable group. Let f be an automorphism of G of order p. Let  $C = C_G(f)$ ,  $C_0 = F(C)$ ,  $C_1 = C_C(C/C_0)$ , and  $M = N_G(F(C))$ . Then all of the following hold:

- (a) f exists.
- (b) Aut(G) has cyclic sylow p-subgroups.
- (c)  $C_{Aut(G)}(C)$  is a p-group.
- (d) M is the unique maximal subgroup of G containing  $C_1$ .

(e)  $C_1$ , C and M are frobenius groups with abelian frobenius kernels F(C), F(C) and F(M), respectively.

(f)  $F(M) = C_G(F(C))$  and M = F(M) C.

(g) Any p'-automorphism of G centralizing M/F(M) is an inner automorphism induced by an element of M.

(j) F(C) is the unique minimal normal subgroup of C.

(k) Let X be a proper C-invariant subgroup of G. Then  $F(C) \subseteq X$  or  $X \subseteq [F(M), f] = [M, f]$ .

(m) Let X be a nilpotent C-invariant subgrouup of G. Then  $X \subseteq F(M)$ .

(n) The class of subgroups of G isomorphic to M is a conjugacy class of subgroups of G.

(o)  $\pi(G) - \pi(M) \neq \emptyset$ . Moreover, if  $r \in \pi(G) - \pi(M)$ , then G has an abelian sylow r-subgroup.

*Proof.* (a) and (b) follow by [15; 16, Theorem 11]. Hence by (b) and sylow theorems we may suppose f is a field automorphism. Now a count (see [1, 9.4.10 and 14.3.2]) shows that F(C) is a sylow subgroup of G. So (n) holds. The sylow r-subgroups of  $SL(3, 2^p)$  are abelian for  $r \neq 2$  or 3. Hence by [16, Theorem 9; Lemma 15.1.1], (o) holds. By (e),  $F(M) = [F(M), f] \times F(C)$ . Since F(C) is a sylow subgroup of F(M), (k) is a consequence of (f) and (j). Part (m) is a consequence of (e) and (k). So it remains to prove (c), (d),..., (j).

First suppose  $G \cong L_2(3^p)$  or  $U_3((2^p)^2)$ . By [3, Sects. 8.4 and 8.5], C is a maximal subgroup of G and (d) holds. Hence M = C. Hence (e), (f) and (j) follow directly from the structure of C. By [15],  $\operatorname{Aut}(G) = \operatorname{Inn}(G) C_{\operatorname{Aut}(G)}(f)$ ; so  $N_{\operatorname{Aut}(G)}(C) = C_{\operatorname{Aut}(G)}(f)$ . Moreover  $O^p(C_{\operatorname{Aut}(G)}(f)) \cong \operatorname{Aut}(C)$ . Both (c) and (g) follow directly from the structure of  $\operatorname{Aut}(C)$ .

Suppose then  $G \cong L_2(2^p)$  or  $Sz(2^p)$ . By [15, 16],  $O^p(\operatorname{Aut}(G)) = \operatorname{Inn}(G)$ . Hence (c) is a consequence of (e), (g) is a consequence of (d), and (j) holds by inspection. So it is enough to verify (d), (e), and (f). The results for  $G \cong L_2(2^p)$  are well known. The results for  $G \cong Sz(2^p)$  are given by [16, Theorem 9].

LEMMA 2.9. Suppose the abelian group A acts on the group  $G = G_1 \times G_2 \times \cdots \times G_n$ . Suppose A acts on  $\{G_1, G_2, ..., G_n\}$ , via the induced action of A on subgrouups. Then

$$\operatorname{Proj}_{G_i}(C_G(A)) = C_{G_i}(N_A(G_i))$$

when projections are taken with respects to  $\{G_1, G_2, ..., G_n\}$ .

**Proof.** Let  $S = \{\langle G_i^A \rangle | i = 1, 2, ..., n\}$ . Then  $C_G(A) = X \{C_X(A) | X \in S\}$ . Hence by induction we may suppose A acts transitively on  $\{G_1, G_2, ..., G_n\}$ . Let  $B = N_A(G_i)$ . Since A is abelian and acts transitively on  $\{G_1, G_2, ..., G_n\}$ , it follows that B is independent of i. Hence we may suppose first that  $B = C_A(G)$  and then B = 1. So it suffices to treat the case when A acts regularly on  $\{G_1, G_2, ..., G_n\}$ . This is straightforward. THEOREM 2.10. Suppose the elementary abelian p-group A acts on the near p-solvable non-abelian semisimple group G. Suppose  $D = C_G(A)$  is solvable. Let  $M = N_G(C_G(F(D)))$ . For each  $J \in \mathcal{L}(G)$ , let  $M_J = M \cap J$ ,  $K_J = N_J(C_J(F(C_J(N_A(J)))))$ , and  $J^{\textcircled{O}} = \langle J^A \rangle \cap D$ . Let X, Y be DA-invariant subgroups of G. Then all of the following hold:

- (a)  $M = X \{ M_J | J \in \mathscr{L}(G) \} = X \{ K_J | J \in \mathscr{L}(G) \}.$
- (b) M is the unique maximal solvable subgroup of G containing D.
- (c) F(M) is abelian, and  $F(M) = C_G(F(D))$ .

(d) Suppose  $\phi$  is an automorphism of G. Then there is an inner automorphism i of G such that  $M^{\phi i} = M$ .

(e) Suppose X is solvable and contains D. Then

$$M = N_G(C_G(F(X))) \supseteq X.$$

- (f) If X is nilpotent, then  $X \subseteq F(M)$ .
- (g) Suppose  $\operatorname{Proj}_J(X)$  is nonsolvable. Then  $\operatorname{Proj}_J(X) = J$  and  $J^{\otimes} \subseteq X$ .
- (h) Suppose  $\operatorname{Proj}_{J}(X)$  is not nilpotent. Then  $F(J^{\textcircled{O}}) \subseteq X$ .
- (j) Suppose  $F(J^{\otimes}) \not\subseteq X$ . Then

$$X \cap D \subseteq C_D(J^{\textcircled{0}}) = X \{ K^{\textcircled{0}} | K \in \mathscr{L}(G), K^{\textcircled{0}} \neq J^{\textcircled{0}} \}.$$

(k) Let S be the set of all DA-invariant subgroups of G which intersect D trivially. Then [F(M), A] is the unique maximal element of S under inclusion.

(m) Let  $V = F(J^{\otimes})$ . Suppose  $X \triangleleft Y$ , Y is solvable,  $V \not\subseteq X$ , but  $V \subseteq Y$ . Then  $\operatorname{Proj}_{J}(C_{G}(Y|X))$  is abelian.

**Proof.** Let  $A_J = N_A(J)/C_A(J)$  and  $C_J = C_J(A_J)$  for each  $J \in \mathscr{L}(G)$ . Since D is solvable, Theorem 2.8(b) implies that  $A_J \cong Z_p$  for all J. Now  $D = \chi \{J^{\textcircled{O}} | J \in \mathscr{L}(G)\}$ , and  $J^{\textcircled{O}} \to \operatorname{Proj}_J(J^{\textcircled{O}})$  is an isomorphism; whence, by Lemma 2.9,  $\operatorname{Proj}_J(D) = C_J(A_J) = C_J \cong J^{\textcircled{O}}$  and  $\operatorname{Proj}_J(F(D)) = \operatorname{Proj}_J(F(J^{\textcircled{O}})) = F(C_J)$ . Let  $K = \chi \{K_J | J \in \mathscr{L}(G)\}$ . Theorem 2.8(e) implies that K is solvable and F(K) is abelian. Now let X be a solvable DA-invariant subgroup of G which contains D. Then  $\operatorname{Proj}_J(X) \cong K_J$  by Theorem 2.8(d). In particular,  $F(K) \cap X \subseteq F(X)$ . Now  $\operatorname{Proj}_J(F(X))$  is a nilpotent  $C_J$  invariant subgroup. By Theorem 2.8(m),  $F(X) = X \cap F(K)$ . Since  $F(D) = D \cap F(K) \subseteq F(K)$ , it follows that  $1 \neq F(C_J) \subseteq \operatorname{Proj}_J(F(X)) \subseteq F(K_J)$  for all J. Theorem 2.8(e) implies that  $F(K) = C_G(F(X))$ . Theorem 2.8(d) implies that  $K = N_G(F(K))$ . We have shown (a), (b), (c), (e) and (f).

Next we show (h). So suppose  $\operatorname{Proj}_J(X)$  is not nilpotent. Let  $G_1 = \langle J^A \rangle$  and  $X_1 = \operatorname{Proj}_{G_1}(X)$ . If  $F(J^{\textcircled{O}}) \subseteq X_1$ , then

$$F(J^{\textcircled{o}}) = [F(J^{\textcircled{o}}), J^{\textcircled{o}}] \subseteq [X_1, J^{\textcircled{o}}] = [X, J^{\textcircled{o}}] \subseteq [X, D] \subseteq X.$$

Hence by induction  $G = G_1$  and  $D = J^{\otimes}$ . Since  $J^{\otimes} \cong C_J$ , Theorem 2.8(j) implies that  $F(J^{\otimes})$  is the unique minimal normal subgroup of  $J^{\otimes} = D$ . Hence  $F(J^{\otimes}) \subseteq X$  or  $D \cap X = 1$ . By (f),  $X \notin F(M)$ . By Lemma 2.1, there is a hyperplane B of A such that  $C_X(B) \notin F(M)$ . Let  $Z = C_X(B)$ . By (f), Z is not nilpotent. Theorem 10.2.1 of [8] implies that  $C_Z(A/B) = C_Z(A) = C_X(A) \neq 1$ . So  $D \cap X \neq 1$ . Hence  $F(J^{\otimes}) \subseteq X$  as required.

Next we show (g). So suppose  $\operatorname{Proj}_J(X)$  is non-solvable. Let  $G_1 = \langle J^A \rangle$  and  $X_1 = X \cap G_1$ . By (h),  $\operatorname{Proj}_J(X_1) \supseteq \operatorname{Proj}_J(F(J^{\textcircled{o}})) \neq 1$ . Theorem 2.8(d) implies that  $J = \operatorname{Proj}_J(X) \rhd \operatorname{Proj}_J(X_1) \neq 1$ . Hence  $\operatorname{Proj}_J(X_1) = J$ . Hence by induction we may assume  $G = \langle J^A \rangle$ ,  $D = J^{\textcircled{o}}$ , and  $\operatorname{Proj}_K(X) = K$  for all  $K \in \mathscr{L}(G)$ . We may suppose  $C_A(G) = 1$ . By Lemma 2.1 and Theorem 2.8(d), we may suppose that  $C_A(X) = B$  is a hyperplane of A. Let  $E = A \cap \hat{K}(GA)$ . Theorem 2.8(b) implies that  $Z_p \cong E$  and that  $C_G(E)$  is solvable. So  $E \times B = A$ . In particular, B acts regularly on  $\mathscr{L}(G)$ . Hence  $X \subseteq C_G(B) \cong J = \operatorname{Proj}_J(X)$  for any  $J \in \mathscr{L}(G)$ . So  $X = C_G(B) \supseteq J^{\textcircled{o}}$ .

To prove (j) we may suppose  $X \subseteq D$ , and  $J^{\otimes} \cap X = 1$ . Then  $[X, J^{\otimes}] \subseteq X \cap J^{\otimes} = 1$  which proves (j).

Let S be as in (k). By (f) and (h), each  $Z \in S$  satisfies  $[Z, A] \subseteq [F(M), A]$ . Part (c) and [8, Theorem 5.2.3] imply that  $[F(M), A] \in S$ . Hence (k) holds.

Theorem 2.8(n) implies (d). It remains to prove (m). Suppose X, Y, J, and V are as in part (m). We may and do assume Y = XV. Let  $G_1 = \langle J^A \rangle$ ,  $G_2 = C_G(G_1)$  and  $X_1 = \operatorname{Proj}_{G_1}(X)$ . As in (h) we get  $V \cap X_1 = 1$ . Since  $C_G(XV/X) \subseteq C_G(X_1V/X_1) = G_2 \times C_{G_1}(X_1V/X_1)$ , we may suppose  $G_1 = G$ . Then  $X \cap D = 1$ . By (f) and (h),  $X \subseteq F(M)$ . Let  $T = C_G(XV/X)$  and  $U = C_G(T)$ . To complete (m), it suffices to show  $C_M(U) \leq F(M)$ . By (c) and Theorem 2.8(e), F(M) is abelian and has order relatively prime to the order of T/F(M). Since  $X \neq XV$ , it follows that  $1 \neq C_{F(M)}(T/F(M)) = C_{F(M)}(T) \leq U$ . So  $1 \neq U = \times \{C_J(\operatorname{Proj}_J(T)) | J \in (G)\} = \times \{U \cap J | J \in \mathscr{L}(G)\}$ . Since U is also A-invariant, it follows that  $1 \neq U \cap J$  for any  $J \in \mathscr{L}(G)$ . Also  $U = C_G(T) \leq C_G(F(M)) = F(M)$ . So  $U \cap F(M) \cap J \neq 1$  for any  $J \in \mathscr{L}(G)$ . By Theorem 2.8(e),  $C_M(U) = \times \{C_{K_J}(U \cap K_J) | J \in \mathscr{L}(G)\} = \times \{F(K_J) | J \in \mathscr{L}(G)\} = F(M)$ . We are done.

THEOREM 2.11. Suppose the elementary abelian p-group A acts on the near p-solvable group G. Suppose  $D = C_G(A)$  is solvable. Let X be any DA-invariant subgroup of G. Let  $\mathscr{S}(X)$  be the set of all subgroups of X which are  $(X \cap D)A$  invariant and solvable. Then all of the following hold:

(a) Suppose G is semi-simple. Then  $\langle \mathscr{S}(G) \rangle = N_G(C_G(F(D)))$  is solvable.

(b)  $\langle \mathscr{S}(X) \rangle = \langle \mathscr{S}(G) \rangle \cap X$  is solvable.

(c) Suppose N is a normal subgroup of GA in G. Then  $G = N(N_G(\langle \mathscr{S}(N) \rangle))$ . Moreover, if  $X \cap N$  is solvable, then  $X \subseteq N_G(\langle \mathscr{S}(N) \rangle)$ . (d)  $N_X(\langle \mathscr{S}(X) \rangle) = \langle \mathscr{S}(X) \rangle$ .

*Proof.* Theorem 2.10(b) implies (a). Suppose N is a non-trivial normal subgroup of GA in G. By induction on |G|, (c) holds with respect to this N if  $Sol(N) \neq 1$ . Suppose then Sol(N) = 1. Let K be a minimal normal subgroup of GA in N. Let  $M = N_K(C_K(F(D \cap K)))$ . Theorem 2.10(d) implies that  $G = KN_G(M)$  and  $N = KN_K(M)$ . Theorem 2.10(e) implies that  $X \subseteq XD \subseteq N_G(M)$  if  $X \cap K$  is solvable. Hence (c) follows by induction on |G|.

Next consider (b). D permutes  $\mathscr{S}(X)$ , whence DA normalizes  $\langle \mathscr{S}(X) \rangle$ . Hence it suffices to assume  $\langle \mathscr{S}(X) \rangle$  is solvable, for all DA invariant  $X \not\subseteq G$ and show  $\langle \mathscr{S}(G) \rangle$  is solvable. We may suppose  $G = \langle \mathscr{S}(G) \rangle$  and Sol(G) = 1. Hence by (a) and (c), G = 1.

It remains to prove (d). By (b) it suffices to treat the case  $1 = \langle \mathscr{S}(G) \rangle$ . Then Sol(G) = D = 1. By (a),  $F^*(G) = 1$ . Then G = 1 and (d) is trivially true.

LEMMA 2.12. Suppose the elementary abelian p-group A acts on the near p-solvable group G,  $D = C_G(A)$  is solvable, Sol(G) = 1, E(G) is a minimal normal subgroup of G, and G = E(G)D. Then there is a subgroup B of A such that

(a) A/B is cyclic and

(b)  $C_{E(G)}(B)$  is a non-solvable minimal normal subgroup of  $AC_G(B) = ADC_{E(G)}(B)$ . Moreover  $Sol(C_G(B)) = 1$ .

*Proof.* We may and do suppose  $C_A(K(G)) = 1$ . Let  $F = A \cap \hat{K}(GA)$  and let B be a complement for F in A. Since AD is transitive on  $\mathscr{L}(G)$ , it follows that  $F = N_A(J) \cong Z_p$  for each  $J \in \mathscr{L}(G)$ . Hence B acts regularly on  $J^A$  for all  $J \in \mathscr{L}(G)$ . Hence  $J \cong \langle J^A \rangle \cap C(B) \in \mathscr{L}(C_G(B))$  for all  $J \in \mathscr{L}(G)$ , and  $C_{K(G)}(B) = \times \{\langle J^A \rangle \cap C(B) | J \in \mathscr{L}(G)\} = \times \mathscr{L}(C_G(B))$ . Clearly, D acts transitively on  $\mathscr{L}(C_G(B))$ . So  $E(C_G(B)) \circ \triangleleft AC_G(B) = ADC_{E(G)}(B)$ . Let  $S = \operatorname{Sol}(C_G(B))$ . Let  $K = \langle J^A \rangle \cap C(B)$  for some  $J \in \mathscr{L}(G)$ . Then S centralizes K. Hence S normalizes K. Hence S normalizes  $C_{K(G)}(C_{K(G)}(K)) =$  $\langle J^A \rangle$ . Let  $N = \bigcap \{N_{AG}(J^e) | e \in A\}$ . Then, replacing  $(G, S, \Omega)$  by  $(AS/AS \cap N, A/A \cap N, J^A)$  in Lemma 2.6, it follows that S normalizes J. Hence S centralizes  $\operatorname{Proj}_J(K) = J$ . Since  $J \in \mathscr{L}(G)$  was chosen arbitrarily, it follows that  $S \subseteq C_G(K(G)) = 1$ . LEMMA 2.13. Suppose the elementary abelian p-group A acts on the near p-solvable group G. Suppose  $D = C_G(A)$  is solvable and  $Sol(G) = 1 \neq G$ . Let K = K(G). Let W be a perfect DA-invariant subgroup of K. Let  $K_1 = C_K(W)$ ,  $K_2 = C_K(K_1)$ , and  $D_i = D \cap K_i$ . Then

- (a)  $K = K_1 \times K_2$ .
- (b)  $D \cap K = D_1 \times D_2$  and  $D_2 = D \cap W$ .
- (c If  $K_1 = 1$ , then  $C_G(W) = 1$ .

(d) Suppose  $Z_0$ , Z are DA-invariant subgroups of G,  $Z_0 \triangleleft Z$ , and  $Z_0 \cap K \cap D = D_1$ . Then Z normalizes  $K_1$ .

*Proof.* Let  $J \in \mathcal{L}(G)$ . Theorem 2.10(g) implies that  $\operatorname{Proj}_{J}(W) = 1$  or J. Hence (a) holds, and  $D \cap K = D_1 \times D_2$ . To complete (b) we may suppose  $K_1 = 1$ . Then Theorem 2.10(g) implies  $D \cap K = X \{J^{\otimes} | J \in \mathcal{L}(G)\} \subseteq W$ . Hence (b) holds.

(c) Suppose  $K_1 = 1$ . Let  $S = C_G(W)$ . By (b) and Lemma 2.6,  $S \subseteq \hat{K}(G)$ . Hence S centralizes  $\operatorname{Proj}_J(W) = J$  for all  $J \in \mathscr{L}(G)$ . So  $S \subseteq C_G(K(G)) = 1$ , proving (c).

(d) We take projections of subgroups of K with respect to internal direct products of K. Let N be the product of all components J of K satisfying  $\operatorname{Proj}_J(Z_0 \cap K)$  is nilpotent. Theorem 2.10(b) implies that  $N = K_2$ . Hence  $K_2$  and consequently  $K_1$  admits Z.

LEMMA 2.14. Suppose the elementary abelian p-group A acts on the near p-solvable semi-simple group X,  $C_x(a)$  is solvable for some  $a \in A^*$ , and W is a subgroup of  $C_x(a)$  admitting  $AC_x(a)$ . Suppose  $C_w(A) = 1$ . Then W = 1.

*Proof.* Let  $J \in \mathscr{L}(X)$ ,  $K = C_J(a)$ , and  $Z = \operatorname{Proj}_J(W)$ . Then Z is a normal subgroup of K. Theorem 2.8e, j imply that Z = 1 or  $F(K) \subseteq Z$ , and F(K) = [F(K), K]. Suppose  $F(K) \subseteq Z$ . Then  $F(K) \subseteq [Z, K] = [W, K] \subseteq W$ . Hence  $1 \neq \langle F(K)^A \rangle \cap D \subseteq W$ . This is false, whence  $\operatorname{Proj}_J(W) = 1$  for all  $J \in \mathscr{L}(X)$ . So W = 1 as required.

LEMMA 2.15. Suppose G is a group and  $K(G) \subseteq X \subseteq G$ . Then K(G) = K(X).

*Proof.* We may and do suppose Sol(G) = 1. Then  $C_G(K(G)) = 1$ . Now  $[Sol(X), K(G)] \subseteq Sol(X) \cap K(G) \subseteq Sol(K(G)) = Sol(G) = 1$ , whence Sol(X) = 1. Hence  $K(X) = K(G) \times C_{K(X)}(K(G)) = K(G)$ .

LEMMA 2.16. Suppose G is near A-solvable,  $Sol(G) = 1 \neq G$ . Let  $D = C_G(A)$ , K = K(G), and S be the unique maximal solvable DA-invariant

subgroup of G. Let  $S_0 = S \cap K$ ,  $D_0 = D \cap S_0 = D \cap K$ ,  $D_1 = F(D_0)$ , and  $S_1 = F(S_0)$ . Finally let  $D_2 = C_{D_0}(D_0/D_1)$  and  $S_2 = C_{S_0}(S_0/S_1)$ . Suppose Z is any DA-invariant subgroup of G. Then all of the following hold:

- (a)  $S_0 \subseteq C_G(D_2S_1/S_1) \subseteq \hat{K}(G).$
- (b)  $S_2 = C_G(D_0 S_1/S_1),$
- (c) If  $Z \cap K \leq S_1$ , then  $Z \leq S_2$ ,
- (d) If  $Z \cap K \cap D = 1$ , then  $Z \leq S_1$ .

*Proof.* (a) Let  $W = C_G(D_2S_1/S_1)$ . Then W is DA-invariant. Let  $J \in \mathscr{L}(G)$  and  $J^{\otimes} = \langle J^A \rangle \cap D$ . Then W normalizes  $J^{\otimes}S_2$ . Hence W normalizes  $C_K((C_K(J^{\otimes}S_2))^{\infty}) = \langle J^A \rangle$ . By Lemma 2.6, W normalizes J. So (a) holds. Combine this with Theorem 2.8(g) to get (b).

(c) By Theorem 2.11(c),  $Z \leq N_G(S_0)$ . Hence  $[Z, D_0] \leq Z \cap K \leq S_1$ . Now (c) follows from (b).

(d) This follows from Theorem 2.10(f) and part (b).

## 3. SUBFUNCTORS

In this section G is a group, A is a non-identity elementary abelian psubgroup of G,  $\theta$  is a near solvable A-signalizer functor on G, and  $D = \theta(C_G(A))$ .

LEMMA 3.1.  $\theta_{sol}$  is a solvable A-signalizer functor on G. Moreover, if  $m(A) \ge 3$ , then  $\theta$  is complete.

*Proof.* Theorem 2.11(b) implies  $\theta_{sol}$  is a solvable A-signalizer functor on G. Now apply the main theorem of [5] to finish.

THEOREM 3.2. Suppose  $Y \in D(\theta)$  and  $(X_1, X_2) \in P(\theta, Y)$ . Then there are subgroups  $Z_i \subseteq X_i$  such that  $(Z_1, Z_2) \in P(\theta, Y)$ ,  $Z_2$  is solvable and  $A/C_A(Z_1/Z_2)$  is cyclic.

*Proof.* We may suppose  $X_1DA = G$ . By Theorem 2.11(c, d) we may suppose  $X_2$  is solvable. We may then reduce to  $X_2 = 1$  and apply Lemma 2.12 to finish.

THEOREM 3.3. Let  $Y \in D(\theta)$ , and  $X, Z \in C(\theta, Y)$ . Then all of the following hold:

(a) 
$$\theta_Y^u(X) \in U(\theta, Y, X).$$

- (b)  $(\theta_Y^m(X), \theta_Y^l(X)) \in P(\theta, Y, X).$
- (c)  $K(X) \subseteq \theta_Y^m(X)$ .
- (d)  $\theta_{Y}(X) \in E(\theta, Y, X).$
- (e)  $\theta_Y^l(X) \subset \theta_Y(X) \subset \theta_Y^m(X) \subset \theta_Y^u(X).$
- (f)  $\theta_Y^u(X) \cap Z = X \cap \theta_Y^u(Z)$ .
- (g)  $\theta_{\gamma}(X) \cap Z = X \cap \theta_{\gamma}(Z).$

(h) Suppose  $a, b \in A^{\#}, X = \theta(C_G(a)), and Z = \theta(C_G(b)).$  Then  $\theta_Y^l(X) \cap Z = X \cap \theta_Y^l(Z).$ 

*Proof.* (a) By induction we may first suppose G = XA, then  $X = \theta_Y^u(X)$ , and finally

whenever 
$$N \triangleleft XA$$
,  $N \subset X$ , and  $N \cap D \subseteq Y$ , then  $N = 1$ . (3.1)

By Theorem 3.2, choose  $(X_1, X_2) \in P(\theta, Y)$  with  $X_2$  solvable. Suppose M is any normal subgroup of XA in X satisfying  $M \cap X_1 \subseteq X_2$ . Then  $[M \cap D, X_1] \subseteq M \cap X_1$ . Hence  $M \cap D \subseteq C_D(X_1/X_2) = Y$ . By (3.1), M = 1. In particular, Sol(X) = 1 and  $X_1 \cap K(X) \notin X_2$ . Let  $W = (X_1 \cap K(X))^{\infty}$ . Then  $X_1 = W \times X_2$  and W is a perfect DA-invariant subgroup of K(X). Let  $Z \in U(\theta, Y, X)$  and  $Z_0 = \langle Y^Z \rangle$ . By definition of  $U(\theta, Y, X)$ ,  $Z_0 \cap D = Y$ . Hence

$$Z_0 \cap K(X) \cap D = Y \cap K(X) = C_D(X_1/X_2) \cap K(X)$$
$$= C_D(W) \cap K(X) = C_{K(X)}(W) \cap D.$$

By Lemma 2.13(d),  $C_{K(X)}(W)$  admits Z. Since  $X = \theta_Y^u(X)$ , it follows that  $C_{K(X)}(W)$  is normal in G. But  $D \cap C_{K(X)}(W) \subseteq Y$ , whence  $C_{K(X)}(W) = 1$ . Lemma 2.13(c) implies  $Y = D \cap X_2 \subseteq C_X(W) = 1$ . Hence  $X \in U(\theta, Y, X)$  proving (a).

(c) This is much the same as (a). We may suppose G = XA, X = K(X) = H(X), and Sol(X) = 1. If  $K(X) \cap D \subseteq Y$ , then  $(X_1K(X), X_2K(X)) \in P(\theta, Y, X)$ . So we may suppose  $K(X) \cap X_1 \notin X_2$ . Then, as in (a) using Lemma 2.13, it follows that  $(K(X) C_D(W), C_{K(X)D}(W)) \in P(\theta, Y, X)$  where  $W = (X_1 \cap K(X))^{\infty}$ .

(b), (d), and (e). By (a) we may suppose  $X = \theta_X^u(X) \in U(\theta, Y, X)$ . We may again assume (3.1). In particular,  $Y \subseteq \langle Y^{XA} \rangle = 1$ . With  $(X_1, X_2)$  as before we have  $[\operatorname{Sol}(X) \cap D, X_1] \subseteq X_2$ . So  $\operatorname{Sol}(G) = 1$ . As in part (a),  $X_1 = X_2 \times W$  where  $W = (X_1 \cap K(X))^{\infty}$ . Also as in part (a),  $C_{K(X)}(W)$  is normal in G and intersects D trivially. By (3.1),  $C_{K(X)}(W) = 1$ . Lemma 2.13 implies  $C_X(W) = C_X(K(X)) = 1$ . Now  $W = X \{\langle J^A \rangle \cap W | J \in \mathcal{L}(X)\}$ . Hence D acts transitively on  $\{\langle J^A \rangle | J \in \mathcal{L}(X)\}$ . Hence K(X) is a minimal normal

subgroup of K(X) DA and  $C_D(K(X)) = 1 = Y$ . So  $(K(X), 1) \in P(\theta, Y, X)$ . Hence  $(\theta_Y^m(X), \theta_Y(X)) = (K(X), 1) \in P(\theta, Y, X)$ . Let  $M = N_{K(X)}(C_{K(X)}, (F(D \cap K(X))))$ , and R = [F(M), A]. Let  $T \in E(\theta, 1)$ . Lemma 2.16(d) implies that  $T \subseteq K(M)$ . Theorem 2.10(k) implies that  $\theta_Y(X) = R \in E(\theta, Y, X)$ . This proves (b), (d), and (e).

(f), (g). These follow directly from the definitions and parts (a) and (d).

(h) Observe that this follows directly from the definitions and (b) if  $X \cap Z \in C(\theta, Y)$ . Hence we suppose  $C_{\theta_Y^m(X)/\theta_Y^l(X)}(b)$  and  $C_{\theta_Y^m(Z)/\theta_Y^l(Z)}(a)$  are both solvable. Let  $M = \theta_Y^u(Z)$ ,  $M_1 = \theta_Y^m(Z)$ ,  $M_2 = \theta_Y^l(Z)$  and  $\overline{M} = M/M_2$ . Let  $W = \theta_Y^u(X) \cap C(b)$  and  $W_0 = \theta_Y^l(X) \cap C(b)$ . By (f),  $W = C_M(a)$ . Since  $W_0 \triangleleft W$  we have  $\overline{W}_0 \triangleleft \overline{W} = C_{\overline{M}}(a)$  and  $\overline{D} \cap \overline{W}_0 = \overline{Y} = \overline{1}$ . In particular,  $\overline{W}_0 \cap \overline{M}_1 \triangleleft AC_{\overline{M}_1}(a)$ ,  $\overline{M}_1$  is semi-simple, and  $C_{\overline{M}_1}(a)$  is solvable; so Lemma 2.14 implies  $\overline{W}_0 \cap \overline{M}_1 = \overline{1}$ . By (e),  $\overline{W}_0 = 1$ . Hence  $\theta_Y^l(X) \cap Z = W_0 \subseteq X \cap \theta_Y^l(Z)$ . The symmetric inclusion completes (h) and the theorem.

THEOREM 3.4. Suppose  $(X_1, X_2) \in P(\theta)$ . Let  $D_i = D \cap X_i$ . Then  $F(D_1/D_2) = F(D/D_2)$  is the unique minimal normal subgroup of  $D/D_2$ .

*Proof.* By induction we may suppose  $G = X_1 DA$  and  $X_2 = 1$ . By Theorem 3.2 we may suppose  $C_A(X_1)$  is a hyperplane of A. Consequently A normalizes each component, D acts transitively on the components, and  $D_1 = X \{C_J(A) | J \in \mathcal{L}(G)\}$ . Theorem 2.8(j) implies that  $F(D_1)$  is the unique minimal normal subgroup of D in  $D_1$ . Hence it suffices to show  $F(D) = F(D_1)$ . Lemma 2.16 (b) does this.

THEOREM 3.5. Suppose  $D_2 \in D(\theta)$  and  $X \in C(\theta, D_2)$ . Let  $D^* \supset D_2$  be such that  $D^*/D_2 = F(D/D_2)$ . Suppose N, L, R are subgroups of X which satisfy:

- (a) N, L, and R are normal in  $\langle R, D, A \rangle = RDA$ ,
- (b)  $N \subset L \subset R$ , and L/N is a chief RDA factor,
- (c) AR centralizes L/N, and
- (d)  $R \cap D = D^*$ ,  $D \cap N \subseteq D_2$ , and  $D^*N/N = (D_2N/N) \times (L/N)$ .

Then it follows that R has an RDA invariant subgroup B such that  $B \cap D = D_2$  and  $BD^* = R$ .

**Proof.** Suppose false. Choose a counterexample G of least possible order. Subject to this restriction choose one with L of least possible order. By Theorem 3.2 there is a pair  $(X_1, X_2) \in P(\theta, D_2)$  with  $X_2$  solvable. Fix such a pair with  $X_2$  of least possible order.

First we observe some structure of G. The requirements are satisfied by  $\langle X_1, R, D, A \rangle$ . So  $X = \langle X_1, R, D \rangle$  and G = XA. Suppose M is any normal subgroup of G in X such that  $M \cap D \subseteq D_2$ . If  $M \neq 1$ , a short argument assisted by Lemma 2.2 yields a subgroup  $B_1$  of RM, which contains M, is normal in RMDA, intersects D in  $D_2$ , and satisfies  $B_1D^* = RM$ . The subgroup  $B_1 \cap R$  satisfies the conclusion. This is false, whence M = 1. Let V be any minimal normal subgroup of G in X. Let  $W = (X_1 \cap V)^{\infty}$ . Suppose  $V \cap D \subseteq C_D(X_1/X_2) = D_2$ . This is  $V \cap X_1 \subseteq X_2.$ Then false. So  $V \cap X_1 \not\subseteq X_2$ . Since  $V \cap X_1 \triangleleft X_1$ , it follows that  $X_1 = X_2(V \cap X_1)$ . Hence  $X_1 = X_2 \times W = D_2 \times W$ , and  $W = X_1^{\infty}$ . Since all minimal normal subgroups of G in X contain W, it follows that K(X) is the unique minimal normal subgroup of G in X. Let K = K(X). Now X = KRD.

Let  $K_1 = C_K(W)$ ,  $K_2 = C_K(K_1)$ ,  $E = D \cap K_2$ , and  $E^* = F(E)$ ,  $S_2 = C_K(E^*)$ , and  $S = N_K(S_2)$ . We shall first show  $K_1 = 1 = D_2$ , then  $L \subseteq S_2$ , then  $R \cap K \subseteq S_2$  is abelian, and finally  $R \subseteq S_2$ .

Since  $N \cap K \cap D \leq D_2 \cap K \leq K_1$ , Lemma 2.16(d) implies that  $N \leq S_2 C_G(K_2)$ . Hence  $\operatorname{Proj}_J(N \cap K)$  is nilpotent if  $J \in \mathscr{L}(K_2)$ . Since  $(D_2 \cap K) = C_{K_1}(A) \leq N$ , it follows that  $\operatorname{Proj}_J(N)$  is not nilpotent for any  $J \in \mathscr{L}(K_1)$ . Hence  $K_1 \triangleleft G$  and  $K_1 \cap D \leq N$ . Hence  $K_1 = 1$  and  $D_2 = C_D(W) = C_D(K_2) = 1$ .

Now  $N \cap E = 1$ , so  $N \leq S_2$  by Lemma 2.16(d). Now  $E^*$  is the unique minimal normal subgroup of DA, and  $N \cap D = 1$ . Hence  $L = NE^* = N \times E^* \leq S_2$ .

Now apply Theorem 2.10(m) with L in the role of Y, N in the role of X, and V in the role of  $E^*$  to conclude  $R \subseteq S_2$ . In particular R is abelian. By [8, Theorem 5.2.3],  $R = [R, A] \times C_R(A) = [R, A] \times E^*$ . Then [R, A] is obviously a suitable candidate for B, a contradiction.

THEOREM 3.6. Suppose that  $m(A) \ge 3$ . Suppose  $X \in \tilde{\mathbb{H}}_{\theta}(A)$ ,  $X_i$  are DAinvariant subgroups of X for i = 1, 2, and  $X_1/X_2$  is a non-solvable chief  $X_1DA$ -factor. Let  $Y \in D(\theta)$ . Suppose that  $X_2 \cap D \subseteq Y$ . Then one of the following occurs:

- (a)  $X_1 \cap D \subseteq Y$ ,
- (b)  $(X_1, X_2) \in P(\theta, Y)$ .

**Proof.** Suppose false. Let G be a counterexample of least possible order. By Theorem 3.2 there is a hyperplane  $B_1$  of A such that  $\theta(C_G(B_1)) \in C(\theta, Y)$ . After the fashion of Theorem 3.2 there is a hyperplane B of A such that  $C_{X_1/X_2}(B)$  is a chief non-solvable  $(C_{X_1}(B))$  DA-factor. Were  $C_X(B) \in C(\theta, Y)$ , then  $X \in C(\theta, Y)$  would hold. Hence we may and do suppose  $B = C_A(X)$ . Let  $E = B \cap B_1$  and  $W = \theta(C_G(E))$ . Since  $X \subseteq W \in C(\theta, Y)$ , it follows that G = WA. Fix  $(Z_1, Z_2) \in C(\theta, Y)$  with  $Z_2$  of least possible order. By Theorem 3.2,  $Z_2$  is solvable. Now G has no nontrivial normal subgroups in W which intersect D in a subgroup of Y. This leads to: K = K(W) is the unique minimal normal subgroup of G in W,  $Z_2 = Y$ ,  $Z_1 = Y \times (Z_1 \cap K)^{\infty} =$   $Y \times Z_1^{\infty}$ , and  $W = KX_1D$ . Suppose that  $X_1 \cap K \subseteq X_2$ . Then  $[D \cap X_1, D \cap Z_1^{\infty}] \subseteq X_2 \cap D \cap Z_1^{\infty} \subseteq Y \cap Z_1^{\infty} = 1$ . Hence  $D \cap X_1 \subseteq C_D(D \cap Z_1^{\infty}) = Y$ . This is false, whence  $X_1 = X_2(X_1 \cap K)$ . Now  $D \cap ((D \cap X_1)(X_1 \cap K)) =$   $D \cap X_1 \notin Y$ . Hence we may suppose  $X_1 = (D \cap X_1)(X_1 \cap K)$ . In particular, KD = W. By Lemma 2.13,  $(K, 1) = (\theta_Y^m(G), \theta_Y^I(G))$  and Y = 1. Now  $X_1 \cap K$ is non-solvable. Since  $\operatorname{Proj}_J(X_1 \cap K)$  admits  $\operatorname{Proj}_J(D \cap K)$  for  $J \in \mathscr{L}(W)$  and D is transitive on  $\mathscr{L}(W)$ , we have  $\operatorname{Proj}_J(X_1 \cap K) = J$  for all  $J \in \mathscr{L}(W)$  (see Theorem 2.8(d)). Hence  $X_1 \cap K$  is semi-simple,  $X_2 \cap K = 1$ , and  $X_2 \subseteq C_W(X_1 \cap K) = 1$ . So  $X_2 = 1$ , and  $(X_1, 1) \in P(\theta, Y)$ , a contradiction.

THEOREM 3.7. Assume the hypotheses of Theorem 3.6. Assume also that  $X \in C(\theta, Y)$ . Then

- (a)  $X_1 \subseteq \theta_Y^m(X)$ , and
- (b) either  $X_1 \cap D \subseteq Y$  or  $(X_1D \cap \theta_Y^m(X), X_2D \cap \theta_Y^l(X)) \in P(\theta, Y)$ .

*Proof.* Theorem 3.3(d, e) imply both conditions if  $X_1 \cap D \subseteq Y$ . So suppose  $X_1 \cap D \notin Y$ . By Theorem 3.6(b),  $(X_1, X_2) \in P(\theta, Y)$ . Now the result follows by Theorem 3.3(b).

Hypothesis A (applied to a vector  $(G, H, A, D, D_1, D_2)$  of groups):

- A1: A is a p-group and H is near A-solvable.
- A2: G = HA.
- A3:  $D = C_H(A)$ .

A4:  $D_i$  are normal subgroups of D such that

(a)  $D_2 \subset D_1$ ,

(b)  $D_1/D_2$  is the unique minimal normal subgroup of  $AD/D_2$  in  $D/D_2$ ,

(c)  $C_D(D_1/D_2) = D_1$ .

A5: Suppose  $X_1$  is any subgroup of H admitting DA,  $X_1/X_2$  is a chief nonsolvable  $X_1DA$ -factor, and  $X_2 \cap D \subseteq D_2$ . Then  $X_1 \cap D \subseteq D_2$ .

A6: Suppose N, L, and R are subgroups of H which satisfy the following conditions:

(a) N, L, and R admit DA.

(b)  $L \subseteq R$ , and L/N is a chief RDA factor. Moreover, RA centralizes L/N.

- (c)  $R \cap D = D_1$  and  $D \cap N \subseteq D_2$ .
- (d)  $D_1 N/N = (D_2 N/N) \times (LN/N).$

Then it follows that R has an RDA invariant subgroup B such that  $B \cap D = D_2$  and  $R = BD_1$ .

THEOREM 3.8. Suppose  $(G, H, A, D, D_1, D_2)$  satisfies hypothesis A. Define  $\mathscr{S}(H) = \{M | M \subseteq H; M \text{ is a DA-invariant; and } M \cap D = D_2\}$ . Then  $\mathscr{S}(H)$  has a unique maximal element under set inclusion.

*Proof.* Let  $\mathscr{S} = \mathscr{S}(H)$ . Suppose by way of contradiction that the conclusion is false. Choose a counterexample G of least possible order. The hypotheses inherit to  $(\langle \mathscr{S} \rangle DA, \langle \mathscr{S} \rangle D, A, D_1, D_2)$ . Hence

$$G = \langle \mathscr{S} \rangle DA \tag{3.2}$$

and

$$\langle \mathscr{S} \rangle \neq 1.$$
 (3.3)

Let N be a minimal normal subgroup of G contained in H. By (3.3) such an N exists. Suppose  $D \cap N \subseteq D_2$ . For each subgroup X of G let  $\overline{X} = XN/N$ . Hypothesis A inherits to  $(\overline{G}, \overline{H}, \overline{A}, \overline{D}, D_1, \overline{D}_2)$ . Write  $\overline{\mathscr{S}(H)} = \{\overline{X} | X \in \mathscr{S}(H)\}$ . By Lemma 2.2,  $\overline{\mathscr{S}(H)} = \mathscr{S}(\overline{H})$ . Hence  $\langle \overline{\mathscr{S}(H)} \rangle \in \mathscr{S}(\overline{H})$ . This is false. Hence

$$D \cap N \not\subseteq D_2. \tag{3.4}$$

Next suppose that N is nonsolvable. Then  $N = N_1 \times N_2 \times \cdots \times N_k$  where each  $N_i$  is a minimal normal subgroup of NDA. By A5,  $N_i \cap D \subseteq D_2$  for  $1 \leq i \leq k$ . Lemma 2.2 implies that  $N \cap D \subseteq D_2$ , against (3.4). Hence

$$N$$
 is solvable. (3.5)

By (3.4) and A4 there follows

$$D_1 = D_2(D_1 \cap N). \tag{3.6}$$

Suppose there is  $M \in \mathscr{S}$  such that NMDA = G. Then  $M \cap N \triangleleft G$ . So  $M \cap N = 1$  or N. By (3.6),  $M \cap N = 1$ . Also  $MD_1 \cap N \triangleleft G$ . So  $MD_1 \cap N = N$  by (3.6). Hence  $MDA = (MD_1) DA = NMDA = G$ . Hence  $M \triangleleft G$ . By (3.4), 1 = M. Hence G = DA. Hence  $\mathscr{S} = \{1\}$ . This is false, whence

$$NMDA \neq G \quad \text{for any } M \in \mathcal{S}. \tag{3.7}$$

#### PATRICK PASCHAL MC BRIDE

For each  $M \in \mathcal{S}$  let  $M^*$  be the unique maximal element of  $\mathcal{S}(NMD)$ . This is well defined by (3.7). Next we show

$$M^* \cap N = D_2^* \cap N \qquad \text{for any } M \in \mathcal{S}. \tag{3.8}$$

Clearly,  $D_2^* \subseteq M^*$  for any  $M \in \mathscr{S}$ . Hence  $N \cap D_2^* \subseteq N \cap M^*$ . Conversely,  $M^* \cap N$  admits DA, whence  $(M^* \cap N)$   $D_2 \subseteq D_2^*$ . So (3.8) holds. By (3.2) and (3.8),  $D_2^* \cap N \triangleleft G$ . Now (3.4) and (3.8) yield

$$M \cap N = 1 \qquad \text{for all } M \in \mathcal{S}. \tag{3.9}$$

Let  $M \in \mathscr{S}$ . Then  $[M, D \cap N] \subseteq M \cap N = 1$  by (3.9). By (3.2) and (3.6),  $1 \neq D_1 \cap N \triangleleft G$ . Hence  $N \times D_2 = D_1$  and  $\langle \mathscr{S}(H) \rangle \subseteq C_H(N)$ . Let  $R = C_H(N)$ . By A4,  $R \cap D = D_1$ . Applying A6, with (R, N, 1) in place of (R, L, N), yields a subgroup B normal in RDA = G such that  $BD_1 = R$  and  $B \cap D = D_2$ . By (3.4), B = 1. Hence  $D_2 = 1$  and  $G = RDA = D_1DA = DA$ . So  $\mathscr{S} = \{1\}$ , a contradiction.

LEMMA 3.9. Suppose  $(G, H, A, D, D_1, D_2)$  satisfies hypotheses A1, A2, A3, A4, and A5. Suppose E is a subgroup of A of rank 2 such that A6 is satisfied by  $(C_G(e), C_H(e), A, D, D_1, D_2)$  for all  $e \in E^*$ . Suppose further that if p = 3,  $D_1/D_2$  is a 5-group. Then  $(G, H, A, D, D_1, D_2)$  satisfies hypothesis A.

*Proof.* Suppose false and let G be a counterexample of least possible order. Then there are subgroups N, L, and R of H satisfying the conditions but not the conclusion of A6. Then  $(RDA, RD, A, D, D_1, D_2)$  is a counterexample to this lemma; so G = RDA.

Suppose  $M \cap D \subseteq D_2$  for some normal subgroup M of G contained in H. Let  $\overline{G} = G/M$ . The conditions of the lemma apply to  $(\overline{G}, \overline{H}, \overline{A}, \overline{D}, \overline{D}_1, \overline{D}_2)$ . Hence M = 1. In particular

$$N = 1$$
 and  $D_1 = D_2 \times L = C_D(L).$  (3.10)

A direct consequence of (3.10) is

L is a minimal normal subgroup of G in H. (3.11)

By (3.10) we may and do assume

$$R = C_H(L). \tag{3.12}$$

Next let K be a normal extension of L in G, which is maximal subject to the condition that  $KD_1$  be a proper subgroup of R. Since  $D_1$  is proper in R such a K exists. The hypotheses apply to  $(KDA, KD, A, D, D_1, D_2)$ . Since  $KDA \neq G$ , there is a subgroup B in  $KD_1$  which is normal in KDA, and which

satisfies  $BD_1 = KD_1$  and  $B \cap D = D_2$ . Hence  $KD_1 = BD_1 = B(D_2L) = BL = B \times L$ . Hence  $\Phi(K) \subseteq \Phi(KD_1) = \Phi(B \times L) = \Phi(B)$ ; hence  $\Phi(K) \cap D \subseteq B \cap D = D_2$ . Since  $\Phi(K)$  is normal in G we must have  $\Phi(K) = 1$ . By (3.11), L is an r-group for some prime r.  $O_{r'}(K) \cap D \subseteq O^r(D_1) \subseteq D_2$ , whence  $O_{r'}(K) = 1$ . This implies

K is an elementary abelian 
$$r$$
-group. (3.13)

Let  $K_1/K$  be a chief G factor in R. Then  $R = K_1D_1$ . Let  $E_1 = C_E(K_1/K)$ . Since  $G = K_1DA$  it follows that  $E_1 = C_E(G/K)$ . So  $[K, E_1]$  is normal in G. By (3.13),  $[K, E_1] \cap D = 1$ , whence  $[K, E_1] = 1$ . Hence  $E_1 \subseteq Z(G) \cap E = 1$ . In particular,  $K_1/K$  is non-solvable. Since  $KC_G(e) \neq G$  for any  $e \in E^{\#}$ , hypothesis A applies to  $(KC_G(e), KC_H(e), A, D, D_1, D_2)$  for any  $e \in E^{\#}$ . Then  $KC_R(e) = U_e \times L$ , where  $U_e \triangleleft KC_G(e)$  and  $U_e \cap D = D_2$  for all  $e \in E^{\#}$ . Let W = [K, A] and  $Z = C_K(A)$ . Then  $W = [K, A] \subseteq K \cap [L \times U_e, A] \subseteq K \cap U_e$ . Hence  $K \cap U_e = W \times (Z \cap U_e) = W \times (K \cap D_2)$  is independent of  $e \in E^{\#}$ . By Lemma 2.1,  $W \times (K \cap D_2) \triangleleft G$ . Hence

$$K = L. \tag{3.14}$$

By A5 and (3.14),  $K_1$  is perfect. Let J be a component of  $K_1/L$ . Hence r is a prime divisor of the schur multiplier of J. Hence the conditions of the lemma imply that  $p \ge 5$ . There are only two possibilities: either  $J \cong \mathscr{L}_2(3^p)$ and r = 2, or  $J \cong U_3((2^p)^2)$  and r = 3. Let S be the unique maximal solvable subgroup of H containing D. Theorems 2.10(c, b) and 2.11(a, b), imply that S is well defined and that S contains a sylow r-subgroup of  $K_1$ . The conditions of the lemma apply to  $(SA, S, A, D, D_1, D_2)$ . Since  $SA \neq G$ , hypothesis A6 applies and implies that L has a complement in  $S \cap R$ . Hence L has a complement in a sylow r-subgroup of  $K_1$ . By [4],  $AK_1$  splits over L. Hence,  $K_1 = K_1^{\infty} \times L$ , a contradiction.

THEOREM 3.10. Suppose  $Y \in D(\theta)$  and  $m(A) \ge 3$ . Then  $\theta_Y$  is an A-signalizer functor on G.

**Proof.** It suffices to show  $\theta_Y(C_G(a)) \in E(\theta, Y, \theta(C_G(a)))$  for all  $a \in A^*$ . Theorem 3.3(d) implies this whenever  $\theta(C_G(a)) \in C(\theta, Y)$ . Let us fix  $X = \theta(C_G(a)) \notin C(\theta, Y)$  and prove the result for this X. By Theorem 3.2 we may and do fix  $(X_1, X_2) \in P(\theta, Y)$  such that  $C_A(X_1/X_2)$  has a subgroup E of rank 2. Rename  $Y = D \cap X_2 = D_2$ . Let  $D_0 = D \cap X_1$  and  $D_1 \supset D_2$  satisfy  $D_1/D_2 = F(D_0/D_2)$ . By Theorem 3.4,  $(XA, X, A, D, D_1, D_2)$  satisfies hypotheses A1, A2, A3 and A4. By Theorem 3.6, X satisfies A5. By Theorem 3.5  $(C_{XA}(e), C_X(e), A, D, D_1, D_2)$  satisfies A6 for all  $e \in E^*$ . Moreover, if p = 3, then  $X_1/X_2 \cong nSz(8)$  whence  $D_1/D_2 \cong F(n \operatorname{Frob}(20)) = nZ_5$ . Theorem 3.8 and Lemma 3.9 yield the conclusion. THEOREM 3.11. Suppose m(A) = 3 and  $\theta_{y}$  is complete. Then

- (a)  $\theta_Y^l(G) \in \mathcal{H}_{\theta}(A)$ , and
- (b)  $\theta_Y^m(G) \subseteq N_G(\theta_Y^l(G)).$

*Proof.* (a) This is a direct consequence of Theorem 3.3(e).

(b) Let  $W = \theta_1^l(G)$ ,  $S = \{B \in \mathscr{E}_2(A) | \theta(C_G(B)) \in C(\theta, Y)\}$ , and  $T = \{a \in A^{\#} | \theta(C_G(a)) \in C(\theta, Y)\}$ . Let  $(X_1, X_2) \in C(\theta, Y)$ . Let E be the largest subgroup of A which normalizes each component of  $X_1/X_2$ , and let  $F = C_A(X_1/X_2)$ . Let  $E_1$  be a complement to F in E. Extend  $E_1$  to a complement  $A_1$  of F in A. Let  $U = \{F \times Y | Y \text{ is a complement of } E_1 \text{ in } A_1\}$ . Then  $U \subseteq S$ . Substituting  $(A_1, E_1)$  for (A, B) in Lemma 2.7 yields  $X_1 = \langle X_2, C_{X_1}(V) | V \in U \rangle$  and  $X_2 = \langle C_{X_2}(d) | d \in B^{\#} \rangle$  for any  $B \in U$ . There follows

$$X_1 \subseteq \langle W, \theta_Y^m(C_G(B)) | B \in U \rangle \quad \text{for any} \quad (X_1, X_2) \in C(\theta, Y) \quad (3.15)$$

and

$$W = \langle \theta_Y^l(C_G(t)) | t \in T \rangle. \tag{3.16}$$

Now let  $B \in U$ . Let  $W_B = \langle \theta_Y^l(C_G(b)) | b \in B^* \rangle$  and  $X = \theta_Y^m(C_G(B))$ . By (3.15) it suffices to show  $X \subseteq N_G(W)$ . We shall do this by showing  $X \subseteq N_G(W_B)$  and  $W_B = W$ . Now  $X \subseteq \theta_Y^m(C_G(b)) \subseteq N_G(\theta_Y^l(C_G(b)))$  for all  $b \in B^*$  (see Theorem 3.3(b)). Hence  $X \subseteq N_G(W_B)$ . Let  $t \in T$ . By Theorem 3.3(h),  $\theta_Y^l(C_G(t)) \cap C_G(b) \subseteq W_B$  for any  $b \in B^*$ . Hence by (3.16) and Lemma 2.1, it follows that  $W \subseteq W_B$ . Hence by (3.16),  $W_B = W$ . This completes the proof of Theorem 3.11.

# 4. A FAMILY OF SUBGROUPS

The goal of this section is to prove:

THEOREM 4.1. Suppose  $\theta$  is a locally complete near solvable A-signalizer functor on G. Suppose  $\theta$  is not solvable. Then there is an  $X \in \tilde{\mathbb{M}}_{\theta}(A)$  which satisfies:

(a) X is non-solvable.

(b) Suppose Z,  $U \in \hat{\mathbb{M}}_{\theta}(A)$  satisfies Z Sol(X) = K(X), and  $Z \subseteq U$ . Then Z Sol(U) = K(U).

(c) Suppose  $K(X) \subseteq U \in \hat{\mathcal{H}}_{\theta}(A)$ . Then  $U \subseteq X$ .

(d) Suppose Z,  $T \in \hat{\mathbb{N}}_{\theta}(A)$ , Z Sol(X) = K(X), T is solvable, and Sol $(X) \subseteq T$ . Then Sol(X) is the unique maximal subgroup of T normalized by Z.

DEFINITION. Suppose G is a group.  $\deg_p(G)$  is the least integer n for which G has a faithful permutation representation of degre n.

deg(G) = 0 if G is solvable =  $deg_{a}(G/Sol(G))$  otherwise.

 $\mathscr{B}(G)$  is the set of all subgroups X of G for which K(X) = X holds. Let T be a subset of  $\mathscr{B}(G)$ .

$$\mathcal{B}_{1}(T) = \{X \in T | \deg(X) \ge \deg(Y) \text{ for any } Y \in T\},$$
$$\mathcal{B}_{2}(T) = \{X \in \mathcal{B}_{1}(T) || X/\operatorname{Sol}(X)| \ge |Y/\operatorname{Sol}(Y)| \text{ for any } Y \in \mathcal{B}_{1}(T)\}.$$
$$\mathcal{B}^{*}(T) = \{X \in \mathcal{B}_{2}(T) || X| \ge |Y| \text{ for any } Y \in \mathcal{B}_{2}(T)\}.$$

We write  $\mathscr{B}^*(G) = \mathscr{B}^*(\mathscr{B}(G))$ . Suppose  $\theta$  is an A-signalizer functor on G. Then  $\mathscr{B}(\theta) = \bigcup \{\mathscr{B}(X) | X \in \hat{\mathbb{N}}_{\theta}(A)\}$  and  $\mathscr{B}^*(\theta) = \mathscr{B}^*(\mathscr{B}(\theta))$ .

*Hypothesis B.* G is a simple nonabelian group. Let X be any perfect member of  $\mathscr{B}(\operatorname{Aut}(G))$ . Then deg $(X) < \deg(G)$  if  $X \not\subseteq \operatorname{Inn}(G)$ .

Hypothesis C. G is a group. Each non-abelian simple section of G satisfies hypothesis B.

Let G be a permutation group on a set  $\Omega$ . Let  $\Delta$  be a subset of  $\Omega$  and let S be a set of subsets of  $\Omega$ . We define

$$G_{\Delta} = \bigcap \{G_a | a \in \Delta\},\$$

$$G^{\Delta} = \{g \in G | g(\Delta) = \Delta\},\$$

$$G_S = \bigcap \{G^{\Delta} | \Delta \in S\},\$$

$$G^S = \{g \in G | g(\Delta) \in S \text{ for all } \Delta \in S\},\$$

$$G(S) = G^S/G_S \text{ and } G(\Delta) = G^{\Delta}/G_{\Delta}.$$

We consider G(S) and  $G(\Delta)$  as permutation groups on S and  $\Delta$  respectively in the natural way.

LEMMA 4.2. Suppose 
$$G = K(G)$$
 is a group. Then  $\deg_p(G) \ge \deg(G)$ .

**Proof.** Suppose false. Choose a counterexample G of least possible order. Let  $\Omega$  be a set of order deg<sub>n</sub>(G) on which G acts faithfully.

First suppose G is not transitive on  $\Omega$ . Let  $\Omega$  be the disjoint union of nonempty sets  $\Omega_1$  and  $\Omega_2$ , both of which admit G. Let  $H_2 = G_{\Omega_1}$ , and  $H_1 = C_G(H_2 \operatorname{Sol}(G)/\operatorname{Sol}(G))$ . Let  $H_1^* = H_1/H_1 \cap H_2$ , and  $H = H_1^* \times H_2$ . Then  $H/\operatorname{Sol}(H) \cong G/\operatorname{Sol}(G)$ . Hence  $\operatorname{deg}(H) = \operatorname{deg}(G)$ . Now  $H_1^* \subseteq G(\Omega_1)$  and  $H_2$  acts faithfully on  $\Omega_2$ , whence  $\operatorname{deg}(H_1^*) \leq \operatorname{deg}_p(H_1^*) \leq |\Omega_1|$  and  $\operatorname{deg}(H_2) \leq$   $\deg_p(H_2) \leq |\Omega_2|$ . Hence  $\deg(G) = \deg(H) \leq \deg(H_1^*) + \deg(H_2) \leq |\Omega_1| + |\Omega_2| = \deg_p(G)$ . This is false, whence G is transitive on  $\Omega$ .

Next suppose Sol(G) acts transitively on  $\Omega$ . Let  $a \in \Omega$ . Then  $G = G_a \operatorname{Sol}(G)$ ,  $G_a \neq G$ , and  $G_a/\operatorname{Sol}(G_a) \cong G/\operatorname{Sol}(G)$ . Hence  $\deg(G) = \deg(G_a) \leq \deg_p(G_a) < \deg_p(G)$ . This is false. Let S be the set of orbits of Sol(G) on  $\Omega$ . Then S is a system of imprimitivity for G.

Let  $K = G_S$  and  $Z = C_G(K/\operatorname{Sol}(G))$ . Then  $G/\operatorname{Sol}(G) \cong K/\operatorname{Sol}(K) \times Z/\operatorname{Sol}(Z)$ . Now  $Z/Z \cap K \subseteq G(S)$ , whence  $\deg(Z) = \deg(Z/Z \cap K) \leq |S|$ . Let  $\Delta$  and  $\Gamma \in S$ . Then  $K_{\Delta} \triangleleft K$ . Hence  $(K_{\Delta} \operatorname{Sol}(G))^{\infty} = K_{\Delta}^{\infty}$ . However,  $K_{\Delta} \operatorname{Sol}(G) \triangleleft G$  and G is transitive on S. Hence  $K_{\Delta}^{\infty} = (K_{\Delta} \operatorname{Sol}(G))^{\infty} = (K_{\Gamma} \operatorname{Sol}(G))^{\infty} = K_{\Gamma}^{\infty}$ . Hence  $K_{\Delta}^{\infty} \subseteq G_{\Omega} = 1$ . Thus  $K_{\Delta} \subseteq \operatorname{Sol}(K)$ . So

$$\deg(K) = \deg(K/K_{\Delta}) \leq \deg_{p}(K/K_{\Delta}) \leq |\Delta|.$$

Hence

$$|\Delta ||S| = \deg_p(G) < \deg(G) \leq \deg(K) + \deg(Z)$$
$$\leq |\Delta| + |S|.$$

This is false since  $2 \leq \min\{|\Delta|, |S|\}$ . This completes the proof of Lemma 4.2.

LEMMA 4.3. Suppose G = K(G) is a group. Then

$$\deg(G) = \sum \{ \deg(J) | J \in \mathscr{L}(G/\operatorname{Sol}(G)) \}.$$

*Proof.* We may and do assume Sol(G) = 1 and G is not simple. Choose a set  $\Omega$  of order deg(G) on which G acts faithfully. Suppose G acts primitively on  $\Omega$ . Then  $G = G_1 \times G_2$ ,  $G_1 \cong G_2$  is simple, and  $|\Omega| = |G_1|$ . Let N be a maximal subgroup of  $G_1$ . Then deg(G)  $\leq 2 \deg(G_1) \leq 2 |G_1|$ :  $N = |\Omega|(2/|N|)$ . Hence  $|N| \leq 2$ . This is false.

Now suppose G is transitive on  $\Omega$ . Let S be a system of imprimitivity for G. Let  $K = G_S$ ,  $Z = C_G(K)$ ,  $\Delta \in S$ , and  $H = K_{\Delta}$ . Then  $G = K \times Z$ . Now K is isomorphic to a subgroup of G(S) and so  $\deg(K) \leq |S|$ . Since  $H \lhd G$ , G acts on the fixed points of H; so H = 1. Hence  $K \cong K(\Delta)$ . Hence  $|\Omega| = |\Delta||S| = \deg(G) \leq \deg(K) + \deg(Z) \leq |\Delta| + |S|$ . This is also false.

We have shown that G is not transitive. Let  $\Omega$  be the disjoint union of nonempty sets  $\Omega_1, \Omega_2$ , both of which are fixed blocks of G. Let  $H_2 = G_{\Omega_1}$  and  $H_1 = C_G(H_2)$ . Then  $G = H_1 \times H_2$ , and  $H_i$  acts faithfully on  $\Omega_i$ . Hence  $\deg(H_i) = |\Omega_i|$ . The result follows by induction.

COROLLARY 4.4. Suppose G = K(G) is a group. Suppose  $N \triangleleft G$ . Then  $\deg(G) = \deg(N) + \deg(G/N)$ .

*Proof.* Let  $\overline{G} = G/Sol(G)$ . Then  $(G/N)/Sol(G/N) \cong \overline{G}/\overline{N}$ ,  $N/Sol(N) \cong \overline{N}$ , and  $\overline{G} \cong (\overline{G}/\overline{N}) \times \overline{N}$ . Hence we may suppose Sol(G) = 1. In this case the result is a direct consequence of Lemma 4.3.

LEMMA 4.5. Suppose G satisfies hypothesis C. Suppose X is a perfect element of  $\mathscr{B}_1(\mathscr{B}(G))$ . Then  $X \subseteq K(G)$ .

*Proof.* Suppose false. Choose a counterexample G of least possible order. Then Sol(G) = 1, and G = K(G) X.

Let K = K(G). Suppose  $K = K_1 \times K_2$ ,  $K_i \neq 1$ , and  $K_i \triangleleft G$ . Then  $|G/C_G(K_1)| < |G|$ . Hence

$$\deg(X/C_X(K_1)) = \deg(XC_G(K_1)/C_G(K_1)) \leq \deg(K_1),$$

since  $K_1 \cong K(G/C_G(K_1))$ . By Corollary 4.4,

$$deg(C_X(K_1)) = deg(X) - deg(X/C_X(K_1)) \ge deg(X) - deg(K_1)$$
$$= deg(X) - (deg K - deg(K_2))$$
$$= deg(K_2) + (deg(X) - deg K) \ge deg(K_2).$$

Hence  $(C_X(K_1))^{\infty} \subseteq K_2$ . Hence  $\deg(C_X(K_1)) = \deg(K_2)$ . Hence  $\deg(X/C_X(K_1)) = \deg(X) - \deg(K_2) \ge \deg(K_1)$ . Hence X induces only inner automorphisms on  $K_1$ . By symmetry, X induces only inner automorphisms on  $K_2$ . Hence  $X \subseteq K$ . This is false. So X acts transitively on  $\mathscr{L}(G)$ .

Let  $Y = \hat{K}(G) \cap X$ . Then X/Y acts faithfully on  $\mathscr{L}(G)$ . So deg $(X/Y) \leq$  $|\mathscr{L}(G)|$ . Let  $J \in \mathscr{L}(G)$ , and  $W = C_{Y}(J)$ . Then  $W^{\infty} = (\operatorname{Sol}(X) Y)^{\infty} \triangleleft X$ . Since X acts on the components of G centralized by  $W^{\infty}$ , it follows that  $W^{\infty} = 1.$ Hence W is solvable. Hence  $\deg(Y) = \deg(Y/W) =$ By Corollary 4.4,  $\deg(YC_{IV}(J)/C_{IV}(J)) \leq \deg(J)$ Hypothesis C. by  $\deg(X) \leq |\mathscr{L}(G)| + \deg(J)$ . Now  $\deg(K) = |\mathscr{L}(G)| \deg(J) \geq \deg(X)$ . Hence  $|\mathscr{L}(G)| = 1$ . Put differently, K(G) is simple. Hypothesis C implies that  $X \subseteq K(G)$ , a contradiction.

THEOREM 4.6. Suppose G satisfies Hypothesis C. Then

- (a)  $K(G) = X \operatorname{Sol}(G)$  for any  $X \in \mathscr{B}_2(\mathscr{B}(G))$ , and
- (b)  $\mathscr{B}^*(G) = \{K(G)\}.$

*Proof.* (a) We may and do suppose Sol(G) = 1. Let  $X \in \mathscr{B}_2(\mathscr{B}(G))$ . By Lemma 4.5,  $X^{\infty} \subseteq K(G)$ . Hence  $X^{\infty} = K(G)$ . Hence  $X = Sol(X) \times K(G) = K(G)$ . This proves (a).

(b) This follows directly from (a).

THEOREM 4.7. Suppose  $\theta$  is a near solvable A-signalizer functor on G. Suppose  $\theta$  is not solvable. Let  $X \in \mathscr{B}^*(\theta)$ . Then

(a) X is non-solvable.

(b) Suppose  $Z, U \in \hat{\mathbb{H}}_{\theta}(A)$ ,  $Z \quad Sol(X) = X$ , and  $Z \subseteq U$ . Then  $Z \quad Sol(U) = K(U)$ .

(c) Suppose  $X \subseteq U \in \hat{\mathcal{H}}_{\theta}(A)$ . Then K(U) = X.

*Proof.* (a) This is a direct consequence of  $\theta$  being non-solvable.

(b), (c) Let Z, U be as in (b). Then  $deg(X) = deg(Z) \leq deg(K(U))$  by Theorem 4.6. By definition,  $deg(Z) \geq deg(K(U))$ . Hence  $K(Z)^{\infty} \subseteq K(U)$  by Lemma 4.5. Now  $|K(Z)^{\infty}/Sol((K(Z))^{\infty})| = |X/Sol(X)| \leq |K(U)/Sol(U)|$ . Hence  $K(U) \in \mathscr{P}_2(\mathscr{R}(\theta))$ . Hence  $Z \in \mathscr{P}_2(\mathscr{R}(U))$ . Now (b) holds by Theorem 4.6(a). So suppose X = Z. Then  $X \subseteq K(U)$ , whence  $K(U) \in \mathscr{R}^*(\theta)$ . Hence |X| = |K(U)| and (c) follows from (b).

Proof of Theorem 4.1. Let  $W \in \mathscr{B}^*(\theta)$  and  $X = \theta(N_G(W))$ . By local completeness  $X \in H_{\theta}(A)$ . Theorem 4.7 implies X satisfies parts (a), (b), and (c) of Theorem 4.1. It remains to show (d). Let Z and T be as in part (d). Let M be the unique maximal subgroup of T normalized by Z. Since Z and T admit DA so does M. Hence  $K(X) = \operatorname{Sol}(X) Z \subseteq MZ \in \hat{H}_{\theta}(A)$ . By (c),  $MZ \subseteq X$ . By Theorem 4.7(c), MZ = K(X). Hence  $M = \operatorname{Sol}(X)$  as required.

### 5. The Minimal Counterexample

Henceforth in this paper we shall assume that the main theorem is false and that G is a counterexample of minimal order. Subject to this restriction, we assume that  $|\theta|$  is minimal.

When convenient we shall write  $H_B = \theta(C_G(B))$  if B is a non-trivial subgroup of A. We also write  $H_a = H_{(a)}$  for  $a \in A$  and  $D = H_A$ .

**THEOREM 5.1.** Suppose  $Y \in D(\theta)$ . All of the following hold:

- (a)  $\theta$  is non-solvable,
- (b)  $\theta$  is locally complete,
- (c)  $G = \langle \mathbf{M}_{\theta}(A) \rangle A$ ,
- (d)  $Z(\langle \mathbf{M}_{\theta}(A) \rangle) = 1$ ,
- (e)  $\theta_{Y}$  is complete, and
- (f) either  $\theta_Y^m(G) \in M_{\theta}(A)$ , or  $\theta_Y^l(G) = 1$ .

*Proof.* See [5] for (a). See [6, Lemmas 2.6(1) and 5.1] for (b) and (c). Theorems 3.10, 3.11, 3.3(e), and parts (a) (b) of this theorem yield (e) and (f). It remains to show (d).

Suppose (d) is false. Let  $W = \langle H_{\theta}(A) \rangle$ . Let  $Z_0$  be a minimal normal subgroup of G contained in Z(W). Then  $Z_0$  is an r-group for some prime r. Suppose first that  $r \neq p$ . The procedure of [6, Lemma 2.6(2)], applied to  $G/Z_0$ , yields  $Z_0 C_W(a) = Z_0 \theta(C_G(a))$  for all  $a \in A$ , and W is a p'-group.  $Z_0 \cap \theta(C_G(a)) \lhd G$  for all  $a \in A^{\#}$  and  $Z_0 \notin H_{\theta}(A)$  whence  $Z_0 \cap \theta(C_G(a)) = 1$  for all  $a \in A^{\#}$ . By (a) and Lemma 2.1, a sylow r-subgroup of W splits over  $Z_0$ . By [4],  $Z_0$  has an A-invariant complement  $W_0$ . Let  $\theta_0(C_G(a)) = \theta(C_G(a)) \cap W_0$ . Now  $\theta_0(C_G(a)) \lhd \theta(C_G(a))$ , and  $\theta_0$  is complete. Hence for any  $B \in \mathscr{E}_2(A)$ ,  $\theta(C_G(B)) \subseteq N_G(\langle \theta_0(C_G(b)) | b \in B^{\#} \rangle) = N_G(\theta_0(W))$ . So by (c),  $\theta_0(G) \lhd G$ . By (b),  $\theta_0(G) = 1$ . Hence  $\theta$  is solvable, contrary to (a). Hence r = p. Since  $C_{Z_0}(A) \neq 1$  it follows that  $Z_0 \cong Z_p$  and  $Z_0 \subseteq Z(G)$ . Then

$$Z_0(\theta(C_G(a))) \cap C_G(\langle b, Z_0 \rangle / Z_0) = Z_0 \theta(C_G(a)) \cap C_G(b)$$
$$= Z_0 \theta(C_G(\langle a, b \rangle))$$

for  $a, b \in A^*$ . The argument of [6, Lemma 2.6(2)] again applies, and yields  $W = Z_0 \times O_{p'}(W)$ . Hence  $W = \langle H_{\theta}(A) \rangle \subseteq O^{P}(W) = O_{p'}(W) \neq W$ , a contradiction.

THEOREM 5.2. Suppose  $X \in M_{\rho}(A)$ . Then

- (a) There is an  $a \in A^{\#}$  such that  $K(H_a) \notin X$ .
- (b) There is a  $B \in \mathscr{E}_2(A)$  such that  $K(H_B) \not\subseteq X$ .

*Proof.* Let  $a \in A^{\#}$  and  $a \in B \in \mathscr{E}_{2}(A)$ . Then  $C_{G}(B) \cap K(H_{a}) \subseteq K(H_{B})$ . Hence

$$K(H_a) = \langle K(H_a) \cap C(B) | a \in B \in \mathscr{E}_2(A) \rangle \subseteq \langle K(H_E) | E \in \mathscr{E}_2(A) \rangle.$$

Hence it suffices to prove (a).

Suppose that (a) is false. Choose  $X \in M_{\theta}(A)$  such that  $K(H_a) \subseteq X$  for all  $a \in A^{\#}$ . Let  $B \in \mathscr{E}_2(A)$ . By Lemma 2.15,  $C_{K(X)}(b) \subseteq K(H_b)$  for all  $b \in B^{\#}$ . Let  $W = \langle K(H_b) | b \in B^{\#} \rangle$ . Then  $K(X) \subseteq W \subseteq X$  and  $H_B \subseteq N_G(K(W))$ . By Lemma 2.15, K(W) = K(X). Hence  $H_B \subseteq N_G(K(X))$ . Local completeness of  $\theta$  now yields a contradiction.

THEOREM 5.3.  $D(\theta) = \{1\}$  and  $\theta_1^m(G) \notin M_{\theta}(A)$ .

**Proof.** Let  $S = \theta_{sol}(G)$ . By Theorems 4.1 and 5.1 there is a subgroup W such that:

- (a)  $W \in \tilde{\mathcal{H}}_{\theta}(A)$ .
- (b) W is non-solvable

(c) Suppose  $K(W) = Z \operatorname{Sol}(W)$ ,  $Z \subseteq \widehat{\mathcal{H}}_{\theta}(A)$ , and  $Z \subseteq U \in \widehat{\mathcal{H}}_{\theta}(A)$ . Then  $K(U) = Z \operatorname{Sol}(U)$ .

(d) Suppose  $K(W) \subseteq U \in \hat{\mathbb{N}}_{\theta}(A)$ . Then  $U \subseteq W$ .

(e) Suppose  $Z \in \hat{\mathcal{H}}_{\theta}(A)$  and  $Z \operatorname{Sol}(W) = K(W)$ . Then  $\operatorname{Sol}(W)$  is the unique maximal subgroup of S normalized by Z.

Suppose  $\theta_Y^m(G) \in \mathcal{H}_{\theta}(A)$  for all  $Y \in D(\theta)$ . Let  $R = \{E \in \mathscr{E}_1(A) | H_E \cap W$  is nonsolvable  $\}$  and  $T = \mathscr{E}_1(A) - R$ . Let  $E \in R$ . By (a), there is  $(X_1, X_2) \in P(\theta)$ such that  $X_1 \subseteq H_E \cap W$ . Let  $Y = D \cap X_2$ . Then W,  $H_E \in C(\theta, Y)$ . Let  $V = \theta_Y^m(G)$ . By assumption and Theorem 3.3(c),  $\langle K(W), K(H_E) \rangle \subseteq$  $V \in \hat{\mathcal{H}}_{\theta}(A)$ . By (d),  $K(H_E) \subseteq V \subseteq W$ . Hence

$$K(H_E) \subseteq W$$
 for all  $E \in R$ . (5.1)

There follows by Theorem 5.2(a)

$$T \neq \emptyset.$$
 (5.2)

Let  $A^* = \langle T \rangle$ . By (5.2),  $A^* \neq 1$ . Each member of T fixes each component of K(W)/Sol(W). Hence  $A^* \subseteq \hat{K}(WA)$ . Hence  $W = K(W) C_W(A^*)$ . Since  $C_W(A^*)$  is solvable there follows by (5.1),

$$W/K(W)$$
 is solvable and  $K(H_F)^{\infty} \subseteq K(W)$  for all  $E \in \mathbb{R}$ . (5.3)

For each  $B \in \mathscr{E}_2(A)$  define

$$W_B = \langle (K(H_E))^\infty | E \in R \text{ and } E \subset B \rangle.$$

By Lemma 2.15 and (5.1),  $K(C_{W}(E)) = K(H_{E})$  for all  $E \in \mathbb{R}$ . Hence by (5.3),  $(K(H_{E}))^{\infty} = (C_{K(W)}(E))^{\infty}$  for all  $E \in \mathbb{R}$ . Hence

$$W_{B} = \langle (K(W) \cap C(b))^{\infty} | b \in B^{\#} \rangle.$$

Hence by Lemma 2.7 applied to each B orbit of  $\mathcal{L}(W/Sol(W))$ 

 $W_B \in \hat{\mathcal{H}}_{\theta}(A)$  and  $W_B \operatorname{Sol}(W) = K(W)$  for all  $B \in \mathscr{E}_2(A)$ . (5.4)

By the initial definition of  $W_B$  there follows

$$\theta(C_G(B)) \subseteq \theta(N_G(W_B))$$
 for all  $B \in \mathscr{E}_2(A)$ . (5.5)

By (e) and (5.5),  $C_S(B) \subseteq N_G(\text{Sol}(W))$  for all  $B \in \mathscr{E}_2(A)$ . Lemma 2.1 and Theorem 5.1(b) implies that  $S \subseteq W$  if  $\text{Sol}(W) \neq 1$ . By (5.5), (5.4), and local completeness we have,

$$Sol(W) \neq 1.$$

Hence

$$S \subseteq W. \tag{5.7}$$

By Theorem 5.2(b) there is a  $B \in \mathscr{E}_2(A)$  such that  $K(H_B) \notin W$ . Fix this B and let  $L_B = \theta(N_G(W_B))$ . By (5.5),  $\langle H_B, W_B \rangle \subseteq L_B \in \tilde{H}_{\theta}(A)$ . Let  $L_B^{\infty}/M$  be a chief factor of  $L_B^{\infty}DA$ . Let  $Y = C_D(L_B^{\infty}/M)$ , and  $(X_1, X_2) = (L_B^{\infty}Y, MY)$ . Then  $(X_1, X_2) \in P(\theta)$  and  $Y = X_2 \cap D$ . Hence  $X_1 \subseteq \theta_Y^m(G) \in H_{\theta}(A)$ . Let  $D_1 = D \cap Sol(W)$ . Then by (c),

$$D_1 \subseteq C_D(K(W)/\operatorname{Sol}(W)) = C_D(W_B/\operatorname{Sol}(W_B))$$
$$= C_D(K(L_B)/\operatorname{Sol}(L_B)) \subseteq Y.$$

Hence Sol(W)  $\subseteq$  Sol(W)  $Y \subseteq \theta_Y(G) \subseteq \theta_Y^m(G)$ . By (d),  $\theta_Y^m(G) \subseteq W$ . Hence  $(H_B)^{\infty} \subseteq (L_B)^{\infty} \subseteq X_1 \subseteq \theta_Y^m(G) \subseteq W$ . By (5.7),  $H_B = (H_B)^{\infty} (H_B \cap S) \subseteq W$ , a contradiction. Hence

$$\theta_Y^m(G) \notin \mathcal{M}_{\theta}(A)$$
 for some  $Y \in D(\theta)$ . (5.8)

Fix  $Y \in D(\theta)$  such that  $\theta_Y^m(G) \notin M_{\theta}(A)$ . Theorem 5.1(f) implies that  $\theta_Y^l(G) = 1$ . In particular, Y = 1. Let  $a \in A^{\#}$ . Suppose  $H_a \in C(\theta, 1)$ , then  $\theta_1^m(H_a) = K(H_a)$  is semi-simple. In particular,  $(S \cap H_a) \theta_1^m(H_a)$  is a group. Define  $\theta_1(C_G(a)) = (S \cap H_a) \theta_1^m(H_a)$ . Let  $b \in A$ . Suppose  $H_b \notin C(\theta, 1)$ . Define  $\theta_1(C_G(b)) = H_b \cap S$ . An application of Lemma 2.2 and Theorem 3.3 shows that  $\theta_1$  is an A-signalizer functor. Since  $\theta_1$  cannot be complete, it follows that  $|\theta| = |\theta_1|$ . Hence  $\theta = \theta_1$ . This proves the result.

LEMMA 5.4. Suppose X is a subgroup of G generated by some elements of  $\mathcal{H}_{\theta}(A)$ . Then either

- (i) X contains every element of  $\mathbb{M}_{\theta}(A)$  or
- (ii)  $X \in \mathcal{M}_{\theta}(A)$ .

Proof. See [5, Lemma 5.4].

### 6. The Structure of $\theta$

We continue use of  $S = \theta_{sol}(G)$ . For the convenience of the reader, we summarize in Theorems 6.1 and 6.2 all the significant structural features of  $\theta$  established in this section.

First we shall introduce some important new notation which we shall fix for the rest of the paper.

$$\begin{split} K_B &= K(H_B) \text{ for any } B \leq A, \\ R &= \{B \mid 1 \neq B \subseteq A, H_B \in C(\theta, 1)\}, \\ R_i &= \{B \in R \mid m(B) = i\} \text{ for } i = 1 \text{ or } 2, \\ D_0 &= D \cap K_B \text{ for any } B \in R \text{ (see Lemma 6.6)}, \\ D_1 &= F(D_0), \\ D_2 &= C_{D_0}(D_0/D_1), \\ S_1 &= \theta(C_G(D_1)), \\ S_2 &= \theta(C_G(D_2S_1/S_1)), \\ S_3 &= \theta(C_G(D_0S_1/S_1)). \end{split}$$

Finally, let

$$\theta^*(C_G(a)) = H^\infty_a(H_a \cap S_2)$$
 for any  $a \in A^*$ .

THEOREM 6.1. There is a distinguished  $E \in \mathscr{E}_1(A)$  and a simple group J such that all of the following hold:

(a)  $H_E$  is solvable.

(b) Let  $f \in A - E$ . Then  $K_f \cong pJ$ ,  $\langle E, f \rangle = A \cap \hat{K}(H_fA)$ ,  $H_f = \hat{K}(H_f)$ , and  $H_f/K_f$  is a  $\{2, 3\}$ -group.

(c) Suppose  $f \in A - E$ ,  $X \in \mathcal{H}_{\theta}(A)$  and  $K_f \subseteq X$ . Then  $X \subseteq H_f$ .

(d)  $D_0$  and  $D_2$  are Frobenius groups with common Frobenius kernel  $D_1$ .

(e) Suppose  $E \subset B \in \mathscr{E}_2(A)$ . Let  $E \times F = B$ . Then  $S_3 \cap H_B =$  $\times \{J \cap S_3 \cap H_E | J \in \mathscr{L}(H_F)\} \cong pD_2$ .

THEOREM 6.2. Let E be as in Theorem 6.1. There is an  $r \in \pi(\theta)$  and an  $S_r(A)$ -subgroup V which satisfies:

- (a)  $V \not\subseteq S$ ,
- (b) V is abelian,
- (c)  $1 \neq C_V(f)$  is a sylow r-subgroup of  $H_f$  for all  $f \in A E$ .
- (d) Let  $f \in A E$  and  $F = \langle E, f \rangle$ . Then

$$\langle C_V(f), H_F \cap S_3 \rangle = K_f.$$

LEMMA 6.3. Suppose B is a non-trivial subgroup of A such that  $H_B$  is non-solvable. Then

- (a)  $H_B/K(H_B)$  is solvable, and
- (b)  $K(H_B)$  is the unique minimal normal subgroup of  $K(H_B)$  DA.

*Proof.* Theorems 5.1(f) and 5.3 yield  $\theta_1^l(G) = 1$  and  $D(\theta) = \{1\}$ . The conclusion of the lemma is simply a more appropriate formulation of these facts. The details follow directly from Theorem 3.3(a, c).

LEMMA 6.4. Suppose  $B \in R_2$ . Let  $W = \langle K(H_b) | b \in B^* \rangle$ . Then  $W = \langle H_{\theta}(A) \rangle$ .

*Proof.* Let  $f \in A^{\#}$  such that  $H_f$  is non-solvable. By Lemma 6.3,  $\theta_1^m(H_f) = K(H_f) = \langle (K(H_f) \cap C(b))^{\infty} | b \in B^{\#} \rangle \subseteq W$ . Hence by Theorem 5.3,  $W \notin H_{\theta}(A)$ . Now Lemma 5.4 implies the conclusion.

Theorem 3.2 yields

LEMMA 6.5. Suppose  $F \in R_1$ . Then there is a  $B \in R_2$  which contains F. In particular,  $R_2 \neq \emptyset$ .

LEMMA 6.6.  $D_0$  is well defined.

*Proof.* Let  $E, F \in R$ . Write  $E \sim F$  if and only if  $D \cap K(H_E) = D \cap K(H_F)$ . Then  $\sim$  is an equivalence relation. Clearly  $E \sim F$  if  $E \subseteq F$ . Hence by Lemma 6.5, R is an equivalence class.

LEMMA 6.7. Suppose  $B \in R$ . Let  $H = H_B$ ,  $K = K_B$ , and  $M = S \cap K$ . Then

- (a)  $C_H(D_1) = F(M)$  is abelian,
- (b)  $M \subseteq C_H(D_2F(M)/F(M)) \subseteq \hat{K}(H)$ , and
- (c)  $C_H(D_0F(M)/F(M)) \subseteq M$ .

*Proof.* By Theorem 2.10(c),  $C_k(D_1) = F(M)$ . Now (a) follows by Lemma 2.16(c). Part (b) is a direct consequence of Lemma 2.16(a). Part (c) results from Lemma 2.16(b).

LEMMA 6.8. Suppose  $B \in \mathbb{R}$ . Let  $J \in \mathcal{L}(H_B)$ . Suppose  $J \not\cong L_2(3^p)$ . Then

(a) There is an involution in  $D_0$  which acts fixed point freely on  $D_1$ .

(b) Suppose t is any involution which satisfies (a). Then t acts fixed point freely on  $S \cap K(H_B)$ .

*Proof.* For each  $K \in \mathscr{L}(H_B)$  let  $K^{\otimes} = \langle K^A \rangle \cap D$ . Let  $A_1 = A \cap \hat{K}(AH_B)$ , and  $A_0 = C_A(K(H_B))$ . Then  $Z_p \cong A_1/A_0$  induces an automorphism group of order p on  $K \in \mathscr{L}(H_B)$ . By Lemma 2.9,  $K^{\otimes} \cong C_K(A_1/A_0)$ .  $D_0 =$  $\times \{K^{\otimes} | K \in \mathscr{L}(H_B)\}$ . By Theorem 2.8, an involution t of  $D_0$  acts fixed point freely on  $D_1$  if and only if  $t = t_1 t_2 \cdots t_n$ ,  $1 \neq t_i \in U_i$  and  $\{K^{\otimes} | K \in \mathscr{L}(H_B)\} =$  $\{U_1, U_2, ..., U_n\}$ . Hence it suffices to show that if d is an involution on  $K^{\otimes}$ , then  $C(d) \cap \langle K^A \rangle \cap S = 1$ . This is implied by Lemma 2.9 and Theorem 2.8(e, f). LEMMA 6.9. Suppose  $B \in \mathbb{R}$ . Let  $J \in \mathcal{L}(H_B)$ . Suppose  $J \cong L_2(3^p)$ . Then  $F(K(H_B) \cap S)$  is the unique minimal normal subgroup of  $(H_B \cap S) A$ .

*Proof.* In the proof of Theorem 2.8 we showed that  $S \cap J \cong Alt(4)$  the alternating group on four letters. By Lemma 6.3(b),  $F(K(H_B) \cap S)$  is the unique minimal normal subgroup of  $(H_B \cap S)A$  in  $K(H_B)$ . Now Lemma 6.7(a) implies the conclusion.

LEMMA 6.10. Suppose  $E, F \in R$ . Suppose  $J \in \mathscr{L}(H_E)$  and  $K \in \mathscr{L}(H_F)$ . Then  $J \cong K$ .

*Proof.* Define an equivalence relation  $\sim$  on R by:  $U \sim Z$  if and only if  $H_U$  and  $H_Z$  have isomorphic components. Then  $U \sim Z$  if  $U \subseteq Z$ . Lemma 6.5 implies that R is an equivalence class.

LEMMA 6.11. Suppose  $E \in R$ . Then  $S_1 \cap H_E = F(K(H_E) \cap S)$ .

Proof. This is a direct consequence of Lemma 2.16(c).

THEOREM 6.12.  $S_1$  is abelian.

*Proof.* Suppose first that  $J \cong L_2(3^p)$  whenever  $E \in R$  and  $J \in \mathscr{L}(H_E)$ . By Lemma 6.5 choose  $B \in R_2$ . Now fix a minimal normal subgroup Z of SA in S. By Lemma 2.1, there is a  $b \in B^{\#}$  such that  $C_Z(b) \neq 1$ . By Lemma 6.9,  $C_Z(b) = F(K(H_b) \cap S)$ . Hence  $C_Z(B) \neq 1$ . Then  $C_Z(e) = F(K_e \cap S)$  for all  $e \in B^{\#}$ . Lemmas 2.1 and 6.11 imply  $Z = S_1$ . Hence  $S_1$  is abelian.

By Lemma 6.10, we may suppose that  $J \not\cong L_2(3^p)$  whenever  $E \in R$  and  $J \in \mathscr{L}(H_E)$ . By Lemma 6.8(a) choose an involution  $t \in D_0$  which acts fixed point freely on  $D_1$ . Then t acts on  $S_1$ . Let  $U = C_{S_1}(t)$ . Suppose  $U \neq 1$ . Fix  $B \in R_2$ . Then B normalizes U. Hence there is a  $b \in B^{\#}$  such that  $C_U(b) = V \neq 1$ . By Lemma 6.11,  $V \subseteq F(K_b \cap S) \cap C(t)$ , contrary to Lemma 6.8(b). Hence  $C_{S_1}(t) = 1$ . So  $S_1$  is abelian.

LEMMA 6.13. Suppose  $B \in R$ . Then  $K(H_R) \cap S \subseteq N(S_1)$ .

*Proof.* Lemma 6.11 and Theorem 6.12 imply that  $S_1 = \theta(C_G(F(K(H_B) \cap S))))$ . The result follows directly.

LEMMA 6.14. Suppose  $B \in R$ . Then  $K_B \cap S \subseteq H_B \cap S_2 \subseteq \hat{K}(H_B)$ .

*Proof.* This follows from Lemma 6.13 and Lemma 6.7(b).

Theorem 6.15.  $\theta = \theta^*$ .

*Proof.* Let  $a, b \in A^{\#}$ , and  $B = \langle a, b \rangle$ . Suppose  $B \in R$ . Then by

Lemma 3.6(b),  $K_a \cap C_G(b) \subseteq K_b$ , whence  $\theta^*(C_G(a)) \cap C_G(b) = (K_a \cap H_b)$  $(H_a \cap S_2) \cap H_b) \subseteq K_b(H_b \cap S_2) = \theta^*(C_G(b)).$ 

Next suppose  $B \notin R$ . Then by Lemma 6.14,  $\theta^*(C_G(a)) \cap C_G(b) = S_2 \cap H_B \subseteq \theta^*(C_G(b))$ . Hence  $\theta^*$  is an A-signalizer functor.

Lemma 6.4 yields that  $\theta^*$  is not complete. Minimality of  $|\theta|$ , among incomplete signalizer functors, forces  $\theta = \theta^*$ , as required.

LEMMA 6.16. Let  $\langle a \rangle \in R_1$ . Then  $H_a/K_a$  is a  $\{2, 3\}$ -group.

*Proof.* If  $J \not\equiv U_3((2^p)^2)$ , then  $D_2 = D_0$  and  $S_2 = S_3$ . Then Lemma 6.7(c) implies that  $H_a = K_a$ . Hence suppose  $J \cong U_3((2^p)^2)$ . By [15], the outer automorphism group of J is isomorphic to  $D_6 \times Z_p$ . The result now follows by Lemma 6.11 and Theorem 6.15.

THEOREM 6.17. (a) There is a unique  $E \in \mathscr{E}_1(A) - R_1$ . (b)  $\langle E, f \rangle = (\hat{K}(AH_f)) \cap A$  for all  $f \in A - E$ .

**Proof.** Let  $A^* = \langle \mathscr{E}_1(A) - R_1 \rangle$ . Then  $\langle f, A^* \rangle \subseteq \hat{K}(AH_f)$  for all  $f \in A^*$ . Suppose first that  $A^* = A$ . Then Theorem 6.15 and Lemma 6.3(b) imply that  $K_F$  is simple for any  $F \in R$ . By Lemma 6.5, choose  $B \in R_2$ . Then  $H_b = H_B$  for all  $b \in B^*$ . But then  $H_B = \langle H_{\theta}(A) \rangle$ . This is false. Hence  $A^* < A$ . Suppose next that  $m(A^*) = 2$ . Then  $K_f$  is simple for any  $f \in A - A^*$ . Let  $f \in A - A^*$ , and extend  $\langle f \rangle$  to  $B \in R_2$  by Lemma 6.5. Then  $K_b \subseteq K_B$  for all  $b \in B - A^*$ . Hence  $K_{B \cap A^*} = \langle H_{\theta}(A) \rangle$ . This is also false, whence  $A^*$  is cyclic.

Let  $R^0 = \{F \in R_1 | K_F \text{ is simple}\}$  and  $R^1 = R_1 - R^0$ . Then since D is solvable,  $K_F$  has p-components for each  $F \in R^1$ . For each  $F \in R$ , let  $F_C = C_A(H_F)$  and  $F_N = \hat{K}(AH_F) \cap A$ . Suppose  $\langle R^1 \rangle < A$ . Then by Lemma 6.5, there is a  $B \in R_2$  such that  $U = B \cap \langle R^1 \rangle$  is cyclic. Then  $\langle H_b | b \in B^{\#} \rangle$  equals  $H_B$  if U = 1 and equals  $K_U$  otherwise. This is false. Hence  $\langle R^1 \rangle = A$ . Suppose that  $R^0 \neq \emptyset$ . Fix an  $F \in R^0$  and  $L \in R^1$  such that  $L \subseteq A - F_C$ . Then  $S_3 \cap H_F \cap C(L) = D_2$ . Since  $H_{LF}$  is solvable,  $F \subseteq L_N$ . Hence  $S_3 \cap C(F) \cap H_L \cong pD_2$ . Then balance yields  $D_2 = H_F \cap C(L) \cap S_3 = C(F) \cap H_L \cong pD \neq 1$ , a contradiction. Hence (b) holds.

It remains to show  $R_1 \neq \mathscr{E}_1(A)$ . Suppose by way of contradiction that  $R_1 = \mathscr{E}_1(A)$ . Fix F,  $L \in R_1$  with  $FL \in R_2$ . Then  $F \not\subset L_N$  and  $L \not\subset F_N$ . Let  $B = F_N \cap L_N$ . Then  $F_N = B_N = L_N$ , a contradiction. This completes the proof of Theorem 6.16.

LEMMA 6.18. Let  $B \in R$  and  $X \in M_{\theta}(A)$ . Suppose X is solvable and X admits  $K_B$ . Then X = 1.

*Proof.* We may and do suppose  $B \in R_2$ . Let  $e \in B^{\#}$ . Then  $C_X(e)$  admits  $K_B$ . Hence  $C_X(e) \cap K_e$  admits  $K_B$ . Thus  $C_X(e) \cap K_e = 1$ . By Lemma 2.16,  $C_X(e) = 1$ . Hence X = 1.

**Proof** of Theorem 6.1. Lemma 6.16 and Theorem 6.17 yield Theorem 6.1(a, b). Parts (d) and (e) are direct consequences of (a), (b) and Lemma 6.6. It remains to show (c).

Let  $f \in A - E$ , and  $K_f \subseteq X \in M_{\theta}(A)$ . By Lemma 2.16,  $K_f \subseteq K(X)$ . If  $K(X) = K_f$ , then  $f \in C_G(K(X)) \cap N_G(X) = C_G(X)$ , whence  $X \subseteq H_f$ . It is therefore sufficient to show if X = K(X), then  $X = K_f$ . So suppose X = K(X). By Lemma 6.18, Sol(X) = 1. Let  $\langle f \rangle = F \subset B \in R_2$ . Let  $e \in B - F$ . Now  $K_B = H_F \cap C_G(e) \subseteq C_X(e)$ . However,  $K_B$  is a maximal A-invariant subgroup of  $K_e$ . Hence  $C_X(e) \cap K_e = K_B$  or  $K_e$ . By Lemma 6.4,  $C_X(e) \cap K_e = K_B$  for some  $e \in B - F$ . Hence X is simple or  $X \cong pK_B \cong K_f$ . Thus X is simple or  $X = K_f$ . If X is simple, then  $C_A(X) = B_1$  has rank at least 2 since X is near p-solvable. Thus  $X = C_X(B \cap B_1) \cong K_B$ . This is ridiculous. Hence  $X = K_f$  as required.

Proof of Theorem 6.2. Assume the notation of Theorem 6.1. Then  $\pi(H_f) = \pi(J)$  for all  $f \in A - E$ . By Theorem 2.8(o) and Theorem 2.10 there is an  $r \in \pi(H_f) - \pi(H_f \cap S)$  for all  $f \in A - E$ . Let V be an  $S_r(A)$ -subgroup of G. Theorem 2.10(o) and Theorem 6.1(b) implies

$$H_f \cap V = K_f \cap V$$
 is abelian for all  $f \in A - E$ . (6.1)

Let  $B \in R_2$ . By choice of r it follows that  $(V \cap H_E) \cap C_G(b) = 1$  for any  $b \in B$ . Hence  $V \cap H_E = 1$ ; so Lemma 2.5 and (6.1) imply that V is abelian. Lemma 2.3 implies (c).

It remains to show (d). Let L be a component of  $H_f$ . By Theorems 2.8d and 2.10,  $L \cap S$  is the unique maximal subgroup of L containing  $L \cap S_2$ . By (c) and the choice of r,  $C_{\nu}(f) \cap L \not \subseteq L \cap S$ . Hence  $L \subseteq \langle C_{\nu}(f), K_f \cap S_3 \rangle$ . This completes the proof of (d) and Theorem 6.2.

### 7. The Structure of G.

For the remainder of the paper, let  $W = \langle M_{\theta}(A) \rangle$  and let E be the unique cyclic sugroup of A such that  $H_E$  is solvable.

THEOREM 7.1. Suppose B is a subgroup of A of rank 2 which contains E. Then W has subgroups  $W_i$ ,  $1 \le i \le p$ , such that all of the following hold:

(a)  $W = W_1 \times W_2 \times \cdots \times W_n$ .

(b) A permutes  $\{W_i | 1 \le i \le p\}$  transitively, and  $B = N_A(W_i)$  for each *i*.

(c)  $K_b = X \{ W_i \cap K_b | 1 \leq i \leq p \}$  for all  $b \in B - E$ .

**Proof.** Theorem 6.1(d, e) imply that  $H_B \cap S_3$  is the direct product of p unique indecomposable subgroups. Let  $\mathscr{B}$  be the set of indecomposable subgroups. Hence for any  $b \in B - E$ ,

$$\mathscr{B} = \{ K \cap S_3 \cap H_E | K \in \mathscr{L}(H_b) \}$$

$$(7.1)$$

Let  $r \in \pi(\theta)$  and V be an  $S_r(A)$ -subgroup of G which satisfies the conclusion of Theorem 6.2. For each  $F \in \mathscr{B}$ , let  $V_F = \bigcap \{C_V(H) | H \in \mathscr{B}$ , and  $H \neq F\}$  and  $W_F = \langle F, V_F \rangle$ . Since V is abelian, there follows

$$[W_F, W_H] = 1 \qquad \text{if} \quad F \neq H. \tag{7.2}$$

Let  $\hat{W} = \langle W_F | F \in \mathscr{B} \rangle$ . Let  $b \in B - E$ ,  $K \in \mathscr{L}(H_b)$ , and  $F \subseteq C_K(E)$ . By (7.1),  $K \cap V \subseteq V_F$ . Hence  $C_V(b) \subseteq \hat{W}$  by Theorem 6.2(c). Theorem 6.2(d) implies that  $K_b \subseteq \hat{W}$ . Theorem 6.1(c) and Lemma 5.4 imply that  $\hat{W} = W$ . Theorem 5.1(d) and (7.2) yield that  $W = X \{W_F | F \in \mathscr{B}\}$ . Now Theorem 6.2(d) yields (c).

It remains to prove (b). *B* fixes each element of  $\mathscr{B}$  and normalizes *V*, whence *B* normalizes  $W_F$  for each  $F \in \mathscr{B}$ . The set  $\mathscr{B}$  is acted on transitively by *A*, and *A* normalizes *V*. Hence *A* acts transitively on  $\{W_F | F \in \mathscr{B}\}$ . This completes the proof of Theorem 7.1.

THEOREM 7.2. G does not exist.

*Proof.* Let B be a complement of E in A. Let  $B = F_1 F_2$  where each  $F_i$  is cyclic. Let  $B_i = F_i E$  for i = 1, 2. By Theorem 7.1, there are sets  $\mathscr{B}_j = \{W_i^j | 1 \le i \le p\}, j = 1$  or 2, such that  $W = \times \mathscr{B}_j, B_j$  normalizes each  $W_i^j$ , A is transitive on  $\mathscr{B}_j$ , and  $K_{F_j} = \times \{W_i^j \cap K_{F_j} | 1 \le i \le p\}$ . Let  $W_{i,j} = W_j^1 \cap W_j^2$  for  $1 \le i, j \le p$ . Since  $F_i$  fixes each member of  $\mathscr{B}_i$  and acts transitively on  $\mathscr{B}_{3-i}$ , it follows that B acts transitively on  $\{W_{i,i} | 1 \le i, j \le p\} = \mathscr{B}$ . Let  $Z = \langle \mathscr{B} \rangle$ . Then

$$[W, W] = [\langle \mathscr{B}_1 \rangle, \langle \mathscr{B}_2 \rangle] = \langle [W_i^1, W_j^2] | 1 \leq i, j \leq p \rangle \subseteq Z.$$

By Theorem 6.4, W is generated by perfect subgroups, whence W is perfect. So Z = W. Clearly,  $[W_{i,i}, W_{s,i}] = 1$  if  $(i, j) \neq (s, t)$ . Hence

$$W = X \mathscr{B} \tag{7.3}$$

B acts regularly on 
$$\mathscr{B}$$
 (7.4)

Let  $K = K_B$  and  $K_{F_i} = K_i$  for i = 1 or 2. By Theorem 6.1, K is simple and  $K_i \cong pK$ . Let  $W^* = X \{ \operatorname{Proj}_U(H) | U \in \mathscr{B} \}$ . Then

$$W^* \cong p^2 K. \tag{7.5}$$

Let  $W_i^* = W^* \cap W_i^1$ . For subgroups U of  $W^*$  let  $p_i: U \to W_i^*$  be the projection map of U into  $W_i^*$ . For subgroups V of W let  $p^i: V \to W_i^1$  be the projection map of V into  $W_i^i$ . Since  $K_1 = X \{K_1 \cap W_i^1 | 1 \le i \le p\}$ , and  $F_2$  is transitive on  $\mathscr{B}_1$  and  $K = K_1 \cap C(F_2)$ , it follows that  $K_1 = X \{p^i(K) | 1 \le i \le p\}$ . Since  $F_2$  is transitive on  $\{W_i^* | 1 \le i \le p\}$  and  $H \subseteq C_{W^*}(F_2)$ , it follows that  $K \cong p_i(K) \subseteq p^i(K) \cong K$  for  $1 \le i \le p$ . Hence  $K_1 \subseteq W^*$ . Similarly,  $K_2 \subseteq W^*$ . Lemma 5.4 and Theorem 6.1(c) now yield

$$W^* = W. \tag{7.6}$$

By (7.5) and (7.6), W is near p-solvable. Let  $b \in B^*$ . By (7.4), (7.5), (7.6), and Theorem 6.1, it follows that  $pK \cong K_b \subseteq C_w(b) \cong pK$ . Hence  $C_w(b) = H_b$  for all  $b \in B^*$ . Let  $a \in A^*$ . Then

$$C_{W}(a) = \langle C_{W}(a) \cap C(b) | b \in B^{*} \rangle$$
$$\subseteq \langle H_{b} \cap C_{W}(a) | b \in B^{*} \rangle \subseteq H_{a}.$$

Hence  $\theta$  is complete, a contradiction. This contradiction completes the proof of the main theorem of this paper.

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