Modeling of three-dimensional cutting forces in micro-end-milling

Chengfeng Li¹, Xinmin Lai¹, Hongtao Li¹ and Jun Ni²

- ¹ School of Mechanical and Dynamic Engineering, Shanghai Jiao Tong University, Shanghai, 200240, People's Republic of China
- ² Department of Mechanical Engineering and Applied Mechanics, University of Michigan, Ann Arbor, MI 48109, USA

E-mail: abellcf@sjtu.edu.cn

Received 4 October 2006, in final form 3 January 2007 Published 22 February 2007 Online at stacks.iop.org/JMM/17/671

Abstract

A new nominal uncut chip thickness algorithm for micro-scale end-milling is proposed by considering the combination of an exact trochoidal trajectory of the tool tip and tool run-out, and then the actual uncut chip thickness may be obtained from a comparison between the current accumulative uncut chip thickness and the minimum chip thickness. Due to the intermittency of the chip formation, the milling process is divided into an elastic-plastic deformation regime and a chip formation regime dominated by ploughing forces and shearing forces, respectively, and three-dimensional cutting forces are modeled according to different regimes. Based on the modeling and simulation technologies introduced, a simulation system for the prediction of three-dimensional cutting forces of a micro-scale end-milling process is developed. The simulation results show a very satisfactory agreement with those data from milling experiments.

(Some figures in this article are in colour only in the electronic version)

1. Introduction

The development of miniaturized technologies has become a global phenomenon that continues to make marked impact across a broad application domain. Miniature components are needed for a wide range of applications such as avionics, biotechnology, communications, electronics, medicine, optics and so on. Miniaturization of many consumer products and esthetic goals drastically increased micro-end-milling operation applications in the conventional shop floor.

In most micro-end-milling operations, the diameters of a micro cutting tool vary from 0.1 mm to 1 mm, and stress variation on the tiny shaft of the micro cutting tool is much higher than that on a conventional scale tool, which drastically shortens the tool's life. If the cutting conditions are not selected properly, micro-tools will be broken in a few seconds. So a precise estimation of the cutting forces of micro-end-milling plays an important role in guiding the selection of cutting conditions in order to economically obtain high machining quality and ensure as long a tool life as possible.

Tlusty and MacNeil (1975) developed closed-form expressions for the milling force, assuming a circular tool path

and a constant proportionality between the cutting force and chip load. Other researchers modeled the end-milling process by discretizing the tool into axial slices and considering both circular and trochoidal tool paths (Kline *et al* 1982, Montgomery and Altintas 1991).

However, conventional milling process models have been applied to micro-milling with only limited success. At the micro-scale, there are phenomenological differences in the milling process that cannot be described by the simple scaling of the conventional scale milling process. The critical differences at the micro-scale arise from the breakdown of the assumptions of negligible edge radius effects. Weule et al (2001) determined that the roundness of a cutting edge is more significant in micro-scale milling. As the size of a tool decreases, the sharpness of the tool cannot be improved proportionally due to limitations in the tool fabrication processes and reduction in the structural strength of the tool. As a result, the feed per tooth in micro-milling may be comparable to or even less than the cutting edge radius because of the required range of process parameters for a stable machining scale with the process. It has been found that there exists a minimum chip thickness below which no chip will form (Yuan et al 1996). Kim et al (2004) experimentally determined that when the feed per tooth is comparable with the edge radius of the tool, as is often the case in micro-milling processes, the chip formation process becomes intermittent and the conventional understanding that a chip is formed with every tooth pass is no longer valid. According to their model, the minimum chip thickness of various combinations of tools and workpiece materials may be estimated based on easily attainable cutting force data.

On the other hand, feed per tooth to tool radius ratio is large enough so that it is not negligible in micro-end-milling; therefore the trajectory of the tool tip cannot be simplified as a circle. Furthermore, a small run-out that affects the cutting force profile of conventional end-milling operations creates very little drastic force variations in micro-end-milling operations, and a relatively large tool run-out will result in the feed per tooth for each tooth pass to be no longer a constant under a given cutting condition.

To calculate the cutting forces of micro-end-milling, many modified models had been founded. Volger et al (2003, 2004) incorporated the minimum chip thickness concept for predicting the effects of the cutter edge radius on the cutting forces. Bao and Tansel (2000a) proposed a new analytical cutting force model which calculated the chip thickness by considering the trajectory of the tool tip while the tool rotated and moved ahead continuously. The effect of run-out was noted in their later research (Bao and Tansel 2000b, 2000c). In their model, it is observed that the model gives a good result at a higher feed rate. But since the diameter of the milling tools used in their experiments seems relatively large, they did not consider the effect of the intermittency of the chip formation observed at low feeds per tooth. Zaman et al (2006) proposed a three-dimensional analytical cutting force model for the micro-end-milling operation. Their model determines the theoretical chip area at any specific angular position of the tool cutting edge by considering the geometry of the path of the cutting edge, and relates this with the tangential cutting

This study develops a prediction model of a threedimensional cutting force for micro-end-milling operation that is able to describe the intermittency of the chip formation process. Section 2 proposes a new nominal uncut chip thickness algorithm by considering the combination of the exact trochoidal trajectory of the tool tip and tool run-out, and a relationship describing the resultant periodicity in the actual uncut chip thickness as a function of the nominal uncut chip thickness at the angle of interest and the value of minimum chip thickness. Three-dimensional cutting forces, modeled according to an elastic-plastic deformation regime and a shearing-ploughing regime, are given in section 3. A series of experimental investigations were undertaken to verify the model by comparing the simulated cutting forces with the experimental data. The experimental setup and the result analyses are presented in section 4.

2. Instantaneous uncut chip thickness algorithm

The conventional uncut chip thickness can be expressed by the following equation based on the assumption of a circular tool

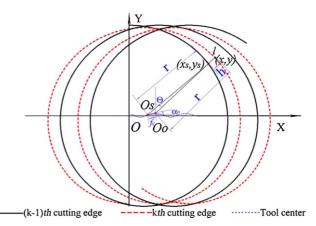


Figure 1. Coordinate system of micro-end-milling operations.

path:

$$h_{\rm n}(\theta) = f_{\rm t} \sin \theta,\tag{1}$$

where h_n represents nominal uncut chip thickness (mm) for a position angle θ (rad) and f_t is the feed per tooth (mm/tooth).

However, as the end-milling process is scaled down at the micro-scale, high speed spindles with more than 30 000 rpm are usually utilized to supply enough cutting speed. Their run-out values vary from 1 μm to 5 μm , and feeds per tooth range from 0.1 μm to 10 μm ; thus the tool run-out to tool diameter ratio becomes very large compared to the conventional scale end-milling, and creates drastic variations of uncut chip thickness. In addition, the intermittency of the chip formation process dominates most of micro-scale end-milling operations. Thus, the conventional computation model of uncut chip thickness cannot precisely describe the actual chip formation of micro-end-milling.

2.1. A new nominal uncut chip thickness algorithm

The trajectory of the tool tip in end-milling operations is illustrated in figure 1 where a real line curve and dashed line curve represent the trajectories of tool tips of (k-1)th and kth cutting edges, respectively, where $k=0,1,2,\ldots,K-1$ and K is the number of tool teeth. The zero angle is defined as a position where the (k-1)th cutting edge is aligned with y-axial (cross-feed direction).

Considering the effect of the tool run-out, the trajectory of the tool center can be written as

$$x = r_0 \sin(\omega t + \gamma) + ft/60,$$

$$y = r_0 \cos(\omega t + \gamma),$$
(2)

where f is the feed rate (mm min⁻¹), ω is the spindle circle speed (rad s⁻¹), r_0 is the run-out length (mm) and γ is the run-out angle (rad). The trajectory of the tool center with the tool run-out is represented by the dotted line curve in figure 1.

So the trajectory of the kth tool tip may be written as

$$x(t,k) = ft/60 + r\sin(\omega t - 2\pi k/K) + r_0\sin(\omega t + \gamma)$$

$$y(t,k) = r\cos(\omega t - 2\pi k/K) + r_0\cos(\omega t + \gamma),$$
(3)

where r is the tool radius (mm).

The coordinate of the kth tool tip at time t with the position angle θ is (x, y), the line connecting point (x, y) and its

corresponding tool center O_0 is denoted as line l and the point (x_s, y_s) , the intersection between the line l and the trajectory of the (k-1)th cutting edge, means the position of the (k-1)th cutting edge at time t_s . The line l can be expressed by the following equation:

$$y = \tan^{-1}(\omega t - 2\pi k/K)[x - ft/60$$
$$-r_0 \sin(\omega t + \gamma)] + r_0 \cos(\omega t + \gamma). \tag{4}$$

Furthermore, the trajectory of the (k-1)th flute can be deduced from equation (3) by replacing k with (k-1) and t with t_s . Using the condition that the point (x_s, y_s) is on the line l, the time t_s can be solved from the following equation:

$$r \tan(\omega t - 2\pi k/K) \cos[\omega t_{s} - 2\pi (k-1)/K]$$

$$+ r_{o} \tan(\omega t - 2\pi k/K) \cos(\omega t_{s} + \gamma)$$

$$- r_{o} \tan(\omega t - 2\pi k/K) \cos(\omega t + \gamma)$$

$$- f t_{s}/60 + f t/60 - r \sin[\omega t_{s} - 2\pi (k-1)/K]$$

$$- r_{o} \sin(\omega t_{s} + \gamma) + r_{o} \sin(\omega t + \gamma) = 0.$$
(5)

The distance between the two tool center points O_s and point O_0 which correspond to time t_s and time t respectively, namely f_c , can be calculated by

$$f_{\rm c} = \left[\left(x_{\rm o_o} - x_{\rm o_s} \right)^2 + \left(y_{\rm o_o} - y_{\rm o_s} \right)^2 \right]^{0.5}.$$
 (6)

From the geometric relationship, it leads to

$$h_{\rm n}(t,k) = r + f_{\rm c} \sin(\omega t - 2\pi k/K + \alpha_{\rm o}) - \left[r^2 - f_{\rm c}^2 \cos^2(\omega t - 2\pi k/K + \alpha_{\rm o})\right]^{0.5},\tag{7}$$

where

$$\alpha_{\rm o} = \arctan\left(\frac{y_{\rm o_o} - y_{\rm o_s}}{x_{\rm o_o} - x_{\rm o_s}}\right). \tag{8}$$

The proposed model indicates that one obstacle to the calculation of nominal chip thickness is the solution of time t_s from equation (5) since it is a complex nonlinear polynomial equation. As the Newton-Raphson iterative method is characterized by quadratic convergence, the proposed model utilizes it to solve time t_s . Once the value of t_s is determined, the nominal uncut chip thickness h_n will be easily obtained by solving equations (6)–(8).

For the Newton–Raphson iterative method, it is essential to select a proper initial iterative value. Considering the periodicity of chip formation, the following initial value of t_s is used:

$$t_{s_0} = t - 2\pi/(\omega Z). \tag{9}$$

Suppose that $F(t_s)$ is a function of t_s , namely equal to the left of equation (5), according to the Newton–Raphson iterative method for each iterative loop, t_{s_i} can be updated according to the value of $t_{s_{i+1}}$ which can be determined from the following equation:

$$t_{s_{i+1}} = t_{s_i} - \frac{F(t_{s_i})}{F'(t_{s_i})},\tag{10}$$

where i = 0, 1, 2, ...

The procedure for solving the nominal chip thickness h_n corresponding to a specified value of time t is shown in figure 2. It is found that the value of t_s corresponding to any value of time t will converge only through less than five iterative loops even though ε is as small as 0.001% of tool radius

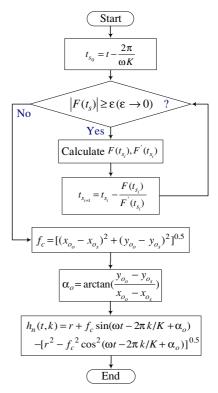


Figure 2. Procedure of the iterative algorithm for the nominal uncut chip thickness of micro-end-milling.

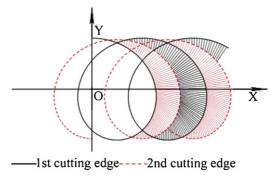


Figure 3. Graph of determined chip thickness by the proposed algorithm for a two-flute tool with a 45° tool run-out angle.

In figure 3, the real line curve and the dashed line curve represent the milling trajectories of the first and second cutting edges, respectively, for a two-flute milling tool. The length of each of the black and gray (or red in multicolor print) real lines, determined by the method proposed in this paper, represents the uncut chip thickness of the first and second cutting edges, respectively, when the corresponding edge arrives at the specified point on the trajectory. The areas hatched by black real lines and gray (or red in multicolor print) real lines represent the areas which are cut by the first and second cutting edges, respectively. It is distinct that the real lines occupy the area between the real line curve and the dashed line curve exactly. That means the nominal uncut chip thickness algorithm proposed can accurately describe the process of milling.

Furthermore, it is found that under a given cutting condition, the chip thickness values corresponding to the

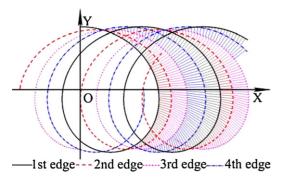


Figure 4. Graph of determined chip thickness by the proposed algorithm for a four-flute tool with a 45° tool run-out angle.

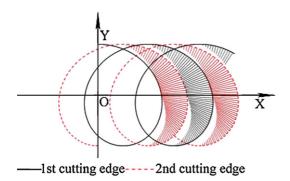


Figure 5. Graph of determined chip thickness by a conventional algorithm for a two-flute tool.

first and second cutting edges at the same position angle are unequal while they are equal without the tool run-out. This is because the trajectories of the two cutting edges are distinctly influenced by the tool run-out, which results in the feed per tooth for different tooth passes to be no longer a constant. It is also found through varying tool run-out lengths that the variation of feeds per tooth for the tooth passes proportionately increases with the tool run-out length. Even with the strong influence of tool run-out, the proposed algorithm can still obtain accurately nominal uncut chip thickness values at any time. Also, the state of micro-end-milling operations with a four-flute milling tool is shown in figure 4 and the same conclusions can be obtained.

In comparison, figure 5 shows the graph of the nominal chip thickness value for a two-flute tool determined by the conventional algorithm. It is obvious that during the initial and final phases of the cutting edge engaging with the workpiece, areas taken up by black and gray (or red in multicolor print) real lines do not occupy the whole areas between the trajectories of the first and second cutting edges. This means that the chip thickness values determined by the conventional algorithm cannot represent the practical state correctly. The error of the algorithm is due to the negligence of an exact trochoidal trajectory of the tool tip and tool run-out in chip formation modeling, but such an error is unbearable for micro-scale endmilling.

2.2. Actual uncut chip thickness algorithm

The chip formation in micro-scale milling may be inconsistent and result in several noncutting tooth passes, particularly at low feed per tooth. When the current engagement $h_a(t, k)$ is smaller than the minimum chip thickness, the workpiece elastically and plastically deforms under the interaction with the milling cutter, no material is assumed to be removed from the workpiece and the current value of uncut chip thickness is added to that of the next tooth pass at the same position angle. So the actual uncut chip thickness of the next tooth pass may be expressed as

$$h_{\rm a}\left(t + \frac{2\pi}{\omega K}, k + 1\right) = h_{\rm a}(t, k) + h_{\rm n}\left(t + \frac{2\pi}{\omega K}, k + 1\right),$$
 (11)

when $h_{\rm a}(t,k) < h_{\rm min}$, where $h_{\rm min}$ is the minimum chip thickness.

In contrast, when the current accumulative engagement $h_{\rm a}(t,\ k)$ is larger than the minimum chip thickness, the interaction forces of the workpiece with the cutter are dominated by shearing forces, and all material as thick as the actual engagement is assumed to be removed as a chip. So the actual uncut chip thickness of the next tooth pass can be determined by the following equation:

$$h_{\rm a}\left(t + \frac{2\pi}{\omega K}, k+1\right) = h_{\rm n}\left(t + \frac{2\pi}{\omega K}, k+1\right) \tag{12}$$

when $h_a(t, k) \ge h_{\min}$.

3. Cutting force modeling of the micro end-milling operation

3.1. Calculation of entry angle and exit angle

To calculate the cutting forces by using the cutting force model, four computational parameters are introduced.

The workpiece cutting angle φ (rad) is defined as

$$\varphi = \arccos\left(\frac{r - d_{\rm r}}{r}\right) \tag{13}$$

where $d_{\rm r}$ is the radial depth of the cut (mm).

The tool cutter angle ψ (rad) is defined as

$$\psi = 2\pi/K. \tag{14}$$

The engagement angle α (rad) is defined as

$$\alpha = d_{\rm a} \tan \beta / r, \tag{15}$$

where d_a is the axial depth of the cut (mm) and β is the helix angle of the cutter (rad).

The position angle $\theta(t, k)$ (rad) of the kth tooth at time t is defined as

$$\theta(t,k) = \omega t - \frac{2\pi k}{K}.\tag{16}$$

Under the specified cutting conditions that the engagement angle α is less or more than the workpiece cutting angle φ and the milling method is up-milling or down-milling, the entry angle $\theta_{\rm en}$ and exit angle $\theta_{\rm ex}$ at different sections of the position angle $\theta(t,k)$ can be obtained from table 1.

| | Up-milling | | | Down-milling | | |
|----------------------------|---|------------------------|-------------------|--|------------------------|-------------------|
| | $\overline{\theta}$ | θ_{en} | $\theta_{\rm ex}$ | $\overline{\theta}$ | θ_{en} | $\theta_{\rm ex}$ |
| | $0 \leqslant \theta < \alpha$ | 0 | θ | $\pi - \varphi \leqslant \theta < \pi - \varphi + \alpha$ | $\pi - \varphi$ | θ |
| $\alpha \leqslant \varphi$ | $\alpha \leqslant \theta < \varphi$ | $\theta - \alpha$ | θ | $\pi - \varphi + \alpha \leqslant \theta < \pi$ | $\theta - \alpha$ | θ |
| | $\varphi \leqslant \theta \leqslant \varphi + \alpha$ | $\theta - \alpha$ | φ | $\pi \leqslant \theta \leqslant \pi + \alpha$ | $\theta - \alpha$ | π |
| | $0 \leqslant \theta < \varphi$ | 0 | θ | $\pi - \varphi \leqslant \theta < \pi$ | $\pi - \varphi$ | θ |
| $\alpha > \varphi$ | $\varphi \leqslant \theta < \alpha$ | 0 | φ | $\pi \leqslant \theta < \pi - \varphi + \alpha$ | $\pi - \varphi$ | π |
| | $\alpha \leqslant \theta \leqslant \varphi + \alpha$ | $\theta - \alpha$ | φ | $\pi - \varphi + \alpha \leqslant \theta \leqslant \pi + \alpha$ | $\theta - \alpha$ | π |

Table 1. Calculation of entry angle and exit angle.

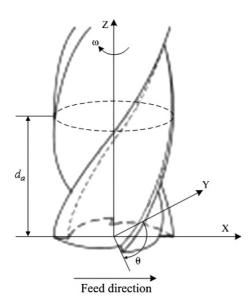


Figure 6. Model coordinate system of end-milling operations.

3.2. Three-dimensional cutting force model

The model coordinate system of end-milling is shown in figure 6. This section will respectively model cutting forces according to two different milling regimes.

(i) When the actual uncut chip thickness $h_a(t, k)$ is smaller than the minimum chip thickness, the workpiece elastically and plastically deforms under the interaction with the milling cutter, and no material is assumed to be removed from the workpiece. As a way of describing the relationships of forces and actual engagement, the tangential force F_t , radial force F_r and axial force F_a are modeled as power functions (Kim et al 2004) described

$$dF_{t}(t, k, z) = A_{t}h_{a}(t, k, z)^{B_{t}}dz$$

$$dF_{r}(t, k, z) = A_{r}h_{a}(t, k, z)^{B_{r}}dz$$

$$dF_{a}(t, k, z) = A_{a}h_{a}(t, k, z)^{B_{a}}dz,$$
(17)

where A_t and B_t are tangential force coefficients, A_r and $B_{\rm r}$ are radial force coefficients and $A_{\rm a}$ and $B_{\rm a}$ are axial force coefficients. These six coefficients are constant for the specified cutter and workpiece material, and could be acquired by curve fitting the measured force data into the force model using the least-squares method.

(ii) When the actual uncut chip thickness $h_a(t, k)$ is larger than the minimum chip thickness, the interaction forces of the workpiece with the cutter are dominated by shearing forces, and all material as thick as the actual engagement is assumed to be removed as a chip. The tangential cutting force $F_{\rm t}$, radial cutting force $F_{\rm r}$ and axial cutting force $F_{\rm a}$ are modeled as (Wang et al 2002)

$$dF_{t} = A_{ts}h_{a}(t, k, z) dz + B_{tp} dz$$

$$dF_{r} = A_{rs}h_{a}(t, k, z) dz + B_{rp} dz$$

$$dF_{a} = A_{as}h_{a}(t, k, z) dz + B_{ap} dz,$$
(18)

where A_{ts} and B_{tp} are tangential shearing and ploughing force coefficients; $A_{\rm rs}$ and $B_{\rm rp}$ are radial shearing and ploughing force coefficients and A_{as} and B_{ap} are axial shearing and ploughing force coefficients, respectively. These six coefficients can also be acquired by curve fitting the measured force data into the force model using the least-squares method.

The three-dimensional cutting forces, F_x , F_y and F_z , can be expressed as

$$\begin{bmatrix} dF_x \\ dF_y \\ dF_z \end{bmatrix} = \begin{bmatrix} -\cos\theta & -\sin\theta & 0 \\ \sin\theta & -\cos\theta & 0 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} dF_t \\ dF_r \\ dF_a \end{bmatrix}.$$
 (19)

Considering the geometric conditions

$$\frac{r {\rm d}\theta}{{\rm d}z} = \tan\beta \eqno(20)$$
 and taking the integration, the cutting force expressions are

$$F_{x}(t) = \frac{r}{\tan \beta} \sum_{k=0}^{K-1} \int_{\theta_{en}}^{\theta_{ex}} [-A_{t}h_{a}(\theta, k)^{B_{t}} \cos \theta$$

$$-A_{r}h_{a}(\theta, k)^{B_{r}} \sin \theta] d\theta$$

$$F_{y}(t) = \frac{r}{\tan \beta} \sum_{k=0}^{K-1} \int_{\theta_{en}}^{\theta_{ex}} [A_{t}h_{a}(\theta, k)^{B_{t}} \sin \theta$$

$$-A_{r}h_{a}(\theta, k)^{B_{r}} \cos \theta] d\theta$$

$$F_{z}(t) = \frac{r}{\tan \beta} \sum_{k=0}^{K-1} \int_{\theta_{en}}^{\theta_{ex}} A_{a}h_{a}(\theta, k)^{B_{a}} d\theta$$
(21)

when $h_a(\theta, k) < h_{\min}$

$$F_x(t) = \frac{r}{\tan \beta} \sum_{k=0}^{K-1} \int_{\theta_{\text{en}}}^{\theta_{\text{ex}}} \{ -[A_{\text{ts}} h_{\text{a}}(\theta, k) + B_{\text{tp}}] \cos \theta$$

 $-[A_{rs}h_a(\theta,k)+B_{rp}]\sin\theta\}d\theta$

$$F_{y}(t) = \frac{r}{\tan \beta} \sum_{k=0}^{K-1} \int_{\theta_{\text{en}}}^{\theta_{\text{ex}}} \{ [A_{\text{ts}} h_{\text{a}}(\theta, k) + B_{\text{tp}}] \sin \theta$$

 $-\left[A_{\rm rs}h_{\rm a}(\theta,k)+B_{\rm rp}\right]\cos\theta\}\,\mathrm{d}\theta$

$$F_z(t) = \frac{r}{\tan \beta} \sum_{k=0}^{K-1} \int_{\theta_{\text{en}}}^{\theta_{\text{ex}}} \left[A_{\text{as}} h_{\text{a}}(\theta, k) + B_{\text{ap}} \right] d\theta$$
 (22)

when $h_a(\theta, k) \ge h_{\min}$

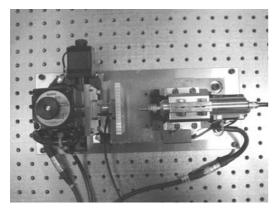


Figure 7. The developed micro-milling machine.

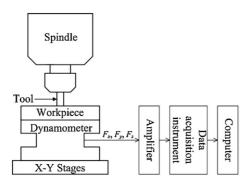


Figure 8. Experimental setup.

4. Model verification

4.1. Experimental setup

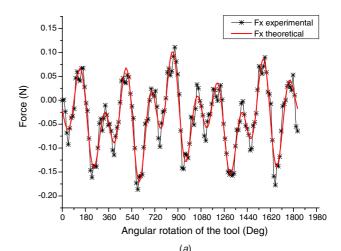
More than 200 cutting experiments of micro-scale end-milling operations were performed on a developed micro-milling machine, as shown in figure 7. The feed system of this machine consists of three precision linear stages with a high feed resolution, i.e. 50 nm, and each stage is driven by the stepping motor. An air motor spindle with a maximum rotating speed of 120 000 rpm provides enough high speed to ensure cutting velocity. The diameters of the used two-flute micro-milling tools vary from 0.1 mm to 1 mm.

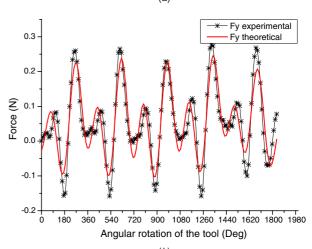
A typical experimental setup is presented in figure 8. A Kistler 9317B 3-component piezoelectric dynamometer is mounted on the X–Y stages to measure feed, cross-feed and axial cutting forces. The workpiece is set on the dynamometer.

4.2. Experimental results and discussion

In this section, the accuracy of the simulated cutting forces of the proposed model is evaluated. The differences of cutting forces between micro-scale and conventional scale end-milling operations are discussed.

The proposed model has been tested on the experimental data of dozens of micro-end-milling cases, and a very good agreement has been observed between the theoretical and experimental results. The average peak difference of all test cases between computational and experimental cutting forces is around 10%. The cutting conditions of 12 test





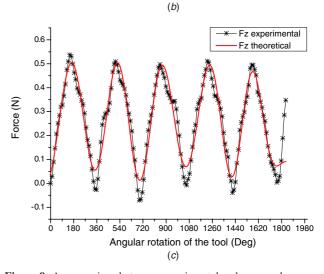


Figure 9. A comparison between experimental and proposed theoretical cutting forces of slot-milling (case 1: feed rate 0.4 mm s⁻¹, axial depth of cut 0.02 mm). (a) Feed cutting force F_x , (b) cross-feed cutting force F_y and (c) axial cutting force F_z .

cases are listed in table 2. First, a two-flute carbide end-mill with 0.8 mm diameter is slot-milled on a copper workpiece with different operating conditions (from case 1 to 9). The collected cutting force data of nine operating conditions were

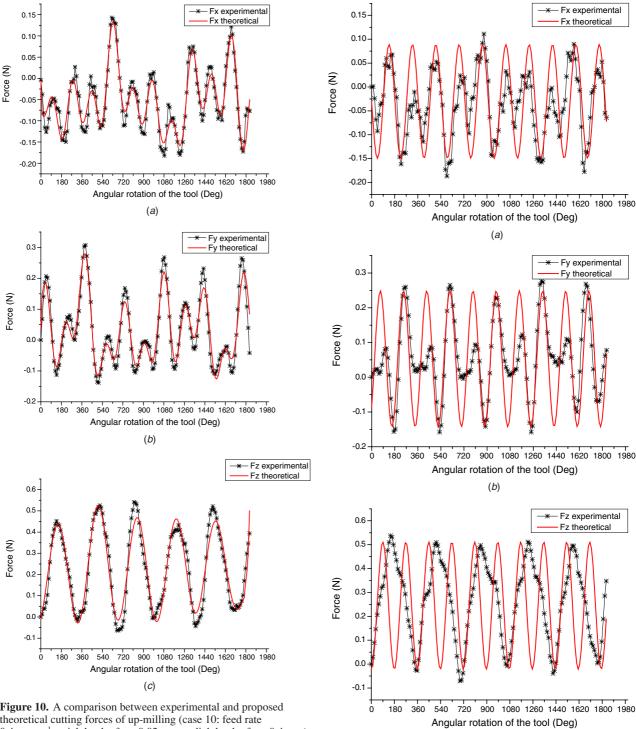


Figure 10. A comparison between experimental and proposed theoretical cutting forces of up-milling (case 10: feed rate 0.4 mm s⁻¹, axial depth of cut 0.02 mm, radial depth of cut 0.4 mm). (a) Feed cutting force F_x , (b) cross-feed cutting force F_y and (c) axial cutting force F_z .

used to decide the cutting force coefficients of the proposed model. The minimum chip thickness was identified as 0.9 μ m using the method proposed by Kim *et al* (2004). The other experiments with different feed rates, axial depths of cut and milling methods were performed to verify the proposed model.

The comparisons of two test cases (case 1 and 10) between the simulated and experimental cutting force profiles are

Figure 11. A comparison between experimental and conventional theoretical cutting forces of slot-milling (case 1: feed rate 0.4 mm s⁻¹, axial depth of cut 0.02 mm). (a) Feed cutting force F_x , (b) cross-feed cutting force F_y and (c) axial cutting force F_z .

presented in figures 9 and 10. The difference between the estimated and experimental maximum cutting forces of the two presented cases was less than 7%.

Due to the neglect of the minimum chip thickness in conventional cutting force models for end-milling, estimated

Table 2. Cutting conditions.

| | Tool diameter: 0.8 mm Spindle speed: 96 000 rpm | Workpie Copper r | Helix angle β : 30° Two-flute cutter | | |
|----------|--|---------------------------------|--|-----------------------------|--|
| Test no. | Milling method | Feed rate (mm s ⁻¹) | Axial depth of cut (mm) | Radial depth of cut (mm) | |
| 1 | Slot-milling | 0.4 | 0.02 | Full cut | |
| 2 | Slot-milling | 0.5 | 0.02 | Full cut | |
| 3 | Slot-milling | 0.6 | 0.02 | Full cut | |
| 4 | Slot-milling | 0.4 | 0.04 | Full cut | |
| 5 | Slot-milling | 0.5 | 0.04 | Full cut | |
| 6 | Slot-milling | 0.6 | 0.04 | Full cut | |
| 7 | Slot-milling | 0.4 | 0.06 | Full cut | |
| 8 | Slot-milling | 0.5 | 0.06 | Full cut | |
| 9 | Slot-milling | 0.6 | 0.06 | Full cut | |
| 10 | Up-milling | 0.4 | 0.02 | 0.4 | |
| 11 | Up-milling | 0.6 | 0.02 | 0.4 | |
| 12 | Up-milling | 0.6 | 0.04 | 0.4 | |

cutting forces periodically fluctuate with a frequency equal to the product of the spindle frequency and the number of the tool teeth. However, in a micro-scale end-milling operation, practical cutting forces may periodically fluctuate with the number of tooth passes as shown in figures 9 and 10. The presented model can precisely describe the micro-end-milling operation, and the simulation results show a very satisfactory agreement with those data from milling experiments.

In comparison, figure 11 shows the three-dimensional cutting forces of slot-milling determined by a conventional theoretical model and experimental case 1. It is clear that the conventional theoretical cutting forces periodically fluctuate with the same periodicity, namely π/ω , due to ignoring the effects of the spindle run-out and the intermittency of chip formation. This means that the conventional cutting force model for macro-scale milling cannot accurately predict the force characteristics of the micro-scale milling process.

5. Conclusions

In this study, an accurate three-dimensional cutting force model for micro-scale end-milling has been developed by considering the combination of an exact trochoidal trajectory of the tool tip, tool run-out and minimum chip thickness effect due to the intermittency of the chip formation at a micro-scale. The proposed model has been validated by dozens of micro-end-milling experiments. The average peak difference of all test cases between the computational and experimental cutting force was around 10%. From this work, the following conclusions may be drawn.

- (1) At the micro-scale, the milling tool may rotate several times without removing any material, especially at low feed per tooth. So cutting forces may periodically fluctuate with the number of tooth passes. The periodicity of cutting forces is a function of the minimum chip thickness and cutting conditions.
- (2) In micro-end-milling operations, the chip formation process and cutting forces are significantly influenced by the trochoidal trajectory of the tool tip, tool run-out and minimum chip thickness, and a relatively large tool runout may result in the feed per tooth for each tooth pass to be no longer a constant.

(3) The proposed model can be used to estimate the operating conditions from the monitored cutting force data. Also, tool breakage and wear can be estimated by inspecting the estimated cutting force coefficients.

Acknowledgment

The authors gratefully acknowledge the financial support of this research program provided by the National Natural Foundation of China under grant no. 50575134.

References

Bao W Y and Tansel I N 2000a Modeling micro-end-milling operations: I. Analytical cutting force model *Int. J. Mach. Tool Manuf.* 40 2155–73

Bao W Y and Tansel I N 2000b Modeling micro-end-milling operations: II. Tool run-out *Int. J. Mach. Tools Manuf.* **40** 2175–92

Bao W Y and Tansel I N 2000c Modeling micro-end-milling operations: III. Influence of tool wear Int. J. Mach. Tools Manuf. 40 2193–211

Kim C-J, Mayor J R and Ni J 2004 A static model of chip formation in microscale milling J. Manuf. Sci. Eng. 126 710–8

Kline W A, Devor R E and Shareef I 1982 Prediction of surface accuracy in end milling *J. Eng. Ind.* **104** 272–8

Montgomery D and Altintas Y 1991 Mechanism of cutting force and surface generation in dynamic milling *J. Eng. Ind.* **113** 160–8

Tlusty J and MacNeil P 1975 Dynamics of cutting forces in end milling CIRP Ann. 24 21–5

Vogler M P, Devor R E and Kapoor S G 2003 Microstructure-level force prediction model for micro-milling of multi-phase materials J. Manuf. Sci. Eng. 125 202–9

Vogler M P, Devor R E and Kapoor S G 2004 On the modeling and analysis of machining performance in micro-end-milling: II. Cutting force prediction J. Manuf. Sci. Eng. 126 695–705

Wang J-J J and Zheng C M 2002 An analytical force model with shearing and ploughing mechanisms for end milling *Int. J. Mach. Tools Manuf.* **42** 761–71

Weule H, Huntrup V and Tritschler H 2001 Micro-cutting of steel to meet new requirements in miniaturization CIRP Ann. 50 61–4

Yuan Z J, Zhou M and Dong S 1996 Effect of diamond tool sharpness on minimum cutting thickness and cutting surface integrity in ultraprecision machining *J. Mater. Process. Technol.* 62 327–30

Zaman M T, Kumar A S, Rahman M and Sreeram S 2006 A three-dimensional analytical cutting force model for micro end milling operation *Int. J. Mach. Tools Manuf.* 46 353–66