# Benzodiazepine Receptor Binding in Cerebellar Degenerations Studied with Positron Emission Tomography

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We used positron emission tomography with [11C]flumazenil to study gamma-aminobutyric acid type A/benzodiazepine receptor binding quantitatively in the cerebral hemispheres, basal ganglia, thalamus, cerebellum, and brainstem of 72 subjects, including 14 with multiple system atrophy of the ataxic (olivopontocerebellar atrophy) type, 5 with multiple system atrophy of the extrapyramidal/autonomic (Shy-Drager syndrome) type, 18 with sporadic olivopontocerebellar atrophy, 15 with dominantly inherited olivopontocerebellar atrophy, and 20 normal control subjects with similar age and sex distributions. In comparison with data obtained from the normal control subjects, we found significantly decreased ligand influx in the cerebellum and brainstem of multiple system atrophy patients of the olivopontocerebellar atrophy type and in patients with sporadic olivopontocerebellar atrophy, but not in patients with multiple system atrophy of the Shy-Drager syndrome type. Despite these differences in ligand influx, benzodiazepine binding was largely preserved in the cerebral hemispheres, basal ganglia, thalamus, cerebellum, and brainstem in patients with multiple system atrophy of both types as well as those with sporadic or dominantly inherited olivopontocerebellar atrophy as compared with normal control subjects. The finding of relative preservation of benzodiazepine receptors indicates that these sites are available for pharmacological therapy in these disorders.

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Gamma-aminobutyric acid (GABA) is an inhibitory neurotransmitter located abundantly in the central nervous system (CNS) [1, 2]. Most GABAergic neurons are interneurons, but some such as Purkinje cells and striatonigral, nigrothalamic, and nigrotectal neurons are principal output neurons. Two types of receptor sites mediate GABAergic neurotransmission, GABAA and GABA<sub>B</sub>. The action of the GABA<sub>A</sub> receptor is the opening of chloride channels [3, 4]. Low- and highaffinity GABAA receptor binding sites exist in the mammalian central nervous system [5–9]. Low-affinity GABA<sub>A</sub> receptors contain at least three binding sites for pharmacologically specific agents, including benzodiazepines (BDZ), barbiturates, and convulsants [2, 3, 10, 11]. These sites are functionally coupled to one another, residing on the oligomeric protein complex that regulates chloride ion permeability [2]. The recent development of a BDZ ligand, [11C]flumazenil (FMZ), that can be used with positron emission tomography (PET) makes it possible to measure the density of GABA<sub>A</sub>/BDZ receptors in the central nervous system of patients with disorders that affect neurons containing these receptors [12-14].

Multiple system atrophy (MSA) is a progressive neurodegenerative disease presenting with combinations of extrapyramidal, pyramidal, cerebellar, and autonomic symptoms and signs [15–18]. Some patients with MSA have extrapyramidal and autonomic symptoms (Shy-Drager syndrome [SDS]). Others have only extrapyramidal symptoms unresponsive to levodopa throughout their course (striatonigral degeneration [SND]), but in most of these patients, neuropathological examination demonstrates degenerative changes in the cerebellum and brainstem [19]. Another pattern is initial extrapyramidal symptoms with the later development of cerebellar symptoms, with or without autonomic symptoms [15]. Other patients first manifest cerebellar symptoms at which time they might be thought clinically to have sporadic olivopontocerebellar atrophy (sOPCA), but later they develop autonomic or extrapyramidal symptoms and then fall into the diagnostic category of MSA. The neuropathological changes in MSA include those seen in SND [20–23], SDS [17, 24–27], and OPCA [17-19]. Neuronal loss and gliosis occur in the basal ganglia (putamen and globus pallidus), brainstem and cerebellum (substantial nigra, locus ce-

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ruleus, dorsal vagal nuclei, vestibular nuclei, inferior olives, pontine nuclei, cerebellar Purkinje cells), and spinal cord (pyramidal tracts, intermediolateral columns and Onuf's nuclei) [15, 18, 19]. Many of the structures affected contain neurons with GABA<sub>A</sub>/BDZ receptors. Recently, oligodendroglial [28–32] and neuronal [33–35] intracytoplasmic and intranuclear inclusions have been found in MSA.

OPCA is a progressive neurological disorder characterized by degeneration of neurons in the inferior olives, pons, and cerebellum occurring both sporadically and with hereditary transmission [36-40]. When hereditary, the disease is usually expressed as an autosomal dominant trait, but at times as an autosomal recessive trait [39, 40]. A locus on chromosome 6 was identified in some families with dominantly inherited OPCA [41], and loci also were found on chromosomes 12, 14, and 16 in other families. Most patients become symptomatic in middle age with progressive ataxia of gait and speech, disturbances of extraocular movements, and difficulty with limb coordination. The neuropathological changes include loss of inferior olivary neurons and their climbing fiber projections to the cerebellum, and loss of pontine neurons and their mossy fiber connections in the cerebellum [18, 42-44]. Purkinje cells are severely reduced but not completely lost, and granule cells are reduced. Golgi cells are probably unaffected, and basket cells are relatively preserved. Dentate neurons also are preserved. Many of the neurons affected in OPCA contain GABAA/BDZ receptors. In some patients, OPCA evolves clinically into MSA and patients show degenerative changes within the basal ganglia and spinal cord [15–17]. Most patients who present initially with OPCA and later develop clinical manifestations of MSA have a sporadic and not a hereditary form of the disorder [16]. It is unclear whether all patients with sOPCA progress to develop MSA. In the present study, we separated patients into OPCA and MSA groups based on clinical criteria provided in the Materials and Methods section.

The present study was initiated to examine BDZ receptors in the cerebellum of patients with MSA, sOPCA, and dominantly inherited OPCA (dOPCA) in comparison with normal control subjects with similar

age and sex distributions, to determine whether these receptors are preserved in these disorders. The patients with MSA were further subdivided into those with the ataxic (olivopontocerebellar atrophy) type (MSA<sub>OPCA</sub>) and those with the extrapyramidal/autonomic (SDS) type (MSA<sub>SDS</sub>). We were interested in BDZ receptors because differences in BDZ receptor density may (1) give insights into the pathogenesis of these disorders, (2) suggest new approaches to diagnosis, and (3) indicate whether GABA<sub>A</sub>/BDZ receptors are available for potential pharmacological interventions in these disorders.

## Materials and Methods

# Patient Groups and Normal Subjects

The studies were approved by the Institutional Review Board, and informed consent was obtained from each subject. Five groups of subjects were studied: (1) MSA<sub>OPCA</sub>, (2) MSA<sub>SDS</sub>, (3) sOPCA, and (4) dOPCA patients, and (5) normal control subjects (Table 1). We studied 72 subjects (52 patients and 20 normal control subjects), mean age 56 ± 13 years (± standard deviation), including 36 women (9 normal control subjects and 27 patients), mean age 54 ± 14 years, and 36 men (11 normal control subjects and 25 patients), mean age 58 ± 12 years (see Table 1). Significant differences in age were found among the five diagnosis groups (p < 0.0005) with a single factor analysis of variance (ANOVA). Three patients, 2 with sOPCA and 1 with dOPCA, were included from our previous positron emission tomography (PET) studies of glucose metabolic rate with [18F]fluorodeoxyglucose (FDG) [45–47]. One of the 2 patients with sOPCA in the previous communications developed extrapyramidal and autonomic symptoms and signs subsequent to the initial study and is now included in the MSA<sub>OPCA</sub> group. In our analysis of the duration of symptoms, we report the median and range rather than the mean and standard deviation because the distributions are skewed. For the MSA<sub>OPCA</sub> group the duration ranged from 2 to 11 years with a median of 5 years; for the MSA<sub>SDS</sub> group the range was 2 to 16 years with a median of 7 years; for the sOPCA group, the range was 1 to 20 years with a median of 4.5 years; and for the dOPCA group, the range was 2 to 20 years with a median of 5 years. The normal control subjects had no history of neurological disorder and no abnormalities on general physical and neurological examinations. At the time of the PET study, none of the patients or normal control

Table 1. Numbers, Ages (mean ± standard deviation), and Sex Distribution of the Subjects Studied

	Normal Controls		MSA <sub>OPCA</sub>		MSA <sub>SDS</sub>		sOPCA		dOPCA	
	n	Age (yr)	n	Age (yr)	n	Age (yr)	n	Age (yr)	n	Age (yr)
Men	11	61 ± 12	7	64 ± 5	4	64 ± 12	7	56 ± 12	7	$47 \pm 14$
Women	9	$61 \pm 9$	7	$63 \pm 9$	1	67	11	$45 \pm 15$	8	$51 \pm 14$
Total	20	$61 \pm 10$	14	$64 \pm 7$	5	$65 \pm 11$	18	$49 \pm 15$	15	$49 \pm 13$

 $MSA = multiple system atrophy; MSA_{OPCA} = MSA group with cerebellar and autonomic or cerebellar, extrapyramidal, and autonomic signs; <math>MSA_{SDS} = MSA$  group with extrapyramidal and autonomic signs only; sOPCA = sporadic olivopontocerebellar atrophy; <math>dOPCA = dominantly inherited olivopontocerebellar atrophy.

subjects were taking medications that are known to influence BDZ receptors. Five of the MSA patients had been treated with BDZ (diazepam, chlordiazepoxide, or clonazepam), but these medications had been discontinued for 6 weeks before the PET study in 2 patients and for 1 to 3 years in the other 3. Two of the dOPCA patients had been treated with BDZ (chlordiazepoxide or clonazepam), and these medications were discontinued 6 weeks and 1 year prior to PET study. Three of the patients with sOPCA had been treated with BDZ (clonazepam), and these medications had been discontinued for 6 weeks to 2 years prior to PET study. All 5 of the MSA<sub>SDS</sub> patients were taking medications for parkinsonism (carbidopa/levodopa 25/100 mg or 25/250 mg, three or four times daily).

Of the 19 patients with MSA, utilizing the criteria of Quinn [15], we made the diagnosis of probable MSA in 15 and definite MSA (autopsy verified) in 4. The diagnosis of probable MSA was based on demonstration of clinical signs of at least two of the following: (1) extrapyramidal disorder, (2) autonomic failure, and (3) cerebellar dysfunction. For the demonstration of extrapyramidal disorder, we required at least two of the following signs: akinesia, rigidity, tremor, and hypokinetic speech. Tremor was accepted as an extrapyramidal sign only if it was present at rest and involved distal parts of the limbs. With these criteria, we found that 16 of the 19 patients had extrapyramidal disorders. In 12 of the 16, we also assessed the degree of responsiveness to levodopa with clinical neurological evaluations before and after treatment with carbidopa/levodopa at a dose of 25/250 mg, gradually increased from one to four tablets daily. Patients were judged to be unresponsive or mildly, moderately, or markedly responsive. Nine of the 12 tested were unresponsive, 1 was mildly responsive, 1 was moderately responsive, and 1 was markedly responsive. The remaining 4 patients could not be tested because they were referred to us from distant locations. The demonstration of changes in the putamen in magnetic resonance (MR) scans [48, 49] provided helpful information for the diagnosis of MSA but was not required since these changes are not consistently present in MSA [50].

For the demonstration of cerebellar dysfunction, we required at least two signs, including limb and/or gait ataxia along with ocular dysmetria or ataxic dysarthria. We found that 14 of the 19 MSA patients had cerebellar dysfunction. For the demonstration of autonomic failure, we required postural hypotension, sexual impotence (in the males), or urinary incontinence without outflow obstruction. The criteria for postural hypotension were similar to those of McLeod and Tuck [51], including an orthostatic drop of 30 mm Hg or more in systolic blood pressure and 20 mm Hg or more in diastolic blood pressure with an increase in heart rate of no more than 10 beats/min. Blood pressure and pulse were measured with the patient supine and again 2 minutes after the patient had assumed the standing position. In patients who could not tolerate standing for 2 minutes, these changes were accepted after the patient stood as long as tolerated. None of the patients were taking medications that induce postural hypotension except for carbidopa/levodopa, which was administered to 5 MSA<sub>SDS</sub> patients. Eleven of the 19 patients with MSA had postural hypotension, 15 of the 19 had urinary incontinence, 9 of the 19 had both postural hypotension and urinary incontinence, and 10 of 11 male patients had sexual impotence. All 19 patients had at least one of the criteria for autonomic insufficiency. In summary, 11 of the 19 patients with MSA had extrapyramidal, cerebellar, and autonomic dysfunction; 5 had extrapyramidal and autonomic disturbances; and 3 had cerebellar and autonomic disorders. The 5 with extrapyramidal and autonomic disturbances constituted the MSA<sub>SDS</sub> group; the other 14, in whom cerebellar dysfunction dominated, made up the MSA<sub>OPCA</sub> group.

The diagnosis of OPCA was based on a history of progressive deterioration in cerebellar function manifested by ataxia of gait and speech, usually accompanied by ataxia of ocular and limb movements, in the absence of a disorder of sensory function sufficient to cause ataxia, medications (e.g., phenytoin), toxins (e.g., alcohol), evidence of a neoplasm in the cerebellum or elsewhere, or evidence of multiple sclerosis or other diseases that can cause progressive cerebellar ataxia. The diagnosis was assisted by the demonstration of cerebellar and brainstem atrophy in computed tomography (CT) or MR scans, but this was not required since OPCA can occur in the absence of atrophy on anatomical imaging studies [45].

Each patient was evaluated with a complete history, physical examination, neurological examination, laboratory tests to exclude other diseases, and MR imaging to exclude demyelinative disease and structural abnormalities. Speech was evaluated as described previously [47]. Laboratory tests included a complete blood cell count; serum profiles of hepatic and renal function; brainstem auditory, visual, and somatosensory evoked potentials; serum levels of vitamins E and B<sub>12</sub> and folic acid; and studies of thyroid function. In patients with symptoms for less than 3 years, a search was made for an occult malignancy, including breast and pelvic examinations in women, prostate examination in men, measurement of acid phosphatase and prostate-specific antigen levels, stool guaiac tests for occult blood, and chest x-ray films. In addition, anti-Purkinje cell antibodies were sought in blood samples for patients with ataxia of less than 3 years' duration. All patients were evaluated with MR imaging to determine the extent of volume loss of the structures under study. The diagnosis of sOPCA was made if there was no family history of a similar illness after a detailed history had been taken with construction of a family tree. The diagnosis of dOPCA was based on a clear family history of a similar disorder in at least one parent. Patients with a family history suggesting autosomal recessive inheritance were excluded from this study.

The neurological examinations were conducted by a neurologist (S. G.) blinded to the PET data. Speech was evaluated by a speech-language pathologist (K. J. K.) also blinded to the results of the PET studies.

### Positron Emission Tomography Studies

PET studies were conducted similarly for all subjects. The subjects were fasted for 4 hours before scanning. A catheter was placed in a radial artery for blood sampling. The subjects lay quietly on a table, eyes open, ears unoccluded, and alert but not speaking. Scans were performed after intravenous injection of [11C]FMZ in patients with MSA, sOPCA, dOPCA, and normal control subjects.

The subjects were imaged with a Siemens/CTI 931/08-12 scanner, which has an intrinsic in-plane resolution of 5.5-mm full-width at half-maximum (FWHM) at the center of the

field of view and an axial resolution of 7.0-mm FWHM. The reconstructed resolution is 9.0-mm FWHM at the center of the field of view. Fifteen planes with a 6.75-mm center-tocenter separation were imaged simultaneously. Attenuation correction was calculated by the standard ellipse method. The PET studies were analyzed with a compartmental model and parameter estimation technique that provides pixel-by-pixel determinations of each measurement, thus creating "functional" images [13].

We labeled FMZ with 11C at high specific radioactivity using a methylation process [12] and administered a dose of  $22 \pm 2$  mCi. Blood samples were taken as rapidly as possible during the first 2 minutes after tracer injection and then at progressively longer intervals throughout the remainder of the study. We took a total of 25 to 30 samples per scan. The samples were centrifuged, and the plasma radioisotope concentrations were measured in an NaI well counter. Plasma levels of radiolabeled metabolites of [11C]FMZ were determined by a rapid Sep-Pak C<sub>18</sub> cartridge chromatographic technique [14] in the samples taken at 1 minute and 2 minutes, and every sample from 3 minutes until the end of the scan. Dynamic PET scanning was performed for 60 minutes beginning immediately after injection. Radioactive fiducials with 5 µCi of [11C]FMZ were placed on each subject's scalp. Computer routines automatically determined the locations of these fiducials and used this information to correct for patient motion. The studies with [11C]FMZ were performed to measure ligand influx  $(K_1)$ , which is highly correlated with flow since the single-pass extraction fraction is greater than 50% [13]. The studies were also performed to measure ligand distribution volume (DV), which is linearly related to the density of available receptor sites divided by the ligand dissociation constant  $(B_{\text{max}}'/K_{\text{D}})$ . The methods of using [11C]FMZ for BDZ receptor binding, including the assumptions and limitations and the performance of the agent, are published [13, 14, 52].

A data analyst (M. L.) extracted volume of interest (VOI) information from the PET studies, and these data were shared among the investigators only after completion of measurements from all studies. The process of data extraction is almost completely automated, requiring essentially no judgments by the data analyst. For VOI placement we utilized templates developed previously [45-47, 53]. The PET studies were displayed as two image sets (one each for FMZ  $K_1$ and DV). VOIs were identified in the identical areas from each matched set. VOI data were acquired from the cerebral cortex, caudate nucleus, putamen, thalamus, cerebellar hemispheres, cerebellar vermis, and brainstem. Although study of the cerebellar nuclei would be of interest, the binding in this structure with FMZ was not sufficient to allow accurate measurement. PET images were viewed in the transverse, sagittal, and coronal planes. Each pixel measures 1.875 × 1.875 mm. Data from the cerebral cortex were obtained in transverse images by measuring  $K_1$  and DV in the cortical ribbon from four or five consecutive planes of 15, beginning with the plane containing the most superior portion of the cingulate gyrus and continuing ventrally to the plane containing the lowest portion of the thalamus. This was accomplished with an algorithm that detects the outer edge of the cortical rim from an image that has been passed through a contrast-enhancing filter. The algorithm then identified a cortical band on the original image that extends inward from this edge until the DV drops below the value on the outer edge of the rim or the band reaches a width of 15 mm. For analysis of the basal ganglia, data were obtained from a 0.9cm<sup>2</sup> square over the caudate nucleus of each side from 2 of the 15 levels, a 1.9-cm<sup>2</sup> parallelogram over each putamen from 2 of the 15 levels, and a 1.3-cm<sup>2</sup> parallelogram over the thalamus of each side from 2 of the 15 levels. For the cerebellum, data were collected from two slices utilizing images obtained in the transverse plane with a 2.6-cm<sup>2</sup> polygon over each cerebellar hemisphere. Data were collected from the cerebellar vermis posterior to the fourth ventricle utilizing a  $6 \times 10 \times 7$ -voxel parallelepiped on a midsagittal slice. Data were collected in the midsagittal plane from the pons with a  $1.3 \times 1.5 \times 1.1$ -cm right parallelepiped. Each VOI was centered over a local peak in  $K_1$ .

## Data Analysis

The data were analyzed in several stages. In the first stage, not presented here, plots of the data were used to assess gross trends and relationships between variables. Second, a multivariate repeated measures analysis of covariance (MAN-COVA) model was fitted to the data incorporating region as the repeated (within-subject) measures variable, diagnosis group, sex, and age, and all of the interactions among these variables to determine whether differences exist between brain regions. In the multivariate analysis, the dependent variable was regional brain  $K_1$  or DV (either absolute or normalized). Measurements on seven (or six) brain regions constituted the repeated measurements on each patient for absolute (or normalized) data. The primary independent variable in the analysis was the categorical variable diagnosis group (MSA<sub>OPCA</sub>, MSA<sub>SDS</sub>, sOPCA, dOPCA, or normal control). The factor sex and the continuous covariate age also were included in the model. All possible interactions between diagnosis group, sex, and age were considered. Age was standardized by subtracting the mean age across all subjects.

Depending on the outcome of the analysis in the second stage, a univariate ANOVA or ANCOVA model was developed to examine each region separately. The factors incorporated into the univariate model were those identified in the second stage as having an overall effect on  $K_1$  or DV across regions or having a differential effect on  $K_1$  or DV between regions. In the last stage, comparisons of individual diagnosis group means were made where appropriate. For all pairwise differences a Bonferroni multiple comparison adjustment [54] was used. With five diagnosis groups under comparison, examination of all comparisons among the age-adjusted group means provided 10 comparisons, which was the Bonferroni factor used throughout. Data were analyzed with the SAS statistical package (SAS Institute, Cary, NC).

#### Results

## Flumazenil Ligand Influx

We studied FMZ influx  $(K_1)$  (Fig 1) using both absolute values (Table 2) and values normalized to the whole brain cerebral cortex (Table 3). In the multivariate repeated MANCOVA of absolute  $K_1$ , we found no evidence for differential effects of age by region or

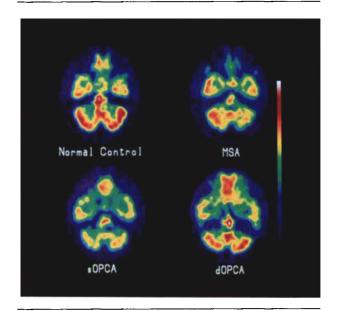


Fig 1. Positron emission tomography scans with {11C}flumazenil showing ligand influx (K1) in a male normal control subject aged 53 years (left upper image), a male patient aged 71 years with multiple system atrophy (MSA, right upper image) of the ataxic (olivopontocerebellar atrophy) type, a male patient aged 63 years with sporadic olivopontocerebellar atrophy (sOPCA, left lower image), and a male patient aged 60 years with dominantly inherited olivopontocerebellar atrophy (dOPCA, right lower image). All scans show horizontal sections at the level of the cerebellum and the base of the temporal and frontal lobes. The scans show decreased influx in the cerebellum and brainstem in MSA and sOPCA and in the cerebellum in dOPCA in comparison with the normal subject.

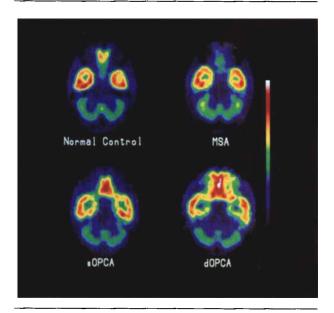


Fig 2. Positron emission tomography scans with {11C}flumazenil showing ligand distribution volume (DV) in the same subjects shown in Figure 1: a male normal control subject aged 53 years (left upper image), a male patient aged 71 years with multiple system atrophy (MSA, right upper image) of the ataxic (olivopontocerebellar atrophy) type, a male patient aged 63 years with sporadic olivopontocerebellar atrophy (sOPCA, left lower image), and a male patient aged 60 years with dominantly inherited olivopontocerebellar atrophy (dOPCA, right lower image). All scans show horizontal sections at the level of the cerebellum and the base of the temporal and frontal lobes. The color bar indicates (11C)flumazenil DV in milliliters per gram. The scans show essentially equal DV in the patients as compared with the normal subject.

Table 2. Flumazenil Influx  $(K_1)$ . Absolute Levels (in ml/gm/min, mean  $\pm$  standard error)<sup>a</sup>

Structure	Normal Controls $(n = 20)$	$MSA_{OPCA}$ (n = 14)	$MSA_{SDS}$ $(n = 5)$	sOPCA (n = 18)	dOPCA $(n = 15)$
Cerebral cortex	$0.29 \pm 0.01$	$0.31 \pm 0.02$	$0.30 \pm 0.03$	$0.29 \pm 0.01$	$0.32 \pm 0.02$
Caudate nuclei	$0.36 \pm 0.02$	$0.37 \pm 0.03$	$0.37 \pm 0.04$	$0.34 \pm 0.02$	$0.40 \pm 0.02$
Putamen	$0.38 \pm 0.02$	$0.39 \pm 0.03$	$0.42 \pm 0.04$	$0.37 \pm 0.02$	$0.42 \pm 0.02$
Thalamus	$0.43 \pm 0.02$	$0.46 \pm 0.04$	$0.47 \pm 0.05$	$0.41 \pm 0.02$	$0.47 \pm 0.03$
Cerebellar hemispheres	$0.37 \pm 0.02$	$0.25 \pm 0.03^{b}$	$0.36 \pm 0.05$	$0.25 \pm 0.02^{\circ}$	$0.34 \pm 0.02$
Cerebellar vermis	$0.35 \pm 0.02$	$0.27 \pm 0.02$	$0.38 \pm 0.04^{d}$	$0.25 \pm 0.02^{d,e}$	$0.32 \pm 0.02$
Brainstem	$0.33 \pm 0.02$	$0.24 \pm 0.03$	$0.37 \pm 0.04$	$0.25 \pm 0.02^{b}$	$0.31 \pm 0.02$

<sup>&</sup>lt;sup>a</sup>Abbreviations are as in Table 1.

<sup>&</sup>lt;sup>b</sup>Significantly different from normal controls at p < 0.05.

Significantly different from normal controls at p < 0.005.

<sup>&</sup>lt;sup>d</sup>Significant difference between MSA<sub>SDS</sub> and sOPCA at p < 0.05.

<sup>&</sup>lt;sup>e</sup>Significantly different from normal controls at p < 0.001.

Table 3. Flumazenil Influx  $(K_1)$ . Normalized to the Cerebral Cortex (mean  $\pm$  standard error)<sup>a</sup>

Structure	Normal Controls (n = 20)	$MSA_{OPCA} $ $(n = 14)$	$MSA_{SDS} $ $(n = 5)$	sOPCA (n = 18)	dOPCA  (n = 15)
Caudate nuclei	$1.24 \pm 0.03$	$1.20 \pm 0.05$	$1.23 \pm 0.07$	$1.17 \pm 0.03$	$1.23 \pm 0.03$
Putamen	$1.33 \pm 0.02$	$1.27 \pm 0.04$	$1.40 \pm 0.06$	$1.29 \pm 0.03$	$1.31 \pm 0.03$
Thalamus	$1.50 \pm 0.03$	$1.49 \pm 0.06$	$1.57 \pm 0.08$	$1.42 \pm 0.04$	$1.46 \pm 0.04$
Cerebellar hemispheres	$1.27 \pm 0.05$	$0.81 \pm 0.08^{b}$	$1.18 \pm 0.12$	$0.88 \pm 0.05^{b}$	$1.05 \pm 0.06^{\circ}$
Cerebellar vermis	$1.22 \pm 0.04$	$0.88 \pm 0.06^{d,e}$	$1.25 \pm 0.09^{e,f}$	$0.87 \pm 0.04^{b,f}$	$0.99 \pm 0.05^g$
Brainstem	$1.13 \pm 0.04$	$0.80 \pm 0.07^{e,g}$	$1.23 \pm 0.11^{e,h}$	$0.85 \pm 0.05^{b,h}$	$0.98 \pm 0.05$

<sup>&</sup>lt;sup>a</sup>Abbreviations are as in Table 1.

sex by region, nor evidence for differential effects of interactions among these factors and diagnosis by region. Strong evidence was obtained for differences in regions (p < 0.0001) and for differential effects of diagnosis by region. Further (univariate) analysis of individual regions, adjusted for age and age by diagnosis interactions, revealed highly significant differences between the five diagnostic groups in the cerebellar hemispheres (p = 0.001) and cerebellar vermis (p =0.001). A significant difference was found in brainstem (p = 0.005) as well. No differences among diagnostic groups were found in the cerebral cortex, caudate nucleus, putamen, or thalamus (p > 0.10).

As indicated in Table 2, pairwise comparisons of age-adjusted means for the groups found to differ as described above (cerebellar hemispheres, cerebellar vermis, and brainstem), corrected with a Bonferroni adjustment, revealed significantly decreased absolute  $K_1$  in the cerebellar hemispheres (p < 0.005), cerebellar vermis (p < 0.001), and brainstem (p < 0.05) in sOPCA patients compared to normal control subjects. The analysis also showed significantly decreased absolute  $K_1$  in the cerebellar hemispheres (p < 0.05) in MSA<sub>OPCA</sub> patients compared to normal control subjects and in the cerebellar vermis (p < 0.05) in sOPCA compared to MSA<sub>SDS</sub> patients.

Analysis of  $K_1$  normalized to cerebral cortex revealed no evidence for differential effects of sex or age by region, nor evidence for differential effects of interactions between sex and diagnosis or age by region. Strong evidence was found for differences in regions (p < 0.0001) and differential effects of diagnosis by region (p < 0.003). Further analysis of individual regions, adjusted for age and age by diagnosis interactions, demonstrated highly significant differences between the five diagnostic groups in the cerebellar hemispheres (p < 0.00001), cerebellar vermis (p < 0.00001), and brainstem (p = 0.00001). No other regions exhibited significant differences in diagnostic groups (p > 0.10).

Individual Bonferroni-adjusted comparisons of ageadjusted mean normalized  $K_1$  values (see Table 3) revealed a significant decrease of influx in the cerebellar hemispheres (p < 0.0001), cerebellar vermis (p <0.001), and brainstem (p < 0.005) in MSA<sub>OPCA</sub> patients in comparison to normal subjects. A significant decrease of  $K_1$  was found in these same three regions (all p < 0.0001) in sOPCA patients in comparison to normal control subjects. A significant decrease of  $K_1$ was found only in the cerebellar hemispheres (p <0.05) and cerebellar vermis (p < 0.05) in dOPCA patients in comparison to normal control subjects. A significant decrease of  $K_1$  was found in the cerebellar vermis and brainstem (p < 0.05) in MSA<sub>OPCA</sub> in comparison to MSA<sub>SDS</sub> patients, and a significant decrease of  $K_1$  was found in the cerebellar vermis (p < 0.005) and brainstem (p < 0.05) in sOPCA in comparison to MSA<sub>SDS</sub> patients.

## Flumazenil Ligand Distribution Volume

In the multivariate repeated MANCOVA of absolute DV, we found no evidence of differences among the diagnostic groups (Fig 2). We found neither an average diagnosis effect nor a differential diagnosis effect by region. Univariate ANCOVA adjusted for age and age by diagnosis interactions supported this finding (Table 4).

Analysis of DV values normalized to the cerebral cortex provided evidence for a differential effect of diagnosis by region as well as age by diagnosis by region interaction. No average effect of diagnosis across all regions was apparent. Subsequent univariate analyses of each region disclosed differences between diagnostic groups only in the cerebellar vermis (p = 0.01).

<sup>&</sup>lt;sup>b</sup>Significantly different from normal controls at p < 0.0001.

Significantly different from normal controls at p < 0.05.

<sup>&</sup>lt;sup>d</sup>Significantly different from normal controls at p < 0.001.

<sup>&</sup>lt;sup>e</sup>Significant difference between MSA<sub>OPCA</sub> and MSA<sub>SDS</sub> at p < 0.05.

Significant difference between MSA<sub>SDS</sub> and sOPCA at p < 0.005.

<sup>&</sup>lt;sup>8</sup>Significantly different from normal controls at p < 0.005.

<sup>&</sup>lt;sup>h</sup>Significant difference between MSA<sub>SDS</sub> and sOPCA at p < 0.05.

Table 4. Flumazenil Distribution Volume, Absolute Levels (in ml/gm, mean ± standard error)<sup>a</sup>

Structure	Normal Controls (n = 20)	$MSA_{OPCA}$ $(n = 14)$	$MSA_{SDS}$ $(n = 5)$	sOPCA (n = 18)	dOPCA (n = 15)
Cerebral cortex	$5.23 \pm 0.20$	$5.45 \pm 0.33$	$5.70 \pm 0.49$	$5.58 \pm 0.22$	$5.52 \pm 0.24$
Caudate nuclei	$3.07 \pm 0.18$	$3.23 \pm 0.30$	$3.07 \pm 0.45$	$3.25 \pm 0.20$	$3.10 \pm 0.22$
Putamen	$3.51 \pm 0.15$	$3.91 \pm 0.25$	$3.69 \pm 0.37$	$3.75 \pm 0.16$	$3.61 \pm 0.18$
Thalamus	$3.59 \pm 0.14$	$3.70 \pm 0.23$	$3.74 \pm 0.34$	$3.64 \pm 0.15$	$3.68 \pm 0.17$
Cerebellar hemispheres	$4.06 \pm 0.24$	$4.74 \pm 0.38$	$4.39 \pm 0.58$	$4.29 \pm 0.26$	$4.26 \pm 0.28$
Cerebellar vermis	$3.58 \pm 0.17$	$3.67 \pm 0.28$	$3.84 \pm 0.43$	$3.17 \pm 0.19$	$3.39 \pm 0.21$
Brainstem	1.14 ± 0.05	$1.12 \pm 0.08$	1.27 ± 0.13	$1.12 \pm 0.06$	$1.14 \pm 0.06$

<sup>&</sup>lt;sup>a</sup>No significant differences were found between regions. Abbreviations are as in Table 1.

Bonferroni-adjusted pairwise comparisons of ageadjusted means for each diagnostic group revealed significantly decreased DV in the cerebellar vermis (p < 0.01) in sOPCA patients relative to normal control subjects (Table 5).

A power analysis was undertaken to determine whether the normal DV in all patient groups within all regions (except for the cerebellar vermis in sOPCA patients) was due to the limited number of subjects available. Using the estimated standard deviations and age-adjusted normal control means from these data, we examined the probability of detecting a 20% decrease in FMZ DV relative to normal control values for each region and diagnosis group for a test at  $\alpha$  = 0.05. For absolute data obtained from the cerebellar vermis, the power was 0.85 in sOPCA patients relative to normal control subjects, 0.81 for dOPCA patients, 0.80 for MSA<sub>OPCA</sub> patients, and 0.48 for MSA<sub>SDS</sub> patients. The power for the other regions in sOPCA patients ranged from a minimum of 0.69 for cerebral cortex to a maximum of 0.97 for caudate nucleus. For other diagnostic groups, the power ranged from 0.65 to 0.95 for dOPCA, 0.63 to 0.94 for MSA<sub>OPCA</sub>, and 0.34 to 0.68 for MSA<sub>SDS</sub>. For normalized data obtained from the cerebellar vermis, the power was 0.98 for sOPCA, dOPCA, and MSA<sub>OPCA</sub> patients, and 0.78 for MSA<sub>SDS</sub> patients. The caudate nucleus was the region with the lowest power: 0.91 for sOPCA, 0.88 for dOPCA, 0.86 for MSA<sub>OPCA</sub>, and 0.55 for MSA<sub>SDS</sub> patients. Thus, the lack of statistically significant differences in DV for most regions in most patient groups cannot be attributed to low power.

#### Discussion

This study demonstrated significantly decreased absolute levels of FMZ influx  $(K_1)$  in the cerebellar hemispheres of patients with MSA<sub>OPCA</sub> as compared with normal control subjects; in the cerebellar hemispheres, cerebellar vermis, and brainstem of patients with sOPCA in comparison with normal control subjects; and in the cerebellar vermis of patients with sOPCA in comparison with MSA<sub>SDS</sub> patients. FMZ influx normalized to the cerebral cortex was significantly decreased in the cerebellar hemispheres and cerebellar vermis of patients with MSA<sub>OPCA</sub>, sOPCA, or dOPCA, but not in patients with MSA<sub>SDS</sub> as compared with normal control subjects. FMZ influx normalized to the cerebral cortex was also significantly decreased in the brainstem of patients with MSA<sub>OPCA</sub> or sOPCA but not in patients with MSA<sub>SDS</sub> or dOPCA. Since FMZ

Table 5. Flumazenil Distribution Volume, Normalized to the Cerebral Cortex (mean  $\pm$  standard error)<sup>a</sup>

Structure	Normal Controls (n = 20)	$MSA_{OPCA}$ $(n = 14)$	$MSA_{SDS}$ $(n = 5)$	sOPCA (n = 18)	d <b>OPCA</b> (n = 17)
Caudate nuclei	$0.59 \pm 0.03$	$0.59 \pm 0.04$	$0.56 \pm 0.06$	$0.57 \pm 0.03$	$0.56 \pm 0.03$
Putamen	$0.67 \pm 0.02$	$0.72 \pm 0.03$	$0.66 \pm 0.04$	$0.67 \pm 0.02$	$0.65 \pm 0.02$
Thalamus	$0.69 \pm 0.02$	$0.68 \pm 0.03$	$0.66 \pm 0.04$	$0.66 \pm 0.02$	$0.67 \pm 0.02$
Cerebellar hemispheres	$0.78 \pm 0.03$	$0.87 \pm 0.06$	$0.79 \pm 0.08$	$0.77 \pm 0.04$	$0.76 \pm 0.04$
Cerebellar vermis	$0.69 \pm 0.02$	$0.68 \pm 0.04$	$0.68 \pm 0.06$	$0.57 \pm 0.03^{b}$	$0.61 \pm 0.03$
Brainstem	$0.22 \pm 0.01$	$0.21 \pm 0.01$	$0.22 \pm 0.02$	$0.20 \pm 0.01$	$0.21 \pm 0.01$

<sup>&</sup>lt;sup>a</sup>Abbreviations are as in Table 1.

<sup>&</sup>lt;sup>b</sup>Significantly different from normal controls at p < 0.01.

influx depends strongly on cerebral blood flow (CBF), these findings suggest that CBF is decreased in the cerebellum of patients with the ataxic form of MSA (MSA<sub>OPCA</sub>) as well as both sporadic and dominantly inherited OPCA, but not in the extrapyramidal/autonomic form of MSA (MSA<sub>SDS</sub>). The decline of CBF values in these disorders probably results at least in part from partial volume effects related to tissue atrophy.

Despite the finding of diminished FMZ influx into the cerebellum in MSA<sub>OPCA</sub>, sOPCA, and dOPCA and into the cerebellum and brainstem in MSA<sub>OPCA</sub> and sOPCA, DV was unchanged in all the structures studied, including the cerebellum and brainstem, except for a significant decrease in the cerebellar vermis in sOPCA with data normalized to the cerebral cortex. The decreased DV normalized to cerebral cortex in the cerebellar vermis in sOPCA resulted from the combination of a nonsignificant 11% decrease in absolute DV in the cerebellar vermis and a nonsignificant 7% increase in DV in the cerebral cortex. We cannot determine whether this finding is spurious, given that it results from the combination of a decrease in one region and an increase in another, or whether it reflects pathological involvement of neurons bearing BDZ receptors in the cerebellar vermis in sOPCA patients.

Subclinical striatal degeneration occurs frequently in OPCA, and thus it is interesting that striatal FMZ  $K_1$  and DV values were normal in all patient groups. The substantial numbers of patients in the sOPCA, dOPCA, and MSA<sub>OPCA</sub> groups and the power analysis lend credence to the findings in these patients, but with the small sample and relatively low power in the MSA<sub>SDS</sub> group, we do not view the results in this one group as definitive.

The cerebellar hemispheres, vermis, and brainstem are known to be decreased in volume in many patients with MSA or OPCA. Our methods do not permit determination of the extent to which atrophy affects our results. The method we used for analysis, that of placing a VOI of standard shape and size over the local peak of activity, is less sensitive to atrophy than are other methods. Nonetheless, it is possible that tissue atrophy partially accounts for our finding that some of the  $K_1$  measurements in the cerebellar hemispheres, vermis, and brainstem are decreased in MSA<sub>OPCA</sub>, sOPCA, and dOPCA patients. Our measurements of DV generally showed no change, indicating little or no loss of BDZ receptors in these disorders. "Correcting" PET results to account for the effects of atrophy is an imprecise process, but had we attempted this, in all likelihood we would have found less prominent reductions in  $K_1$ . We cannot exclude the possibility that "correcting" the DV results would have yielded findings of increased DV per gram of tissue; the interpretation of such a finding would be that GABAA/BDZ receptors

are relatively spared in comparison to other tissue elements that are lost.

Shinotoh and colleagues [55] studied BDZ receptor binding with [11C]FMZ and PET in a previous investigation of OPCA. This investigation revealed significantly increased binding (at the p < 0.01 level) in the cerebellar cortex of 7 patients with sOPCA as compared with 6 normal control subjects. In the same study, BDZ receptor binding was decreased in the cerebellar cortex of 4 patients thought to have cerebellar cortical atrophy (CCA) in comparison with the normal control subjects, but this difference was not statistically significant. The findings in the present study did not confirm those of Shinotoh and colleagues [55]. Factors that might explain the disparity between the two studies include their separation of patients into OPCA and CCA groups, biological differences between subjects in the two studies, and the considerably larger number of patients in the present study.

Only two investigations utilizing postmortem brain tissue characterized the density of GABAA/BDZ receptors in the cerebellum in MSA, and the findings in both studies are compatible with the results of the present investigation. In an autoradiographic study using [3H]flunitrazepam, Whitehouse and coworkers [56] found normal BDZ binding in the cerebellar cortex and increased binding in the dentate nuclei in 4 patients with cerebellar degeneration. Although all patients were labeled as having OPCA, 3 of the 4 met criteria for MSA of the ataxic type since their disorders were sporadic and were characterized by a progressive ataxia of gait, parkinsonism, and a mild terminal dementia. In a recent autoradiographic study of MSA, GABA<sub>A</sub> binding sites were unchanged in the molecular layer but significantly decreased in the granule cell layer [57]. BDZ binding and GABA<sub>B</sub> binding were unchanged in both layers. In the dentate nucleus, GABA<sub>A</sub> binding and BDZ binding were significantly increased.

Neurochemical studies of postmortem brain tissue in dOPCA demonstrated several different biochemical profiles of amino acid levels [58, 59]. Most of these profiles include decreased glutamate, aspartate, and GABA levels in the cerebellar cortex and decreased GABA levels in the dentate nucleus. These profiles probably represent differences in the degree of degeneration of particular types of neurons among various cases of OPCA [60].

The results of three studies of GABA<sub>A</sub>/BDZ receptor binding in OPCA have been reported [56, 61, 62], and they are conflicting. Using [<sup>3</sup>H]flunitrazepam as a ligand, Kish and associates [62] found that BDZ receptor binding was either normal or slightly elevated in the cerebellar cortex. Binding in the deep cerebellar nuclei was not studied. As mentioned already, Whitehouse and coworkers [56] found that BDZ re-

ceptor binding was unchanged in the cerebellar cortex, but increased in the dentate nucleus. Using in vitro postmortem autoradiography with [<sup>3</sup>H]flunitrazepam and [<sup>3</sup>H]GABA, Albin and Gilman [63] found a marked decrease of binding in the cerebellar cortex of 4 patients with OPCA. The reason the findings of Albin and Gilman [63] are different from those of Kish and associates [62] and Whitehouse and coworkers [56] may relate to biochemical differences between patients, probably because of variations in the degree of degeneration of the various types of neurons in the cerebellar cortex [58–60].

The principal conclusion from the present study is that BDZ receptors are largely preserved in the cerebellar cortex, brainstem, and basal ganglia in MSA, sOPCA, and dOPCA despite the known degenerative changes in many of these structures in these disorders. This finding is potentially important therapeutically, since it indicates that pharmacological interventions focused on GABA<sub>A</sub>/BDZ receptors might offer some hope of alleviating the cerebellar and extrapyramidal symptoms of these disorders.

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