



A Randomized Controlled Trial of Pretransplant Antiviral Therapy to Prevent Recurrence of Hepatitis C After Liver Transplantation*

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Hepatitis C virus (HCV) infection recurs in liver recipients who are viremic at transplantation. We conducted a randomized, controlled trial to test the efficacy and safety of pretransplant pegylated interferon alpha-2b plus ribavirin (Peg-IFN-α2b/RBV) for prevention of post-transplant HCV recurrence. Enrollees had HCV and were listed for liver transplantation, with either potential living donors or Model for End-Stage Liver Disease upgrade for hepatocellular carcinoma. Patients with HCV genotypes (G) 1/4/6 (n = 44/2/ 1) were randomized 2:1 to treatment (n = 31) or untreated control (n = 16); HCV G2/3 (n=32) were assigned to treatment. Overall, 59 were treated and 20 were not. Peg-IFN- α 2b, starting at 0.75 μ g/kg/week, and RBV, starting at 600 mg/day, were escalated as tolerated. Patients assigned to treatment versus control had similar baseline characteristics. Combined virologic response (CVR) included pretransplant sustained virologic response and post-transplant virologic response (pTVR), defined as undetectable HCV RNA 12 weeks after end of treatment or transplant, respectively. In intent-to-treat analyses, 12 (19%) assigned to treatment and 1 (6%) assigned to control achieved CVR (P = 0.29); per-protocol values were 13 (22%) and 0 (0%) (P = 0.03). Among treated G1/4/6 patients, 23 of 30 received transplant, of whom 22% had pTVR; among treated G2/3 patients 21 of 29 received transplant, of whom 29% had pTVR. pTVR was 0%, 18%, and 50% in patients treated for <8, 8-16, and >16 weeks, respectively (P = 0.01). Serious adverse events (SAEs) occurred with similar frequency in treated versus untreated patients (68% versus 55%; P = 0.30), but the number of SAEs per patient was higher in the treated group (2.7 versus 1.3; P = 0.003). Conclusion: Pretransplant treatment with Peg-IFN- α 2b/ RBV prevents post-transplant recurrence of HCV in selected patients. Efficacy is higher with >16 weeks of treatment, but treatment is associated with increased risk of potentially serious complications. (Hepatology 2013;57:1752-1762)

*This is publication 18 of the Adult-to-Adult Living Donor Liver Transplantation Cohort Study.

Abbreviations: A2ALL, Adult-to-Adult Living Donor Liver Transplantation Cohort Study; AE, adverse event; ANC, absolute neutrophil count; b-DNA, branched-chain DNA; CHC, chronic hepatitis C; CI, confidence interval; Cr, creatinine; CTP, Child-Turcotte-Pugh (class or score); CVR, combined virologic response; DDLT, deceased donor liver transplantation; DSMB, data and safety monitoring board; EPA, erythropoietin analog; GCRC, General Clinical Research Centers; G-CSF, granulocyte colony-stimulating factor; Hb, hemoglobin; HCC, hepatocellular carcinoma; HCV, hepatitis C virus; IFN, interferon; INR, international normalized ratio; ITT, intent to treat; LADR, low accelerating dose regimen; LDLT, living donor liver transplantation; LOD, limit of detection; LT, liver transplantation; MELD, Model for End-Stage Liver Disease; Peg-IFN-12b, pegylated IFN alpha-2b; PCR, polymerase chain reaction; PLT, platelet; PP, per protocol; pTVR, post-transplant virologic response; RBV, ribavirin; RCT, randomized, controlled trial; RNA, ribonucleic acid; SAE, serious adverse event; SC, subcutaneously; SD, standard deviation; SVR 12, pretransplant sustained virologic response; SVR, sustained virologic response; TMA, transcription-mediated amplification.

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ecurrence of hepatitis C virus (HCV) infection is inevitable in viremic patients undergoing liver transplantation (LT).^{1,2} Aggressive recurrence of hepatitis C is associated with rapid progression to cirrhosis, graft failure, and death or need for LT.³⁻⁵ Prevention of allograft reinfection by pretransplant antiviral therapy is one strategy for improving graft and patient outcomes in recipients transplanted for chronic hepatitis C (CHC).

Virologic response to pegylated interferon (Peg-IFN) and ribavirin (RBV) is reduced in cirrhosis. In the registration trials for Peg-IFN/RBV, the rates of sustained virologic response (SVR) were 5%-15% lower in patients with advanced fibrosis or cirrhosis. Likelihood of SVR further diminishes with increasing severity of liver disease as the result of poor tolerability, dose reductions, discontinuation of therapy, and intrinsically compromised response to Peg-IFN/RBV. SVR was only demonstrated in 13% of patients with HCV genotype 1 and decompensated liver disease, two thirds of whom were treatment naïve. SVR

Despite the reduced rates of SVR among patients with advanced liver disease, on-treatment clearance of HCV ribonucleic acid (RNA) from blood can be achieved in 30%-40% of patients with HCV genotype 1 and 70%-90% of patients with HCV genotypes 2 or 3. In the setting of LT, rendering blood free of HCV RNA before transplantation could potentially limit the risk for recurrent HCV after LT. Five published reports have suggested that suppression of HCV RNA in patients with advanced disease is achievable, and that 20%-30% of treated patients may remain free of HCV infection after transplantation. ¹²⁻¹⁶ None of these reports was a randomized trial, limiting conclusions regarding efficacy and, more important, safety.

Herein, we report the efficacy and safety of Peg-IFN/RBV to prevent the recurrence of HCV in a cohort of patients from the Adult-to-Adult Living Donor Liver Transplantation Cohort Study (A2ALL).

Patients and Methods

Study Patients. Patients were enrolled from October 2005 to January 2009 and were followed through December 2009. Two groups of adult patients with chronic HCV infection listed for LT were included: those who had a potential living donor and those with hepatocellular carcinoma (HCC) eligible for Model for End-Stage Liver Disease (MELD) waiting list upgrade. These two patient groups were considered the best candidates for pretransplant HCV treatment because they typically had less-severe liver decompensation and therefore would be predicted to better tolerate therapy. Another feature of these two groups is a relatively short, predictable duration of time on the waiting list that allowed for timing of treatment. Key inclusion criteria were stable clinical status, HCV RNA positive, MELD ≤ 20 , and anticipated time to transplantation of at least 12 weeks. The protocol allowed an investigator to petition for enrollment of a patient with a MELD score from 21 to 25. A clinical oversight committee (G.E., A.L., and N.T.) was created to review the clinical information and determine eligibility for enrollment. Only 1 case (MELD 22) was approved and enrolled under this provision.

The main exclusion criteria were history of null response to a previous course of full doses of Peg-IFN and RBV for at least 12 weeks, symptomatic cardiovascular or psychiatric disease or serious systemic illness, active

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substance abuse within the previous 6 months, severe cytopenias not responsive to either erythropoietin analog (EPA) or granulocyte colony-stimulating factor (G-CSF), and unstable clinical courses related to ongoing gastrointestinal bleeding, refractory encephalopathy, or HCC beyond Milan criteria. Exclusionary laboratory criteria were creatinine (Cr) \geq 2.2 mg/dL, hemoglobin (Hb) <10 g/dL, absolute neutrophil count (ANC) <750/uL, and platelets (PLT) <35,000/uL before initiation of therapy. EPA and G-CSF were allowed before enrollment to achieve these laboratory entry criteria.

The protocol was approved by the institutional review boards at the participating institutions, and all subjects provided written informed consent.

Study Design. Eligible patients were enrolled at seven clinical centers; patients infected with genotypes 1/4/6 were randomized 2:1 to treatment or observation using a web-based interface stratified by clinical center, whereas those with HCV genotypes 2/3 were assigned to treatment. The different approach by genotype reflected a known lower virologic response to Peg-IFN/RBV for genotypes 1/4/6 than for genotypes 2/3 as well as the need to include untreated controls to assess treatment risk. The targeted duration of therapy (≥12 weeks) was anticipated to be necessary to achieve virologic response. The risk of deferring transplantation was also considered. The intent was to treat patients up to the time of transplantation or for a maximum of 48 weeks. Treatment assignments were not blinded at any stage.

Treatment, using a low accelerating dose regimen (LADR), was initiated with Peg-IFN- α -2b (0.75 μ g/ kg/week) and RBV (600 mg/day). Dose escalations were performed at weeks 1 (Peg-IFN 1.5 μg/kg/week and RBV 800 mg/day), 2 (RBV 1.0 g/day), and 3 (RBV 1.2 g/day for patients who weighed more than 75 kg) based upon patient tolerance and weekly blood counts. Dose escalation of Peg-IFN required ANC $>750/\mu L$ and PLT $>35,000/\mu L$; dose escalation of RBV required Hb >10g/dL. Once a patient reached the target RBV dose of 1-1.2 g/day (approximately 10.6-13.2 mg/kg/day), no further increases in RBV dose were made. Subsequent doses of Peg-IFN and RBV were adjusted based upon adverse events (AEs), patient tolerability, and blood counts. If the highest tolerated dose of Peg-IFN was <0.5 ug/kg, Peg-IFN was permanently discontinued. EPA (PROCRIT 10,000-40,000 U weekly subcutaneously; SC) and G-CSF (NEUPOGEN 150-300 μ g SC up to three times/week) were allowed before and during antiviral treatment if Hb <12.5 mg/dL or ANC <1,000/uL, respectively.

Per protocol, antibiotic prophylaxis was required for patients randomized to treatment who had a history of spontaneous bacterial peritonitis or low protein ascites. After several serious infections, the protocol was amended to administer prophylactic antibiotics to all patients with current or past history of ascites.

Patients were observed every 2 weeks until week 12, then monthly until transplantation or completion of 48 weeks of treatment. Complete blood counts, international normalized ratio (INR) of prothrombin time, and chemistry profile were measured at each visit and more often when clinically indicated. For those completing 48 weeks of treatment or undergoing transplantation, follow-up was every 12 weeks for 24 weeks post-treatment or 48 weeks post-transplantation.

Efficacy Assessments. The primary endpoint was post-transplant virologic response (pTVR), defined as undetectable HCV RNA at week 12 after LT. Pretransplant SVR (SVR12) was defined as undetectable HCV RNA at week 12 after end of treatment. Combined virologic response (CVR) comprised both SVR12 and pTVR.

Patient management required that HCV RNA was quantified locally. Assays differed by clinical center; some assayed HCV RNA by polymerase chain reaction (PCR) assays with limit of detection (LOD) of 50 IU/mL, whereas others used branched-chain DNA (b-DNA) assays with LOD of 615 IU/mL. Serum samples were also stored for subsequent HCV RNA measurement by a central laboratory. The latter samples were first analyzed by b-DNA assay, and all samples with undetectable results (<615 IU/mL) were then retested by transcription-mediated amplification (TMA) with LOD of 5 IU/mL. For data analysis, HCV RNA central laboratory results were supplemented with local results when samples were missing or insufficient for central testing.

Safety Assessments. Safety measures included physical examination, AE assessment, and laboratory monitoring. Cytopenias with Hb <8 g/dL, ANC <500/uL, or PLT <20,000/uL required treatment interruption or discontinuation. Serious adverse events (SAEs) included standard World Health Organization criteria and specific events related to cirrhosis or LT. SAEs were evaluated for relationship to antiviral treatment by the site principal investigators. Deaths were reviewed by an oversight committee (G.E., A.L., and N.T.) and the data and safety monitoring board (DSMB) for A2ALL to evaluate relationship, if any, to antiviral treatment. The DSMB reviewed safety data quarterly and met twice per year to review study progress.

Statistical Analyses. Efficacy was tested first using intent-to-treat (ITT) analyses and subsequently using per protocol (PP) analyses; safety analyses were conducted using PP analyses. Descriptive statistics were reported as mean and standard deviation (SD) or

percentages, as appropriate. Treatment balance over clinical and laboratory characteristics was tested by Student's t test for continuous variables and chi-square test for categorical variables. Variables predictive of pTVR were tested by logistic regression; covariates tested included baseline HCV RNA, HCV genotype, graft type, treatment duration, use of growth factors during treatment, and achievement of 80% target dose of Peg-IFN and RBV. Proportions of SAEs in treated and untreated patients were compared using two-sided Fisher's exact tests; SAE rates were compared using Poisson regression. The time to first HCV RNA negativity was estimated by Kaplan Meier method, with censoring at death, but not at transplant. The distributions of HCV RNA by treatment week were estimated using the reverse Kaplan Meier method to account for data below LOD. 17

Sample size was calculated for patients with genotypes 1, 4, 5, or 6 assuming a 2:1 randomization ($\alpha =$ 0.05) and two-sided testing to detect a difference in pTVR of 30% in treated versus 1% in control patients with 89% power (n = 84 transplanted patients). This enrollment target was not achieved (n = 47 with genotypes 1/4/6 enrolled, 35 transplanted), and recruitment was terminated to allow complete follow-up of enrolled patients before the end of funding. This analysis combines genotypes 2/3 with genotypes 1/4/6. Although an interim analysis was planned after half of the expected genotype 1/4/5/6 patients were transplanted (n = 40), this analysis was not carried out because of low enrollment. Statistical analyses were performed using SAS 9.2 software (SAS Institute Inc., Cary, NC), with tests performed at a significance level of 0.05.

Results

A total of 145 patients with chronic HCV, including 92 with HCC, were potentially eligible for this study. Eighty-seven (60% of all eligible HCV cases), including 49 with HCC (53% of the HCC cases), were enrolled; 8 were screen failures and 79 were assigned to treatment groups (Fig. 1). Reasons for failure to enroll the remaining 58 patients were intolerance to previous interferon (IFN), unwillingness to take IFN-based treatment, null response to previous therapy, "too sick," contraindications to IFN or RBV, or inability to comply with visit schedule or study protocol.

Patients with HCV genotypes 1/4/6 (n = 44/2/1) were randomized 2:1 to treatment (n = 31) or untreated control (n = 16). HCV genotypes 2/3 (n = 32) were all assigned to treatment. Overall, 59 were treated with Peg-IFN/RBV and 20 were not treated. Of the 47 patients with HCV genotype 1/4/6, 2

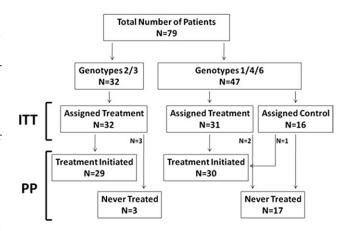


Fig. 1. Enrollment flow chart by HCV genotype, showing both ITT and treatment PP groups. All 32 patients infected with HCV genotypes 2 or 3 were assigned to treatment, but only 29 initiated treatment and 3 never received Peg-IFN or RBV. Forty-seven patients were infected with HCV genotypes 1, 4, or 6, of whom 31 were assigned to treatment and 16 were assigned to untreated control. Two of the patients assigned to treatment never received Peg-IFN or RBV, and 1 control was treated. The 8 screen failures are not reflected in this diagram.

patients assigned to treatment were never treated and 1 patient assigned to control was treated. Three of the thirty-two patients with HCV genotype 2/3 did not receive treatment. Reasons for lack of treatment in the 5 patients assigned to treatment were early transplant, consent withdrawal, death, worsening renal function, and unknown. One control patient requested and received treatment off-protocol.

Baseline Characteristics. Demographic, and laboratory characteristics of the patients are shown in Table 1. The assigned treatment and control groups were well matched with respect to age, gender, ethnicity, race, weight, blood counts, baseline HCV RNA levels, and laboratory assessment of liver and renal function. In addition, mean (± SD) MELD score $(12.0 \pm 3.3 \text{ versus } 12.0 \pm 3.8)$, and Child-Turcotte-Pugh (CTP) mean (\pm SD) score (7.0 \pm 1.5 versus 6.3 ± 1.4) were similar. The treatment group had fewer patients with MELD upgrade for HCC (54% versus 94%; P = 0.003). Sixty-two percent of treated patients and 56% of controls were IFN experienced. The duration of previous therapy and the type of virologic response to previous therapy (i.e., relapse, partial response, and null response) was not determined.

Response During Virologic Treatment **LADR.** Cumulative distributions of HCV RNA results at baseline and during LADR treatment are given in Supporting Fig. 1 for HCV genotypes 1/4/6 (Supporting Fig. 1A) and HCV genotypes 2/3 (Supporting Fig. 1B). Patients with HCV genotypes 2/3 had more rapid, greater suppression of HCV RNA during LADR treatment.

Table 1. Baseline Characteristics of Patients by Assigned
Treatment Group

Characteristics	Treatment (n = 63)	Control (n = 16) Mean (SD) or N (%)	P Value
Characteristics	Mean (SD) or N (%)	Mean (SD) or N (%)	P value
Age, years	56 (7.0)	56 (5.4)	0.71
Gender (%)			
Male	46 (73)	13 (81)	0.75
Ethnicity (%)			
Hispanic	15 (24)	2 (12)	0.50
Race (%)			
White	53 (84)	11 (69)	
African American	3 (5)	2 (12)	0.28
Other	7 (11)	3 (19)	
Weight (kg)	84 (15.3)	88 (13.5)	0.31
BMI (kg/m ²)	28 (4.4)	29 (4.9)	0.45
HCV characteristics			
Genotype (%)			
1	30 (47)	14 (88)	
2	16 (24)	0 (0)*	< 0.001
3	16 (25)	0 (0)*	
4 or 6	1 (4)	2 (12)	
Viral load (log ₁₀ IU/mL)	5.7 (1.0)	5.7 (0.6)	0.99
HCC upgrade (%)	34 (54)	15 (94)	0.003
Laboratory tests			
Hemoglobin (g/dL)	13.1 (1.7)	13.5 (1.3)	0.40
WBC ($\times 10^3$ /mm ³)	4.7 (1.7)	4.2 (1.1)	0.35
ANC (/mm ³)	794 (1,402)	531 (962)	0.48
PLT ($\times 10^3$ /mm ³)	92 (53)	93 (42)	0.98
Albumin (g/dL)	3.2 (0.6)	3.3 (0.6)	0.83
AST (IU/L)	111 (69)	101 (45)	0.59
ALT (IU/L)	84 (59)	79 (40)	0.74
Total bilirubin (mg/dL)	2.1 (1.4)	2.2 (1.6)	0.73
Creatinine (mg/dL)	0.9 (0.3)	0.9 (0.2)	0.81
INR	1.3 (0.2)	1.3 (0.2)	1.00
MELD	12.0 (3.3)	12.0 (3.8)	0.96
CTP Score	7.0 (1.5)	6.3 (1.4)	0.11
Previous IFN treatment (%)	39 (62)	9 (56)	0.68

Abbreviations: HCV, hepatitis C virus; HCC, hepatocellular carcinoma; ANC, absolute neutrophil count; PLT, platelet; MELD, Model for End-stage Liver Disease; CTP, Child-Turcotte-Pugh (class or score); IFN, interferon; BMI, body mass index; WBC, white blood cell count; AST, aspartate aminotransferase; ALT, alanine aminotransferase; INR, international normalized ratio.

*By study design, all HCV genotype 2/3 patients were assigned to treatment.

Figure 2 shows time to first negative HCV RNA level during LADR treatment for patients achieving CVR. The probability of undetectable HCV RNA at week 16 was similar between patients with HCV genotypes 1/4/6 versus HCV genotypes 2/3 (log-rank test: P=0.89). Among patients who had CVR, all achieved undetectable HCV RNA by week 16.

CVR. For ITT analysis, 12 (19%) of the 63 treatment-group patients and 1 (6%) of the 16 controlgroup patients achieved CVR (P=0.29) (Table 2). CVRs included 2 SVRs and 11 pTVRs. The single control CVR was the patient who requested and received treatment, achieved SVR, and did not undergo LT, despite being randomized to no treatment. For the PP analysis, 13 (22%) of the 59 treated (95% confidence interval [CI]: 0.12, 0.35) and none of the untreated group achieved CVR (P=0.03).

A higher proportion of patients with CVR had a >2-log₁₀ IU/mL decrease in HCV RNA by treatment week 4 (89% versus 68%) or week 8 (100% versus 70%) and undetectable HCV RNA by week 12 (100% versus 32%), compared to patients not achieving CVR (Supporting Table 1).

LT. Fifty-seven patients, 44 treated and 13 controls, underwent LT: 16 living donor liver transplantations (LDLTs) and 41 deceased donor liver transplantations (DDLTs). Because of our selection criteria, more patients who underwent DDLT had HCC upgrade (90% versus 6%; P < 0.0001). As a result, the DDLT group had lower laboratory MELD and CTP scores. Otherwise, the LDLT and DDLT groups had similar demographics, HCV genotype distribution, and mean baseline HCV RNA. Among those treated and transplanted, the proportions with pTVR were not significantly different: 5 of 16 (31%) pTVR in LDLT versus 6 of 28 (21%) in DDLT (P = 0.49).

Twenty-two patients were not transplanted and 7 of these had died, 1 each from liver failure, renal failure, multiorgan system failure, cardiac arrest, status epilepticus, sepsis, and unknown. Of the 15 who were alive at the end of follow-up, 2 had achieved SVR and were clinically stable, 8 were still listed and awaiting transplantation, 3 were delisted for progression of HCC, and 2 were delisted for severe deterioration in liver disease and clinical status.

Virologic Responses in Transplanted Patients. None of the 13 controls, but 26 of 44 (59%) treated patients, achieved undetectable HCV RNA by the time of transplantation (P < 0.0001). Of the 44

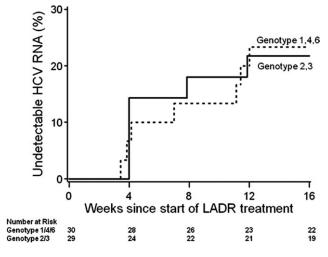


Fig. 2. Cumulative probability distribution, among treated patients, of time from study enrollment to first HCV RNA negativity for patients who achieved either SVR12 or pTVR, estimated by Kaplan-Meier. Dashed line shows the distribution for patients with HCV genotypes 1/4/6, and solid line for HCV genotypes 2/3.

Table 2. Proportions and 95% Cls by Genotype of CVR* and pTVR

Genotype	Analysis	CVR Among All Patients	pTVR Among Transplanted Patients	pTVR Among Transplanted Patients HCV RNA Negative at Transplant
1/4/6	ITT	6/31 = 0.19 (0.07-0.37)	5/24 = 0.21 (0.07-0.42)	5/12 = 0.42 (0.15-0.72)
	PP	7/30 = 0.23 (0.09-0.42)	5/23 = 0.22 (0.07-0.44)	5/12 = 0.42 (0.15-0.72)
2/3	ITT	6/32 = 0.19 (0.07-0.36)	6/22 = 0.27 (0.11-0.50)	$6/14 = 0.43 \ (0.18 - 0.71)$
	PP	6/29 = 0.21 (0.08-0.40)	6/21 = 0.29 (0.11-0.52)	6/14 = 0.43 (0.18-0.71)
All	ITT	12/63 = 0.19 (0.10-0.31)	11/46 = 0.24 (0.13-0.39)	$11/26 = 0.42 \ (0.23-0.63)$
	PP	$13/59 = 0.22 \ (0.12-0.35)$	11/44 = 0.25 (0.13-0.40)	$11/26 = 0.42 \ (0.23 - 0.63)$

^{*}Includes patients who achieved SVR (SVR12 and not transplanted) or pTVR.

Abbreviations: CVR, combined virologic response; SVR12, pretransplant sustained virologic response; pTVR, post transplant virologic response; ITT, intent to treat; PP, per protocol.

treated patients, 52% with HCV genotypes 1/4/6 and 67% with genotypes 2/3 had undetectable HCV RNA at transplantation (Fig. 3).

The proportion with pTVR was comparable in ITT and PP analyses (Table 2). Eleven of the twenty-six (42%) treated patients who had undetectable HCV RNA at transplantation achieved pTVR, 13 (50%) relapsed, and 2 (8%) died before week 12 post-transplant. None of the controls achieved pTVR (P=0.03). pTVR did not differ between patients with HCV genotypes 1/4/6 (5 of 23; 22%) versus patients with HCV genotypes 2/3 (6 of 21; 29%) (P=0.60) (Fig. 3). All 11 patients with pTVR were retested at post-transplant week 24 and all remained HCV RNA undetectable.

The LOD of the test used to detect HCV RNA at transplant made a substantial difference in predicting pTVR. For the 26 patients with HCV RNA undetect-

able at transplant, 8 were tested using assays with LOD of 5 IU/mL, compared to 18 tested using assays with LOD >5 IU/mL, and the pTVR was 75% and 28%, respectively, in these groups (P = 0.038).

Predictors of pTVR. Likelihood of pTVR increased with the duration of treatment. In patients who received <8, 8-16, and >16 weeks of treatment, pTVR was 0%, 18%, and 50%, respectively (P=0.01) (Fig. 4). In univariate PP analyses, duration of pretransplant RBV (categorized; P=0.01) and Peg-IFN (categorized; P=0.05) were the only factors significantly associated with pTVR (Table 3). Although other factors were not significant, patients experiencing pTVR were more likely to be infected with HCV genotypes 2/3, had lower baseline HCV RNA, used growth factors during treatment, and achieved target doses of Peg-IFN and RBV.

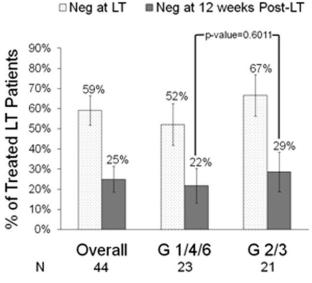


Fig. 3. Percent of treated patients with undetectable HCV RNA at transplant and at week 12 post-LT by genotype. Overall, 59% of 44 treated patients were HCV RNA negative at time of transplant and 25% achieved pTVR (RNA negative at post-transplant week 12). Fifty-two percent of 23 genotype (G) 1/4/6 and 67% of 21 G 2/3 were RNA negative at transplant (P=0.33); and 22% of G 1/4/6 and 29% of G 2/3 achieved pTVR (P=0.60). PP analyses; whiskers are ± 1 standard error.

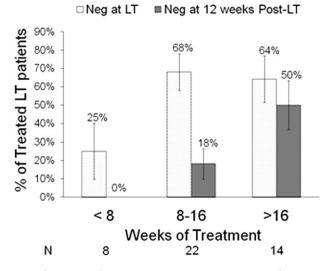


Fig. 4. Percent of treated patients with undetectable HCV RNA at transplant and at week 12 post-LT (pTVR) by treatment duration. Virologic response to pretransplant therapy was linked to treatment duration. Only 25% of the patients treated for less than 8 weeks had undetectable HCV RNA at transplant, and none achieved pTVR. In contrast, 64% of patients treated for more than 16 weeks had undetectable HCV RNA at transplant, and 50% achieved pTVR. PP analyses; whiskers are ± 1 standard error.

	ITT analysis			PP Analysis		
Variables	OR	95% CI	P Value	OR	95% CI	P Value
Baseline HCV RNA log ₁₀ IU/mL	0.54	0.26-1.12	0.10	0.53	0.26-1.10	0.09
Baseline HCV RNA $>$ 5.5 versus \leq 5.5 log ₁₀ IU/mL	0.34	0.08-1.38	0.13	0.29	0.07-1.19	0.09
HCV genotype: 1/4/6 versus 2/3	0.70	0.18-2.74	0.61	0.69	0.18-2.73	0.60
LDLT versus DDLT	1.81	0.46-7.26	0.40	1.67	0.42-6.69	0.47
Duration of pre-liver transplant treatment						
Peg-IFN						
Number of weeks (continuous)	1.09	0.99-1.19	0.09	1.08	0.98-1.19	0.14
Categorized						
>16	1			1		
8-16	0.36	0.08-1.64	0.03	0.36	0.08-1.64	0.05
<8	0.11	0.01-1.11		0.13	0.01-1.35	
RBV						
Number of weeks (continuous)	1.09	0.99-1.20	0.07	1.09	0.97-1.20	0.10
Categorized						
>16	1			1		
8-16	0.24	0.06-1.08	0.004	0.24	0.06-1.08	0.01
<8	0.05	0.002-1.12		0.06	0.002-1.45	
Use of growth factors during treatment	4.58	0.52-40.38	0.17	3.75	0.42-33.61	0.24
Ability to achieve 80% of target dose of both Peg-IFN and RBV	3.38	0.63-17.96	0.15	2.93	0.54-15.75	0.21

Table 3. Predictors of pTVR Among Those Transplanted Who Were Assigned to Treatment (ITT, n = 46) or Who Received Treatment (PP, n = 44)

Abbreviation: OR, odds ratio; pTVR, post transplant virologic response; ITT, intent to treat; PP, per protocol; HCV, hepatitis C virus; LDLT, living donor liver transplantation; DDLT, deceased donor liver transplantation; IFN, interferon; RBV, ribavirin.

SAEs and Death. SAEs before and up to 1 year after LT are listed in Table 4. There was no significant difference in the proportion of treated patients and controls that experienced SAEs (68% versus 55%; P = 0.30), but the number of SAEs per patient was greater in treated patients (2.7 versus 1.3; P = 0.003). There was no association between MELD score and either the total number of SAEs or SAEs resulting from infection (data not shown).

Before LT, a greater proportion of treated patients had SAEs (46% versus 20%; P = 0.04). SAEs of cytopenia (19% versus 0%; P = 0.06) and infection (12% versus 0%; P = 0.18) were only observed in treated patients. Liver-related SAEs occurred with similar frequency (treated versus control, 14% versus 15%; P = 1.0).

Infection was more common in treated patients. As mentioned above, 7 of 59 treated patients (12%) and 0 of 20 controls (0%) experienced an SAE of infection. Nineteen of fifty-nine treated patients (20%) and 2 of 20 controls (10%) experienced an AE of infection.

Rates of infection in treated patients may have been reduced by antibiotic prophylaxis. SAEs of infection occurred in 10% (5 of 48) of patients receiving prophylaxis, compared to 18% (2 of 11) not receiving prophylaxis. AEs of infection occurred in 29% (14 of 48) of patients receiving prophylaxis, compared to 45% (5 of 11) not receiving prophylaxis.

SAEs also tended to be more common in treated patients early after transplantation. Within the first 30 days, a greater proportion of treated patients had

SAEs, compared to controls (32% versus 15%; P = 0.31), and infection was only observed in treated patients (9% versus 0%; P = 0.56).

Between 30 days and 1 year post-transplantation, we found that a similar proportion of treated patients and controls had experienced an SAE (55% versus 46%; P=0.59), but treated patients had more SAEs per patient (2.1 versus 1.2; P=0.06). Rejection within the first year occurred in 1 treated patient and in none of the control patients (2% versus 0%; P=1.0).

Despite the greater risk for SAEs, pretransplant treatment was not associated with increased risk of death: 9 (15%) treated patients and 2 (10%) controls died (log-rank test: P=0.81). Five of the treated patients and 2 of the untreated patients died pre-LT. Overall, mortality rates were 7.0% (4 of 57) in transplant recipients, compared to 31.8% (7 of 22) in those without a transplant.

Dose and Duration of Peg-IFN and RBV. Patients achieving pTVR had higher exposure to both Peg-IFN and RBV. Cumulative doses per kilogram of body weight, duration of treatment, and percentages of patients achieving 80% of target doses for both Peg-IFN and RBV trended higher in the patients achieving pTVR (Supporting Table 2).

Growth Factors. Forty-four of the fifty-nine treated patients (75%) received growth factors (9 received G-CSF alone, 14 received EPA alone, and 21 received both G-CSF and EPA). Ten of the eleven patients

Table 4. SAEs in Untreated and Treated Patients Pre-LT, in the First 30 Days Post-LT, and 30 Days to 1 Year Post-LT

		Treated	Untreated	P Value
Overall				
Number of patients with SAEs %		40/59 (68)	11/20 (55)	0.300
Total number of events		109	14	
Number of SAEs/patient among those	with SAEs	2.7	1.3	0.003
Number of deaths* %		9/59 (15)	2/20 (10)	0.810†
Pre-LT				
Number of patients with SAEs %		27/59 (46)	4/20 (20)	0.040
Total number of SAEs		42	5	
Number of events/patient among those	e with SAEs	1.6	1.3	0.520
Cytopenia	All %	11 (19)	0 (0)	0.060
	Neutropenia	6	0	
	Thrombocytopenia	5	0	
Infection	All %	7 (12)	0 (0)	0.180
	SBP	3	0	
	Other	4	0	
Liver related	All %	8 (14)	3 (15)	1.000
	Liver failure	6	3	
	Other	2	0	
Other %		11 (19)	1 (5)	0.280
Post-LT, first 30 days				
Number of patients with SAEs %		14/44 (32)	2/13 (15)	0.310
Total number of SAEs		17	2	
Number of events/patient among those	e with SAEs	1.2	1.0	0.460
Cytopenia %		0	0	1.000
Infection %		4 (9)	0	0.560
Liver related %		3 (7)	1 (8)	1.000
Rejection %		1 (2)	0	1.000
Surgical complication %		2 (5)	0	1.000
Other %		7 (16)	1 (8)	0.670
Post-LT, 30 days to 1 year				
Number of patients with SAEs %		24/44 (55)	6/13 (46)	0.590
Total number of SAEs		50	7	
Number of events/patient among those	e with SAEs	2.1	1.2	0.060
Cytopenia %		0	2 (15)	0.050
Infection %		9 (20)	3 (23)	1.000
Liver related %		2 (5)	0	1.000
Rejection %		0	0	1.000
Surgical complication %		8 (18)	0	0.180
Other %		13 (30)	2 (15)	0.480

Abbreviation: SBP, spontaneous bacterial peritonitis; SAE, serious adverse events; LT, liver transplantation.

achieving pTVR (91%), compared to 24 of 33 patients without pTVR (73%) (P = 0.41), used growth factors during the course of pretransplant therapy (Supporting Table 2).

Discussion

LADR-A2ALL is the first randomized, controlled trial (RCT) of pretransplant treatment of CHC using Peg-IFN plus RBV to prevent recurrent HCV infection after transplantation. LADR-A2ALL sought to determine the efficacy of pretransplant treatment to prevent recurrent HCV and included an untreated control group to define the safety of Peg-IFN and RBV when used in this setting. Pretransplant treatment achieved post-transplant clearance of HCV pTVR in 25%. However, treatment was associated with an increased frequency of SAEs, including infection.

In LADR-A2ALL, 59% of patients were HCV RNA negative at the time of transplant. This rate of on-treatment viral clearance was higher than noted in previous reports using comparable doses of IFN, or Peg-IFN, with RBV. In the reports by Everson et al., 12 Forns et al., 14 and Carrion et al., 16 32%, 35%, and 35% of patients were HCV RNA negative at the time of transplantation—approximately half the virologic response achieved in LADR-A2ALL. One contributing factor to the higher on-treatment virologic response may have been the relatively higher proportion of

^{*}Causes of death in these 11 patients were cardiac arrest (n = 2), sepsis (n = 2), heart failure (n = 2), liver failure (n = 1), renal failure (n = 1), cerebral edema (n = 1), and unknown (n = 2).

[†]Based on log-rank test.

patients infected with HCV genotypes 2/3. The percentage of patients infected with HCV genotypes 2 or 3 in other studies ranged from 17% to 33%. ^{13-16,18} In LADR-A2ALL, 53% of treated patients were infected with HCV genotype 2 or 3. Another factor may have been the exclusion from the study of patients with previous null response to Peg-IFN and RBV.

The higher on-treatment virologic response in LADR-A2ALL could also have been the result of the use of a variety of HCV RNA assays, with LODs as high as 615 IU/mL. A significant proportion of patients with undetectable HCV RNA by these insensitive assays were likely still viremic. This conclusion is supported by our TMA results, where pTVR was achieved in 75% of patients with undetectable HCV RNA by TMA, compared to only 28% of patients with undetectable HCV RNA, by the less-sensitive assays. This experience indicates that future treatment trials must use centralized sensitive assays to accurately define viral kinetics and virologic responses.

The percentage pTVR achieved in LADR-A2ALL (25%) was similar to pTVR percentages achieved in the reports by Everson et al. (26%), Forns et al. (23%), and Carrion et al. (23%). The relapse rate in LADR-A2ALL of 50% was higher than that observed by Everson et al. (20%), Forns et al. (33%), and Carrion et al. (33%). ^{12,14,16} The higher rate of relapse in LADR-A2ALL was likely related, at least in part, to the high proportion of patients who received a short duration of treatment. In our univariate analyses, the only factor predictive of pTVR was longer duration of pretransplant treatment. Shorter duration of treatment was associated with higher likelihood of relapse—100% of patients receiving less than 8 weeks of treatment relapsed.

Maintaining adequate doses and blood levels of RBV may be particularly important when using lower doses or dose reductions in Peg-IFN. In LADR-A2ALL, patients experiencing pTVR were more likely to have achieved 80% of their targeted dose of RBV (91% versus 70%) and to have remained on RBV longer (17.3 versus 12.9 weeks). Patients achieving pTVR were more likely to have used EPA, a factor that could have allowed greater exposure to RBV and improved the likelihood of clearing HCV. 19

Factors predicting virologic response in patients with advanced stages of CHC have been noted in other studies. Everson et al. found three factors predictive of SVR in 124 patients with advanced hepatitis C: infection with HCV genotype 2 or 3 (compared to genotypes 1/4/6), CTP class A cirrhosis (compared to CTP classes B and C), and dose and duration of IFN

(or Peg-IFN) and RBV treatment. Similar observations were made by Forns et al., Carrion et al., and Thomas et al. 14-16 Carrion et al. also demonstrated that "early" virologic response (>2 log₁₀ IU/mL decrease by week 4) during treatment predicted likelihood for pTVR. In LADR-A2ALL, pTVR was only possible in the patients who were HCV RNA negative by week 12. The findings of Carrion et al. and LADR-A2ALL suggest that treatment could be discontinued in patients who either fail to achieve a 2-log₁₀ drop in HCV RNA by week 8 when using standard doses of Peg-IFN/RBV or who remain HCV RNA positive at week 12 when treated with LADR.

Pretransplant treatment with Peg-IFN plus RBV is only applicable to a select group of patients. We enrolled two types of patients into our study—potential recipients of LDLT who had an identifiable donor undergoing evaluation and potential recipients of DDLT who met criteria for MELD upgrade for HCC. These patients generally have less-severe liver disease, compared to patients awaiting DDLT for complications of liver failure, and are therefore able to better tolerate the AEs associated with Peg-IFN/RBV. Additionally, in these two patient groups, the interval between initiation of Peg-IFN/RBV treatment and transplantation is somewhat predictable. In the case of LDLT, the date of transplantation can be scheduled. In the case of DDLT for HCC after MELD upgrade, transplantation would typically occur within 6 months after the MELD upgrade. In some centers in the United States, LT may be performed at relatively low MELD scores, and the time interval between listing and transplantation can be estimated. Patients with HCV awaiting DDLT in these low-MELD centers might also be candidates for pretransplant treatment. However, practical logistical issues, such as underlying HCC and need for urgent transplantation or availability of donor liver, compromise the ability to extend treatment duration.

In LADR-A2ALL, treated patients experienced more SAEs and infection. In the case-control study of Carrion et al., 16 treated patients had a significantly increased risk for bacterial infection (P < 0.001). The increased risk of infection indicates that prophylactic antibiotics during antiviral therapy may be warranted. Experience in both LADR-A2ALL and Barcelona suggested that antibiotic prophylaxis may have lowered the risk for bacterial infection during Peg-IFN/RBV treatment.

Nine treated patients (15%) and 2 control patients (10%) died. In the absence of a control group, the mortality rate in treated patients might be viewed as

excessive and related to antiviral therapy. However, the similar mortality rate of controls suggests that our observed mortality rate might represent the underlying risk of mortality in these patients on the waiting list before and after hepatic transplantation. Support for this interpretation is provided by the case-control study of Carrion et al. Mortality rates were nearly identical to rates we reported in LADR-A2ALL—8 deaths in 51 treated patients (16%) versus 7 deaths in 51 case controls (14%).

Limitations of the study included failure to reach enrollment target, inability to complete planned minimum duration of treatment of 12 weeks for many subjects, partly resulting from the timing of DDLT availability, incomplete HCV RNA follow-up, inconsistency of LODs of HCV RNA assays, and noncompliance with assigned treatment in a few patients. Generalizability of results is primarily for the U.S. Caucasian population. Strengths of the study include randomization among HCV genotypes 1/4/6, allowing comparison of AEs between treated patients and controls.

In summary, we conducted an RCT of Peg-IFN and RBV in 79 patients with advanced hepatitis C who were candidates for LT. Pretransplant treatment prevented post-transplant recurrence of HCV infection in 25% of transplanted cases—22% in HCV genotypes 1/4/6 and 29% in HCV genotypes 2/3. The strongest predictor of virologic response was duration of treatment. Despite these potentially significant therapeutic benefits, Peg-IFN and RBV were poorly tolerated in these "difficult-to-treat" and "difficult-to-cure" patients. SAEs, some potentially life threatening, occurred during the course of treatment. Future treatments incorporating direct-acting antivirals that accelerate and enhance virologic response should improve rates of pTVR, but will require strategies to limit toxicity.

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Appendix

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