

**Droplet- and Bead-Based Microfluidic Technologies for Rheological and  
Biochemical Analysis**

by

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*Half Dome, Apple Orchard, Yosemite by Ansel Adams, April 1933*

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To my family.

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## Abstract

The development of microfluidics in recent decades has opened new methods for chemical, physical, and biomedical analysis. Two particularly exciting possibilities are portable, self-contained analysis systems and high-throughput, multiplexed analysis systems. While earlier systems have been based on continuous-flow microfluidics, the advantages of droplet-based microfluidics, with droplets of one liquid phase surrounded and isolated by a continuous immiscible second liquid phase, are becoming apparent. However, many of the analysis tools which exist for continuous phase microfluidics are lacking in the droplet regime. This dissertation describes the development of tools for analysis of rheological properties of nanoliter-volume (20 to 30 nL) microfluidic droplets. We report measurements of viscosity and viscoelastic phase angle. Viscosity measurements are achieved by observing the motion of a droplet through a contraction in the channel and relating the pressure, flow rate, and geometric parameters to the viscosity with the Hagen-Poiseuille equation. Phase angle is measured by applying an oscillatory pressure to a droplet located in a contraction and comparing the applied pressure to the droplet interface response. At low frequencies, where the elasticity of the interface is expected to dominate, droplets behave similarly regardless of polymer concentration. As the frequency increases, to a maximum of 6 Hz ( $\sim 37$  rad/s), the elastic contribution of the droplet fluid becomes apparent and samples can be distinguished. In addition, a simple, single mask method for fabricating microstructures with smooth 3D gradients and arbitrary shape in

SU-8 and polydimethylsiloxane (PDMS) is presented. Demonstration applications are shown, involving particle organization, particle imaging, and size-based particle sorting. Alone or in combination with droplet-based approaches, particle-based microfluidic assays offer potential for high-throughput and multiplexed assays. This fabrication technique makes accessible different methods for particle-based assays, especially for presentation of results. This dissertation also presents preliminary work toward a micro-scale dielectric barrier discharge plasma-based electronic pressure actuator, for control of microfluidic flows. Finally, there is discussion of the distributed health diagnostics design, in particular for microfluidic technologies, through the lens of technology assessment. This highlights the importance of interacting with users and considering the broader factors of governments, regulations, infrastructure, economics, climate, geography, culture, and religion.

# Chapter 1

## Introduction

### 1.1 Microfluidics

#### What is Microfluidics?

Microfluidics is the science and study of systems that manipulate small amounts of fluids at length scales from a few microns up to a millimeter. [1] The design and use of microfluidic devices for fluid transport have found many applications in the life sciences, particularly in biochemical analysis and the pharmaceutical industry, and in other areas including chemical syntheses and environmental testing. Passively or actively controlled microfluidic components have been developed for transport processes, which include mixing, reactions, separations, and particle manipulations, and for fluid control, which include valves, pumps, actuators, mixers, reactors, and sensors. [2] The strength of microfluidic systems lies in their ability for integration; this has led to the rapid expansion of the field and development towards micro-total analysis system ( $\mu$ TAS), commonly known as ‘lab-on-a-chip’ systems. [3] These idealized integrative devices incorporate sample preparation, handling, detection, and analysis, [4] and enable high-throughput screening studies and strive to be incorporated in a user-friendly, automated system. [5] Furthermore, the parallel analysis capabilities, fast reaction/separation times, and the reduced

reagent quantities allow microfluidic technologies to have a revolutionizing impact on biological and chemical assays. [6]

### Concepts at the Microscale for Fluid Flow

The physical properties of microsystems are governed by scaling laws that express the variation of physical quantities with length scale,  $l$ , of a given system or object, provided that other external quantities, such as time ( $t$ ), pressure ( $p$ ) and temperature ( $T$ ), remain constant. [7] For instance, a general scaling law frequently used for microfluidic systems examines the ratio of the surface forces, such as surface tension and viscosity, to volume forces, such as gravity and inertia, as a system's dimensions are reduced. This scaling law can be expressed by

$$\frac{\text{surface forces}}{\text{volume forces}} \propto \frac{l^2}{l^3} \propto l^{-1} \xrightarrow{l \rightarrow 0} \infty, \quad (\text{Equation 1.1})$$

indicating the importance of surface forces in these micron-based systems. [2]

In addition to scaling laws, dimensionless numbers, as shown in Table 2, provide further insight into the physical phenomena occurring in microfluidic devices, and are derived from fundamental equations governing the behavior of fluid flow. [8] For instance, the simplified Navier-Stokes equation is

$$\rho \frac{d\mathbf{u}}{dt} = -\nabla p + \eta \nabla^2 \mathbf{u} + \mathbf{f}, \quad (\text{Equation 1.2})$$

where  $\rho$  is the fluid density,  $\mathbf{u}$  is the fluid velocity vector,  $\eta$  is the viscosity, and  $\mathbf{f}$  represents body forces. [8] The most commonly referred dimensionless parameter in microfluidic systems obtained by making the above equation dimensionless is the Reynolds number,

$$\text{Re} = \frac{\rho U_0 L_0}{\eta}, \quad (\text{Equation 1.3})$$

where  $U_0$  is the initial flow speed, and  $L_0$  is the characteristic length. The Reynolds number compares the relative importance of inertial effects and viscous effects; at the dimensions employed by microfluidic devices, the Reynolds number is sufficiently low ( $Re \ll 2000$ ); in this regime, viscous forces dominate and flow conditions are governed by laminar flow. [8, 9] The Péclet number, another important dimensionless number obtained from the same equations, compares the convective and diffusive or dispersive effects in channels. This number indicates the degree and form of mixing in fluid samples and is important when designing devices for sensing and separating flow sources and ingredients. [8] At the dimensions used in microfluidics devices, the Péclet number is sufficiently small; hence, diffusion dominates fluid mixing.

### **Why Microfluidic Chemical Assays?**

Microfluidic continuous flow, microarray, and droplet-based systems have increasingly been used in the miniaturization of current large-scale chemical assays and analytical techniques. Microfluidics enables a high degree of fluid control simultaneously using a near-trivial amount of expensive reagents. The incorporation of liquid handling, temperature control and target detection components into a single device allows for analysis and screening procedures to be completed at greater speeds, higher throughput and yield, and improved selectivity compared to their lab-scale counterparts. [10] For instance, the rapid heat exchange due to downscaling and the incorporation of temperature controllers makes DNA analysis methods extremely efficient, as the thermal cycling necessary for PCR (polymerase chain reaction, i.e., DNA amplification) is performed on a very small thermal mass. [10, 11] In addition, the ability to densely pack microfluidic channels and components together on a device [12] that is essentially photo copied allows for the economical production of highly parallelized systems for high-throughput



analytical studies. Significant technological advances have been made in the burgeoning field of microfluidics; however, many of the systems remain in the proof-of-concept stage. [1] As a result, the full potential of microfluidics will remain unknown until the transition to widespread commercialization occurs. In this article, we review a variety of concepts that contribute towards the construction of a highly integrative microfluidic system and how these concepts have been applied towards chemical analysis applications.

## 1.2 Droplet Microfluidics

Droplet-based microfluidic systems enable the miniaturization and compartmentalization of reactions into picoliter- to microliter-volume droplets that are separated by a second immiscible liquid. Droplets remain mobile in closed-conduit and open-conduit microfluidic channels, similar to continuous flow systems; however, in contrast, droplets behave as isolated chambers that allow reactions to be performed in parallel without cross-contamination or sample dilution. Furthermore, reactions are not required to be stationary as in array chips. As a result, microfluidic droplet-based systems present a high-throughput platform for biological and chemical research.

One of the first droplet-based assay systems was the continuous gas-segmented flow analysis (SFA), or continuous flow analysis (CFA) system. In SFA-based systems, such as the AutoAnalyzer developed in the 1950's by Skeggs, an aqueous stream was segmented into liquid slugs separated by air bubbles. [13] This technological advance significantly increased the number and rate of sample processing events as each slug acts as a distinct reaction microchamber. The isolation of each droplet prevented sample interaction, carryover, and

dilution by reducing longitudinal dispersion effects. [14, 15] Nevertheless, the compressibility of air resulted in uncontrolled fluid behavior; this issue was addressed with the realization of water-in-oil droplets, which forms the foundation of current droplet-based assays.

The formation of picoliter (pL) to nanoliter (nL) droplets in closed conduit systems are typically generated with passive methods by introducing nonlinearity and instability into laminar, two-phase flow microfluidic systems. [16] Two or more streams of immiscible fluids are combined at a rate in which the shear force at the fluid interface is sufficiently large to cause the continuous phase to break the other phase into discrete droplets. [17] The immiscibility of the two-phases ensures the isolation and compartmentalization of each phase. The geometry of the junctions vary; however, the basic droplet formation method typically involves co-flowing streams emerging from a common origin or cross-flowing streams entering a T-junction. [18]

Droplet formation is governed by the capillary number,

$$Ca = \frac{\eta U_0}{\gamma}, \quad (\text{Equation 1.4})$$

where  $\eta$  [Pa-s] and  $U_0$  [m/s] are the viscosity and velocity of the continuous phase, respectively, and  $\gamma$  [N/m] is the interfacial tension between the immiscible phases. [20] At low capillary numbers,  $Ca < 10^{-2}$ , the interfacial force dominates the shear stress and droplet formation dynamics are governed by the ratio of the volumetric flow rates between the two immiscible fluids. [21] When  $Ca > 10^{-2}$ , the shear stress dominates and the channel dimensions, channel geometries, and fluid flow properties all influence the droplet break-up process. [21] Passive droplet generation techniques are ideal for experimental conditions where a large number of droplets are desired, i.e. high-throughput or parallel analysis applications such as large-scale PCR [22] or cell culturing techniques. [23] Furthermore, the composition of the neighboring droplets can be controlled by adjusting the relative concentration of the upstream aqueous

solution. [24] This is especially useful for chemical analysis applications, such as enzymatic assays, [24, 25] drug discovery assays, [25] and protein crystallization techniques, [24] in which various concentrations of initial analyte or solutions must be tested to optimize a procedure. [24]

### **1.3 Needs for Microfluidics**

Microfluidic systems have the capability of replacing many conventional “macro”-scale systems because of their low consumption of reagents and samples, ability to manipulate small volumes with ease, and high speed of reactions and separations. Furthermore, processes in microfluidic systems are conducted at scales more relevant to biological conditions (e.g., the volume of a single cell), and highly parallel chips processing large numbers of samples can easily be constructed. [26] In recent years, there has been significant advancement in the development and implementation of high-density microfluidic chips for a diverse range of applications in biological and chemical analysis and in the diagnosis and treatment of diseases. [27] The general trend continues toward a micro-total analytical system, in which the system performs sampling, sample preparation and transport, chemical reactions, and detection in a single, miniaturized platform. [28] Specifically, interest is escalating in using microfluidics for biosensing applications, for single molecule or single cell detection and analysis, and for the development of inexpensive, portable diagnostics that can be implemented in third world countries for personal care. [27]

Although the size of typical microfluidic channels are quite small (1 to 1000  $\mu\text{m}$ ), there is significant interest in devices with even smaller dimensions, resulting in the rapid emergence of nanofluidics, the study of fluidic transport at the nanometer scale. In nanometer-sized channels,

individual macromolecules such as DNA can be trapped and studied. [29] This steady decrease in dimensions approaches the limit of the continuum approximation where the Navier-Stokes equations break down. However, for water, under normal conditions, the continuum hydrodynamic limit remains robust down to dimensions of tens of nanometers; thus, the Navier-Stokes equation remains accurate in most of these situations. [30] Therefore, the unique aspects of nanofluidics center on the study of surface effects not apparent at the micron-scale.

Despite significant advances in the young field of microfluidics, there remain limitations to the widespread commercialization of this technology mainly based on economic considerations. PDMS lithography and other material advances have significantly reduced the cost of microfluidic substrates, but this may only be a fraction of the total cost. The cost of electronic chips typically scale with the number of separate lithography steps (i.e., mask sets) and the same holds true for microfluidic systems. [31] In addition, multiple materials in the final device (e.g., lamination, valve material), reagent addition to and storage on the chip, packaging of the final device, and micro-to-macro connections with computers and/or fluidic control systems all add to the cost of the assay system. Nevertheless, microfluidics possesses enormous potential, and the extensive worldwide research to develop and commercialize fully automated and integrative systems will likely result in wide variety bioanalysis applications.

The work in this dissertation focuses on addressing some of these needs. In particular, as the advantages of droplet microfluidics cause its use to become more prevalent, new tools are needed that are compatible with this operating mode, even if there exist previous methods that were successful in a continuous flow microfluidic regime.

## 1.4 Organization of this Dissertation

This dissertation will primarily discuss the development of microfluidic technologies for the rheological analysis of droplet-based samples. The development of this work will be presented, from preliminary design and testing, to a label-free liquid-liquid droplet-based microfluidic viscometer, to a liquid-liquid droplet-based microfluidic rheometer, characterizing fluids based on their viscoelastic phase angle. Then, it will discuss the preliminary design, fabrication, and testing of a dielectric barrier discharge (DBD) plasma-based microscale electronic pressure actuator. Next, it will discuss the development of an accessible and affordable single-mask method for microfabricating more complex 3D structures in SU-8 and polydimethylsiloxane (PDMS), with applications thereof. It concludes with a discussion of the importance of technology assessment principles for guiding the development of healthcare technology in general and microfluidics-based distributed health diagnostic technology in particular, and a look at future directions for the work presented. Some of the chapters in this dissertation have been published as journal articles, are adapted in part from published journal articles, or will be submitted for publication as journal articles.

The overview in Chapter 1 is meant as a general introduction to the field of microfluidics and especially to microfluidic analysis systems. Sections are adapted and reprinted with permission by Annual Reviews. [32] More detailed background information is presented as necessary and therefore reserved for the subsequent chapters.

Chapter 2 discusses preliminary work toward developing a droplet-based viscometer, although the droplets here are liquid-air, rather than the liquid-liquid droplets which have become prevalent in recent years and on which later chapters focus. Here a simple, linear channel made of PDMS is used, with atmospheric pressure at the inlet and vacuum at the outlet.

Sample volumes on the order of microliters are pipeted into the channel inlet, and their motion is recorded via high-speed camera. The flow rate of the droplet is determined from this recording, and combined with the knowledge of the channel dimensions and the pressure drop applied by the vacuum, the viscosity of the sample can be determined. Different operating pressures will cause different flow rates and therefore different shear rates, allowing investigation of a sample's shear-thinning, shear-thickening, or other non-Newtonian behavior. This work was conducted in collaboration with Genentech, Inc.

Chapter 3 presents a liquid-liquid droplet microfluidic system for measuring the viscosity of nanoliter droplets without any tracer or label. Measurement of a solution's viscosity is an important analytic technique for a variety of applications including medical diagnosis, pharmaceutical development, and industrial processing. The use of droplet-based (e.g., water-in-oil) microfluidics for viscosity measurements allows nanoliter-scale sample volumes to be used, much smaller than those either in standard macro-scale rheometers or in single-phase microfluidic viscometers. By observing the flowrate of a sample plug driven by a controlled pressure through an abrupt constriction, we achieve accurate and precise measurement of the plug viscosity without addition of labels or tracer particles. Sample plugs in our device geometry had a volume of 30 nL, and measurements had an average error of 6.6% with an average relative standard deviation of 2.8%. We tested glycerol-based samples with viscosities as high as 101 mPa s, with the only limitation on samples being that their viscosity should be higher than that of the continuous oil phase. This work is reproduced by permission of The Royal Society of Chemistry (RSC). [33]

Chapter 4 extends the system in Chapter 3 to oscillatory flow, used to explore the viscoelastic properties of microfluidic droplets. Characterization of a solution's viscoelasticity is

an important analytical technique for a variety of applications including biological and biomedical analysis, pharmaceutical development, and industrial polymers. The use of droplet-based microfluidics allows nanoliter-scale sample volumes to be studied and opens the possibility of integration with other droplet-based operations. An oscillatory pressure signal is applied to a sample plug located in a simple contraction (plug volume  $\sim 22$  nL), and the response of the plug interface is recorded with a high-speed camera. Comparison between the two signals yields the phase angle. At low frequencies, where the interfacial behavior dominates, samples behave identically. At higher frequencies, bulk fluid behavior emerges, and up to 50% of the phase angle shift observed in cone and plate measurements is observed in the microfluidic droplets.

The work in Chapter 5 departs from a focus on measurement of viscous and viscoelastic behavior and presents initial work towards a plasma-based microscale electronic pressure actuator for microfluidic use. The size of the off-chip apparatuses commonly used to control flow in microfluidic devices currently limits the portability of these systems. In the past decade or so, aeronautic researchers have developed plasma actuators for electrohydrodynamic (EHD) flow control in room temperature atmospheric air. The design for a planar DBD plasma actuator is readily compatible with standard microfabrication techniques. Designing, prototyping, and testing of the device is reported.

Chapter 6 presents a technique for simple fabrication of 3D structures in SU-8 and PDMS with only a single photomask. Standard photolithography techniques only allow construction of planar, arbitrarily shaped features with constant thickness. We present an SU-8 release and reattachment technique that allows for single-mask fabrication of linear features with smooth and arbitrary topography. These features are used as molds for PDMS channels with any number of

smooth and gradual or abrupt vertical constrictions and expansions. The channels have been used for particle imaging, sorting, and self-organizing. Only standard photolithography tools are required, and only one photomask is needed regardless of feature intricacy. While simple, this technique will enable researchers with only basic microfabrication tools access to useful complexity in the third dimension.

Chapter 7 contains a look at the design of distributed health diagnostics, and microfluidic technologies in particular, through the lens of technology assessment. In the past decade, chemical and biochemical analysis systems, including those based in microfluidics, have made rapid advances. Success for a technology is measured by its adoption and impact, and its deployment into hospitals, clinics, and homes. The devices which academia and industry are currently developing are generally designed for targeted applications or limited populations. In order to extend the reach of these technologies, there must be conversations with a wide set of patients, health care providers, administrators, manufacturers, and other stakeholders. The field of technology assessment develops tools for such conversations. As the microfluidic diagnostics and distributed health technologies mature, their full promise can only be achieved when scientists and engineers mindfully consider the context in which the devices will be used. Users, governments, regulations, infrastructure, economics, climate, geography, culture, religion: these and other factors all affect how a technology is received and used – or isn't.

Chapter 8 concludes the dissertation and contains both reflections on work done and a look toward future directions for the work presented. Overall, this dissertation primarily presents tools for bringing viscous and viscoelastic material properties into view for the droplet-based systems that offer many advantages to the field of microfluidics. Additional tools and techniques



useful in the development of microfluidic systems for biochemical analyses, especially those using bead-based reactions, are presented.

Finally, the appendix contains the computer code developed for use in this work.

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## Chapter 2

### Preliminary Microfluidic Viscometer Design and Testing

#### 2.1 Introduction

Micro-scale chemical analysis systems have demonstrated their ability and show continued promise to enhance many facets of analytical chemistry and medical diagnostics. By shrinking the scale of the system, the required sample size is shrunk dramatically; for microfluidic systems, typical sample volumes are in the range of nL to pL. [1] These small sample sizes also allow rapid analysis as well as efficient manipulation of the sample. For example, microfluidic devices can perform the heating and cooling cycles necessary for polymerase chain reaction (PCR) DNA amplification in one-quarter the time required by a standard macro-scale thermocycler. [2] Furthermore, multiple operations can be incorporated into one comprehensive device instead of being carried out manually in multiple pieces of equipment. In the example of DNA analysis, one microfluidic device can perform the thermal cycling, purification, and electrophoretic separation steps needed to carry out Sanger-method DNA sequencing. [3]

As the field of microfluidics continues to grow, so does the importance of further understanding fluid behavior at the micro-scale. Many microfluidic devices analyze biological samples, which contain proteins, DNA, RNA, or other molecules that can lead to non-Newtonian

behavior. Additionally, many of the benefits of microfluidic systems are of great advantage in the field of rheology. Low sample volumes allow affordable analysis of expensive recombinant protein solutions. Easy sample handling allows efficient multiplexed analysis over a range of concentrations, temperatures, and other factors. Large surface-to-volume ratios allow use and investigation of interesting surface chemistry or other interfacial phenomenon. These and other advantages recommend microfluidic rheometry as an intriguing field.

Some of the earliest microfluidic viscometers rely on an interesting usage of surface acoustic waves (SAWs). [4, 5] By patterning a series of interdigitated electrodes to form transducers on a piezoelectric surface, surface acoustic waves are generated that propagate from the input transducer to the output transducer through a variety of plate modes. Between the two transducers is placed a cell with the fluid sample, and the viscosity of the sample can be calculated from the loss in signal power due to viscous damping as the wave passes under the sample. This method provides both distinct advantages and drawbacks. These devices in principle are fairly simple, and in fact have no moving parts or fluid flow. However, this keeps them from taking advantage of some of the great benefits of microfluidics, such as the ability to perform sequential operations on a fluid sample, such as heating or mixing. Additionally, the authors found that above viscosities of 600 cP, signal attenuation reached a maximum and viscosity could not be determined.

The majority of more recent microfluidic viscometers are capillary viscometers, determining viscosity by driving a flow either with an imposed pressure and measuring the flow rate, or with an imposed flow rate and measuring the pressure. [6] These devices are more like typical microfluidic devices than the SAW viscometers in that the fluid samples are flowing in the device. Such viscometers can take advantage of the benefits of microfluidic fluid handling,

such as rapid temperature control and incorporation of sequential analysis steps as described above. However, existing capillary viscometers have, with one exception, [7] not taken advantage of these types of operations. Additionally, many of the existing capillary viscometers are – often by the authors’ admission – perhaps unnecessarily complex in some regard.

The viscometer developed by Guillot et al., [7, 8] like several others, relies on knowing the flow rate of the sample fluid and relating the corresponding observed pressure to the unknown viscosity. Their device is unique among viscometers due to its pressure sensing method, however. A known, immiscible reference fluid is flowed through the channel alongside the sample. The interface between the two fluids is measured optically and related to the pressure and eventually to the sample viscosity by the Laplace law, given as

$$P_2(x) - P_1(x) = \frac{\gamma}{R(x)}, \quad (\text{Equation 2.1})$$

where  $\gamma$  is the surface tension and  $R(x)$  is the radius of the interface. While this method is elegant in that it requires no external pressure sensing, the calculations are complex. Additionally, the choice of reference fluid is critical, it being necessary to select a fluid that is immiscible with the sample fluid and that has a viscosity ratio with the sample fluid greater than 10:1 or less than 1:10. Furthermore, the presence of the reference fluid has the potential to interfere with any analysis processes occurring downstream of the viscometer, such as electrophoretic separations.

Chevalier and Ayela [9] present a capillary viscometer using constant flow-rate handling of a fluid sample in slit flow for the study of nanoparticle suspensions. Pressure sensing is accomplished through the fabrication of deflecting membranes located in side ports off of the main channel. Strain gauges are fabricated with the membranes and the pressure can be read as an electronic signal. These strain gauge pressure sensors are precise, but their fabrication requires several steps, some of which require “special and careful arrangements.”

There are other viscometers, with benefits that recommend their use in specific applications. [7, 10] However, some of their features, while beneficial, limit their use in a multiplexed or serial system. For example, the capillary viscometer by Srivastava et al. [11] is self-contained, which is a significant benefit for portable analysis systems, relying only on capillary forces to move the fluid sample. Due to this sample handling technique, however, the viscometer would be difficult to interface with downstream operations, and shear rate is not controllable. Additionally, reuse of the viscometer is not possible, which is a drawback for a device including not only channels but circuitry as well.

For the more specific study of complex fluids with viscoelastic properties, there are a few techniques in use. Stagnation point flows have been in use for decades, [12] and porting this method to the microfluidic regime was a logical step. Taking advantage of the vorticity-free flow near the stagnation point allows large extensional deformation of the fluid sample. [6] Most devices of this type monitor the flow birefringence to observe the extensional properties. [13] Oscillatory flows have also been in use for decades, [14] and are also in use at the micro scale, generated either by application of an oscillatory pressure to the bulk fluid [15] or by oscillatory motion of a magnetic bead within the fluid. [16] Extensional viscometers on the macro-scale have been useful, but require large apparatuses to generate constant extension rates. Designs on the micro scale that can provide similar strain conditions therefore offer great potential. Microfluidic devices employing hyperbolic contraction geometries [17, 18] can provide constant, large extension rates while remaining in a low inertia flow regime, making them valuable tools for this area of study. [6]



## 2.2 Materials and Methods

### Theory

Microfluidic systems are largely characterized by laminar flow regimes due to the small length scales involved. [1] As a result, flow is commonly described by the Hagen-Poiseuille Equation, [19]

$$Q = \frac{\pi R^4}{8\mu} \frac{dP}{dz}, \quad (\text{Equation 2.2})$$

where  $Q$  is the volumetric flow rate,  $R$  is the radius of a circular pipe,  $\mu$  is the fluid viscosity, and  $P$  is the pressure of the fluid which is moving in the  $z$  direction down the pipe. [20] Also used is the related equation for flow in a narrow slit, [9]

$$Q = \frac{1}{12} \frac{H^3 W}{\mu} \frac{dP}{dz}, \quad (\text{Equation 2.3})$$

where  $H$  and  $W$  are the height and width of the slit, respectively, with  $H \ll W$ . [21]

These equations provide the basis for microfluidic capillary viscometers; the channel geometry is known from the fabrication process, and the pressure drop and flow rate are either imposed on the system or measured.

For power law non-Newtonian fluids, [11] the flow can be described by relations derived from the Laplace law. [8] The equations for these fluids remain theoretically simple, and so it remains relatively easy to analyze power law fluids with capillary viscometers. For a power law fluid, the viscosity is related to the shear rate according to Equation 2.4,

$$\eta = m\dot{\gamma}^{n-1}, \quad (\text{Equation 2.4})$$

where  $\eta$  is the viscosity,  $\dot{\gamma}$  is the shear rate, and  $m$  and  $n$  are the model parameters, [21] by measuring the pressure drop at a variety of flow rates. Power law model versions of the Hagen-Poiseuille and slit flow equations, [21] respectively, are

$$Q = \frac{\pi R^3}{(1/n) + 3} \left( \frac{R}{2m} \frac{dP}{dz} \right)^{1/n}, \quad (\text{Equation 2.5})$$

$$Q = \frac{1}{2} \frac{WH^2}{(1/n) + 2} \left( \frac{H}{2m} \frac{dP}{dz} \right)^{1/n}. \quad (\text{Equation 2.6})$$

### Viscometer Design

Capillary viscometers are fundamentally composed of just a simple channel through which the sample fluid flows. As such, there are two main design choices: whether to supply pressure and measure flow rate or supply flow rate and measure pressure, and how to supply the pressure or flow rate. Our main concern is to design a device that is as versatile as possible with regards to fluid type, while still consuming the least volume of sample. Additionally, we would like a design that is straightforward to fabricate.

Focusing on low sample volume recommends the use of discrete flow rather than continuous flow. While the volumes of fluid passing through the device will be low in either case, continuous flow generally requires significant amounts of fluid in associated tubing and reservoirs. For discrete flow, there are two options. Either we can use two liquid phases, and have samples contained as droplets in an immiscible medium, or we can have samples in air. In order to more easily calculate the viscosity of the sample, it is important that the sample be the overwhelming source of pressure drop in the capillary channel. Using an immiscible liquid medium would contribute significantly to the fluidic resistance, while using air as the

surrounding medium will insure that the applied pressure drop corresponds to the pressure drop across the sample fluid alone.

To keep the device versatile, we must avoid reliance on surface forces and electrokinetic forces. With surface forces, specifically capillary pressure, we would be limited to liquid-substrate pairings with favorable surface energies, and we would be unable to control the applied pressure, and by extension, shear rate. Additionally, capillary flow is not reversible, and so for the device to be reusable, we would need an alternate method of removing the previous sample [11]. With electrokinetic forces, such as electroosmotic flow, we would be limited to electrolytic samples. A constant flow rate can be provided by a syringe pump, a common tool for microfluidic systems which provides constant displacement to the plunger of a syringe. However, this is not compatible with our choice of a discrete sample in air. The most straightforward approach is to simply apply a known pressure from an off-chip source. Many on-chip pressure sources have been developed, as discussed above, but they exceed the needs of this application.

To measure the flow rate, there are two main options. The simplest technique is to optically measure the flow rate of the discrete sample. If the top substrate of the device is transparent, we can record the progress of the sample droplet and determine its flow rate from its known volume and observed travel time. Alternatively, we can use electronic drop sensing, [22] where a pair of electrodes is fabricated on the floor of the channel and a voltage is supplied to one of them. When the sample fluid passes over the electrode pair, the electrodes are connected and a voltage signal is read from the second electrode. This method is more elegant and the fabrication of electrode pairs is not overly complex.

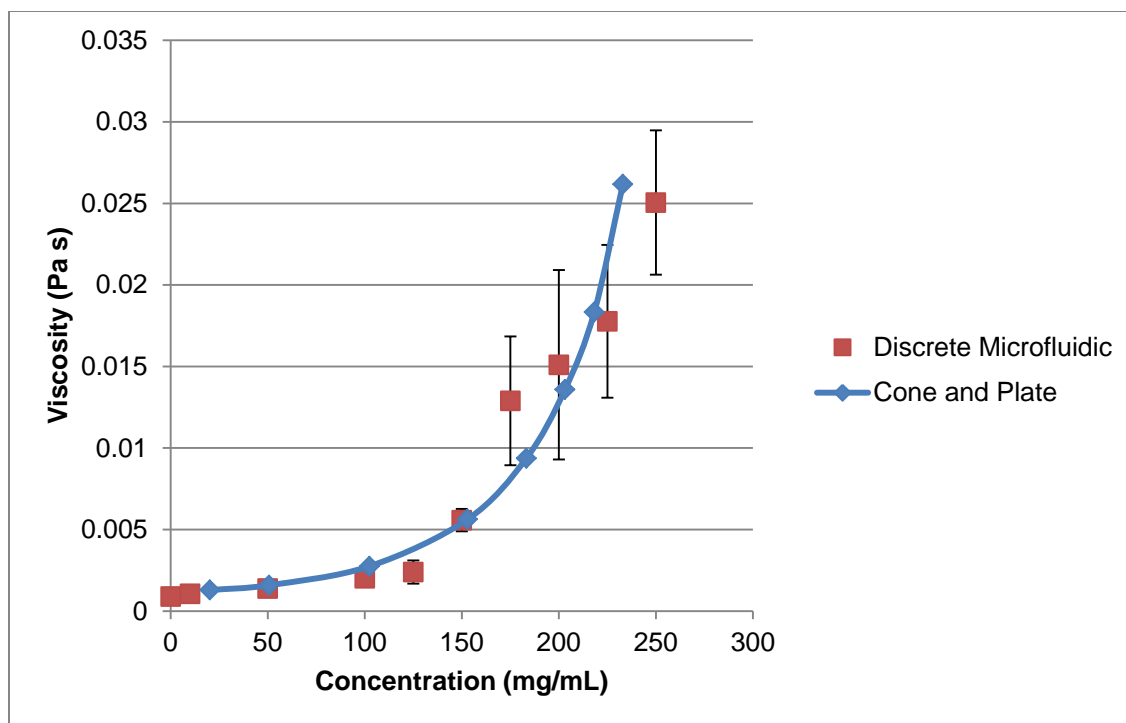
The initial design will consist of a simple microfluidic channel, transparent to allow observation and optical flow rate measurement, with an off-chip vacuum source to provide pressure drop across the channel. Shear rate can be controlled indirectly via the applied pressure drop. Initial devices can be easily assembled from premade polydimethylsiloxane (PDMS) microfluidic assembly blocks (MABs); [23] refinements and subsequent designs will require new molds to be made. In brief, devices are designed with L-Edit CAD, and a photomask is produced from the CAD file using a mask maker. This device exposes the design onto photoresist on a chrome-coated glass mask plate and chrome etchant is used to permanently pattern the mask. SU-8 photoresist is then spin-coated onto a silicon wafer at the desired thickness. UV light is shone through the photomask to pattern the SU-8, which is baked and developed. The SU-8 is then treated with tridecafluoro-1,1,2,2-tetrahydrooctyl-1-trichlorosilane and used as a mold for casting PDMS. The PDMS is heat cured and removed from the mold. Individual PDMS devices or MABs are aligned and plasma bonded to a glass microscope slide. The initial device will be a straight channel constructed from MABs as detailed above. The channel cross-sections are 200  $\mu\text{m}$  wide by 73  $\mu\text{m}$  tall. Alterations to channel cross section in future design refinements will depend on flow behavior observed in the initial device.

Fabrication procedures are all standard and no difficulty is anticipated in the creation of these devices. Minor difficulty is anticipated in the configuration of specific shear rates; unlike a cone and plate rheometer, this capillary viscometer allows only indirect control of shear rate. Shear rate depends on both the applied pressure and the viscosity of the sample fluid. Therefore, for any fluid with shear rate dependent viscosity, conducting measurements at a precise shear rate will be an iterative process of pressure adjustments. The second design, capable of probing a number of shear rates for one sample, will alleviate this problem but not remove it.

The initial capillary viscometer was fabricated from premade microfluidic assembly blocks (MABs) as proposed. Two sample monoclonal antibody (mAb) solutions, designated mAb1 and mAb2, were tested in a cone-and-plate rheometer at  $1000 \text{ s}^{-1}$  at a range of concentrations. Solutions samples of  $20 \text{ }\mu\text{L}$  were also tested in the capillary viscometers, at room temperature ( $25\text{-}26 \text{ }^\circ\text{C}$ ) with vacuum pressure at the outlet ranging between and  $13.8$  and  $75 \text{ kPa}$  to achieve comparable shear rates. Samples were injected into the channel inlet with a pipet and recorded at  $29.97$  frames per second; videos were analyzed with Adobe Premiere CS5 software.

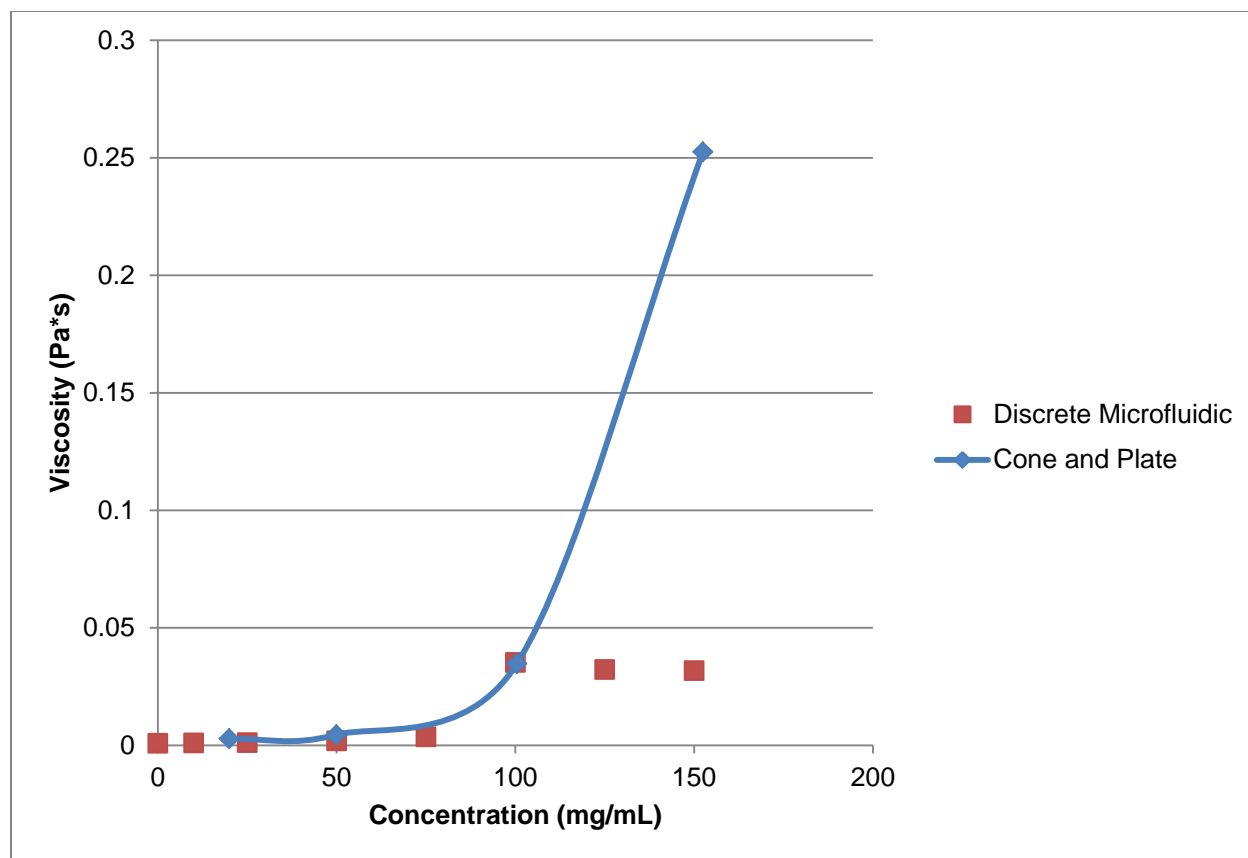
### **2.3 Results and Discussion**

Discrete viscometer measurements for mAb1 (Figure 2.1) are in good agreement with those generated by cone-and-plate rheometer. At concentrations of  $175 \text{ mg/mL}$  and above, standard deviation increases. Flow for these samples was frequently mixed with air and not smooth, indicating a deviation from expected conditions.



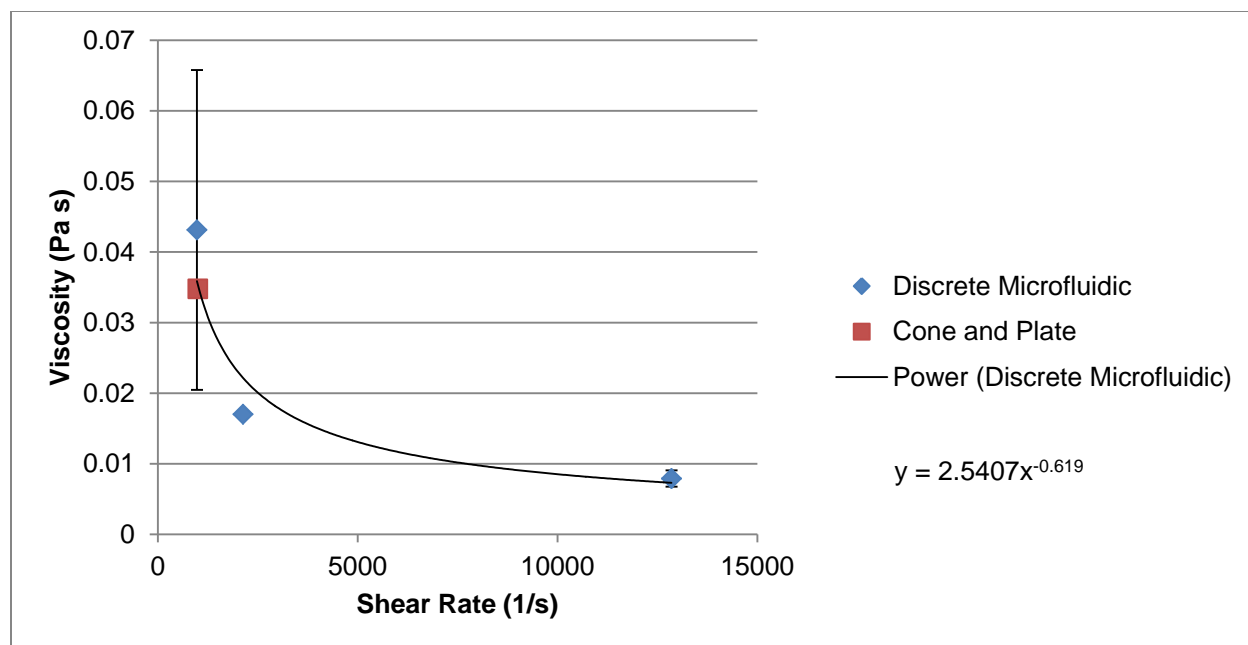
**Figure 2.1** – Viscosity data for samples of mAb1 over a range of concentrations at shear rate at or near  $1000 \text{ s}^{-1}$ . Error bars represent one standard deviation calculated from a set of five measurements.

Discrete viscometer measurements for mAb2 samples were also in good agreement with cone-and-plate rheometer data, with the exception of the two highest concentrations (Figure 2.2). Data sets were precise, with very low standard deviations. Smooth flow without air was observed during measurements. Solutions with concentration 125 mg/mL and 150 mg/mL were observed prior to measurement to contain long, clear fibrils; we suspect that protein in these solutions aggregated and precipitated, resulting in an actual protein concentration less than the prepared concentration. Viscosity measurements for these solutions were comparable to those for 100 mg/mL solution, indicating this as the approximate maximum stable concentration.



**Figure 2.2** – Viscosity data for samples of mAb2 over a range of concentrations at shear rate at or near  $1000 \text{ s}^{-1}$ . Error bars represent one standard deviation calculated from a set of five measurements.

The ability of the system to probe a range of shear rates was also investigated, and data for a  $100 \text{ mg/mL}$  solution of mAb2 is shown in Figure 2.3. Shear rates range from  $\sim 900 \text{ s}^{-1}$  to  $\sim 13000 \text{ s}^{-1}$ , and the power law curve fit to the data from the discrete viscometer is in agreement with the data point at  $1000 \text{ s}^{-1}$  from the cone-and-plate rheometer (See Equation 2.4; observed parameters for mAb2  $100 \text{ mg/mL}$  are  $m = 2.5407 \text{ Pa s}$ ,  $n = 0.381$ ).



**Figure 2.3** – Viscosity data for samples of mAb2 over a range of shear rates at a concentration of 100 mg/mL. Error bars represent one standard deviation calculated from a set of five measurements.

## 2.4 Conclusion

We have developed a working microfluidic capillary viscometer capable of analyzing discrete, small volume samples fluids without limitation on electrical properties, hydrophobicity, or other physical properties. Measurements presented here were taken with 20  $\mu\text{L}$  of sample, but sample sizes of 10  $\mu\text{L}$  and 5  $\mu\text{L}$  have been successfully used. Subsequent work will involve improvement of the viscometer’s capabilities to allow investigation of multiple shear rates with one sample and to allow electronic drop sensing. The design of this viscometer will inform the development of the proposed microfluidic oscillatory flow rheometer to investigate the behavior of blood in physiologically relevant conditions as well as a range of other viscoelastic fluids.



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## Chapter 3

### Nanoliter Droplet Viscometer with Additive-Free Operation

#### 3.1 Introduction

Viscosity is an important material property, and its measurement is a vital tool for analysis areas including industrial, chemical, biological, and medical applications. Medically, viscosity is a useful parameter in analysis of fluids including blood, [2] plasma, [3] sputum, [4] cervical mucus, [5,6] semen, [7] amniotic fluid, [8] and synovial fluid. [9] Biochemically, therapeutic proteins are often produced and delivered at high concentrations, so solution viscosity becomes an especially important attribute when developing molecules. [10, 11] Industrially, viscosity is important for characterization of paints and other coatings, [12] food products, [13] emulsions, [14] polymers, [15] and other products. In some of these applications – for example, medically relevant fluids that are difficult or painful to extract from the patient, or experimental fluids and therapeutic proteins that are expensive to produce in large quantities – a small sample volume can be of vital importance.

Schultz and Furst recently reported a droplet-based rheology device with the addition of 1- $\mu\text{m}$  fluorescent beads for multiple particle tracking of Brownian motion. [16] The minimum droplet volume was approximately 5  $\mu\text{L}$  to ensure the beads were free of hydrodynamic interactions with the droplet boundaries. Srivastava and Burns reported a disposable droplet-

based viscometer with sample volume of 600 nL. [17] That device is operated by capillary pressure with the aqueous sample being pulled into an open-ended glass microchannel. A single sample can be measured at multiple shear rates in 2-8 minutes. [18] However, as a disposable, aqueous-in-air droplet device, that approach is not easily integrated with other microfluidic operations that involve discrete samples. There are also several single-phase microfluidic viscometers [19-23] which can be generally categorized as based upon capillary flow, stagnation point flow, or contraction geometries. [24]

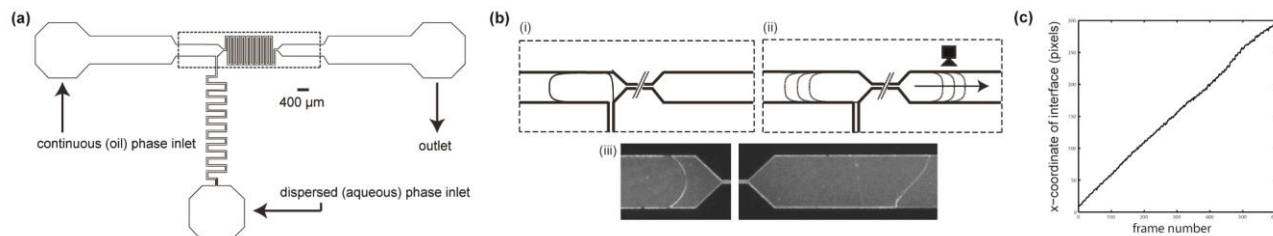
In this work, we present an aqueous-in-oil droplet-based viscometer with nanoliter-scale sample sizes and no added labels or tracers. We employ a standard T-junction geometry to generate an aqueous plug in a continuous phase of oil. [25] Our specific device geometry uses a plug volume of ~30 nL, but different channel sizing would allow even smaller plug volumes. Downstream of the T-junction, the channel constricts to such a degree that over 99% of the hydrodynamic resistance in the device occurs in the constriction. The aqueous plug flows through the constriction, and the velocity of its interface with the oil phase is observed. With this measurement, the known channel dimensions, and the applied pressure, the Hagen-Poiseuille equation yields the viscosity of the sample.

## **3.2 Materials and Methods**

### **Device Design and Fabrication**

The device design (Figure 3.1a) was plotted with L-Edit software (Tanner EDA) and transferred to a chrome-glass mask plate on-site. A silicon wafer (Silicon Valley Microelectronics) was spin-coated with 3  $\mu\text{m}$  of Megaposit SPR 220 3.0 photoresist (Dow Chemical) and exposed on a mask aligner (MA6, SUSS MicroTec). Photoresist coating and subsequent development were performed in an automated cluster system (ACS200, SUSS

MicroTec). A deep-reactive ion etcher (Pegasus, Surface Technology Systems) was used to form vertical sidewall channels with a depth of 25  $\mu\text{m}$ . Individual device dies were cut from the wafer with a dicing saw (RFK Series, Diamond Touch Technology). Access holes were electrochemically drilled in glass microscope slides (Fisher Scientific). The glass slides were coated with 4  $\mu\text{m}$  of parylene to render them hydrophobic and glued to silicon device dies with UV-curable glue (Norland Optical Adhesive, Norland Products). UV-curable glue and epoxy were used to attach Luer lock-compatible tips to the chip (EFD Nordson). To measure the added channel height from the UV-curable glue layer, a device was diced perpendicular to the channel and observed under a microscope (Nikon ECLIPSE Ti-S/L 100). The glue layer was found to be  $\sim 5$   $\mu\text{m}$  thick, for a final channel dimension of 25  $\mu\text{m}$  (width) x 30  $\mu\text{m}$  (height).



**Figure 3.1** – a) Schematic of device. Scale bar represents 400  $\mu\text{m}$ . Total length of serpentine constriction region is 20,000  $\mu\text{m}$  and constriction channel width is 25  $\mu\text{m}$ . Channel height is  $\sim 30$   $\mu\text{m}$ . b) First, aqueous plugs are formed at the T-junction (inset i) by applying pressure at the dispersed phase inlet subsequent to filling the main channel with oil. After the plug is generated, pressure is applied at the continuous phase inlet with a balancing pressure applied at the dispersed phase inlet to prevent backflow in the side arm. As the plug emerges from the constriction (truncated here with dashed lines), the progression of the interface (inset ii) is recorded with a high-speed camera to calculate the flow rate of the aqueous sample through the constriction; example images of an aqueous plug moving through the constriction are shown (inset iii). c) Example data for interface position vs. frame number (i.e. time). The slope of this line is the linear velocity of the droplet fluid. The product of this velocity and the channel cross-sectional area is the flow rate, which with the Hagen-Poiseuille equation yields the viscosity.

## Control of On-Chip Operations

On-chip fluid flow was controlled by a custom-built off-chip pressure control system.

Compressed air was fed into an array of electronic pressure controllers (VSO-EP, Parker

Hannifin), with their outputs routed through solenoid valves (Numatech, Numatics) to syringe-based fluid reservoirs (EFD Nordson). Operation of the pressure controllers and solenoid valves was controlled via a custom program in LabVIEW (version 8.5, National Instruments). During experiments, the chip was placed on a chuck with temperature control system (Neslab RTE-211, Thermo Scientific) held at 20 °C. Device operation was observed through a stereoscope (SZX12, Olympus) and recordings were made with a high-speed camera (TM-6710, Pulnix), a frame grabber PCI card (PIXCI D Series, EPIX), and accompanying software. Image analysis was performed in MATLAB (MathWorks).

### **Sample Preparation and Device Operation**

Various mixtures of glycerol (Sigma-Aldrich) and deionized water were made on weight-percent basis as aqueous viscosity standards. These standards, as well as the fluorinated oils for the continuous phase (Fluorinert FC-3283 and FC-70, 3M), were measured on a cone-and-plate rheometer (AR1000, TA Instruments) with tolerance of 5%. Fabricated devices were secured to the temperature-controlled chuck beneath the stereoscope and allowed to equilibrate. Testing with a thermocouple found no difference between the chuck temperature and the temperature at the top surface of the device. For a typical test, the average chuck temperature was  $20.00 \pm 0.01$  °C. Fluid reservoirs, connected to pressure sources as described above, were connected to the chip. The main channel was then filled with oil – either FC-3283 or FC-70 (viscosity at 20 °C of 1.5 and 30 mPa s, respectively). Pressure was applied to the aqueous inlet to generate a plug large enough to fill the constriction and one side of the next narrowest region of the channel (Figure 3.1b.i), a volume of approximately 30 nL. During operation, while the chosen pressure was applied to the oil inlet, a slightly lower pressure was applied to the aqueous inlet to prevent flow in that channel, verified by observation of the oil-aqueous interface inside the aqueous

channel at the T-junction. Progression of the plug interface exiting the constriction (Figure 3.1b.ii) was recorded with the high-speed camera; for a typical test, the average frame rate was  $120.43 \pm 2.00$  fps. Transit times for a plug through the constriction, for the viscosities tested here, were on the order of 1 to 100 seconds. Sample interface images from the recording are shown (Figure 3.1b.iii). Both upstream and downstream of the constriction, the droplet interface is contained in the 400  $\mu\text{m}$ -wide section of the channel during measurement. This ensures that the constriction is filled only with the aqueous sample and therefore all contributions to pressure drop within the constriction are from the sample (droplet phase) viscosity rather than the continuous phase viscosity.

### Data Analysis

Recordings of droplet motion at the exit of the constriction were analyzed with a custom MATLAB script. For each frame, the location of the interface was determined by optical intensity and recorded (Figure 3.1c). This interface velocity was converted into a volumetric flow rate using the recorded frame rate for a given run and the known channel geometry. The Hagen-Poiseuille equation, valid for laminar, viscous, incompressible flow, was re-arranged to yield the viscosity,

$$\mu = \frac{\Delta P \pi d^4}{128 L Q}, \quad (\text{Equation 3.1})$$

where  $\mu$  is the viscosity,  $\Delta P$  is the applied pressure drop,  $d$  is the diameter of the channel,  $L$  is the length of the channel, and  $Q$  is the volumetric flow rate. For the diameter, we used the hydraulic diameter of the constriction, 27.2  $\mu\text{m}$ . From the flow rate and channel dimensions we can also calculate the shear rate experienced by the fluid at the channel wall,

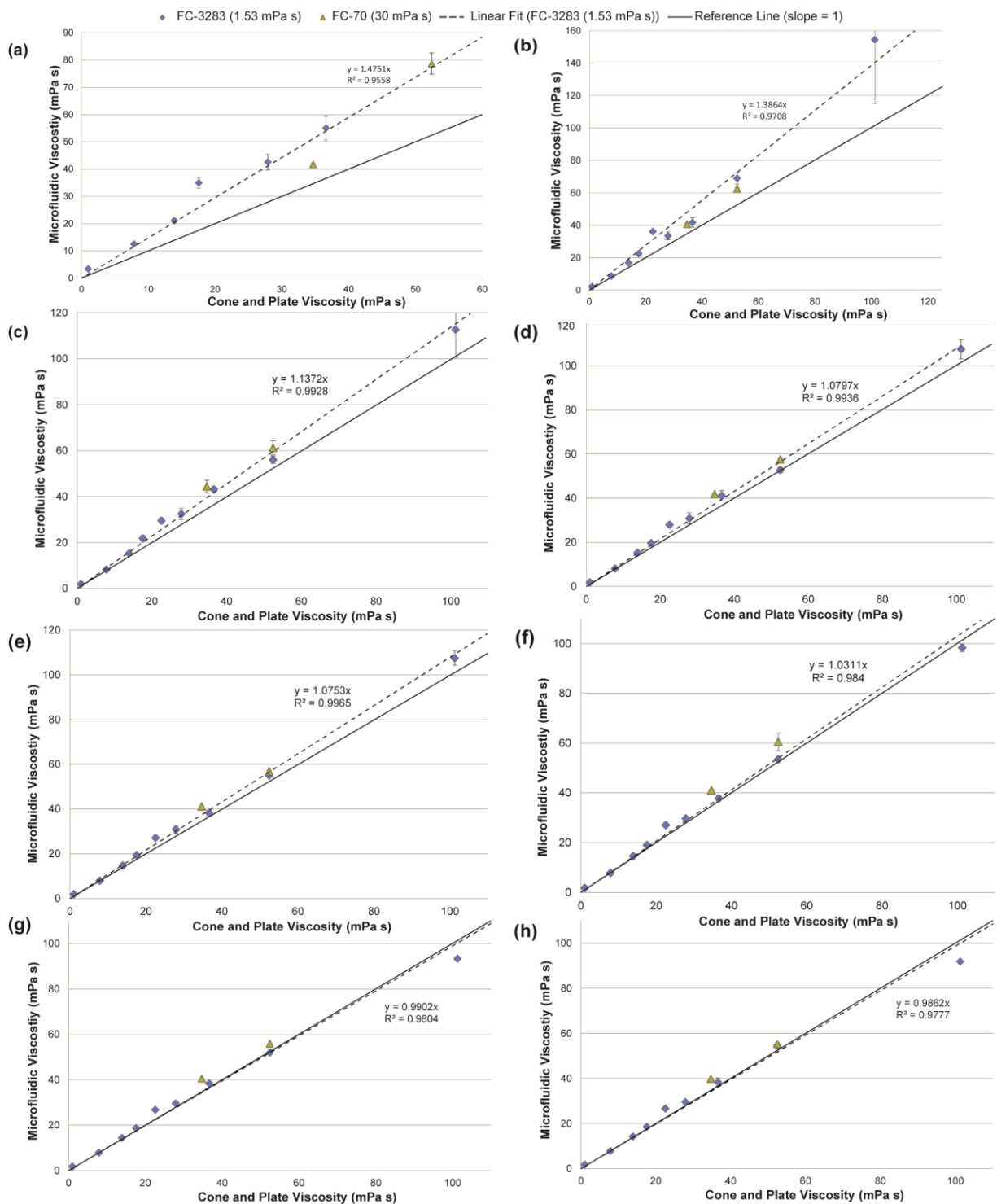
$$\dot{\gamma} = \frac{32 Q}{\pi d^3}. \quad (\text{Equation 3.2})$$

### 3.3 Results and Discussion

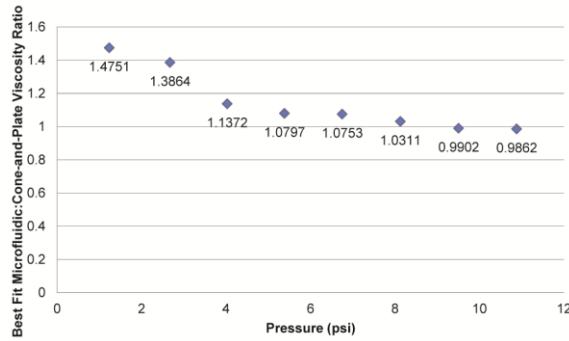
Figure 3.1a shows a schematic of the device we used to measure viscosity. To ensure the overwhelming majority of hydraulic resistance was located in the constriction, the constriction channel is significantly longer and wider than the inlet channels. Also, the continuous-phase oils were chosen to have lower viscosity than the aqueous samples to further ensure negligible pressure drop outside the constriction. Our primary oil, FC-3283, has a viscosity of 1.5 mPa s, but similar oils with viscosity as low as 0.7 mPa s are readily available.

At high operating pressures – above 4 or 5 psi – results from the microfluidic viscometer are in close agreement with results from the cone-and-plate rheometer (Figure 3.2). At low operating pressures, the microfluidic measurement of viscosity is higher than the cone-and-plate measurement. Across all samples at a given operating pressure, the ratio between the microfluidic and cone-and-plate viscosities is constant and can be represented by a linear fit (dashed lines, Figure 3.2). The pressure-ratio trend is captured in Figure 3.3. The observed change in flow behavior is consistent across samples of widely varying viscosity; samples tested ranged from 1 mPa s to 101 mPa s. This apparent difference in viscosity could be caused by discrepancies in five different parameters, i.e. the five variables in the Hagen-Poiseuille equation given above: i) increased effective flow rate, ii) decreased effective channel diameter, iii) increased effective channel length, iv) increased apparent viscosity, or v) decreased effective pressure difference.





**Figure 3.2** – Cone-and-plate measured viscosity compared to viscosity calculated with the Hagen-Poiseuille equation from flow in the microfluidic device, driven at a) 1.2 psi, b) 2.7 psi, c) 4.0 psi, d) 5.4 psi, e) 6.7 psi, f) 8.1 psi, g) 9.5 psi, and h) 10.9 psi. All data points are the average of three runs, with error bars representing standard deviation. The dashed line is a linear best fit. The solid line is for reference and has a slope of unity. The overall trend for operation at all pressures is shown in Figure 3.3.



**Figure 3.3** – Average ratio of microfluidic viscosity to cone-and-plate viscosity for each operating pressure. Average is determined by the slope of a linear best-fit line to data such as those shown in Figure 3.2.

Flow rate is the parameter directly measured by tracking the droplet interface. Barring errors in the value of the cross-sectional area, flow rate values should be accurate. Surface profilometry and inspection of dissected channels provide accurate cross-sectional information. These same techniques verify the hydraulic diameter. Channel length is defined in the fabrication process by the geometry of the photomask and is easily observed in the finished device. Therefore, variation in this parameter is unlikely.

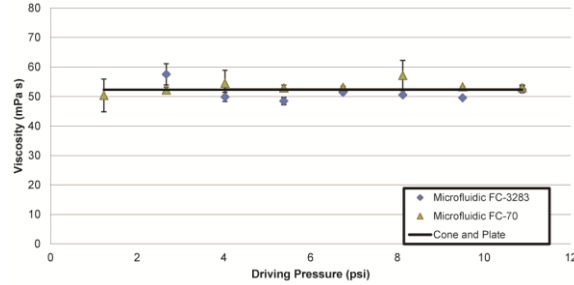
Increased apparent viscosity could be caused by a decrease in fluid temperature. Our device operates on a temperature-controlled chuck, and local heating from viscous dissipation from the sample flowing through the narrow constriction would increase, not decrease, the temperature. Viscous heating in microchannels has been found to increase with increasing flow velocity, increasing fluid viscosity, and decreasing channel diameter; Han and Lee report a theoretical relation for temperature increase over a length of channel: [26]

$$\frac{dT}{dz} = \frac{32 Re}{c_p d^3} \left( \frac{\mu}{\rho} \right)^2. \quad (\text{Equation 3.3})$$

With this relation, viscous heating of the fluid in our device is calculated to be between  $1.0 \times 10^{-4}$  K (for 85 w% glycerol) and  $2.4 \times 10^{-4}$  K (for water) for the flow rates observed at our maximum operating pressure, which we can neglect.

Recently, there has been substantial exploration of the no-slip boundary condition in microfluidics, [27] and in particular of the effects of the continuous oil phase on the dynamics of aqueous droplets and plugs. [28-32] In short, the oil can persist on the channel walls and especially in the channel corners, or “gutters,” next to an aqueous plug, altering its flow behavior. [31] The magnitude of this effect depends on the capillary number, Ca, the viscosity ratio between the plug phase and the continuous phase,  $\lambda$ , and the length of the plug compared to the channel width. [29, 30] Generally, a less-viscous oil phase (i.e.  $\lambda < 1$ ) on the walls of the channel effectively creates slip between the plug and the wall. Capillary number, surface tension, and viscosity ratio affect the thickness of the oil layer, which in turn affects the plug dynamics. [31]

However, the presence of a thin lubricating layer of the continuous-phase oil would effectively increase the slip between the droplet and the channel wall, causing an increased, not decreased, velocity. If an oil layer were affecting the flow behavior of our sample plugs, we would expect to see a change in that behavior at different viscosity ratios. Our oil phase, FC-3283, has a viscosity of 1.5 mPa s. We tested some aqueous samples with different oil, FC-70 with a viscosity of 30 mPa s (Figure 3.4). The flow behavior at two significantly different viscosity ratios ( $\lambda = 34.3$  for FC-3283,  $\lambda = 1.7$  for FC-70) is nearly identical. More broadly, the data for samples tested with the more viscous FC-70 oil (triangles, Figures 3.2 and 3.5) fit on the same lines as those tested with FC-3283. This suggests that the differences we observed is not caused by oil phase lubrication.



**Figure 3.4** – Comparison of apparent viscosity of 80wt% glycerol solution with continuous oil phase of FC-3283 (1.5 mPa s) and FC-70 (30 mPa s). Theoretical and experimental analysis of a thin oil layer along the channel walls, believed to be present in many digital microfluidic applications, indicates a change in droplet flow behavior at different droplet/continuous phase viscosity ratios (34.3 for FC-3283, 1.7 for FC-70). However, the flow behavior shown here is nearly identical for the two different oils.

To ensure that the delivered on-chip pressure was accurate, the pressure output of the regulators feeding into the chip were measured just upstream of the attachment to the chip. Additionally, a hole was drilled on one chip just upstream of the constriction, and pressures were measured there. The two sets of values were in close agreement, and calculations were done with the latter set.

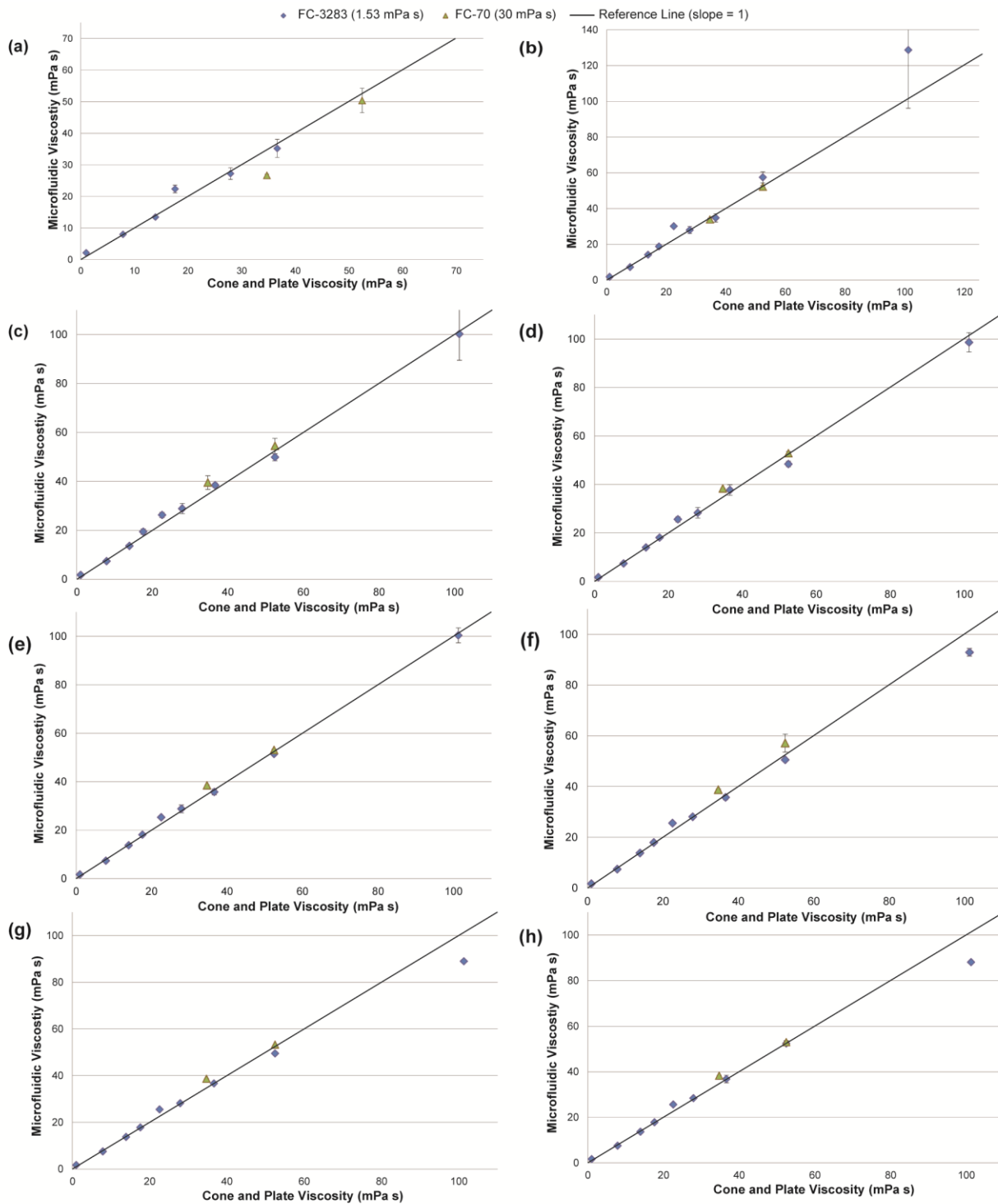
Pressure contributions from interfacial tension could also potentially affect flow in the device. We can calculate the pressure across the interface using the Young-Laplace Equation,

$$\Delta P = \gamma \left( \frac{1}{R_x} + \frac{1}{R_y} \right), \quad (\text{Equation 3.4})$$

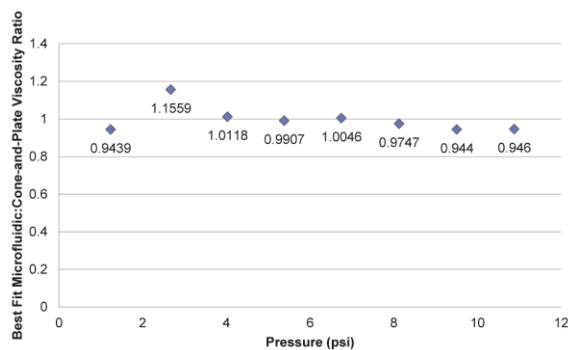
where  $\gamma$  is the surface tension, and  $R_x$  and  $R_y$  are the radii of curvature in the x and y directions, respectively. The surface tension of FC-3283 oil and water is reported as 40 mN/m, [33] and radii of curvature can be estimated visually from the device. As the interface is always in the 400  $\mu\text{m}$ -wide section of the channel during measurements, the radius of curvature in the x-direction is always large enough to ensure a negligible contribution to the interfacial pressure ( $\sim 0.03$  psi). At maximum interfacial curvature, pressure across one interface would be 0.50 psi, for a total maximum pressure contribution by both interfaces of 1.0 psi. Calculations based on overhead

observation of the interface during device operation estimate a potential pressure of up to 0.30 psi at each interface, for a theoretical maximum of 0.60 psi. The contact angle, and therefore the interface shape, may differ in channels constructed from other materials, as the channel surface roughness would vary. Back calculation from our observed flow rates shows that a total interfacial pressure of 0.44 psi, opposing the applied pressure, would best explain the observed phenomenon; this pressure is well within the theoretical ranges discussed above.

Once this interfacial pressure correction is applied, the ratio of measured viscosities becomes close to unity at all operating pressures. Data for all eight operating pressures are shown in Figure 3.5, and the pressure-ratio trend is captured in Figure 3.6. The results produced by the device are accurate and precise, with an average absolute value of the relative error of  $6.6\% \pm 7.0\%$  and an average relative standard deviation of  $2.8\% \pm 3.7\%$ .



**Figure 3.5** – Cone-and-plate measured viscosity compared to viscosity calculated with the Hagen-Poiseuille equation from flow in the microfluidic device, driven at a) 1.2 psi, b) 2.7 psi, c) 4.0 psi, d) 5.4 psi, e) 6.7 psi, f) 8.1 psi, g) 9.5 psi, and h) 10.9 psi, with an adjustment for interfacial pressure. All data points are the average of three runs, with error bars representing standard deviation. The solid line is for reference and has a slope of unity. The overall trend for operation at all pressures is shown in Figure 3.6.



**Figure 3.6** – Average ratio of microfluidic viscosity to cone-and-plate viscosity for each operating pressure with an adjustment for interfacial pressure. Average is determined by the slope of a linear best-fit line to data such as those shown in Figure 3.5.

### 3.4 Conclusion

Our device offers a versatile, simple way to quickly measure the viscosity of a sample plug, with volumes at least as small as 30 nL. The measurement requires no droplet merging or splitting, and needs no labels, tracers, or other additives to the droplet. Measurements are accurate and precise at all operating pressures when an interfacial backpressure is accounted for, and accurate at higher pressures regardless.

Operation could be further automated by the inclusion of electronic droplet sensors on the device, [34] removing the need for visual observation. Combined with the reliable operation at high pressures, this would enable high-throughput analysis. The technique developed here is compatible with many other microfluidic operations, and could be combined with other procedures, including reactions or analyses. Polymerization reactions and other analyses with significant viscosity changes would especially benefit from this technique.

### **3.5 Acknowledgements**

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## Chapter 4

# Viscoelastic Characterization of Nanoliter Droplets in Oscillatory Microfluidic Flow

### 4.1 Introduction

Viscoelastic fluids and complex fluids are most commonly studied in two types of flow: shear and extensional. [1] Traditional geometries for shear flow include cone and plate, parallel plate, concentric cylinders (i.e., Couette), capillary, and slit. These geometries all produce flows with simple, steady shear. Viscoelastic fluids can be studied in these geometries by imposing an oscillatory strain or stress (usually sinusoidal) rather than a constant one. Extensional flow, on the other hand, involves stretching fluid elements without rotating or shearing them. Extensional flows are commonly generated in geometries including rotating clamps, parallel plates that accelerate apart, cross-slot flow, and flow through contractions.

The study of viscoelastic fluids in a microfluidic setting dates back at least to 1976. Thurston tested human blood under oscillatory shear flow in brass tubes with micro- and milli-scale diameters at frequencies up to 200 Hz. [2] Contemporary microfluidic studies of viscoelasticity also employ oscillatory flow, [3, 4] although extensional flow through contractions is most common. [5] Contraction flows contain both shear and elongational components, but can nonetheless provide useful information about strongly elongational mixed

flows. [6] Hyperbolic contraction geometries are most common as they provide a constant extensional rate along the centerline of the channel, and several devices have been reported. [7-10] Models and numerical simulations have been developed for this geometry. [11, 12] Contractions can also enable study of the interfacial deformation of droplets and viscoelastic cells. [13] A combination of cross-slot geometry with oscillatory flow has been used to explore both extensional and shear behavior. [14] Recently, the relationship between flow rate, relaxation time, and the onset of elastic flow instabilities in a curved channel has been used to characterize viscoelastic fluids. [15]

Droplets and emulsions introduce additional complication to viscoelasticity, due to the elasticity of the liquid-liquid interface between the droplet and the continuous phase.<sup>1</sup> Even an emulsion of two Newtonian fluids can exhibit viscoelasticity due to this interface. Oldroyd developed a linear viscoelastic model for a Newtonian fluid emulsion in the 1950's. [16, 17] More recently, Paliarne extended the model to allow both phases to be viscoelastic themselves. [18] This model predicts two behavior regimes: at low frequencies, the droplet interface contributes strongly to the elasticity; at high frequencies, the bulk liquids contribute strongly to the elasticity. [1] Experimental results confirm the validity of this prediction. [19]

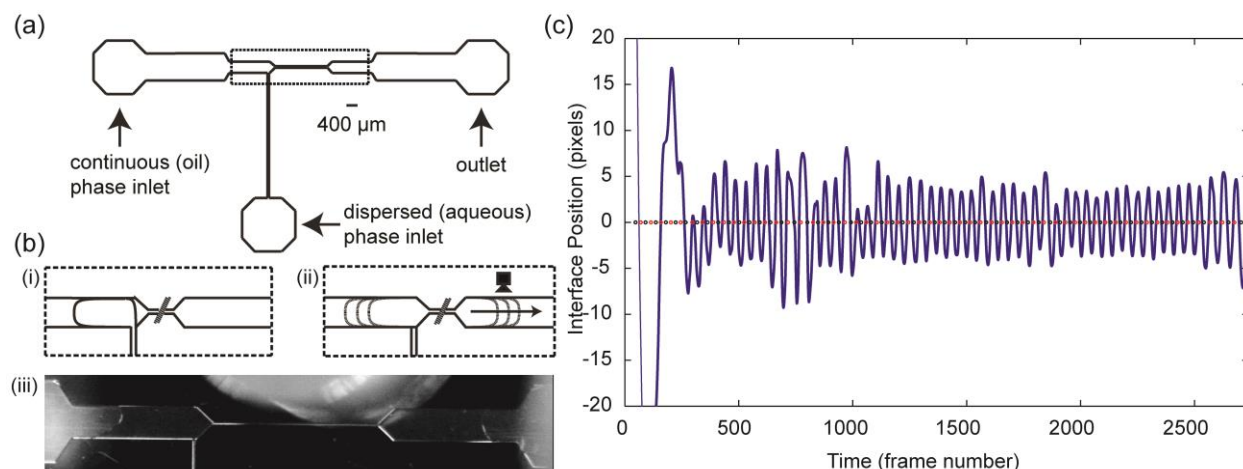
The approach taken in this work is to use oscillatory flow to study viscoelastic fluids in microfluidic droplets. The advantages of droplet microfluidics and this growth of this subfield over the past several years have been well reviewed. [20-22] However, the microfluidic systems for characterizing viscoelastic fluids discussed above all employ continuous flow, rather than droplets. Therefore, a method compatible with liquid-liquid droplet samples would be a welcome addition, especially as many of the applications for droplet microfluidics are biological, [22] and many biological fluids are viscoelastic. When a material is subjected to an oscillatory strain or

stress with angular frequency  $\omega$ , the resulting stress or strain will be in or out of phase with the driving signal. Viscous fluids respond with phase angle  $\delta = 90^\circ$ , elastic solids respond with  $\delta = 0^\circ$ , and viscoelastic fluids lie between these two bounds. The more elastic a fluid is, the lower its phase angle.

## 4.2 Materials and Methods

### Device Design

The device (Figure 4.1a) contains a standard T-junction geometry for formation of droplets or plugs (Figure 4.1b.i). The main arm of the device is connected to fluid reservoirs containing an oil phase (FC-3283, 3M), and the side arm is connected to a fluid reservoir containing the aqueous polyacrylamide solutions under study. The contraction downstream of the T-junction is designed to contain the overwhelming majority of hydraulic resistance in the device, so that a pressure applied at the inlets and outlets can be considered as applied to just the fluid in the contraction region. Therefore, when a plug is formed and positioned in the contraction, an oscillating pressure can be applied to it (Figure 4.1b.ii) and its response can be observed (Figure 4.1c).



**Figure 4.1** – Device design and operation.

### Device Fabrication

Fabrication of the devices have been described in detail previously. [23] In brief, the device design is fabricated as a single photomask and standard photolithography techniques are used to transfer and deep reactive ion etch the design into a silicon wafer. Individual device dies are diced from the wafer and bonded to parylene-coated glass microscope slides by wicking UV-curable glue. [24] Fluidic connector tips are then epoxied to the glass slide and the device is ready for use.

### Experimental Setup

Each of three fluid reservoirs – one for each inlet or outlet on the microfluidic device – controlled through an electronic pressure regulator (VSO-EP, Parker Hannifin) connected through a solenoid valve (Numatech, Numatics). Fluid reservoirs are constructed from 3 mL syringe tubes, shortened by cutting the middle section and using epoxy to reattach the two pieces. Tubing lengths are made as short as possible and moderately rigid tubing with small inner diameter is selected, to minimize elastic effects in the system that could delay the pressure signal response. The microfluidic device is also located on a temperature-controlled chuck. A

stereoscope (SZX12, Olympus) with attached high-speed camera (TM-6710, Pulnix) is positioned above the device and used to record the droplet interfacial motion. An LED connected to the electrical control of one of the solenoid valves is also placed on the device in view of the camera, to allow later synchronization of the interfacial motion with the pressure signal. Pressure regulators and solenoid valves are controlled by LabVIEW VI and programmed to output a sinusoidal pressure signal. The left main channel inlet and the side channel inlet apply a pressure while the right main channel outlet solenoid is open to atmosphere, with this reversing as the sine signal becomes negative.

### **Fluid Composition and Characterization**

Polyacrylamide (PAA) solutions are prepared from a base solution of 75% glycerol, 1.5% NaCl, and 23.5% water (mass basis). Concentrations of PAA were 0%; 0.05%, 0.1%, and 0.5% of 5 MDa molecular weight PAA; and 0.1% of 18 MDa molecular weight PAA. NaCl is included to reduce the shear rate dependence of the solution viscosity, approaching a Boger fluid. [8, 25] Water and NaCl are added first, and vortexed until completely dissolved. Next, glycerol is added and the solution is vortexed until there are no discernable interfaces in solution. Then, the appropriate amount of PAA is added, and the tube is inverted several times to gently mix the solution. The tube is then placed on a shaker table until the PAA completely dissolves, and stored in a refrigerator to prevent degradation. Prior to testing in the microfluidic device, fluids are characterized on a standard cone and plate rheometer (AR-1000, TA Instruments) for both viscous and elastic material properties at 20 °C. Viscosity was measured versus shear rate from 1 to 2500 s<sup>-1</sup>, and elastic properties including phase angle were measured between 1 and 6 Hz (6.3 to 37.7 radians/second).



## Experimental Conditions

Main channel reservoirs are filled with FC-3283 oil and the side channel reservoir is filled with sample solution. Each reservoir is connected to the pressure control system, and the synchronization LED is placed on the device in view of the stereoscope. Chuck temperature is controlled to  $20.00 \pm 0.01$  °C. Pressure is applied to form a sample solution plug in the main channel, which is then positioned to fill the contraction, with the plug interfaces located in the next-widest region of the main channel adjacent to the contraction. Sinusoidal oscillatory pressure is applied to the device and video recorded for ~25 seconds. Samples are tested at pressure maximum amplitudes of 5.4 psi and 11 psi, at frequencies from 1 to 6 Hz (6.3 to 37.7 radians/second).

## Data Processing

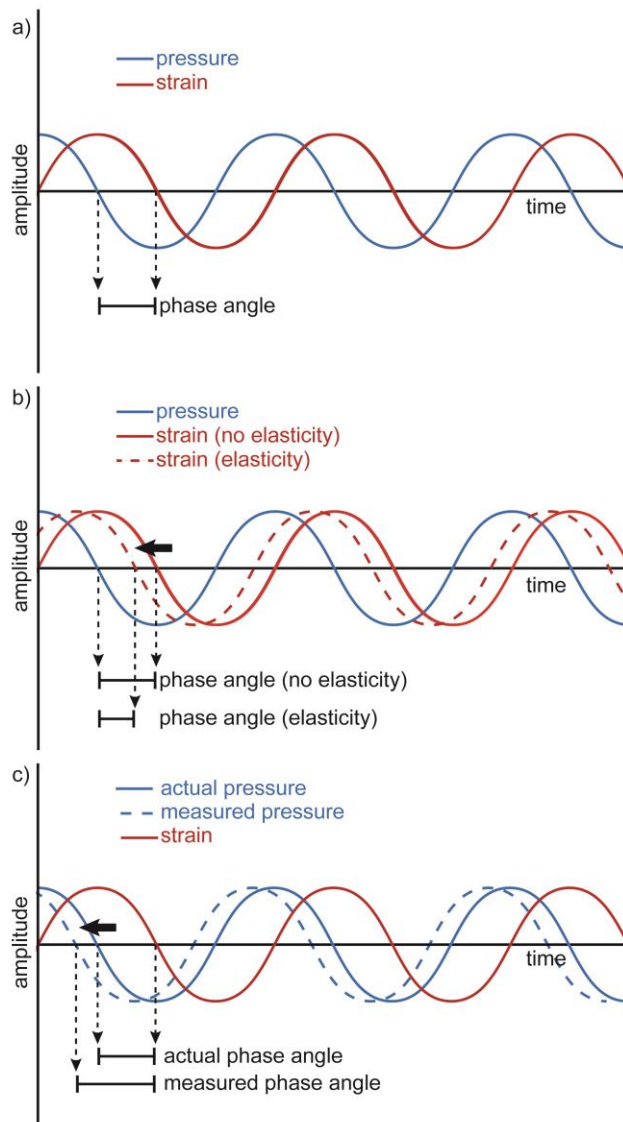
Recordings of droplet motion are analyzed with custom MATLAB scripts. First, one script analyzes the intensity of the LED and marks time points when the LED switches off or on. These data are fed into a second script. In this script, for each frame, the location of the interface is determined by optical intensity and recorded. Background noise is filtered with a median filter, and small discontinuities are smoothed. A low-pass Butter filter is used to find the midpoint position of the interface oscillation and the data are shifted to have this midpoint at zero. The LED time points are then used to construct a sine wave representing the pressure signal. The angle between the pressure signal wave and the interface oscillation wave is calculated by

$$\delta = \arccos((\mathbf{d} \cdot \mathbf{p})/(|\mathbf{d}| \times |\mathbf{p}|)), \quad (\text{Equation 4.1})$$

where  $\delta$  is the phase angle,  $\mathbf{d}$  is the vector for the droplet interface signal, and  $\mathbf{p}$  is the vector for the pressure signal. Phase angles are calibrated to results for 0% PAA base solution, as described above, and compared.

### 4.3 Results and Discussion

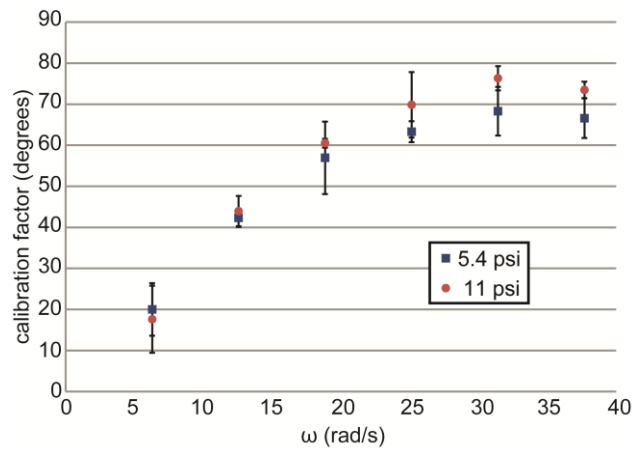
A fluid without elasticity should have a phase angle of  $90^\circ$  (Figure 2a). As the pressure crosses from positive to negative, the fluid strain reaches its maximum value and begins to decrease. For a fluid with elasticity, the phase angle decreases from  $90^\circ$  (Figure 2b). The elasticity of the fluid causes the strain to begin reversing direction before the pressure has changed sign. If there is a delay between the indication of the pressure signal (i.e., the LED) and the actual pressure signal, the phase angle will appear to increase from  $90^\circ$  (Figure 2c), as the indication on which the calculations are based occurs before the actual pressure signal.



**Figure 4.2** – Theoretical behavior of sinusoidal stress and strain signals.

A lag in the pressure signal compared to the electrical signal controlling the solenoids, regulators, and LED is expected. This is due to the elasticity of tubing, the compressibility of air in the system, and the actuation response time of the solenoids and electronic pressure regulators. Therefore, a sample of base solution with 0% PAA is used to calibrate the system response. All samples were tested in triplicate, with the average reported and the standard deviation used to generate error bars. For this solution, the cone and plate phase angle is equal or close to an

inelastic  $90^\circ$ . The difference in phase angle between the cone and plate measurement and the microfluidic measurement at each frequency is the calibration factor (Figure 3). This approaches  $0^\circ$  as the frequency approaches zero, as any elastic behavior should. At higher frequencies the lag appears to plateau around  $70^\circ$ . This behavior is consistent between the two pressure amplitudes used in operation.



**Figure 4.3** – Calibration of system at different frequencies for 5.4 psi and 11 psi amplitude.

All samples were tested on a cone and plate rheometer for comparison to results from the microfluidic device (Figure 4). As expected, phase angle begins at  $90^\circ$  at low frequencies, where elastic behaviors are not strongly expressed, and decreases more as the frequency increases. Samples with higher concentrations of polymer or higher molecular weight polymer exhibit stronger elastic behavior, as expected. This is observed by these solutions having smaller phase angles.

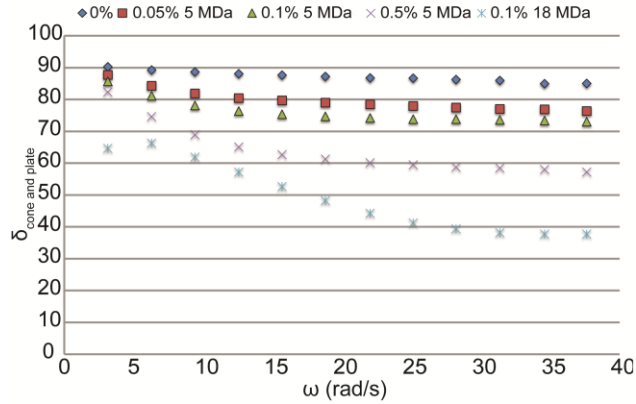


Figure 4.4 – Cone and plate rheometer measurements of phase angle.

Samples were then tested in the microfluidic device (Figure 5). For both pressure amplitudes, at lower frequencies there is significant overlap between the results for each sample solution, making it impossible to differentiate between them. As the frequency increases, the behavior of the different samples begins to diverge. Again, the samples with higher concentrations of polymer or higher molecular weight polymer have smaller phase angles, indicating their greater elasticity.

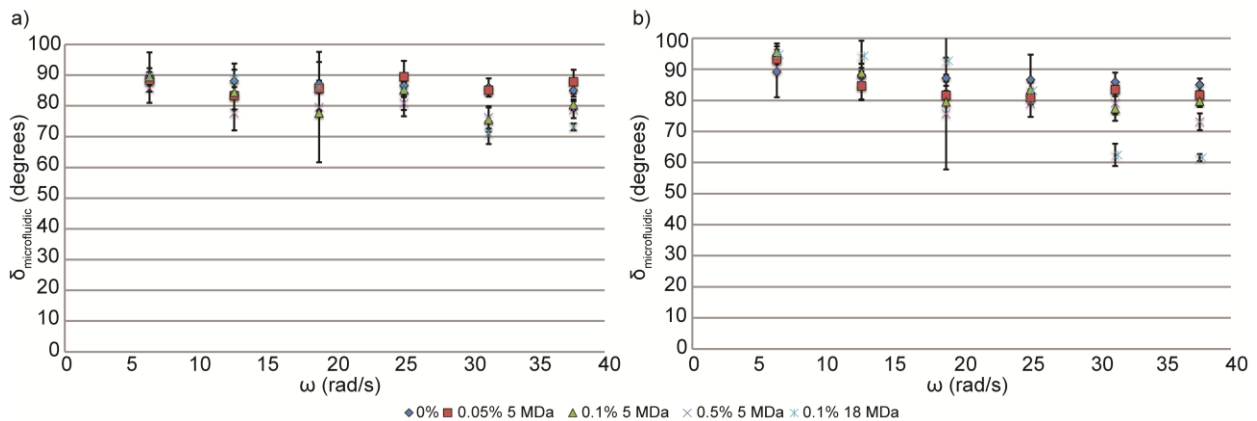
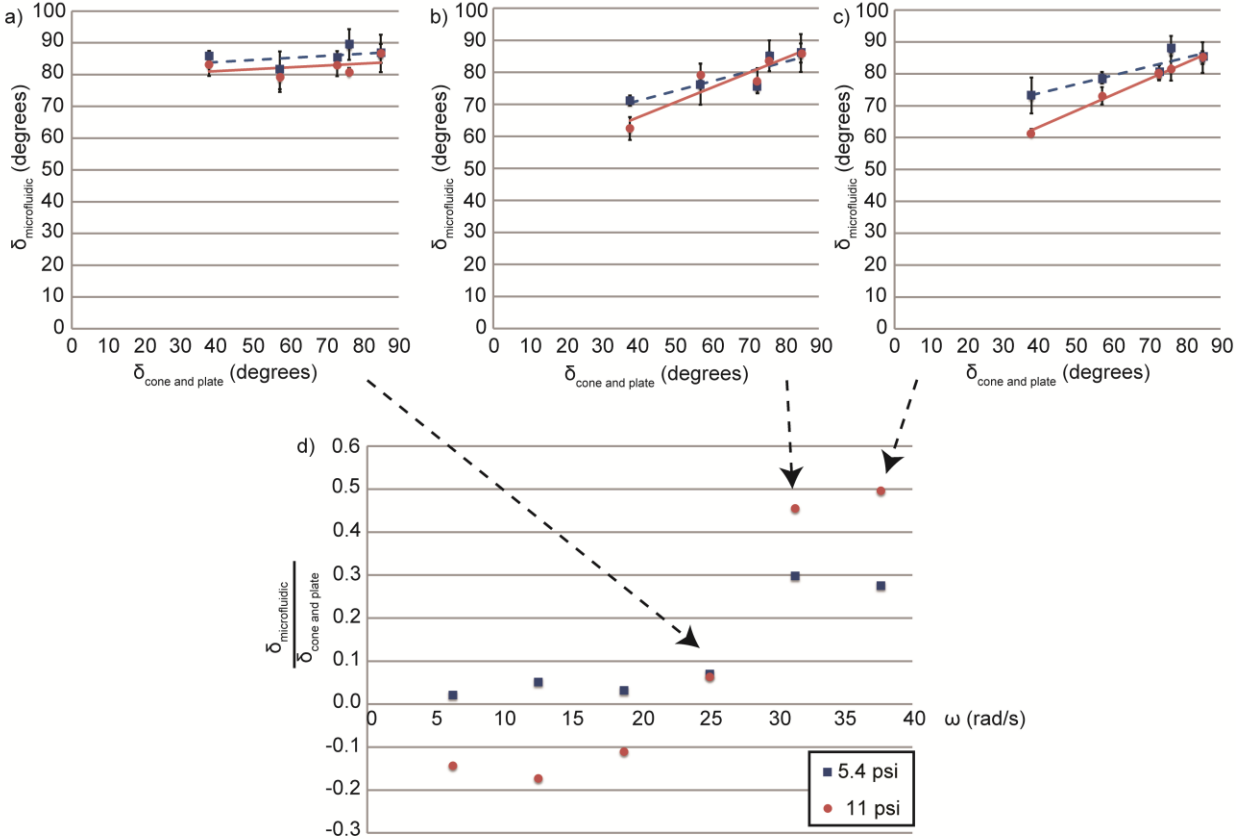


Figure 4.5 – Microfluidic measurements of phase angle, at a) 5.4 psi and b) 11 psi amplitude.

For example, a comparison at 25 rad/s (Figure 6a) shows all samples responding similarly to the oscillatory pressure in the microfluidic device, despite responding very differently on the cone and plate rheometer. However, at 31 rad/s (Figure 6b), and more so at 37 rad/s (Figure 6c), samples do respond noticeably differently in the microfluidic device, and it does become possible to resolve between them. The results from measurements using the higher pressure amplitude (11 psi) show greater differentiation than the lower amplitude tests (5.4 psi). In particular, the 11 psi tests show 45-50% of the phase angle change reported by the cone and plate rheometer tests at these higher frequencies. The 5.4 psi tests show 27-30% of the cone and plate measurement over the same frequencies. This behavior is summarized for all frequencies used in Figure 6d.



**Figure 4.6** – Comparison of cone and plate measurements to microfluidic measurements at a) 25 rad/s, b) 31 rad/s, and c) 37 rad/s, with d) correspondence between microfluidic and cone and plate rheometer results across all frequencies.

As discussed above, droplet interfaces exhibit elasticity, regardless of the elasticity of either the continuous or the dispersed phase. This interfacial elasticity is expected to predominate at low frequencies, while the bulk fluid viscoelasticity is predominant at high frequencies. For our measurements, plug geometry is consistent from sample to sample, as is the immiscible oil phase used and the composition of the sample solution, aside from the 0.0% to 0.5% of polymer molecule added. We may therefore expect the interfacial behavior to be consistent between samples. Indeed, samples behave indistinguishably at lower frequencies. As the frequency increases the system begins to emerge from the interface-dominant regime and the different bulk fluids in the different sample droplets exert an effect on the response. It remains to be seen

whether these frequencies are fully into the bulk fluid-dominant regime, or still in a transitional regime. If the latter, still higher operating frequencies may bring the microfluidic response further in line with cone and plate results, although higher frequencies are currently beyond the reach of our system.

#### **4.4 Conclusion**

We have presented a system for characterizing a viscoelastic microfluidic droplet based on its phase angle. This required channel geometry is simple and compatible with upstream or downstream droplet operations, allowing integration with droplet-based reactions, merging, splitting, or other analysis steps. Results also offer insight into the behavior of individual droplet interfaces. Droplet response at low frequencies is dominated by interfacial elasticity, while sample fluid viscoelasticity becomes visible at higher frequencies. At these higher frequencies, the response is sufficient to distinguish between different samples.

Accessing higher operating frequencies would allow further investigation of the transition between interface-dominant and bulk fluid-dominant viscoelastic responses from microfluidic droplets, but would likely necessitate specialized off-chip pressure control or integrated on-chip pressure control from peristaltic or other pumps. [26] Electronic droplet sensors integrated on-chip [27] could also further automate sample analysis. The compatibility of this technique with other droplet-based operations opens interesting possibilities, such as observing the change in viscoelasticity of a sample as the contents undergo a polymerization reaction, a digestion reaction, cell growth and protein secretion, or other processes.



## **4.5 Acknowledgements**

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## Chapter 5

# Preliminary Design, Fabrication, and Testing of Plasma-Based Microscale Pressure Actuator

### 5.1 Introduction

#### Micro-Scale Flow Control

The most straightforward way to generate flows in a microfluidic device is with external (“off-chip”) pressure sources, either as a constant pressure source or a constant volumetric flow rate (e.g. a syringe pump). This approach results in simpler device designs and easier fabrication processes, and can be used for both continuous media [1] and discrete droplets. [2] However, use of external pressure sources makes harder the precise control and positioning of droplets, due to the subtle pressure differences needed. Additionally, reliance on external pressure sources greatly reduces the portability of microfluidic devices, an important concern especially for devices aimed at on-site medical diagnostics or other field testing applications.

There are also many “on-chip” methods for flow control. Conceptually, the most straightforward is the micropump. There are several designs for on-chip pumps, primarily either reciprocating displacement pumps or peristaltic pumps. Akin to a traditional piston pump, reciprocating displacement micropumps use diaphragms connected with a rectifier to generate net fluidic displacement in one direction. [3, 4] Alternatively, valves with off-chip actuation or

diaphragms can be combined in series to form peristaltic pumps. [5] These displacement pumps are mechanically complicated, and their fabrication procedures are complex and costly. Valve-based peristaltic pumps still require off-chip pumping equipment, and so their portability is limited.

Electroosmotic flow (EOF) was first developed for flow control in capillary electrophoresis (CE). CE first arose in the early-to-mid-1980's as a separation technique complementary to gel-based electrophoresis and high-performance liquid chromatography (HPLC) and was used for separating electrolytes in solution. [6, 7] The phenomenon of electroosmosis arises from the electrolytes in solution equilibrating with the surrounding channel walls, forming an ionic double layer – the Debye layer – near the solid-liquid interface. An applied electric field then causes this layer to migrate, resulting in bulk flow throughout the capillary. The technique, originally developed in glass capillaries, can be successfully applied in PDMS, silicon or glass microchannels through the continuous application and manipulation of voltages. [8] In addition to ease of control, the other major attraction of EOF is that fluid velocity is not hindered by decreasing the channel dimensions. However, only conductive fluids can be pumped, limiting the application of EOF.

Another technique prominent at the microscale is the use of surface forces to control flow. Because of the increased surface area-to-volume ratio, interfacial interactions between the fluid and the channel walls can be used to pump fluids through the device. [9] Alternatively, capillary pressure-driven flows can be actively controlled by using heat, [10] or more commonly, electrical fields (known as electrowetting on dielectric – EWOD) [11] to alter the contact angle between the fluid and the surface. These techniques require a high degree of thermal or electrical

control, however, and therefore require thermal isolation on-chip or a large number of electrodes across the device, respectively.

A more recent area of study for microfluidic flow control is acoustics. Generating high-amplitude acoustic waves with a piezoelectric actuator, acoustic streaming devices exert a directed body force on the fluid in the direction of the wave via acoustic attenuation. [12] These actuators can pump or mix bulk fluid in channels. Droplets on surfaces can also be controlled by acoustic streaming. A surface acoustic wave (SAW) is generated on a piezoelectric substrate and causes acoustic streaming as its energy is dissipated within the droplet, [13] and this causes the droplet to roll across the surface in a controlled manner. These acoustic approaches seem to hold much promise for microfluidic flow control, and while they do necessitate the use of piezoelectric substrates, the field bears more investigation.

### **Plasma-Based Flow Actuation**

In the past decade or so, aeronautic researchers have developed plasma actuators for electrohydrodynamic (EHD) flow control in room temperature atmospheric air. [14-16] Their goal is to achieve flow control in boundary layers and over airfoils to prevent or cause boundary layer separation, to reduce drag and enhance lift, and to stabilize or mix airflow to dampen vibrations. [17] Most work so far involves dielectric barrier discharge (DBD) plasmas, which were first studied in 1857 by Ernst Werner von Siemens and have many applications, including ozone production for air and water treatment, high-power CO<sub>2</sub> lasers, and flat panel plasma displays. [18] DBD generators consist of a pair of electrodes separated by a dielectric with one connected to ground. A radio frequency AC voltage (several thousand volts and several to tens of kHz) is applied to the other electrode.

Aeronautical plasma actuators use a planar DBD design rather than the volumetric design more common in industrial processes. In this design, the grounded electrode is covered by a dielectric and the powered electrode is exposed to the air. When powered, this configuration generates plasma downstream of the exposed electrode which imparts a force on the surrounding neutral air in the direction of the covered electrode. [19] This force can generate airflows of several meters per second; these airflows are commonly called “electric wind” or “ionic wind.”

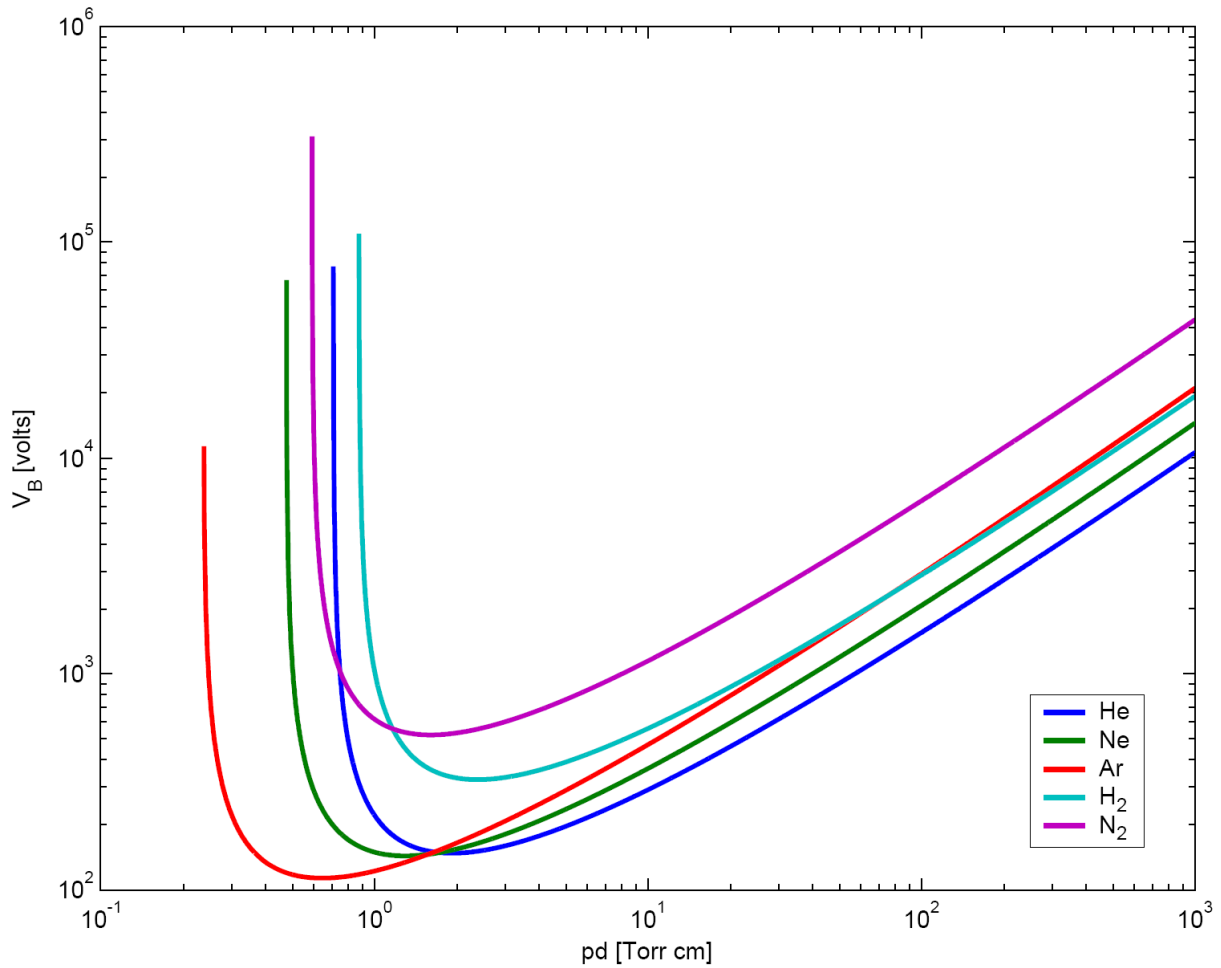
These DBD actuators are attractive because they can operate in air at atmospheric pressure rather than vacuum, room temperature rather than high temperature, and can be powered by a standard sine waveform voltage rather than a sophisticated pulsed power supply. [17] Electrodes are usually a few millimeters wide, and typical dielectric materials are Teflon, Kapton, glass, ceramic, and Plexiglass, with a thickness of 0.1 to a few millimeters. Current work in the field focuses mainly on numerical simulations of the plasma [20] and increasing power efficiency. [21]

The breakdown voltage for an electric discharge in a gas is empirically described by Paschen’s law,

$$V = \frac{apd}{\ln(pd)+b}, \quad (\text{Equation 5.1})$$

where  $V$  is the breakdown voltage,  $p$  is the pressure, and  $d$  is the gap distance. The constants  $a$  and  $b$  are dependent on the gas composition, and Paschen’s law curves for several gases are shown in Figure 5.1. All curves have the same overall shape, where a minimum voltage is reached as  $pd$  decreases. Below this minimum, the breakdown voltage drastically increases, as there are fewer and fewer molecules within between the electrodes to conduct the current.





**Figure 5.1** – Paschen’s law curves for helium, neon, argon, hydrogen, and nitrogen. Graph by Gianluca Spizzo, using data from [22].

Aerodynamic plasma actuators have been confirmed to use dielectric barrier discharge (DBD) plasmas, as initially suspected. [23] The most important characteristic of such plasmas is their ability to sustain large-volume discharges without collapsing into an arc. This is due to the self-limiting nature of the actuator configuration. In the half of the AC cycle where the exposed electrode is more negative than the insulated electrode and so acts as the cathode in the discharge. As the magnitude of the applied negative voltage increases, the exposed electrode can discharge electrons; at the same time, charge builds up on the dielectric surface. Once the

magnitude of the negative voltage begins to decrease, however, the built up charge on dielectric is sufficient to oppose the applied voltage and the discharge shuts off. As the applied voltage becomes more positive, the charge on the dielectric now acts as the cathode and the plasma can reignite in the opposite direction. However, the charge supply is limited to that already present on the dielectric. Once the magnitude of the positive voltage begins to decrease, the discharge shuts off again, as in the first half-cycle. The plasma discharge, while appearing continuous to the eye, is in fact temporally structured. [23]

The ions and electrons generated by the plasma collide with neutral particles in the region between the two electrodes, generating the phenomenon known as electric wind or ionic wind, first reported in 1709. [17] Due to their much lower mass, electron contribution to electric wind can be ignored, and the neutral gas velocity generated can be described by Equation 5.2,

$$v_G = \sqrt{\frac{id}{\rho\mu A_G}}, \quad (\text{Equation 5.2})$$

where  $i$  is the time-averaged discharge current,  $d$  is the electrode gap,  $\rho$  is the gas density,  $\mu$  is the ion mobility, and  $A_G$  is the discharge cross-section. Alternatively, Roth [24] relates the electrostatic pressure,  $p_E$ , generated by the plasma to the dynamic, or stagnation, pressure,  $p_s$ , of the neutral gas flowing away from the plasma,

$$p_s = \frac{1}{2}\rho v_G^2 = \frac{1}{2}\epsilon_0 E^2 = p_E, \quad (\text{Equation 5.3})$$

and solves for the velocity in terms of the electrical field,

$$v_G = E\sqrt{\frac{\epsilon_0}{\rho}}, \quad (\text{Equation 5.4})$$

where  $\epsilon_0$  is the permittivity of free space and  $E$  is the electric field strength.

The optimal AC frequency of the actuation voltage depends on the electrode gap – the distance between the two electrodes. For a DBD actuator, normal glow plasma is desired; this is achieved when the faster-moving electrons from the cathode have time to reach the opposite electrode, but the ions remain trapped between the two electrodes. [25] This occurs in the frequency band described by Equation 5.5,

$$\frac{eV_{rms}}{\pi m_i \nu_{ci} d^2} \leq \nu_0 \leq \frac{eV_{rms}}{\pi m_e \nu_{ce} d^2}, \quad (\text{Equation 5.5})$$

where  $e$  is the elementary charge,  $V_{rms}$  is the root-mean-square actuation voltage,  $m_i$  and  $m_e$  are the ion and electron masses, respectively,  $\nu_{ci}$  ( $\sim 10^{10} \text{ s}^{-1}$ ) and  $\nu_{ce}$  ( $\sim 10^{12} \text{ s}^{-1}$ ) are the collision frequencies of the ions and electrons, respectively, and  $d$  is the electrode gap. Below this frequency, both the electrons and the ions have time to reach the opposite electrode. Above this frequency, neither the electrons nor the ions have time to reach the opposite electrode, generating filamentary plasma.

## 5.2 Materials and Methods

The design for a planar DBD plasma actuator is readily compatible with standard microfabrication techniques. For the electrodes, copper is the most common material. For on-chip circuitry fabrication gold is typically used, but other metals including aluminum, chrome, platinum, and titanium are readily available in standard electron-beam evaporators. A wide range of dielectric materials have been used in previous DBD actuators, as described above, but the most common appears to be Kapton polyimide tape. Within standard microprocessing materials, many dielectrics are also available, including nitrides, oxides, and parylene, as well as photoresists like SU-8.

Scaling down the device and finding the appropriate operating parameters presents the greatest design challenge. Existing DBD actuators commonly have electrode widths and electrode gaps of one to several millimeters and voltages from a few kV to 30 kV. [17] Paschen's law (Equation 5.1) indicates a minimum breakdown voltage; for air at atmospheric pressure, this minimum is 327 V with a distance of 7.46  $\mu\text{m}$ . Increasing the electrode gap to 100  $\mu\text{m}$  would require 865 V. Equation 5.5 indicates that as the electrode gap decreases, the appropriate frequency range increases as the square; the frequency range is linearly related to the voltage. Therefore, if we decrease from a 5 mm electrode width and electrode gap to 50  $\mu\text{m}$  (a 100-fold reduction) but also reduce the voltage to maintain similar electric field strength, we would expect the appropriate frequency range to increase from several kHz to several hundred kHz; explicit calculations using Equation 5.5 confirm this frequency range. From Equation 5.2 we can determine the range of pressures that this configuration should generate: 330 Pa to 8.5 kPa. This compares favorably with other microscale pressure control systems, such as that of Langelier et al., which operates between 0 and 200 Pa. [26]

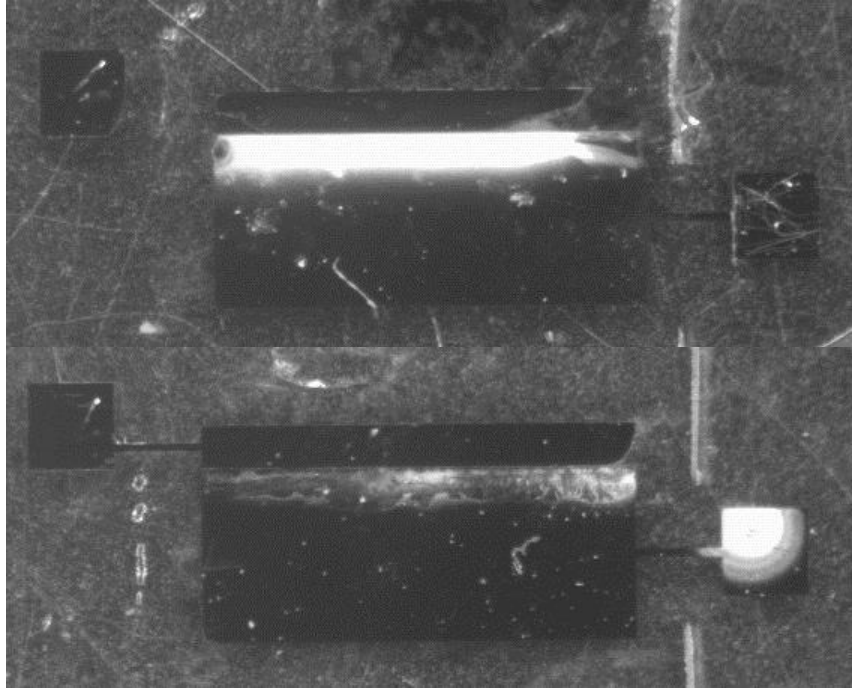
Initial development of the micro-actuator will involve determining the optimal geometric and operating conditions. Electrode widths range from 1 mm, which is the lower end of the range for extant DBD actuators, to 10  $\mu\text{m}$ ; electrode thickness is 0.2  $\mu\text{m}$  to 0.5  $\mu\text{m}$ . Electrode gaps range from 5 mm, which is the standard for macro-scale actuators, to 10  $\mu\text{m}$ . An electrode gap of 0 mm will also be investigated. Subsequently, dielectric material will be optimized. SU-8 photoresist (MicroChem) is used as the dielectric material and ranges in thickness from 5  $\mu\text{m}$  to 25  $\mu\text{m}$ . The manufacturer reports a dielectric constant of 4.1 at 1 GHz, with a dielectric strength of 112 V/ $\mu\text{m}$ . Others report a dielectric strength as high as 443 V/ $\mu\text{m}$ . [27] As lower dielectric constants can increase operational efficiency of DBD actuators, [28] parylene may also be

investigated as a dielectric material. Appropriate voltage ranges to maintain similar electric field strength are used as described above, remaining in the tens of kV/cm. Voltage losses across the system, as well as the frequency dependence of the impedance, are unknown, and will be optimized for empirically.

Fabrication is performed by standard photolithography techniques. The design for the grounded electrodes is patterned onto SPR220 photoresist, spin-coated to a thickness of 3  $\mu\text{m}$  on a glass wafer. Chrome and gold are deposited and patterned by lift-off removal of the photoresist layer. The dielectric layer of SU-8 is spin-coated on top of the electrodes, exposed, and baked. Finally, the upper electrode layer is fabricated by the same procedure, with the design being aligned to the lower layer.

### **5.3 Results and Discussion**

Initial testing at 10 kHz resulted in plasma discharge along the length of the electrodes, at voltages from 2200 V to 2400 V. This discharge persisted for ~15 seconds before dielectric breakdown rendered the actuator unusable. The aftermath of the discharge is visible in Figure 5.2 as the brightly colored horizontal regions between the two electrodes.



**Figure 5.2** – Dielectric breakdown following operation of plasma actuators. Actuators operated for ~15 seconds at 10 kHz and 2200 to 2400 V, producing a plasma discharge along the length of the electrodes. Bright regions indicate damaged SU-8.

After relocation of the high voltage test setup to our lab, this behavior could not be replicated. Operation was conducted under the same conditions with electrodes from the same fabrication batch. However, rather than a plasma discharge along the length of the electrodes, the only observed behavior was arcing from the connection pads and leads of the grounded electrode to the body of the upper exposed electrode. This resulted in the destruction of regions, or in some cases the entirety, of the upper electrode. Efforts made to insulate the connections, prevent this behavior, and restore the plasma discharge were unsuccessful.

## 5.4 Conclusion

This work has much potential, but we lack the expertise to sufficiently diagnose the problems with the current configuration. Plasma physics and high voltage, high frequency

electronics are beyond the normal scope of our group's research. Resources and time did not allow for sufficient cultivation of collaborations with other researchers who might provide the necessary knowledge. Recently, micron-scale dielectric barrier discharge plasma-based pressure actuators have been reported, [29] although their application to microfluidics settings remains to be demonstrated.

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## Chapter 6

### Single-Mask 3D Microchannel Mold Fabrication by SU-8

#### Release and Reattachment

##### 6.1 Introduction

The development of microfluidic devices grew from the techniques pioneered in microelectronics industry. For many applications, these techniques are more than sufficient for the construction of channels, reactors, and separators needed in microfluidic systems. Nonetheless, standard photolithography techniques have a major limitation in that they only allow planar structures to be fabricated. For example, channel features in the plane of the substrate can have arbitrary shape, but channel heights are restricted to a uniform height perpendicular to the substrate. Access to more variability in this third dimension would allow a wide range of microfluidic devices with more complex designs to be produced. For example, 3D structures can enhance mixing, weirs of different heights can trap particles based on size, and cantilevers or needles can be used in many sensing and other MEMS applications. [1]

Microfluidics researchers have worked to add complexity in the third dimension. Mosher *et al.* [2] identify three primary types of 3D photolithography: multiple-step, direct write, and gray scale. These techniques are still fundamentally planar but use either multiple exposures or multiple exposure levels to create planar features with different heights. Multiple step

photolithography uses several standard optical masks, either using each mask to produce a different exposure level in the photoresist or using each mask to expose a newly spin-coated photoresist layer of the desired thickness. With this approach,  $n$  masks can produce  $n + 1$  different levels. [2] However, each mask must be aligned and exposed separately, introducing time expense and error to the process. Direct write techniques use beam- or DLP-based systems to expose the photoresist without a mask. [1] These systems are powerful, but exposure of an entire wafer can take hours, and the equipment is expensive. Grayscale masks produce different exposure levels by allowing varying levels of light transmission across the mask, rather than features being either completely transparent or completely opaque. [3] These masks are most commonly produced by continuous tone printing or by using pixelated arrays; reconfigurable microfluidics-based masks have also been reported. [4] Continuous tone mask printing is similar to color printing, with different colors or gray tones having different UV absorptions. [5] Color printing at the resolutions required for microfabrication is expensive. Pixelated grayscale masks are comprised of micro-arrays of transparent pixels; different exposure levels are achieved by different ratios of transparent pixel to opaque background. This approach is cheaper, but has a lower vertical resolution than continuous tone. Combining grayscale techniques with multiple step photolithography can improve vertical resolution by yielding  $2^n$  exposure levels, but this still requires the production and alignment of multiple masks. Additionally, real, non-planar, 3D structures can be fabricated in SU-8 with sub-diffraction limit resolution by using two-photon absorption (TPA) fabrication techniques. [6] However, this approach is complex and requires expensive equipment, as well as being far beyond the needs of most microfluidics users.

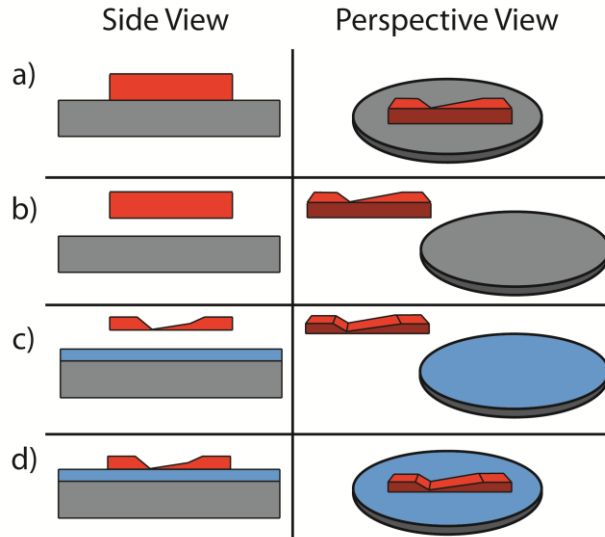
We present an approach involving the removal and reattachment of SU-8 structures to create molds with 3D topography using a single photomask. The basic principle of creating SU-8

structures and releasing them from their substrate has been demonstrated, [7, 8] primarily for the creation of SU-8 cantilevers. [9-11] In short, a structure like a cantilever is difficult to construct by standard photolithography when oriented rightside-up, but easy to create upside-down. Therefore, structures are fabricated upside-down, released from the substrate, reoriented, and reattached to the substrate in their desired configuration. Here we extend this technique to the creation of a range of SU-8 molds for PDMS channels.

## **6.2 Materials and Methods**

### **Fabrication of 3D SU-8 molds and PDMS devices**

Fabrication of the 3D SU-8 molds begins with the creation of SU-8 blocks by standard photolithography techniques. SU-8 (MicroChem) is spin-coated on a bare 4-inch glass wafer (Precision Glass and Optics); the thickness of the SU-8 layer in this step will be the width of the eventual PDMS channel. A photomask (Fineline Imaging) is used to expose a pattern of an SU-8 block (Figure 6.1a). One long edge of the block has the desired shape or topography of the eventual PDMS channel ceiling, and the other long edge of the block is flat, which will subsequently be bonded to a mold substrate. After patterning and baking, the SU-8 is developed; exposure, baking, and developing parameters are according to manufacturer recommendations. If the SU-8 block did not detach from the glass wafer during developing, it is now removed from the wafer with tweezers, or by hydrofluoric acid undercutting of the glass wafer if necessary (Figure 6.1b).



**Figure 6.1** – Fabrication of 3D SU-8 molds. a) SU-8 blocks are fabricated by standard methods. Blocks have one long edge flat and one long edge with the desired topography. b) SU-8 blocks are removed from the wafer with tweezers, or by HF acid undercutting of the wafer if necessary. c) The SU-8 blocks are manually rotated so the desired topography is on the top face and the flat edge is on the bottom face. A thin layer of SU-8 is spun on a new substrate. d) The block is placed on the freshly spun SU-8, which is subsequently baked, exposed, and post-processed as normal.

A thin layer of SU-8 is spin-coated on a bare 4-inch silicon wafer (Silicon Valley Microelectronics); 1 to 3  $\mu\text{m}$  was found to be sufficient. The SU-8 block is manually rotated so the desired topography is on the top face and the flat edge is on the bottom face (Figure 6.1c). The rotated block is placed on the freshly spun SU-8, which is subsequently pre-baked, exposed to UV light without a mask, and post-baked to solidify the attachment of the SU-8 block. The mold is treated with  $\text{O}_2$  plasma from a corona treater (BD-20, Electro-Technic Products) and exposed to tridecafluoro-1,1,2,2,-tetrahydrooctyl-1-trichlorosilane in a vacuum chamber for one hour (Figure 6.1d) to promote PDMS release. Note that, depending on channel dimensions, hundreds of blocks can be fabricated on one glass wafer, and dozens can fit on one silicon wafer mold.

PDMS (Sylgard 184, Dow Corning) is mixed in a 10:1 monomer:crosslinker ratio and poured over the prepared 3D SU-8 mold. The mold is then placed under vacuum for 30-60

minutes to remove all bubbles, and then baked at atmospheric pressure for 1-2 hours at  $\sim 80$  °C. After cooling to room temperature, the PDMS is carefully peeled from the mold and cut into individual devices with a razor. A biopsy punch is used to create access holes at the entrance and exit of the 3D PDMS channel. Glass slides are cleaned with piranha solution, PDMS devices are cleaned with Scotch tape (3M), and the two are treated with O<sub>2</sub> plasma for  $\sim 30$  seconds before being placed in contact. The bond is allowed to strengthen for  $\sim 1$  hour on a hot plate at 70 °C.

### **Particle experiments**

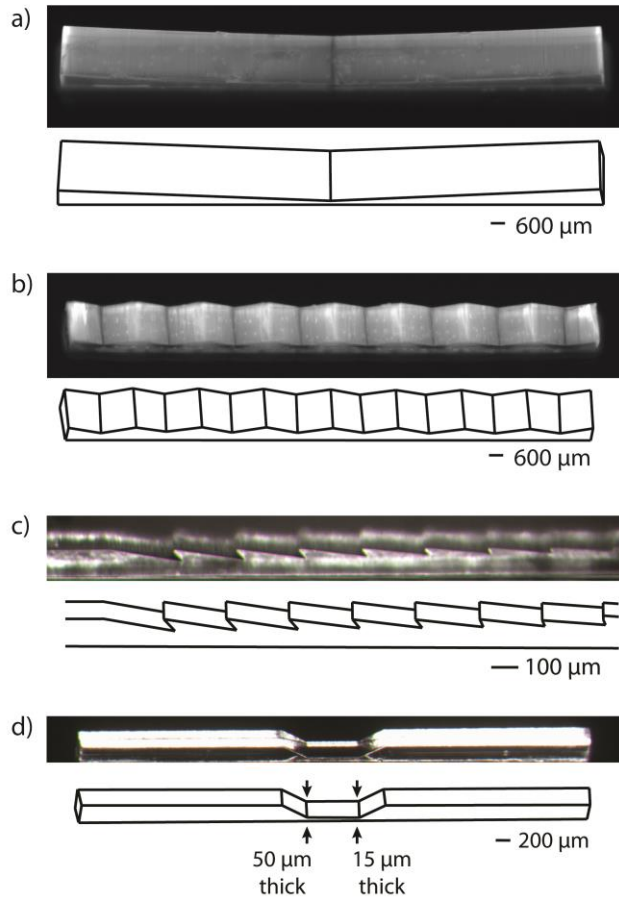
All particle-based experiments use fluorescent polystyrene ( $\sim 20$   $\mu\text{m}$  and  $\sim 30$   $\mu\text{m}$  diameter) and PMMA ( $\sim 40$   $\mu\text{m}$  diameter) particles in yellow, purple and Nile red (Spherotech). Particle solutions used in experiments contain only concentrated particle solution and DI water, and were vortexed for one minute immediately before use to ensure mixing. Experiments are conducted in PDMS devices fabricated as described above. Particle solutions are pulled through the device from a syringe reservoir by an electronic vacuum regulator (VSO-EV, Parker Hannifin) controlled via LabVIEW software (National Instruments). Devices are viewed with a fluorescent microscope (Eclipse Ti-S, Nikon) and images captured via digital camera (EXi Blue, QImaging). For the saw tooth particle organization experiment, the PDMS channel was incubated with a 1% solution of bovine serum albumin (Sigma-Aldrich) for two hours prior to the experiment to reduce particle adhesion, and subsequently degassed in a vacuum chamber for 1 hour to reduce bubble formation.

### 6.3 Results and Discussion

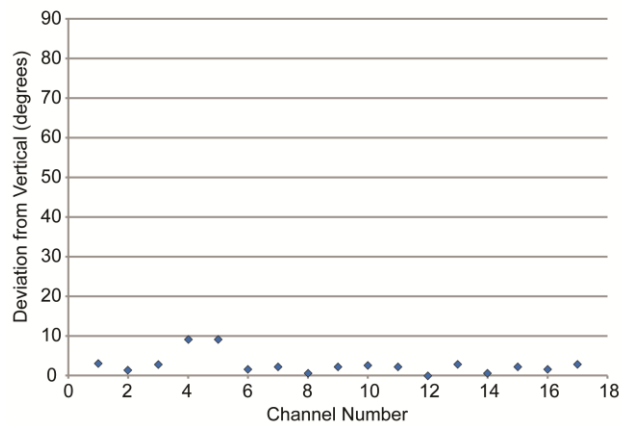
A wide range of SU-8 mold shapes can be produced (Figure 6.2), including gradual slopes (Figure 6.2a) and saw tooth structures (Figure 6.2b). Note that these features have smooth edges and do not have the step-changes in height found with multi-mask and some grayscale fabrication methods. Overhanging features, such as a modified saw tooth shape with 45° angles (Figure 6.2c) are also easily created. While features with significant overhangs are not suitable for casting and release of PDMS, sloped overhangs like those shown here are capable of releasing PDMS without breaking. Molds for channels with different height regions are also possible, such as a larger diameter flow region and an increasingly narrow particle-trapping region (Figure 6.2d). 3D mold structures formed by this technique are close to perpendicular with the wafer, despite being positioned manually (Figure 6.3). Average deviation from perpendicular (90°) is  $2.8^\circ \pm 3.0^\circ$ .

This technique provides easy fabrication of channels with changing flow characteristics. For example, particles can be self-organized on channel surfaces with guiding features. Particles were shown to organize in a PDMS channel with saw tooth topography cast from the mold shown in Figure 2b. After the channel was fabricated and prepared as described previously, a solution of fluorescent 40  $\mu\text{m}$  diameter PMMA particles was injected into the channel with the channel oriented so the saw tooth topography was the channel roof (Figure 6.4a). The channel was then flipped upside down and immediately imaged again (Figure 6.4b). The high density (i.e., higher than water) of PMMA ( $1.18 \text{ g/cm}^3$ ) causes the particles to settle through the solution and self-organize into rows on the saw tooth valleys on the channel floor.

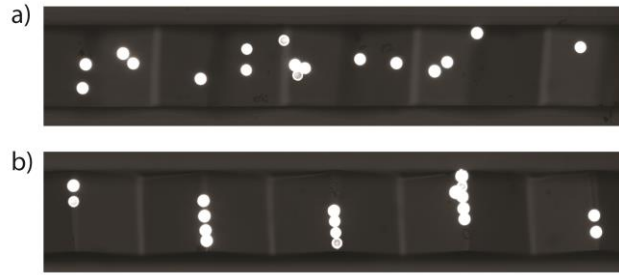




**Figure 6.2** – Microscopy images (upper) and line drawings (lower) of various 3D channel molds. a) Long gradual slope. b) Triangle wave (see Fig. 6.4). c) Modified saw tooth wave, at heights from 5 to 50 μm, with 45° overhang. d) Channel with particle trapping region, with height decreasing from 50 μm to 15 μm (see Fig. 6.6).

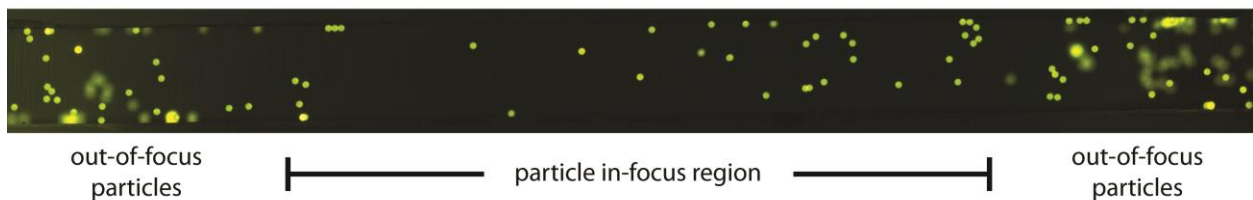


**Figure 6.3** – Angle between bottom and channel wall for PDMS devices cast from 3D SU-8 block molds. Average deviation from vertical (90°) is  $2.8^\circ \pm 3.0^\circ$  (n = 17 channels measured).



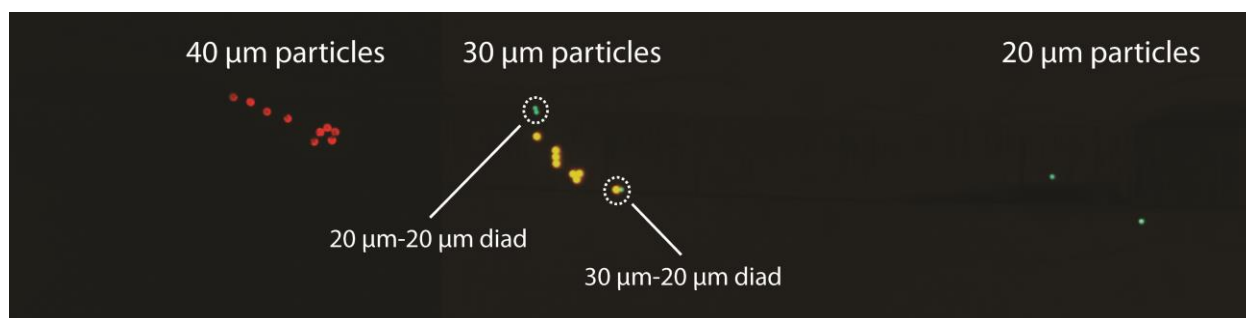
**Figure 6.4** – Fluorescent microscope image of particle organization in saw tooth PDMS device cast from mold shown in Fig. 2b. a) 40  $\mu\text{m}$ -diameter PMMA particles are flowed into a channel with a saw tooth-shaped roof. b) The device is inverted and the particles organize into rows as they settle on the saw tooth-shaped floor.

Particles were also shown to come into focus for microscope imaging in a PDMS channel with varying height. The channel was cast from a mold similar to that shown in Figure 6.2d, but with a constant 50- $\mu\text{m}$  height in the center region. The shorter channel height in the center region forces the particles (20  $\mu\text{m}$  fluorescent polystyrene) into the focal plane of the microscope, while particles in the taller regions upstream and downstream are either in or out of focus (Figure 6.5). The center in-focus region allows imaging of all particles, while the taller regions reduce the hydrodynamic resistance of the channel and allow for faster flow rates at a given pressure. The gradual slope down to the center region ensures particles are not trapped in dead zones. Note that the particle velocity can be increased or decreased by decreasing or increasing the channel height, respectively.



**Figure 6.5** – Fluorescent microscope image of particle imaging device. Mold design is similar to Fig. 2d, but with constant 50  $\mu\text{m}$  channel height in center region. The taller main channel affords lower hydrodynamic resistance, while the center region brings particles into the focal plane of the microscope for imaging. Particles are 20  $\mu\text{m}$  in diameter

Particles can also be sorted based on size and trapped in a PDMS channel with a gradual height change. A channel was cast from the mold shown in Figure 6.2d. Particles of varying diameters (20  $\mu\text{m}$ , 30  $\mu\text{m}$ , and 40  $\mu\text{m}$ ), each size particle fluorescent at a different wavelength, were injected into a channel with the height decreasing from 50  $\mu\text{m}$  to 15  $\mu\text{m}$ . Moving downstream, the larger particles get trapped against the lowering channel roof followed by the progressively smaller particles (Figure 6.6). The fact that the channel narrows vertically more readily allows smaller particles to move around larger particles already trapped upstream, rather than simply jamming behind them and clogging. Additionally, this configuration allows visualization of the trapped particles that would not be possible if the channel trapped particles by narrowing horizontally, and allows a simple calculation of particle size dispersity.



**Figure 6.6** – Fluorescent microscope composite image of size-based particle separation in a PDMS device cast from an SU-8 mold similar to that shown in Fig. 2d. Channel height changes from 50  $\mu\text{m}$  at the left of the image to 15  $\mu\text{m}$  at the right. Fluorescent particles of different sizes and colors were mixed together and flowed through the device. As the channel height decreases, larger particles become stuck earlier than smaller particles.

## 6.4 Conclusions

The technique we have demonstrated allows the creation of SU-8 molds for linear PDMS channels with arbitrary topography. The smoothness of height changes is only limited by the

planar resolution of standard SU-8 photolithography and is not dependent on a large number of exposure levels or steps. Only a single photomask is required, regardless of intricacy or desired smoothness of the features. Additionally, calibration of exposure dosage versus SU-8 thickness is not necessary, allowing for very precise features.

Channels produced by this fabrication technique are limited to a linear shape, without curves in the plane of the device. However, 3D mold elements could be integrated into larger microfluidic networks by aligning a second mask to bring connections to the 3D mold element. Alternatively, 3D elements could be made into modular microfluidic blocks such as MABs [12] to enable easy construction of larger channel networks with some 3D structure.

These 3D channels have been shown to be useful for particle trapping and sorting, and have potential applications in cell trapping, fluid mixing, and other areas. Particle self-sorting in a saw tooth channel, for example, can arrange particles into complex shapes for bonding into multi-particle objects. [13] Bringing particles into optical focus only in the imaging and sorting region would enhance on-chip flow cytometry or other sorting of particles or cells, while minimizing the necessary pressure to drive flow. Particle sorting based on size enables multiplexing results from a particle-based assay and provides a region for presenting assay results. These applications – and many others enabled by this technique – can be fit into larger chip designs with relative ease. While this technique is simple and may not be suited to large-scale production, it will enable researchers with only basic microfabrication tools access to useful complexity in the third dimension.

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## **Chapter 7**

# **The Importance of Technology Assessment for the Design of Microfluidic Distributed Diagnostic Systems**

### **7.1 Introduction**

In the past decade, chemical and biochemical analysis systems, including those based in microfluidics, have made rapid advances. Success for a technology is measured by its adoption and impact, and its deployment into hospitals, clinics, and homes. The devices which academia and industry are currently developing are generally designed for targeted applications or limited populations. In order to extend the reach of these technologies, there must be conversations with a wide set of patients, health care providers, administrators, manufacturers, and other stakeholders.

The field of technology assessment develops tools for such conversations. As the microfluidic diagnostics and distributed health technologies mature, their full promise can only be achieved when scientists and engineers mindfully consider the context in which the devices will be used. Users, governments, regulations, infrastructure, economics, climate, geography, culture, religion: these and other factors all affect how a technology is received and used – or isn't.

## 7.2 Assessing Technology

The challenge of assessing technology can be summed up by the “Collingridge dilemma”. David Collingridge stated that technological impact is difficult to predict until the technology is developed and widely deployed. However, once that technology becomes entrenched, it is difficult to change or control. [1] The field of technology assessment tries to work through this fundamental challenge and examine the impacts of a technology’s use across a range of areas (such as ethics, law, society, the economy, and the environment) and a range of time scales. [2]

Early assessment of technological innovation followed a linear model, beginning with basic research, proceeding to applied research, technology development, and product development, and culminating in production and use. The linear model does not view technology development as predictable or guidable, merely supportable. This uncertainty can be used to argue that new technologies cannot – or should not – be directed or regulated anticipatorily. However, technological innovations can diffuse quickly, [3] and many technologies can have sweeping impacts in the time between deployment and regulation. Recent examples include (1) agricultural chemicals and pesticides released into the environment in large quantities, and (2) personal electronics and telecommunications advances that penetrate the market extremely quickly, and spread widely through developed and developing countries. Emerging technologies with similar potential impact are genetically modified organisms (GMOs), genetic screening in humans, and nanotechnology. The tremendous scale of potential impact has motivated scholars, policymakers, and citizens to work to best balance the two halves of Collingridge’s dilemma.

Beyond anticipating and addressing possible negative impacts, technology assessment can also be implemented to improve usability and quality. More modern approaches to the



problem include feedback from potential end users and other stakeholders in shaping the ultimate product. This notion of trying to guide technological development was often met with skepticism and resistance by scientists, worried about interference from politicians and the fears of the non-expert public. [4] Eventually, however, the need for some assessment gained enough political clout, resulting in the US Congress's Office of Technology Assessment in 1972. In parallel, the National Academy of Sciences and the National Institutes of Health have been undertaking similar studies. The 1980s brought similar institutions to nations in Europe, which are now among the 14 full members of the European Parliamentary Technology Assessment (EPTA) network. [1]

The Office of Technology Assessment was eliminated in 1995, but large-scale technological assessment continues. The Human Genome Project had approximately 3% of its budget dedicated to addressing the ethical, legal, and social issues (ELSI) arising from the project, including responsible clinical use, privacy and fair use of genetic information, and public education. [5] Health technology assessment (HTA) continues to be an active area of focus. In the United Kingdom, the National Institute for Health Research has an active Health Technology Assessment program, [6] and the EU has similar programs. [7] Drummond et al. define 15 HTA principles, [8] and Stephens et al compares these principles to actual practice by surveyed HTA organizations. [9]

In 2005, the National Science Foundation created the Centers for Nanotechnology in Society (CNS) at Arizona State University and at the University of California, Santa Barbara. In 2008, CNS held a National Citizens' Technology Forum, and similar public engagement efforts have been made for nanotechnology in Europe. These efforts are aimed at developing "anticipatory governance," a research topic funded by the National Nanotechnology Initiative

(NNI). [10] Anticipatory governance aims to remove barriers to developing effective, forward-looking innovation policy through three goals. First, it makes explicit a discussion of values. Values come from public input, politicians, religious leaders, ethicists, and other social and cultural sources. In the case of nanotechnology, CNS researchers identified several values as important, including: interdisciplinarity of research, potential for economic development, ability of the technology to be regulated, and public engagement. Second, anticipatory governance strives for anticipatory knowledge through thought experiments and exploring possible scenarios of future technological development. Finally, anticipatory development hopes to integrate social scientists who are studying social values and public concerns about technology with the scientists and engineers conducting the technical research. By providing engineers and scientists with information from the first two goals, they can make decisions about research directions consciously, self-reflectively, and with social considerations explicitly in mind.

While not every idea or example from technology assessment applies to every field of technology, many principles are still relevant.

### **7.3 Assessing Distributed Health Diagnostics Technology**

Health technology is an especially active field of technology assessment. Distributed health diagnostics technology is a growing field that shares many of the concerns that arose in the human genome project: generation of detailed personal health information, privacy and proper use of that information, and counseling of patients. Distributed health diagnostics devices aim to enable patient-focused delivery of healthcare outside of hospital environments. Increased portable diagnostic abilities can increase access and improve quality of care in the developed and developing worlds. However, as more detailed information becomes available, the particulars

can be used not only by healthcare providers, but also by patients, insurers, governments, and employers. Genetic screening is already leading to preventative procedures and surgeries, as in the case of BRCA1 and BRCA2 gene mutations. [11]

Technology assessment is especially relevant when designing products for different situations. Several organizations have devoted efforts to understanding and meeting the health needs of the developing world. Most prominent is the United Nations, primarily acting through the World Health Organization. Health issues comprise three of the eight Millennium Development Goals adopted at the UN Millennium Summit in 2000. Additionally, the UN has held high-level meetings on HIV/AIDS and on non-communicable diseases. Charitable foundations such as the Clinton Foundation and the Gates Foundation are also extensively involved in global health issues, from partnering with governments and UN agencies, to holding summits and gathering public input, to directly funding laboratory research of health technologies. The Program for Appropriate Technology in Health (PATH) is a non-governmental organization working in this field. Receiving extensive funding from the Gates Foundation, they develop a wide range of technologies for global health, including vaccinations, reproductive health solutions, and diagnostics. The organizations feel that “wealthy-country answers just don’t fit” all situations, and so they work on technologies with an awareness of local infrastructure.

The diagnostic health technology needs of developing countries can be evaluated with respect to three main considerations: technical capability and infrastructure, human factors (society and culture), and disease targets.

## **Disease Targets**

Addressing diseases – both infectious and non-communicable – in the developing world is not just a matter of charity and humanitarian concern. Non-communicable diseases (NCDs) alone take an estimated 4% tax on the economies of low- and middle-income countries. [12] 30% of NCD deaths in developing nations occur during working age, compared to 13% in the developed world. These statistics contributed to three of the eight UN Millennium Development Goals (MDGs) being health-related: reducing child mortality rates; reducing maternal mortality rates and increasing reproductive health access; and combating HIV/AIDS, malaria, and tuberculosis. Commonly added to this list are vaccine-preventable diseases such as measles, diphtheria, polio, pertussis, tetanus, and hepatitis, as well as neglected tropical diseases like lymphatic filariasis, onchocerciasis, schistosomiasis, trachoma, and soil-transmitted helminths. [13]

NCDs are growing in prevalence, and the main four are cancers, cardiovascular diseases, chronic obstructive pulmonary disease (COPD), and diabetes. Many people associate these diseases with the developed world, but 80% of deaths from NCDs occur in the developing world. [14] This fact is attributed mainly to four risk factors: tobacco use, unhealthy diet, harmful alcohol use, and physical inactivity. The substantial burden caused by NCDs prompted the UN to hold the first non-HIV/AIDS health-related high-level meeting in September 2011; [15] organizations including the Clinton Foundation/Clinton Global Initiative held complementary summits at the same time. This high-level meeting was largely organized by developing nations, especially the Caribbean community.

For neglected diseases and other infections not common in the developed world, new diagnostics need to be developed. For NCDs, their increased worldwide prevalence means that

relevant diagnostics and other technologies for use in developing nations may already exist in developed nations. However, even when technologies do exist for the correct diseases, their implementation and deployment may not be appropriate for settings found in the developing world.

### **Capabilities and Infrastructure**

There is no universal, homogeneous level of technological infrastructure, either in high-income countries or in middle- and low-income countries. Capabilities vary from nation to nation, from region to region within a nation, and from urban to rural environments within a region. For example, the capabilities of a modern hospital's central laboratory are different from those of a reference laboratory, a physician's office, a patient's home, or emergency responders in the field. [16] Similarly, technology in the developing world differs drastically from private hospitals in cities, public hospitals and clinics in cities, and rural clinics. [17] In some regions, electricity and running water are reliable, and in some, power is intermittent at best.

On the other hand, some technologies are prevalent across settings. The world now contains six billion mobile phones, and three-quarters of all people have access to one. [18] Phone- and SMS/text message-based applications already exist helping people around the world access banking, government resources, farming and economic information, and health care. In the developed world, even more capable phones – smartphones – have become widespread, and citizens use applications for a similar set of purposes. This infrastructure has immense potential.

A particular deployment context for a technology can have different availability or levels of sophistication across a range of factors, including:

- 1) Electrical power (reliable, intermittent and/or battery-only, none),

- 2) Transportation and supply chain logistics (reliable or unreliable, frequent or infrequent, refrigerated or unrefrigerated),
- 3) Manufacturing capabilities (reagents and medicine, basic medical supplies, none),
- 4) Health care infrastructure (hospital, doctor's office, clinic, field),
- 5) Health care professional (specialist, general practitioner, nurse, physician's assistant, medic, technician, aid worker, layperson), and
- 6) Telecommunications (Internet access, SMS, voice, none).

## **Society and Culture**

Even more than technology, society and culture vary widely. While tempting to dismiss as insignificant or mutable, cultural expectations and attitudes dramatically impact how users interact with and respond to technology. These human factors can be challenging to engineer for, but are also not too complex to address if approached seriously. Classic scientific and engineering problems involving chemical, physical, and biological topics can be brought into the laboratory and examined. Human factors exist out in the world, and cannot be contained within a research lab. But they can be studied and addressed. No successful company designs a product without considering the end user. Similarly, engineers developing technologies need to consider end users and the environments in which they live and work.

Beyond assessing local technical capacity and infrastructure, we must consider what users – health care providers and patients – want and need. We must understand what they identify as important issues to address and how they expect to interface with technology and healthcare providers. Some cultures, including the US and Europe, emphasize individuality when making health care decisions, but in other cultures decisions are made with family members and

community or religious leaders. Additionally, traditional views about the symbolic power of words, as in Navajo society, may lead patients to be uncomfortable discussing negative outcomes or risks. [19]

Health behaviors can also depend significantly on cultural and religious considerations. For example, hand washing is a widely recommended hygiene practice. The CDC and WHO both recommend washing with soap and water when hands are “visibly dirty” and with an alcohol-based cleaner otherwise. However, darker skin may make blood or other material more difficult to see and identify as “visibly dirty.” Additionally, many religions prescribe hand washing with water for ritual purification, which may lead people to wash with water even when alcohol is preferred. Finally, several religions prohibit consumption of alcohol, which may lead some adherents to be uncomfortable with their use. [20]

Cultural differences can even affect perceptions as basic as color. Some languages, like English, have different terms for “blue” and “green” while a majority of the world’s languages have a single word for these colors. [21] These linguistic factors can have real impacts on the ability of people to distinguish between differently colored objects. [22] Considerations like this can be important when designing interfaces and displays.

Like most successful design, such a process is likely to be iterative. Some solutions may be applicable to broad sections of the world, while others may require more local tailoring. In some settings, foreign healthcare personnel may be viewed as having infallible medical knowledge, and in other settings, their knowledge may be met with great skepticism. [23] But the only way to find out is to go out, meet with, and talk to the ultimate users of our inventions.

## 7.4 Existing Capabilities of Microfluidic Diagnostics

One promising technology with the potential to effectively and economically meet the range of diagnostic needs in developing world settings is microfluidics. Many advances in microfluidics have been made with portability and affordability in mind, or are capable of operating with low power requirements. While there are still barriers to commercialization of microfluidic technologies, [24] some products exist, [25] and many promising enabling advances have been reported. Overall, the outlook of microfluidics researchers appears to be shifting from development of isolated techniques and operations to a focus on integrated devices with specific applications. [26]

### Pressure Control

#### Active

The first widespread technique for micro-scale fluid flow control was capillary electrophoresis (CE), also known as capillary zone electrophoresis. Originally a technique for separating electrolytes, the resulting electroosmotic flow (EOF) moves the bulk liquid as well. CE allows control of fluid flow with low power requirements; devices running on 9 V batteries [27] or generating 25 kPa of pressure at 10 V [28] have been reported. However, to be compatible with CE, the solution must be electrolytic.

Pressure-driven flow, analogous to macro-scale fluid pumping, is another common flow control technique. Unlike CE, the scale of channel dimensions matter for pressure-driven flow; the Hagen-Poiseuille equation shows the pressure drop required for a given flow rate varies inversely as the fourth power of channel diameter. Nonetheless, positive and negative (i.e.,



vacuum) pressures are useful tools for microfluidic flow control. In a low-resource or field setting hand pumps, syringe plungers, and manually squeezing elastomeric devices [29] are accessible pressure sources.

A related technique is centrifugal flow control, often used as part of a “lab-on-a-disk” system. In these devices, an integrated microfluidic analysis system is designed to match the size of a standard CD or DVD. [30] After loading the sample, the disk is spun and the sample and reagents move through the device to conduct the analysis. Motors for spinning such disks are widely available and require little power. Recent work has used not just the motor but also modified a DVD drive’s laser into a laser-scanning microscope. [31]

If the microfluidic device is using droplets rather than a continuous single phase of fluid, interfacial tension can be manipulated to control droplet motion. Electrowetting on dielectric (EWOD) uses electric fields to alter the surface tension of a droplet on a dielectric, causing motion in response to the change. [32] Using temperature rather than electric fields to alter surface tension can achieve similar results. [33, 34] Dielectrophoresis can also use interfacial effects to generate controlled fluid motion. [35]

### **Passive**

In addition to active control, there are passive methods of flow control that require no external input. Rather, their design uses physical and material properties to dictate the movement of samples and reagents through the device in a pre-defined manner.

Capillary pressure is the most common passive pressure control system. At the microscale, increased surface-to-volume ratios mean that interfacial interactions have a larger impact on the system. When the surface energy between a liquid and the channel walls is low

enough, the liquid will wet the surface to lower the energy of the system, and thereby fill the channel. Patterning hydrophobic and hydrophilic regions within the channel allows more accurate control and metering of flow. [36] Capillary flow can also be controlled by designing for fluid absorbance into a paper reservoir. [37] Reactions requiring sequential delivery of samples, reagents, and buffers have been demonstrated with only capillary pressure as the fluid control. [38]

Paper-based microfluidic devices also use capillary pressure to control fluid flow. Like water being wicked into a paper towel, capillary forces can pull a liquid through the fibers of paper as they can through a capillary channel. Home pregnancy urine tests and blood glucose tests are the most common examples of this technique for distributed healthcare. Such tests usually rely on colorimetric presentation of results, whether to be read by the naked eye or by a sensor. In the past six years, researchers have brought renewed interest and increased complexity to paper-based microfluidics. [39-42] A variety of techniques can be used to pattern hydrophobic regions into the paper substrate, thereby directing the capillary flow. [43] These tests have focused on analytes including glucose, proteins, cholesterol, and bacteria, among others. Primarily, the detection method is colorimetric, although electrochemical, chemiluminescent, and electrochemiluminescent methods have been shown for some analytes. Currently, paper-based microfluidic tests usually have high limits of detection, are semi-quantitative, and can struggle with evaporation and handling of very low surface tension fluids. However, this field is relatively new and shows much promise in developing distributed health tests that are accessible and affordable.

Pneumatic logic is another method for controlling more complex flows on-chip. Microfluidic pneumatic logic has been developed both in actively controlled and passively

controlled configurations. Active microfluidic logic systems replicate structures from electronic logic like NOR and NAND gates, latches, oscillators, and shift. [44, 45] However, these systems require pressure sources capable of delivering actively controlled binary pressure signals, which leads to reduced portability. Passive logic systems can carry out preconfigured operations such as oscillation with a set period, supplied with only a continuous input flow rate. [46, 47] This approach enables simpler fabrication as well as simpler input pressure feeds, although the range of operations is reduced.

### **Reagent Storage**

Reagents can be stored off-chip, to be loaded before use, or pre-loaded on-chip. For a reusable device, reagents are generally stored off-chip, but for a device with disposable components, on-chip pre-loading is more convenient. [48] The class of reagent dictates how difficult they are to store; lateral flow immunoassay (LFA) test strips are common, but nucleic acid reagents for PCR or other genetic testing are less prevalently stored. [49]

Recent research has shown a gelification technique for dehydrating PCR reagents, demonstrating stability for three months, as well as scale-up potential for the process. [50] Other work has miniaturized common food and drug tubular foil stick packaging for use in lab-on-a-chip systems, capable of pressure-controlled release. [51]

### **Detection and Presentation of Results**

The two most common methods for presenting results in microfluidic systems are fluorescence and colorimetry; also used are optical density, electrophoretic separation, and electrochemical sensing [49, 52-54] Fluorescence is usually employed either as an intercalating

dye or as part of a sandwich assay. [55] Colorimetry is primarily used for ELISA and other protein detection applications. [56, 57] Surface plasmon resonance (SPR) sensing is also increasingly combined with microfluidics, [58] and this combination has resulted in advances for both the fields of microfluidics and of SPR. [59]

There are many approaches to reading the results from a microfluidic assay. Some of these techniques are designed for laboratory settings, such as conventional light and confocal microscopes. [60] Less bulky approaches include coupling a CCD or other CMOS optical sensor to the microfluidic device. [61] Some assays are designed for reading by the human eye without magnification, especially some paper-based microfluidics. [42]

Another elegant approach that leverages exciting global technology is the use of mobile phone cameras in microscopy. By mounting an assembly of lenses and filters, mobile phones have been converted into microscopes capable of fluorescent imaging, [62] flow cytometry, [63] and spectrometry for photonic crystal biosensors. [64] Not only does this approach use a widespread technology, but it also allows for easy transmission of the resulting data to other healthcare facilities. As work continues with LED-based illumination and other low power components, as well as additional connectivity via mobile phones, the quality of remote medical testing will increase dramatically.

## **7.5 Case Study: Electrical Power**

Once a device's target application environment and available operating components have been assessed, the most appropriate technology can be chosen. For example, the availability of electrical power in the target environment will inform the selection of many device components.

Electrical infrastructure can be considered in three categories: reliable and plentiful, intermittent, and none. Common device components to be evaluated are fluid pumps, integrated heaters, and detectors.

Reliable and plentiful power is most likely encountered in developed urban or suburban settings, when the application allows reliance on plug-in power. In this case, there are few restrictions on the device's power usage. Voltage transformation may be needed, depending on the supply available and the needs of the device components. Pumping, heating, and detection components can be used all without concern for power consumption.

Intermittent power is most likely encountered in developing regions with an unreliable electricity grid, as well as in portable applications in developed regions. This could also include more remote settings where a small solar panel, manually turned generator, or other distributed power source would be sufficient. In these cases, battery power is likely to be available, to be used when needed and recharged when possible. In this case, electrical components should be replaced when feasible. Active pumps could be replaced by capillary pumping, for example. Photo-detectors could be replaced with colorimetric displays or fluorescent readouts visible to the naked eye. Irreplaceable electrical components could remain on battery power. Heaters are difficult to replace, as well as electrically powered detection for some applications.

Complete lack of power is most likely encountered in remote regions or for portable applications with long durations spent away from electricity sources. In this case, all components must be unpowered. Paper microfluidic devices, with capillary-based pumping and colorimetric displays, are well suited to this situation. Devices reliant on manual actuation, such as membrane pumps activated by finger pressing, could also work well here.

These type of evaluations need to be conducted for specific applications when designing a device. Electrical power is just one factor in such an analysis. The other factors discussed previously, including social and cultural factors, are all important when designing and selecting appropriate technology.

## **7.6 Necessary Technologies and Developments**

While researchers in microfluidics are making great progress on a wide range of capabilities, there are still many technical and structural challenges to address. Some of these challenges can be predicted by scientists and engineers in the lab, or from previous studies of distributed health technology usage in global settings. [17] The full set of needs and technical solutions will depend on the precise environment in which a device is used. These can only be determined through conversations with users and other stakeholders familiar with the cultural, governmental, and technical landscape for which the product is being designed.

Most reported microfluidic devices focus on a single class of analyte. However, many diseases or groups of related diseases are typified by different classes of biomarker – DNA, RNA, proteins, enzyme activity, and cell morphology. [16] In a dedicated pathology laboratory, these can be detected separately. For a self-contained test, however, a device that can assess multiple biomarker categories in an integrated unit is ideal.

Many commercial microfluidic platforms rely on cartridges, cassettes, or other disposables as part of their convenience and reusability. Platforms that don't use disposable components usually still require reagents to be added. In certain settings, cold storage of disposables or chemicals is not feasible. Even when temperature control is available, or when

reagents do not need refrigeration, supply chain issues can arise. Lack of disposable components leaves many pieces of equipment unused. [17] Tests designed to use with locally available components can improve device utility. Furthermore, developing local manufacturing capability for necessary components can improve not just the healthcare supply chain, but also the economy. This will require wise choices on the part of device designers, but also development of local higher education systems to produce engineers and other skilled workers for these manufacturing efforts.

Device designers must not think of the developing world as a place only of limitations, however. Some resources are in fact cheaper and more available than in the developed world – most notably, labor. Engineers in higher-income countries may focus on ease of use at the price of electrical power, expensive components, or other tradeoffs. However, there may be ways to accomplish similar results with more human labor and less electrical power, for example. Microfluidic devices can be designed to work with hand pumps or vacuums. Other resources may be available locally, and all these considerations – both the presence and absence of resources – should be considered to create the most effective products.

## **7.7 Conclusion**

As scientists and engineers approach increasingly sophisticated and complex problems, they turn more and more to interdisciplinary, collaborative approaches. The most important questions cannot be answered in a vacuum. However, in new fields, vacuums are often formed. Researchers, excited to explore new avenues of technological development, rush to determine the extent and capabilities of the new area of study. Fortunately, after this period of initial

exploration, most fields return to ask questions of application and practicality. The older linear model, where technology development proceeds into finding a problem and producing a solution, gives way to a new model, where the problem and its context are part of a dialog with the technology development.

More formalized techniques for asking these questions are being developed in the field of technology assessment. We have attempted to perform some technology assessment for the field of distributed healthcare diagnostics, with a focus on which microfluidic technologies have potential to meet global health diagnostic needs. However, technology assessment must also be an interdisciplinary approach, and one that cannot be successful without including stakeholders from research engineers and scientists to manufacturers, from healthcare professionals to users in the target market.

While some of these conversations are happening, in places like the Gates Foundation and PATH, we need more of them, in fields from healthcare to telecommunications to finance. They can occur in venues such as the United Nations and relevant agencies (e.g. WHO), via non-governmental organizations (e.g. Gates Foundation, Clinton Global Initiative), funding agencies, global academic collaborations, and for-profit corporations. If we begin a research endeavor with these values and considerations in mind, the ultimate product will be more useful and have a greater impact on the world at large.



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## Chapter 8

### Conclusion

#### 8.1 Summary

This dissertation has demonstrated important advances in the measurement of viscous and viscoelastic material properties in nanoliter-volume microfluidic liquid-liquid droplets. As the prevalence of droplet-based microfluidics continues to grow, techniques such as these are needed additions to the available toolbox for researchers developing increasingly integrated systems.

In Chapter 2, we developed a working microfluidic capillary viscometer capable of analyzing discrete, small volume samples fluids without limitation on electrical properties, hydrophobicity, or other physical properties. Measurements presented here were taken with 20  $\mu\text{L}$  of sample, but sample sizes of 10  $\mu\text{L}$  and 5  $\mu\text{L}$  have been successfully used.

In Chapter 3, we developed a device that offers a versatile, simple way to quickly measure the viscosity of a sample plug, with volumes at least as small as 30 nL. The measurement required no droplet merging or splitting, and needed no labels, tracers, or other additives to the droplet. Measurements were accurate and precise at all operating pressures when an interfacial backpressure is accounted for, and accurate at higher pressures regardless.

In Chapter 4, we have presented a system for characterizing a viscoelastic microfluidic droplet based on its phase angle. The required channel geometry is simple and compatible with upstream or downstream droplet operations, allowing integration with droplet-based reactions, merging, splitting, or other analysis steps. Results also offered insight into the behavior of individual droplet interfaces. Droplet response at low frequencies is dominated by interfacial elasticity, while sample fluid viscoelasticity becomes visible at higher frequencies. At these higher frequencies, the response is sufficient to distinguish between different samples.

In Chapter 5, we presented the initial design, fabrication, and testing of a micro-scale plasma-based pressure actuator for eventual use in microfluidic settings. Initial testing at resulted in plasma discharge along the length of the electrodes, but behavior was not reproducible, and we lacked the time or resources to develop meaningful collaborations to continue the work.

In Chapter 6, we have demonstrated a technique that allows the creation of SU-8 molds for linear PDMS channels with arbitrary topography. The smoothness of height changes is only limited by the planar resolution of standard SU-8 photolithography and is not dependent on a large number of exposure levels or steps. Only a single photomask is required, regardless of intricacy or desired smoothness of the features. Additionally, calibration of exposure dosage versus SU-8 thickness is not necessary, allowing for very precise features.

In Chapter 7, we discussed the need for research scientists and engineers to ask questions of application and practicality as they develop new technologies. The older linear model of technology assessment, where technology development proceeds into finding a problem and producing a solution, is giving way to a new model, where the problem and its context are part of a dialog with the technology development.

## 8.2 Future Directions

The systems we have developed in Chapters 3 and 4 can stand on their own or are simple enough to allow straightforward integration with other on-chip operations, such as droplet merging, mixing, reactions, and other analysis. Whether as a stand-alone system or as an integrated component, both the viscometer presented in Chapter 3 and the rheometer presented in Chapter 4 could be enhanced by including electronic droplet sensors. Combined with reliable operation, especially at high pressures for the viscometer or high frequencies for the rheometer, this would enable high-throughput analysis. For the oscillatory system in Chapter 4, accessing higher operating frequencies would allow further investigation of the transition between interface-dominant and bulk fluid-dominant viscoelastic responses from microfluidic droplets, but would likely necessitate specialized off-chip pressure control or integrated on-chip pressure control from peristaltic or other pumps.

Recent advances in microscale plasma actuators reinforce the feasibility and the utility of the actuator described in Chapter 5. In collaboration with plasma physicists, additional development of these actuators and integration into microfluidic devices as the potential to be very powerful for complex flow control and portability.

The fabrication technique in Chapter 6 yields channels limited to a linear shape, without curves in the plane of the device. However, 3D mold elements could be integrated into larger microfluidic networks by aligning a second mask to bring connections to the 3D mold element. Alternatively, 3D elements could be made into modular microfluidic blocks to enable easy construction of larger channel networks with some 3D structure. These 3D channels have been shown to be useful for particle trapping and sorting, and have potential applications in cell trapping, fluid mixing, and other areas. Bringing particles into optical focus only in the imaging



and sorting region would enhance on-chip flow cytometry or other sorting of particles or cells, while minimizing the necessary pressure to drive flow. Particle sorting based on size enables multiplexing results from a particle-based assay and provides a region for presenting assay results. These applications – and many others enabled by this technique – can be fit into larger chip designs with relative ease.

## Appendix

### A.1 Code for Calculating Viscosity of Microfluidic Droplet

```
function [Viscosity ShearRate] =
TrackInterface20000 (FilePrefix, FrameCount, FrameRate, PressurePSI)

%initialize variables
Frame = zeros (1, FrameCount); %frame index
Interface = zeros (1, FrameCount); %coordinate of maximum intensity, i.e. the
interface

%load and display the first frame of the set
IFirstFrame = imread(strcat(FilePrefix, '000.tif'));
figure, image(IFirstFrame)
IFirstFrameNoBack = IFirstFrame - mean(min(IFirstFrame));

%looking at the first frame, have user select region for interface tracking
RangeMin = input('Leftmost pixel to track interface? ');
RangeMax = input('Rightmost pixel to track interface? ');
CenterRowCoord = input('y-coordinate for tracking line? ');

%find the channel centerline y-coordinate
% CenterFindLine = IFirstFrameNoBack(:, 300);
% [Peaks Locs] =
findpeaks(CenterFindLine, 'MINPEAKHEIGHT', 0.25*max(CenterFindLine));
% CenterRowCoord = round((Locs(1)+Locs(2))/2);

%find the 400 um channel width in pixels
% WidthFindLine = IFirstFrameNoBack(:, 300);

%WidthFindLine = IFirstFrame(:, 300);
%[~, Locs] =
findpeaks(WidthFindLine, 'MINPEAKHEIGHT', 0.25*max(WidthFindLine), 'MINPEAKDISTA
NCE', 10);
%Width = abs(Locs(1)-Locs(2))

Width = 133;

for ii=0:(FrameCount-1)
    Frame(ii+1)=ii;
    if (ii < 10)
        FileName = strcat(FilePrefix, '00', num2str(ii), '.tif');
```

```

elseif (ii < 100)
    FileName = strcat(FilePrefix,'0',num2str(ii),'.tif');
else
    FileName = strcat(FilePrefix,num2str(ii),'.tif');
end
I = imread(FileName);
CenterRow = I(CenterRowCoord,:);
[~, Interface(ii+1)] = max(CenterRow(RangeMin:RangeMax));
end

figure, plot(Frame,Interface)

%looking at the plot, have user select region for slope calculation
SlopeRegionMin = input('Leftmost edge of slope-finding region? ');
SlopeRegionMax = input('Rightmost edge of slope-finding region? ');

%Calculate slope of interface progression line
Slope =
polyfit(Frame(SlopeRegionMin:SlopeRegionMax),Interface(SlopeRegionMin:SlopeRegionMax),1);
FlowRate = Slope(1)*(400/Width)*FrameRate*400*24.63;

%Calculate the viscosity (mPa*s) and shear rate (s^-1)
Viscosity = 1000*3.14159*24.814^4*PressurePSI*6895/(128*20000*FlowRate);
ShearRate = 4*FlowRate/(pi*24.814^3);

```

## A.2 Code for Calculating the Pressure Signal Timing

```

function [Viscosity ShearRate] =
TrackInterface20000(FilePrefix,FrameCount,FrameRate,PressurePSI)

%initialize variables
Frame = zeros(1,FrameCount); %frame index
Interface = zeros(1,FrameCount); %coordinate of maximum intensity, i.e. the
interface

%load and display the first frame of the set
IFirstFrame = imread(strcat(FilePrefix,'000.tif'));
figure, image(IFirstFrame)
IFirstFrameNoBack = IFirstFrame - mean(min(IFirstFrame));

%looking at the first frame, have user select region for interface tracking
RangeMin = input('Leftmost pixel to track interface? ');
RangeMax = input('Rightmost pixel to track interface? ');
CenterRowCoord = input('y-coordinate for tracking line? ');

%find the channel centerline y-coordinate
% CenterFindLine = IFirstFrameNoBack(:,300);
% [Peaks Locs] =
findpeaks(CenterFindLine,'MINPEAKHEIGHT',0.25*max(CenterFindLine));
% CenterRowCoord = round((Locs(1)+Locs(2))/2);

```

```

%find the 400 um channel width in pixels
% WidthFindLine = IFirstFrameNoBack(:,300);

%WidthFindLine = IFirstFrame(:,300);
%[~, Locs] =
findpeaks(WidthFindLine,'MINPEAKHEIGHT',0.25*max(WidthFindLine),'MINPEAKDISTA
NCE',10);
%Width = abs(Locs(1)-Locs(2))

Width = 133;

for ii=0:(FrameCount-1)
    Frame(ii+1)=ii;
    if (ii < 10)
        FileName = strcat(FilePrefix,'00',num2str(ii),'.tif');
    elseif (ii < 100)
        FileName = strcat(FilePrefix,'0',num2str(ii),'.tif');
    else
        FileName = strcat(FilePrefix,num2str(ii),'.tif');
    end
    I = imread(FileName);
    CenterRow = I(CenterRowCoord,:);
    [~, Interface(ii+1)] = max(CenterRow(RangeMin:RangeMax));
end

figure, plot(Frame,Interface)

%looking at the plot, have user select region for slope calculation
SlopeRegionMin = input('Leftmost edge of slope-finding region? ');
SlopeRegionMax = input('Rightmost edge of slope-finding region? ');

%Calculate slope of interface progression line
Slope =
polyfit(Frame(SlopeRegionMin:SlopeRegionMax),Interface(SlopeRegionMin:SlopeRe
gionMax),1);
FlowRate = Slope(1)*(400/Width)*FrameRate*400*24.63;

%Calculate the viscosity (mPa*s) and shear rate (s^-1)
Viscosity = 1000*3.14159*24.814^4*PressurePSI*6895/(128*20000*FlowRate);
ShearRate = 4*FlowRate/(pi*24.814^3);

```

### A.3 Code for Calculating the Phase Angle of a Viscoelastic Droplet

```

function [Viscosity ShearRate] =
TrackInterface20000(FilePrefix,FrameCount,FrameRate,PressurePSI)

%initialize variables
Frame = zeros(1,FrameCount); %frame index
Interface = zeros(1,FrameCount); %coordinate of maximum intensity, i.e. the
interface

%load and display the first frame of the set

```

```

IFirstFrame = imread(strcat(FilePrefix,'000.tif'));
figure, image(IFirstFrame)
IFirstFrameNoBack = IFirstFrame - mean(min(IFirstFrame));

%looking at the first frame, have user select region for interface tracking
RangeMin = input('Leftmost pixel to track interface? ');
RangeMax = input('Rightmost pixel to track interface? ');
CenterRowCoord = input('y-coordinate for tracking line? ');

%find the channel centerline y-coordinate
% CenterFindLine = IFirstFrameNoBack(:,300);
% [Peaks Locs] =
findpeaks(CenterFindLine,'MINPEAKHEIGHT',0.25*max(CenterFindLine));
% CenterRowCoord = round((Locs(1)+Locs(2))/2);

%find the 400 um channel width in pixels
% WidthFindLine = IFirstFrameNoBack(:,300);

%WidthFindLine = IFirstFrame(:,300);
%[~, Locs] =
findpeaks(WidthFindLine,'MINPEAKHEIGHT',0.25*max(WidthFindLine),'MINPEAKDISTANCE',10);
%Width = abs(Locs(1)-Locs(2))

Width = 133;

for ii=0:(FrameCount-1)
    Frame(ii+1)=ii;
    if (ii < 10)
        FileName = strcat(FilePrefix,'00',num2str(ii),'.tif');
    elseif (ii < 100)
        FileName = strcat(FilePrefix,'0',num2str(ii),'.tif');
    else
        FileName = strcat(FilePrefix,num2str(ii),'.tif');
    end
    I = imread(FileName);
    CenterRow = I(CenterRowCoord,:);
    [~, Interface(ii+1)] = max(CenterRow(RangeMin:RangeMax));
end

figure, plot(Frame,Interface)

%looking at the plot, have user select region for slope calculation
SlopeRegionMin = input('Leftmost edge of slope-finding region? ');
SlopeRegionMax = input('Rightmost edge of slope-finding region? ');

%Calculate slope of interface progression line
Slope =
polyfit(Frame(SlopeRegionMin:SlopeRegionMax),Interface(SlopeRegionMin:SlopeRegionMax),1);
FlowRate = Slope(1)*(400/Width)*FrameRate*400*24.63;

%Calculate the viscosity (mPa*s) and shear rate (s^-1)
Viscosity = 1000*3.14159*24.814^4*PressurePSI*6895/(128*20000*FlowRate);
ShearRate = 4*FlowRate/(pi*24.814^3);

```