

# Catheter-related Infection and Septicemia: Impact of Seasonality and Modifiable Practices from the DOPPS

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## ABSTRACT

Hemodialysis (HD) catheter-related infection (CRI) and septicemia contribute to adverse outcomes. The impact of seasonality and prophylactic dialysis practices during high-risk periods remain unexplored. This multicenter study analyzed DOPPS data from 12,122 HD patients (from 442 facilities) to determine the association between seasonally related climatic variables and CRI and septicemia. Climatic variables were determined by linkage to National Climatic Data Center of National Oceanic and Atmospheric Administration data. Catheter care protocols were examined to determine if they could mitigate infection risk during high-risk seasons. Survival models were used to estimate the adjusted hazard ratio (AHR) of septicemia by season and by facility catheter dressing

protocol. The overall catheter-related septicemia rate was 0.47 per 1000 catheter days. It varied by season, with an AHR for summer of 1.46 (95% CI: 1.19–1.80) compared with winter. Septicemia was associated with temperature (AHR = 1.07; 95% CI: 1.02–1.13; p < 0.001). Dressing protocols using chlorhexidine (AHR of septicemia = 0.55; 95% CI: 0.39–0.78) were associated with fewest episodes of CRI or septicemia. Higher catheter-related septicemia in summer may be due to seasonal conditions (e.g., heat, perspiration) that facilitate bacterial growth and compromise protective measures. Extra vigilance and use of chlorhexidine-based dressing protocols may provide prophylaxis against CRI and septicemia.

Hemodialysis (HD) catheter placement rates and duration of use have increased over the last two decades (1,2). Approximately 80% of North American patients initiate HD with a catheter, with recent data indicating catheter use rates of approximately 50%, 4 months after dialysis initiation (1,2). A potentially devastating complication of HD catheter use is infection. In HD patients, infection is the most common cause of morbidity and the second most common cause of death (1,3). The risk of a sepsis-attributable death is 100 times that of the general population (4). HD catheters pose the highest risk of bacteremia, sepsis, and death compared with other vascular access types (5–10). It has been estimated that, annually,

approximately 30% of patients using a central venous catheter experience a bacteremic or septic episode (9).

Despite proven prophylactic strategies (10) and widely distributed guidelines on the prevention of access-related infections (11-13), infection rates remain high and a significant concern. Identifying circumstances where patients are at high risk of catheter-related infection and their complications can help target prophylactic efforts. For example, infection-related hospitalization rates are particularly high in the first 2 months after dialysis initiation (14). Another predictable occurrence is the change in environmental heat and humidity associated with climate and the seasons. Climate and seasonal variability has long been associated with disease (15). Higher heat and humidity may be more conducive to bacterial growth and the risk of catheter-related septicemia and their complications. The impact of climate, seasonality, environmental temperature, and humidity on vascular access-related septicemia is unknown.

The Dialysis Outcomes and Practice Patterns Study (DOPPS) is a prospective, longitudinal, observational study of HD patients and facilities in

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DOI: 10.1111/sdi.12141 © 2013 Wiley Periodicals, Inc. 12 countries. Furthermore, the DOPPS has a focus to determine readily modifiable means to improve patient outcomes. The global nature of the DOPPS is ideally poised to determine whether an association exists between catheter-related septicemia and seasonality and to determine modifiable practice patterns that may assist in reducing catheter-related septicemia in high-risk situations.

## Subjects and Methods

Daily climate data from 1996 to 2002 were downloaded from the National Climatic Data Center (NCDC) of the National Oceanic and Atmospheric Administration (NOAA) website. At the time of dataset creation, this was the most current and available data; NCDC data were then linked to each DOPPS facility by mapping to the nearest weather station (16). The climate data set has daily climate data that include the mean, minimum, and maximum temperatures, absolute and relative humidity, dew point, precipitation, and station atmospheric pressure. Data from 1996 to 2002 were used to obtain average climate information for each day of the year for each DOPPS facility location. The data set also has data on the elevation from sea level, longitude, and latitude of each of the DOPPS facilities.

Data were abstracted from DOPPS phases I, II, and III (1996–2009). DOPPS is a prospective observational study in 12 countries (Australia, New Zealand, Belgium, Canada, France, Germany, Italy, Japan, Spain, Sweden, United Kingdom, and the United States), which is based on nationally representative samples of randomly selected dialysis facilities and patients. The DOPPS sampling plan and study design have been described previously (17).

To assess the association between catheter-related septicemia and seasonality and to determine modifiable practice patterns that may assist in reducing catheter-related septicemia, the current analyses were limited to tunneled catheter-related vascular access data of 12,122 HD patients from DOPPS I, II, and III. These patients had a total of 973 infections, 1682 septicemia hospitalizations, and 1862 vascular access-related infections other than septicemia. A final data set was created with one observation per person per week of tunneled catheter use (from tunneled catheter start date until catheter last use date or infection date in case of an infection event) with the average climate information for the facility location based on the day and month of the year. Seasons were defined using approximate dates for the solstices and equinoxes: Winter (December 22), Spring (March 22), Summer (June 22), and Fall (September 23). The differences in seasons were accounted for in Australia and New Zealand (i.e., data from winter in Australia were made to coincide with data from winter in North America, even though these seasons are 6 months apart). To look at vascular access-related practices and their association with catheter-related septicemia, we evaluated the dressing protocol/cleansing agent used by dialysis facilities for vascular access care. The five cleansing agents used by facilities included alcohol, betadyne, chlorhexidine, soap and water, and a combination of agents.

Catheter-related septicemia was defined as septicemia during or within 15 days after HD catheter use. Septicemia was defined by a hospitalization where at least one hospitalization diagnosis was "septicemia". As a sensitivity analysis, hospitalizations involving both septicemia and a list of other diagnoses indicating that the septicemia might be due to something other than the catheter (e.g., pneumonia, wound, abcess, cellulitis, etc.) were excluded. Catheter time at-risk and catheter-related septicemia were assigned to one of four seasons in each country.

## Statistical Methods

Rates of catheter-related infection and septicemia per 1000 patient-days of follow-up with a catheter were plotted by calendar month. Both unadjusted and adjusted relative rates of catheter-related infection and septicemia by season were determined by using time-dependent Cox proportional hazards regression models. These models, updated weekly for climate and season data, were also used to determine the associations of facility daily climate data or facility vascular access cleansing solutions with catheter-related infection or septicemia. All models were adjusted for age, race, sex, 13 summary comorbidities (coronary artery disease, other cardiac disease, cerebrovascular disease, congestive heart failure, diabetes, gastrointestinal bleeding, hypertension, peripheral vascular disease, lung disease, neurologic disorder, cancer [excluding skin], psychiatric disease, recurrent cellulitis, and HIV infection), phase, and country. Robust variance estimates (sandwich estimator) were used to account for patient-level clustering of infection rates. Only the first infection or septicemia event per catheter was analyzed. All statistical analyses were performed using SAS software, version 9.2 (SAS Institute, Cary, NC).

#### Results

We analyzed 12,122 HD patients in 12 countries from DOPPS I, II, and III (1996–2009) and 2,070,254 catheter days at-risk. Overall, the catheter-related septicemia rate was 0.47/1000 catheter days. The catheter-related septicemia rate was 0.52/1000 catheter days in North America, 0.35/1000 catheter days in Japan, and 0.41 per 1000 catheter days in Europe/Australia/New Zealand. The occurrence of catheter-related septicemia varied by month (Fig. 1) with an adjusted hazard ratio (AHR) for "summer" of 1.46 (95% CI: 1.19–1.80) compared

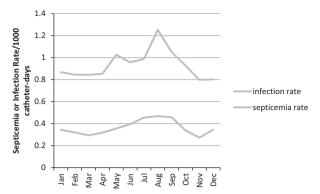


Fig. 1. Catheter-related infection and septicemia by month.

with "winter" (Table 1). As a sensitivity analysis, these results were redone excluding hospitalizations where the septicemia might have been due to another cause (e.g., pneumonia). The seasonal septicemia rates were 0.02–0.03/1000 catheter days lower after excluding these admissions, but showed the same overall pattern. As a result of these rate changes, the AHR values after excluding these admissions increased by AHR = 0–0.03; for example, the AHR for "summer" compared with "winter" changed from 1.46 to 1.48 (95% CI: 1.19–1.83).

Climate-related variables had an association with catheter-related infections primarily through their association with temperature. Adjusting each variable for demographics and comorbid conditions, the following list of climate variables were significantly associated with catheter-related infections: absolute humidity (AHR 1.17 per 10 g/m<sup>3</sup> [95% CI: 1.09-1.27: p < 0.001), latitude (AHR 0.86 per 10° [95%] CI: 0.79–0.95; p < 0.001]), mean temperature (AHR 1.08 per  $10^{\circ}$  [95% CI: 1.04–1.12; p < 0.001]), minimum temperature (AHR 1.08 per 10° [95% CI: 1.05–1.12; p < 0.001), maximum temperature (AHR 1.07 per 10° [95% CI: 1.04–1.12; p < 0.001]), and mean dew point (AHR 1.07 per 10°F [95% CI: 1.04-1.11: p < 0.001). When minimum temperature was included—along with the demographics and comorbid factors—as a covariate in the models using the various climate factors (Table 2), none of the other factors were statistically significant, indicating that humidity and dew point were only associated with infections through their correlation with temperature. These results were similar to those obtained when septicemia alone, instead of any infection, was used as the outcome. There appeared to be a statistically significant relationship between latitude and septicemia (p = 0.03), but not infection (p = 0.39).

Catheter-related septicemia was lower with use of chlorhexidine (AHR = 0.55; 95% CI: 0.39-0.78) or iodine-based antiseptics, e.g., betadyne (AHR = 0.57; 95% CI: 0.42, 0.78), versus alcohol solutions, with similar AHR for combined cleansing agents (AHR = 0.60; 95% CI: 0.36, 0.98). Soap and water was only used in six of the facilities, which may be why their results (AHR = 1.37; 95% CI: 0.51-3.7) were not significantly worse than alcohol. Betadyne and alcohol were used in 68 of the facilities; this combination trended toward lower catheter-related septicemia when compared with alcohol alone (AHR = 0.72, 95% CI: 0.51-1.02). The combination was also associated with significantly higher catheter-related septicemia rates than betadyne alone (AHR = 1.26, 95% CI: 1.00-1.58). There was no interaction with any of the cleansing agents and the seasons.

## Discussion

This study found distinct seasonal variation in the incidence of catheter-related septicemia, with an increased risk of 46% during the summer months. This association persisted around the globe, even after accounting for differences in seasons based on geographic region (e.g., where July and August is summer in the Northern hemisphere, but winter in the Southern one). Consistent with the seasonal findings was the positive association between temperature and catheter-related infections. Furthermore, the study identified certain facility practice patterns that reduced the risk of infection, such as catheter care with appropriate antisepsis.

While the general relationship between season and disease has been long recognized (15), seasonality has been only provisionally reported in relation to access-related infection in the HD population (18,19). However, in the peritoneal dialysis literature, peritonitis rates have been found to vary with seasons. Both Kim et al. and Szeto et al. described increased incidence during seasons of high temperature and humidity (20,21). Such findings are consistent with our finding of higher access-related infections and septicemia rates during the summer. Furthermore, the organisms that demonstrate seasonality for peritonitis are also those that cause catheter-related septicemia. In the peritoneal dialysis

TABLE 1. Rate of first recorded catheter-related infection and septicemia (per 1000 catheter days) by season

Season	Fall	Winter	Spring	Summer	Total
Infection rate Septicemia rate Infection AHR Septicemia AHR	0.82 0.32 1.00 (0.87–1.14) 1.08 (0.86–1.34)	0.83 0.31 1 (ref) 1 (ref)	0.90 0.34 1.10 (0.97–1.26) 1.13 (0.91–1.41)	1.07 0.44 1.32 (1.16–1.50) 1.46 (1.19–1.80)	0.91 0.35

AHR = Adjusted hazard ratio for each season calculated using Cox time-dependent models on infection and septicemia, controlling for DOPPS phase, country, age, sex, race (Black versus non-Black), and 13 comorbid conditions.

TABLE 2. Relationship between climate measures and CVC-related infections or septicemia, adjusting for minimum temperature

	Infection			Septicemia		
	AHR <sup>a</sup>	95% CI	<i>p</i> -value	AHR <sup>a</sup>	95% CI	<i>p</i> -value
Absolute humidity (per 10 g/m <sup>3</sup> )	0.95	(0.77, 1.18)	0.66	0.86	(0.61, 1.2)	0.37
Relative humidity (per 10%)	0.98	(0.93, 1.02)	0.33	0.98	(0.9, 1.06)	0.63
Latitude (per 10°)	0.95	(0.86, 1.06)	0.39	1.20	(1.02, 1.41)	0.03
Mean temp (per 10°F)	1.00	(0.84, 1.17)	0.96	1.08	(0.83, 1.41)	0.56
Max. temp (per 10°F)	0.98	(0.89, 1.07)	0.62	1.03	(0.9, 1.19)	0.66
Min. temp (per 10°F)	1.08	(1.05, 1.12)	<.001	1.07	(1.02, 1.13)	0.01
Difference in temp (per 10°F)	0.98	(0.89, 1.07)	0.62	1.03	(0.9, 1.19)	0.66
Elevation (per 100 m)	1.00	(0.98, 1.02)	1.00	1.00	(0.97, 1.03)	0.95
Mean dew point (per 10°F)	0.92	(0.81, 1.05)	0.23	0.95	(0.77, 1.18)	0.65
Total precipitation (per inch)	1.17	(0.86, 1.6)	0.32	1.52	(0.96, 2.4)	0.07

<sup>a</sup>Cox time-dependent models on infection and septicemia control for DOPPS phase, country, age, male, race (Black versus non-Black), minimum temperature, and 13 comorbid conditions.

literature, *S. epidermidis*, gram-negative organisms, and culture-negative infections were most frequent during hot months of the year, while *S. aureus* was uniformly distributed throughout the year (22). *Staphylococcus aureus* is the culprit organism identified in approximately one-third (27–39%) of bacteremias in dialysis patients (23,24). Our study was unable to examine the type of organism causing episodes of septicemia. However, DOPPS data are derived from a random sampling of dialysis patients and, as such, are representative of the general facility dialysis population from a given region, and probably, the organisms contributing to septicemia.

It is likely that a hot climate associates the summer months with a high incidence of catheterrelated infections in both peritoneal and HD patients (21,25). Sweat and dirt are more likely to accumulate around the catheter exit site during the summer months (20,21,26). Protective barriers, such as bandages, gauzes and/or antibiotic ointments, are less likely to remain intact on dialysis patients as they sweat. Further potential infection risks occur when patients attempt to replace lost dressings under suboptimal conditions. Heat and humidity may enhance growth of bacteria and fomites on catheter tubing, hubs, caps, and other reservoirs, and increase the risk of exit site, peritoneal, or HD catheter-related infections. This clinical postulate is consistent with the pathophysiology of catheterrelated infections and sepsis, with biofilm as a central requirement (10,27).

A biofilm is an organized assembly of surface-associated microbial cells (micro-colonies) enclosed in a protective extracellular polysaccharide matrix. They adhere to and develop on living and nonliving materials in contact with liquid or damp environments. They are found in natural ecologic, manmade industrial and human environments. Biofilms are involved in over 65% of infections in the body (28) and have been long established to play a pathologic role in device-associated infections (29). Heat and atmospheric conditions are known to affect biofilm formation (30). Increasing temperature can accelerate colonization and increase the quantity and thickness of the biofilm biomass (31–35). Fur-

thermore, the colony count of skin bacteria (e.g., *S. epidermidis, S. aureus*) at the internal jugular and subclavian exit site has been documented to be approximately 1000–10,000 cfu/cm² (36), with 80% residing in the top five layers of the stratum corneum (37). The deeper hair follicles and sebaceous glands may be stimulated in heated conditions and harbor the remaining 20% of organisms within biofilms that provide extra protection against antiseptic agents (38–40). HD patients using a catheter during the summer months with high temperatures and humidity are therefore in the ideal setting for biofilm growth and dispersion, culminating ultimately in a higher rate of infection and septicemia.

While the climate and seasons cannot be altered, the associated environmental factors, particularly in relation to the pathophysiology of infection and septicemia in HD patients using a catheter, should be considered in prophylactic strategies. Patients at high risk, such as those with a prior bacteremic episodes (7,41) recent hospitalizations (42), immunocompromised state (41,42), and poor hygiene (7,42), should have their catheter care and evaluation performed by qualified dialysis staff. Excellent catheter care and appropriate antisepsis can reduce microorganism entry, adherence to the catheter, and biofilm development via extraluminal routes available by intercutaneous breaks at the catheter exit site. This is supported by the findings in our study, where the use of chlorhexidine and iodine-based cleansing agents, compared with alcohol-based cleansing solutions, protected against catheterrelated infections. Our findings are consistent with randomized studies and meta-analysis of prophylactic cleansing agents used in high-risk settings, including in the HD population (43–45). Of note, our study extends the findings of the early landmark randomized controlled trial of povidoneiodine that demonstrated a reduction in exit-site infections, catheter tip colonization and bacteremias a single center using temporary catheters (45). Common sense prevention such as hand washing prior to replacing dressings easily dislodged by excessive sweat, meticulous catheter care, use of air conditioning and dehumidifiers, and replacing damp 76 Lok et al.

clothing or carefully toweling dry sweaty necks and chests can be easily taught and implemented to patients and dialysis healthcare providers. Proven strategies for access-related infection prophylaxis have been well-documented (10,27).

## Limitations

This was an observational study that linked DOPPS dialysis units to the closest weather station. The precise temperature and humidity on the day of diagnosed septicemia may not have been possible. Only one observation per person per week of catheter use was analyzed, and the climate data were averaged, based on the location and time of the year. Therefore, the relationships between infection rates and climate variables are likely to have been attenuated by statistical noise, which would tend to increase the chances of obtaining a nonsignificant result. There may have been changes in practice pattern over time that is not captured by DOPPS data, such as use of hand sanitizers or treatment for nasal S. aureus carriers. However, such changes would not specifically and consistently affect catheterrelated infections only in a particular season over several years. However, the observational nature of this study limits our ability to comprehensively adjust for important factors, such as personal hygiene or socioeconomic status, which may impact infection rates. In addition, analyses of recurrent infections within the same person were limited as these infections are plausibly not independent of each other, even though only the first infection attributed to a single catheter was included. Recurrent or new infections in the same catheter would have been excluded. Thus, given that having had a catheter-related infection increases the risk of a subsequent infection, the overall rate of catheter-related infection or septicemia attributed to a catheter may be underestimated. Analyses of time-to-first infection rates within a season are also limited, in that multiple infections for a particular patient within a season would not have been counted. Thus, the true rate of catheter-related infections may be underestimated. Lastly, it may be possible that some catheter-related infections were really septicemia managed at an outpatient facility rather than being hospitalized. However, catheter-related infections and septicemia had consistently similar seasonal trends.

## Conclusions

The higher rate of catheter-related septicemia in summer may be due to higher heat and perspiration, potentially facilitating bacterial growth and compromising protective measures. Extra care and vigilance by staff may reduce catheter-related sepsis during this high-risk season. Prophylaxis should

include iodine-based antiseptic agents or chlorhexidine cleansing of the catheter and exit site, applied by suitably trained health care workers.

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## **Declaration of Presentation**

The results presented in this paper have not been published previously in whole or part, except in abstract format (46).

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