

Estimating and explaining the effect of education and income on head and neck cancer risk: INHANCE consortium pooled analysis of 31 case-control studies from 27 countries

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Additional Supporting Information may be found in the online version of this article.

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Low socioeconomic status has been reported to be associated with head and neck cancer risk. However, previous studies have been too small to examine the associations by cancer subsite, age, sex, global region and calendar time and to explain the association in terms of behavioral risk factors. Individual participant data of 23,964 cases with head and neck cancer and 31,954 controls from 31 studies in 27 countries pooled with random effects models. Overall, low education was associated with an increased risk of head and neck cancer (OR = 2.50; 95% CI = 2.02 – 3.09). Overall one-third of the increased risk was not explained by differences in the distribution of cigarette smoking and alcohol behaviors; and it remained elevated among never users of tobacco and nondrinkers (OR = 1.61; 95% CI = 1.13 – 2.31). More of the estimated education effect was not explained by cigarette smoking and alcohol behaviors: in women than in men, in older than younger groups, in the oropharynx than in other sites, in South/Central America than in Europe/North America and was strongest in countries with greater income inequality. Similar findings were observed for the estimated effect of low versus high household income. The lowest levels of income and educational attainment were associated with more than 2-fold increased risk of head and neck cancer, which is not entirely explained by differences in the distributions of behavioral risk factors for these cancers and which varies across cancer sites, sexes, countries and country income inequality levels.

What's new?

Head and neck cancer is among the most common and increasing cancers in the world. Besides smoking, alcohol drinking, and human papilloma virus infections, low socioeconomic status has been implicated as one of the most important risk factors for this cancer type. This large multinational study authoritatively confirmed that lower education status and lower income are associated with increased risk for head and neck cancer development. Smoking and alcohol consumption could not entirely explain the risk associated with low socioeconomic factors, and therefore, as the authors argue, need to be more explicitly recognized in the etiology associated with head and neck cancer.

One hundred years ago, Charles Singer (1911), a London clinician, in a series of over 500 oral and pharyngeal cancer cases identified a preponderance of the disease among men and among low socioeconomic groups; in addition he hypothesized an association with alcohol and an infection (syphilis).¹

Today, head and neck cancer—comprising tumors of the mucosal lining of the oral cavity, pharynx and larynx—is amongst the most common in the world, with an estimated annual burden of over 550,000 new cases and 300,000 deaths,² and with wide variations in trends reported across the world by sex, age and subsite.³ Increasing incidence of oral and/or oropharyngeal subsites has been observed in Denmark,³ Netherlands,⁴ Sweden,⁵ the UK,^{6–8} USA,⁹ parts of South/Central America³ and Japan³—these increases being mainly among men³ and sometimes among lower socioeconomic groups.^{3,8} Moreover, head and neck cancer has generally poor survival and impacts heavily on quality of life such as: eating, speech and physical appearance.¹⁰

While smoking and alcohol behaviors have long been recognized as the major risk factors for head and neck cancer,¹¹ and more recently the role of genetic variants¹² and human papillomavirus (HPV) infection¹³ have been identified, the burden and aetiology of head and neck cancer associated with socioeconomic factors are yet to be fully understood.

Head and neck cancer risk has been strongly associated with lower socioeconomic status (SES) especially among men.¹⁴ The relative contributions of alcohol and tobacco consumption to the association of SES and head and neck cancer has varied considerably, with estimates of the unexplained or “direct” effect of low SES ranging from 10 to 50%.^{15–17} These estimates have been from studies combining all head and neck sites, usually limited to men and with small sample sizes leading to imprecise estimates of the true burden of exposure unable to explain the association in terms of behavioral risk factors. In addition, while country income inequality has consistently been associated with numerous negative health outcomes¹⁸ to our knowledge no one has tested the hypothesis that the greater the country's income inequality the greater the head and neck cancer risks associated with low relative educational attainment.

We aim to assess the risk for head and neck cancer associated with low educational attainment and household income by age, sex, head and neck cancer subsite and geographic location and to stratify the geographical location by the macroeconomic measure of income inequality.

Material and Methods

The International Head and Neck Cancer (INHANCE) consortium is a global data pooling initiative for epidemiology

studies of head and neck cancer. Study inclusion and methodological details including individual study design, control sources, participation proportions and case definition have been previously described^{19,20} (Supporting Information Table S1). All studies frequency matched controls to cases minimally on age and sex and additional factors in some studies (Table 1).

At the time of this investigation, 35 studies (25,910 cases and 37,111 controls) were in the INHANCE pooled database (version 1.5). Data from 31 studies were included in the analysis because the France (1987–1992), Rome, Japan (1988–2000) and Japan (2001–2005) studies did not collect SES data. Case subjects had histologically confirmed diagnoses of cancers of the oral cavity, oropharynx, hypopharynx, oral cavity, oropharynx not otherwise specified and larynx (ICD codes—see Supporting Information Methods). We excluded lymphomas, sarcomas and cancers of the nasopharynx and salivary glands.

Education data were standardized using the International Standard Classification of Education (ISCED 97)²¹; and grouped into three strata: (i) low education level, which included no education, or completed the first stage of basic education, or at most primary education (ISCED 0–1); (ii) intermediate education level, which included lower secondary or second stage of basic education or completed upper secondary education (ISCED 2–4); and (iii) high education level, which comprised further education including vocational education and higher education including university degree (ISCED 5–6). Household income data were standardized as far as possible (given the original study questionnaire categorization) by grouping comparable levels based on the strata used in the original study questionnaires (Supporting Information Table S2), with category 1 being the lowest and category 5 the highest income levels.

We estimated study-specific odds ratios (OR) and 95% confidence intervals (CI) for the association of education and income for head and neck cancer, using unconditional logistic regression. For details on covariate inclusion and modeling strategy see Supporting Information Methods. We then estimated the summary effect estimates using a meta-analysis approach: by pooling study-specific risk estimates with random effects models.²² For additional details on meta-analytic approaches and evaluation of heterogeneity see Supporting Information Methods. We conducted a detailed series of subgroup analyses by smoking status; drinking status; cancer subsite; geographic region, age-group, country income inequality, control type and year of study conduct (Supporting Information Methods). We also conducted a sensitivity analysis using a complete observation only dataset where no missing data existed across any variable in all studies to determine the potential biased effects of sample size reduction resulting from including additional covariates.

We estimated the proportion of the socioeconomic effect, which remained after adjustment for behavioral risk factors by calculating the percentage change in OR as $(OR1 - OR2)/$

$(OR1 - 1)$, where OR1 is the minimally adjusted model and OR2 is the model adjusted for behavioral risk factors referred to as attributable fraction for covariates.²³ We then calculated the attributable fraction remaining/not explained by covariates by subtracting this from 100%. Statistical analyses were conducted using SAS v 9.2 and STATA v 10.

Results

The characteristics of included studies are presented in Table 1. There were 31 individual case-control studies that included 23,964 head and neck cancer subjects and 31,954 control subjects. The characteristics of the study subjects are detailed in Tables (2 and 3). The distribution of selected behavioral factors by educational attainment in study subjects generally shows that smoking, alcohol consumption and diets low in fruit and vegetables are greater in those with lower education (Supporting Information Table S3).

Low relative to high educational attainment was associated with an increased risk of head and neck cancer (OR = 2.50; 95% CI = 2.02–3.09), with those in the intermediate level of educational attainment having an intermediate increased risk (OR = 1.80; 95% CI = 1.57–2.07; Table 4). These associations were increasingly attenuated when models sequentially adjusted for lifestyle behaviors (Table 4); such that the proportion of the increased risk estimate associated with low educational attainment not explained by smoking alone was 58%; by smoking and alcohol combined was 31%; by smoking, alcohol and diet was 29% and by smoking, alcohol, diet and other tobacco use was 23% (% computed from Table 4). The model adjusting for smoking and alcohol (Table 4 model 3) was adjusted further by including the cross-product terms involving alcohol and smoking to account for interaction on a multiplicative scale, however no further attenuation was observed (data not shown). Among those who never smoked, never used other tobacco and never drank alcohol lower educational attainment remained associated with >50% increased risk (OR = 1.61; 95% CI = 1.13–2.31). Low relative to high household income was associated with a similar increased risk of head and neck cancer (OR = 2.44; 95% CI = 1.62–3.67) and 39% of this risk was not explained when adjusting for smoking and alcohol (Table 4).

Using our complete observation only dataset analysis, we observed very similar effects where low relative to high educational attainment was associated with an increased risk of head and neck cancer (OR = 2.12; 95% CI = 1.59–2.84), with those in the intermediate level of educational attainment conferring an intermediate increased risk (OR = 1.69; 95% CI = 1.35–2.11; Supporting Information Table S4).

Figure 1 shows a forest plot of the study-specific risk estimates for low relative to high educational attainment (OR = 1.86; 95% CI = 1.54–2.25) and low relative to high household income (OR = 1.82; 95% CI = 1.57–2.11) in the models adjusting for age, sex, centre, smoking and alcohol behaviors. These results vary slightly from Table 4 due to using the data from the lowest and highest strata available

Table 1. Characteristics of individual studies of the INHANCE consortium pooled analysis

| Location | INHANCE ID | Reigon | Period | Source of controls | Participation rate (%) Cases/Controls | E I | Oral cavity | Oropharynx | Cancer (n) NOS | Hypopharynx | Larynx | Missing | (n) |
|--------------------------------|----------------------------|-----------------------|-----------|--------------------|---------------------------------------|-----|-------------|------------|----------------|-------------|--------|---------|-----------|
| France ¹ | Paris (1989-1991) | Europe | 1989-1991 | H | 80/86 | X | . | . | . | 206 | 322 | 0 | 305 528 |
| France ¹ | Paris (2001-2007) | Europe | 2001-2007 | P | 82.5/80.6 | X | 468 | 692 | 155 | 413 | 509 | 0 | 3555 2237 |
| Italy(Aviano) | Aviano | Europe | 1987-1992 | H | >95/95 | X | 85 | 148 | 33 | 70 | 146 | 0 | 855 482 |
| Italy multicenter ¹ | Italy multicenter | Europe | 1990-2005 | H | >95/>95 | X | 209 | 359 | 90 | 143 | 460 | 0 | 2716 1261 |
| Italy (Milan) | Milan (1984-1989) | Europe | 1984-1989 | H | 95/95 | X | 48 | 34 | 65 | 27 | 242 | 0 | 1531 416 |
| Italy (Milan) | Milan (2006-2009) | Europe | 2006-2009 | H | >95/>95 | X | 85 | 21 | 18 | 17 | 229 | 0 | 755 370 |
| Switzerland (Lausanne) | Switzerland | Europe | 1996-1999 | H | >95/>95 | X | 138 | 151 | 7 | 96 | 124 | 0 | 883 516 |
| Germany (Heidelberg) | Germany-Heidelberg | Europe | 1998-2000 | P | 96/62 | X | . | . | . | . | 246 | 6 | 769 252 |
| Central Europe ¹ | Central Europe | Europe | 1998-2003 | H | 96/97 | X | 196 | 98 | 32 | 52 | 384 | 0 | 907 762 |
| Western Europe ¹ | Western Europe | Europe | 2000-2005 | H&P | 82/68 | X | 482 | 439 | 106 | 154 | 539 | 8 | 1993 1728 |
| Germany (Saarland) | Germany-Saarland | Europe | 2001-2003 | P | 94/not known | X | 15 | 30 | 9 | 13 | 27 | 0 | 94 94 |
| US Multicentre ¹ | US Multicentre | North America | 1983-1984 | P | 75/76 | X | 386 | 389 | 218 | 121 | . | 0 | 1268 1114 |
| USA(New York) ¹ | New York Multicenter | North America | 1981-1990 | H | 91/97 | X | 536 | 502 | 64 | 62 | 286 | 0 | 1610 1450 |
| USA (Seattle) | Seattle (1985-1995) | North America | 1985-1995 | P | 54&63/63&61+ ² | X | 224 | 174 | 14 | . | . | 0 | 615 412 |
| USA (Iowa) | Iowa | North America | 1993-2006 | H | 87/92 | X X | 254 | 150 | 38 | 11 | 95 | 8 | 760 556 |
| USA (North Carolina) | North Carolina (1994-1997) | North America | 1994-1997 | H | 88/86 | X | 42 | 44 | 25 | 17 | 52 | 0 | 202 180 |
| USA (Baltimore) | Baltimore | North America | 2000-2005 | H | 100 / 100 | X X | 46 | 108 | . | 6 | 49 | 0 | 200 209 |
| USA (Tampa) | Tampa | North America | 1994-2003 | H | 98/90 | X | 22 | 57 | 65 | 1 | 63 | 5 | 899 213 |
| USA (Boston) | Boston | North America | 1999-2003 | P | 89/49 | X X | 139 | 247 | 43 | 44 | 111 | 1 | 659 585 |
| USA (Houston) | Houston | North America | 2001-2006 | H | 95/>80 | X X | 238 | 387 | 10 | 38 | 154 | 2 | 866 829 |
| USA (Buffalo) | Buffalo | North America | 1982-1998 | H | 50/50 | X X | 218 | 141 | 36 | 46 | 191 | 0 | 1254 632 |
| USA (Baltimore) | HOYSPOT | North America | 2009-2012 | H | >85 / >80 | X X | . | 71 | . | . | . | 0 | 71 71 |
| USA (North Carolina) | North Carolina (2002-2006) | North America | 2002-2006 | P | 82/61 | X X | 194 | 372 | 251 | 70 | 481 | 0 | 1396 1368 |
| USA (Los Angeles) | Los Angeles | North America | 1999-2004 | P | 49/68 | X X | 53 | 156 | 112 | 17 | 90 | 0 | 1040 428 |
| USA (Seattle) | Seattle-Leo | North America | 1983-1987 | P | 81/75 | X | 183 | 151 | 47 | 61 | 209 | 6 | 547 657 |
| USA (New York) | MSKCC | North America | 1992-1994 | H | >95 / >95 | X X | 72 | 13 | 2 | 11 | 42 | 25 | 171 165 |
| Puerto Rico | Puerto Rico | South/Central America | 1992-1995 | P | 71/83 | X X | 94 | 143 | 57 | 57 | . | 0 | 521 351 |
| Latin America ¹ | Latin America | South/Central America | 2000-2003 | H | 95/86 | X | 459 | 395 | 240 | 180 | 860 | 66 | 1706 2200 |

Table 1. Characteristics of individual studies of the INHANCE consortium pooled analysis (Continued)

| Location | INHANCE ID | Region | Period | Source of controls | Participation rate (%) Cases/Controls | E I | Oral cavity | Oropharynx | Cancer (n) NOS | Hypopharynx | Larynx | Missing | (n) | (n) |
|----------------------------|------------------|-----------------------|-----------|--------------------|---------------------------------------|-----|-------------|------------|----------------|-------------|--------|---------|--------|--------|
| Brazil (Sao Paulo) | Sao Paulo | South/Central America | 2002-2007 | H | >95 / >95 | X | 769 | 326 | 64 | 180 | 574 | 9 | 1670 | 1922 |
| International ¹ | Intl Multicenter | Global | 1992-1997 | H | 89/87 | X | 828 | 347 | 135 | . | . | 262 | 1732 | 1572 |
| China (Beijing) | Beijing | Asia | 1988-1989 | H | 100/100 | X | 404 | . | . | . | . | 0 | 404 | 404 |
| TOTAL | Total | | | | | | 6887 | 6145 | 1936 | 2113 | 6485 | 398 | 31,954 | 23,964 |

E - education data; I - household income data; X - data present; H - hospital-based controls; P - population-based controls; OC/OP NOS - oral cavity and / or oropharynx not specified
1. multicenter study

2. Two response rates are reported because data were collected in two population-based case-control studies, the first from 1985 to 1989 among men and the second from 1990 to 1995

3. All studies frequency matched controls to cases minimally on age and sex. Additional frequency matching factors included: center/city/region (France 2001-2007, Central Europe, Latin America, Sao Paulo, Western Europe, International Multicenter), Hospital (France 1989-1991, New York Multicenter, Sao Paulo), Neighbourhood (Los Angeles, Boston), ethnicity (Central Europe, Tampa, Houston, Latin America, US Multicenter, Western Europe, North Carolina (2002-2006), HOTSPOT), Residence (Germany Saarland), HPV status (Baltimore)¹⁹

(rather than limited to the absolute low and high categories used throughout). Studies that contributed to the heterogeneity of the overall pooled estimates were investigated using Galbraith radial plots (Supporting Information Figs. S1 and S2). Studies were removed in an iterative process until no further significant heterogeneity was observed. The examination of heterogeneity observed in the overall analysis of both education and income investigated no single factor was identified as the main cause of heterogeneity (results not shown).

After adjustment for smoking and alcohol behaviors the risk associated with low education was greatest among those from higher income inequality countries OR = 1.65 (95% CI = 1.27-2.15), although there was not a clear pattern across the other levels of country income inequality (Table 5). There was a tendency for more of the effect associated with low education to be left unexplained by smoking and alcohol in middle- and higher-income inequality countries.

Significant variation was observed in the risks associated with low relative to high education for the head and neck cancer subsites ($p < 0.05$). The association was stronger for hypopharyngeal and laryngeal cancers than for oral cavity and oropharyngeal cancer. After adjustment for smoking and alcohol behaviors there were no significant differences; however, there was a tendency for more (around two thirds) of the risk associated with low education to remain unexplained by smoking and alcohol for oropharyngeal cancer compared to (around one-third for) all other head and neck cancer sites (Table 5).

The risk of head and neck cancer tended to be more strongly associated with lower educational attainment in North American studies and South/Central American studies than with European studies. There was full attenuation of this risk association by adjustment for smoking and alcohol behaviors in European studies. By contrast, in the North American and South/Central American studies adjustment for smoking and alcohol left substantial socioeconomic risk unexplained by smoking and alcohol (Table 5).

The risk associated with low relative to high educational attainment was lower for oral cavity in studies from Europe compared with those in North America and South/Central America, but stronger for larynx cancer in North America compared with other regions (Supporting Information Table S5). The proportion of the risk left unexplained by smoking and alcohol behaviors by subsite and region was highly variable.

The risk associated with lower educational attainment varied across global regions by sex and age subgroups (Supporting Information Table S6). We observed that it was only in the European studies where the elevated risk associated with lower educational attainment was found only among men and not in women. However, after adjustment for smoking and alcohol behaviors these differences do not remain significant as the elevated risk associations among women in both North and South/Central America were attenuated.

Table 2. Distribution of INHANCE Consortium head and neck cancer cases and control-subjects by selected demographic, behavioural, study design characteristics, and tumour subsite by sex (Continued)

| Variable | Sex | | | | | | All | |
|-------------|----------------------|-------------------|-------|------------------------|--------------------|-------|------------------------|---------------------|
| | Women | | | Men | | | Overall | |
| | Controls (n=9210) | Cases (n=5070) | % | Controls (n=22,744) | Cases (n=18894) | % | Controls (n=31,954) | Cases (n=23,964) |
| | n | n | % | n | n | % | n | % |
| OC/OP NOS | 240 | 240 | 4.73 | 1873 | 1873 | 9.91 | 2113 | 8.82 |
| Hypopharynx | 535 | 535 | 10.55 | 1401 | 1401 | 7.42 | 1936 | 8.08 |
| Larynx | 843 | 843 | 16.63 | 5642 | 5642 | 29.86 | 6485 | 27.06 |
| Mixed | 75 | 75 | 1.48 | 256 | 256 | 1.35 | 331 | 1.38 |
| Missing | 18 | 18 | 0.36 | 49 | 49 | 0.26 | 67 | 0.28 |

Discussion

Our results from this large pooled analysis indicate that low SES is a strong risk factor for head and neck cancer. We found that variation in the influence of SES on the risk of head and neck cancer exists across the world and that there is increased risk associated with both lower income levels and lower educational attainment with the strongest effect remaining among those from higher income inequality countries. We also showed that these findings are not confined to men, nor to older people and they are not entirely explained by the traditional recognized lifestyle behavioral risk factors of smoking and alcohol, nor by diet or other tobacco use, although residual confounding could not be ruled out.

The lowest levels of income and educational attainment are associated with a more than 2-fold increased risk of head and neck cancer, which remain elevated, although strongly attenuated after adjusting for smoking, other tobacco, alcohol and diet risk factors. Adjustment for these behaviors reduced the increased risk associated with low educational attainment by around two-thirds, leaving a potentially unexplained risk, suggesting that low SES confers risk that operates through pathways other than through these risk behaviors. This finding was further supported by the strong association with low educational attainment remaining in the analysis restricted to those who were never smokers, never tobacco users and never drank alcohol and by no studies showing the converse significant association of increased risk associated with higher educational attainment.

Differences in the smoking epidemic by region, sex and SES may help explain the global differences we observed. North²⁴ and South²⁵ American smoking prevalence declined in the late 20th Century, but those with lower educational attainment, regardless of gender or ethnicity, had a higher prevalence of smoking over time and smoked longer.^{26,27} Prevalence among men remains greater than among women, but there has also been a more rapid and greater decline in smoking prevalence for men than women irrespective of educational attainment.^{24,28} Our findings of a sustained effect associated with low education after adjusting for smoking and alcohol in North and South/Central America compared with Europe is consistent with earlier INHANCE analyses, which found the risk of head and neck cancer associated with smoking and alcohol was lower in North America.^{19,29} These differences were considered to be potentially due to variation in the tobacco carcinogen content of cigarettes (which have also changed over time)³⁰ or could be due to other aspects of smoking behavior such as the depth of inhalation or interaction with alcohol. Alcohol consumption on its own has been shown to exert a weak risk association for head and neck cancer, however, in combination with smoking the risk is synergistically elevated^{29,31}, although we did not observe magnified attenuation when we included adjustment for the interaction between cigarette smoking and alcohol. Hashibe *et al* (2009) reported a significant lower population attributable risk associated with tobacco and

Table 3. Distribution of INHANCE Consortium head and neck cancer cases and control-subjects by Smoking, alcohol, and dietary variables by sex

| Variable | Sex | | | | | | | | | | | | | |
|---------------------------------|----------------------|-------|-------------------|-------|------------------------|-------|---------------------|-------|------------------------|-------|---------------------|-------|-----|--|
| | Women | | | | | | Men | | | | | | All | |
| | Controls (n=9210) | | Cases (n=5070) | | Controls (n=22,744) | | Cases (n=18,894) | | Controls (n=31,954) | | Cases (n=23,964) | | | |
| n | % | n | % | n | % | n | % | n | % | n | % | | | |
| Smoking (pack-years) | 1223 | 13.28 | 410 | 8.09 | 3162 | 13.9 | 1044 | 5.53 | 4385 | 13.72 | 1454 | 6.07 | | |
| 10-<=20 | 758 | 8.23 | 435 | 8.58 | 2923 | 12.85 | 1453 | 7.69 | 3681 | 11.52 | 1888 | 7.88 | | |
| 20-<=30 | 508 | 5.52 | 496 | 9.78 | 2562 | 11.26 | 2271 | 12.02 | 3070 | 9.61 | 2767 | 11.55 | | |
| 30-<=40 | 386 | 4.19 | 534 | 10.53 | 2205 | 9.69 | 2867 | 15.17 | 2591 | 8.11 | 3401 | 14.19 | | |
| 40-<=50 | 252 | 2.74 | 488 | 9.63 | 1551 | 6.82 | 2534 | 13.41 | 1803 | 5.64 | 3022 | 12.61 | | |
| >50 | 385 | 4.18 | 1008 | 19.88 | 2882 | 12.67 | 6086 | 32.21 | 3267 | 10.22 | 7094 | 29.6 | | |
| Missing | 60 | 0.65 | 57 | 1.12 | 533 | 2.34 | 822 | 4.35 | 593 | 1.86 | 879 | 3.67 | | |
| Other Tobacco status | 4669 | 50.69 | 1058 | 20.87 | 5222 | 22.96 | 953 | 5.04 | 9891 | 30.95 | 2011 | 8.39 | | |
| Never | 1544 | 16.76 | 685 | 13.51 | 7849 | 34.51 | 4409 | 23.34 | 9393 | 29.4 | 5094 | 21.26 | | |
| Ever | 1589 | 17.25 | 2491 | 49.13 | 6650 | 29.24 | 10787 | 57.09 | 8239 | 25.78 | 13278 | 55.41 | | |
| Current | 1408 | 15.29 | 836 | 16.49 | 3023 | 13.29 | 2745 | 14.53 | 4431 | 13.87 | 3581 | 14.94 | | |
| missing | 4074 | 44.23 | 1765 | 34.81 | 3457 | 15.2 | 1399 | 7.4 | 7531 | 23.57 | 3164 | 13.2 | | |
| Never | 5081 | 55.17 | 3256 | 64.22 | 19211 | 84.47 | 17362 | 91.89 | 24292 | 76.02 | 20618 | 86.04 | | |
| Ever | 55 | 0.6 | 49 | 0.97 | 76 | 0.33 | 133 | 0.7 | 131 | 0.41 | 182 | 0.76 | | |
| missing | 4082 | 44.32 | 1767 | 34.85 | 3476 | 15.28 | 1404 | 7.43 | 7558 | 23.65 | 3171 | 13.23 | | |
| Never | 3293 | 35.75 | 1527 | 30.12 | 6899 | 30.33 | 2907 | 15.39 | 10192 | 31.9 | 4434 | 18.5 | | |
| 11 to <3 | 1196 | 12.99 | 797 | 15.72 | 5772 | 25.38 | 3856 | 20.41 | 6968 | 21.81 | 4653 | 19.42 | | |
| 3 to <5 | 200 | 2.17 | 323 | 6.37 | 2642 | 11.62 | 2716 | 14.37 | 2842 | 8.89 | 3039 | 12.68 | | |
| 5 to | 109 | 1.18 | 427 | 8.42 | 3293 | 14.48 | 7119 | 37.68 | 3402 | 10.65 | 7546 | 31.49 | | |
| missing | 330 | 3.58 | 229 | 4.52 | 662 | 2.91 | 892 | 4.72 | 992 | 3.1 | 1121 | 4.68 | | |
| Fruit consumption (Pieces/week) | 1368 | 14.85 | 1203 | 23.73 | 3974 | 17.47 | 4762 | 25.2 | 5342 | 16.72 | 5965 | 24.89 | | |
| <1 | 1454 | 15.79 | 741 | 14.62 | 3817 | 16.78 | 2803 | 14.84 | 5271 | 16.5 | 3544 | 14.79 | | |
| 1 to 3 | 1883 | 20.45 | 745 | 14.69 | 4158 | 18.28 | 2380 | 12.6 | 6041 | 18.91 | 3125 | 13.04 | | |
| 3 to 7 | 1806 | 19.61 | 620 | 12.23 | 3757 | 16.52 | 1887 | 9.99 | 5563 | 17.41 | 2507 | 10.46 | | |
| >7 | 2699 | 29.31 | 1761 | 34.73 | 7038 | 30.94 | 7062 | 37.38 | 9737 | 30.47 | 8823 | 36.82 | | |
| missing | | | | | | | | | | | | | | |

Table 3. Distribution of INHANCE Consortium head and neck cancer cases and control-subjects by Smoking, alcohol, and dietary variables by sex (Continued)

| Variable | Sex | | | | | | | | | | | |
|------------------------------------|----------------------|-------|-------------------|-------|------------------------|-------|---------------------|-------|------------------------|-------|---------------------|-------|
| | Women | | | | Men | | | | All | | | |
| | Controls (n=9210) | | Cases (n=5070) | | Controls (n=22,744) | | Cases (n=18,894) | | Controls (n=31,954) | | Cases (n=23,964) | |
| | n | % | n | % | n | % | n | % | n | % | n | % |
| Vegetable consumption (pices/week) | 1382 | 15.01 | 997 | 19.66 | 3960 | 17.41 | 4180 | 22.12 | 5342 | 16.72 | 5177 | 21.6 |
| 1 to 3 | 1613 | 17.51 | 845 | 16.67 | 3924 | 17.25 | 3051 | 16.15 | 5537 | 17.33 | 3896 | 16.26 |
| 3 to 7 | 1757 | 19.08 | 802 | 15.82 | 3735 | 16.42 | 2474 | 13.09 | 5492 | 17.19 | 3276 | 13.67 |
| >7 | 1910 | 20.74 | 809 | 15.96 | 4216 | 18.54 | 2251 | 11.91 | 6126 | 19.17 | 3060 | 12.77 |
| missing | 2548 | 27.67 | 1617 | 31.89 | 6909 | 30.38 | 6938 | 36.72 | 9457 | 29.6 | 8555 | 35.7 |

alcohol in North America relative to Europe or South/Central America, which was perhaps due to the lower proportion of cases who both smoked and drank alcohol in North America.²⁹ These geographical differences suggest that other risk factors varying across populations may be more important in relation to explaining the socioeconomic associations with head and neck cancer risk. The role of sexual history and HPV are beginning to emerge as a potentially more important risk factor in North America¹³ compared with Europe^{32–34} or South America³³ – particularly for oropharyngeal cancer. However, this is unlikely to explain these differences as sexual history and HPV do not seem to be associated with low educational attainment.¹³

Our findings that the risk associated with lower educational attainment was stronger for hypopharyngeal and laryngeal cancers than for oral cavity and oropharyngeal cancers and that adjustment by smoking and alcohol attenuated substantially less for oropharyngeal cancer is consistent with the evidence related to the risk associated with smoking which shows a similar pattern.³⁵ Here, oropharyngeal cancer is the site least associated with socioeconomic differences and the site for which socioeconomic differences are least explained by smoking and alcohol behaviors, which is also consistent with earlier findings that oropharyngeal cancer is strongly associated with HPV and risk factors for HPV-positive oropharyngeal cancers seem to differ from those of other head and neck cancers.¹³

The causal mechanisms between low educational attainment or income and disease are *via* behavioral lifestyle factors³⁶ and/or through psychosocial, material and life-course pathways.³⁷ We have observed both an attenuation of the risk associated with low education in relation to head and neck cancer by behavioral factors and also an as yet unexplained “direct” risk. Causal inference of low educational attainment is considered problematic on two counts—first, by the potential for reverse causation (i.e., low educational attainment itself is caused by underlying childhood health that could also be involved in the aetiology of the disease—although in terms of head and neck cancer this seems unlikely) and secondly by unobserved third variables such as IQ or time preference (whether one places emphasis on their present or future wellbeing), rather than educational attainment *per se*.³⁸

Our findings should be interpreted in light of several limitations inherent in pooled individual participant data analyses. Our first major concern was the heterogeneity across studies especially given the high number of studies from across the world. Much work has been done by INHANCE to ensure standardization of case-definition and smoking and alcohol variables within the dataset. Here we endeavored to standardize education levels using the UNESCO ISCED, which is a recognized instrument for cross-country education analysis^{39,40}; and to standardize household income categories into US dollars in absolute terms as reported. Changes in the education systems (albeit unlikely in the relatively short time-

Table 4. Adjusted Odds Ratios and 95% confidence intervals for the association between head and neck overall and education level/monthly household income

| | 1. Minimally adjusted for age, sex and center† | | | 2. Adjusted for age, sex, center, smoking† | | | 3. Adjusted for age, sex, center, smoking and alcohol† | | | | | | | | | | | |
|------------------------|--|------|--|--|----------------------|--|--|------|--|----------------------|------|-------------|------|------------------|------|------|------|------------|
| | Number | OR | LCI | UCL | n studies, p het | Controls Cases number | OR | LCI | UCL | n studies, p het | | | | | | | | |
| Education Level | | | | | | | | | | | | | | | | | | |
| Low | 10301 | 9235 | 2.50 | 2.02 | 3.09 | 28,<0.0001 | 10039 | 8748 | 1.87 | 1.53 | 2.29 | 27, <0.0001 | 7680 | 7142 | 1.46 | 1.16 | 1.82 | 25 <0.0001 |
| Mid | 10238 | 8370 | 1.80 | 1.57 | 2.07 | 30,<0.0001 | 10046 | 8105 | 1.42 | 1.24 | 1.63 | 29, <0.0001 | 6755 | 6331 | 1.32 | 1.15 | 1.53 | 26 <0.0001 |
| High | 10925 | 5550 | 1.00 | | | | 10778 | 5463 | 1.00 | | | | 7184 | 3930 | 1.00 | | | |
| Monthly Income | | | | | | | | | | | | | | | | | | |
| 1 | 1568 | 1556 | 2.44 | 1.62 | 3.67 | 8, <0.0001 | 1544 | 1532 | 1.69 | 1.27 | 2.26 | 8,0.016 | 733 | 1048 | 1.56 | 1.29 | 1.88 | 8,0.53 |
| 2 | 828 | 590 | 1.60 | 1.11 | 2.32 | 8,0.001 | 815 | 583 | 1.26 | 0.90 | 1.75 | 8,0.0023 | 363 | 379 | 1.11 | 0.90 | 1.37 | 8,0.54 |
| 3 | 853 | 521 | 1.31 | 0.93 | 1.84 | 9, 0.0009 | 846 | 520 | 1.14 | 0.80 | 1.62 | 9,0.0018 | 436 | 383 | 1.10 | 0.80 | 1.53 | 9, 0.48 |
| 4 | 618 | 436 | 1.15 | 0.82 | 1.61 | 9, 0.0003 | 614 | 435 | 1.02 | 0.73 | 1.44 | 9,0.0015 | 425 | 341 | 0.94 | 0.64 | 1.37 | 9,0.0034 |
| 5 | 1976 | 1294 | | | | | 1967 | 1284 | | | | | 1516 | 1082 | | | | |
| Control Cases | | | | | | | | | | | | | | | | | | |
| | | | 4. Adjusted for age, sex, center, smoking, alcohol and diet† | | | 5. Adjusted for age, sex, center, smoking, alcohol, diet and Tb† | | | 6. Adjusted for age, sex, center, in never smokers/Tb/alcohol users† | | | | | | | | | |
| Number | OR | LCI | UCL | n studies, p het | Control Cases number | OR | LCI | UCL | n studies, p het | Control Cases number | OR | LCI | UCL | n studies, p het | | | | |
| Education Level | | | | | | | | | | | | | | | | | | |
| Low | 5697 | 4932 | 1.43 | 1.13 | 1.81 | 19,<0.0001 | 5013 | 4395 | 1.34 | 1.04 | 1.73 | 16, <0.0001 | 1784 | 774 | 1.61 | 1.13 | 2.31 | 23,0.1751 |
| Mid | 3690 | 3639 | 1.33 | 1.11 | 1.59 | 19,<0.0001 | 3107 | 3240 | 1.22 | 1.03 | 1.46 | 16, <0.0001 | 1476 | 372 | 1.10 | 0.90 | 1.34 | 26,0.6039 |
| High | 4646 | 2342 | 1.00 | | | | 4136 | 2149 | 1.00 | | | | 1453 | 349 | 1.00 | | | |

N - number of subjects; OR - Odds Ratio; CI - 95% Confidence interval; n - number of studies; p het - p-value for heterogeneity;

1 Adjusted for: age, sex, center

2 Adjusted for: 1 + smoking status, smoking pack years (continuous), cigarettes per day, duration of smoking (years)

3 Adjusted for: 2 + drinking status, alcohol frequency, years of drinking

3× Adjusted for: 3 + interaction between years of smoking and years of drinking

4 Adjusted for: 3 + fruit consumption, vegetable consumption

5 Adjusted for: 4 + Tb - tobacco use: duration of pipe smoking, duration of cigar smoking, use of snuff, use of chewing tobacco

6 Adjusted for: age, sex, center in never smokers, never tobacco users, and never alcohol drinkers

†Unconditional logistic regression (random-effects model); ref - reference category

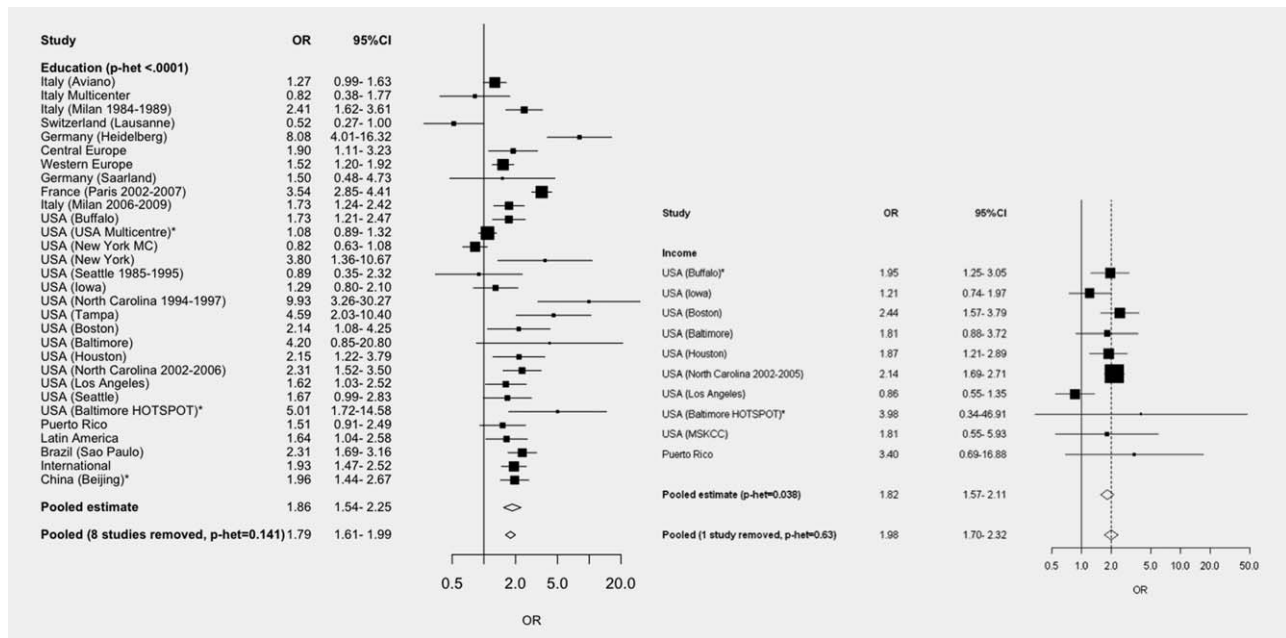


Figure 1. The risk of head and neck cancer associated with low relative to high education and low income relative to high income adjusted for smoking and alcohol behaviors, by study and pooled. OR: odds ratios; 95%CI: 95% confidence intervals *USA Multicenter, Baltimore HOTSPOT, China (Beijing) no lowest group, second group (1v2 or 2v5). Squares: study specific OR; Size of the squares: determined by the inverse of the variance of the log OR. Horizontal lines: study specific 95% CI; Diamond: summary estimate combining the study specific estimates with random-effects models adjusted for age, sex, center, smoking [smoking status, smoking pack years (continuous), cigarettes per day] and alcohol (alcohol drinking status and alcohol frequency); Width of diamond: summary estimate 95% CI Solid vertical line-OR of 1; Dashed vertical line-summary OR, "X studies removed refers to when studies leading to heterogeneity were removed.

frame covered by included studies) and in the absolute value of income over time are nevertheless potential limitations of the data. Heterogeneity was detected in the vast majority of associations and was mitigated as far as possible with random-effects logistic regression models. There were also limitations in the interpretation of our mediation analyses; we assumed no interaction between SES and behavioral factors in the risk of developing head and neck cancer and we assumed there were no unmeasured confounders of the association between behaviors and cancer risk. Therefore, we computed the proportion of the SES effect not attributable to behavioral factors.

Our approach, adjusting for several metrics of smoking, tobacco and alcohol behavior variables and also including analysis in never smokers, other tobacco users or alcohol drinkers, attempted to limit the effects of potential residual confounding associated with these behaviors. However, we have to acknowledge the risk of residual confounding remains. Inconsistent results have been reported in the literature with regard to the relationship of between SES and reported smoking behaviors, with higher rates of under-reporting of smoking among men and women with lower education attainment in the United States,⁴¹ but no such differences reported in European studies.⁴² This could explain some of the differences in attenuation of the head and neck risk associated with education by behaviors we observed between regions. Furthermore, we were also unable to adjust

for other potential risk factors, which could explain the association with low educational attainment such as HPV infection or working conditions and/or occupational exposures, the latter previously identified as a potential explanatory factor for socioeconomic inequalities in head and neck¹⁷ and for lung cancer.⁴³

We did not identify any substantial differences in results between sources of control subjects, which reassures against the risk of selection bias, particularly associated with hospital source controls. Moreover, there was some variability in control matching factors across studies (Table 1). A number of studies matched on neighborhood, residence and ethnicity, all which could potentially overmatch on socioeconomic factors and could have led to an underestimate of the SES effect observed. A final limitation of our study was the lack of data from Asia, particularly South East Asia where incidence of head and neck cancer is high.² Moreover, we investigated potential publication bias *via* visual examination of a Funnel plot, which indicated no significant publication bias (Supporting Information Fig. S3).

In conclusion, we found that a third of the risk for head and neck cancer associated with low education was not explained by the major behavioral risk factors, which chimes with previous estimates that 70% of head and neck cancers are “avoidable” by lifestyle changes—particularly smoking and alcohol behaviors.^{29,31} Therefore, lifestyle factors need to be considered in their socioeconomic context—both with

Table 5. Subgroup analyses – Random-effects unconditional logistic regression models: adjusted Odds Ratios and 95% Confidence Intervals in (1) minimally adjusted models and (2) models adjusted for significant behavioural factors for the association of low relative to high educational attainment in head and neck cancer subsites by: sex, age-group, over-time, source of control, cancer subsite, global region, and country income inequality.

| Education low vs high | Minimally adjusted Adjusted for age, sex and center ¹ | | | Adjusted for age, sex, center and smoking and alcohol ^{1,2} | | | % unexplained by smoking and alcohol ³ | | |
|-------------------------------|--|----------|----------|--|----------|----------|---|---------|------|
| | OR | Lower CI | Upper CI | OR | Lower CI | Upper CI | n, p het** | p het** | |
| Men | 2.58 | 2.07 | 3.21 | 1.44 | 1.16 | 1.80 | 25, <0.0001 | 0.757 | 28.1 |
| Women | 1.89 | 1.41 | 2.54 | 1.34 | 0.90 | 2.00 | 20, 0.008 | | 38.0 |
| < 50 years | 2.19 | 1.68 | 2.85 | 1.22 | 0.89 | 1.67 | 22,0.033 | 0.123 | 18.3 |
| 50 + years | 2.47 | 1.98 | 3.09 | 1.65 | 1.32 | 2.05 | 27, <0.0001 | | 43.9 |
| Pre-2000 studies | 2.55 | 1.83 | 3.56 | 1.27 | 0.88 | 1.82 | 13, <0.0001 | 0.176 | 17.4 |
| 2000-onward studies | 2.50 | 1.97 | 3.16 | 1.70 | 1.37 | 2.11 | 12, 0.0099 | | 46.7 |
| Population controls | 3.25 | 2.25 | 4.68 | 1.62 | 1.17 | 2.23 | 7, 0.019 | 0.539 | 27.4 |
| Hospital controls | 2.16 | 1.75 | 2.66 | 1.42 | 1.08 | 1.85 | 19, <0.0001 | | 36.0 |
| Oral cavity | 2.06 | 1.64 | 2.58 | 1.33 | 1.02 | 1.75 | 25, <0.0001 | 0.387 | 31.2 |
| Oropharynx | 2.34 | 1.66 | 3.31 | 1.88 | 1.23 | 2.88 | 23, 0.085 | | 65.7 |
| Oral cavity/Oropharynx NOS | 2.21 | 1.76 | 2.78 | 1.44 | 1.12 | 1.85 | 25, 0.0034 | | 36.5 |
| Hypopharynx | 3.80 | 2.60 | 5.54 | 2.00 | 1.33 | 3.01 | 20, 0.024 | | 35.8 |
| Larynx | 2.99 | 2.19 | 4.07 | 1.69 | 1.24 | 2.32 | 22, <0.0001 | | 34.9 |
| Europe | 2.20 | 1.55 | 3.11 | 1.30 | 0.88 | 1.93 | 10, <0.0001 | 0.630 | 25.1 |
| North America | 3.00 | 2.05 | 4.39 | 1.57 | 1.12 | 2.19 | 13, 0.0037 | | 28.4 |
| South /central America | 2.37 | 1.93 | 2.91 | 1.68 | 1.31 | 2.16 | 4, 0.45 | | 49.8 |
| Lower income unequalcountry | 2.22 | 1.33 | 3.73 | 1.30 | 0.67 | 2.53 | 5, <0.0001 | 0.002 | 24.4 |
| Mid income unequal country | 1.40 | 0.90 | 2.18 | 1.17 | 0.77 | 1.78 | 7, 0.00018 | | 42.3 |
| Higher income unequal country | 2.75 | 2.08 | 3.62 | 1.65 | 1.27 | 2.15 | 17, <0.0001 | | 37.3 |

comparison lowest to highest education level;

¹Unconditional logistic regression.; OR - Odds Ratio; CI - Confidence Interval; n - number of studies; NOS - Not Otherwise Specified

²Adjusted for: smoking status, smoking pack years (continuous), cigarettes per day, smoking duration (years), drinking status, alcohol frequency, alcohol duration (years)

p het * - p-value for heterogeneity within subgroups; p het ** - p-value for heterogeneity across subgroups;

Difference in models expressed as a percentage computed to quantify amount of effect associated with low education explained by smoking and alcohol behaviours;

³Proportion remaining after attributable fraction of covariates removed 100-(OR1-OR2)/(OR1-1)×100)

regard to understanding the disease aetiology, but also in relation to prevention.

The consistent risk associated with low education for head and neck cancer is a cause for concern. The differences in head and neck cancer subsite, age, sex and region, provide some potential direction for future aetiological research to better understand the causes of this disease. The association of low education with head and neck cancer risk even after thorough adjustment for known behavioral risk factors indicates the potential role of yet unidentified risk factors and pathways that are associated with SES.

This knowledge could also begin to more explicitly underpin the development of more tailored preventive approaches

for head and neck cancer, including risk profiling with SES as developed for other conditions such as cardiovascular disease,⁴⁴ but thus far largely ignored in relation to head and neck cancer.⁴⁵

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