

Dissecting paraventricular hypothalamic neural circuits involved in energy
balance control

by

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“Tough it out, darlin”-Fred Richard Sutton

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Dedicated to:

Michelle Bremer Newsome,

Fred Richard Sutton II,

and

Fred Richard Sutton, my hero

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Abstract

The dramatic increase in obesity and its comorbidities in recent years highlight the critical importance of understanding the factors contributing to dysregulated energy balance. While a relatively small percentage of genetic loci have been correlated with bodyweight, the genetic variations that have been characterized with obesity highlight hypothalamic circuits in the central nervous system (CNS) as an essential regulator of energy homeostasis. The paraventricular nucleus of the hypothalamus (PVH) is a necessary node in satiety regulation, since alterations in PVH development or function in mice and humans result in hyperphagic obesity. Yet, as a heterogenous nucleus, little is known about the specific cell-types used by the PVH to coordinate feeding suppression and/or energy expenditure. Therefore, we first identified the circuitry of genetically-defined PVH subpopulations in order to hypothesize their functional relevance based on projection targets. We combined this methodology with chemogenetic activation and neuronal ablation techniques to determine the function of separate PVH neuronal subpopulations in distinct energy balance parameters. Finally, we attempted to characterize the neural circuit map of afferent inputs to specific PVH cell populations based on their projection targets with the hypothesis that disparate PVH physiologic outputs may be regulated by non-overlapping neural populations.

First, we identify a genetic PVH population expressing *neuronal nitric oxide synthase 1* (Nos1) that is capable of feeding suppression, presumably through

projections to hindbrain regions known to be involved in feeding control. Moreover, while PVH oxytocin (OXT) neurons, a subset of the $Nos1^{PVH}$ field, do not control feeding behavior, they are capable of increasing energy expenditure, likely through connections to the spinal cord. We then characterize a non- $Nos1$, non-OXT PVH population expressing *insulin receptor substrate 4* (IRS4) that is necessary for normal feeding. $IRS4^{PVH}$ neurons also regulate energy expenditure, highlighting the significance of multiple, mutually exclusive, PVH populations in both feeding and energy expenditure control. Lastly, we highlight the dense interconnectivity of PVH subpopulations, with numerous PVH subtypes directly upstream of centrally-projecting PVH populations. Altogether, our results suggest the relevance of a complex intra-PVH network engaging distinct PVH subpopulations in order to ultimately coordinate feeding and energy expenditure regulation.

Chapter I

Introduction: Hypothalamic circuits coordinating energy balance regulation

As the prevalence of obesity and its complications continue to rise worldwide, so too do the associated financial and medical consequences. Obesity is not merely a cosmetic issue, as it is associated with an increased incidence of type II diabetes, cardiovascular disease, cancer, sleep apnea, and a variety of mental health conditions, including depression (1-3). In addition to contributing to other economic and societal burdens, obesity-related illness underlies 10% of current annual health care expenditures in the United States (4). It is therefore crucial that we understand the mechanisms contributing to the development and maintenance of obesity and its complications, as well as potential points of therapeutic intervention for this disease.

The effort to study and cure obesity is complicated by common misperceptions: that obesity is a cosmetic rather than a medical concern and that obesity is the result of personal shortcomings (i.e., poor self-control), rather than the result of biologically-encoded processes. Most “common” obesity likely results from complicated alterations in multiple gene products (to date, genome wide association studies (GWAS) have identified over 90 genetic regions that contain polymorphisms linked to the control of body weight) whose effects are unmasked by the ubiquitous availability of calories in modern society (5). The recent modest success of a number of weight-loss drugs that modulate systems known to participate in body weight control suggests the utility of

more thoroughly understanding these systems to identify additional potential therapeutic targets.

The principles underlying the control of body weight are straightforward: simply stated, homeostasis is achieved when the amount of energy consumed (by eating) equals that expended (by the combination of basal metabolic rate and physical activity). Increasing energy intake relative to energy expenditure results in positive energy balance and the storage of surplus calories as fat; negative energy balance is a result of decreasing food intake relative to energy expenditure (as in dieting) and decreases fat stores. Both energy intake and energy expenditure are largely governed by the central nervous system (CNS); this is supported by the fact that the obesity-linked genes identified in monogenetic syndromes and in human GWAS studies are expressed in the CNS to a much larger degree than other physiologic systems(5). Indeed, many of these genes have aided in the identification and analysis of the CNS circuits that control feeding and/or body weight(6). As research uncovers the specific genes affecting bodyweight control, it is increasingly clear that many of these target genes are important for the development and regulation of neural circuits(5). To further investigate these circuits, significant technological advancements have been made in genetic reagents that can be used in combination with more specified rodent models.

Hypothalamic circuits regulate feeding behavior and overall energy balance

Targeted chemical and electrolytic lesions of specific hypothalamic regions, including the ventromedial hypothalamus (VMH) and paraventricular nucleus of the hypothalamus (PVH), in rodents result in the rapid onset of robust obesity due to altered feeding

behavior and energy expenditure (7, 8). Indeed, many of the genetic markers identified to play a role in bodyweight regulation in humans are expressed in the hypothalamus, a limbic region coordinating endocrine, metabolic, and autonomic responses (5). While initial lesioning results suggested that the VMH in particular was the primary site coordinating satiety responses, more directed mechanical lesions or more specific genetic disruption of the PVH in mice and rats resulted in hyperphagic obesity, thus proving the PVH as the essential hypothalamic nucleus controlling feeding suppression (7, 9, 10). Later studies determined this to be due, in part, to dense PVH innervation from the arcuate nucleus of the hypothalamus (ARC) (11-14). ARC neurons lie adjacent to the median eminence (ME), a site near the base of the brain with permeable vasculature, and are positioned to detect circulating factors that convey information about peripheral energy stores. For example, ARC neurons contain receptors for both leptin and ghrelin, hormones relaying information about adiposity levels and hunger, respectively (15). ARC projections then transfer this information to the PVH, the primary hypothalamic output (Figure 5.1) (16, 17). The significance of the PVH in coordinating these ARC signals with other hypothalamic inputs to mediate feeding suppression is underlined by the fact that PVH disruption results in substantial hyperphagic obesity (18).

The PVH is a heterogeneous nucleus containing both parvocellular and magnocellular populations. Magnocellular PVH neurons are defined by both their size and direct projections to the posterior pituitary and are therefore capable of releasing neuropeptides immediately into the circulation. Conversely, parvocellular PVH neurons project within the brain and fall into two categories: 1) endocrine PVH neurons

projecting to the median eminence and depositing neuropeptides into the hypophyseal portal system to regulate anterior pituitary function, and 2) PVH neurons projecting throughout the brain, including the hindbrain, that regulate a broad range of behaviors and autonomic responses. Considerable research has focused on the neurosecretory PVH populations, since endocrine populations have distinct magnocellular or parvocellular electrophysiologic patterns that are commonly used to identify PVH cell types in brain slices, therefore providing valuable insight that relates neuronal physiology to endocrine function (19). Yet, fewer studies to date have examined the role of centrally-projecting circuits in energy balance regulation.

PVH control of energy balance and the melanocortin system

In addition to historic lesioning studies that identified the PVH as an essential node in the control of bodyweight, recent genetic studies have highlighted the importance of this site. Haploinsufficiency of *Sim1*, a transcription factor required for the development of the PVH (and the amygdala), results in an anatomically abnormal PVH and hyperphagic obesity in mice and humans (20, 21). Energy balance control by the PVH is further highlighted by the dense expression of *melanocortin 4 receptor* (Mc4R), a necessary gene in feeding suppression and energy balance regulation (22). Indeed, genetic alterations in Mc4R represent the most common monogenetic form of severe human obesity, underlying the phenotype of 1-2.5% of morbidly obese patients (23, 24). This hyperphagic obesity phenotype is replicated in Mc4R knock-out rodent models (25).

The endogenous melanocortins capable of binding and regulating Mc4R activity arise from ARC neurons expressing either *proopiomelanocortin* (POMC) or *agouti-*

related peptide (AgRP) (26, 27). Cleavage of the POMC peptide results in production of the anorexigenic α -MSH peptide, the predominant agonist of Mc4R (28). Conversely, separate ARC neurons release the orexigenic AgRP peptide, a Mc4R antagonist, in the PVH (26). The importance of the melanocortinergic ARC-PVH circuit is demonstrated by both the necessity and sufficiency of Mc4R signaling in the PVH for normal feeding behavior (29-31). Moreover, inhibition of PVH neuronal activity is consistently shown to be required for AgRP-induced feeding behavior (32, 33). Furthermore, Mc4R re-expression exclusively in neurons expressing *Sim1*, a transcription factor necessary for PVH development, in *Mc4R*-null mice normalizes feeding and reduces obesity by 60% (29). The persistence of modest obesity following restoration of *Mc4R* expression in PVH cells suggests that the melanocortin-dependent regulation of energy expenditure is likely carried out by non-PVH *Mc4R*-expressing neurons, some of which are located in the hindbrain and express choline acetyltransferase (34).

Although melanocortin signaling in the PVH does not appear to control energy expenditure, studies altering PVH function broadly suggest that the PVH controls sympathetic outflow (11, 35). Certainly, energy expenditure is a critical component of homeostasis, since alterations in physical activity, thermogenesis, or basal metabolic rate (BMR) can affect adiposity. However, defining the potential role of the PVH in energy expenditure regulation has been problematic since many genetic PVH alterations lead to robust obesity early in development, and assessments of energy expenditure in the obese state are complicated. Therefore, it is difficult to ascertain if PVH alterations directly decrease energy expenditure, or if energy expenditure deficits following PVH manipulations are instead all a result of hyperphagic obesity. Yet,

ablation of Sim1^{PVH} neurons in adult animals decreases oxygen consumption prior to the onset of obesity, suggesting a role for the PVH in driving energy expenditure (36).

PVH circuits regulating energy balance

Neuronal subpopulations of the PVH

Although the majority of research on the PVH has been performed in animal models, the same heterogenous PVH populations have been identified in the adult human PVH as well, suggesting the relevance of studies performed in rodents (37). Large cells that make up the magnocellular PVH subdivision include those producing either arginine vasopressin (AVP) or oxytocin (OXT). Release of OXT or AVP in the neurohypophysis is directly controlled by neuronal activity in response to physiologic stimuli (38). Specifically, AVP is released due to alterations in serum osmolality or peripheral blood pressure in order to ultimately increase renal water absorption and vasoconstriction (39). While OXT can be co-released with AVP in the posterior pituitary due to blood pressure changes, it is primarily released in significant amounts during reproductive functions including parturition and lactation (19, 40).

Neurons producing corticotropin releasing hormone (CRH) are the primary parvocellular PVH population studied to date, since they coordinate the stress response through the hypothalamic-pituitary-adrenal (HPA) axis. Briefly, secretion of CRH by CRH^{PVH} neurons into the hypophyseal portal system at the median eminence causes portal transport into the anterior pituitary and a corresponding release of adrenocorticotrophic hormone (ACTH) into the systemic circulation by pituitary corticotropes. ACTH then acts on the adrenal glands to cause cortisol release (41).

Similar to CRH, parvocellular thyrotropin-releasing hormone (TRH) neurons in the PVH regulate the pituitary in order to stimulate thyrotropin-stimulating hormone (TSH) and prolactin release from the anterior pituitary to regulate metabolism and reproductive functions, respectively (42). Additional parvocellular PVH populations capable of regulating ACTH production through the HPA axis likely include both OXT and AVP, though there is less understanding of how these neuropeptides affect anterior pituitary function (43).

The characterization of centrally-projecting parvocellular PVH neurons continues to develop with the increasing number of research tools available to study individual PVH populations in rodent models. Significant research has been performed on parvocellular OXT neurons, since centrally-administered OXT causes a variety of behavioral responses including decreased feeding, decreased anxiety-like behaviors, increased social behaviors, and decreased stress responses (44-47). More recently, intranasal OXT administration has been studied in humans in an effort to treat diseases including autism, obesity, and obsessive-compulsive disorder (48-50). Given the diverse functions associated with OXT action and the wide expression of OXT receptors (OXTR) throughout the brain, it is perhaps not surprising that the neurobiology of central OXT is particularly complex. In relation to feeding regulation, OXT neurons are implicated as connectors between peripheral sensing neurons in the ARC and satiety centers in brainstem regions including the nucleus of the solitary tract (NTS). Indeed, OXT peptide immunoreactivity (IR) can be detected in the NTS, a site with OXTR localization (51). Furthermore, retrograde tracing studies employing fluorogold and cholera toxin B have identified OXT^{PVH} neuronal projections to the NTS in the rat (52).

Some of these NTS-projecting OXT^{PVH} neurons are activated following peripheral leptin treatment, further suggesting a role for these cells in conveying the ARC-PVH signal to the NTS and potentially signaling satiety (53). Consistently, hyperphagic obese *Sim1* haploinsufficient mice demonstrate decreased *Oxt* expression (54, 55).

While these studies suggest the importance of OXT^{PVH} neurons in PVH-mediated control of energy feeding, OXT knock-out and OXT neuron ablation studies do not support these findings. Specifically, elimination of the OXT or OXT receptor (OXTR) genes in mice has little effect on body weight regulation (56, 57). In fact, these mice display only a slight enhancement of diet-induced obesity which is due to decreased energy expenditure, but not hyperphagia (57). This lack of a feeding phenotype is not a consequence of developmental compensation, since genetic ablation of OXT neurons in adult mice produces similar results (58). Moreover, the re-expression of *Mc4R* in OXT neurons fails to abrogate hyperphagia or obesity in *Mc4R*-null mice, suggesting that the control of feeding by the PVH and PVH melanocortin signaling is independent of OXT neurons (30). *Mc4R*^{PVH} neurons express little if any OXT, further supporting these findings (33).

While centrally-projecting parvocellular PVH populations are diverse, AVP^{PVH} and TRH^{PVH} neurons are the only other PVH populations that have been shown to directly regulate energy balance. Specifically, chemogenetic AVP^{PVH} neuronal activation slightly decreases feeding, whereas driving TRH^{PVH} neuronal activity results in increased food intake through activation of feeding circuits in the ARC (59, 60). Less is known regarding the ability of CRH^{PVH} neurons to control energy balance, since CRH is more widely produced throughout the brain therefore making it difficult to determine

PVH-specific CRH effects. Moreover, CRH knock-out animals do not demonstrate a bodyweight phenotype (61). Thus, while it is clear that central CRH administration is anorexigenic and thermogenic, it is not known if this is carried out by CRH^{PVH} neurons (62, 63). Furthermore, neither CRH^{PVH} nor AVP^{PVH} neuronal re-expression of *Mc4R* rescues the obesity due to knock-out of *Mc4R* (30).

Intra-PVH connectivity: characterization of the PVH microenvironment

As an essential node in the regulation of the stress response, PVH neurons are plastic and therefore capable of expressing combinations of neuropeptides in different physiologic paradigms. While populations are considered to be mutually exclusive in the basal state, CRH^{PVH} neurons can upregulate AVP expression in order to co-release AVP and CRH in context with decreased peripheral corticosteroid levels (64). Although OXT release has been identified in the hypophyseal portal system, and is increased as a result of CRH administration, it is not clear if this is due to co-release of CRH and OXT from the same neurons following chronic stress (43, 65, 66). Moreover, physiologic stressors can cause morphological plasticity in the PVH. In particular, lactation causes alterations in dendritic branching in AVP and OXT neurons as well as changes in the excitatory and inhibitory inputs to these neurons (67-69).

The PVH is also highly interconnected, with multiple neuropeptide populations communicating with one another in a complex intra-PVH network. This was originally discovered using Golgi staining methods that identified axonal collaterals between parvocellular PVH populations (70). Further studies employed light and electron micrographic techniques to validate interconnected PVH populations, including CRH^{PVH}

neurons that synapse on other PVH neurons (71-73). More recently, electrophysiologic studies have characterized glutamatergic PVH interneuron populations capable of regulating other parvocellular PVH neurons (74, 75). These excitatory PVH interneurons are required for the changes in magnocellular PVH neuronal activity observed in response to neurochemical inputs such as norepinephrine, orexin, and angiotensin as well as physiologic inputs such as restraint stress (75-78). Similarly, parvocellular PVH neurons projecting to the spinal cord have been shown to receive glutamatergic input, likely from neurons within the PVH (79).

Aside from axonal connections, PVH neurons also have the ability to release neuropeptides through dendrites, with dendritic processes extending within different PVH subnuclei (72, 80). Microdialysis studies first demonstrated intranuclear AVP and OXT release in the PVH in various physiologic paradigms including stress responses and lactation (81, 82). Furthermore, some of these stress-induced intra-PVH increases in AVP do not alter circulating levels of AVP, suggesting that the relevance of intranuclear neuropeptide release on local PVH circuits is independent of endocrine output (83). Although these studies did not demonstrate direct dendritic neuropeptide release, further electrophysiologic studies employed caged NMDA strategies to confirm dendritic release of AVP on pre-sympathetic PVH populations (80). Taken together, these studies suggest dense intra-PVH connectivity between glutamatergic PVH interneurons, neuropeptide populations, and pre-autonomic PVH outputs.

Neuroanatomy of PVH outputs and energy balance control

The circuitry engaged by PVH populations enables it to control pituitary function, feeding and sympathetic output. As previously mentioned, PVH projections to the median eminence and neurohypophysis regulate pituitary function. PVH projections throughout the CNS are widely considered to be the relevant circuits for energy balance control. Specifically, PVH projections to three sites are likely significant in these processes: hindbrain sites including the parabrachial nucleus (PBN) and NTS, and the intermediolateral column of the thoracic spinal cord (IML).

Nucleus of the Solitary Tract (NTS)

In general, the brainstem integrates neural and hormonal signals from the periphery, especially those derived from the gut. The brainstem relays these signals to forebrain, hypothalamic, and cortical regions to coordinate appropriate behavioral and physiologic responses. For the regulation of satiety, projections from the PVH to the NTS are of particular interest, since the NTS is anatomically close to the area postrema (AP), a site adjacent to the fourth ventricle that lacks a blood brain barrier. The adjacent NTS is therefore the primary CNS site of visceral input as it receives both AP- and vagally-derived gut signals of acute and chronic nutritional state, which it passes on to the dorsal motor nucleus of the vagus (DMV) to control gut motility and vagal reflexes (84). The NTS integrates both hypothalamic (through inputs from the PVH and, to a lesser extent, other hypothalamic sites) and vagal signals to coordinate the satiety response following ingestion of a meal. The circuitry engaged by the NTS to achieve the satiety response is largely unknown, although direct glutamatergic projections to the PBN and reciprocal connections back to the PVH have the potential to play a role (85-90).

Although PVH-NTS projections are primarily thought of in the regulation of feeding behavior, the NTS also has the potential to regulate sympathetic activity, since it is also indirectly connected to brown adipose tissue (BAT) (91). BAT is the primary driver of thermogenesis, and therefore a key contributor to basal metabolic rate (BMR) and overall energy expenditure. Indeed, the NTS has also been shown to control sympathetic outflow, and more recently sympathetic outflow specifically to BAT (92, 93). Additionally, reciprocal connections back to the PVH, including onto spinally-projecting PVH neurons, could contribute to energy expenditure regulation by the NTS (94). In fact, leptin-responsive ARC neurons regulate thermogenesis via the release of GABA into the PVH (95). Moreover, this ARC-PVH regulation of energy expenditure appears to be modulated by NTS-projecting PVH neurons, therefore establishing at least one PVH circuit potentially regulating energy expenditure via the NTS (95).

Although many neuroanatomical studies have aimed to identify the specific PVH populations projecting to the NTS, there still is not a clear consensus on the genetic or neuropeptide identity of NTS-projecting PVH neurons. As mentioned above, retrograde tract tracing has resulted in conflicting results on whether OXT^{PVH} neurons are a component of the PVH population capable of regulating NTS function. In particular, whether OXT peptide expression in the NTS/DMV reflects fibers of passage or synaptic terminals is unclear, since many retrograde reagents used to identify the NTS/DMV innervation by OXT^{PVH} neurons have been shown to infect fibers of passage (96, 97) (98). Additionally, AVP^{PVH} and CRH^{PVH} neurons are consistently not identified upstream of NTS neurons (99, 100). Moreover, although anatomical data shows projections to the NTS, channelrhodopsin-assisted circuit mapping (CRACM) techniques demonstrate

few glutamatergic PVH synaptic connections to the NTS (30, 33). In contrast, similar CRACM experiments demonstrate that optogenetic activation of excitatory PVH neurons, including those containing Mc4R, in slices are capable of inducing direct excitatory post-synaptic currents (EPSCs) in neurons of the adjacent DMV (30, 33). Yet, *in vivo* optogenetic techniques show that Mc4R^{PVH} neuronal projections to the NTS/DMV are unable to control feeding behavior (33). Since PVH neurons project to both the NTS and DMV, and given the dense interconnectivity of these two adjacent regions, it is likely that PVH regulation of the NTS and DMV in the regulation of feeding and energy expenditure is more complex than originally thought.

Parabrachial Nucleus (PBN)

The PBN also integrates a variety of signals from the periphery and from other brainstem sites (e.g. periaqueductal gray (PAG), NTS) as well as the spinal column (101). Although this circuit has been less studied than the PVH-NTS connection, retrograde tracing studies reveal PBN afferents from the PVH (88). Moreover, PBN lesions result in hyperphagic obesity, suggesting the potential for PBN circuits as the primary output in PVH-regulated feeding suppression (102). Moreover, direct projections from AgRP neurons to the PBN can control anorexia (103). More recently, novel *in vivo* optogenetic studies displayed the ability of direct Mc4R^{PVH} projections to the PBN to suppress feeding (33). While this finding puts Mc4R^{PVH} connections to the PBN at the forefront of research pertaining to PVH-mediated feeding suppression, it is still not established if other, non-Mc4R, PVH neurons project to the PBN in order to regulate feeding behavior.

Although the mechanism of PBN-induced feeding suppression is somewhat unknown, the PBN sends projections to sites throughout the brain implicated in feeding behavior including the ventromedial hypothalamus (VMH), central nucleus of the amygdala (CeA) and bed nucleus of the stria terminalis (BNST) (104-107). Taken together, these data support a role for PVH-PBN connections in the PVH's ability to control feeding behavior. Conversely, little is known regarding the control of energy expenditure by the PBN. Recent studies demonstrate the physiologic relevance of PBN projections to the VMH in controlling sympathetic output, but whether this connection controls energy expenditure is unknown (107, 108).

Intermediolateral column of the spinal cord (IML)

While the PVH clearly plays a critical role in the regulation of feeding behavior, much less is known about the potential control of energy expenditure by the PVH. Studies have demonstrated PVH innervation of spinal cord regions, specifically in the thoracic IML, the main site of pre-ganglionic cholinergic neurons (i.e. expressing cholineacetyltransferase, ChAT) that regulate sympathetic outflow (16, 109, 110). The majority of studies have used neuroanatomical approaches with the idea that projections to sites important for sympathetic outflow (e.g. IML) are likely functionally relevant as it pertains to energy expenditure regulation. Initial studies aiming to determine whether the PVH is relevant for the control of thermogenesis used transsynaptic retrograde tracing reagents placed in BAT. Indeed, PVH populations are indirectly connected to BAT, and therefore have the potential to regulate thermogenesis and overall energy expenditure in the Siberian hamster (91). Although studies have

identified direct connections between the PVH and the IML, whether direct PVH-IML projections contact ChAT⁺ neurons projecting to and regulating BAT has yet to be formally demonstrated.

In contrast with other PVH projection sites, some of the PVH populations projecting to the IML have been characterized. This was demonstrated by co-labeling of both OXT and AVP IR-neurons with IML-projecting PVH neurons labeled by retrograde tracing reagents (99, 109). More recently, studies demonstrated innervation of the IML by Mc4R^{PVH} neurons (33). While relatively sparse, the relevance of this connection is intriguing, since Mc4R^{PVH} neuronal activation fails to alter energy expenditure *in vivo* (33).

Inputs to and regulation of PVH neurons in energy balance control

Aside from the well-characterized ARC-PVH circuit previously described, PVH neurons also receive input from sites throughout the hypothalamus, forebrain, and hindbrain. While few studies have tested the function of these inputs, it is nonetheless useful to consider the functional relevance of distinct circuits upstream of the PVH, since these circuits could ultimately provide information about the ability to manipulate discrete energy balance parameters (i.e. feeding vs. energy expenditure) through distinct regulatory circuits.

Hypothalamic inputs to the PVH

In general, the hypothalamus is essential for maintaining overall homeostasis, and therefore receives significant amounts of peripheral and central information regarding

an organism's current physiologic environment. To this effect, hypothalamic neurons, especially those within the ARC that are close to the median eminence, express a variety of receptors for circulating hormones and signals (e.g. leptin, ghrelin, glucagon-like peptide, insulin, and serotonin) (15). Given the dense innervation of the PVH by ARC populations, ARC input to the PVH is widely felt to be the primary circuit important for PVH-mediated feeding and energy balance control. Yet, given the number of other hypothalamic inputs to the PVH, it is conceivable that other hypothalamic circuits control energy balance parameters via the PVH. PVH input arises from other hypothalamic sites, including the VMH, DMH and LHA, which are capable of detecting peripheral and metabolic signals (90). While receptors for most peripheral signals in these sites are less dense, receptors for leptin can be detected widely throughout all of these nuclei (111). Similarly, the hunger-promoting hormone ghrelin is capable of regulating both LHA and VMH function (15). These hypothalamic circuits also express a variety of metabolically-important receptors (e.g., Mc3/4R, cannabinoid receptors, CCK receptor) that are critical for feeding regulation (Figure 1.2) (15).

While the neurochemical composition of these discrete hypothalamic inputs is not known, the functional significance of these circuits can be hypothesized based on a combination of historical lesioning studies combined with more recent genetic approaches. In general, the DMH has been identified to regulate a variety of physiological processes also mediated by the PVH, including sympathetic output, feeding, the growth axis, and cardiovascular function (112). DMH neurons terminate in the parvocellular PVH subdivisions that project to spinal cord regions, and therefore have the potential to regulate sympathetic output and energy expenditure (113-115).

Moreover, DMH neurons with direct PVH projections are activated following leptin treatment, and DMH leptin action is capable of increasing sympathetic tone to BAT, highlighting this pathway as a potential integrator of peripheral signals with PVH circuits controlling energy expenditure (116, 117).

The VMH is commonly associated with its control of autonomic outflow and the counterregulatory response (118). While lesioning studies demonstrated conflicting results on the ability of the VMH to control feeding behavior, more recent studies employing genetic mouse models demonstrate the necessity of leptin signaling in the VMH in the prevention of diet-induced obesity (119). While the obesity due to disruption of VMH signaling pathways is not to the same degree as that observed upon PVH manipulation, it seems reasonable that the VMH controls both feeding and energy expenditure (120, 121). Glutamatergic VMH neurons project throughout the anterior and posterior PVH (122). Since VMH manipulations consistently result in decreased sympathetic tone, it is conceivable that the excitatory VMH inputs to the PVH drive sympathetic output, though this has not been determined (123, 124).

The PVH also receives robust innervation from the LHA, a site characterized for its role in reward processing mediated by the midbrain (125). LHA lesions result in aphagia and bodyweight loss leading to the classification of the LHA as a feeding center (126). However, recent optogenetic studies demonstrate the LHA as a heterogeneous nucleus in feeding regulation since activation of GABAergic LHA neurons drives robust feeding whereas LHA glutamatergic neurons are capable of suppressing feeding (127, 128). In addition, LHA neurons are capable of dramatically increasing motivated behaviors such as locomotor activity (129-132). LHA populations are heterogeneous,

with multiple combinations of neuropeptide and neurotransmitter neuronal contents. In particular, orexin neurons in the LHA have been implicated in regulating energy balance, since orexin drives feeding when injected in the CNS (133). Specifically, orexin neurons in the LHA are synaptically connected to the PVH, and orexin infusion in the PVH increases both physical activity and feeding duration (77, 134). Yet, the function of this and other LHA populations, including those producing neurotensin, melanin concentrating hormone, GABA, and glutamate, in the regulation of PVH outputs is still largely unknown.

Forebrain inputs to the PVH

Since the PVH is widely viewed as the main pre-autonomic hypothalamic output, non-hypothalamic inputs to the PVH are often overlooked. Yet, sites within the forebrain display dense connectivity with PVH neurons. In particular, neurons throughout the bed nucleus of the stria terminalis (BNST) are routinely labeled upstream of PVH populations by retrograde tracing reagents including fluorogold and modified rabies virus (122, 125). BNST populations are also heterogenous, with both excitatory and inhibitory neuronal populations. While GABAergic BNST neurons have been shown to project within the hypothalamus, clarification is still needed on whether PVH innervation by the BNST originates from inhibitory or excitatory BNST neurons (127). The BNST is also well characterized in the ability to control both appetitive and aversive responses (135). Certainly, recent reports suggest the intersection of food intake and appetitive and aversive behaviors (33, 136, 137). Therefore, while not well understood, BNST

connections to the PVH have the potential to integrate complex behaviors likely connected to feeding control.

Hindbrain inputs to the PVH

Although the majority of research on PVH circuitry is focused on PVH outputs to the brainstem, reciprocal connections from the hindbrain to the PVH have been described. The significance of these reciprocal connections in energy balance is largely unknown; however, it is tempting to consider that different populations of PVH neurons integrate these inputs and therefore coordinate an ultimate autonomic response depending on peripheral energy stores. In particular, glutamatergic neurons of the PAG, PBN and zona incerta (ZI) project to the PVH (113, 138-142). The function of zona incerta neurons is largely unknown, though it is possible that these neurons could supply the PVH with dopaminergic input, highlighting the potential for integration of motivational circuits with satiety and autonomic circuits in the PVH (143). Though traditionally considered in the context of pain, PAG connections to the PVH provide an additional input capable of relaying information surrounding stress responses, and sympathetic output (144). Additionally, catecholaminergic brainstem populations in the rostral ventrolateral medulla (RVLM) innervate parvocellular PVH populations, and are therefore capable of relaying sensory information in the control of sympathetic output (89). Specifically, A1-A6 (noradrenergic) and C1-C3 (adrenergic) RVLM cell groups project throughout the PVH, though the specific populations innervated by these cell groups is largely unknown (145, 146)

GABA shell

The PVH also receives local inhibitory input from a GABAergic shell surrounding the PVH. These inputs help sustain a tonic inhibitory tone on magnocellular and parvocellular PVH neurons (70, 147-149). Research to date on the function of these inhibitory inputs has primarily focused on regulation of the HPA axis, demonstrated by altered endocrine responses and increased CRH neuronal activity following pharmacologic administration of GABA receptor antagonists in the PVH (150, 151). Retrograde tracing studies identified innervation of pre-autonomic PVH neurons by neurons within the GABA shell, demonstrating the potential for the GABA shell to regulate PVH energy balance circuits (152). The GABA shell also has the potential to serve as an intermediary between the PVH and cortical structures that do not have direct PVH projections (150).

Future Directions

A molecular and cellular understanding of the mechanisms used by PVH circuits to control energy balance has advanced significantly in recent years, largely due to the development of novel rodent models used in combination with *in vivo* tools, including chemogenetic and optogenetic reagents. Yet, this new framework underlines additional questions regarding the capability and necessity of PVH neuronal subsets to regulate distinct energy balance parameters. The possibility to dissect the circuitry and function of neuronal subpopulations in the PVH provides an opportunity to characterize the component parts of a complex nucleus that is essential for obesity prevention. Although the anatomical structure of parvocellular and magnocellular PVH subnuclei has long

been characterized, the understanding of how these different PVH structures coordinate physiologic outputs is unknown. For this reason, it is crucial to identify and interrogate PVH neurocircuitry through the analysis of PVH subsets. The understanding of PVH subpopulation projection targets and upstream inputs will allow for the understanding of how multiple components of neural circuits used by the PVH control feeding suppression and/or sympathetic output. Moreover, identifying PVH markers that are functionally relevant in the control of distinct aspects of energy balance has the potential to highlight novel markers that could contribute to the development of more targeted therapeutics. Since the PVH is not uniform in nature, therapeutic intervention of the entire heterogenous PVH might have broad side effects that offset the desired energy balance changes. Therefore, the careful dissection of genetically-defined PVH circuits and their necessity or sufficiency in energy balance parameters is pertinent.

Recent studies have characterized the importance of $Mc4R^{PVH}$ neurons projections to the PBN in mediating the satiety response (33). Moreover, $Mc4R^{PVH}$ neurons are necessary for obesity prevention through feeding suppression (29, 30). While significant research has been performed on the $Mc4R^{PVH}$ population, less has been focused on non- $Mc4R^{PVH}$ neurons. Certainly, *Mc4R* re-expression on CRH, OXT, or AVP neurons is not sufficient to rescue the obesity phenotype of *Mc4R* null mice (30). Yet, the connectivity of these non- $Mc4R^{PVH}$ neuronal populations, both with one another and outside of the PVH is not understood. Furthermore, significant research suggests that OXT^{PVH} neurons might control feeding energy expenditure (32, 53). Additionally, since centrally-projecting AVP, OXT and CRH PVH populations comprise just 25% of PVH neurons, it is likely that unidentified PVH neurons are capable of regulating energy

balance parameters(109). Furthermore, control of energy expenditure by the PVH is often overlooked due to the clarification of Mc4R^{PVH} neuronal function in feeding, but not energy expenditure (29, 33). While spinal cord projections from PVH populations suggest the possibility for PVH-regulated sympathetic outflow, the physiologic role of distinct PVH neurons in energy expenditure is largely unstudied (109).

To address some of these issues, we employed genetic mouse models in combination with anterograde and retrograde tracing reagents to investigate the circuitry of PVH neuronal subsets in energy balance control. First, we characterized the role of a novel PVH subset expressing *neuronal nitric oxide synthase* (Nos1) in the regulation of both feeding and energy expenditure. Furthermore, we clarify the circuitry and capability of OXT^{PVH} neurons, a subset of the Nos1^{PVH} field, to control both feeding and energy expenditure parameters. We then identify a unique genetic marker, insulin receptor substrate 4 (IRS4) and test the sufficiency and necessity of the IRS4^{PVH} neuronal population in bodyweight regulation. Lastly, we characterize projection-specific inputs to OXT^{PVH}, IRS4^{PVH}, Nos1^{PVH}, or Sim1^{PVH} neurons in order to identify upstream modulators of NTS-projecting, PBN-projecting, or IML-projecting PVH neuronal subsets. Overall, we demonstrate that genetic dissection of PVH cell types allows for assessment of the functional relevance of PVH neurons in energy balance parameters. We superimpose these questions with circuit analyses of both downstream targets and potential upstream modifiers to ultimately construct circuit maps that engage PVH subsets projecting to disparate hindbrain or spinal cord sites.

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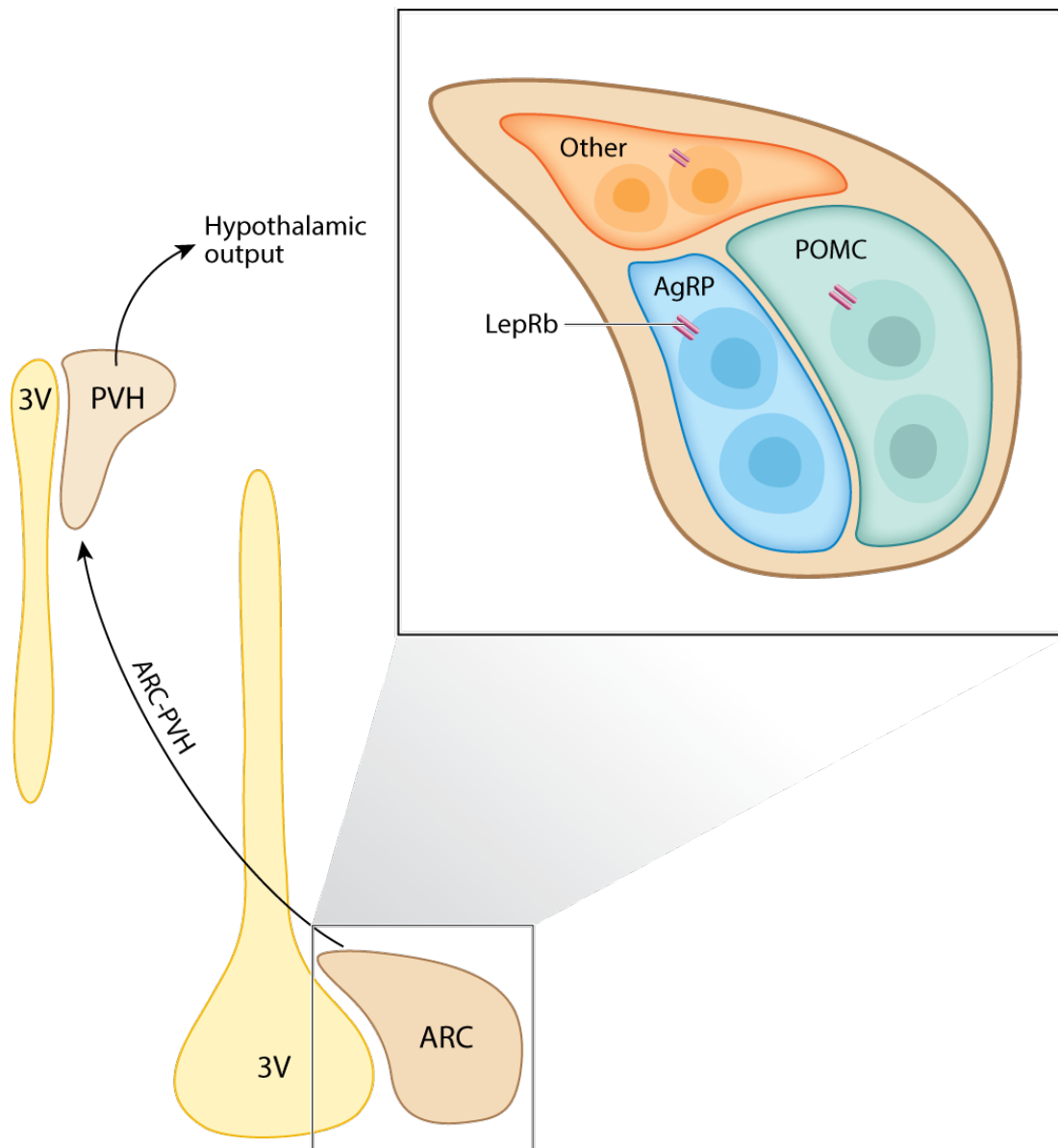


Figure 1.1 ARC-derived hypothalamic signals are integrated by the PVH. The arcuate nucleus (ARC) sits adjacent to the third ventricle (3V) and detects circulating signals of energy balance (including leptin via its receptor, LepRb). A variety of ARC populations express LepRb, including distinct energy balance neurons containing proopiomelanocortin (POMC) or agouti-related peptide (AgRP). Leptin activates the anorexigenic POMC neurons while inhibiting the orexigenic AgRP neurons; both send dense projections to the PVH, where they mediate crucial signals to control feeding and energy balance. The ARC also contains other (non-AgRP, non-POMC) PVH-projecting neurons, some of which also express LepRb. The PVH integrates these ARC-derived signals with other information and represents the primary hypothalamic output to other brain regions for the control of energy balance.

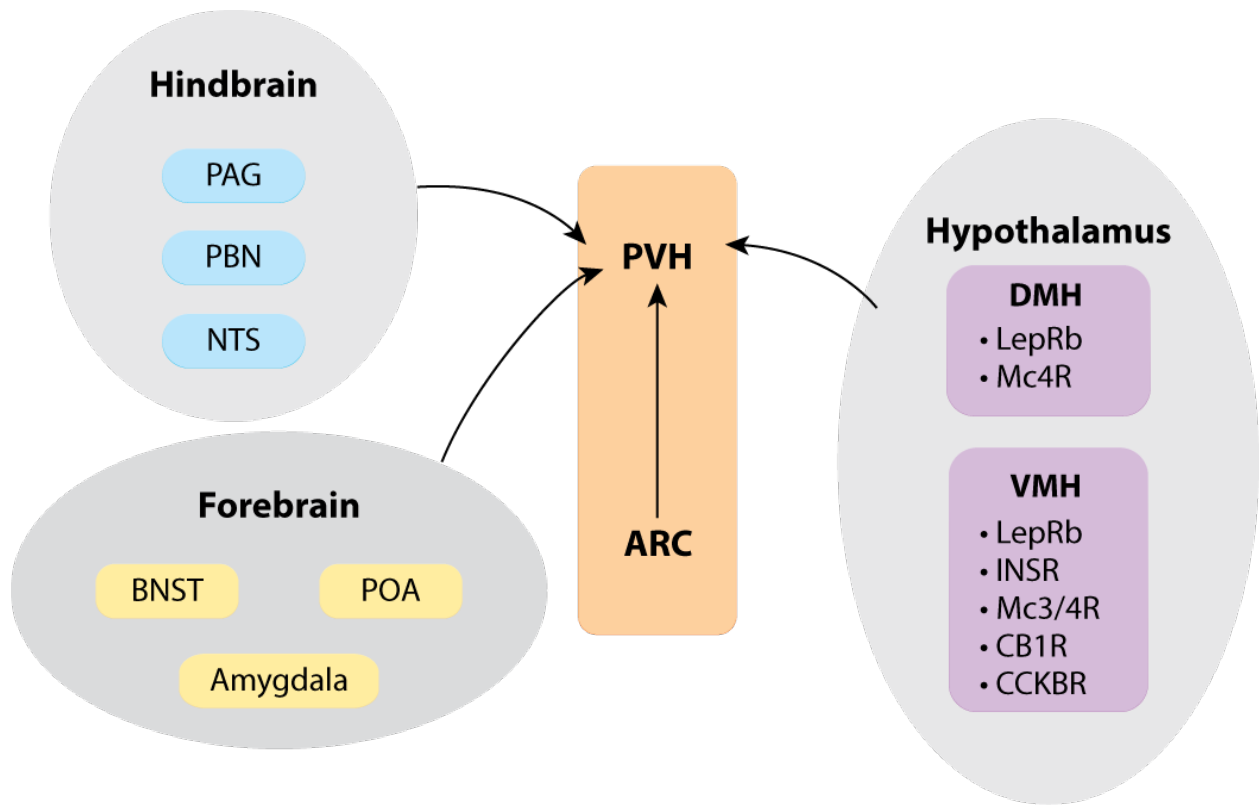


Figure 1.2. Upstream regulators of PVH circuits. The major hypothalamic input to the PVH originates in the arcuate nucleus (ARC). In addition to these inputs, PVH neurons receive information from other hypothalamic sites including the dorsomedial hypothalamus (DMH) and ventromedial hypothalamus (VMH). Both the DMH and VMH contain a variety of receptor populations for both central and peripheral signals and are therefore poised to relay a variety of information to the PVH to modulate parameters of energy balance. Consistent with the notion of the PVH as an integrating center, many nonhypothalamic regions project to the PVH, including sites in the forebrain [bed nucleus of the stria terminalis (BNST), preoptic area (POA), amygdala] and the hindbrain [periaqueductal gray (PAG), parabrachial nucleus (PBN), nucleus of the solitary tract (NTS)].

Chapter II

Control of food intake and energy expenditure by Nos1 neurons of the paraventricular hypothalamus¹

Introduction

The paraventricular nucleus of the hypothalamus (PVH) is the major autonomic output area of the hypothalamus and is critical for energy homeostasis. Loss of one copy of *single-minded 1* (*Sim1*), a key transcription factor regulating PVH development, disrupts PVH maturation and function, resulting in hyperphagic obesity with associated glucose dysregulation in mammals (1, 2). Similar metabolic derangements also result from electrolytic destruction of the PVH (3, 4). In addition, the PVH serves as an important regulatory center for peptidergic signals and physiologic parameters known to modulate food intake, including leptin, melanocortins, and dehydration (5, 6). Indeed, the melanocortin pathway is essential for energy balance in mammals and is directly linked to PVH function. Leptin-responsive neurons in the arcuate nucleus (ARC) project to the PVH, a site of dense melanocortin receptor expression, and release melanocortin agonists and antagonists/inverse agonists to modulate PVH function (6, 7). Consistent with its role in feeding, the PVH sends dense projections to hindbrain regions such as

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the nucleus of the solitary tract (NTS) and parabrachial nucleus (PBN) to modulate feeding behavior (8-10). Although PVH functions are understood in broad terms, the specific cell types within this heterogeneous structure that regulate feeding are not fully defined.

In addition to modulating feeding, a variety of data suggest that PVH neurons control energy expenditure. For example, infusion of the melanocortin receptor agonist MTII into the PVH increases energy expenditure (6), while ablation of Sim1 neurons throughout the central nervous system decreases metabolic rate (11). Furthermore, polysynaptic retrograde tracing links thermogenic brown adipose tissue to the PVH through the sympathetic nervous system via cholinergic, preganglionic neurons in the intermediolateral column of the thoracic spinal cord (ChAT^{IML}) (12). Similar to the PVH cells that control feeding, the neurochemical identity of the PVH neurons regulating energy expenditure via the sympathetic nervous system (SNS) has yet to be established.

Given the role of the PVH in energy balance, we sought to identify subsets of Sim1^{PVH} neurons that contribute to energy homeostasis and reveal their roles in food intake or energy expenditure. We discovered that Nos1^{PVH} neurons are a subset of Sim1^{PVH} neurons and send dense projections to hindbrain regions important for feeding control and to the upper thoracic spinal cord that regulates sympathetic output. Moreover, Nos1^{PVH} neuron activation regulates both feeding and energy expenditure, indicating the critical importance of Nos1^{PVH} neurons in PVH-regulated energy balance. In addition, OXT^{PVH} neurons represent a subset of Nos1^{PVH} neurons that project to and modulate ChAT^{IML} neurons, yet make only a small contribution to energy balance.

These studies demonstrate discrete roles for $Nos1^{PVH}$ and OXT^{PVH} neurons in energy balance and position these neurons anatomically and functionally in the neural circuitry of energy balance.

Materials and Methods

Experimental Animals

Oxytocin-ires-Cre (*OXT-iCre*), *Nos1-ires-Cre* (*Nos1-iCre*) and *Sim1-Cre* mice were generated as described previously (13-15). Adult male mice (8-12 weeks old) were used for all studies. *OXT-iCre*, *Nos1-iCre* or *Sim1-iCre* mice were bred to a Cre-dependent GFP reporter line (16) to fluorescently label Cre-expressing PVH subpopulations. All animals were bred and housed within our colony according to guidelines approved by the University of Michigan Committee on the Care and Use of Animals. Unless otherwise noted, mice were provided *ad libitum* access to food and water.

Stereotaxic injections

OXT-iCre, *Nos1-iCre*, *Sim1-Cre* and non-transgenic (WT) mice were given pre-surgical analgesia and anesthetized with isoflurane. Mice were placed in a digital stereotaxic frame (Model 1900, Kopf Instruments) and the skull was exposed. Intracranial injection coordinates were determined from Bregma using the stereotaxic atlas of Paxinos and Franklin (17). Viral injections were performed using a pressurized picospritzer system coupled to a pulled glass micropipette (coordinates from bregma: A/P: -.500, M/L: +/- .220, D/V: -4.800). For tract tracing experiments, 50-150 nl of the adenoviral

synatophysin-mCherry terminal tracer (Ad-iN/syn-mCherry, (18)) was unilaterally injected in *Sim1-Cre*, *Nos1-iCre* or *OXT-iCre* mice. Additionally, stereotaxic injection of Red Retrobeads (RR) (Lumafluor) was performed in the NTS of *Sim1-Cre* and *Nos1-iCre* mice with a Cre-dependent GFP reporter (*lox-GFP*). Control mice also received unilateral injection of RR in order to determine PVH-NTS connections using OXT peptide staining. For NTS injections, mice were anesthetized and placed in the digital stereotax. The fourth ventricle was identified and used as a geographic landmark to determine the site of injection. A glass micropipette was lowered into the site (D/V: -.630) and ~25nl of RR was injected. For functional analysis of PVH neurons, bilateral PVH injections of AAV-hM3Dq-mCherry (AAV-hM3Dq, purchased from UNC Vector Core) were performed in *Sim1-Cre* (50nl/side), *Nos1-iCre* (50 nl/side) and *OXT-iCre* (75 nl/side) mice. To control for viral transduction, non-transgenic (WT) mice also received bilateral injections of AAV-hM3Dq (75 nl/side). Mice injected with the Ad-iN/syn-mCherry tracer were individually housed for five days following injection to allow for viral transduction and protein transport before perfusion, whereas mice injected with RR were perfused after seven days following injections. Mice injected with AAV-hM3Dq were allowed to recover for seven days following surgery before further experiments were performed.

Food Intake Measurements

Following recovery, *Nos1-iCre*, *OXT-iCre*, *Sim1-Cre*, and WT mice with bilateral PVH AAV-hM3Dq injections were given PBS (i.p.) for three consecutive days to allow for injection acclimatization. Prior to assessment, mice were fasted during the light cycle

(9:00-18:00) and had *ad libitum* access to water. Mice were then injected with vehicle (10% (2-Hydroxypropyl)- β -cyclodextrin, Sigma #C0926) at the onset of feeding (18:00) and food intake was measured at 2 hours, 4 hours, and 16 hours (overnight) post injection. The following day, mice were injected with CNO at the onset of feeding (0.3 mg/kg in 10% β -cyclodextrin) and food intake was measured at 2 hours, 4 hours, and 16 hours following injection.

Energy Expenditure Measurements

Energy expenditure was measured using the Comprehensive Laboratory Monitoring System (CLAMS, Columbus Instruments) in the University of Michigan's Small Animal Phenotyping Core to obtain multi-parameter analysis including open circuit calorimetry and activity via optical beam breaks. AAV-hM3Dq injected mice were acclimatized to the sealed chambers for two days with free access to food and water. The experimentation room had 12-12 hours dark-light cycles (18:00-6:00) and the temperature was maintained at 20-23°C. On experimental days (Day 3 and Day 4), food was removed at 9:00 and vehicle (Day 3) or CNO (Day 4, 0.3 mg/kg) was injected at 11:00. Food was then replaced at the onset of the dark cycle (18:00). Although measurements (oxygen consumption (VO_2), carbon dioxide production (VCO_2), and spontaneous motor activity) were carried out throughout the duration of the experiment, data shown is averaged VO_2 or activity over the four hours following injection of vehicle or CNO.

Intrascapular Temperature Measurements

University of Michigan's Small Animal Phenotyping Core placed temperature transponders (IPTT-300 model with corresponding DAS-7007R reader, Bio Medic Data Systems, Inc) in the intrascapular subcutaneous tissue directly above brown adipose tissue under isofluorane anesthesia. Mice were allowed to recover for 14 days before testing. On the day of testing, food was removed from the cages at 9:00 AM. Two hours later, mice with PVH-directed AAV-hM3Dq injections were injected with vehicle or CNO and temperatures were recorded prior to injection and at 15, 30, 60, and 120 minutes following injection.

Perfusion and Immunohistochemistry (IHC)

For tract tracing experiments, mice were perfused five days (Ad-iN/syn-mCherry) or seven days (RR) after intracranial injection. At the end of the neuronal activation studies, mice with bilateral AAV-hM3Dq injections were either treated with vehicle or CNO and perfused 90 minutes later as described previously (19). Briefly, mice were deeply anesthetized with an overdose of pentobarbital (150 mg/kg, IP) and transcardially perfused with sterile PBS followed by 10% neutral buffered formalin or 4% paraformaldehyde (for perfusions with spinal cord removal). Brains and spinal cords were removed, post-fixed, and dehydrated in 30% sucrose before sectioning into 30 μ m slices on a freezing microtome (Leica). Coronal brain sections were collected in four representative sections whereas longitudinal thoracic spinal cord sections were collected in three representative sections and stored at -20°C. For Fos immunohistochemistry (IHC), free floating brain and spinal cord sections were pretreated with 30% H₂O₂ to remove endogenous peroxidase activity and then blocked

with normal goat or donkey serum and incubated in primary antibody overnight (rabbit anti-cFos 1:10,000, Calbiochem PC38). Detection of primary antibody was performed by avidin-biotin/diaminobenzidine (DAB) method (Biotin-SP-conjugated Donkey Anti-Rabbit, Jackson Immunoresearch, 1:200; ABC kit, Vector Labs; DAB reagents, Sigma). hM3Dq and choline acetyltransferase (ChAT) were detected using with primary antibodies for red fluorescent protein (RFP) (rat 1:2000, Allele Biotechnology) and ChAT (spinal cords only; goat, 1:500, Millipore AB144P) respectively followed by secondary immunofluorescence detection with donkey anti-rat-Alexa 568 or donkey anti-goat-Alexa 488 (1:200, Invitrogen). For PVH colocalization experiments, IHC immunostaining was performed using primary antibodies for GFP (rabbit 1:20,000, Invitrogen A6455, nNos1 (sheep 1:2500, (20), kindly provided by Dr. Vincent Prevot), or oxytocin (rabbit 1:2500, Peninsula Laboratories T-4084). For tract tracing experiments, immunostaining was performed using primary antibodies for RFP, GFP or oxytocin.

Immunoblotting of BAT UCP1 protein

Sim1-Cre, *OXT-iCre* and WT mice with bilateral PVH AAV-hM3Dq injections were given either vehicle or CNO and 90 minutes later mice were anesthetized with pentobarbital and BAT was removed, frozen on dry ice and stored in -80°C. Tissue was homogenized in protein lysis buffer (10% SDS, 1M Tris, pH 6.8, 12.7 mM EDTA) with metal beads in a Bullet blender for 30 minutes. Samples were clarified by centrifugation and protein concentration was quantified by BCA assay (Thermo Scientific, cat. #23225). Lysates were diluted to equal protein concentration in lysis buffer plus 1X NuPage SDS buffer (Invitrogen) with 2.5% 2-mercaptoethanol. Samples were boiled for

5 minutes and loaded on a SDS gradient polyacrylamide gel (Invitrogen) and separated by electrophoresis. Proteins were transferred to Immobilon PVDF membranes (Millipore) and Ponceau staining of membranes was used to confirm equal protein loading between lanes. Membranes were blocked in 5% milk for 1 hour at room temperature and then incubated with primary antibodies in 5% BSA (goat anti-UCP1, 1:2000, Santa Cruz Biotechnology #SC-6528); rat anti- α -Tubulin, 1:1000, Thermo Scientific MA1-80017), and appropriate HRP-conjugated secondary antibodies (1:5000 dilution in 5% milk, IgG peroxidase; GE Healthcare). Super Signal enhanced chemiluminescence (Pierce) was used for visualization by autoradiography and bands were quantified by densitometry.

Statistical Analysis

Paired t-tests, unpaired t-tests, or repeated measures two-way ANOVAs were calculated using GraphPad Prism. Significance was determined for $p < 0.05$.

Results

nNos1 (Nos1) expression defines a PVH subpopulation

Nos1 in situ hybridization (Allen Brain Atlas) and *Nos1-iCre, lox-GFP* reporter mice demonstrate the existence of NOS1-containing neurons in the PVH. To determine if NOS1 peptide marks a neuronal subset of the entire PVH, we stained brains from *Sim1-Cre, lox-GFP* reporter mice for GFP and NOS1 immunoreactivity (-IR) and found that all $Nos1^{PVH}$ neurons express Sim1, but not all $Sim1^{PVH}$ neurons express NOS1 (Figure 2.1A, 1B). PVH cell counts in *Sim1-Cre, lox-GFP* brain slices immunostained for Nos1 peptide (n=5) revealed that $Nos1^{PVH}$ neurons account for ~21% of the $Sim1^{PVH}$ field. To

identify some potential $Nos1^{PVH}$ subtypes, we also investigated the overlap between $Nos1^{PVH}$ and oxytocin (OXT)-expressing PVH neurons. Using *Nos1-iCre, lox-GFP* sections, we found that almost all OXT^{PVH} neurons (~90%, n=3) contain GFP, whereas only 16% (n=3) of $Nos1-iCre^{PVH}$ neurons contain OXT peptide. This confirms that OXT^{PVH} neurons are a subset of $Nos1^{PVH}$ neurons (Figure 2.1C, 1D). This establishes that $Nos1^{PVH}$ neurons represent a discrete subset of $Sim1^{PVH}$ neurons and that most OXT^{PVH} neurons lie within the $Nos1^{PVH}$ field (Figure 2.1E). Given the importance of $Sim1^{PVH}$ neurons in energy balance regulation and the limited information regarding the roles of specific PVH subtypes in this regulation, we investigated the potential contributions of $Nos1^{PVH}$ and OXT^{PVH} neurons to the control of energy balance parameters.

Nos1^{PVH} neurons send dense projections to hindbrain regions important for satiety

As hindbrain regions (eg., NTS/DMV, PBN and specifically PVH→NTS neuronal projections) have been implicated in feeding regulation, we characterized efferent projections from defined PVH subpopulations to the NTS/DMV and the PBN. We used $Sim1^{PVH}$ neurons as a reference group to establish projection targets for comparison with $Nos1^{PVH}$ and OXT^{PVH} subsets. *Sim1-Cre* mice were unilaterally injected with a Cre-dependent adenoviral synaptophysin-mCherry tracer (Ad-iN/syn-mCherry, Figure 2.2A), which traffics predominantly to synaptic terminals and preferentially identifies projection terminals as opposed to axons of passage. We observed dense $Sim1^{PVH}$ neuron-derived mCherry-IR (Figure 2.2B) in hindbrain regions important for satiety, including the medial and lateral PBN (mPBN and lPBN, respectively, Figure 2.2C) and the NTS

(Figure 2.2D). Similar mCherry-IR was observed in these hindbrain regions from $Nos1^{PVH}$ neurons injected with Ad-iN/syn-mCherry (Figure 2.2F, 2G, 2H). In contrast, we detected very little OXT^{PVH}-derived syn-mCherry in the PBN (Figure 2.2K) or NTS (Figure 2.2L). Unilateral PVH injections of Ad-iN/syn-mCherry in *OXT-iCre* mice demonstrated syn-mCherry expression (red) only in OXT^{PVH} neurons (green; Figure 2.2J), confirming the fidelity of the Cre-dependent Ad-iN/syn-mCherry virus. As expected, $Sim1^{PVH}$, $Nos1^{PVH}$, and OXT^{PVH} neurons also sent dense projections to the median eminence, reflecting the parvocellular PVH subpopulation projections that influence pituitary function and the magnocellular projections that release their contents directly from the posterior pituitary into the systemic circulation (Figure 2.2E, 2I, 2M).

To better characterize the PVH neurons that project to the NTS/DMV and potentially affect feeding behaviors, we injected fluorescent latex microspheres (Lumafluor Red Retrobeads, RRs) unilaterally in the NTS of *Sim1-Cre*, *lox-GFP*, *Nos1-iCre*, *lox-GFP* and wildtype mice (Figure 2.3A, 3E, 3J, respectively). RRs are preferentially taken up by pre-synaptic terminals at the site of injection and undergo retrograde transportation back to the cell body, thus allowing neuron identification by autofluorescence. As might be expected, all RR-labeled PVH neurons from *Sim1-Cre*, *loxGFP* animals co-express GFP, suggesting that all PVH→NTS projections originate from $Sim1^{PVH}$ neurons (Figure 2.3B-D). Retrograde labeling from the NTS/DMV in *Nos1-iCre*, *lox-GFP* mice also demonstrates extensive (but not complete) overlap between NTS-projecting RR labeled neurons and $Nos1^{PVH}$ neurons (Figure 2.3F-H). Since previous reports suggest the presence of OXT^{PVH} projections to the NTS/DMV and essentially all OXT^{PVH} neurons are within the $Nos1-iCre^{PVH}$ field, we co-stained

Nos1-iCre, lox-GFP mice with NTS RR injections for OXT peptide (Figure 2.3I^I- 3I^{IV}). As expected, OXT-IR is seen within *Nos1*^{PVH} neurons (Figure 2.3I^V, green, open arrowheads), however NTS-injected RR predominantly labeled *Nos1*^{PVH} neurons that do not contain OXT (white arrowheads). In agreement with these findings, very few OXT^{PVH} cell bodies were labeled with NTS-injected RRs (Figure 2.3J-M) in separate control mice, even though OXT peptide (green) was detectable in the NTS/DMV at the site of the RR injection (Figure 2.3J). This suggests that much of the OXT-IR in the NTS identifies fibers of passage, as opposed to synaptic terminals. Nevertheless, it is the non-OXT *Nos1*^{PVH} neurons that comprise the bulk of the NTS-projecting *Nos1*^{PVH} neurons.

Nos1^{PVH} and OXT^{PVH} neurons project to the spinal cord

Since sympathetic outflow promotes energy expenditure and the PVH is implicated in energy expenditure regulation, we also investigated whether *Nos1*^{PVH} and OXT^{PVH} neurons project to hindbrain and spinal cord regions important for sympathetic nervous system (SNS) control (6, 11). The raphe pallidus (RPa) is an important hindbrain region controlling brown adipose tissue (BAT) function and energy expenditure (12, 21, 22). We found few syn-mCherry terminals originating from *Sim1*^{PVH} (Figure 2.4A), *Nos1*^{PVH} (Figure 2.4B) or OXT^{PVH} (Figure 2.4C) neurons in the RPa. To determine if *Sim1*^{PVH}, *Nos1*^{PVH} and OXT^{PVH} neurons project to the preganglionic, sympathetic output neurons of the thoracic spinal cord, we also examined longitudinal spinal cord sections from *Sim1-Cre*, *Nos1-iCre* or *OXT-iCre* mice following unilateral PVH Ad-iN/syn-mCherry

injections. We identified robust syn-mCherry tracer in thoracic spinal cord regions originating from $Sim1^{PVH}$ (Figure 2.4D), $Nos1^{PVH}$ (Figure 2.4E), and OXT^{PVH} neurons (Figure 2.4F). Syn-mCherry-IR is localized in close proximity to neurons expressing choline acetyltransferase (ChAT) in the intermediolateral column of the thoracic spinal cord ($ChAT^{IML}$), suggesting potential $Sim1^{PVH}$, $Nos1^{PVH}$ and OXT^{PVH} neuronal connections with and regulation of IML pre-ganglionic sympathetic neurons (Figure 2.4D', 4E', 4F').

Temporal control of PVH neuronal subpopulations

Having established the anterograde projection targets for $Sim1^{PVH}$, $Nos1^{PVH}$ and OXT^{PVH} neurons, we tested the physiologic effects of acute activation of these PVH neurons on feeding and energy expenditure. To selectively activate these PVH subsets, we employed Designer Receptors Exclusively Activated by Designer Drugs (DREADDs) technology (23). The hM3Dq DREADD is a modified human muscarinic receptor designed to couple with stimulatory Gq-proteins. Binding of an otherwise inert, synthetic ligand CNO (clozapine N-oxide) activates neurons expressing hM3Dq. This system has been engineered to be Cre recombinase-dependent in order to achieve cell-specific control (Figure 2.5A). Thus, site-specific injection of a Cre-dependent adeno-associated virus (AAV)-hM3Dq allows for remote and temporal activation only of neurons that express Cre recombinase. As for our tracing studies, we used *Sim1-Cre* mice to target the majority of PVH neurons and establish an upper threshold of PVH “output capacity” upon DREADDs activation followed by $Nos1^{PVH}$ and OXT^{PVH} subset

activation. As a control for DREADD injection, bilateral PVH injections of AAV-hM3Dq into wildtype (WT) mice were also performed.

Although AAV-hM3Dq was primarily limited to the PVH of *Sim1-Cre* injected mice, there was a small amount of AAV-hM3Dq expression in *Sim1-Cre*⁺ areas in the anterior hypothalamus (Figure 2.5B). Similarly, while injections in *Nos1-iCre* mice were targeted for the PVH, some *Nos1-iCre*⁺ neurons in the thalamus also expressed hM3Dq (Figure 2.5C). Importantly, any injected *Nos1-iCre* animals that expressed hM3Dq in peri-PVH areas implicated in feeding (i.e., DMH) were excluded from the analysis. PVH injections of AAV-hM3Dq were restricted to OXT^{PVH} neurons, as Cre expression in *OXT-iCre* mice is limited to the PVH and SON (Figure 2.5D). Using nuclear Fos staining as an indicator of neuronal activation, vehicle injection caused little PVH activation in *Sim1-Cre* (Figure 2.5E), *Nos1-iCre* (Figure 2.5F), or *OXT-iCre* (Figure 2.5G) mice with bilateral PVH AAV-hM3Dq injections. In contrast, hM3Dq-injected mice treated with CNO prior to perfusion demonstrated a marked increase in nuclear Fos staining in transduced PVH neurons (Figure 2.5H-J). Specifically CNO-stimulated PVH nuclear Fos expression in *Sim1-Cre* + AAV-hM3Dq mice (176.5 ± 6.5 vs. 51.0 ± 6.0 (vehicle); $n=2$ each, unpaired t-test, $t(2)=14.2$, $p=0.005$), *Nos1-iCre* + AAV-hM3Dq mice (200.7 ± 39.0 vs. 36.0 ± 5.0 (vehicle); vehicle $n=2$, CNO $n=3$, unpaired t-test, $t(3)=3.3$, $p=0.047$) and *OXT-iCre* + AAV-hM3Dq mice (130 ± 14 vs. 63.0 ± 5.1 (vehicle); $n=4$ each, unpaired t-test, $t(6)=4.4$, $p=0.005$). This demonstrates that cell-specific DREADD expression allows for temporal control of PVH neuron activity. Nuclear Fos was also apparent in non-Cre expressing cells suggesting that PVH subtypes can activate neighboring PVH cells via local connections (Figure 2.5J inset) (24, 25). We detected

no hM3Dq expression or CNO-dependent activation in the PVH of wild type control mice (data not shown).

Direct activation of PVH neurons alters food intake

Based on our anterograde tracing of PVH subsets, we hypothesized that activation of $Sim1^{PVH}$ and $Nos1^{PVH}$ neurons would alter feeding (via projections to hindbrain regions). To test this hypothesis, we injected AAV-hM3Dq bilaterally into the PVH of *Sim1-Cre*, *Nos1-iCre* and *OXT-iCre* mice and treated these animals with CNO (0.3 mg/kg) at the onset of dark cycle feeding. Body weights of the animals used in these studies are as follows (BW \pm SEM): *Sim1-Cre* 22.6g \pm 1.6g (n=4), *Nos1-iCre* 25.6g \pm 0.7g (n=8), *OXT-iCre* 22.8g \pm 0.8g (n=10), WT 24.1g \pm 0.8g (n=4). Wild type controls with bilateral PVH AAV-hM3Dq injections showed no change in feeding behavior in response to CNO compared to vehicle injections, demonstrating that neither viral transduction nor CNO alone altered food intake (Figure 2.6A, first panel). Activation of $Sim1^{PVH}$ neurons robustly suppressed feeding as compared to vehicle control (Figure 2.6A, second panel, paired t-test, $t(3)=5.0$, $p=0.015$). As hypothesized, *Nos1-iCre* mice with bilateral AAV-hM3Dq injections also ate significantly less following CNO-activation of $Nos1^{PVH}$ neurons as compared to vehicle (Figure 2.6A third panel, paired t-test, $t(7)=7.9$, $p<0.0001$). Interestingly, the suppression of two hour food intake following activation of $Sim1^{PVH}$ and $Nos1^{PVH}$ neurons is comparable ($t(10)=1.0$, $p=0.35$, unpaired t-test), suggesting that the anorexia associated with $Sim1^{PVH}$ activation is mediated largely via $Nos1^{PVH}$ neurons. The anorectic effect of $Nos1^{PVH}$ activation persists through four hours of re-feeding (paired t-test, $t(7)=11.2$, $p<0.0001$) (there is a similar trend for

Sim1^{PVH} neuron activation, Figure 2.6B), although total overnight food intake (16 hours) approximates controls (Figure 2.6C). In contrast to Sim1^{PVH} and Nos1^{PVH} neurons, activation of OXT^{PVH} neurons had little effect on two or four-hour food intake (Figure 2.6A, 6B, fourth panel). Thus Nos1^{PVH} neuron activation suppresses feeding to a similar extent as Sim1^{PVH} neurons, suggesting a major role for Nos1^{PVH} neurons in the control of food intake. Furthermore, since activation of the OXT-containing subset of Nos1^{PVH} neurons fails to blunt feeding, the activation of non-OXT Nos1^{PVH} neurons must be required for this effect.

Activation of PVH neurons increases Fos expression in sympathetic output neurons and promotes energy expenditure

The role of the PVH in modulating energy expenditure has received less attention than its contribution to feeding regulation. Given the robust spinal cord projections from Sim1^{PVH}, Nos1^{PVH} and OXT^{PVH} neurons, we determined the effect of DREADD-mediated activation of these PVH neural subsets on nuclear Fos expression in preganglionic, sympathetic output neurons and overall oxygen consumption. First, we treated *Sim1-Cre* +AAV-hM3Dq, *Nos1-iCre* +AAV-hM3Dq or *OXT-iCre* +AAV-hM3Dq mice with vehicle (Figure 2.7A, 7C, 7E) or CNO (Figure 2.7B, 7D, 7F) and analyzed thoracic spinal cord sections for nuclear Fos accumulation in ChAT^{IML} neurons. Acute activation of Sim1^{PVH}, Nos1^{PVH} and OXT^{PVH} neurons all appeared to increase nuclear Fos expression in ChAT^{IML} neurons relative to vehicle control. To estimate this effect, we determined the percentage of ChAT^{IML} neurons containing nuclear Fos immunoreactivity in thoracic spinal cord sections from Sim1-Cre + AAV-hM3Dq mice in

response to CNO vs. vehicle. Activation of Sim1^{PVH} neurons showed a trend towards increased nuclear Fos expression in ChAT^{IML} neurons with 42.6 ± 7.2 % (CNO-treated) vs. 16.1 ± 5.4% (vehicle treated) ChAT^{IML} neurons counted (n=2 each; unpaired t-test, t(2)=2.9, p=0.099). These data thereby suggest a potential neuroanatomical pathway for the regulation of sympathetic output and energy expenditure.

To determine the ability of each PVH subset to modulate energy expenditure, we used metabolic cages to measure oxygen consumption (VO₂) and locomotor activity in animals expressing hM3Dq in Sim1^{PVH}, Nos1^{PVH} and OXT^{PVH} neurons. Activation of Sim1^{PVH} neurons and Nos1^{PVH} neurons increased average oxygen consumption in the absence of food (Figure 2.8A, 8B, second and third panels) (Sim1: paired t-test, average 4hr VO₂ t(3)=8.8, p=0.003, average 4hr VO₂ LBM t(3)=11.0, p=0.002; Nos1: average 4hr VO₂ t(3)=3.2, p=0.05, average 4hr VO₂ LBM t(3)=3.6 p=0.038). Acute activation of OXT^{PVH} neurons also significantly increased oxygen consumption (Figure 2.8A, 8B, fourth panel) (average 4hr VO₂ t(9)=2.4, p=0.042, average 4hr VO₂ LBM t(9)=2.3, p=0.05), albeit not to the extent seen with activation of Nos1^{PVH} neurons (unpaired t-test of average change in 4hr VO₂, t(13)=2.5, p=0.029). Baseline oxygen consumption was elevated in the initial cohort of *OXT-iCre* +AAV-hM3Dq mice in comparison to other groups (One-way ANOVA of average change in 4hr VO₂, F(3,19)=7.3, p=0.002). To exclude the possibility that this elevated baseline O₂ consumption was a property of the *OXT-iCre* transgenic line as opposed to a cohort effect, oxygen consumption experiments were repeated in a second cohort of mice naïve to CNO (Figure 2.8C, 8D). Indeed, *OXT-iCre* +3Dq mice treated with vehicle had the same baseline oxygen consumption as WT and *OXT-iCre* mice without 3Dq (One-

way ANOVA of average change in 4hr VO₂, F(2,9)=1.0, p=0.4), indicating that *OXT-iCre* mice are not metabolically compromised. Interestingly, activation of any of the PVH subpopulations increased average total activity, although not equally (Figure 2.8E; paired t-test *Sim1+3Dq*: t(3)=4.7, p=0.019; *Nos1+3Dq*: t(3)=26.8, p=0.0001; *OXT+3Dq*: t(9)=4.3, p=0.002). As expected, *WT+AAV-hM3Dq* mice showed no change in oxygen consumption or activity in response to CNO (Figure 2.8A-E). Body weights of the animals used in these studies are as follows (BW ± SEM): *Sim1-Cre* 30.5g ± 2.1g (n=4), *Nos1-iCre* 29.4g ± 0.9g (n=4), *OXT-iCre +3Dq cohort 1* 23.9g ± 0.6g (n=10), *WT cohort 1* 26.3g ± 1.3g (n=4), *OXT-iCre +3Dq cohort 2* 28.9g ± 1.3g (n=4), *OXT-iCre (no 3Dq)* 28.1g ± 1.8g (n=4), *WT cohort 2* 30.4g ± 1.6g (n=4). Therefore, *Nos1^{PVH}* or *OXT^{PVH}* neuron activation promotes locomotor activity and overall energy expenditure, although to a lesser extent than that seen with pan-PVH activation. Unlike the feeding effects observed with *Nos1^{PVH}* activation, *Nos1^{PVH}*-driven increases in VO₂ and activity were significantly smaller than those seen with *Sim1^{PVH}* activation (unpaired t-test of average 4hr VO₂, F(3,3)=1.062, p=0.009; unpaired t-test of average 4hr activity, F(3,3)=274.3, p=0.023), suggesting that both *Nos1^{PVH}* and non-*Nos1^{PVH}* subsets of *Sim1* neurons contribute to the control of energy expenditure. As increased locomotor activity may contribute to overall energy expenditure and oxygen consumption (VO₂), we analyzed VO₂ in *Sim1-Cre + AAV-hM3Dq* and *Nos1-iCre + AAV-hM3Dq* mice for at timepoints when locomotor activity was approximately matched before and after CNO treatment (Figure 2.8F). While this analysis revealed a trend towards increased VO₂ for both *Sim1-Cre + AAV-hM3Dq* and *Nos1-iCre + AAV-hM3Dq* animals when matched for activity (*Sim1-Cre*, paired t-test, t(3)=2.4, p=0.093; *Nos1-iCre*, paired t-test, t(3)=2.9,

p=0.064), the magnitude of the effect was small relative to the overall increase in VO₂. This suggests that increased locomotor activity contributes significantly to the increased oxygen consumption observed with PVH stimulation, but that changes independent of locomotor activity may also play a role.

Acute Sim1^{PVH} activation increases intrascapular BAT temperature

Since the activation of PVH neurons tends to increase energy expenditure in mice matched for locomotor activity and Sim1^{PVH}, Nos1^{PVH} and OXT^{PVH} neurons send dense projections to pre-ganglionic sympathetic ChAT neurons in the thoracic spinal cord, we hypothesized that activation of these PVH subpopulations might promote thermogenesis in addition to potentially playing a role in locomotor activation. To test this, temperature transponders were placed in the subcutaneous tissue directly above intrascapular BAT in *Sim1-Cre +hM3Dq*, *OXT-iCre +hM3Dq* and *WT +hM3Dq* mice and intrascapular temperatures (T_{IS}) were measured before and after PVH neuron activation. Body weights of the animals used in these studies are as follows (BW ± SEM): *Sim1-Cre* 27.4g ± 1.6g (n=3), *OXT-iCre* 28.5g ± 0.8g (n=4), *WT* 31.5g ± 1.2g (n=5). Activation of Sim1^{PVH} neurons increased T_{IS} when compared to baseline T_{IS} before injection of vehicle or CNO (Figure 2.9A, 9B; Repeated measures two-way ANOVA of change in baseline T_{IS} F(1,2)=102.9, p=0.010 with Sidak multiple comparisons post-hoc test 15-min t(8)=4.2, p=0.016; 30-min t(8)=8.3, p<0.001; 60-min t(8)=6.5, p=0.001; 120-min t(8)=5.3, p=0.004). Additionally, activation of OXT^{PVH} neurons display a trend of increased T_{IS}, though this did not reach statistical

significance. T_{IS} in WT +hM3Dq mice were not altered in response to CNO administration.

Since acute PVH activation increased T_{IS} , we determined if this was mediated by increased BAT uncoupling protein 1 (UCP1), the primary facilitator of BAT thermogenesis (26). We examined UCP1 protein levels in BAT from *Sim1-Cre* +AAV-*hM3Dq*, *OXT-iCre* +AAV-*hM3Dq*, or *WT*+AAV-*hM3Dq* mice treated with either vehicle or CNO. UCP1 protein levels did not change in response to $Sim1^{PVH}$ or OXT^{PVH} neuronal activation when normalized to the loading control (Figure 2.10). Therefore, increases in T_{IS} following PVH activation may be via mechanisms that increase UCP1 activity rather than protein levels (27, 28).

Discussion

The importance of the PVH in feeding regulation, energy balance and endocrine and autonomic function is well established (6, 8, 15). However, a detailed understanding of the cellular and neural pathways used by the PVH to regulate these physiologic functions has been complicated by the heterogeneity of this nucleus and the inability to investigate specific PVH cell populations independently. To tackle these issues, we combined Cre-dependent viral vectors with PVH cell-specific Cre drivers to probe the function of discrete PVH neuron subsets and explore their connectivity with brain regions known to be involved in energy homeostasis.

The hypothalamic transcription factor *Sim1* marks PVH neurons involved in feeding regulation. *Sim1*-restricted melanocortin-4 receptor (Mc4R) expression in an otherwise Mc4R-null background corrects the associated hyperphagia of Mc4R-null

mice and targeted ablation of *Sim1* neurons in the CNS results in hyperphagia and altered energy expenditure (11, 15). *Sim1* neurons lie in other brain areas, but most if not all PVH neurons express *Sim1* (1). We now show that acute activation of $Sim1^{PVH}$ neurons suppresses feeding and increases energy expenditure and activity, highlighting the ability of PVH neurons to regulate both energy balance parameters and validating our experimental system. To clarify the neurochemical identity and neural circuitry of the PVH neurons mediating these effects, we identified and utilized *Nos1* expression to mark a specific $Sim1^{PVH}$ subset. Our tracing studies revealed dense $Nos1^{PVH}$ projections to hindbrain and spinal cord structures involved in energy balance regulation. Using a pharmacogenetic approach, we showed that activation of $Nos1^{PVH}$ neurons suppressed food intake at the onset of feeding to an extent that is comparable to that observed upon activation of the entire $Sim1^{PVH}$ field. These experiments therefore establish $Nos1^{PVH}$ neurons as an important $Sim1^{PVH}$ subset in feeding regulation.

OXT^{PVH} neurons are a subset of $Nos1^{PVH}$ neurons and pharmacologic evidence has demonstrated the ability of hindbrain OXT action to suppress feeding, suggesting a role for OXT^{PVH} neurons in anorectic signaling by the PVH (9, 29, 30). In contrast, however, genetic inactivation of OXT or its receptors minimally impacts feeding, and ablation of OXT neurons in adult mice neither alters feeding nor the anorexic response to a melanocortin agonist (13, 31, 32). In order to determine the contribution of OXT^{PVH} neurons to $Nos1^{PVH}$ -regulated feeding, we subjected OXT^{PVH} neurons to Cre-dependent synaptic tracing and pharmacogenetic analysis. DREADD-mediated activation of OXT^{PVH} neurons failed to suppress feeding under normal conditions. Therefore, while

Nos1^{PVH} neurons mediate a powerful anorectic signal, the OXT-expressing subset of Nos1^{PVH} neurons cannot account for this effect, thus revealing a requisite role for non-OXT Nos1^{PVH} neurons in food intake control.

The role of the PVH in energy expenditure regulation has received less attention than its role in food intake control. *Sim1* haploinsufficiency or manipulation of Mc4R expression in *Sim1* neurons alters feeding, but minimally affects energy expenditure (15, 33). Selective ablation of *Sim1* neurons in the CNS, however, lowers oxygen consumption, suggesting a role for *Sim1* neurons in the regulation of both food intake and energy expenditure (11). Indeed, recent data reveal that glutamatergic signaling in *Sim1* neurons contributes to energy expenditure regulation (34). In this study, we show that direct activation of Sim1^{PVH} neurons increases energy expenditure and that both Nos1^{PVH} and OXT^{PVH} neurons contribute to this physiologic response. Using a novel anterograde viral tracing tool, we did not find significant projections to the RPa. However, we did identify dense terminals from Sim1^{PVH}, Nos1^{PVH} and OXT^{PVH} neurons in close proximity to ChAT^{IML} neurons of the thoracic spinal cord, a cholinergic preganglionic structure that regulates sympathetic output. Importantly, direct activation of Nos1^{PVH} and OXT^{PVH} neurons appears to stimulate ChAT^{IML} cells concomitant with increases in metabolic rate, locomotor activity, and thermogenesis. This physical connection between PVH neuron subsets and ChAT^{IML} neurons provides a potential neuroanatomical mechanism by which sympathetic output may be increased to promote energy expenditure following activation of these PVH neurons. Indeed, activation of Sim1^{PVH} neurons increases intrascapular temperature overlying BAT. As thermogenesis requires BAT UCP1 (35) and there is no change in UCP1 protein

expression following Sim1^{PVH} stimulation, this effect is likely dependent on a change in UCP1 activity.

The increase in oxygen consumption upon Sim1^{PVH} activation is more robust than that seen with activation of either Nos1^{PVH} or OXT^{PVH} neurons alone. Interestingly, the extent of ChAT^{IML} activation is relatively similar, suggesting that additional CNS pathways are engaged to regulate energy expenditure acutely. Only an estimated 25% of hindbrain and spinal cord-projecting PVH neurons have been neurochemically-defined (8). Therefore, other, unidentified PVH neurons likely play important roles in modulating energy expenditure. Additional studies directed at identifying and manipulating chemically-defined populations of PVH neurons will be critical in understanding the cellular and neuroanatomical pathways used by the PVH to modulate energy expenditure and achieve energy homeostasis.

The neurotransmitters by which Nos1^{PVH} and OXT^{PVH} neurons regulate feeding and energy expenditure remain undefined. It is likely that both neuropeptides and fast-acting neurotransmitters such as glutamate, the predominant PVH neurotransmitter, contribute to PVH-mediated energy balance. Indeed, Sim1 glutamate signaling is important for overall control of energy balance (34). Our DREADD activation studies suggest the possibility that PVH neurons regulate adjacent cells. Given the presence of local, intra-PVH glutamatergic connections, it is conceivable that PVH neuronal subsets can recruit certain neighboring cell types to affect functional outputs (24).

Overall, our dissection of PVH neuron subpopulations reveals that specific subsets of PVH neurons play distinct roles in energy balance regulation. Specifically, we reveal a role for Nos1^{PVH} neurons in the control of feeding, and that this function

requires the participation of non-OXT $Nos1^{PVH}$ neurons. Moreover, both $Nos1^{PVH}$ and the OXT^{PVH} neurons project to sympathetic output areas of the thoracic spinal cord and are capable of increasing energy expenditure (although to a lesser extent than $Sim1^{PVH}$ neurons, suggesting roles for non-*Nos1* $Sim1^{PVH}$ cells). The identification and analysis of other PVH subpopulations will be crucial to determining the molecular mechanisms by which the PVH regulates energy homeostasis.

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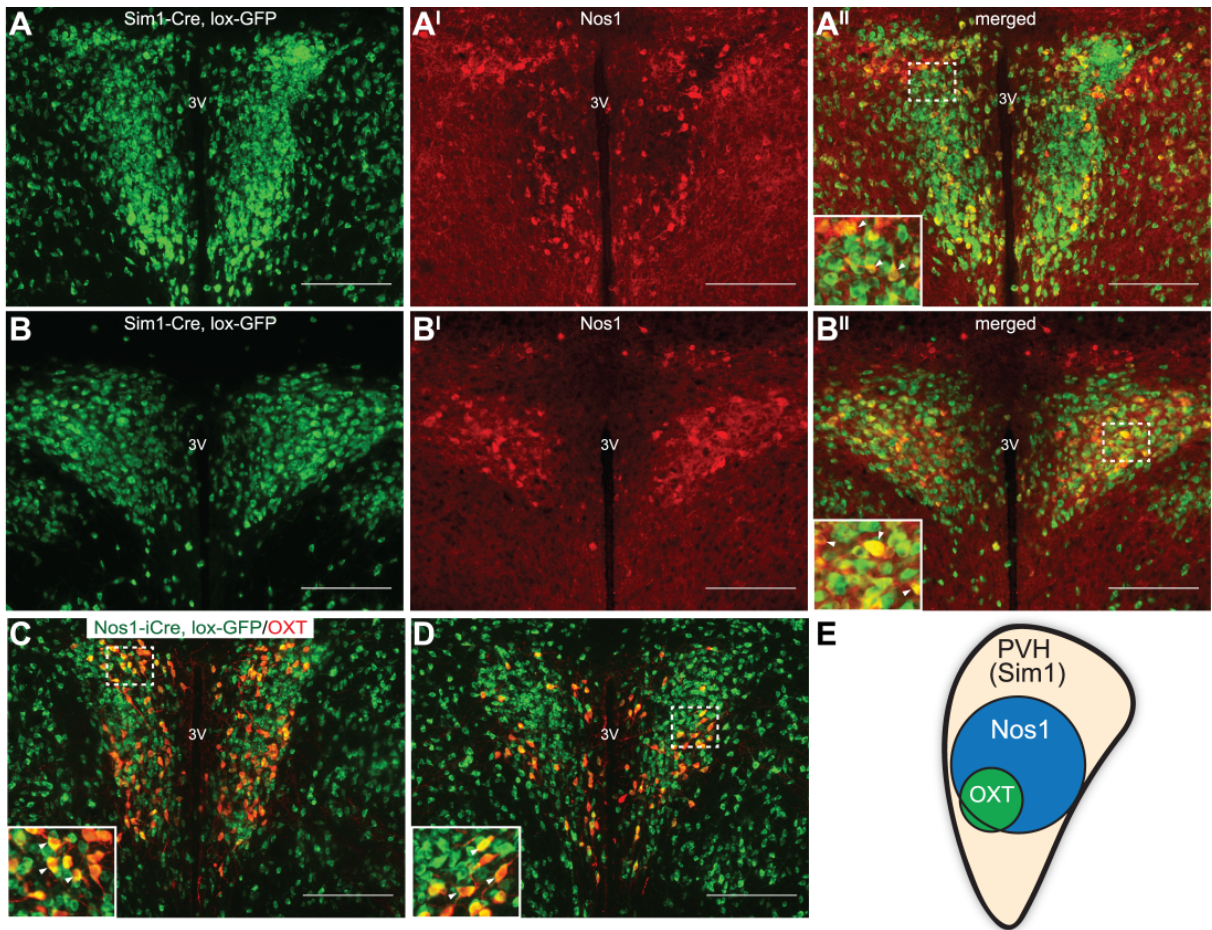


Figure 2.1. Neuronal nitric oxide synthase 1 (*Nos1*) marks a subset of PVH neurons. A, B) Immunohistochemistry (IHC) for NOS1 peptide (red) in the PVH of *Sim1-Cre*, *lox-GFP* reporter mice (*lox-GFP*, green) identifies $Nos1^{PVH}$ neurons as a $Sim1^{PVH}$ neuronal subset. C, D) OXT^{PVH} neurons are contained within the $Nos1^{PVH}$ population (green), as shown by expression of OXT (red) in sections from *Nos1-iCre*, *lox-GFP* mice. (E) A model of neurochemically-defined cell types within the PVH. Dashed boxes indicate regions that are digitally enlarged and shown as insets. Arrowheads indicate representative overlapped cell-bodies. Scale bar =200 μ m, 3V=third ventricle.

A

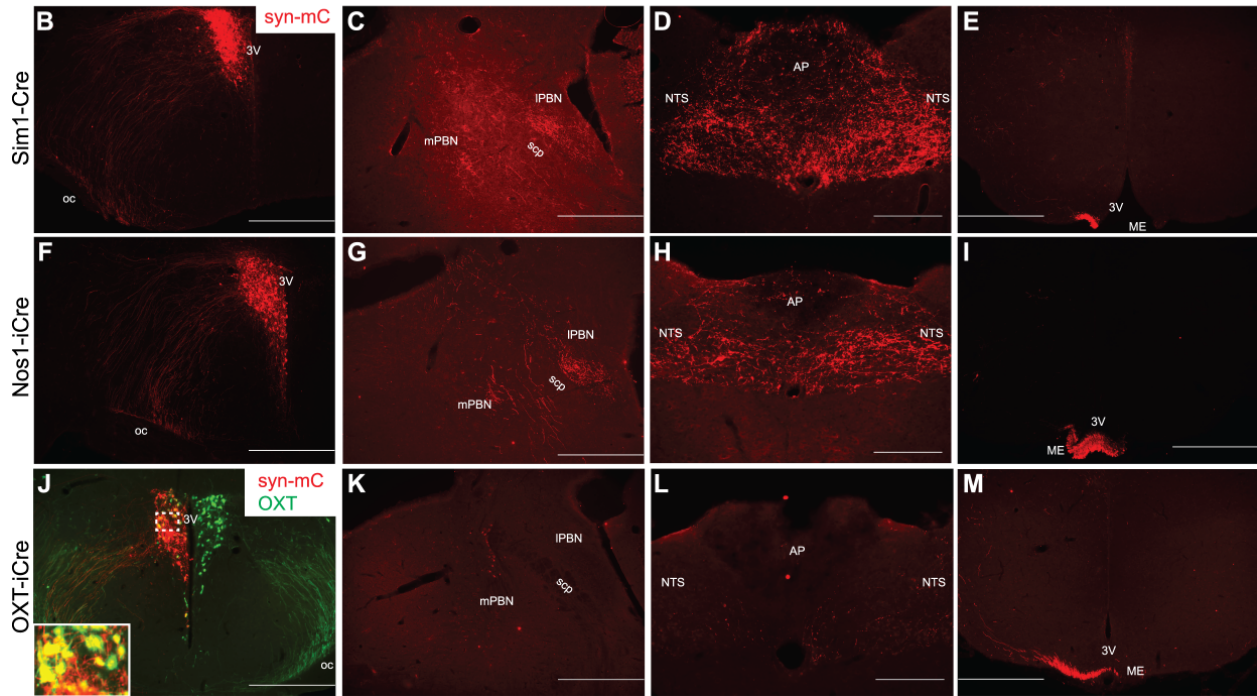
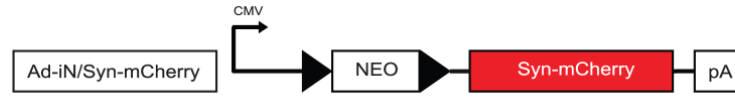


Figure 2.2. *Nos1*^{PVH} neurons project to hindbrain regions important for satiety. A)

A Cre-dependent synaptophysin-mCherry viral vector allows for anterograde-tracing of projections in the CNS. B) Unilateral PVH-specific injections of Ad-iN/syn-mCherry in *Sim1-Cre* mice identify projections to the parabrachial nucleus (C), nucleus of the solitary tract (D), and the median eminence (ME) (E). F) Stereotaxic injection of Ad-iN/syn-mCherry in the PVH of *Nos1-iCre* mice demonstrates similar projections to the PBN (G), NTS (H), or ME (I). In contrast, injection of Ad-iN/syn-mCherry in the PVH of *OXT-iCre* mice (J) reveals few projections to either the PBN (K) or NTS (L), though projections to the ME are readily apparent (M). Sections were co-stained for OXT peptide (green) to show the fidelity of the Cre-dependent virus (J). Dashed boxes indicate regions that are digitally enlarged and shown as insets. Scale bar in D, H, L=200 μ m, scale bar in all others=500 μ m. 3V=third ventricle, mPBN, lPBN=medial, lateral parabrachial nucleus, respectively, scp=superior cerebellar peduncle, AP=area postrema, NTS=nucleus of solitary tract, cc=central canal, ME=median eminence.

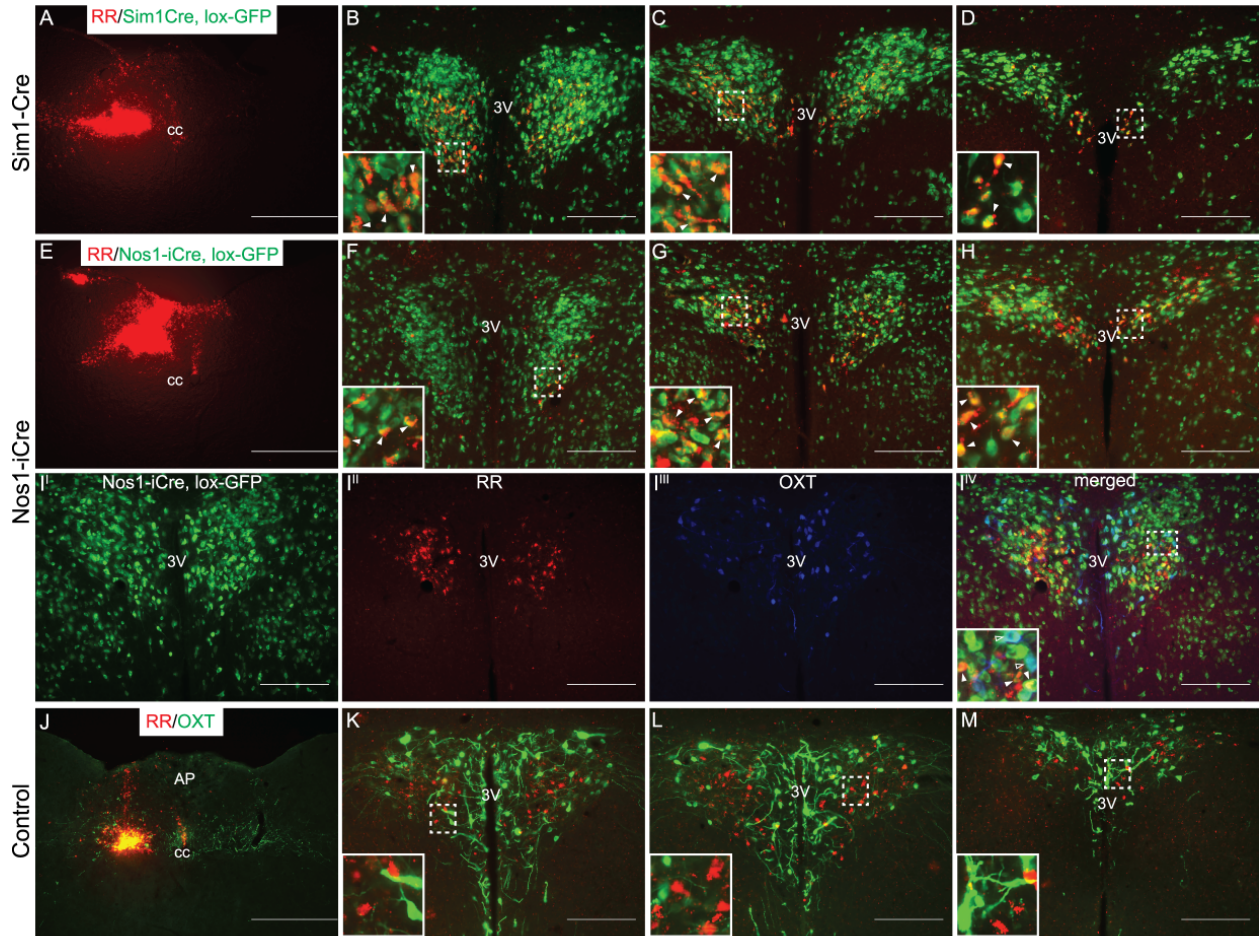


Figure 2.3. Retrograde labeling of PVH neurons from the NTS. Red Retrobeads (RR) were injected in the hindbrains of in *Sim1-Cre, lox-GFP* (A) or *Nos1-iCre, lox-GFP* (E) mice to identify NTS projecting $Sim1^{PVH}$ (B, C, D) and $Nos1^{PVH}$ (F, G, H) neurons. Additional sections from *Nos1-iCre, lox-GFP* mice with hindbrain RR injections (same injection shown in E) were stained for OXT peptide (Fig. 3I^{III}) and show RR-labeled $Nos1$ neurons do not contain OXT peptide (Fig. 3I^{IV} white arrowheads). OXT neurons only co-localize with $Nos1$, but not RR (Fig. 3I^{IV}, open arrowheads). Furthermore, RR injected in the NTS of control mice (J) do not colocalize with OXT peptide (green) in the PVH (J-M). At the site of injection, beads appear in both green and red channels due to bead intensity (note yellow injection site). Immunohistochemistry identifies OXT peptide (green) expression near injection site (J). Dashed boxes indicate regions that are digitally enlarged and shown as insets. Arrowheads indicate representative overlapped cell-bodies. Scale bar in A, E, J=500 μ m, all other scale bars=200 μ m. AP=area postrema, cc=central canal, 3V=third ventricle.

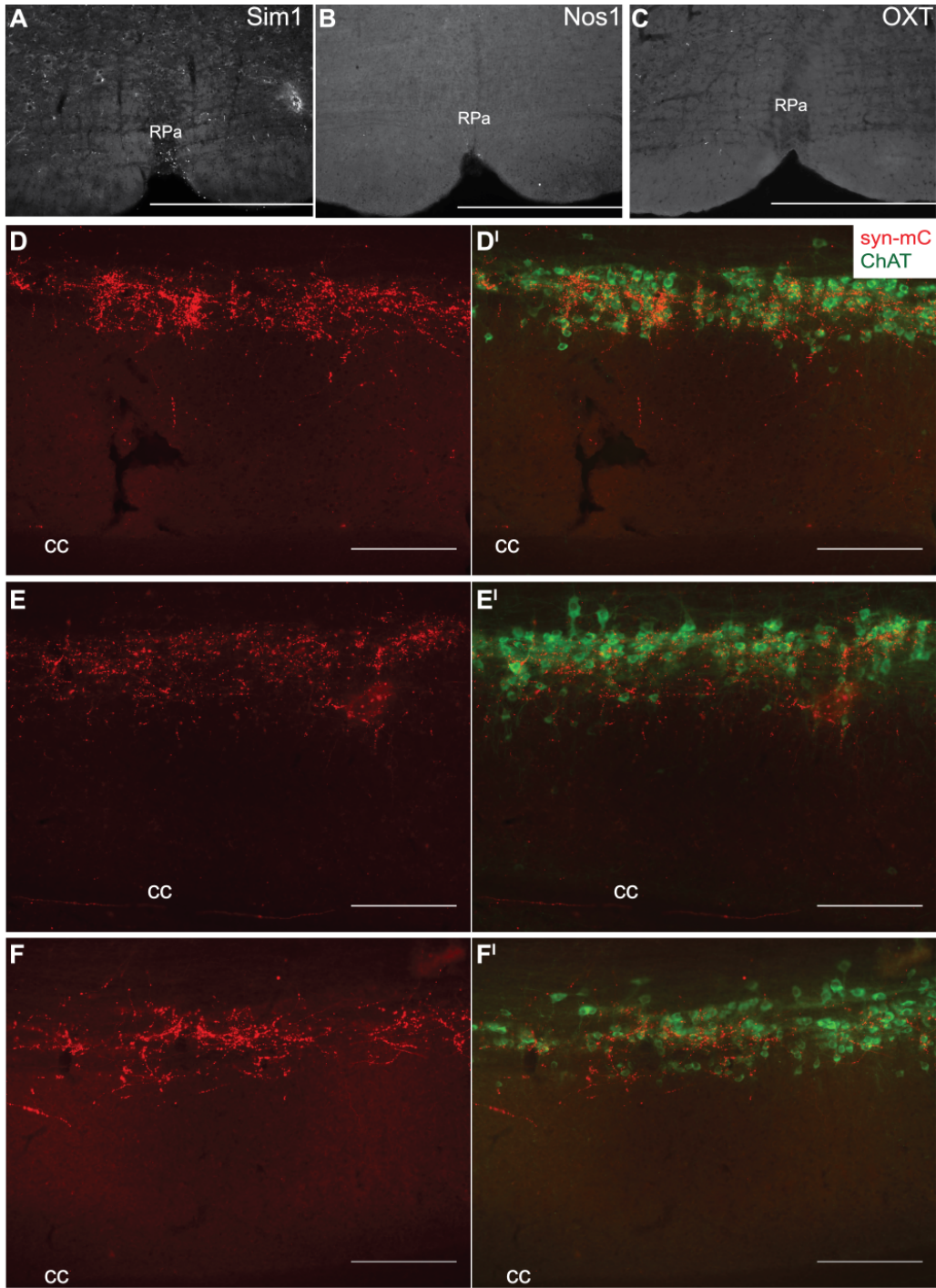


Figure 2.4. $Nos1^{PVH}$ and OXT^{PVH} neurons project to pre-ganglionic neurons in the spinal cord. A) *Sim1-Cre*, B) *Nos1-iCre*, or C) *OXT-iCre* mice with unilateral Ad-iN/syn-mCherry injections (red) show few projections to the raphe pallidus (RPa). In contrast, $Sim1^{PVH}$ (D), $Nos1^{PVH}$ (E) and OXT^{PVH} (F) all innervate thoracic spinal cord regions in close proximity to cholinergic neurons of the intermediolateral column expressing choline acetyltransferase (ChAT, green, D', E', F'). Scale bars in A-C=500 μ m, scale bars in D-F=200 μ m. cc=central canal, RPa=raphe pallidus.

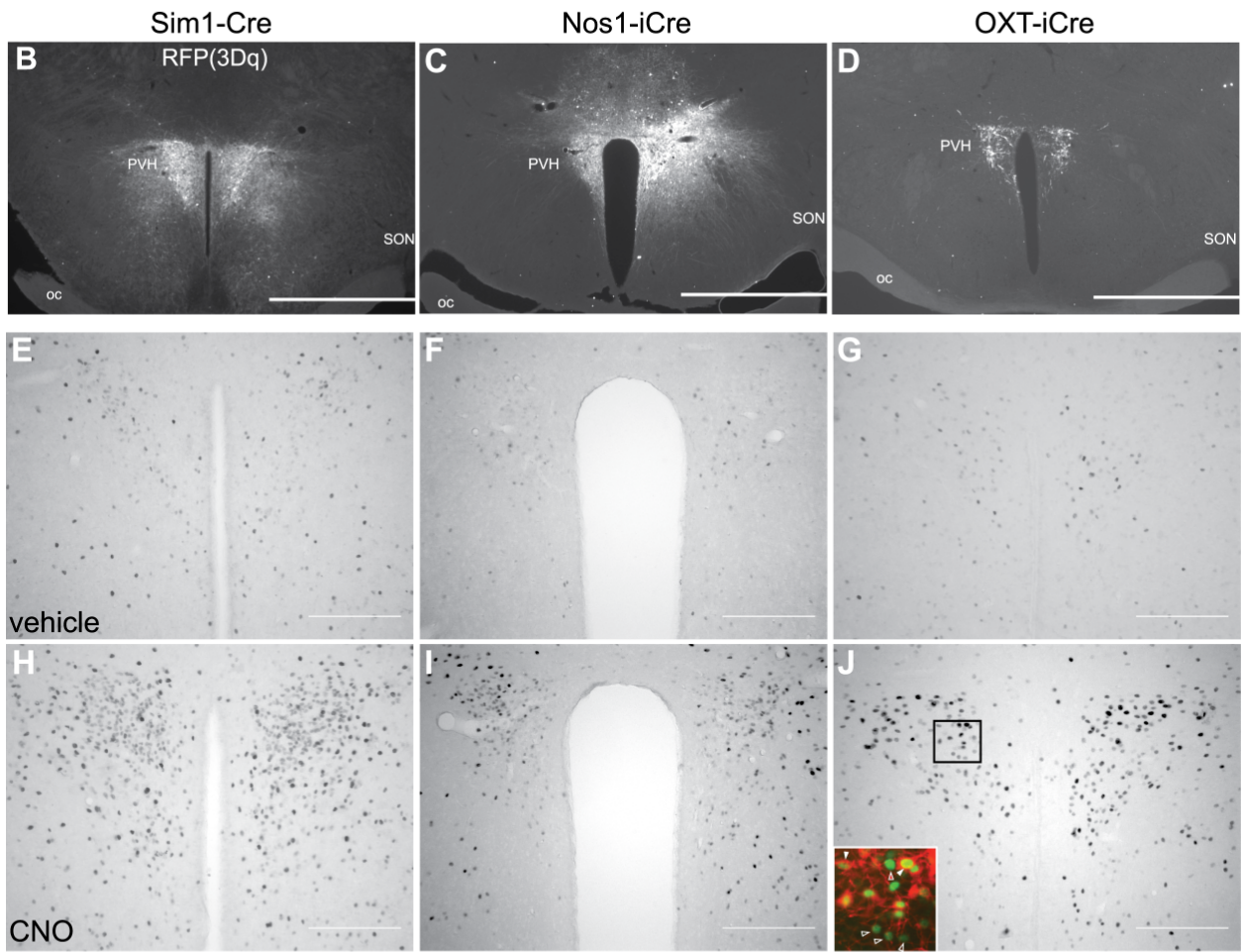


Figure 2.5. DREADDs allow for remote and temporal control of PVH neuronal activity. A) Diagram of the Cre-dependent hM3Dq Designer Receptor Exclusively Activated by Designer Drugs (DREADDs) expression vector. Expression of AAV-hM3Dq-mCherry in the PVH of *Sim1-Cre* (B), *Nos1-iCre* (C), or *OXT-iCre* (D) mice is detected by immunohistochemistry (IHC). *Sim1-Cre* (E), *Nos1-iCre* (F), or *OXT-iCre* (G) mice with bilateral AAV-hM3Dq injections demonstrate little nuclear Fos immunoreactivity after treatment with vehicle. In contrast, PVH neurons expressing hM3Dq are activated following injection of CNO (H, I, J). OXT^{PVH} neuronal activation leads to nuclear Fos expression (J, inset, green) not only in hM3Dq-expressing OXT^{PVH} neurons (J inset, red, closed arrowheads), but also in neighboring non- OXT^{PVH} neurons (open arrowheads). Scale bar in B-D=1 mm, scale bar in E-J=200 μ m. oc=optic chiasm, PVH=paraventricular nucleus, SON=supraoptic nucleus.

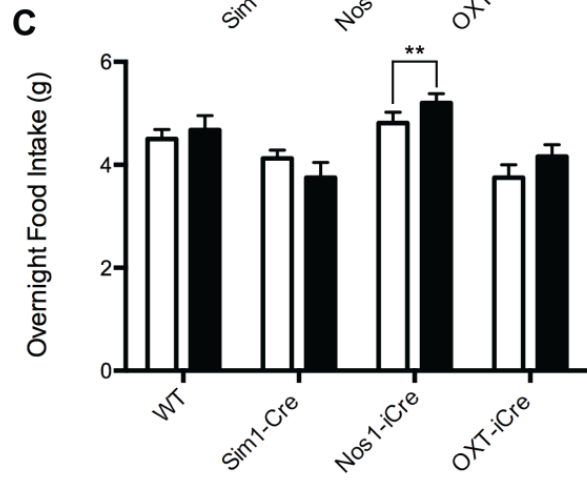
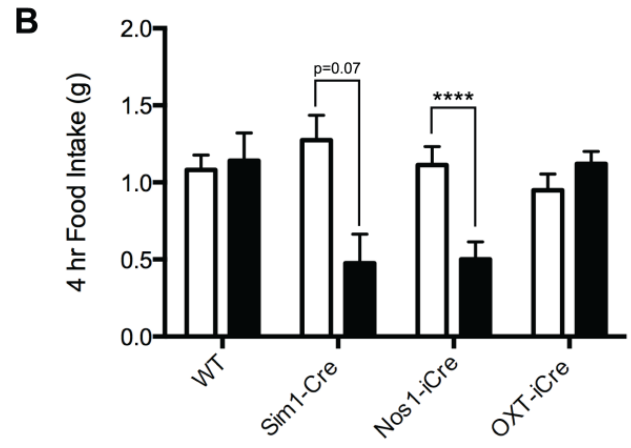
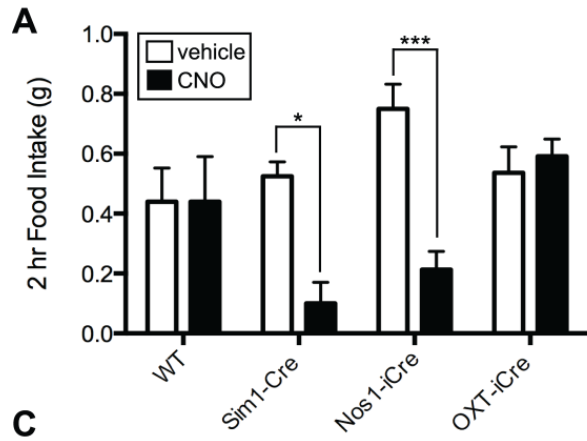


Figure 2.6. Acute activation of $Nos1^{PVH}$ neurons suppresses feeding. WT +AAV-hM3Dq, *Sim1-Cre* +AAV-hM3Dq, *Nos1-iCre* or *OXT-iCre* +AAV-hM3Dq mice were injected with vehicle (white bars) or CNO (black bars). Activation of $Sim1^{PVH}$ or $Nos1^{PVH}$ neurons decreases A) 2-hour food intake whereas activation of OXT^{PVH} neurons had no effect on food intake. Activation of $Nos1^{PVH}$ neurons also significantly decrease 4-hour food intake (B), and $Sim1^{PVH}$ neuronal activation shows a similar trend, though this is not significant. Cumulative overnight food intake (16hr) is not altered by activation of PVH neuronal subsets (C). Average values \pm SEM are shown, * $p < 0.05$, *** $p < 0.01$, **** $p < 0.0001$ compared to vehicle values (WT $n=5$, *Sim1-Cre* $n=4$, *Nos1-iCre* $n=8$, *OXT-iCre* $n=10$). Significance was determined using two-tailed paired t-test.

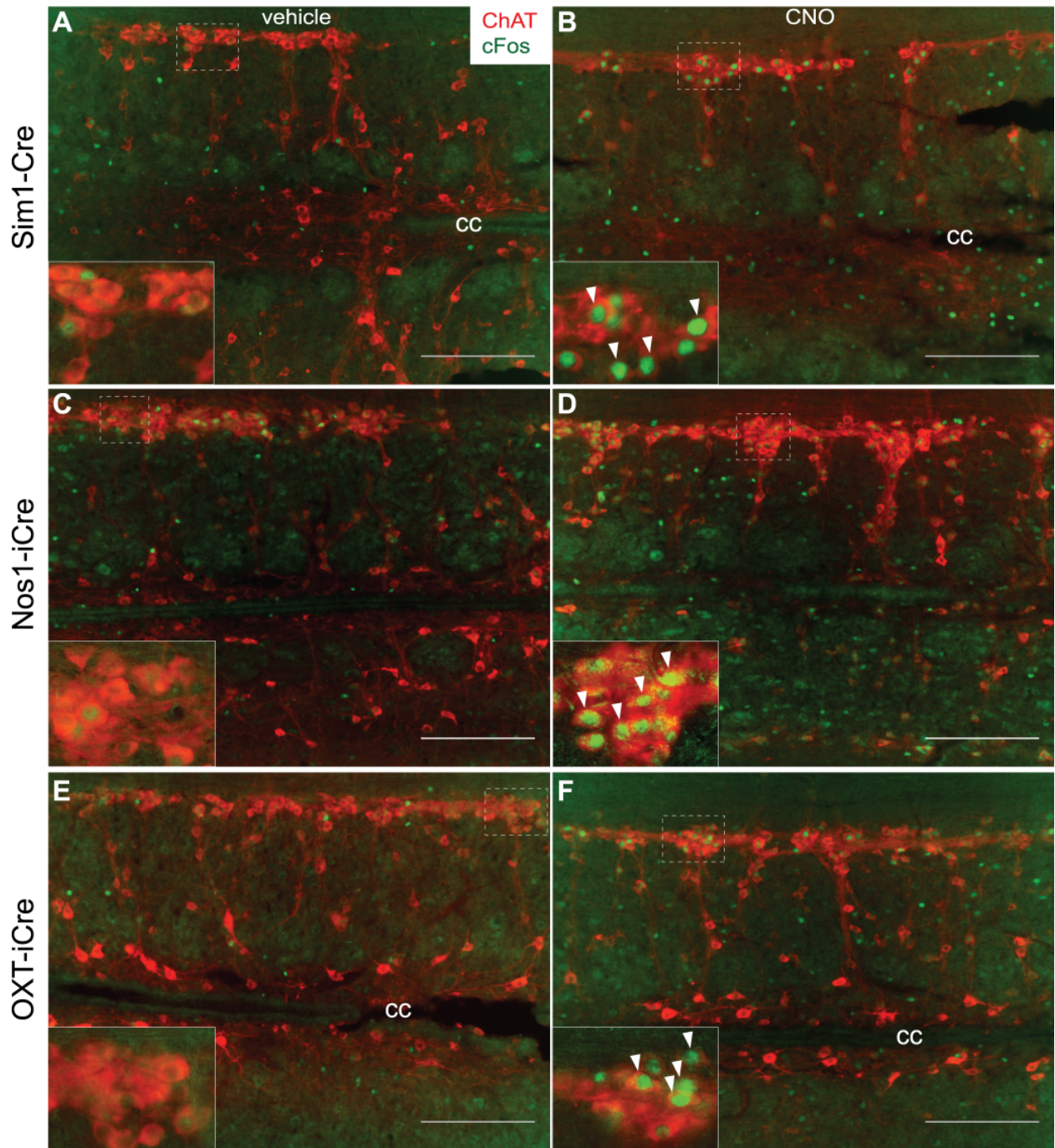


Figure 2.7. $Nos1^{PVH}$ and OXT^{PVH} neurons can activate $ChAT^{IML}$ neurons. While basal neuronal activity of $ChAT^{IML}$ neurons is minimal in *Sim1-Cre* +AAV-hM3Dq (A), *Nos1-iCre* +AAV-hM3Dq (C) or *OXT-iCre* +AAV-hM3Dq (E) mice injected with vehicle, CNO-mediated activation of $Sim1^{PVH}$ (B), $Nos1^{PVH}$ (D) or OXT^{PVH} (F) neurons increases nuclear Fos (green) in IML $ChAT$ neurons (red). All scale bars=200 μ m. Dashed white boxes identify regions where 40X inset images were taken. Arrowheads indicate representative overlapped cell-bodies and Fos-IR nuclei upon treatment with CNO. All scale bars=200 μ m. cc=central canal.

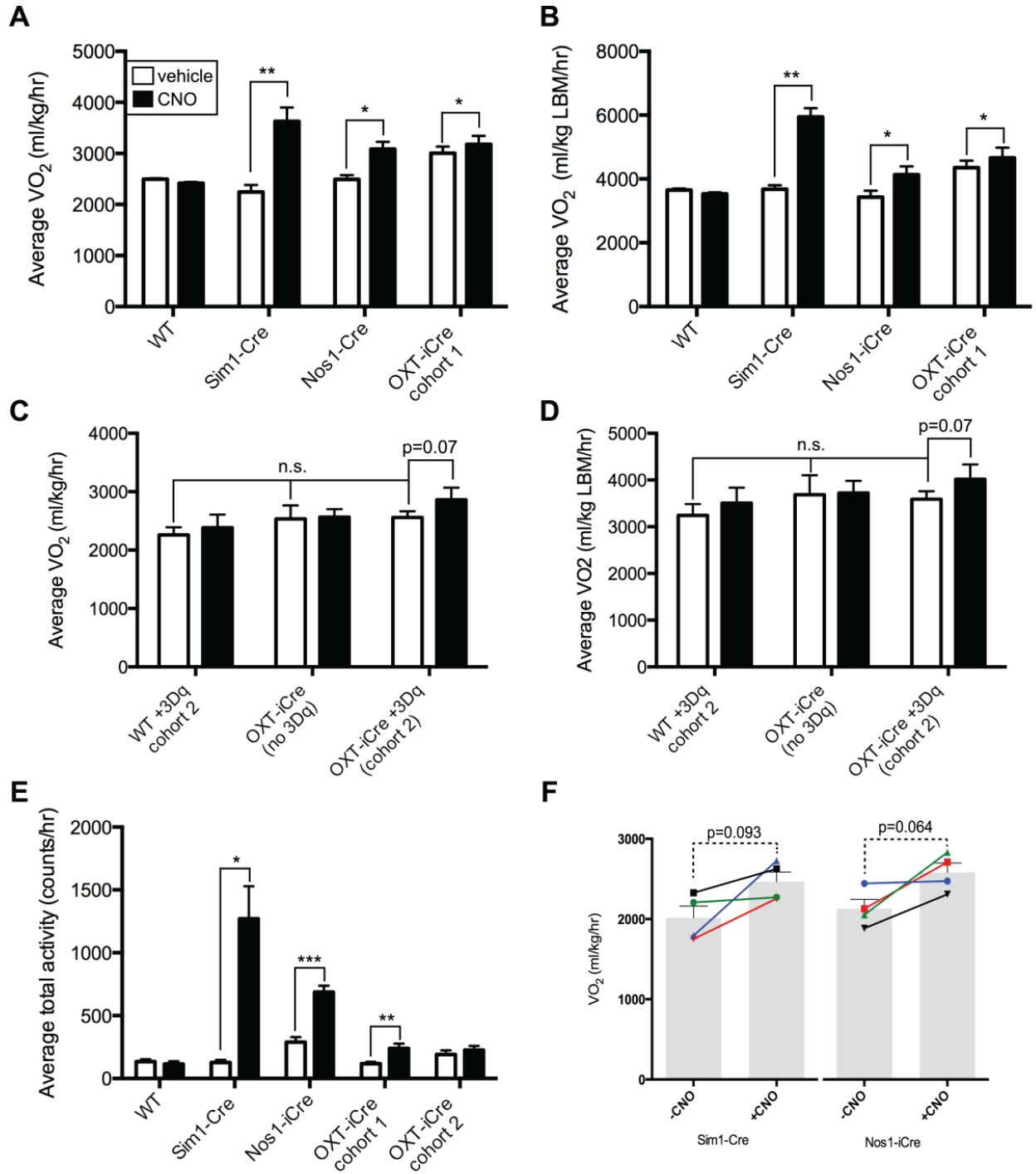


Figure 2.8. Acute activation of $Nos1^{PVH}$ neurons increases energy expenditure.

WT +AAV-hM3Dq, *Sim1-Cre* +AAV-hM3Dq, *Nos1-iCre* or *OXT-iCre* +AAV-hM3Dq mice were injected with vehicle (white bars) or CNO (black bars). Activation of $Sim1^{PVH}$, $Nos1^{PVH}$ or OXT^{PVH} neurons increases average oxygen consumption (A, B) and total activity (E) over four hours following injection. A second cohort of CNO-naïve mice also shows a trend towards increased 4-hour average VO_2 in response to activation of OXT^{PVH} neurons, while baseline VO_2 is unchanged in comparison to WT+3Dq or *OXT-iCre* mice without 3Dq injections (C, D) (WT n=5, *Sim1-Cre* n=4, *Nos1-iCre* n=4, *OXT-iCre cohort 1* n=10, WT cohort 2 n=4, *OXT-iCre +3Dq cohort 2* n=4, *OXT-iCre* n=4). To determine potential activity-independent changes in VO_2 , VO_2 was determined in *Sim1-Cre +3Dq* and *Nos1-iCre +3Dq* (F) mice before and after CNO treatment, at time points when locomotor activity was approximately matched at activity levels below a threshold value of 300 counts/hr (bars indicate average values \pm SEM, line segments indicate individual mice; *Sim1* n=4, *Nos1* n=4). Average values \pm SEM are shown, *p<0.05, **p<0.01, ***p<0.001 compared to vehicle values. Significance was determined using two-tailed paired t-test within groups or unpaired t-test between groups.

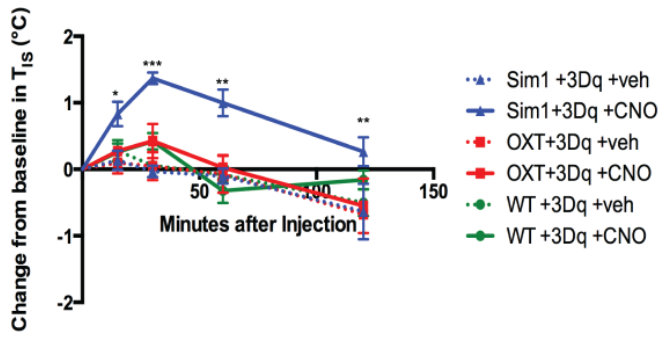
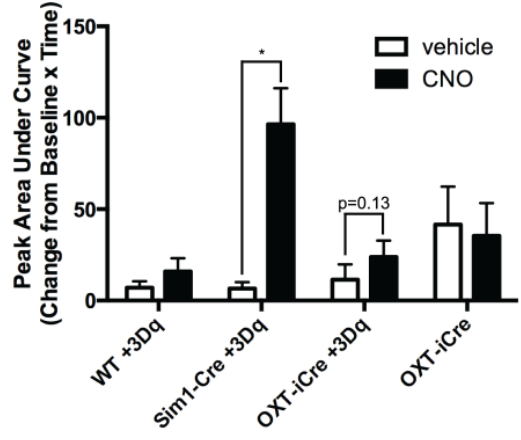
A**B**

Figure 2.9. Acute activation of $Sim1^{PVH}$ neurons increases subcutaneous intrascapular temperature. *Sim1-Cre +3Dq*, *OXT-iCre +3Dq* and *WT+3Dq* mice received temperature transponders in the subcutaneous intrascapular tissue directly above brown adipose tissue (BAT). Intrascapular temperature (T_{IS}) was measured before and after vehicle or CNO administration. T_{IS} is shown relative to baseline T_{IS} before and after injection of vehicle or CNO (A, dashed and solid lines, respectively) and also represented as peak area under the curve (B). Average values \pm SEM are shown, * $p < 0.05$, ** $p < 0.01$, *** $p < 0.001$ compared to vehicle values (*WT* $n=5$, *Sim1-Cre* $n=3$, *OXT-iCre* $n=4$). Significance was determined using repeated measures two-way ANOVA with Sidak multiple comparisons post-hoc test.

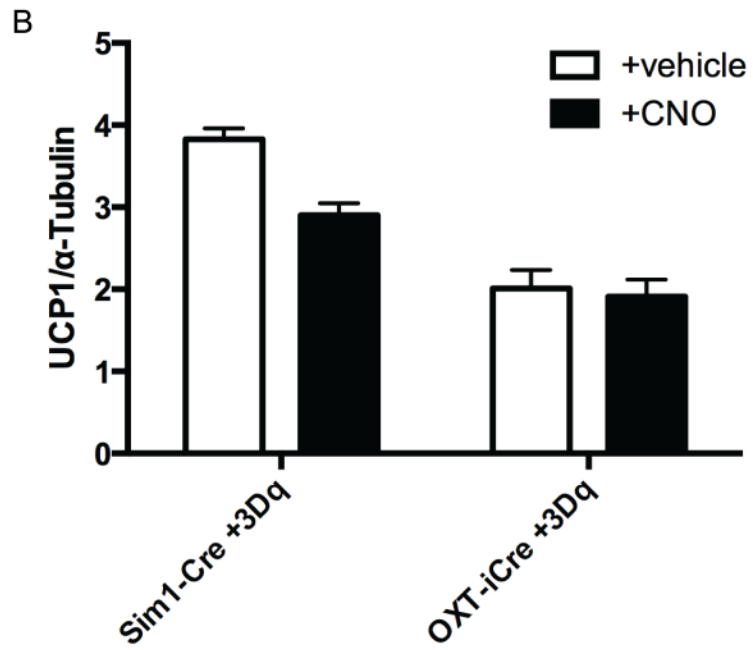
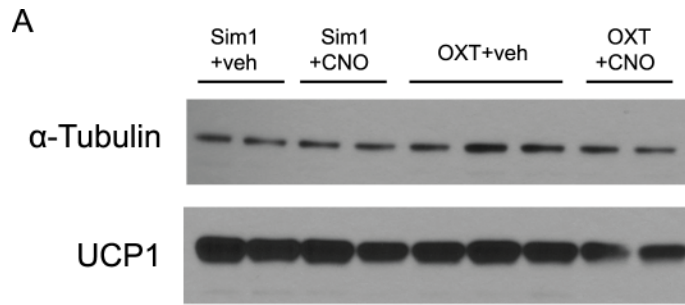


Figure 2.10. Acute activation of PVH neurons does not alter BAT UCP1 protein expression. BAT protein lysates were prepared from *Sim1-Cre +3Dq* and *OXT-iCre +3Dq* mice treated with either vehicle or CNO for 90 minutes. UCP1 protein levels are no different following *Sim1*^{PVH} (A, left) or *OXT*^{PVH} (A, right) activation with CNO in comparison to vehicle. B) Quantification of BAT UCP1 protein levels relative to the loading control, α -tubulin. (*Sim1* +vehicle (veh), n=2; *Sim1* +CNO, n=2; *OXT* +veh, n=3; *OXT* +CNO n=2).

Chapter III

Paraventricular hypothalamic IRS4 neuronal activity is required for energy homeostasis

Introduction

As information increases regarding the genetic variability associated with common obesity, it is clear that genetic polymorphisms affecting neural function and architecture in the central nervous system (CNS) are disproportionately correlated with obesity (1). Within the brain, the paraventricular nucleus of the hypothalamus (PVH) is a primary hypothalamic node essential for relaying information to non-hypothalamic structures in the hindbrain and spinal cord that control satiety and energy expenditure (2-4). Critical signals of overall energy balance to the PVH originate in the arcuate nucleus (ARC) from neurons that produce melanocortin agonists (α -MSH) or antagonists (AgRP) that ultimately act on melanocortin 4 receptors (Mc4R) in the PVH (5-9). Dysregulation of the entire PVH with site-directed lesions or inhibition of PVH Mc4R action using genetic mouse models both lead to similar levels of obesity (10-12). However, while obesity resulting from the disruption of PVH^{Mc4R} activity is entirely due to hyperphagia, lesions of the entire PVH result in dysregulation of both feeding and energy expenditure (12-14). Moreover, the genetic identity of the Mc4R-containing PVH population remains elusive, since it is clear that Mc4R action in the PVH cannot be ascribed to neural populations expressing oxytocin (OXT), vasopressin (AVP), or corticotropin-releasing hormone (CRH)(12).

While significant research has examined the role of $Mc4R^{PVH}$ neurons in the control of feeding behavior, it is clear that the role of the PVH in energy balance regulation is more complex than originally understood. PVH neurons are predominantly glutamatergic and highly interconnected, partly by PVH interneurons that may coordinate neural activity between PVH subpopulations, including OXT and AVP (15-17). The genetic identity of these connections and their significance in the functional control of PVH neuron populations is largely unknown. We have previously shown the capacity of a PVH population containing neuronal nitric oxide synthase 1 ($Nos1^{PVH}$) to reduce feeding upon chemogenetic activation (chapter II). The $Nos1^{PVH}$ population represents a relatively large percentage of PVH neurons as determined by Cre-dependent reporter labeling (~70%), and therefore may likely include both interneurons and parvocellular PVH populations projecting to hindbrain and spinal cord sites. The OXT^{PVH} neuronal population is almost entirely contained within the $Nos1^{PVH}$ field; it is capable of driving small changes in energy expenditure, but is not a component of the PVH's ability to suppress feeding. While this uncovers a role for non-OXT $Nos1^{PVH}$ neurons in the control of feeding, it is imperative to characterize the connectivity and role of smaller PVH subsets on the control of both feeding and energy expenditure in order to identify and understand potential targets for obesity. With this in mind, we characterized a non-OXT, non- $Nos1^{PVH}$, population expressing insulin receptor substrate 4 (IRS4) in the PVH that projects to both hindbrain and spinal cord regions. Surprisingly, $IRS4^{PVH}$ neuronal activity is necessary for normal feeding and bodyweight, but not energy expenditure, suggesting a functional role for multiple PVH populations in

the control of feeding behavior and energy expenditure. Additionally, we discovered that NTS-projecting and PBN-projecting IRS4^{PVH} neurons both receive dense innervation from local, non-IRS4, PVH neurons, supporting a role for an interconnected PVH network in the regulation of energy balance. Overall, our study proposes a novel framework for the regulation of bodyweight through multiple interconnected PVH populations that are, perhaps, independently capable of controlling distinct energy balance parameters including feeding and energy expenditure.

Materials and Methods

Experimental Animals

IRS4-ires-Cre (IRS4-iCre) mice were generated using methods previously described (18). Briefly, genomic DNA including the 3' UTR of the murine *Irs4* gene was PCR amplified from R1 ES cells and cloned into a plasmid for insertion of an Frt-flanked neomycin selection cassette followed by an internal ribosomal entry sequence fused to a Cre recombinase transgene (iCre) between the STOP codon and the polyadenylation site. Constructs were linearized and electroporated into R1 ES cells by the University of Michigan Transgenic Animal Model Core. Correctly targeted ES cells were identified by quantitative real-time PCR and Southern blots and then injected into C57Bl/6J blastocysts to generate chimeric animals. Chimeras were then bred to C57Bl/6J females to confirm germline transmission and generate the *IRS4-neo-iCre* mice. To remove the frt-flanked neo cassette, *IRS4-neo-iCre* mice were then bred to Flp deleter mice (Jax 012 930).

Adult male mice (8-12 weeks old) were used for all studies. *IRS4-iCre* mice bred to a Cre-dependent GFP reporter line to fluorescently label Cre-expressing IRS4 neurons resulted in germline recombination of loxP sites and subsequent ectopic GFP expression (19). When mice still containing the neo selection cassette (*IRS4-neo-iCre*) were crossed to *lox-GFP* mice germline recombination was not detected and IRS4^{PVH} neurons could be visualized. All animals were bred and housed within our colony according to guidelines approved by the University of Michigan Committee on the Care and Use of Animals. Unless otherwise noted, mice were provided *ad libitum* access to food and water. All mice were acclimatized to intraperitoneal (i.p.) injections three days prior to any experimental i.p. injection.

Generation of Cre-dependent rabies helper virus

TVA-2A-B19G was PCR amplified from the pAAV-EF1-TVA-B19G-GFP plasmid (Addgene #26197) and cloned into the TOPO cloning vector. SpeI and SacI restriction sites were added to the PCR product via TOPO and used to insert the product into the pAAV-hSyn-Flex vector (20).

Generation of modified rabies virus

EnvA- ΔG-mCherry and EnvA- ΔG-GFP were generated in the University of Michigan viral vector core using conditions previously described (21, 22).

Stereotaxic injections

Stereotaxic injections were performed in *IRS4-iCre* and non-transgenic (WT) mice as previously described (Chapter II). Briefly, mice were placed in a digital stereotaxic frame (Model 1900, Kopf Instruments) under isofluorane and provided with pre-surgical analgesia. Viral injections were performed using a pressurized picospritzer system coupled to a pulled glass micropipette (coordinates from bregma: PVH: A/P= -.500, M/L= +/- .220, D/V= -4.800). For tract tracing experiments, 50 nl of the adenoviral synaptophysin-mCherry terminal tracer (Ad-iN/syn-mCherry, (23)) was unilaterally injected in *IRS4-iCre* mice. For functional analysis of $IRS4^{PVH}$ neurons, bilateral PVH injections of AAV-Flex-hM3Dq-mCherry (AAV-Flex-hM3Dq, purchased from UNC Vector Core), AAV-DTA (Patrick Fuller, Harvard Medical School), AAV-Flex-TetTox (purchased from the Stanford Viral Vector core) or control injections of AAV-Flex-GFP were performed in *IRS4-iCre* or WT mice (50 nl/side). For analysis of monosynaptic upstream inputs to $IRS4^{PVH}$ neurons, *IRS4-iCre* mice were unilaterally injected with AAV-Flex-TVA-B19G in the PVH and allowed to recover for at least 21 days to ensure adequate helper virus expression throughout both cell bodies and terminals. Mice then underwent a second surgery with dual stereotaxic injections into ipsilateral PVH projection targets with rabies-GFP in the PBN (A/P=-4.770, M/L= +/- 1.35, D/V=-2.8) and rabies-mCherry injected into the NTS. NTS injections were performed as previously described, whereby the fourth ventricle was identified and used as a geographic landmark to determine the site of injection (Sutton AK). A glass micropipette was lowered into the site (D/V: -.550) and ~25nl of virus was injected. Mice injected with the Ad-iN/syn-mCherry tracer were individually housed for five days following injection to allow for viral transduction and protein transport before perfusion, whereas mice

injected with modified rabies virus were perfused seven days following rabies virus injections. Mice injected with AAV-Flex-hM3Dq were allowed to recover for fourteen days following surgery before further experiments were performed, whereas bodyweight and food intake analysis on mice injected with AAV-Flex-TetTox began seven days following surgery.

Longitudinal bodyweight, food intake, and calorimetry measurements

Mice injected with AAV-DTA, AAV-Flex-TetTox, or control viruses were allowed to recover for 7 days before weekly body weight and food intake measurements began. Two weeks after surgery, blood glucose was measured following a four-hour fast (AAV-DTA only). Energy expenditure was monitored using the Comprehensive Laboratory Monitoring System (CLAMS, Columbus Instruments) in the University of Michigan's Small Animal Phenotyping Core to obtain multi-parameter analysis including open circuit calorimetry and activity via optical beam breaks 11 (AAV-Flex-TetTox) or 25 (AAV-DTA) days post injection. Mice were allowed to acclimatize to the chambers for two days, followed by VO₂ and locomotor activity data collection for three consecutive days. Body composition analysis (EchoMRI) was performed prior to CLAMS measurements, as well as 7 weeks following injection (AAV-Flex-TetTox only).

MT-II-induced feeding suppression

Five weeks following viral injection, *IRS4-iCre* mice injected with AAV-Flex-TetTox and appropriate controls were fasted during the light cycle (9:00-18:00). At the onset of the dark cycle, mice received an i.p. injection of PBS or melanotan-II (MT-II, 150 ug/mouse,

Bachem) and *ad libitum* access to food. Food intake was measured two and four hours post injection. The following week, injections were counterbalanced and corresponding food intake measured.

Effect of PVH^{IRS4} neuronal activation on feeding and energy expenditure

Following recovery, *IRS4-iCre* +AAV-Flex-hM3Dq mice underwent feeding and energy expenditure assays as previously described. Briefly, to measure changes in energy expenditure, *IRS4-iCre* +AAV-Flex-hM3Dq mice were acclimatized to CLAMS units for two consecutive days. Importantly, energy expenditure measurements were performed in mice naïve to CNO, since previous results suggest CNO-induced increases in baseline VO₂ (Chapter II). Following acclimatization, food was removed from metabolic cages during the light cycle on experimental days beginning two hours prior to experiments. Mice received an i.p. injection of vehicle (10% β-cyclodextrin, Sigma) and CLAMS measurements analyzed for the following four hours. Mice remained in the chambers with food access at the onset of the dark cycle and the experiment repeated at the same time the following day instead with i.p. injection of CNO (0.3 mg/kg in 10% B-cyclodextrin). In experiments aimed to identify satiety induced by IRS4^{PVH} neuronal activation, mice were fasted during the day and received an i.p. injection of vehicle at the onset of the dark cycle with the presentation of food. Food intake was measured at two and four hours after injection and the experiment repeated the following day upon injection of CNO at the onset of the dark cycle. With the exception of two mice, the majority of *IRS4-iCre* +AAV-Flex-hM3Dq mice used for CLAMS were also used for feeding suppression assays, and therefore feeding suppression was not performed in

CNO-naïve mice. No statistical changes were identified between the two groups in either baseline feeding or CNO-mediated feeding suppression (unpaired t-test, vehicle: $p=0.71$; CNO: $p=0.47$). Data collected in Chapter II was used to compare AAV-Flex-hM3Dq results to the physiologic outcomes following activation of other PVH subsets.

Perfusion and Immunohistochemistry (IHC)

At the end of all experiments, mice were perfused to verify viral injection sites. Briefly, mice were deeply anesthetized with an overdose of pentobarbital (150 mg/kg, IP) and transcardially perfused with sterile PBS followed by 10% neutral buffered formalin or 4% paraformaldehyde (for perfusions with spinal cord removal). Epididymal and perirenal fat pad weights were dissected and weighed following perfusion in mice injected with AAV-DTA or AAV-Flex-TetTox. Brains and spinal cords (syn-mCherry injections only) were removed, post-fixed, and dehydrated in 30% sucrose before sectioning into 30 μm slices on a freezing microtome (Leica). Coronal brain sections were collected in four representative sections whereas longitudinal thoracic spinal cord sections were collected in three representative sections and stored at -20°C . For 2A peptide immunohistochemistry (IHC), free floating brain and spinal cord sections were pretreated with 30% H_2O_2 to remove endogenous peroxidase activity and then blocked with normal goat or donkey serum and incubated in primary antibody overnight (rabbit anti-2A 1:1,000, Millipore). Detection of primary antibody was performed by avidin-biotin/diaminobenzidine (DAB) method (Biotin-SP-conjugated Donkey Anti-Rabbit, Jackson Immunoresearch, 1:200; ABC kit, Vector Labs; DAB reagents, Sigma). mCherry and choline acetyltransferase (ChAT) were detected using with primary

antibodies for dsRed (rabbit 1:1000, Clontech, 632496) and ChAT (spinal cords only; goat, 1:500, Millipore AB144P) respectively followed by secondary immunofluorescence detection with donkey anti-rabbit-Alexa 568 or donkey anti-goat-Alexa 488 (1:200, Invitrogen). For PVH colocalization and rabies experiments, IHC immunostaining was performed using primary antibodies for GFP (rabbit 1:20,000, Invitrogen A6455), nNos1 (sheep 1:1500, (24), kindly provided by Dr. Vincent Prevot), neurophysin (goat, 1:1000, Santa Cruz Biotechnology, sc-7810) and copeptin (goat 1:1000, Santa Cruz Biotechnology, sc-7812). For all AAV-DTA and AAV-hM3Dq injections, bilateral PVH hit sites were verified and misses eliminated from data analysis. Imaging was performed using an Olympus BX-51 upright microscope with DP30BW camera (Olympus, Figures 3.1B-C, 3.2, 3.4, Figure 3.2D-E,), Nikon 90i upright microscope (Nikon) with CoolSNAP HQ2 CD camera (Photometrics, Figures 3.1K-N, 6), or an Olympus BX-53 upright microscope (Olympus) with G6000 camera (Q imaging, Figures 3.1D, 3.3, Figure 3.2B-C, Figure 3.5-3).

Statistical Analysis

Paired t-tests, one-way ANOVAs or two-way ANOVAs followed by Tukey or Sidak's post-hoc tests were calculated using GraphPad Prism 6 as appropriate. Significance was determined for $p < 0.05$.

Results

IRS4 neurons are distinct from other PVH populations

Recent reports indicate the necessity of IRS4 and IRS2 synergy in neurons lacking the leptin receptor (LepRb) in obesity prevention (25). Since *in situ* hybridization results (Allen Brain Atlas) reveal dense *Irs4* expression in the PVH, a site lacking significant LepRb production, we sought to determine the role of IRS4^{PVH} neurons on energy homeostasis using Cre-dependent technology in combination with an *IRS4-iCre* mouse model. The *Irs4* gene is located on the X chromosome leading to random X inactivation of Cre activity in female mice, therefore male mice were used exclusively in all studies. Initial studies aimed at determining the overlap of PVH populations with IRS4^{PVH} neurons using a Cre-dependent GFP reporter (*lox-GFP*) led to germline recombination and systemic GFP expression. Therefore, Cre-activity driven by *Irs4* expression was instead identified using injection of a Cre-dependent GFP reporter virus in the PVH of *IRS4-iCre* mice (Figure 3.1A). Of note, this approach eliminates the possibility of overrepresentation of the IRS4^{PVH} population due to developmental *Irs4* expression and more accurately aligns with the Cre-dependent viral technology used throughout this study. Brains stained for GFP (indicating *IRS4-iCre* activity) and neurophysin I, the carrier protein for oxytocin, indicate that adult IRS4^{PVH} neurons do not substantially overlap with OXT^{PVH} neurons (Figure 3.1B). Since our previous work revealed that non-OXT Nos1^{PVH} neurons are capable of controlling feeding, we hypothesized that IRS4^{PVH} neurons might be contained within the Nos1^{PVH} field. Immunoreactivity for Nos1 peptide and GFP in *IRS4-iCre* mice injected with AAV-Flex-GFP demonstrates that IRS4^{PVH} neurons are in fact a separate population from Nos1 as well (Figure 3.1C). Lastly, since AVP^{PVH} neurons are also able to modestly control feeding, we determined if IRS4^{PVH} neurons are distinct from the AVP^{PVH} population. Indeed, GFP-identified PVH neurons

representing *IRS4-iCre* activity do not overlap substantially with immunoreactivity for copeptin, the carrier molecule for AVP (Figure 3.1D). To corroborate these results, mice still harboring the neo selection cassette upstream of *ires-Cre* were crossed to *lox-GFP* mice in an attempt to eliminate the ectopic, germline GFP expression (Figure 3.2A). Indeed, GFP expression, indicating *IRS4-neo-iCre* activity, is identified in the PVH (Figure 3.2B). Using this approach, $IRS4^{PVH}$ neurons do not show significant overlap with OXT^{PVH} , AVP^{PVH} , or $Nos1^{PVH}$ neurons (Figure 3.2C-E, respectively). Taken together, these data suggest that $IRS4^{PVH}$ neurons represent a novel PVH subpopulation.

IRS4^{PVH} neurons project to hindbrain and spinal cord regions

To determine the neural circuits engaged by $IRS4^{PVH}$ neurons, we identified their synaptic targets using PVH-directed injection of a Cre-dependent adenovirus, synaptophysin-mCherry (syn-mCherry), capable of highlighting neuronal synaptic terminals in *IRS4-iCre* mice. Unilateral injection of syn-mCherry identifies robust $IRS4^{PVH}$ projections to hindbrain projection targets including the parabrachial nucleus (PBN), nucleus of the solitary tract (NTS), and the dorsal motor nucleus of the vagus (DMV) (Figure 3.3A-C). $IRS4^{PVH}$ neurons also send projections to the median eminence, a site important for endocrine control through the pituitary (Figure 3.3D). In addition to hindbrain regions, syn-mCherry projections were identified in the intermediolateral column (IML) of the thoracic spinal cord in close proximity to choline acetyltransferase (ChAT)-producing neurons, suggesting potential control of sympathetic activity via $IRS4^{PVH}$ neurons (Figure 3.3E). Of note, these results are

strikingly similar to projections originating from Nos1^{PVH} neurons, as previously shown (Figure 2.2F-I).

Modified rabies virus identifies projection-specific regulation of IRS4^{PVH} neurons

Since IRS4^{PVH} neurons are separate from Nos1^{PVH} neurons, yet also project to hindbrain and spinal cord sites important for feeding and energy expenditure, we sought to determine the neuroanatomical regulation of IRS4^{PVH} neurons by sites throughout the brain. We hypothesized that regulation of distinct IRS4^{PVH} circuits might differ based on IRS4^{PVH} neuronal projection site, and therefore identified monosynaptic inputs to either NTS-projecting or PBN-projecting IRS4^{PVH} neurons in the same brain. We employed a modified rabies virus approach that requires Cre-dependent helper virus (AAV-Flex-TVA-B19G) expression. Due to limited efficacy with previously used helper virus reagents, we generated a Cre-dependent helper virus that co-expresses both the TVA receptor and B19 glycoprotein (B19G) using a 2A peptide linker (Figure 3.4A). Modified rabies virus (EnvA-ΔG-mCherry (rabies-mCherry) or EnvA-ΔG-GFP (rabies-GFP)) cannot enter cells without the TVA receptor; it is also modified to express a fluorescent protein (mCherry or GFP) instead of B19G (21, 22). Therefore, infection of the modified rabies virus requires prior helper virus expression of the TVA receptor. Furthermore, B19G (expressed by the helper virus) is required for retrograde transport of rabies-mCherry or rabies-GFP (Figure 3.4B,C). This is demonstrated by a lack of rabies-mCherry expression in the brain of *Sim1-Cre* mice in which the helper virus injection missed the PVH (determined by a lack of 2A staining in the PVH), despite rabies-mCherry injection into the NTS (Figure 3.5A). With this approach, non-Cre expressing

neurons upstream of primary infected neurons do not have B19G, thereby identifying monosynaptic inputs to IRS4^{PVH} neurons with rabies-mCherry or rabies-GFP.

TVA receptor is expressed throughout the cell body and at synaptic terminals, thereby allowing terminal-specific rabies infection (26). In order to characterize inputs to projection-specified IRS4^{PVH} neurons, we performed dual rabies virus injections at disparate projection targets in the same mouse. Specifically, three weeks following helper virus injection, rabies-mCherry was injected in the NTS whereas rabies-GFP was injected into the PBN. Moderate neuronal gliosis at the site of rabies virus injection occurs and therefore allows for injection site verification (Figure 3.4K-L). While IRS4^{PVH} neurons that project to the NTS or PBN are largely distinct, some neuronal co-localization between GFP and mCherry identifies neurons that regulate both the NTS and PBN (Figure 3.4E-G).

With this technique, it cannot be determined whether these overlapping PVH populations are non-IRS4 afferents to NTS-projecting and PBN-projecting neurons, or whether IRS4^{PVH} neurons send collateral projections to both targets. To verify results, both versions of rabies virus (rabies-mCherry + rabies-GFP) were mixed and injected in the PBN of *Sim1-Cre* mice previously injected with helper virus in the PVH. While PVH neurons co-labeled GFP and mCherry, rabies-GFP expression was markedly reduced in comparison to rabies-mCherry, suggesting attenuated efficacy of rabies-GFP (Figure 3.5C-D). Therefore, results obtained using dual-rabies virus injection in the same mouse likely underreport neuronal regulators of PBN-projecting IRS4^{PVH} populations. Moreover, these control injections indicate that very few neurons upstream of PVH populations are capable of co-expressing rabies-GFP and rabies-mCherry (Figure 3.5E-

F). Therefore, although it appears that regulators of PBN-projecting or NTS-projecting $IRS4^{PVH}$ neurons are predominantly distinct, it is still possible that some of the same neuronal inputs are regulating both populations. Nonetheless, our findings are consistent with previous literature evaluating PVH circuitry, demonstrating that the arcuate nucleus (ARC) is the main region upstream of NTS-projecting $IRS4^{PVH}$ neurons (Figure 3.4G). Additional hypothalamic sites regulating NTS-projecting $IRS4^{PVH}$ neurons include the lateral hypothalamic area (LHA) and the dorsomedial hypothalamus (DMH), whereas sites upstream of PBN-projecting $IRS4^{PVH}$ neurons largely include the ventromedial hypothalamus (VMH) and LHA. Some LHA neurons co-express GFP and mCherry, suggesting dual-regulation of the $IRS4^{PVH}$ -PBN and $IRS4^{PVH}$ -NTS circuits. Forebrain sites including the preoptic area (POA) and bed nucleus of the stria terminalis (BNST) include largely non-overlapping populations upstream of both $IRS4^{PVH}$ circuits, suggesting distinct forebrain circuits capable of regulating $IRS4^{PVH}$ neuronal function. Although few midbrain or hindbrain regions express rabies-mCherry or rabies-GFP, rabies-mCherry identifies lateral PBN neurons upstream of NTS-projecting $IRS4^{PVH}$ neurons, thereby connecting multiple PVH projection targets to one another via $IRS4^{PVH}$ neurons.

$IRS4^{PVH}$ neurons are capable of regulating both feeding and energy expenditure

Since $IRS4^{PVH}$ neuronal populations receive dense input from other hypothalamic regions important for relaying information regarding an organism's energy status, we aimed to determine the sufficiency of these neurons in regulating distinct aspects of energy balance. Thus, we used Cre-dependent DREADD (Designer Receptors

Exclusively Activated by Designer Drugs) viruses that enable acute modulation of neuronal activity using a modified G-protein coupled receptor in response to peripheral injection of an otherwise inert compound, clozapine N-oxide (CNO). In this way, bilateral injection of the Cre-dependent G_q-coupled DREADD (AAV-Flex-hM3Dq) allows for remote neuronal activation in response to CNO administration (Figure 2.5). To achieve remote activation of IRS4^{PVH} neurons, we performed bilateral PVH injection of AAV-Flex-hM3Dq in *IRS4-iCre* mice (Figure 3.6A-C). Following recovery, mice were fasted during the day and injected with either vehicle or CNO at the onset of the dark cycle, when feeding normally occurs. Activation of IRS4^{PVH} neurons results in robust suppression of feeding (Figure 3.6D). In an attempt to compare the ability of the IRS4^{PVH} subpopulation to regulate feeding to other PVH populations previously studied, the change in feeding resulting from PVH neuronal activation detailed in Chapter II using the same experimental parameters was grossly compared to IRS4^{PVH} neuronal activation results. Indeed, activation of IRS4^{PVH} neurons is capable of suppressing feeding to a similar degree as activation of the entire PVH (using *Sim1-Cre*) or Nos1^{PVH} neurons (Figure 3.6E). To test the ability of IRS4^{PVH} neurons to regulate energy expenditure, the same mice were placed in metabolic chambers where oxygen consumption (VO₂) and locomotor activity were measured. In the absence of food, activation of IRS4^{PVH} neurons increases both VO₂ and total activity (Figure 3.6F, H, J). As with feeding data, a similar comparison was performed to other PVH populations previously studied, suggesting that IRS4^{PVH} neuronal activation does not increase VO₂ to the same degree as total PVH activation, even though it is likely more than that achieved with Nos1^{PVH} or OXT^{PVH} neuronal activation (Figure 3.6I). Some of these

changes in VO_2 appear to be independent of locomotor activity, since the change in activity achieved by $IRS4^{PVH}$ neuronal activation is less than that achieved by total PVH activation (Figure 3.6G).

$IRS4^{PVH}$ neurons are necessary for normal feeding and energy balance

To test the necessity of $IRS4^{PVH}$ neurons for body weight regulation, we used bilateral injection of a Cre-dependent diphtheria toxin virus (AAV-DTA) in *IRS4-iCre* mice, to ablate neurons in adult mice (Figure 3.7A). In comparison to control Cre-dependent viral injections into the PVH, mice with $IRS4^{PVH}$ neuronal ablation show robust obesity driven by hyperphagia within three weeks following viral injection (Figure 3.7B-E). This is demonstrated by analyzing 7 day food intake prior to the onset of significant obesity, from 14-21 days following injection, in which AAV-DTA injected mice eat significantly more than controls (Figure 3.7G). In contrast, AAV-DTA mice did not show any difference in energy expenditure as measured by oxygen consumption and total X activity when measured days 25-28 days following injection, despite elevated lean body mass (Figure 3.7I-L). Analysis of fat pad weights at the end of the study indicates that both epididymal and perirenal fat pad weights are increased after $IRS4^{PVH}$ neuronal ablation. Importantly, bilateral injection of AAV-DTA in the PVH of wildtype mice not expressing Cre recombinase does not change bodyweight or feeding (Figure 3.8A-D).

Although $IRS4^{PVH}$ neuronal ablation showed robust changes in energy balance, post-study immunohistochemical verification of PVH hit sites identified alteration in PVH architecture and decreased expression of non- $IRS4^{PVH}$ neuronal populations in comparison to control injections (Figure 3.8E, 2F). Since AAV-DTA injections in control

animals did not show any expression (data not shown), it is possible that DTA-mediated $IRS4^{PVH}$ neuronal ablation is inherently hazardous to surrounding neurons and therefore PVH architecture changes in response to varying degrees of PVH cell death. As this potentially confounds results obtained from $IRS4^{PVH}$ neuronal ablation, we sought to permanently silence $IRS4^{PVH}$ neurons using a Cre-dependent tetanus toxin virus (AAV-Flex-TetTox) that cleaves the SNARE protein, synaptobrevin, and inhibits synaptic vesicle release (27). This construct has been modified to express the A subunit and therefore does not travel retrogradely, limiting neuronal silencing to $IRS4^{PVH}$ neurons (28) (Figure 3.9A). Similar to $IRS4^{PVH}$ neuronal ablation, $IRS4^{PVH}$ neuronal silencing in adult mice results in rapid hyperphagia and corresponding obesity in comparison to *IRS4-iCre* mice injected with a control virus or WT mice injected with AAV-Flex-TetTox (Figure 3.9B-D), with no changes in energy expenditure or body composition measured prior to the onset of obesity (Figure 3.9E-I). Given the important role of the PVH in mediating melanocortin-induced satiety, and the identification of numerous ARC inputs to $IRS4^{PVH}$ neurons, we tested the ability of the melanocortin agonist MTII to drive changes in dark cycle feeding in mice without the ability to transmit information from $IRS4^{PVH}$ neurons. Although MTII injection (150 ug/mouse) was able to suppress both two and four hour feeding in both control cohorts, this response was greatly diminished in mice with $IRS4^{PVH}$ neuronal silencing suggesting that $IRS4^{PVH}$ neuron activity is required for the melanocortin response (Figure 3.9J). Post-hoc IHC analysis of *IRS4-iCre +AAV-Flex-TetTox* injections is ongoing to validate PVH hit sites. Since preliminary results suggest that only 1/4 *IRS4-iCre* mice display appropriate bilateral AAV-Flex-

TetTox expression, additional cohorts will likely be required to further analyze the effect of IRS4^{PVH} neuronal silencing.

Discussion

Recent advances in genetic mouse models used in combination with chemogenetic and optogenetic tools have greatly advanced our understanding of the role of the PVH in controlling energy balance through feeding and/or energy expenditure. Nonetheless, few studies have genetically identified specific PVH populations crucial for these functions. It is now well established that Mc4R^{PVH} neurons are critical for feeding but seem dispensable for energy expenditure regulation (12, 13, 29, 30). The role of other PVH populations in the control of feeding behavior and energy balance has not been well characterized. Our previous work suggests the importance of non-OXT Nos1^{PVH} population in feeding regulation (Chapter II). Here, we identify a smaller, non-OXT, and non-Nos1^{PVH} population expressing *insulin receptor substrate 4* that is necessary for normal feeding and bodyweight maintenance.

While our previous studies narrowed down the PVH population capable of controlling feeding, it was pressing to determine if there is a unifying genetic identity for PVH “feeding” neurons. We have found that multiple PVH populations (Sim1, Nos1, and now IRS4) are capable of coordinating feeding behavior. Interestingly IRS4^{PVH} neurons do not express Nos1 peptide, yet are both necessary and sufficient in the regulation of feeding and bodyweight maintenance. To test necessity, multiple experimental methods were taken to achieve either ablation or silencing of IRS4^{PVH} neurons, with similar resultant phenotypes. Our initial attempt at IRS4^{PVH} neuronal

ablation appears to alter PVH architecture and therefore confounds our interpretation of the resulting effects on energy balance parameters. Given that the PVH is overwhelmingly glutamatergic, it is possible that DTA-induced IRS4^{PVH} neuronal cell death results in significant glutamate release within the PVH causing local excitotoxicity in non-Cre (and therefore non-DTA-expressing) neurons (17, 31). Still, preliminary studies suggest that permanent inhibition of IRS4^{PVH}-specific synaptic release also resulted in robust obesity due to altered feeding, but not energy expenditure. In contrast, chemogenetic activation using AAV-Flex-hM3Dq indicates IRS4^{PVH} neurons are capable of controlling both feeding behavior and energy expenditure. While changes in oxygen consumption are seemingly larger than results obtained from total PVH activation from previous studies, this could be partially due to non-activity induced changes in energy expenditure since total activity changes in response to IRS4^{PVH} neuronal activation are less than that obtained when activating all PVH neurons. Additionally, the sufficiency of IRS4^{PVH} neurons to change energy expenditure in an acute setting, despite not being necessary for normal energy expenditure, is interesting. A plausible explanation for this phenomenon could be the likely connection of IRS4^{PVH} neurons with local PVH circuits, causing large-scale activation of other PVH neurons resulting in an “indirect” energy expenditure effect (15, 17).

The circuitry used by IRS4^{PVH} neurons to regulate feeding and energy expenditure are similar to those investigated for Nos1^{PVH} neurons, since IRS4^{PVH} neurons also project densely to hindbrain sites including the PBN and NTS, as well as sending sparse projections to the spinal cord. While our studies do not indicate which projection site is relevant for controlling these distinct physiologic responses, it is likely

that IRS4^{PVH} neurons projecting to the PBN control feeding behavior since the PBN is the relevant output in Mc4R-mediated feeding suppression (29). Further investigation will be required to determine whether IRS4^{PVH} neurons are part of this Mc4R^{PVH} population that controls feeding through the PBN, or whether distinct PVH populations control feeding and energy expenditure via disparate projection sites (e.g. PBN vs. NTS vs. IML). Although it is possible that some IRS4^{PVH} neurons express Mc4R, it is likely that many do not, since IRS4^{PVH} neurons can control energy expenditure whereas Mc4R^{PVH} neurons do not (29). Moreover, it is unclear if Mc4R^{PVH} neurons are capable of suppressing feeding to the same extent as the entire PVH, suggesting the potential for non-Mc4R dependent control of feeding behavior by the PVH.

Since IRS4^{PVH} and Nos1^{PVH} neuronal populations are distinct and both capable of driving increased energy expenditure, it is not surprising that IRS4^{PVH} neurons are dispensable in the control of energy expenditure. However, whether this reflects redundancy in the PVH in energy expenditure regulation is unknown. It is certainly possible that non-IRS4^{PVH} neuronal populations (i.e. Nos1) are necessary for energy expenditure control, despite the ability for IRS4^{PVH} neurons to drive energy expenditure changes. Certainly, this is the case for PVH circuits driving satiety since the necessity of IRS4^{PVH} neurons in the control of feeding indicate that the Nos1^{PVH} circuit requires additional PVH populations to achieve feeding suppression upon chemogenetic activation. This finding raises the possibility that PVH interconnectivity is functionally relevant in feeding control, and potentially energy expenditure. Certainly, connections between separate PVH populations are well documented (17, 32-34). Moreover, monosynaptic retrograde tracing from hindbrain-projecting IRS4^{PVH} neurons suggest

dense PVH interconnectivity; this finding suggests that PVH populations have the potential to function as a large unit and coordinately regulate hindbrain structures.

The PVH has been well documented as a hypothalamic relay station, situated to receive dense innervation from sites critical for feeding and energy expenditure regulation and transmit this information to hindbrain sites to achieve corresponding physiologic and behavioral responses (5, 35-37). Yet, studies to date have not been able to piece together multiple components engaging projection-specific PVH circuits. Here, we identify unique regulators of NTS-projecting and PBN-projecting IRS4^{PVH} neurons. We demonstrate that NTS-projecting IRS4^{PVH} neurons receive dense innervation from the ARC, confirming this approach in a well-defined circuit. Moreover, the relevance of this circuit is illustrated by the inability of melanocortin agonists to reduce feeding in mice in which IRS4^{PVH} neurons have been silenced. Although these studies were unable to fully characterize ARC inputs to IRS4^{PVH} populations due to technical limitations, it is possible that POMC^{ARC} neurons are directly upstream of the IRS4^{PVH} population. Additionally, PBN-projecting IRS4^{PVH} neurons receive innervation from the VMH. Given the role for direct PVH connections to the PBN in mediating Mc4R-induced satiety, it is possible that the VMH-IRS4^{PVH}-PBN circuit is relevant in feeding control, though future studies will be necessary to determine this (29). Results obtained from retrograde tracing indicate that inputs to IRS4^{PVH} neurons projecting to the hindbrain are largely hypothalamic, further validating the role for the PVH as a hypothalamic relay station.

Taken together, our results demonstrate that IRS4^{PVH} neurons are a unique population capable of controlling feeding behavior and overall energy balance,

presumably through projections to hindbrain and spinal cord regions. Moreover, synaptic release from PVH neurons containing IRS4 is essential in preventing hyperphagia and obesity. Furthermore, we identify the potential for an interconnected PVH in the regulation of hindbrain structures previously shown to control energy balance parameters. While the significance of this communication between PVH subpopulations in the control of distinct aspects of energy balance remains to be elucidated, it is apparent that further characterization of the composition and circuitry of individual PVH populations is necessary to fully understand the control of feeding and energy expenditure by an essential hypothalamic output center.

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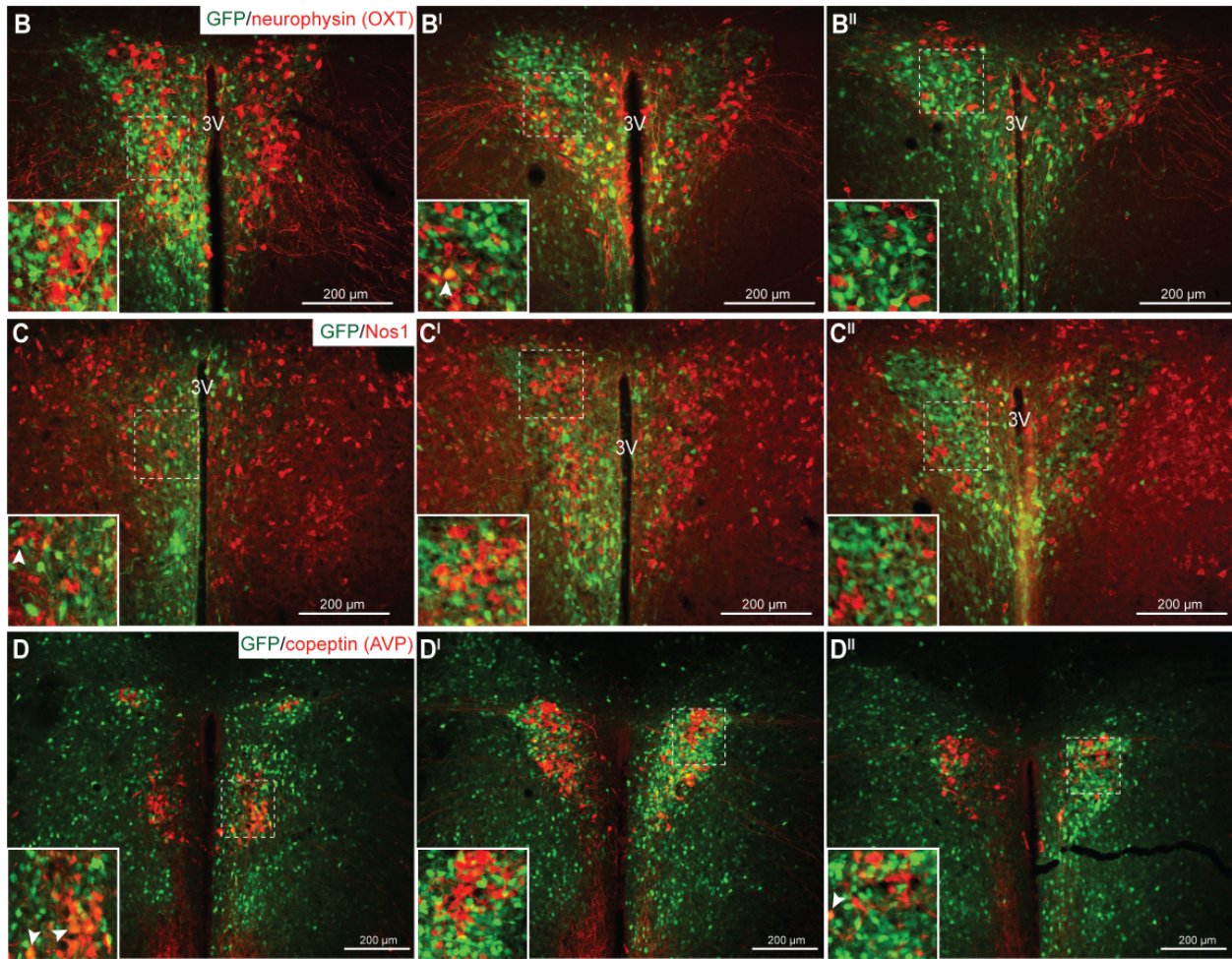
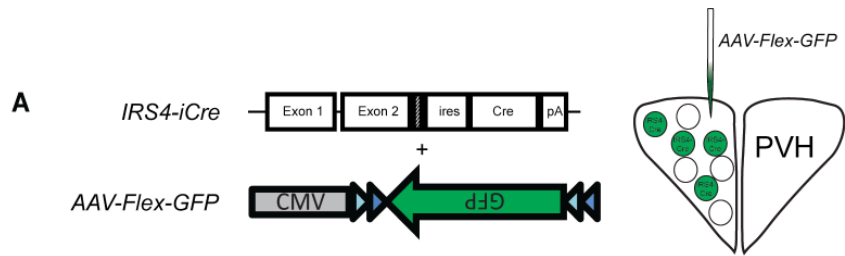


Figure 3.1. IRS4 neurons comprise a unique PVH population. A) Adult *IRS4-iCre* mice were stereotaxically injected with a Cre-dependent GFP reporter virus (AAV-Flex-GFP) in the PVH to visualize Cre-expressing neurons in the adult mouse. B) Immunohistochemistry (IHC) in the PVH demonstrates limited co-localization between $IRS4^{PVH}$ neurons (green) and oxytocin (OXT, red) neurons (identified with the OXT carrier molecule, neurophysin). C-D) IHC for GFP (green) and Nos1 (C, red) or copeptin (D, red) indicates that few $IRS4^{PVH}$ neurons overlap with Nos1 or AVP neurons in the PVH. Dashed boxes indicate regions that are digitally enlarged and shown as insets. White arrowheads indicate the few overlapping $IRS4^{PVH}$ neurons with respective PVH populations. 3V=third ventricle.

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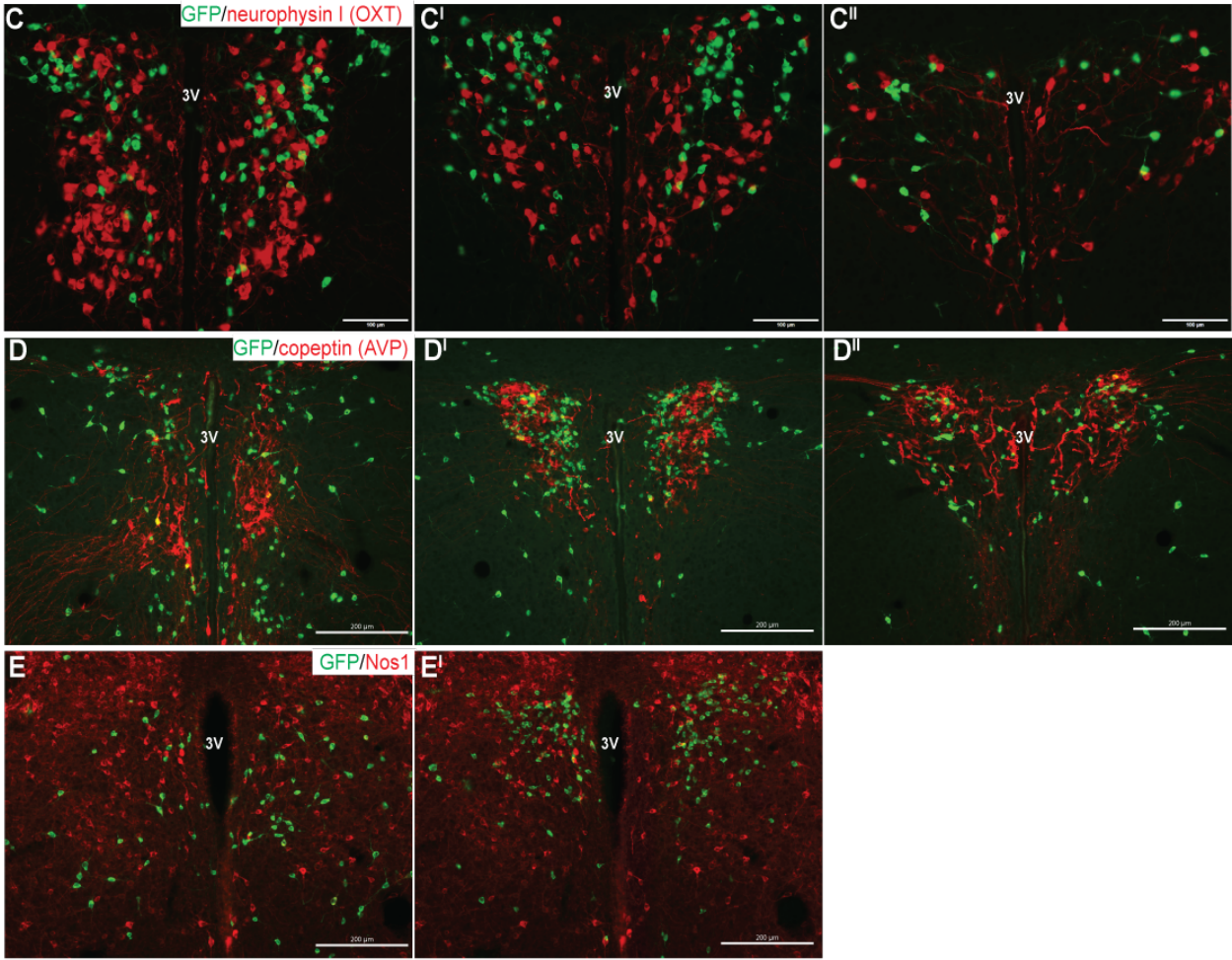
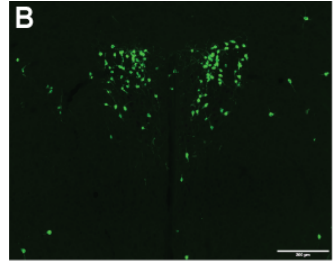
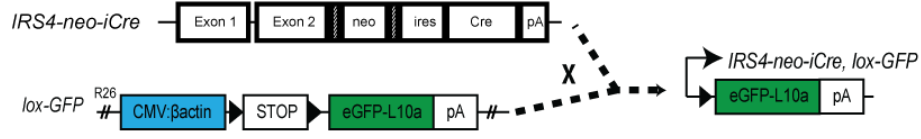


Figure 3.2. Cre-dependent GFP reporter mice allow for further characterization of IRS4^{PVH} neurons. A) *IRS4-iCre* mice still expressing the neo selection cassette (*IRS4-neo-iCre*) were used to avoid systemic GFP expression resulting from germline recombination of Cre-dependent reporter alleles during development. Upon crossing to the Cre-dependent *lox-GFP* mouse line, IRS4neo^{PVH} neurons can be visualized in the PVH (B). C-E) IHC for neurophysin (C), copeptin (D), and Nos1 (E), demonstrates little overlap between IRS4neo^{PVH} neurons and OXT, AVP, or Nos1 respectively. 3V=third ventricle.

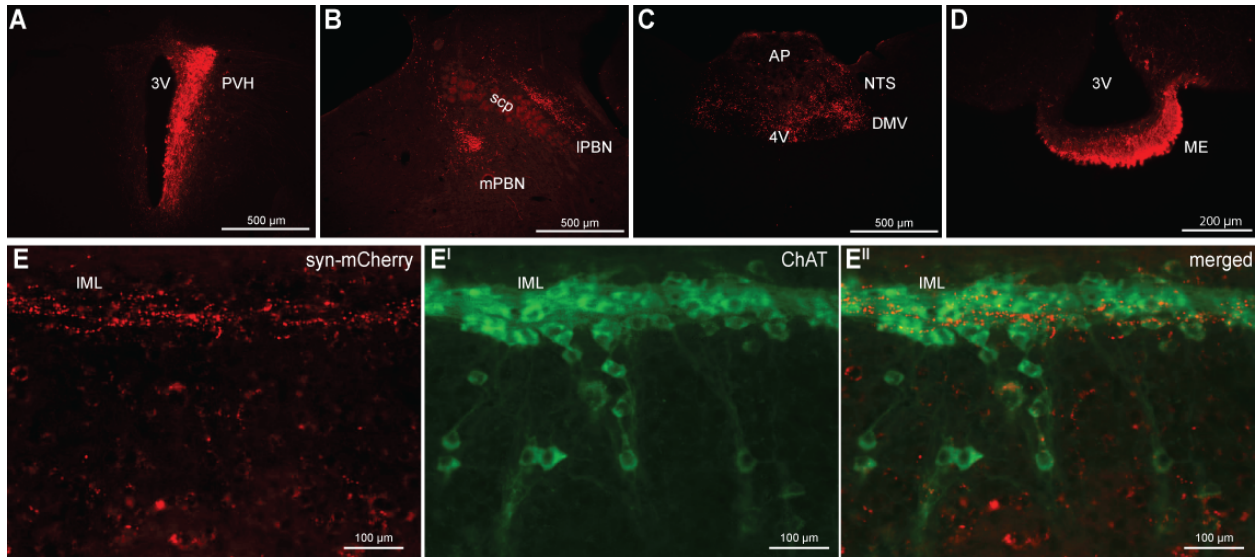


Figure 3.3. IRS4^{PVH} neurons send direct projections to hindbrain and spinal cord regions. A) A Cre-dependent synaptophysin-mCherry adenovirus (syn-mCherry) was unilaterally injected in the PVH of *IRS4-iCre* mice to trace synaptic terminals throughout the brain. B-C) IHC identification of syn-mCherry terminals is observed in hindbrain regions including the medial and lateral parabrachial nucleus (mPBN, IPBN, respectively, B), as well as the dorsal motor nucleus of the vagus (DMV) and nucleus of the solitary tract (NTS, C). D) IRS4^{PVH} neurons also project to the median eminence (ME). E) Syn-mCherry (red) identifies synaptic terminals in the intermediolateral column of the spinal cord (IML) in close proximity to neurons expressing choline acetyltransferase (ChAT, green). scp= superior cerebellar peduncle, 4V=fourth ventricle, 3V=third ventricle, AP=area postrema

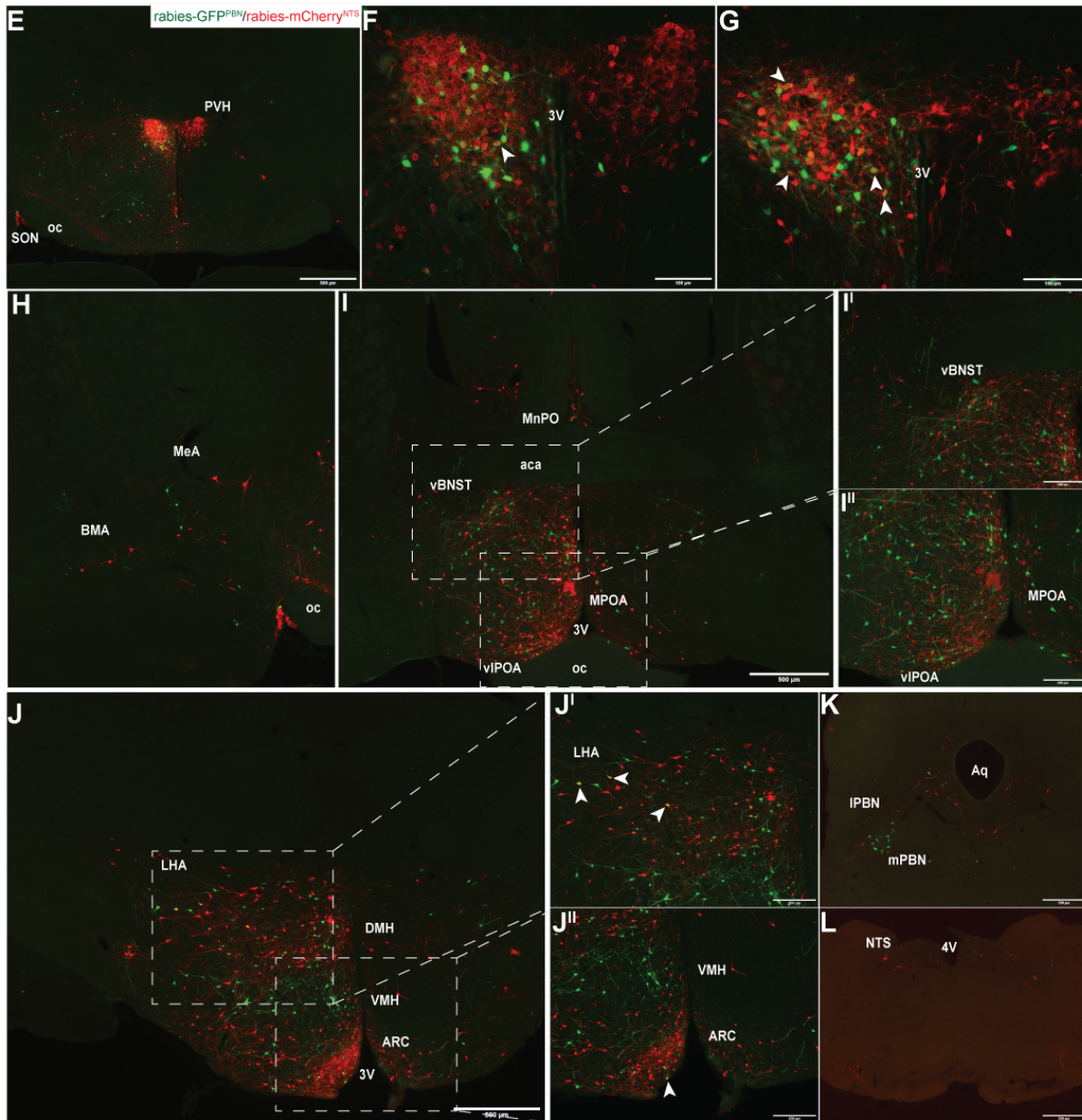
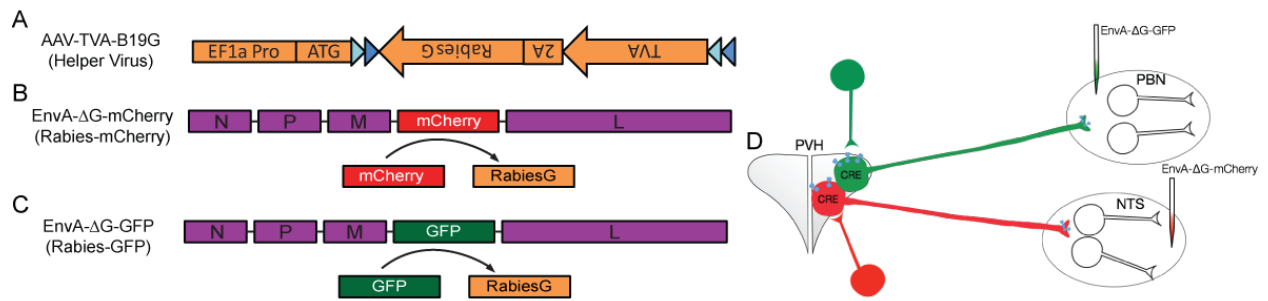


Figure 3.4. Identification of monosynaptic inputs to NTS-projecting or PBN-projecting IRS4^{PVH} neurons using modified rabies virus. A) A Cre-dependent helper virus construct is used to insert rabies B19 glycoprotein (B19G) and the TVA receptor (blue receptor, D) in IRS4^{PVH} cell bodies and terminals. Modified rabies virus expresses a fluorescent tag (mCherry, B; GFP, C) instead of B19G. After initial infection with helper virus, rabies-mCherry is injected at one projection site (NTS), whereas rabies-GFP is injected at another (PBN) in the same mouse. E-G) IHC for mCherry and GFP identify largely non-overlapping NTS-projecting and PBN-projecting IRS4^{PVH} neurons, respectively. Sites upstream of both NTS and PBN-projecting IRS4^{PVH} neurons include the supraoptic nucleus (SON), amygdala (H), bed nucleus of the stria terminalis (BNST, I), preoptic area (POA, I), and lateral hypothalamic area (LHA, J). The ventromedial hypothalamus (VMH) is upstream of PBN-projecting IRS4^{PVH} neurons (J^{II}, green), whereas both the arcuate nucleus (ARC, J^{II}, red) and PBN (K, red) are upstream of IRS4^{PVH} neurons projecting to the NTS. Glial damage represents injection site in the PBN (K, green) and NTS (L, red). 3V=third ventricle, oc=optic chiasm, MeA=medial amygdala, BMA=basomedial amygdala, vBNST=ventral BNST, vlPOA=ventral lateral POA, MPOA=medial POA, MnPO=median preoptic nucleus, aca=anterior part of anterior commissure, DMH=dorsomedial hypothalamus, aq=aqueduct, 4V=fourth ventricle

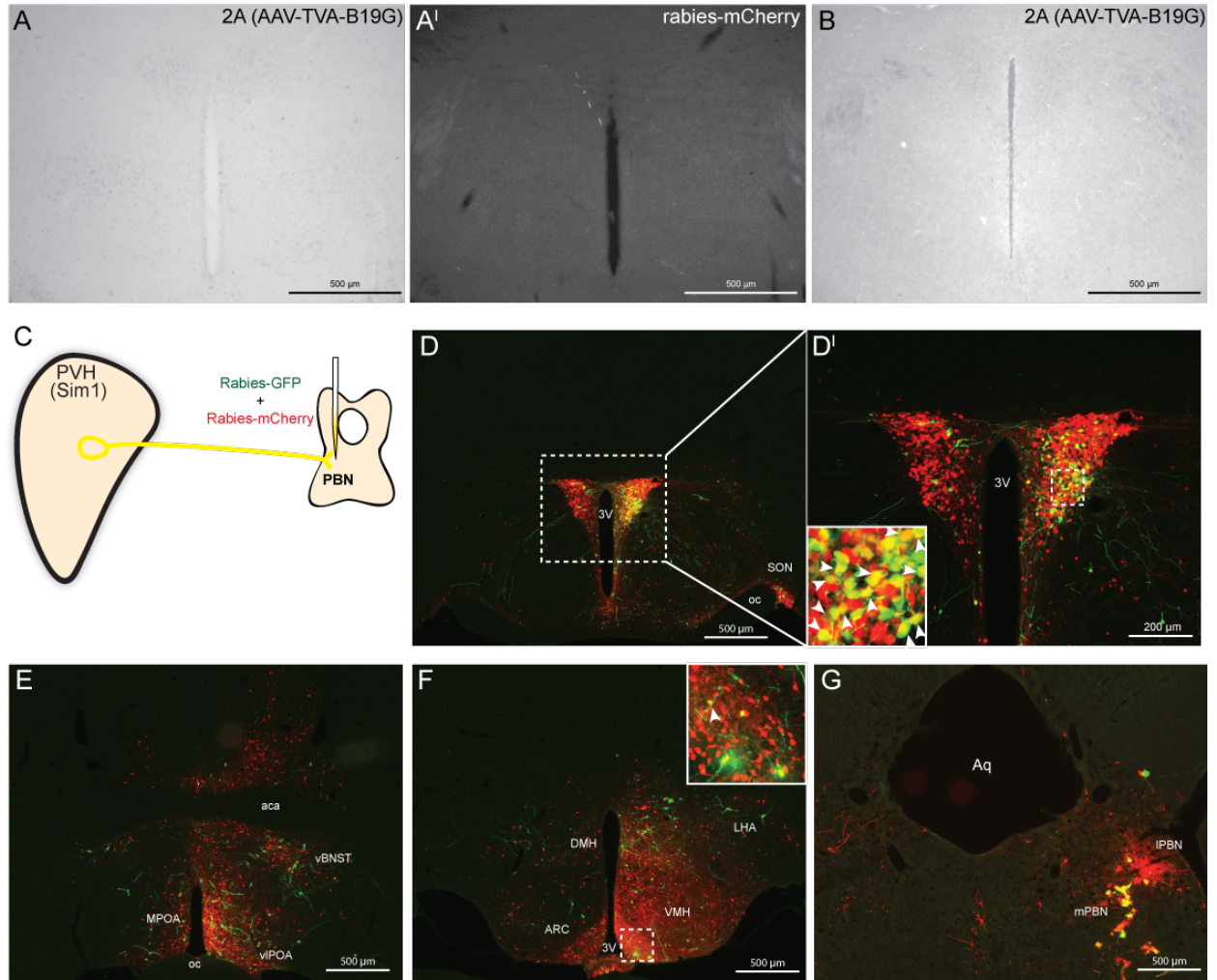


Figure 3.5. Dual rabies virus infection is limited in efficacy. A) IHC in the PVH of *Sim1-Cre +AAV-Flex-TVA-B19G* mice validates a “miss” since 2A staining is not detected. Still, NTS-directed rabies-mCherry injection in the same mouse displays limited mCherry expression in the PVH, therefore confirming the requirement of AAV-Flex-TVA-B19G expression for modified rabies virus expression. B) 2A expression in wildtype mice injected with AAV-Flex-TVA-B19G demonstrates that Cre recombinase is required for helper virus expression. C) Injection of both rabies-mCherry and rabies-GFP in the same PBN projection target in *Sim1-Cre +AAV-Flex-TVA-B19G* mice illustrates that both rabies viruses can be expressed in PBN-projecting PVH neurons (D), though sites upstream including the bed nucleus of the stria terminalis (BNST, E), preoptic area (POA), arcuate nucleus (ARC, F) and VMH (F) show limited co-labeling. G) Gliosis in the PBN due to rabies-mCherry/rabies-GFP injection demonstrates that both rabies-GFP and rabies-mCherry were mixed and injected at the same site. Dashed boxes indicate regions that are digitally enlarged and shown as insets. White arrowheads indicate co-localization in neurons with both rabies-GFP and rabies-mCherry. 3V=third ventricle, aca= anterior part of anterior commissure, DMH=dorsomedial hypothalamus, oc=optic chiasm, LHA=lateral hypothalamic area.

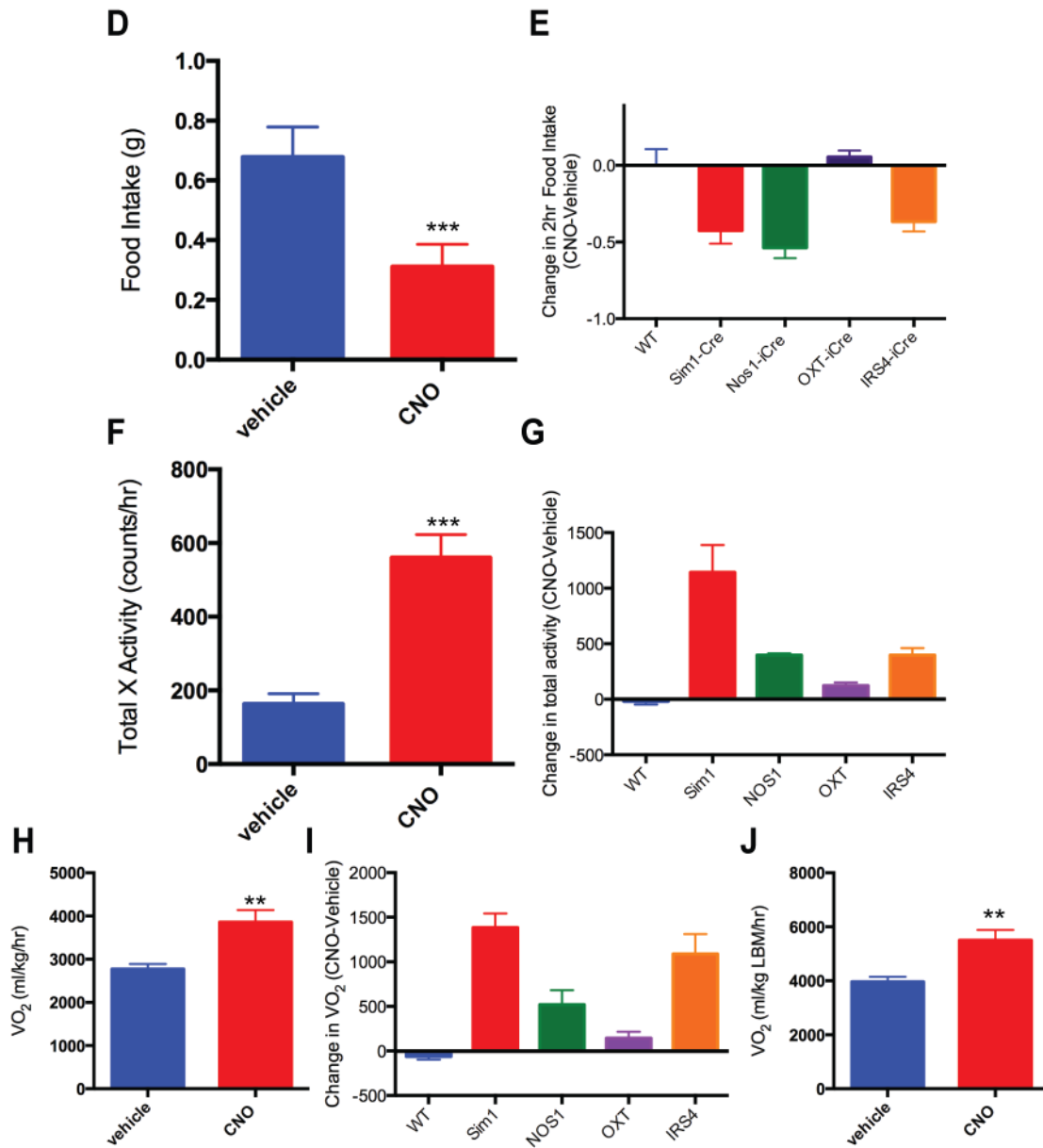
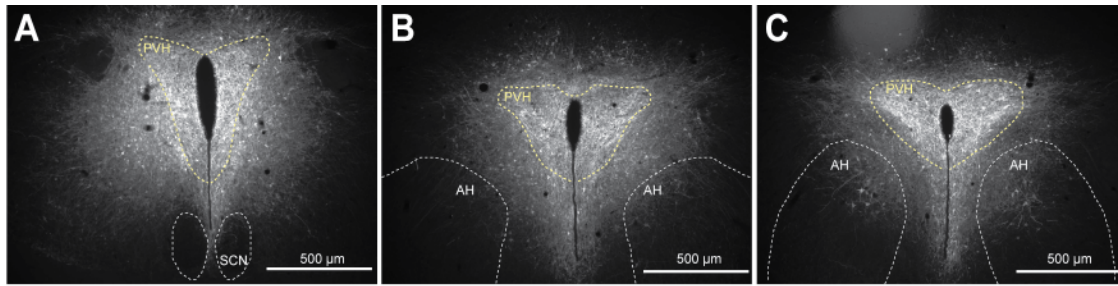


Figure 3.6. Acute activation of IRS4^{PVH} neurons decreases feeding and increases energy expenditure. A-C) IHC for mCherry identifies AAV-hM3Dq expression in IRS4^{PVH} neurons throughout the PVH (yellow dotted line). D) Two-hour food intake was decreased at the onset of dark cycle feeding due to activation of IRS4^{PVH} neurons following an i.p. injection of CNO in comparison to vehicle. E) CNO-induced two-hour feeding suppression was compared across different PVH cell types (WT, Sim1, Nos1 and OXT data obtained from chapter II), suggesting the possibility that food suppression driven by IRS4^{PVH} neuronal activation is comparable to suppression obtained from activating the entire PVH with Sim1^{PVH} neurons. F) Activation of IRS4^{PVH} neurons increases total activity and oxygen consumption (H, J) over a four-hour time period in the absence of food. I) Similar comparisons to previously studied PVH populations suggests that IRS4^{PVH} neuronal activation potentially increases oxygen consumption more than that obtained upon Nos1^{PVH} or OXT^{PVH} neuronal activation, without changing total activity to the same degree as Sim1^{PVH} neurons (G). Average values \pm SEM are shown, **p<0.01, ***p<0.001, compared to vehicle values. AH=anterior hypothalamus, SCN=suprachiasmatic nucleus, PVH=paraventricular nucleus of the hypothalamus

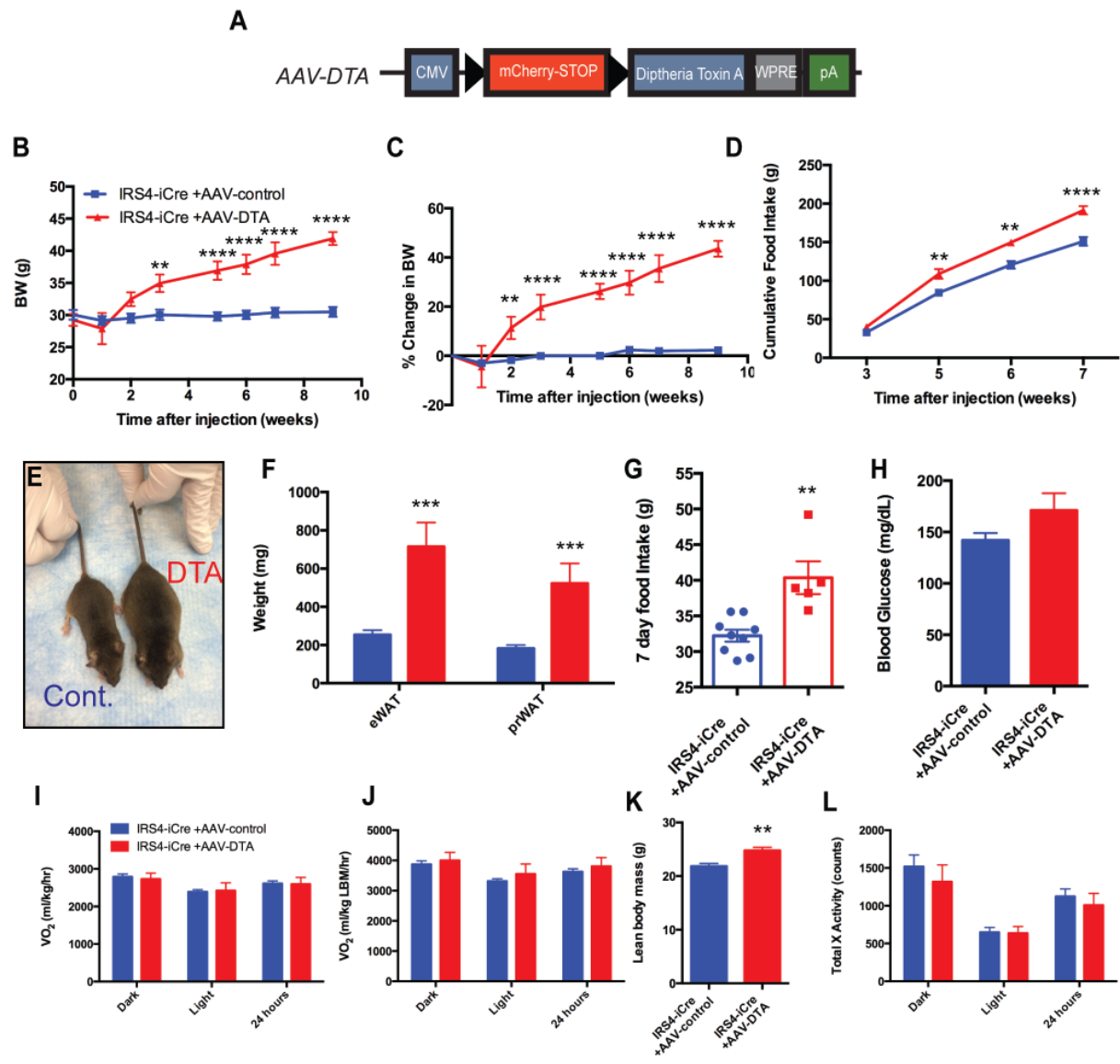


Figure 3.7. IRS4^{PVH} neurons are necessary for normal feeding and bodyweight.

A) A Cre-dependent adeno-associated virus expresses diphtheria toxin A exclusively in Cre-expressing neurons, resulting in Cre-dependent neuronal ablation. B, C) IRS4^{PVH} neuronal ablation in *IRS4-iCre +AAV-DTA* mice results in increased bodyweight in comparison to *IRS4-iCre* mice injected with a control AAV. D) *IRS4-iCre* mice show increased cumulative food intake following IRS4^{PVH} neuronal ablation in comparison to controls. E) *IRS4-iCre* littermate mice injected with either AAV-control (left) or AAV-DTA (right) at 9 weeks post-injection demonstrate the profound obesity resulting from IRS4^{PVH} neuronal ablation, with corresponding increases in epididymal and perirenal fat pad weights (F). G) Prior to the onset of significant obesity (days 14-21), cumulative 7-day food intake (G) and fasted blood glucose (H) was higher in *IRS4-iCre +AAV-DTA* mice in comparison to controls. I-L) Oxygen consumption and total X activity was unchanged due to IRS4^{PVH} neuronal ablation (I, J, L) measured 25-28 days post-injection, while lean body mass was slightly increased in *IRS4-iCre +AAV-DTA* mice (K). Average values \pm SEM are shown, **p<0.01, ***p<0.001, ****p<0.0001 compared to AAV-control values (DTA: B-C n=5; D n=4; F, I-L n=3; G n=5; AAV-control: B-D, G n=9; F, H n=8; I-L n=7). Significance was measured using a two-way ANOVA followed by Sidak's multiple comparisons test if necessary (B-D, I, K, L) or an unpaired t-test (F-H, K).

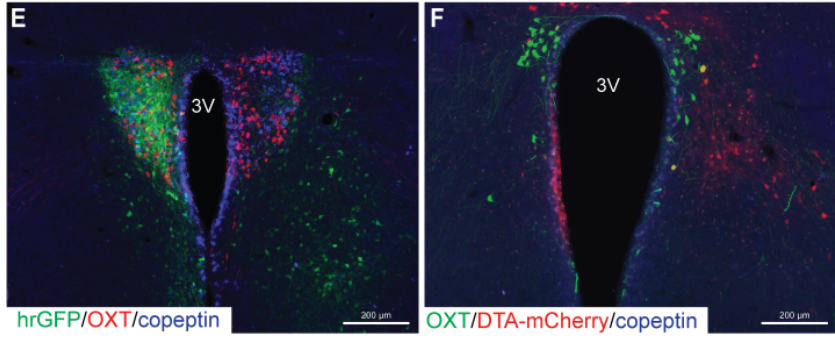
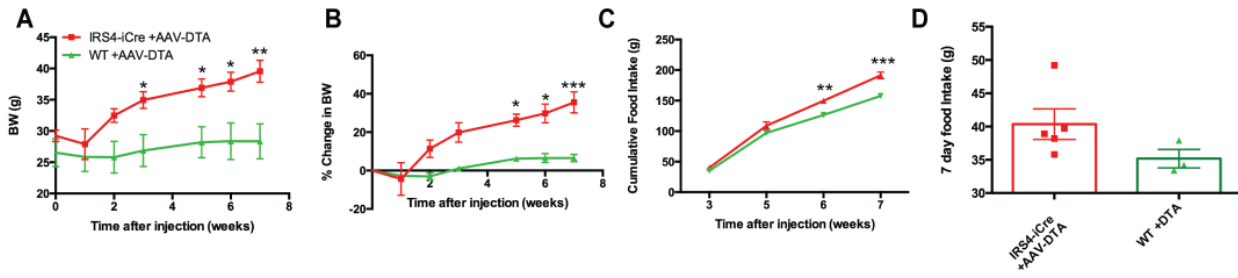


Figure 3.8. PVH-directed injection of AAV-DTA virus in wildtype (WT) mice does not cause obesity. A-C) *WT* mice injected with the Cre-dependent AAV-DTA virus (green) do not show changes in bodyweight or food intake (C) in comparison to *IRS4-iCre +AAV-DTA* mice (red). D) 7-day food intake is not elevated in *WT* mice injected with AAV-DTA during 14-21 days post-injection. E) IHC in *IRS4-iCre* mice injected with a Cre-dependent control virus expresses hrGFP in $IRS4^{PVH}$ cells (green) and demonstrates appropriate expression of non- $IRS4^{PVH}$ cells including oxytocin (OXT, red) and copeptin (blue). F) In contrast, IHC in brains from *IRS4-iCre +AAV-DTA* mice display altered PVH architecture with an enlarged third ventricle (3V) and decreased expression of OXT (green) and copeptin (blue). Average values \pm SEM are shown, * $p < 0.05$, ** $p < 0.01$, *** $p < 0.001$ (*IRS4-iCre*: A-B,D $n=5$, C $n=4$; *WT* $n=3$). Significance was measured using a paired t-test (D, F, H, J) or a two-way ANOVA followed by Sidak's multiple comparisons test (A-C) or an unpaired t-test (D).

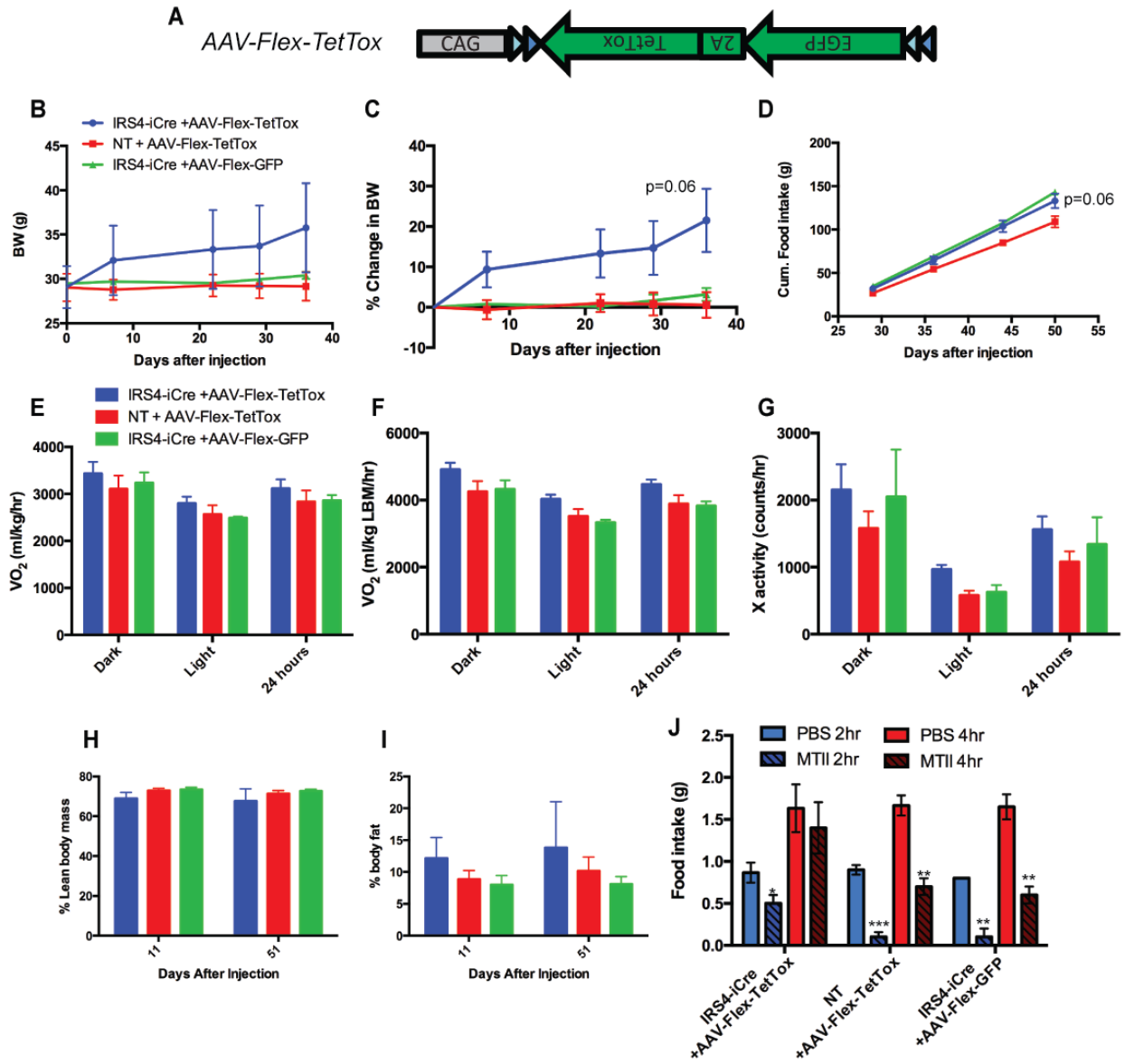


Figure 3.9. IRS4^{PVH} neuronal silencing results in hyperphagic obesity. A) A Cre-dependent tetanus toxin virus (AAV-Flex-TetTox) causes inhibition of synaptic exocytosis in Cre-expressing cells. B-D) IRS4^{PVH} neuronal silencing results in robust obesity due to increased cumulative food intake in comparison to nontransgenic mice injected with AAV-Flex-TetTox (*NT +AAV-Flex-TetTox*) or *IRS4-iCre* mice injected with a control AAV-Flex-GFP virus. E-I) No changes are observed in *IRS4-iCre +AAV-Flex-TetTox* mice in comparison to controls in energy expenditure measurements including oxygen consumption, total X activity, or body composition measured 11 or 51 (body composition only) days after injection. J) While two and four hour dark-cycle food intake is decreased in response to i.p. injection of the melanocortin receptor agonist melanotan II (MTII, 150 ug/mouse) in control mice, this response is diminished in *IRS4-iCre +AAV-Flex-TetTox* mice. Average values \pm SEM are shown, **p<0.01, ***p<0.001, ****p<0.0001 (*IRS4-iCre +AAV-Flex-TetTox* D-I: n=4, J-L: n=3; *NT +AAV-Flex-TetTox* D-I: n=4, J-L:n=3; *IRS4-iCre +Flex-GFP* D-K: n=3, L: n=2). Significance was determined using a two-way ANOVA followed by Sidak's multiple comparisons test if necessary.

Chapter IV

Circuit analysis of defined PVH cell-types

Introduction

Neuronal networks in the central nervous system (CNS) have evolved to carry out complex behavioral responses in changing environments to achieve homeostasis and survival. A critical component of an organism's homeostasis is the ability to balance energy intake with energy expenditure. Although significant progress has been made in understanding the composition of neuronal subpopulations important in energy balance, interrogation of the circuits used by these neurons to communicate and ultimately control energy balance parameters largely remains undetermined. Indeed, GWAS studies have implicated the importance of CNS circuits in body weight regulation, since genetic loci controlling synaptic function are disproportionately associated with measures of body mass (1). This suggests the possibility that CNS circuits that were not evolutionarily designed in the presence of energy excess are currently under unusual pressure. Since recent societal changes in feeding behavior and energy expenditure (and therefore, arguably, not genetics) have resulted in a dramatic increase in the obesity prevalence, it is pressing to understand the basic neuroanatomy of the CNS circuits primarily controlling negative energy balance.

The paraventricular nucleus of the hypothalamus (PVH) is the primary hypothalamic output controlling both energy expenditure and feeding (2, 3).

PVH-regulated feeding is in large part driven by inputs from the arcuate nucleus of the hypothalamus (ARC), including the ARC populations producing either agouti related peptide (AgRP) or proopiomelanocortin (POMC) (4-6). AgRP neurons drive feeding behavior through release of the Mc4R-antagonist AgRP at projection sites (7-10). On the other hand, anorexigenic POMC neurons produce and release melanocortins, including α -MSH, that bind melanocortin 4 receptors (Mc4R) in downstream target neurons (11, 12). Mc4R-expressing neurons in the PVH are the one of the main downstream effectors mediating melanocortin-induced satiety (13-15). While the ARC-PVH circuit is the most appreciated in the hypothalamic control of feeding behavior, projections from numerous other hypothalamic sites that sense and control energy status converge on the PVH. These sites include the lateral hypothalamic area (LHA), the ventromedial hypothalamus (VMH), and the dorsomedial hypothalamus (DMH) (16). The PVH integrates these afferent signals and suppresses feeding through projections to hindbrain regions that sense gastrointestinal-derived hormonal signals and neural signals arising from mechanical stretching of the gut (2, 17). Specifically, the hindbrain sites receiving dense PVH innervation include the parabrachial nucleus (PBN), nucleus of the solitary tract (NTS), area postrema (AP) and dorsal motor nucleus of the vagus (DMV) (18-20). Moreover, studies have also identified PVH projections to thoracic spinal cord regions, specifically in the intermediolateral column (IML), suggesting a PVH-IML circuit that may control sympathetic outflow and therefore energy expenditure (Chapter II, Chapter III) (19, 20).

For over a century, neuroanatomical approaches have been used to determine CNS connectivity with the assumption that connections between CNS sites provide

information about the function of different neuronal populations. Recent technical advances have made it possible to map complex circuits involving genetically-identified populations using modified rabies virus techniques in combination with mouse models expressing Cre recombinase in defined cell populations (21, 22). Here, we use modified rabies virus approaches to identify and characterize monosynaptic inputs to genetically-defined PVH subpopulations in order to delineate a PVH circuit that regulates feeding and energy expenditure. To this end, we combine projection-specific modified rabies virus injections in multiple Cre-expressing mouse models to identify inputs to PBN-projecting, NTS-projecting, or IML-projecting PVH subsets. We find that PVH cell groups are highly interconnected; populations expressing neuronal nitric oxide synthase 1 (*Nos1*) and oxytocin (*OXT*) both receive dense innervation from other PVH neurons, thereby raising the possibility that parvocellular PVH subsets that project to disparate hindbrain and spinal cord sites are likely functioning as an interconnected PVH network to achieve negative energy balance.

Materials and Methods

Experimental Animals

Sim1-Cre, *Nos1-iCre*, and *OXT-iCre* mice were generated as previously described (14, 23, 24)). Adult male mice (8-12 weeks old) were used for all studies. *OXT-iCre* mice that were bred to a Cre-dependent TdTomato reporter line (*Ai9*, Jackson Labs, 007909) were used to fluorescently label Cre-expressing *OXT* neurons. All animals were bred and housed within our colony according to guidelines approved by the University of

Michigan Committee on the Care and Use of Animals. All mice were provided *ad libitum* access to food and water and single housed after stereotaxic injection.

Viral preparations

A modified AAV-Flex-TVA-B19G construct was generated due to limited efficacy with previously used helper virus reagents. Specifically, limited rabies virus transduction was observed following co-injection of commercially available AAV-Flex-TVA-mCherry and AAV-Flex-B19G viruses, suggesting that co-infection of both helper viruses in a single cell may be inefficient. Furthermore, the previously generated AAV-Flex-TVA-B19G-GFP construct displayed both weak GFP expression and poor rabies virus efficiency, possibly due to packaging such a large construct into an AAV (25). To remedy this, TVA-B19G was PCR amplified from the pAAV-EF1-TVA-B19G-GFP plasmid (Addgene #26197) and subcloned into a TOPO cloning vector. SpeI and SacI restriction sites were then used to insert the product into the pAAV-hSyn-Flex vector. EnvA- Δ G-mCherry (rabies-mCherry), and EnvA- Δ G-GFP (rabies-GFP) were generated as previously described (Chapter III) (21).

Stereotaxic injections

Stereotaxic injections were performed in *Sim1-Cre*, *Nos1-iCre*, and *OXT-iCre* mice as previously described (Chapter II). Briefly, mice were placed in a digital stereotaxic frame (Model 1900, Kopf Instruments) under isofluorane and provided with pre-surgical analgesia. Viral injections were performed using a pressurized picospritzer system coupled to a pulled glass micropipette. For all experiments (excluding those using

cannulas), 40-50 nl of AAV-Flex-TVA-B19G was unilaterally injected in the PVH (coordinates from bregma: A/P= -.500, M/L= +/- .220, D/V= -4.800). Following three weeks recovery, rabies-mCherry and rabies-GFP were injected in projection sites including the PBN (100 nl, A/P= A/P=-4.770, M/L= +/- 1.35, D/V=-2.8), NTS, and IML. NTS injections were performed as previously described, whereby the fourth ventricle was identified and used as a geographic landmark to determine the site of injection (Chapter II). A glass micropipette was lowered into the site (D/V: -.550) and 50 nl of virus was injected. For injections into the IML, mice were placed in the stereotaxic frame without ear bars. Instead, ear bars were used to raise and stabilize the spinal cord at the level of ~T2-T6. Incisions were made through muscle layers to reveal vertebrae and spinal cord, and muscle held back using micro hemostatic forceps. T3-T4 was identified using the T2 vertebral spine and T3/T4 intervertebral disks and the glass pipette was lowered in between T3-T4 towards the midline of the spinal cord (D/V=-.600), where 100 nl of rabies-mCherry virus was injected. Two minutes after the injection was completed, the glass pipette was raised and sutures used to close the wound. Ipsilateral PBN and NTS modified rabies virus injections were performed in relation to helper virus injection, whereas IML injections were performed on the contralateral side due to neuronal decussation.

For studies in which rabies-mCherry was injected into the PVH, cannulas were used to ensure identical PVH hit sites for both viral injections (AAV-Flex-TVA-B19G and rabies-mCherry). For these experiments, *Sim1-Cre* or *OXT-iCre* mice were placed in the stereotaxic frame and the skull drilled at the same PVH coordinates used throughout other experiments. A 4.3mm long guide cannula (Plastics One) was lowered into the

brain and secured using dental cement. Once dental cement had hardened and the cannula was secure (~5 minutes), 200nl of AAV-Flex-TVA-B19G was administered using a Hamilton syringe coupled to tubing and an internal cannula with a 0.5mm overhang (total length=4.8mm). Two minutes following helper virus injection, the internal cannula was removed and a dummy cannula placed in the guide cannula for recovery. Following a three week recovery, dummy cannulas were removed and 75-200 nl of rabies-mCherry injected into the PVH using an internal cannula. Two minutes after virus injection, the internal cannula was removed and dummy cannula replaced until perfusion. For visualization of CRF^{PVH} neurons, colchicine (10 ug, 40 ug/ul) was administered directly to the PVH through the same cannula 36 hours prior to perfusion. Cannula hit sites were histologically verified at the completion of the experiment.

Perfusion and immunohistochemistry (IHC)

For all studies, mice were perfused 7 days following rabies virus injection as previously described to verify viral hit sites for both AAV-Flex-TVA-B19G and rabies-mCherry/rabies-GFP. Briefly, mice were deeply anesthetized with an overdose of pentobarbital (150 mg/kg, IP) and transcardially perfused with PBS followed by 10% neutral buffered formalin. Brains and spinal cords (for IML injections only) were removed, post-fixed, and dehydrated in 30% sucrose before sectioning into 30 μ m slices on a freezing microtome (Leica). Coronal brain sections were collected in four representative sections whereas longitudinal thoracic spinal cord sections were collected in three representative sections and stored at -20°C. IHC for 2A peptide was performed to validate appropriate helper virus hit site (data not shown). In the event

that helper virus missed the PVH, data was excluded. mCherry and GFP were detected using with primary antibodies for dsRed (rabbit 1:1000, Clontech, 632496) and GFP (chicken 1:1000, Abcam, ab12970) respectively followed by secondary immunofluorescence (IF) detection with donkey anti-rabbit-Alexa 568 and donkey goat anti-chicken-Alexa 488 (1:200, Invitrogen). For colocalization experiments between rabies-mCherry and ARC POMC neurons, IHC immunostaining was performed using primary antibodies for ACTH (rabbit 1:1000, kindly provided by Dr. Malcolm Low, Parlow AFP-173P) and RFP (rat, 1:1000, Allele Biotechnology ACT-CM-MRRFP10), followed by secondary IF detection with donkey anti-rabbit-Alexa 488 and donkey anti-rat-Alexa 594 (1:200, Invitrogen). In experiments aimed at identifying PVH and SON populations upstream of OXT^{PVH} neurons, IHC was performed for RFP, OXT (rabbit, 1:1500, Bachem, T-4084), copeptin (goat 1:1000, Santa Cruz Biotechnology, sc-7812), neurophysin (goat, 1:1000, Santa Cruz Biotechnology, sc-7810), and CRF (rabbit, 1:1000, Bachem, T-4037) followed by corresponding secondary antibodies (donkey anti-rat-Alexa 594, donkey anti-goat-Alexa 350, and donkey anti-rabbit-Alexa 488 (all 1:200, Invitrogen)). Imaging was performed using an Olympus BX-51 upright microscope with DP30BW camera (Olympus, Figures 4.1C-J, 4.6D, 4.7), Nikon 90i upright microscope (Nikon) with CoolSNAP HQ2 CD camera (Photometrics, Figures 4.1K-N, 4.6), or an Olympus BX-53 upright microscope (Olympus) with G6000 camera (Q imaging, Figures 4.2-5).

Results

Modified rabies virus identifies inputs to Sim1^{PVH} neurons

Using a variety of non cell-type specific retrograde viral tracing reagents, previous reports suggest broad inputs to the PVH from hypothalamic structures including the ARC, DMH, and LHA, as well as both forebrain (POA, BNST) and hindbrain (NTS, RVLM) sites (16, 26-31). In order to validate the viral technologies modified for this study, we aimed to map neuronal inputs to all PVH neurons using the *Sim1-Cre* mouse model in combination with modified rabies virus reagents. To this end, a Cre-dependent “helper virus” (AAV-Flex-TVA-B19G, Figure 4.1A) was injected in the PVH of *Sim1-Cre* mice to express both the TVA receptor and the B19 glycoprotein (B19G) in all PVH neurons. Three weeks following helper virus injection, modified rabies virus (rabies-mCherry) was injected in the PVH. Since the TVA receptor is required for modified rabies virus infection, rabies-mCherry expression is dependent on prior helper virus expression (Chapter III, Figure 3.5). Moreover, rabies-mCherry has been generated with mCherry expression instead of the native B19G, therefore requiring B19G expression from the helper virus for retrograde transmission (Figure 4.1B). Importantly, since helper virus injection is limited to the PVH, neurons upstream do not express B19G unless they: 1) are within the injection site and 2) produce Cre recombinase (21). Therefore, this approach allows for visualization of rabies-mCherry labeled monosynaptic inputs to *Sim1*^{PVH} neurons. Indeed, rabies-mCherry expression is identified throughout the PVH, thereby tagging primary-infected PVH neurons (Figure 4.1C, 1D). Additionally, neurons of the supraoptic nucleus, classically thought to be entirely magnocellular, are labeled by rabies-mCherry, therefore identifying a direct SON-PVH circuit. Rabies-mCherry also identifies BNST and POA inputs to the PVH (Figure 4.1E, 1F), validating previous reports (28). Importantly, the well-defined ARC-

PVH circuit is also identified using this approach, since rabies-mCherry is expressed widely throughout the ARC (Figure 4.1G-J) (4). Additional hypothalamic structures innervating the PVH include the VMH, DMH, and LHA (Figure 4.1G-J). Lastly, to confirm the identity of the ARC neurons synaptically connected to the PVH, we performed IHC for adrenocorticotrophic hormone (ACTH), a cleavage product of POMC. Indeed, rabies-mCherry colocalizes with some ACTH cells throughout the ARC, further confirming the specificity of the modified rabies virus technology in a classically defined circuit (Figure 4.1K-N).

Terminal-restricted modified rabies virus injection identifies projection-specific circuits engaging the PVH

We hypothesized that PVH projection targets represent different subsets of PVH populations, and that afferent inputs to these populations will therefore be distinct. If so, this would imply divergent circuit control of different PVH outputs and therefore potentially identify regulators of distinct aspects of energy balance (feeding vs. energy expenditure). In order to determine this, we performed helper virus injection in the PVH of *Sim1-Cre* mice as before. Rather than re-injecting rabies virus injection in the PVH, rabies-mCherry was delivered to the NTS, a known projection target of the PVH. In the same mouse, a similar modified rabies virus expressing GFP (rabies-GFP) was injected in the PBN (Figure 4.2A). Since the TVA receptor can be expressed throughout axons and on terminals, both rabies-mCherry and rabies-GFP can infect $Sim1^{PVH}$ cells projecting to the NTS or PBN, respectively (30) (Chapter III). Using this method, $Sim1^{PVH}$ cells expressing rabies-GFP and rabies-mCherry are largely distinct (Figure

4.2B-2D). Since $Nos1^{PVH}$ neurons comprise some, but not all (e.g. not including $IRS4^{PVH}$), of the PVH projections to hindbrain and spinal cord regions, we aimed to identify if some inputs to projection-specific $Nos1^{PVH}$ neurons were less than that observed with analysis of the entire PVH. Thus, the same experiment was performed in *Nos1-iCre* mice, with helper virus injection in the PVH (*Nos1-iCre* +AAV-Flex-TVA-B19G) followed by modified rabies virus injection in the PBN (rabies-GFP) or NTS (rabies-mCherry). Similarly, $Nos1^{PVH}$ populations projecting to the NTS or PBN are largely non-overlapping since rabies-mCherry and rabies-GFP cells have minimal colocalization throughout the PVH (Figure 4.2E-G). Importantly, previous results indicate that both rabies-mCherry and rabies-GFP can enter and express in the same PVH cell, strongly suggesting that PVH circuits engaging the PBN or NTS are distinct with limited axonal collateralization (Chapter III, Figure 3.5). Since B19G is expressed in these PVH cells, rabies-mCherry and rabies-GFP can travel to neurons upstream and therefore identify monosynaptic inputs to NTS-projecting or PBN-projection PVH cells with rabies-mCherry or rabies-GFP visualization, respectively (Figure 4.3A). Surprisingly, inputs to NTS-projecting and PBN-projecting $Sim1^{PVH}$ neurons are in similar nuclei, yet are different neuronal populations. Large numbers of rabies-mCherry and rabies-GFP cells are found in the BNST and POA (Figure 4.3B) as well as the SON (Figure 4.3D). Hypothalamic areas with neurons upstream of NTS-projecting PVH neurons include the ARC and DMH, in addition to fewer neurons in the VMH and LHA (Figure 4.3F). Rabies-GFP identifies inputs to PBN-projecting PVH neurons including the VMH, DMH, and some ARC neurons. Rabies-mCherry and rabies-GFP cells are also located, albeit in much smaller numbers, in midbrain regions including the

periaqueductal gray (PAG) and zona incerta (ZI) (Figure 4.3H). Injection sites can be visualized due to neuronal gliosis at the site of injection for rabies-GFP in the PBN (Figure 4.3J) and rabies-mCherry in the NTS (Figure 4.3L). Additionally, rabies-mCherry cells are expressed in the PBN, suggesting a PBN-PVH-NTS circuit (Figure 4.3J). In comparison to the regulation of the entire PVH, inputs to NTS-projecting or PBN-projecting $Nos1^{PVH}$ neurons are outstandingly similar. Gross analysis of sites upstream of the smaller $Nos1^{PVH}$ population indicates that inputs to projection-defined $Nos1^{PVH}$ populations are largely the same, but rabies-GFP and rabies-mCherry show little colocalization (Figure 4.3C, E, G, I, K, M).

Projection-specific modified rabies virus approach reveals strikingly similar circuits engaging distinct PVH populations

While results obtained from dual rabies virus injection in disparate projection sites identified distinct circuits regulating PVH populations, rabies-GFP routinely identified fewer neurons than rabies-mCherry. Although previous control results demonstrated that rabies-GFP and rabies-mCherry are capable of co-labeling PVH neurons, few populations upstream co-labeled rabies-mCherry and rabies-GFP (Chapter III, Figure 3.5). This highlights the possibility of different viral efficiencies between preparations of rabies-mCherry and rabies-GFP, with underreporting of neural populations labeled with GFP. For this reason, rabies-mCherry alone was injected in *Sim1-Cre +AAV-Flex-TVA-B19G* mice in different projection sites and results compared (Figure 4.4A-C). Surprisingly, extensive rabies-mCherry expression is observed in the PVH, appearing to label almost all PVH neurons, despite different rabies-mCherry injection sites (Figure

4.4G-I). Additionally, rabies-mCherry identifies similar populations upstream of Sim1^{PVH} neurons projecting to the NTS, PBN, or IML. Dense rabies-mCherry neuronal labeling is identified in the BNST and POA throughout all groups (Figure 4.4D-F). Correspondingly, hypothalamic sites including the SON (Figure 4.4G-I), ARC, DMH, and VMH are all upstream of NTS-projecting, PBN-projecting, and IML-projecting Sim1^{PVH} neurons (Figure 4.4J-L). While rabies-mCherry identifies that the PBN is upstream of NTS-projecting and IML-projecting neurons (Figure 4.4M-O), few NTS neurons express rabies-mCherry (Figure 4.4P-R).

Since Sim1^{PVH} neurons comprise the entire PVH, we sought to characterize inputs to Nos1^{PVH} neurons, a smaller population still capable of controlling both feeding and energy expenditure. Thus, rabies-mCherry injection was performed in the NTS, PBN, or IML of *Nos1-iCre +AAV-Flex-TVA-B19G* mice (Figure 4.5A-C). Sites throughout the CNS were largely similar to Sim1^{PVH} results, with BNST and POA forebrain regions upstream of all Nos1^{PVH} populations (Figure 4.5D-F). Once again, rabies-mCherry expression in the PVH seemingly marked almost all PVH neurons, in addition to the SON (Figure 4.5G-I). Hypothalamic regulation of Nos1^{PVH} populations originates from the same sites as when studying the entire PVH, with rabies-mCherry expression in the ARC, DMH, LHA and VMH (Figure 4.5J-L). While the PBN is upstream of NTS-projecting Nos1^{PVH} neurons, rabies-mCherry is not identified in the PBN of *Nos1-iCre +AAV-Flex-TVA-B19G* mice injected with rabies-mCherry in the IML, suggesting a potential disparity between hindbrain inputs to IML-projecting Nos1^{PVH} neurons from the entire IML-projecting Sim1^{PVH} neuronal population (Figure 4.5M-O). While neuronal gliosis identifies the rabies-mCherry injection site in experiments aimed

to label $Nos1^{PVH}$ inputs to the NTS, few rabies-mCherry neurons are identified upstream of projection-specific PVH neurons (Figure 4.5P-R).

Monosynaptic inputs to OXT^{PVH} neurons arise primarily from other PVH neuronal populations

As noted previously, communication among Cre^+ PVH populations can confound results, highlighting the problem of using modified rabies virus techniques in sites with local, intranuclear connections in combination with densely expressed, and therefore less distinct, neuronal populations. Therefore, we hypothesized that further limiting the PVH population under investigation could simplify the circuitry of PVH populations and better highlight inputs to defined PVH subpopulations. Thus, we aimed to characterize neuronal inputs to OXT^{PVH} neurons, a small subset of the $Nos1^{PVH}$ field. Therefore, we performed helper virus injection in the PVH of *OXT-iCre* mice (*OXT-iCre +AAV-TVA-B19G*), followed by rabies-mCherry injection in the same site three weeks later (Figure 4.6A). Rabies-mCherry identified numerous PVH neurons directly upstream of the OXT^{PVH} neuronal population, with many rabies-mCherry cells in the PVH not co-labeling OXT peptide (Figure 4.6B). Since the PVH is a heterogenous structure consisting of many different, largely nonoverlapping, neuropeptide populations, we aimed to test if some of the PVH inputs to OXT^{PVH} neurons include vasopressin (AVP) neurons in the PVH (26). Certainly, many rabies-mCherry neurons co-express OXT, indicating primary infected OXT^{PVH} neurons (white arrows, Figure 4.6B-C). In addition, some non-OXT rabies-mCherry neurons also express copeptin, the carrier molecule for AVP (yellow arrows, Figure 4.6B, C), suggesting direct AVP^{PVH} innervation of OXT^{PVH} neurons.

Although few rabies-mCherry neurons are identified outside of the PVH, the SON is the primary extra-PVH site with rabies-mCherry localization (Figure 4.6D). The SON is comprised entirely of AVP and OXT neurons that are critical in regulating peripheral circulating levels of AVP and OXT via the posterior pituitary. Rabies-mCherry localization appears to be in the vicinity of AVP^{SON} neurons, though co-labeling is hard to identify due to copeptin localization primary in axons rather than cell bodies (Figure 4.6E-G). While few rabies-mCherry neurons are identified in OXT^{SON} vicinity, at least one rabies-mCherry neuron co-labels OXT (Figure 4.6F). This highlights the potential for AVP^{SON}, and minimal OXT^{SON}, innervation and regulation of OXT^{PVH} neurons.

To further characterize the intra-PVH circuitry engaging OXT^{PVH} neurons, the same rabies virus procedure was performed in *OXT-iCre +AAV-Flex-TVA-B19G* mice in addition to PVH-directed treatment of colchicine 36 hours prior to perfusion in order to identify neurons expressing corticotropin-releasing factor (CRF). CRF is rapidly trafficked to terminals, therefore requiring colchicine, a peptide transport inhibitor, for cell-body visualization (32). Indeed, rabies-mCherry colocalizes with CRF^{PVH} neurons (white arrows, Figure 4.6H). Rabies-mCherry colocalization with OXT^{PVH} neurons (blue) identifies primary infected neurons (yellow arrows), which are separate from CRF^{PVH} neurons (green), indicating that some CRF^{PVH} neurons are directly upstream of OXT^{PVH} neurons.

OXT^{PVH} projections to the NTS were previously thought to be a component of the ARC-PVH-NTS circuit, though recent studies suggest that OXT peptide expression in the NTS are fibers of passage (Chapter II)(19). Still, we aimed to test this circuit using modified rabies virus techniques. *OXT-iCre* mice were crossed to a Cre-dependent

TdTomato reporter (*OXT-iCre, Td*) to visualize all OXT^{PVH} cells and reduce the possibility of decreased OXT peptide expression as a result of rabies expression in cells. *OXT-iCre, Td* mice were injected with helper virus in the PVH followed by rabies-GFP injection in the NTS three weeks later (Figure 4.7A). Certainly, some OXT^{PVH} neurons co-express rabies-GFP, therefore identifying primary NTS inputs or fibers of passage (white arrows, Figure 4.7B, 7C). Similar to results obtained with both helper and rabies viruses injected directly into the PVH, there are many non-OXT rabies-GFP PVH cells, likely identifying inputs to OXT^{PVH} neurons with axonal projections in the NTS. However, few rabies-GFP cells are identified outside of the PVH (Figure 4.7D-F). This is in stark contrast to the densely labeled CNS inputs to NTS-projecting $Nos1^{PVH}$ or $Sim1^{PVH}$ neurons, suggesting the capability for PVH circuit dissection using these approaches.

Discussion

Although significant advances have been made in the understanding of the PVH's role in controlling energy balance, far less is understood about the afferent control of PVH populations projecting to hindbrain and spinal cord regions. Certainly, the ARC-PVH circuit has been a primary area of research, since ARC POMC and AgRP neurons clearly control feeding primarily through the PVH (8, 9, 14, 30, 33). Previously, it was hypothesized that the ARC-PVH-NTS circuit was the primary hypothalamic regulator of satiety (34). However, recent advances using optogenetic techniques suggest that $Mc4R^{PVH}$ connections to the PBN control feeding suppression (9). Yet, there is a large gap in the understanding of how distinct circuits engage different PVH

populations that are ultimately connected to the PBN, NTS, or IML. Using recent advancements in genetic mouse models and neuroscience technologies, our studies begin to answer some of these questions and demonstrate the complexity of inputs to genetically-defined PVH circuits. We demonstrate that PVH populations are highly interconnected, highlighting the potential for a large-scale PVH network in the regulation of different PVH projection sites.

Until recently, reagents used for retrograde tracing were not dependent on Cre recombinase and therefore did not have the ability to map inputs to cell-type specific PVH populations. More recently, modified rabies virus approaches have been generated to accomplish this task (21, 22). Using a novel Cre-dependent helper virus capable of co-expressing both the rabies glycoprotein and TVA receptor in Cre-expressing cells, we characterized inputs to Sim1^{PVH} neurons. We demonstrate that inputs to PVH neurons are largely similar to results obtained with other approaches, including the canonical POMC^{ARC}-PVH circuit (4, 30). Next, we aimed to determine which sites specifically engage centrally-projecting parvocellular PVH circuits. While results from dual rabies virus injection in the same mouse suggest distinct circuit regulation of NTS-projecting PVH populations in comparison to PBN-projecting PVH neurons, technical limitations surrounding rabies virus efficacy limit our ability to make definitive statements about these results. Routinely, rabies-GFP injections in projection sites identified fewer GFP-labeled neurons than those expressing rabies-mCherry. Although rabies-mCherry and rabies-GFP can be co-expressed in the same neuron, it is possible that rabies-mCherry is more efficient than rabies-GFP. Previous control studies indicate that while both rabies-GFP and rabies-mCherry can co-express in some

cells, the ability of cells upstream of the primary infection to express both viruses seems impaired (Chapter III). Taken together, it is apparent that dual rabies virus approaches in the same mouse are limited in scope.

Using a single rabies virus approach, monosynaptic inputs to projection-specific PVH populations were strikingly similar. Moreover, despite different injection sites, it was apparent that rabies-mCherry routinely illuminated almost all PVH neurons. Therefore, it is likely that different Cre⁺ PVH populations were not only synapsing in distinct hindbrain sites, but also communicating with one another in a complex intra-PVH network. In this scenario, since helper virus expresses in all Cre⁺ neurons in the PVH, B19G is expressed in local PVH Cre⁺ neurons. Therefore, rabies-mCherry labels CNS sites not only upstream of Cre⁺ neurons projecting to a distinct site but also all inputs to local, synaptically connected, Cre⁺ PVH neurons (Figure 4.4S). Depending on the level of PVH interconnectivity, this technique could essentially mark all inputs to the PVH despite projection-defined rabies-mCherry injection. Indeed, electrophysiologic data consistently suggests intra-PVH communication, since glutamatergic interneurons are sometimes required for changes in PVH electrical activity observed in response to a variety of receptor agonists (29, 35, 36). Additionally, dendrodentic synapses have been identified between thyrotropin releasing hormone (TRH) producing neurons in the PVH, though the ability of modified rabies virus to travel retrogradely through dendrodentic synapses is unknown (37).

Therefore, to both limit the primary Cre⁺ population infected with rabies-mCherry and further characterize PVH interconnectivity, we performed similar rabies-mCherry experiments in the OXT^{PVH} population, a small subset of the Nos1^{PVH} field. Once again,

dense intra-PVH labeling was observed upstream of OXT^{PVH} neurons, further supporting intra-PVH circuitry as the likely reason for similar extensive rabies-mCherry signaling in Nos1^{PVH} and Sim1^{PVH} rabies studies. Additionally, identification of direct input from AVP^{PVH} and CRH^{PVH} neurons onto the OXT^{PVH} population suggests a complex regulation of distinct PVH populations by adjacent neurons. With this in mind, it is intriguing to consider the close proximity of dissimilar PVH populations in the control of a variety of physiologic functions not only related to energy balance but also including blood pressure control, stress responses, and reproduction. The diverse nature of these functions are also highly interrelated; certainly these circuits should be connected at some level given the necessity of energy intake or sympathetic output for a variety of physiologic demands. Whether the PVH mediates the coordination of such behaviors by multifaceted intra-PVH networks is a fascinating possibility that will require further investigation.

OXT^{PVH} neurons have long been implicated in the control of feeding behavior, presumably through an ARC-OXT^{PVH}-NTS connection (34, 38). However, numerous studies to date have questioned the ability for OXT^{PVH} neurons to directly control feeding behavior (9, 15). Our studies further prove that ARC populations are not synaptically connected to OXT^{PVH} neurons, both through identifying inputs to the entire OXT^{PVH} neuronal population as well as “NTS-projecting” OXT^{PVH} neurons. Since there are few, if any, OXT^{PVH} terminals in the NTS, it is likely that rabies-GFP labeled OXT^{PVH} neurons are marked by TVA-expressing fibers of passage headed through the NTS towards the spinal cord (Chapter II, Figure 2.4). Taken together, it is clear that OXT^{PVH} neurons primarily receive innervation from local PVH circuits and the SON, and not the

ARC or other hypothalamic structures. Although the significance of this novel SON input to the PVH is unknown, the similar neuropeptide populations in the SON and PVH implicate this connection in the coordinated control of peptide release in the neurohypophysis and corresponding endocrine regulation. Previous results also indicate that the SON is upstream of other non-OXT PVH populations (e.g. IRS4), therefore suggesting the possibility for SON regulation of parvocellular PVH function in the control of energy balance parameters, though this remains to be determined (Chapter III).

Overall, here we provide a novel anatomical framework for understanding the regulation of PVH subsets by sites throughout the hypothalamus, forebrain, and brainstem. It is clear that dense interconnectivity occurs at the level of the PVH, and that these intra-PVH networks have the potential to regulate PVH outputs at diverse brainstem and spinal cord projection targets. As the primary hypothalamic output, the PVH is well situated to coordinate a multitude of different responses in order to achieve homeostasis. While these studies highlight the potential ability of this node to respond and function as an organized structure, further studies are needed to test the significance of this interaction. Furthermore, while the regulation of the smaller OXT^{PVH} population was identified using these techniques, troubleshooting with modified rabies virus approaches will need to be performed in future experiments to address interconnected PVH networks, potentially through identifying smaller genetic PVH populations with limited overlap. Ultimately, these approaches have the potential to identify novel circuits capable of regulating discrete energy balance parameters through

disparate projection targets, thereby providing useful targets for obesity and its comorbidities.

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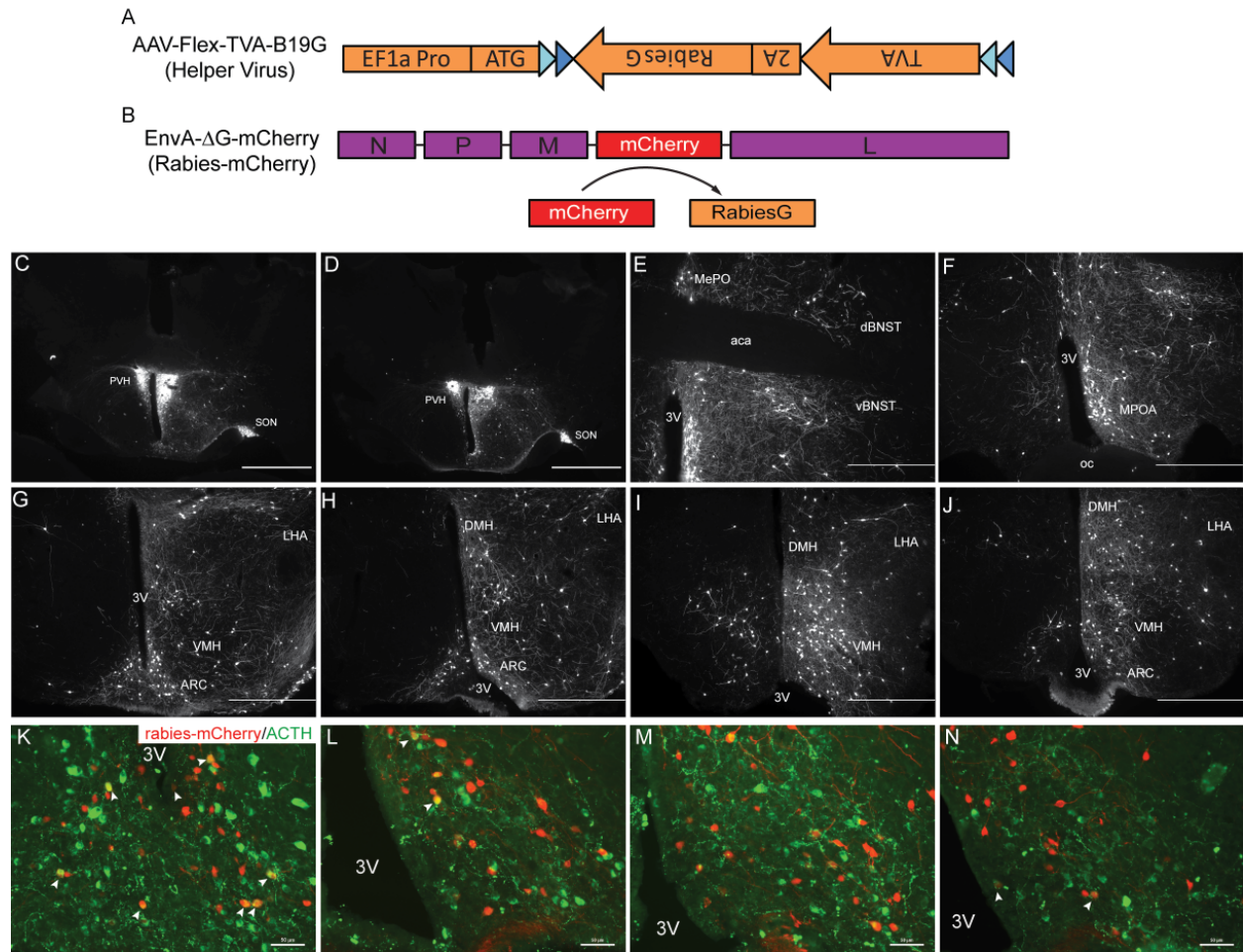


Figure 4.1. Modified rabies virus allows for identification of monosynaptic inputs

to Cre-expressing PVH populations. A) Using a 2A linker, a helper virus was generated to express the TVA receptor and B19 glycoprotein (B19G/rabiesG) in Cre-expressing cells. B) Modified rabies virus expresses mCherry instead of the endogenous B19G, therefore requiring prior injection of AAV-Flex-TVA-B19G. C-D) Immunohistochemistry (IHC) identifies dense rabies-mCherry expression throughout the PVH of *Sim1-Cre +AAV-Flex-TVA-B19G* mice injected with rabies-mCherry in the PVH. Rabies-mCherry expression in the SON identifies SON projections to $Sim1^{PVH}$ neurons. E-J) Sites identified upstream of the PVH include the bed nucleus of the stria terminalis (BNST, E-F), preoptic area (POA, E-F), arcuate nucleus (ARC, G-J), ventromedial hypothalamus (VMH, G-H), and lateral hypothalamic area (LHA, G-I). K-N) IHC was performed for ACTH and rabies-mCherry demonstrating $POMC^{ARC}$ regulation of the PVH using this approach. 3V=third ventricle, aca=anterior part of anterior commissure, MePO=median preoptic area, MPOA=medial preoptic area, DMH=dorsomedial hypothalamus, oc=optic chiasm.

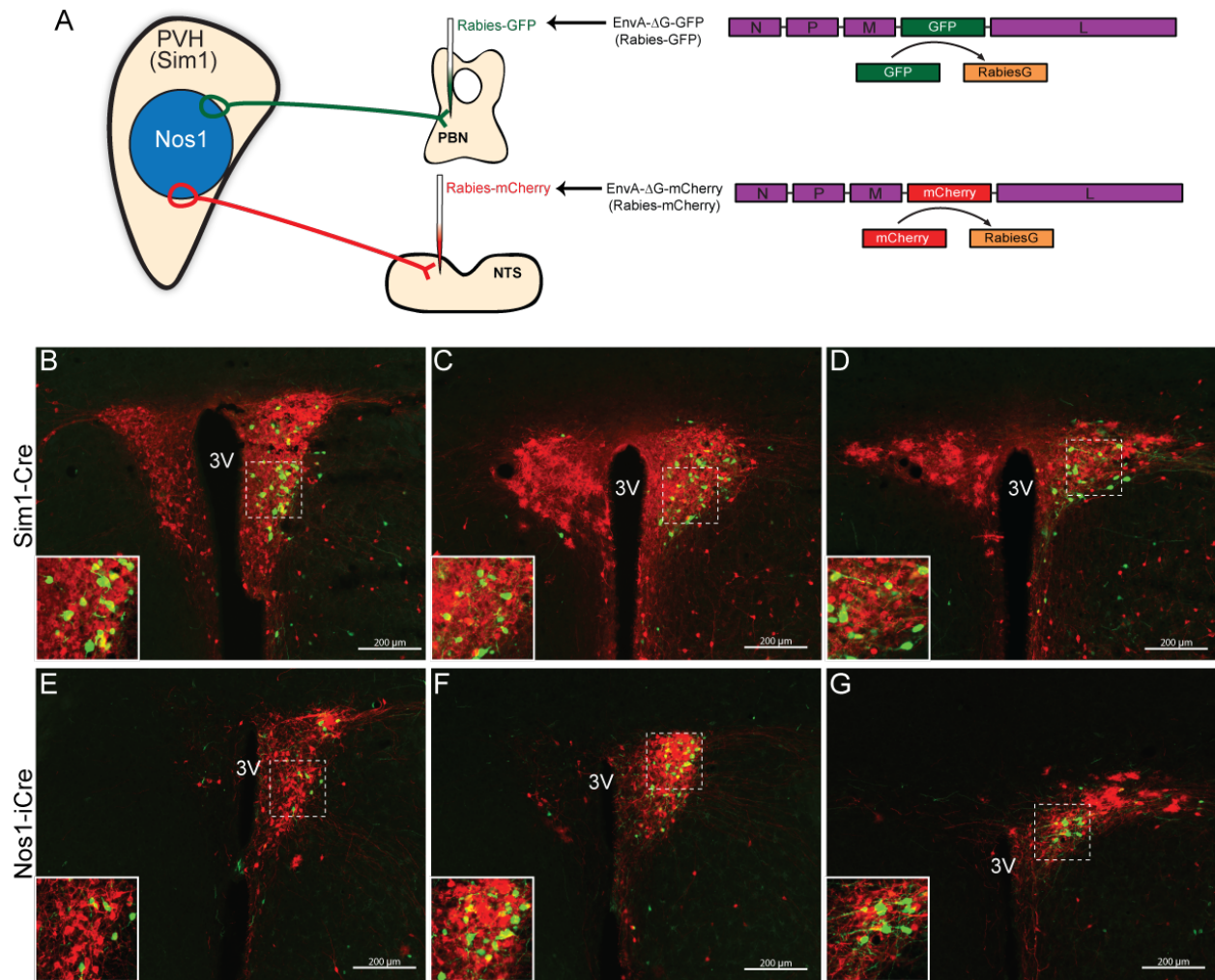


Figure 4.2. Terminal-specific modified rabies virus injection identifies PVH populations regulating PBN and NTS outputs. A) *Sim1-Cre +AAV-Flex-TVA-B19G* and *Nos1-iCre +AAV-Flex-TVA-B19G* mice were injected with rabies-GFP in the PBN and rabies-mCherry in the NTS to determine if PVH neurons collateralize to different projection sites. B-D) IHC throughout the PVH demonstrates little overlap between rabies-mCherry, identifying NTS-projecting $Sim1^{PVH}$ neurons, and rabies-GFP, labeling PBN-projecting $Sim1^{PVH}$ neurons. E-G) Similar distribution of rabies-mCherry and rabies-GFP is observed in the PVH of *Nos1-iCre +AAV-Flex-TVA-B19G* mice. Dashed boxes indicate regions that are digitally enlarged and shown as insets. 3V=third ventricle

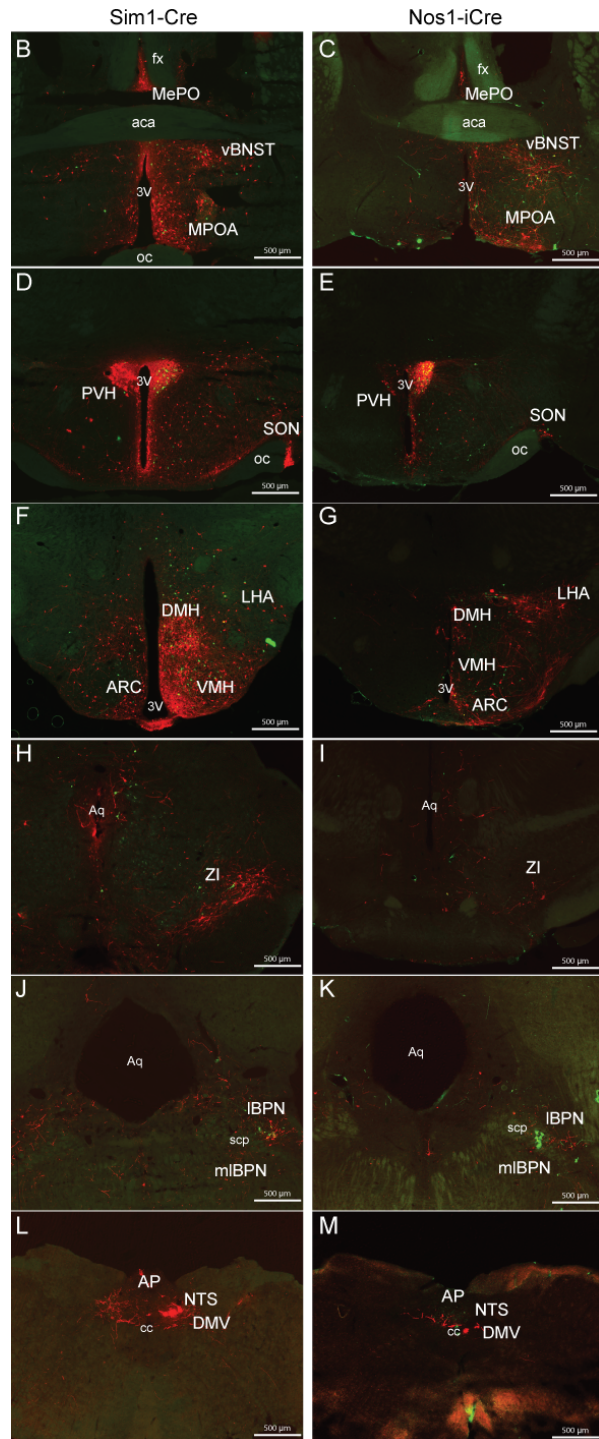
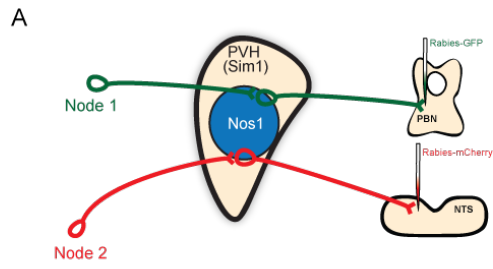


Figure 4.3 Inputs to NTS-projecting or PBN-projecting PVH populations are distinct. A) Monosynaptic inputs to PBN-projecting Sim1^{PVH} and Nos1^{PVH} populations are identified with rabies-GFP, whereas sites upstream of NTS-projecting Sim1^{PVH} and Nos1^{PVH} neurons are labeled by rabies-mCherry. B-H) IHC for GFP and mCherry identifies little overlap in neuronal populations upstream of Sim1^{PVH} populations projecting to the PBN or NTS, respectively. J, L) Rabies-GFP (J) and rabies-mCherry (L) injection sites are identified by glial damage. C-I) Similar localization of rabies-mCherry and rabies-GFP is demonstrated by neurons upstream of the smaller Nos1^{PVH} population when rabies-GFP was injected in the PBN (K) and rabies-mCherry into the NTS (M). fx=fornix, aq=aqueduct, scp=superior cerebellar peduncle, cc=central canal, DMV=dorsal motor nucleus of the vagus, AP=area postrema, ZI=zona incerta

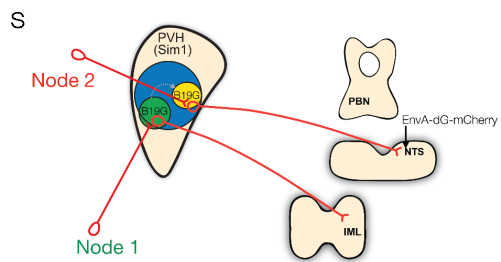
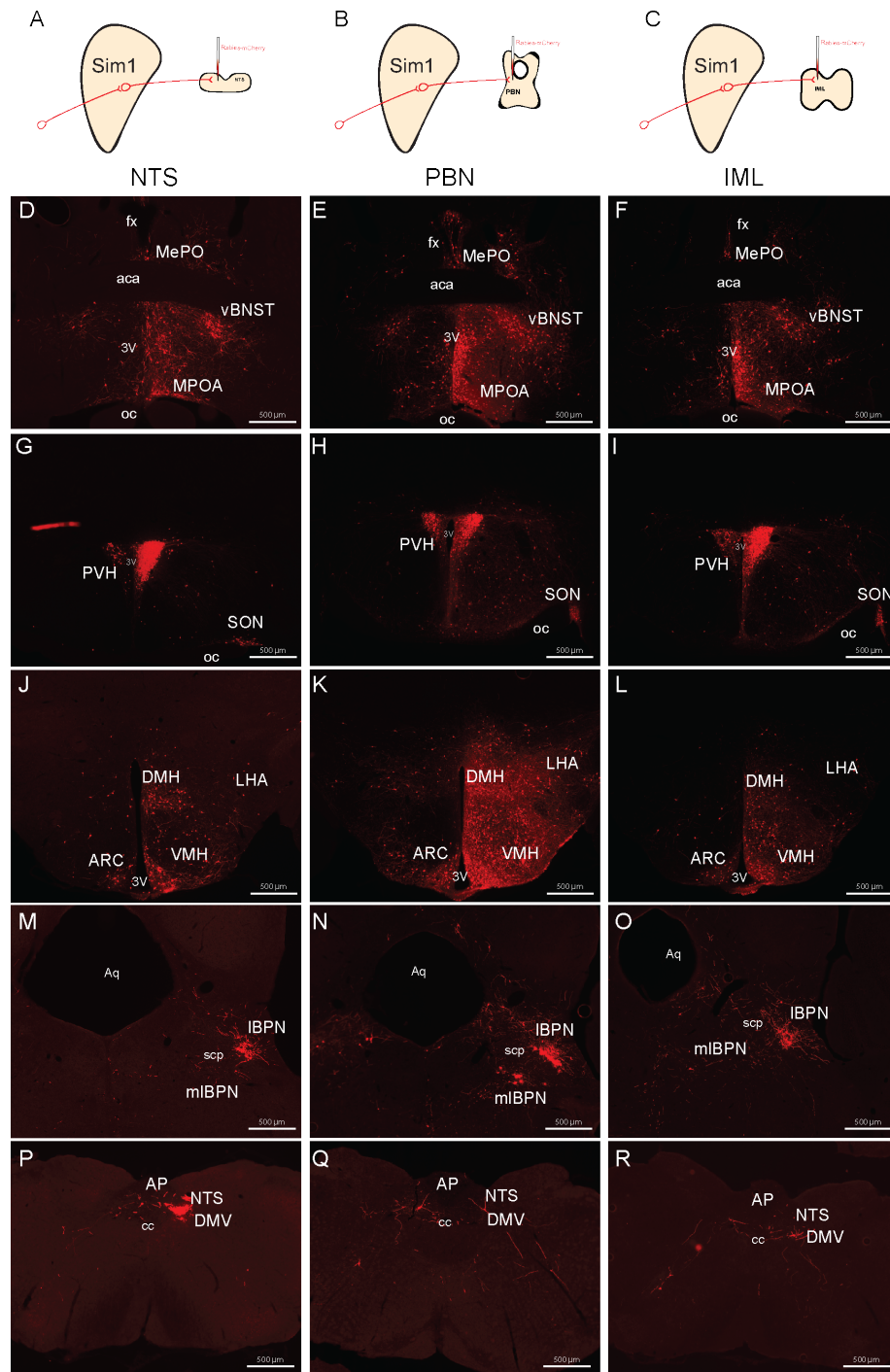


Figure 4.4. Projection-specific rabies-mCherry injection reveals similar inputs to $Sim1^{PVH}$ neurons projecting to the NTS, PBN, or IML. A-C) *Sim1-Cre +AAV-Flex-TVA-B19G* mice were injected with rabies-mCherry in the NTS (A), PBN (B), or IML (C) to compare inputs to projection-defined $Sim1^{PVH}$ neurons. D-F) Similar localization of rabies-mCherry is observed in BNST and POA neurons upstream of NTS-projecting (D), PBN-projecting (E), or IML-projecting (F) $Sim1^{PVH}$ neurons. G-I) Despite different rabies-mCherry injection sites, dense rabies-mCherry expression is observed throughout the PVH. J-L) ARC, VMH, DMH, and LHA populations are all upstream of $Sim1^{PVH}$ neurons projecting to different sites. M, O) PBN neurons lie upstream of NTS-projecting (M) and IML-projecting (O) $Sim1^{PVH}$ neurons. N, P) Gliosis identifies rabies-mCherry injection site in experiments testing inputs to PBN-projecting (N) or NTS-projecting (P) $Sim1^{PVH}$ neurons (N). Q-R) Few NTS neurons lie upstream of parvocellular $Sim1^{PVH}$ neurons. S) Diagram depicting possible caveat with projection-specific modified rabies virus approaches. Since B19G is expressed in all $Sim1-Cre^+$ neurons in the PVH, including neurons not projecting to the rabies-mCherry injection site, intra-PVH connectivity allows for multi-synaptic retrograde transmission of rabies-mCherry.

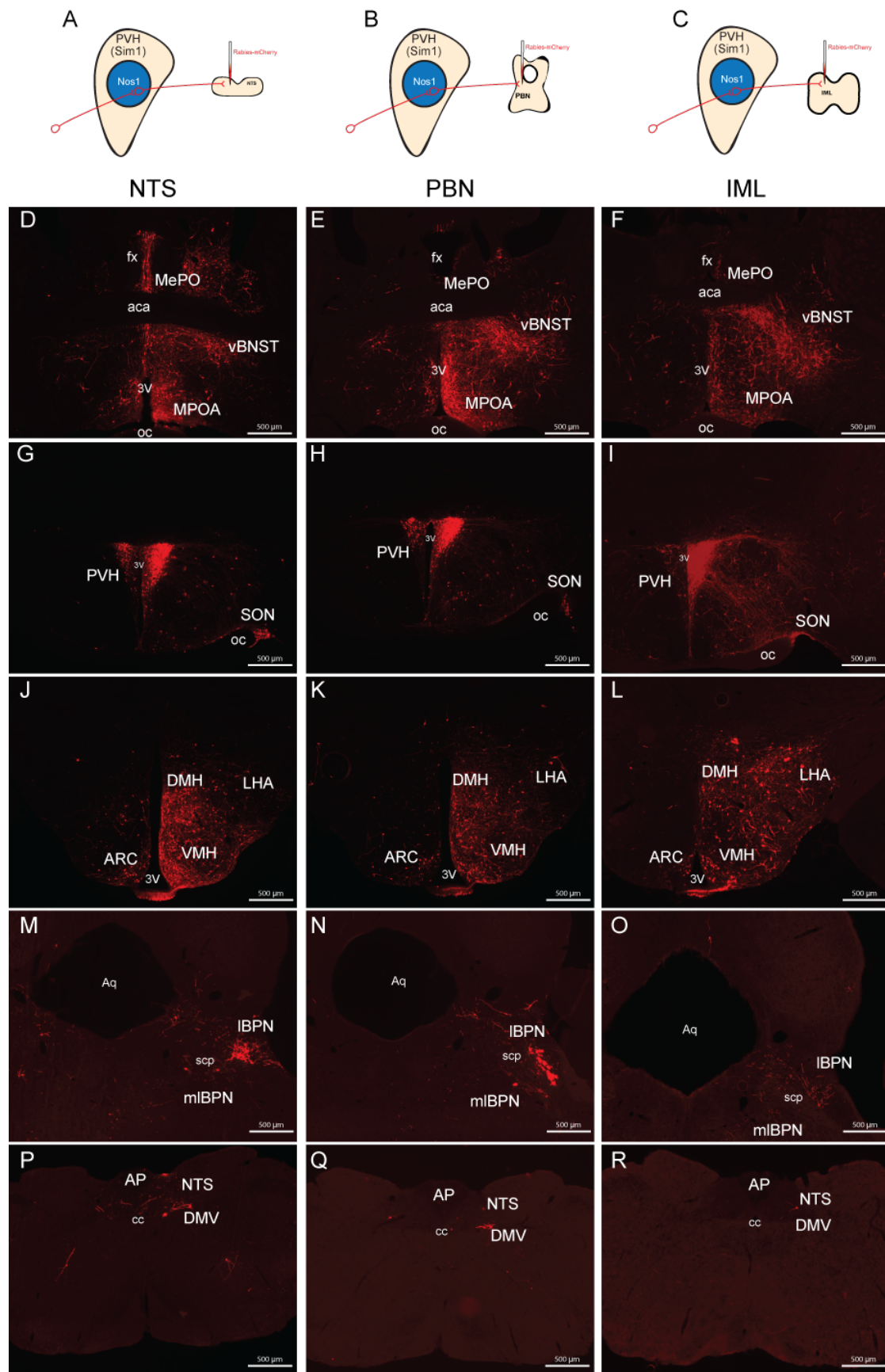


Figure 4.5. Inputs to projection-defined $Nos1^{PVH}$ neurons reveal intra-PVH

network. A-C) Rabies-mCherry injection was performed in distinct projection sites of $Nos1^{PVH}$ neurons, a subset of the $Sim1^{PVH}$ neuronal field, in *Nos1-iCre +AAV-Flex-TVA-B19G* mice. Forebrain (D-F) and hypothalamic (J-L) inputs to NTS-projecting, PBN-projecting, or IML-projecting $Nos1^{PVH}$ neurons are similar to those observed from the entire PVH (using *Sim1-Cre*, Figure 4.4). G-I) Rabies-mCherry identifies dense labeling in the PVH despite different rabies-mCherry injection sites. M,O) PBN neurons are upstream of NTS-projecting $Nos1^{PVH}$ neurons (M), whereas rabies-mCherry expression is largely absent in the PBN of mice with IML-directed rabies-mCherry injections (O). N, P) Glial damage identifies rabies-mCherry injection sites in the PBN (N) and NTS (P). Q-R) Few NTS neurons are upstream of $Nos1^{PVH}$ neurons projecting to the PBN or IML.

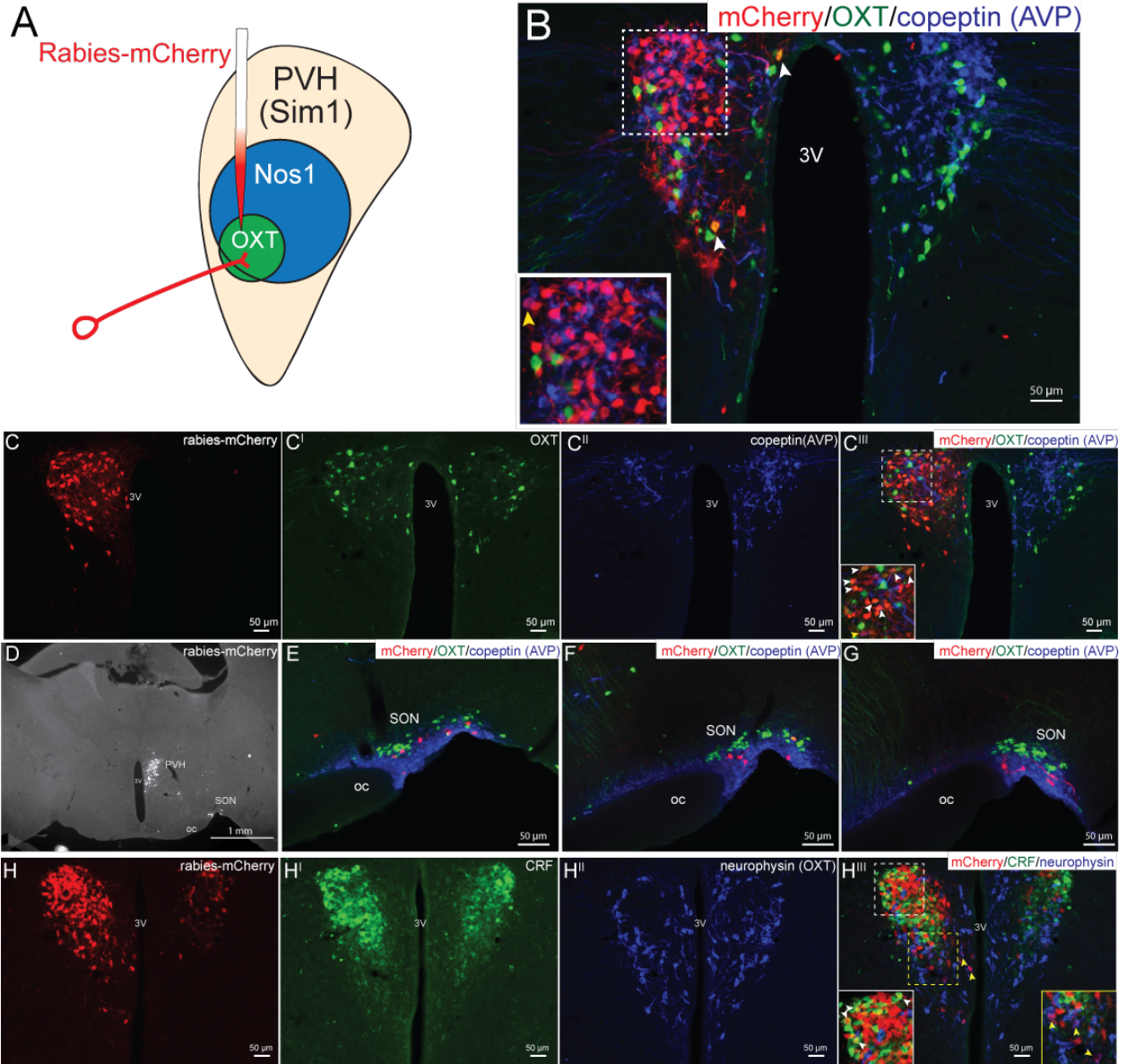


Figure 4.6. Intra-PVH circuits are upstream of OXT^{PVH} neurons. A) Monosynaptic inputs to OXT^{PVH} neurons, a small subset of the Nos1^{PVH} neuronal population, are identified using PVH-directed injection of rabies-mCherry in *OXT-iCre +AAV-Flex-TVA-B19G* mice. B-C) Rabies-mCherry labeling demonstrates that monosynaptic inputs to OXT^{PVH} neurons (green, white arrows) are largely from non-OXT^{PVH} populations, including those expressing copeptin, the carrier molecule for AVP (blue, yellow arrows). D). Although few non-PVH sites lie upstream of OXT^{PVH} neurons, expression of rabies-mCherry is observed in the SON. E-G) Rabies-mCherry identifies SON inputs to OXT^{PVH} neurons largely in the vicinity of AVP^{SON} neurons (blue). H) PVH-directed colchicine in *OXT-iCre +AAV-Flex-TVA-B19G* mice with rabies-mCherry injection in the PVH allows for detection of CRF^{PVH} neurons (green) that co-express rabies-mCherry (white arrows), demonstrating CRF^{PVH} regulation of OXT^{PVH} neurons (identified by neurophysin, blue). Co-localization of neurophysin and mCherry identifies primary infected OXT^{PVH} neurons (yellow arrows). Dashed boxes indicate regions that are digitally enlarged and shown as insets. 3V=third ventricle, SON=supraoptic nucleus, CRF=corticotropin releasing factor, OXT=oxytocin, AVP=vasopressin

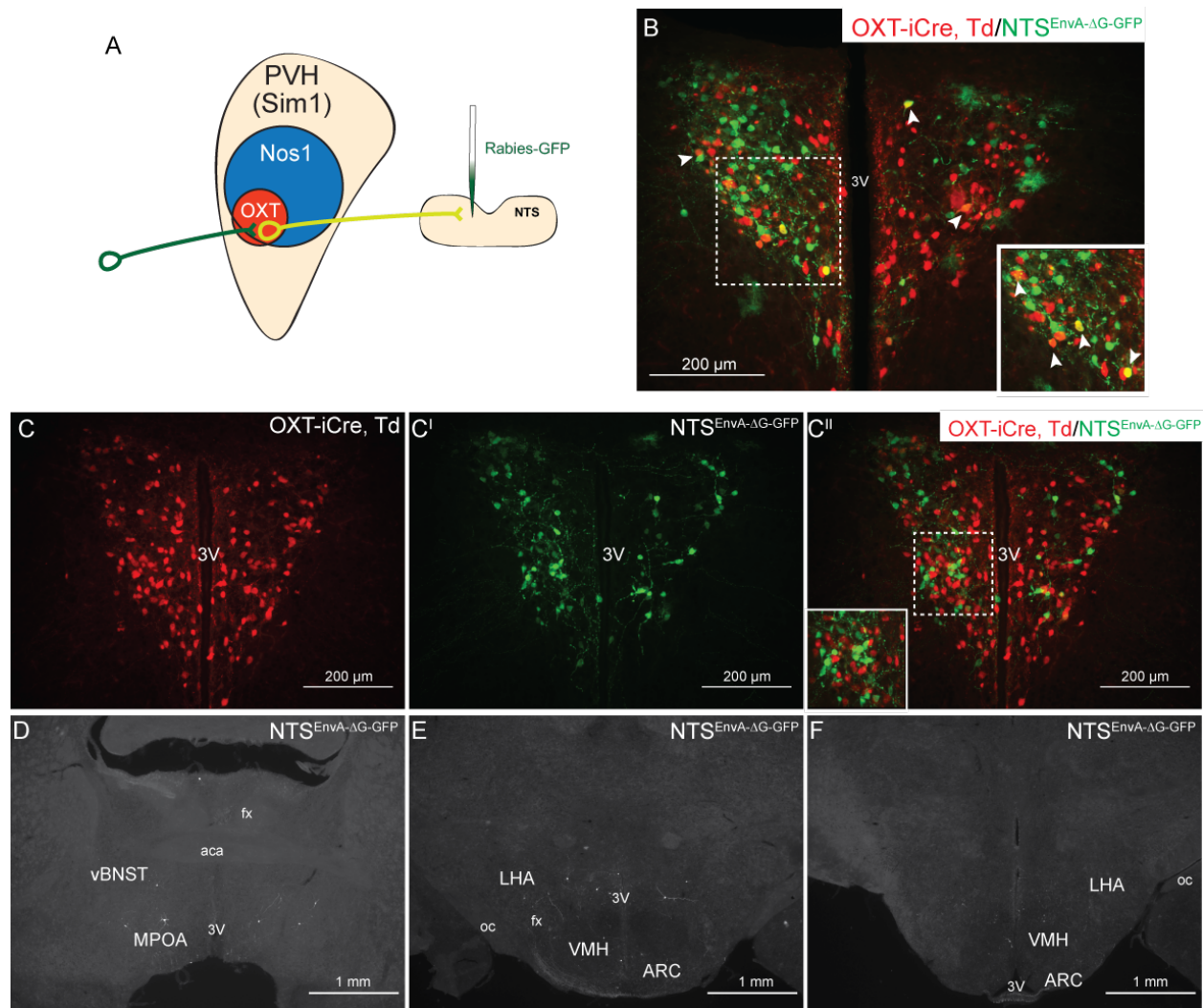


Figure 4.7. Intra-PVH regulation of OXT^{PVH} neuronal populations projecting through the NTS. A) Rabies-GFP was injected in the NTS of *OXT-iCre, Td +AAV-Flex-TVA-B19G* mice to determine inputs to OXT^{PVH} neurons connected to the NTS, likely via OXT^{PVH} fibers of passage. B-C) Co-localization of OXT-iCre, Td neurons (red) with rabies-GFP (green) identifies NTS-projecting OXT^{PVH} neurons (white arrows). D-F) Limited rabies-GFP expression is observed outside of the PVH, demonstrating that inputs to OXT^{PVH} neurons projecting through the NTS are regulated by sites within the PVH. Dashed boxes indicate regions that are digitally enlarged and shown as insets.

Chapter V

Discussion: Distinct PVH subpopulations control energy balance in an intra-PVH network

Early lesioning studies that destroyed the cellular components of the PVH demonstrated a critical role for this complex nucleus in restraining food intake (1, 2). Since PVH neurons are heterogeneous and largely distinct, interrogation of the entire PVH, such as what occurs with anatomical lesions, does not discriminate the capabilities of different PVH circuits to regulate disparate functions, including feeding behavior and energy expenditure. Studies to date have interrogated both the neurocircuitry and function of the neuropeptidergic PVH neurons through the use of nonspecific tracing reagents in combination with knock-out rodent models in an attempt to link potential function with PVH projection targets (3-6). These studies suggested that known neuropeptide populations encompass just 25% of the PVH neurons projecting to hindbrain or spinal cord regions, highlighting a significant gap in our knowledge of the neurochemical identity of PVH circuits regulating autonomic function (7).

Significant questions remain regarding the ability of PVH neurons to control energy balance parameters: does a single PVH population regulate feeding suppression, or can this be achieved by multiple, redundant PVH neuronal subpopulations? Do PVH neurons increase energy expenditure, and if so, which neurons coordinate this response? What are the afferent circuits engaging PVH

subpopulations? Which circuits are used by PVH neurons to carry out diverse physiologic responses, and are these circuits mutually exclusive? In this dissertation, I have addressed some of these questions with the use of novel genetic mouse models in combination with viral reagents to clarify the circuitry and function of PVH cell-types in energy balance control.

Multiple PVH populations can control feeding suppression and energy expenditure

With these studies, we characterize different genetically-marked PVH subpopulations that are largely independent of one another (with the exception of OXT^{PVH} neurons). Our initial experiments suggested that a non-OXT Nos1^{PVH} neuronal population was the primary PVH controller of feeding behavior. Additional studies demonstrate that IRS4^{PVH} neurons, an independent population that does not express Nos1 or OXT, are also sufficient for feeding and energy expenditure regulation. This demonstrates the capacity of multiple, separate PVH populations to control both these parameters and suggests that PVH populations may act redundantly in energy balance regulation. Moreover these distinct neuronal subsets also send dense projections to the same hindbrain and spinal regions, providing a potential mechanism for PVH neuronal subpopulations to each control feeding and energy expenditure parameters, respectively. These initial studies testing sufficiency demonstrated that Nos1 and IRS4 are novel markers of independent PVH populations capable of achieving similar physiologic effects in terms of energy balance regulation.

As the primary pre-autonomic hypothalamic output, it would make sense for PVH circuits to be redundant in nature (8, 9). Coordinating a robust sympathetic response is necessary in a variety of physiologic responses, and suppression of feeding must be achieved at some point during meal ingestion due to the immediate physical limitations of energy intake. With the increasing prevalence of substantial energy intake and corresponding diseases such as obesity, these, potentially redundant, circuits would provide multiple avenues for therapeutic intervention. Future studies employing cell-specific RNA analysis in these different PVH subpopulations could identify the molecular identity of IRS4^{PVH} and Nos1^{PVH} neurons and therefore exploit multiple pathways for intervention.

While our initial chemogenetic activation studies suggest redundancy in the capability of PVH populations to control energy balance parameters, other studies testing the necessity of IRS4^{PVH} neurons in feeding regulation call this theory into question. Indeed, neuronal silencing or ablation of IRS4^{PVH} neurons, a population separate from Nos1^{PVH} neurons, results in robust obesity due to hyperphagia. Therefore, this suggests that while activation of Nos1^{PVH} neurons is sufficient to drive changes in feeding behavior, perhaps this response is dependent on intra-PVH networks requiring IRS4^{PVH} neuronal activity. This theory is further supported by the fact that OXT^{PVH} neurons, a subset of the Nos1^{PVH} population, are not necessary for feeding behavior. Indeed, we demonstrate dense interconnected PVH neurons upstream of hindbrain-projecting IRS4^{PVH} or Nos1^{PVH} neurons. Certainly, depending on the level of PVH interconnectivity, chemogenetic approaches used throughout these studies to activate specific PVH subsets could essentially activate large PVH

populations that are not limited to the subset in question. Whether these interconnected populations are required for the feeding effects observed upon chemogenetic activation is unknown.

Despite the sufficiency of IRS4^{PVH} neurons to drive increased energy expenditure, silencing or ablation of these neurons demonstrates that the IRS4^{PVH} population is not necessary for normal energy expenditure. These results highlight the potential for PVH subsets to be sufficient, but not necessary, in individual energy balance parameters. Moreover, these results suggest that other PVH populations are the relevant regulators of energy expenditure, in the absence of redundancy. Though undetermined in these studies, if Nos1^{PVH} neurons are necessary for energy expenditure regulation, it would suggest that PVH energy expenditure circuits are not redundant, and that Nos1^{PVH} neurons are the necessary output in energy expenditure regulation. To this point, previous reports testing the necessity of OXT and/or OXT^{PVH} neurons, a Nos1^{PVH} subset, suggest the importance of sympathetic output by these neurons in the prevention of diet-induced obesity. Similarly, in the context that Nos1^{PVH} neurons are sufficient, but not necessary for feeding regulation, this would demonstrate a lack of redundancy in PVH circuits controlling feeding, since IRS4^{PVH} neurons are clearly necessary in feeding regulation. With this model, PVH circuits would not be redundant, since the Nos1^{PVH} population would control energy expenditure and IRS4^{PVH} neurons would mediate feeding suppression (Figure 5.1). Yet, due to intra-PVH networks, these neuronal populations could change both energy balance parameters, likely via activation of one another. Future experiments ablating or silencing Nos1^{PVH} neurons would aid in determining the necessity of Nos1^{PVH} neurons in comparison to

the IRS4^{PVH} population, and further our understanding of the potential connections between these populations in the regulation of physiologic outputs. Since current technology limits our ability to detect small decreases in energy expenditure, future studies investigating the necessity of these populations in energy expenditure regulation would benefit by determining if PVH subset silencing affects cold-induced increases in sympathetic output.

Our studies show that multiple PVH neuronal populations are capable of controlling distinct energy balance parameters. This raises the possibility that the PVH populations studied may share some degree of overlap. In Nos1^{PVH} studies, a Cre-dependent reporter mouse was used in combination with immunohistochemical identification of different PVH subtypes. Therefore, PVH subtype analysis may be confounded somewhat by developmental expression of Cre activity by PVH cell types. Certainly, Nos1 immunoreactivity (IR) in adult mice labels far fewer neurons than those identified by Cre-dependent reporter mice. It is unknown if this discrepancy is due to limited Nos1 antibody efficacy. Therefore, since we determined that OXT^{PVH} neurons are a Nos1^{PVH} neuronal subset using reporter mice, it is possible that these populations show limited overlap in the adult mouse. However, studies using antibodies for both OXT peptide and Nos1 peptide in the same brain suggest this is unlikely. The characterization of Nos1^{PVH} versus IRS4^{PVH} neuronal populations is more difficult, since antibodies for IRS4 peptide are unavailable, and germline recombination of reporter constructs crossed to *IRS4-iCre* mice limits the use of reporter mice to identify IRS4^{PVH} neurons. Since our studies used PVH-directed viral reagents dependent on the active expression of Cre recombinase in adult mice, characterization of Cre-expressing PVH

neurons is likely best achieved via injection of a Cre-dependent reporter virus in the PVH of *IRS4-iCre* or *Nos1-iCre* mice. While $IRS4^{PVH}$ neurons identified with this approach demonstrate distinct $IRS4^{PVH}$ and $Nos1^{PVH}$ neuronal populations, future studies employing *in situ* hybridization could also be used to validate the extent of overlap.

PVH circuit mechanisms underlying energy balance control

While these studies identify the projection targets that the PVH may engage to alter feeding and energy expenditure, the specific circuitry used by PVH subpopulations to control different physiologic outcomes has not been determined. It is likely that projections to thoracic spinal cord regions that regulate sympathetic output (i.e. IML) represent the main PVH output driving increased energy expenditure (7, 10, 11). Conversely, direct connections to hindbrain regions capable of sensing gastrointestinal-derived mechanical and peripheral energy status signals are probably coordinating the PVH-mediated satiety response (12, 13). Yet, which hindbrain site mediates this effect is unknown. Theories of PVH projections to the NTS as the primary circuit coordinating melanocortin-induced satiety were recently brought into question, since $Mc4R^{PVH}$ neuronal projections to the PBN, but not the NTS, appear to be the relevant PVH circuit controlling feeding suppression (14). However, the optogenetic approaches used to decipher the functional relevance of these circuits should be interrogated, since terminal-specific activation of PVH projection targets does not eliminate the possibility of action potential back-propagation (15). In addition, we have shown that PVH populations projecting throughout the CNS are highly interconnected. It is therefore

possible that optogenetic activation of $Mc4R^{PVH}$ terminals in one projection site (i.e. PBN) could result in the unintended activation of other local PVH neurons with wider projection targets (i.e. NTS, IML). Future studies could employ terminal-specific inhibitory optogenetic approaches, thereby eliminating the possibility of action potential back-propagation and the potential large-scale PVH neuronal activation following activation of one PVH subset. These studies would therefore clarify the role of specific PVH subset projection targets in controlling feeding behavior and energy expenditure.

Future studies will be required to determine the mechanism used by $IRS4^{PVH}$ and $Nos1^{PVH}$ neurons to regulate feeding suppression. Melanocortinergetic input to the PVH, a primary site of *Mc4R* expression, is widely considered to be the likely mechanism of PVH-controlled satiety regulation (6, 16, 17). Certainly, $Mc4R^{PVH}$ neuronal function is necessary for normal feeding control (6, 18). Yet, $Mc4R^{PVH}$ neurons are a relatively small proportion of the entire PVH, and have little overlap with neuropeptidergic PVH neuronal populations hypothesized to control feeding behavior (18). Though unstudied in this dissertation, it is possible that the $Nos1^{PVH}$ and $IRS4^{PVH}$ populations contain *Mc4R*, and therefore are a component of the *Mc4R*-mediated satiety response. Due to the lack of antibodies specific to *Mc4R* or *IRS4* peptides, the overlap between these populations is unknown. $Nos1^{PVH}$ and $IRS4^{PVH}$ populations likely contain non-*Mc4R* neurons, since chemogenetic activation of these populations alters energy expenditure whereas activation of the $Mc4R^{PVH}$ neuronal population does not. While previous approaches used genetic mouse models to eliminate or re-express *Mc4R* on genetically-identified PVH populations, this approach would have limited application for $IRS4^{PVH}$ or $Nos1^{PVH}$ neurons since extra-PVH expression of *IRS4*, *Nos1*, and *Mc4R* is

broad, therefore making it difficult to limit studies to the PVH. Future experiments using more specific CRISPR/Cas9 reagents could abrogate these problems in order to determine the necessity of Mc4R on IRS4^{PVH} or Nos1^{PVH} neurons.

OXT^{PVH} neuronal circuits do not control feeding behavior

Substantial research indicates that OXT^{PVH} neurons project to the NTS and coordinate a satiety response (4, 5, 19). Moreover an increasing number of therapeutic approaches are using OXT administration in humans to suppress feeding and therefore treat obesity (20). OXT^{PVH} neurons were initially highlighted as a viable candidate for PVH-mediated feeding suppression, since obese *Sim1* haploinsufficient mice routinely demonstrated disproportionate decreases in *Oxt* expression (21, 22). Moreover, central administration of OXT in rodents can suppress feeding (23, 24). Recent studies highlighted the necessity of OXT neuronal inhibition to achieve AgRP-induced feeding suppression using viral reagents driving transgene expression from a relatively short OXT promoter element (19).

The evidence for OXT^{PVH} neuronal projections to hindbrain structures came from co-labeling of NTS-injected retrograde tracing reagents with OXT-IR in the PVH (4, 5, 7). However, the ability of these retrograde tracing reagents to infect fibers of passage has been documented, suggesting the possibility that these connections are potentially fibers of passage headed to the spinal cord (25, 26). Indeed, using a novel *OXT-iCre* mouse model, we demonstrate dense OXT^{PVH} innervation of the IML, and few synaptic terminals in the NTS arising from OXT^{PVH} neurons. In addition, NTS-directed injection of latex microspheres which are transported in a retrograde fashion fail to label OXT-IR

in the PVH. Moreover, our studies clearly demonstrate that OXT^{PVH} neuronal activation cannot alter feeding behavior. Recently, studies employing the same *OXT-iCre* mice used in our studies validated that OXT^{PVH} neurons are not synaptically connected to AgRP neurons, and that OXT^{PVH} neuronal inhibition is not required for AgRP-induced feeding (14). Our rabies virus results support these findings, since ARC populations are not monosynaptically connected to OXT^{PVH} neurons. These studies highlight the importance of using the *OXT-iCre* mouse model rather than the previously used viral approaches that are likely less specific to the OXT^{PVH} neuronal population.

Our results demonstrate the inability of OXT^{PVH} neuronal activation to change feeding behavior; this is in contrast to previous studies that demonstrate feeding suppression following pharmacologic administration of OXT in rodents and humans (20, 23, 24). Anorexic responses to high dose oxytocin administration could possibly be due to off-target pharmacologic effects or engagement of OXTR in peripheral sites. However, since we were unable to dissociate between central and pituitary-projecting OXT^{PVH} neurons, our chemogenetic activation studies may have also increased circulating OXT; this was still unable to change feeding behavior. It is important to note that OXT production is not limited to the PVH and that OXT^{SON} neurons are the primary source of circulating OXT. While this suggests the unlikely potential for non-PVH OXT to coordinate peripheral OXTR-mediated anorexia, additional experiments employing chemogenetic or optogenetic activation of OXT^{SON} neurons would be required to test this hypothesis.

Intra-PVH networks

Using a combination of modified rabies virus approaches, we demonstrate that PVH subpopulations are highly interconnected, and that this network has the potential to regulate multiple sites downstream of the PVH. Consistently, we demonstrate that rabies virus tracing identifies dense local PVH inputs to NTS-projecting, IML-projecting or PBN-projecting PVH neurons. While these studies do not test the functional implications of this interconnected network, it is plausible that multiple neuronal subpopulations within the PVH (e.g. Nos1, IRS4, OXT) all communicate different information relevant to energy balance in order to achieve a coordinated physiologic response. Although the separate IRS4^{PVH} and Nos1^{PVH} neuronal populations have similar functions and projection targets, the presence of an intra-PVH network further suggests the possibility that these pathways are not redundant but instead are coordinated in a complex local circuit to ultimately drive a functional output. Studies testing the necessity of IRS4^{PVH} neuronal activity demonstrate this, since IRS4^{PVH} neurons are required for the feeding response, even though Nos1^{PVH} neurons, a population capable of decreasing feeding, should be unaffected in this situation. Future studies exploring the electrophysiology of non-IRS4^{PVH} neurons following IRS4^{PVH} neuronal ablation or silencing would provide valuable information regarding the importance of interconnected PVH circuits in coordinating energy balance.

The relevance of dense connectivity between the highly heterogenous PVH populations, likely both magnocellular and parvocellular, in the regulation of circuits projecting to hindbrain and spinal cord sites makes sense in the overall regulation of energy balance. PVH neurons are not only important in the regulation of feeding and

energy expenditure but are also essential in the control of a variety of physiologic and behavioral responses, including reproduction, stress responses, anxiety-related behaviors, blood pressure control, and growth (27-29). Certainly, these diverse physiologic functions are highly interrelated and should be communicating to coordinate appropriate homeostatic responses. While previous studies demonstrated that PVH populations had the capability of communicating with one another, they did not demonstrate that these PVH circuits were ultimately connected to hindbrain and spinal cord structures (30, 31). Thus, these studies greatly advance our understanding of the circuit mechanisms potentially used by the PVH to control complex physiologic outcomes. Moreover, this positions the PVH, a primary controller of feeding behavior, as a potential integrator of a variety of circuits and signals that coordinates appropriate feeding responses depending on the current physiologic state. More specifically, these intra-PVH networks have the potential to mediate a variety of physiologic paradigms that result in dysregulated energy balance such as anorexia, stress-induced feeding, dehydration-induced anorexia, and bulimia, to name a few. Therefore, further interrogation of the relevance of these interconnected circuits in a variety of behavioral paradigms associated with energy balance would greatly advance our understanding of PVH-controlled feeding behavior and energy expenditure.

Given the dense interconnectivity among PVH populations, it is tempting to consider the endogenous neuronal activity patterns of PVH subpopulations, especially as it relates to energy balance control. While PVH populations clearly communicate with one another, such as the demonstrated AVP^{PVH} neuronal innervation of OXT^{PVH} neurons, we did not determine the neuronal physiology of these connections. Since

PVH neuronal populations are predominately glutamatergic, it is tempting to hypothesize that neuronal activation of AVP^{PVH} neurons would increase the activity of OXT^{PVH} neurons. From a broader perspective, activation of one PVH subtype could therefore result in large-scale neuronal activity of the entire PVH. It is also possible that glutamate in PVH neurons could bind metabotropic glutamate receptors (mGluR) to achieve pre-synaptic inhibition. Though relatively unstudied, this would provide intra-PVH networks the ability to either activate or inhibit distinct circuits in order to carry out complex physiologic parameters. In addition to glutamate, intra-PVH connections likely use neuropeptides to communicate, though changes in neuronal activity resulting from neuropeptide release would be on a slower time scale than fast-acting neurotransmitters. Future studies employing *ex vivo* and/or *in vivo* calcium imaging techniques could test these hypotheses and further our understanding of the underlying activity patterns used by interconnected PVH networks to potentially regulate energy balance.

Our initial goal with the modified rabies virus tracing system was to determine if PVH circuits engaging distinct hindbrain sites (i.e. PBN vs. NTS) overlapped. Unfortunately, we found that rabies-GFP viral efficacy was lower than rabies-mCherry, limiting our ability to make definitive conclusions about the extent of overlap between the populations upstream of NTS-projecting or PBN-projecting PVH neurons. Moreover, we were unable to determine if PVH neurons collateralize to distinct projection targets, since rabies-GFP and rabies-mCherry labeling identified not only primary PVH neurons projecting to the PBN or NTS, but also the intra-PVH network upstream of these PVH neurons. Previous reports have employed a TVA-only helper

virus in order to determine if PVH populations collateralize to more than one projection site, since terminal-specific injection of modified rabies virus allows for visualization of primary PVH neurons and their projection targets (14, 32). For example, the lack of rabies-labeled terminals in the NTS of mice in which rabies tracing was performed in PBN-projecting Mc4R^{PVH} neurons suggests that Mc4R^{PVH} neurons do not send collateral projections to both the PBN and NTS (14). Yet, the reagents used in these studies employed a TVA-only helper virus that also expresses mCherry for visualization of injection site, limiting its use to rabies-GFP variants only. Given that our results suggest lower efficacy of the rabies-GFP virus, this approach likely underestimates Mc4R^{PVH} populations projecting the PBN and their axons. To ameliorate this problem, future studies will employ a novel Cre-dependent TVA-HA helper virus that allows the use of rabies-mCherry in addition to labeling the injection site by HA-IR. With this approach, we will determine if PVH subpopulations collateralize to multiple projection sites, as well as further characterize the primary PVH neuronal subpopulations projecting to distinct sites.

Our results clearly demonstrate that numerous hypothalamic and forebrain sites densely innervate PVH subpopulations. However, the presence of a highly interconnected PVH network hinders our ability to generate discrete circuit maps of the afferent inputs engaging projection-specific PVH subpopulations. Specifically, we determined that intra-PVH connections between Cre⁺ populations likely resulted in multi-synaptic retrograde transmission of modified rabies virus. Therefore, while we attempted to specifically label inputs to PBN-projecting, NTS-projecting, or IML-projecting PVH neurons, these results largely reflected rabies virus tracing from all Cre⁺

neurons in the PVH (Chapter IV, Figure 4.4S). Although this reflects a significant limitation of the projection-specific rabies virus approach, it also emphasizes the degree of interconnectivity between PVH populations that are ultimately upstream of distinct hindbrain or spinal cord sites. Future studies attempting to identify monosynaptic inputs to projection-defined PVH subpopulations would benefit from interrogating smaller PVH subsets and prior clarification of limited connectivity between Cre-expressing populations.

Overall, our studies demonstrate the importance of interrogating genetically-defined PVH circuits in the characterization of the PVH's ability to control energy balance. While multiple PVH subpopulations are capable of controlling feeding and energy expenditure, we highlight the potential for intra-PVH networks to regulate these distinct parvocellular PVH populations projecting throughout the brain. The significance of this interconnectivity cannot be understated, since heterogenous PVH neuronal populations regulate a variety of physiologic responses. The potential for a circuit connection between these responses in a single anatomical site such as the PVH could provide an inroad to understanding complex behaviors associated with energy balance control. Furthermore, the characterization of genetic populations within this complex PVH network has the potential for identification of therapeutic markers and/or approaches to a variety of complex diseases associated with dysregulated energy balance. Our discoveries highlight the complexity of examining the cellular biology of heterogenous nuclei such as the PVH. Emerging technological approaches including *in vivo* calcium imaging, optogenetics, and terminal-specific chemogenetic reagents will greatly enhance our ability to understand the endogenous network activity and

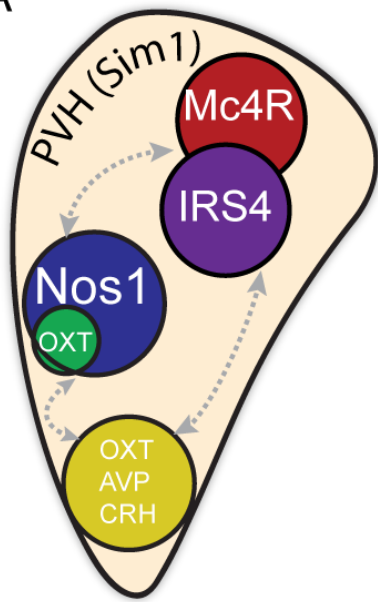
relevance of PVH circuits used to coordinate the homeostatic regulation of energy balance. Additionally, the development of intersectional genetic tools with multiple recombinases (Cre, Flp, Dre) will allow for finer scale dissection of complex nuclei such as the PVH. After all, much like the proportion of previously characterized centrally-projecting PVH populations discovered by Sawchenko *et. al.*, our current understanding of PVH circuits is likely less than 25% of all the mechanisms used by this nucleus to coordinate the complex regulation of energy balance.

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A



B

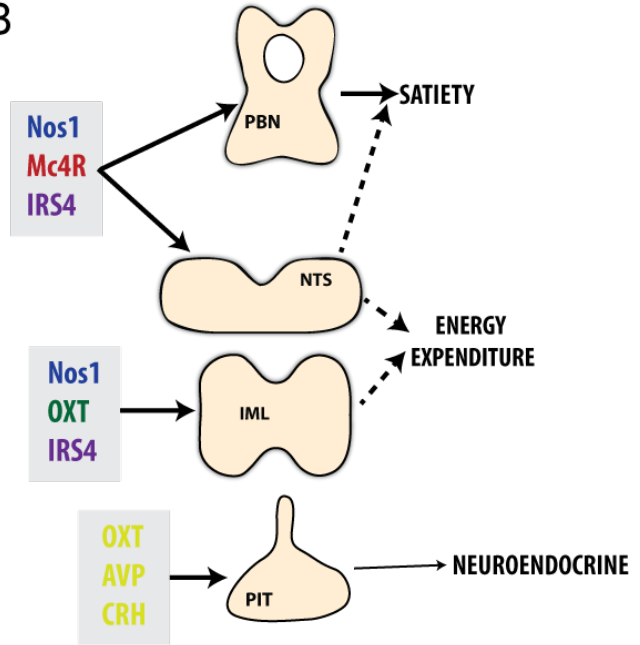


Figure 5.1. Distinct neurocircuits in an intra-PVH network regulate feeding and energy expenditure. A) Model of hypothesized interactions between independent PVH populations demonstrates the potential interconnectivity between $Nos1^{PVH}$, $IRS4^{PVH}$, $Mc4R^{PVH}$, and endocrine PVH neurons (yellow). B) Model for potential circuit mechanisms employed by PVH subpopulations in the regulation of satiety, energy expenditure, and endocrine output. Since $Mc4R^{PVH}$ projections to the PBN have been implicated in the control of feeding suppression, it is likely that $Nos1^{PVH}$ and/or $IRS4^{PVH}$ projections to this site mediate the satiety response. The function of $Nos1^{PVH}$, $IRS4^{PVH}$, and $Mc4R^{PVH}$ neuronal projections to the NTS are unknown, but might mediate energy expenditure and/or feeding suppression. On the other hand, PVH projections to the IML originate from OXT^{PVH} , $Nos1^{PVH}$ and $IRS4^{PVH}$ neurons, and likely coordinate sympathetic output and corresponding energy expenditure regulation. Neuroendocrine PVH neurons (including CRH, OXT, and AVP) regulate pituitary function via direct projections pituitary projections or the hypophyseal portal system.