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# RESEARCH ARTICLE

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#### **Kev Points:**

- Coronal hole origin solar wind is structured as seen by density, field strength, helium abundance, entropy, strahl, Alfvenicity, and so on
- The structure exhibits several variations per supergranule; the structure does not evolve with distance from the Sun from 0.3 AU to 2.3 AU
- The structure scale sizes might be consistent with scale sizes of the open flux funnels emanating from coronal holes

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# The plasma structure of coronal hole solar wind: Origins and evolution

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**Abstract** Whereas slow solar wind is known to be highly structured, the fast (coronal hole origin) wind is usually considered to be homogeneous. Using measurements from Helios 1 + 2, ACE, Wind, and Ulysses, structure in the coronal hole origin solar wind is examined from 0.3 AU to 2.3 AU. Care is taken to collect and analyze intervals of "unperturbed coronal hole plasma." In these intervals, solar wind structure is seen in the proton number density, proton temperature, proton specific entropy, magnetic field strength, magnetic field to density ratio, electron heat flux, helium abundance, heavy-ion charge-state ratios, and Alfvenicity. Typical structure amplitudes are factors of 2, far from homogeneous. Variations are also seen in the solar wind radial velocity. Using estimates of the motion of the solar wind origin footpoint on the Sun for the various spacecraft, the satellite time series measurements are converted to distance along the photosphere. Typical variation scale lengths for the solar wind structure are several variations per supergranule. The structure amplitude and structure scale sizes do not evolve with distance from the Sun from 0.3 to 2.3 AU. An argument is quantified that these variations are the scale expected for solar wind production in open magnetic flux funnels in coronal holes. Additionally, a population of magnetic field foldings (switchbacks, reversals) in the coronal hole plasma is examined: this population evolves with distance from the Sun such that the magnetic field is mostly Parker spiral aligned at 0.3 AU and becomes more misaligned with distance outward.

### 1. Introduction

There is generally considered to be three types of solar wind plasma: fast wind, slow wind, and ejecta [cf. Richardson et al., 2000; Neugebauer et al., 2003; Zhao et al., 2009; Reisenfeld et al., 2013]. A recent categorization by Xu and Borovsky [2015] [see also Antonucci et al., 2005; Schwenn 2006; Susino et al., 2008] separates the solar wind plasma into four types: coronal hole origin plasma (fast wind), streamer belt origin plasma, sector-reversal-region plasma, and ejecta. The open magnetic flux of active regions on the Sun can also be a source of (mainly slow) solar wind [e.g., Kojima et al., 1999; van Driel-Gesztelyi et al., 2012; Slemzin et al., 2013; Culhane et al., 2014].

Coronal hole origin plasma (fast wind) is known to originate from the open flux coronal hole regions on the Sun [Krieger et al., 1973; Bame et al., 1976; Levine et al., 1977]. Coronal hole origin solar wind is noted for low number densities [Belcher and Davis, 1971], high proton entropies [Burlaga et al., 1990; Borovsky and Denton, 2010], and low heavy-ion charge-state ratios [Zurbuchen et al., 2002; von Steiger et al., 2010]. Coronal hole origin solar wind is characterized by the presence of He<sup>++</sup> beams moving ahead of the protons by a large fraction of a proton Alfven speed [e.g., Marsch et al., 1982; Neugebauer et al., 1996] and coronal hole origin solar wind is characterized by a well-defined unidirectional electron strahl [Feldman et al., 1978; Fitzenreiter et al., 1998; Hammond et al., 1996]. The protons and alpha particles of the coronal hole origin solar wind exhibit heating (increasing specific entropy) with distance from the Sun in the inner heliosphere [Schwenn et al., 1981; Freeman and Lopez, 1985; Hellinger et al., 2011; Borovsky and Gary, 2014].

Coronal hole origin solar wind plasma is filled with current sheets (directional discontinuities) [Siscoe et al., 1968; Vasquez et al., 2007], as are the other types of solar wind plasma; the current sheets of the coronal hole origin plasma and streamer belt origin plasma are Alfvenic [Neugebauer, 1985], with the coronal hole origin current sheets being the most Alfvenic [Borovsky and Denton, 2010]. The current sheets being Alfvenic means they are colocated with velocity shears [De Keyser et al., 1998; Neugebauer, 2006]. Across the current sheets of the coronal hole origin plasma the solar wind magnetic field can rotate by 90° or more and the vector velocity of the solar wind can jump by the Alfven speed [Borovsky, 2012a]. The abrupt velocity vector jump can have a magnetosonic Mach number  $M_{ms} \sim 0.8$  [Borovsky and Steinberg, 2014].

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Coronal hole origin plasma is sometimes considered to be structure free or relatively homogeneous compared with slower solar wind [e.g., Bame et al., 1977; Parhi et al., 1999; Bavassano et al., 2004; Borovsky, 2012b; Poletto, 2013; Podesta, 2013]; however, it is not homogeneous. As will be seen in this study, the unperturbed intervals of coronal hole origin plasma show factor of 2 variations in the number density, temperature, magnetic field strength, helium abundance, heavy-ion charge-state ratios, electron-strahl intensity, and proton specific entropy. Well-known examples of plasma structure in the coronal hole origin solar wind are the microstreams of the polar solar wind [Neugebauer et al., 1995, 1997; Neugebauer, 2012] and pressure-balance structures at low and high latitudes [Thieme et al., 1990; Reisenfeld et al., 1999]. Connections have been explored between microstreams in the coronal hole origin solar wind and polar plumes [Neugebauer et al., 1997] and X-ray jets [Neugebauer, 2012] seen at the Sun and connections have been explored between pressure-balance structures in the solar wind and supergranules [Thieme et al., 1989] and macrospicules and polar plumes [Thieme et al., 1990; McComas et al., 1995; Reisenfeld et al., 1999; Yamauchi et al., 2002, 2003].

In this report structure in the coronal hole origin solar wind will be examined from 0.3 to 2.3 AU using the Helios 1+2, ACE, Wind, and Ulysses spacecraft. Care will be taken to collect and analyze intervals of "unperturbed coronal hole plasma" that are not compressed or rarefied. Structure as measured by the proton number density, proton temperature, proton specific entropy, magnetic field strength, helium abundance, heavy-ion charge-state ratios, electron-strahl intensity, and Alfvenicity will be examined. Measurements of structure at different distances from the Sun on different spacecraft will be compared by converting the time series of measurements into distance along the photosphere.

This manuscript is outlined as follows. In section 2 the data sets utilized in the present study are described. In section 3 the collected intervals of unperturbed coronal hole plasma are described: intervals at 1 AU in the ecliptic plane in section 3.1, intervals at 0.3 and 0.6 AU in the ecliptic plane in section 3.2, and intervals at ~2.3 AU over the poles in section 3.3. Section 4 describes the nature of structure seen in the coronal hole origin solar wind and examines correlations between the various quantities measured in coronal hole origin solar wind. In section 5.1 factors are developed to convert spacecraft time series into distance along the photosphere of the solar wind origin footpoint. In section 5.2 those conversions are utilized to compare structure measured by different spacecraft at different distances from the Sun. The analysis of structure in the coronal hole origin solar wind is summarized in section 6. Section 7.1 discusses the expected structure sizes in solar wind emanating from coronal holes, and section 7.2 estimates the effects of solar wind turbulence on structure in the coronal hole origin plasma.

#### 2. Data Sets Utilized

To study unperturbed coronal hole plasma with the Helios 1+2 spacecraft near the Sun, with the Wind and ACE (Advanced Composition Explorer) spacecraft at 1 AU, and with the Ulysses spacecraft in polar crossings at  $\sim$ 2 AU, several data sets will be utilized.

At 1 AU plasma measurements with 64 s time resolution from ACE SWEPAM (Solar Wind Electron Proton Alpha Monitor) [McComas et al., 1998] will be utilized along with merged magnetic field measurements from ACE MAG (Magnetometer) [Smith et al., 1998]. Heavy-ion measurements from ACE SWICS (Solar Wind Ion Composition Monitor) [Gloeckler et al., 1998] (version 1p1) are also used for events prior to Day 233 of 2011. To check ACE SWEPAM measurements of the  $\alpha/p$  density ratio, fitted measurements from the Faraday cups on Wind SWE (Solar Wind Experiment) [Ogilvie et al., 1995; Kasper et al., 2006] are used. For higher-time resolution measurements of the solar wind at 1 AU the 3 s plasma measurements of Wind 3DP (3-D Plasma Analyzer) [Lin et al., 1995] and the 3 s magnetic field measurements from Wind MFI (Magnetic Field Investigation) [Lepping et al., 1995] are also utilized. Hourly averaged values of solar wind quantities from the multispacecraft OMNI2 data set [King and Papitashvili, 2005] will also be utilized.

In the inner heliosphere the 40 s time resolution merged plasma and magnetic field data set from Helios 1 and Helios 2 [Rosenbauer et al., 1977; Denskat and Neubauer, 1982] is utilized.

From Ulysses, plasma measurements from SWOOPS (Solar Wind Observations Over the Poles of the Sun) [Bame et al., 1992] with 4 min time resolution and magnetic field measurements from VHM (Vector Helium Magntometer) [Balogh et al., 1992] with 1 min time resolution are used.



Table 1. 7	The Collect	ion of 66 Start I		of Unper		Coronal F Prio		ma at 1 AU Subseq					
Flattop #	Year	Day	UT	Day	UT	Day	UT	Day	UT	W <sub>flat</sub> (hr)	W <sub>hss</sub> (hr)	$<$ $v_r$ $>$ (km/s)	$< r^2 B_r > (nT AU)$
1	2003	153	22	155	16	152	18			43		791 ± 26	-3.44
2	2003	160	19	162	14					44		$690 \pm 21$	-2.93
3	2003	178	16	181	15	177	13	182	16	72	123	$730 \pm 38$	-4.08
4	2003	185	14	186	18	184	13			29		$750 \pm 21$	-2.68
5	2003	210	1	213	13	209	11	215	20	85	153	$775 \pm 33$	-4.16
6	2003	234	12	236	0	233	2	239	22	37	164	743 ± 21	-3.44
7	2003	253	18	255	22	252	8	256	17	53	105	615 ± 29	2.80
8	2003	344	18	349	5	342	10	351	12	108	218	$765 \pm 37$	-3.70
9 10	2004 2004	43 60	16 12	46 64	16 11	43 59	1 0	48 64	4 18	73 96	123 138	656 ± 34 640 ± 31	3.46 -2.67
11	2004	141	21	146	9	141	4	146	21	109	136	493 ± 21	-2.79
12	2005	39	10	41	13	38	14	43	11	52	117	$711 \pm 18$	3.94
13	2005	65	21	69	3	65	7	71	10	79	147	687 ± 38	3.18
14	2005	281	10	284	5	281	2	285	3	68	96	641 ± 29	2.17
15	2005	308	13	311	12	306	19	312	10	72	135	$661 \pm 29$	2.51
16	2005	334	19	338	15	334	0	340	6	93	150	691 ± 30	2.30
17	2005	362	2	364	1	361	14	365	9	48	90	$686 \pm 21$	2.78
18	2006	132	1	134	0	131	2	135	8	48	102	$613 \pm 22$	2.16
19	2006	158	11	161	0	157	16	162	12	62	115	$630 \pm 25$	2.06
20	2006	166	22	169	16	165	20	171	7	67	131	$575 \pm 18$	-2.64
21	2006	186	12	187	22	185	19	189	17	35	93	598 ± 18	1.45
22	2006	213	19	215	17	212	10	216	12	47	97	549 ± 14	1.22
23	2006	240	20	242	6	239	15	242	15	35	72 124	542 ± 13	0.73
24 25	2006 2006	294 328	4 11	297 330	0 17	293 327	19 13	298 333	23 14	69 55	124 145	569 ± 37 605 ± 21	2.16 2.51
26	2007	3	11	5	17	1	21	555 6	20	57	119	$645 \pm 23$	-2.31 -2.24
27	2007	16	23	20	2	15	11	22	0	76	157	$648 \pm 21$	-2.11
28	2007	29	23	31	8	29	9	33	4	34	91	675 ± 24	-2.61
29	2007	72	6	75	16	71	7	78	16	83	177	647 ± 31	-1.81
30	2007	118	12	120	11	117	16	122	16	48	120	$640 \pm 27$	2.10
31	2007	128	14	129	11	127	14	130	1	22	59	$601 \pm 13$	-1.63
32	2007	139	7	140	6	138	11	141	4	24	65	$616 \pm 18$	-2.19
33	2007	145	4	148	3	144	1	148	17	72	111	$653 \pm 23$	2.50
34	2007	210	21	214	6	210	12	216	3	82	135	$571 \pm 33$	2.15
35	2007	239	9	240	17	238	18	242	17	33	94	638 ± 17	1.52
36	2007	245	17	247	0	264	0	260	20	32	122	626 ± 21	-2.10
37 38	2007 2007	265 292	10 15	267 293	15 15	264 291	8 18	269 294	20 15	54 25	132 69	645 ± 24 640 ± 19	2.12 2.68
39	2008	6	7	8	12	5	7	10	13	54	126	647 ± 27	2.39
40	2008	15	1	20	1	13	13	22	9	121	212	$642 \pm 29$	-2.06
41	2008	33	0	35	5	32	8	37	8	54	120	$600 \pm 15$	3.11
42	2008	42	13	47	6	41	8	49	3	114	187	649 ± 22	-2.01
43	2008	70	16	75	18	69	4	77	21	123	209	$637 \pm 36$	-2.01
44	2008	108	10	110	23	107	11	112	10	62	118	523 ± 16	-1.59
45	2008	152	1	154	6	150	20	156	0	54	124	$583 \pm 21$	-2.34
46	2008	179	0	180	1	177	17	181	10	26	89	$624 \pm 19$	-1.67
47	2008	194	17	197	14	194	2	200	5	70	147	$657 \pm 25$	1.84
48	2008	205	4	206	3	204	13	206	16	24	51	631 ± 11	-1.85
49	2008	223	5	224	16	222	11	227	0	36	109	624 ± 13	1.65
50	2008	286	2	287	5	285	11	288	18	28	78 00	526 ± 12	-1.91
51 52	2008 2009	303 232	17 22	305 235	4 0	302 232	20 1	307 235	0 8	36 51	99 78	675 ± 12 510 ± 19	2.28 1.05
53	2009	325	22 18	326	19	325	6	233 327	0	26	76 42	$510 \pm 19$ $522 \pm 16$	1.34
54	2019	168	0	169	13	166	14	170	5	38	87	$522 \pm 10$ $527 \pm 13$	-2.41
55	2010	181	10	185	8	180	18	187	21	95	171	$610 \pm 26$	1.43
56	2010	237	6	240	1	236	3	241	6	68	123	$658 \pm 15$	1.38
57	2010	297	5	299	11	295	19	301	13	55	138	$628 \pm 23$	1.48
58	2011	7	17	10	8	7	9	10	23	64	85	$599 \pm 24$	1.89
59	2011	93	5	94	12	92	5	95	0	32	66	578 ± 19	1.29
60	2011	120	17	122	18	119	22	124	20	50	118	$646 \pm 32$	2.35



Table 1. (d	Table 1. (continued)												
		Start l	JCHP	End U	JCHP	Prio	r SI	Subseq	uent SI				
Flattop #	Year	Day	UT	Day	UT	Day	UT	Day	UT	W <sub>flat</sub> (hr)	W <sub>hss</sub> (hr)	$<$ $v_r$ $>$ (km/s)	$< r^2 B_r > (nTAU)$
61	2011	174	16	176	11	173	19	178	22	44	123	$606 \pm 13$	2.15
62	2011	201	14	203	14	200	7	204	20	49	108	$662 \pm 29$	2.33
63	2012	104	10	105	16	103	15	106	8	31	65	$580 \pm 22$	1.69
64	2012	130	23	133	14	130	11	134	9	64	94	$585 \pm 29$	2.00
65	2012	157	12	158	23	156	5	162	3	36	142	$690 \pm 24$	2.31
66	2012	183	6	185	0	182	9	185	18	43	81	$646 \pm 13$	2.17

<sup>&</sup>lt;sup>a</sup>These are high-speed streams with "flattop" velocity profiles that were each inspected to ensure that they were unperturbed coronal hole plasma. UCHP is an abbreviation for unperturbed coronal hole plasma. Missing times in the table indicate features that could not clearly be located.

# 3. Intervals of Unperturbed Coronal Hole Plasma

For the study of structure in coronal hole origin solar wind plasma, intervals of unperturbed coronal hole plasma are collected and cataloged in Table 1 (at 1 AU), in Table 2 (at 0.3 and 0.6 AU), and in Table 3 (at ~2 AU).

#### 3.1. Flattop High-Speed Streams at 1 AU

The first collection of unperturbed coronal hole plasma is comprised of a selection of 66 27 day repeating high-speed streams at 1 AU that have each attained a maximum velocity and which each approximately maintain that velocity for a day or so. (See Feldman et al. [1976a] for an early collection of such events.) The temporal profile of the solar wind velocity for the selected high-speed streams has a "flattop-like" shape owing to the velocity plateau. These intervals are cataloged in Table 1. This selection of 66 events is much more restrictive than a general collection of high-speed streams [e.g., Xystouris et al., 2014]. An example of such a flattop high-speed stream (Flattop12) is shown in Figure 1. The portion of the flattop velocity that is chosen to represent unperturbed coronal hole plasma commences when the solar wind magnetic field strength drops to a more or less constant value early in the flattop region (cf. Figure 1 (bottom)). The region of elevated magnetic field that is eliminated is considered to be compressed coronal hole plasma at the end of the corotating interaction region (CIR) prior in time to the unperturbed coronal hole plasma. The termination of the flattop event is taken to be the point in time at which the solar wind velocity begins to systematically drop (cf. Figure 1 (top)): this point is believed to be the onset of the rarefaction region on the trailing edge of the high-speed stream [cf. Borovsky and Denton, 2016]. Other plasma parameters are examined to ensure that the flattop region does not contain ejecta-like plasma: the event is eliminated if there is an extended (multihour) interval of nonecliptic magnetic field direction (indicating ejecta) [Lepping et al., 2005; Borovsky, 2010], if there is a region of anomalously low proton temperature (signaling three-dimensional expansion, again ejecta) [Gosling et al., 1973; Elliott et al., 2005], or if there is a region of bidirectional strahl (again indicating the possibility of ejecta) [Gosling et al., 1987; Richardson and Cane, 2010].

In the catalog of 66 intervals of unperturbed coronal hole plasma (flattop intervals) at 1 AU in Table 1, the onset and termination times of the chosen intervals of the flattops are listed, the times of the leading-edge stream interface prior to each flattop interval are listed, and the times of the trailing-edge stream interface

Table 2. The Collection of Five Intervals of Unperturbed Coronal Hole Plasma in the Helios 1 and Helios 2 Data Sets

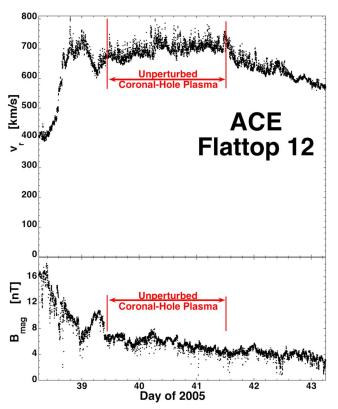
			Start l	JCHP	End UCHP				
Flattop Interval	Spacecraft	Year	Day	UT	Day	UT	Distance (AU)	$<$ v $_r>$ (km/s)	$< r^2 B_r > (nT AU)$
1	Helios 1	1975	40	8	45	21	0.61-0.67	694 ± 44	-3.10
2	Helios 1	1975	72	16	75	2	0.31	$621 \pm 48$	-3.01
3	Helios 1	1976	66		70		~0.5		
4	Helios 1	1976	75		79		~0.4		
5	Helios 2	1976	105	14	113	1	0.29–0.31	709 ± 55	+2.76

<sup>&</sup>lt;sup>a</sup>Flattop Intervals 3 and 4 have poor data coverage.

<b>Table 3.</b> The Collection of Polar Crossings by Ulysses (Latitude >75°) <sup>a</sup>											
		Sta	Start		End						
Polar Crossing	Pole Crossed	Year	Day	Year	Day	r (AU)	$<$ v $_r>$ (km/s)	$< r^2 B_r > (nTAU)$			
1	south	1994	209	1994	293	2.0-2.6	$769 \pm 23$	-3.09			
2	north	1995	184	1995	248	1.8-2.3	$787 \pm 21$	2.79			
3	south	2000	282	2000	366	2.0-2.6	$389 \pm 62$	-0.67			
4	north	2001	256	2001	320	1.8-2.3	$732 \pm 41$	-3.09			
5A	south	2006	356	2007	34	2.4-2.7	$769 \pm 19$	2.40			
5B	south	2007	42	2007	76	2.1-2.4	$747 \pm 18$	2.36			
6	north	2007	350	2008	50	1.9-2.3	$763 \pm 25$	-1.97			

<sup>a</sup>The comet-McNaught encounter (Days 35–41 of 2007) was eliminated from Polar Crossing 5, splitting that crossing into the two intervals 5A and 5B. Polar Crossings 3 and 4 are not unperturbed coronal hole plasma. Crossing 6 has limited data resolution.

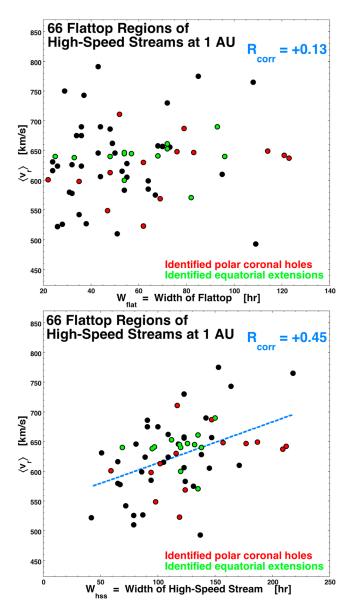
following each flattop interval are listed. The leading edge stream interface is taken to be the point in time at which the proton specific entropy  $S_p = T_p/n_p^{2/3}$  crosses from below  $S_p = 4 \,\mathrm{eV}\,\mathrm{cm}^2$  to above  $S_p = 4 \,\mathrm{eV}\,\mathrm{cm}^2$  [cf. Borovsky and Denton, 2010], usually in a sudden jump. This signifies the time at which the spacecraft crosses from low-entropy streamer belt plasma into high entropy coronal hole plasma [Burlaga et al., 1990; Siscoe and Intriligator, 1993]. The trailing edge stream interface is more difficult to identify: J. E. Borovsky and M. H. Denton (2016, submitted manuscript) find the best indication of the trailing-edge stream interface to be an inflection point in the velocity-versus-time plot for the trailing edge of the high-speed stream. This inflection point is believed to be the center point (maximum rarefaction) of the trailing-edge rarefaction and



**Figure 1.** An example of a flattop high-speed stream at 1 AU as observed by ACE in 2005. (top) The radial velocity of the solar wind is plotted with 64s time resolution and the interval of unperturbed coronal hole plasma is indicated with the red double arrow and (bottom) the magnetic field strength is plotted with 64s time resolution.

hence a good choice for the boundary between fast coronal hole plasma and slow streamer belt plasma. In Table 2 the width (temporal duration)  $W_{\rm flat}$  of the unperturbed coronal hole plasma and the width (temporal duration)  $W_{\rm hss}$  of the high-speed stream (time of leading-edge stream interface to the time of trailing-edge steam interface) are listed for each flattop interval. In the collection, the widths  $W_{\rm flat}$  of the intervals of unperturbed coronal hole solar wind vary from 22 h to 123 h.

In Figure 2 (top) the average solar wind radial velocity  $\langle v_r \rangle$  in each of the 66 intervals of Table 1 is plotted as a function of the width  $W_{\text{flat}}$  of the flattop regions. The Pearson linear correlation coefficient between  $< v_r >$  and  $W_{flat}$  is  $R_{\rm corr} = +0.13$ . For 66 independent data points, significant correlation (2 sigma level) occurs for  $|R_{corr}| > 0.24$ , so  $< v_r >$  and  $W_{\text{flat}}$  are uncorrelated. In Figure 2 (bottom) the average solar wind velocity  $< v_r >$  for each of the 66 flattop intervals is plotted as a function of the width  $W_{hss}$  of the high-speed streams: the Pearson linear correlation coefficient between  $\langle v_r \rangle$  and  $W_{hss}$  is  $R_{corr} = +0.45$ , a significant correlation with  $|R_{corr}|$  > 0.24 being the 2 sigma level of



**Figure 2.** For the 66 intervals of unperturbed coronal hole plasma at 1 AU, the average solar wind radial velocity for each interval is plotted (top) as a function of the width of the unperturbed interval and (bottom) as a function of the width of the high-speed stream. Red and green centers indicate the categorization of the high-speed streams by *Ko et al.* [2014].

correlation. In Figure 2 (bottom) a linear regression to the data is plotted as the blue dashed line. In Figure 2 (top and bottom) high-speed streams identified by *Ko et al.* [2014] as originating in polar coronal holes are marked with a red central dot and high-speed streams identified by *Ko et al.* [2014] as originating in equatorward extensions of coronal holes are marked with a green central dot.

Table 4 lists the average of the 66 mean values of several quantities for the 66 flattop intervals of Table 1. In Table 5 the Pearson linear correlation coefficients R<sub>corr</sub> between the 66 values of the various averaged quantities are listed. Significant correlation occurs for  $|R_{corr}| > 0.24$ . Some of the stronger correlations to note in Table 5 are the following. There are strong positive correlations between the width  $W_{hss}$ of the coronal hole stream and the averaged value of the solar wind radial velocity  $\langle v_r \rangle$ , the averaged value of the magnetic field strength  $< B_{\text{mag}} >$ , and the averaged value of the radial component of the magnetic field  $|<B_r>|$  (where the absolute value of the individual averages  $< B_r >$  is taken since some coronal holes are in toward sectors and some are in away sectors). A related set of correlations are strong positive correlations between  $\langle v_r \rangle$ ,  $\langle B_{\text{mag}} \rangle$ , and  $|\langle B_r \rangle|$ , probably caused by the open flux expansion factor being inversely related to W<sub>hss</sub> [Levine et al., 1977; Wang and Sheeley, 1990; Suzuki, 2006]. Note that a correlation between  $W_{hss}$ and  $\langle n_p \rangle$  is not seen, which one might expect expansion-factor arguments; also a

correlation between  $< n_p >$  and  $< v_r >$  is not seen. The familiar strong positive correlation between  $< v_r >$  and  $< T_p >$  is seen [e.g., Lopez, 1987; Elliott et al., 2005; Borovsky and Steinberg, 2006]. There are two other significant correlations of note in Table 5. The first is the positive correlation between  $< B_{\text{mag}} >$  and the heavy-ion charge-state density ratios  $C^{6+}/C^{4+}$  and  $O^{7+}/O^{6+}$ . The second is the anticorrelation between the iron-to-oxygen abundance ratio Fe/O and  $< v_r >$ ,  $|< B_r >|$ , and  $< n_p >$ , which would seem like a correlation that might originate in an open flux expansion-factor process.

Superposed-epoch analysis is used to determine whether there are any systematic variations with time in the 66 flattop intervals of Table 1. In Figure 3 the superposed epoch averaging is triggered on the onset times chosen for the 66 flattop intervals at 1 AU, and in Figure 4 the superposed epoch averaging is triggered on the termination times for the 66 flattop intervals. In the two figures, 30 h of averaging of the unperturbed

**Table 4.** Mean Values of Various Parameters of the 66 Intervals of Unperturbed Coronal Hole Plasma at 1 AU

Quantity	Mean Value
$W_{\text{flat}}$ width of flattop interval	57.1 h
W <sub>hss</sub> width of coronal hole	118 h
$v_r$	632 km/s
$n_p$	$2.4\mathrm{cm}^{-3}$
B <sub>mag</sub>	4.7 nT
$ B_r $	2.27 nT
$T_p$	15.9 eV
$\alpha/p$	3.8%
$B_{\text{mag}}/n_p$	2.0 nT cm <sup>3</sup>
v <sub>A</sub>	67 km/s
$\log_{10}(S_p)$	0.92 (eV cm <sup>2</sup> )
$\log_{10}(C^{6+}/C^{4+})$	-0.58
$\log_{10}(C^{6+}/C^{5+})$	-0.77
$\log_{10}(O^{7+}/O^{6+})$	-1.76
log <sub>10</sub> (Fe/O)	-1.02

coronal hole plasma is shown: the shortest flattop interval is 22 h, so after 22 h the individual flattop events are beginning to drop out of the averaging. At 30 h, 9 of the 66 events have dropped out of the averaging.

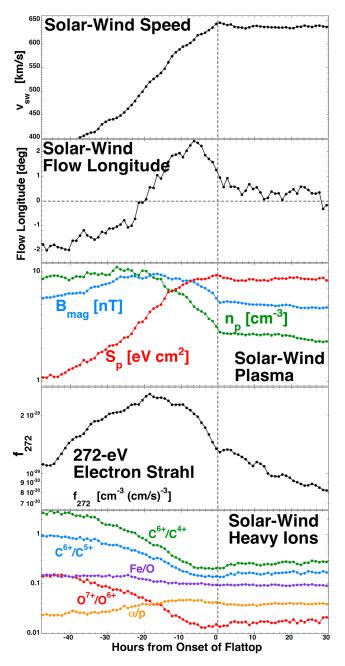
In Figure 3 the superposed averaging of the solar wind quantities for the 66 flattop events at 1 AU is triggered on the start times of the flattop intervals (see Table 1) (with the start chosen when  $B_{\rm mag}$  of the solar wind declines to approximately steady values during the early portion of the flattop). The zero epoch in each panel of Figure 3 is marked with a vertical dashed line. In Figure 3 (first panel) the superposed average of the solar wind velocity from the OMNI2 data set is plotted. The

superposed average of the solar wind radial velocity is approximately constant after time t = 0, with no systematic variation of the solar wind radial velocity during the flattop. (As will be noted throughout this study, the individual flattop events have variations in the solar wind velocity so the individual velocity profiles are only approximately flat.) In Figure 3 (second panel) the superposed average of the flow longitude of the solar wind from the OMNI2 data set is plotted. Before time t = 0 there is an eastward (positive flow longitude) systematic deflection of the solar wind at the end of the CIR [cf. Siscoe et al., 1969; Richardson, 2006]. Time t = 0 approximately marks the end of that systematic eastward deflection and the end of the CIR. In Figure 3 (third panel) the superposed average of three plasma parameters from OMNI2 are plotted: the magnetic field strength  $B_{\text{mag}}$ , the solar wind proton number density  $n_p$ , and the proton specific entropy  $S_D = T_D/n_D^{2/3}$ . The superposed averages of these three quantities are approximately constant within the unperturbed coronal hole plasma region after t = 0. Note the lower entropy plasma before the onset of the unperturbed coronal hole region; this low proton entropy is representative of streamer belt and sector-reversal-region plasma [Xu and Borovsky, 2015]. Note also the elevated values of the  $B_{\text{mag}}$  in the CIR compression before time t = 0. Similarly the solar wind number density  $n_p$  is elevated before t = 0 owing to (a) compression in the CIR and (b) noncompressive density perturbations in the streamer belt and sectorreversal-region plasmas before the coronal hole plasma [e.g., Gosling et al., 1981; Borrini et al., 1981]. In Figure 3 (fourth panel) the superposed average of the intensity of the 272 eV electron strahl as measured by ACE SWEPAM is plotted. The intensity of the strahl is notably high in the CIR before t = 0, as discussed by Crooker et al. [2010].

**Table 5.** Correlation Coefficients  $R_{\text{corr}}$  (in %) Between the Various Averaged Quantities for the 66 Intervals of Unperturbed Coronal Hole Plasma at 1 AU<sup>a</sup>  $W_{\text{hss}} \quad \langle v_r \rangle \quad | \langle B_r \rangle | \quad \langle B_{\text{mag}} \rangle \quad \langle T_p \rangle \quad \langle n_p \rangle \quad \langle \alpha/p \rangle \quad \langle \log(C^{6+}/C^{4+}) \rangle \quad \langle \log(O^{7+}/O^{6+}) \rangle \quad \langle \log(F_{\text{e}}/C^{4+}) \rangle$   $W_{\text{hss}} \quad 100 \quad 45 \quad 34 \quad 35 \quad 38 \quad -5 \quad -13 \quad 2 \quad -3 \quad -26 \quad -3 \quad -26 \quad -3 \quad -26 \quad -3 \quad -3 \quad -36 \quad -3 \quad -36 \quad -36$ 

$W_{hss}$	100	45	34	35	38	-5	-13	2	-3	-26
$\langle v_r \rangle$	45	100	66	62	83	-7	17	-4	-5	-38
$  $	34	66	100	87	71	25	0	24	21	-34
$\langle B_{mag} \rangle$	35	62	87	100	75	36	-8	45	39	-13
$\langle T_p \rangle$	38	83	71	75	100	-10	-19	24	19	-16
$\langle n_p \rangle$	-5	-7	25	36	-10	100	27	-10	-11	-33
<\alpha/p>	-13	17	0	-8	-19	27	100	-26	-15	-39
$< log(C^{6+}/C^{4+})>$	2	-4	24	45	24	-10	-26	100	95	42
$< \log(0^{7+}/0^{6+}) >$	-3	-5	21	39	19	-11	-15	95	100	45
<log(fe o)=""></log(fe>	-26	-38	-34	-13	-16	-33	-39	42	45	100

<sup>a</sup>With the 66 values, correlation at the  $2\sigma$  level requires  $|R_{corr}| > 24\%$ .

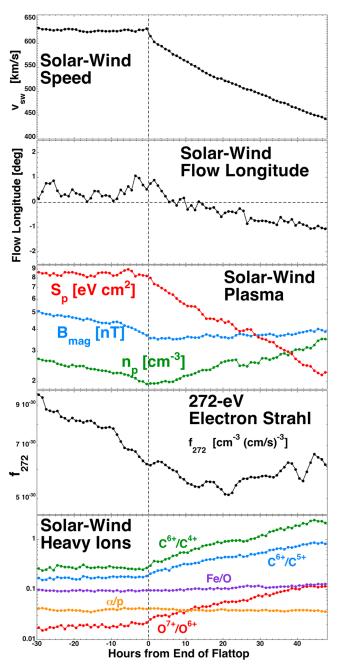


**Figure 3.** Superposed-epoch averages for solar wind quantities for the 66 intervals of unperturbed coronal hole plasma at AU. The zero epoch (trigger) is the onset time of each interval of unperturbed coronal hole plasma. All quantities are from OMNI2 except  $f_{272}$  (from ACE SWEPAM) and (fifth panel) the heavy-ion measurements from ACE SWICS.

Note in Figure 3 (fourth panel) that the intensity of the electron strahl systematically decreases with time after t=0 in the unperturbed coronal hole plasma region. If the coronal hole plasma is really unperturbed by compression or rarefaction then one might expect the properties of the electron strahl to be uniform in that plasma, with a given flattop interval having a constant expansion factor near the Sun. The reason for the decline in the superposed average of the strahl intensity is not known: some suggestions are given in the remainder of this paragraph. The rigid rotation of coronal holes versus the differential rotation of the photosphere may play a role in a couple of different ways. First, there is an "age of the coronal hole floor" issue. At midlatitudes (where coronal hole plasma has its origin for the equatorial heliosphere) the rigid rotation of the coronal hole overtakes the differential rotation of the photosphere, so the photospheric floor is flowing into the coronal hole from the streamer belt on the leading edge of the hole. Hence, in going from the westward leading edge of the coronal hole to the eastward trailing edge of the coronal hole, the age of the photospheric floor (how long it has been in the coronal hole) varies, with young floor near the leading edge and old floor near the trailing edge (where the photospheric floor exits the coronal hole). At a latitude of 30° the coronal hole has a synodic period of about 27.5 days and the photosphere has a synodic period of about 28.5 days [cf. Timothy et al., 1975, Figure 12]. Hence, the photosphere flows across the floor of the coronal hole at about 13° of longitude every one solar rotation, which is a speed of about  $2.7 \times 10^{-2}$  km/s. A second difference associated with the coronal hole's rigid rotation is the nature of

the interchange reconnection on the leading and trailing edges of the coronal hole, with open flux moving into closed flux (streamer belt) on the leading edge and open flux moving away from closed flux on the trailing edge. This might set up a net gradient in the properties of the open flux across the coronal hole.

In Figure 3 (fifth panel) the superposed averages of some heavy-ion density ratios and charge-state-density ratios are plotted. Before time t = 0 a decrease is seen in the  $O^{7+}/O^{6+}$ , the  $C^{6+}/C^{5+}$ , and the  $C^{6+}/C^{4+}$  charge-state ratios as plasma transitions from streamer belt origin (and sector-reversal-region origin) to coronal hole origin [cf. Zhao et al., 2009; Xu and Borovsky, 2015]. After t = 0 in the unperturbed coronal hole plasma the

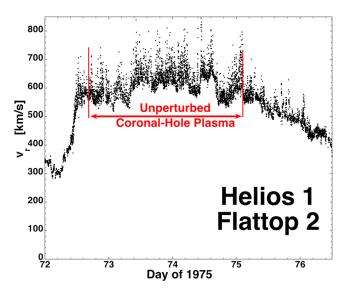


**Figure 4.** Superposed-epoch averages for solar wind quantities for the 66 intervals of unperturbed coronal hole plasma at AU. The zero epoch (trigger) is the termination time of each interval of unperturbed coronal hole plasma. All quantities are from OMNI2 except f<sub>272</sub> (from ACE SWEPAM) and (fifth panel) the heavy-ion measurements from ACE SWICS.

superposed averages of the  $O^{7+}/O^{6+}$ ,  $C^{6+}/C^{5+}$ , and  $C^{6+}/C^{4+}$  charge-state ratios show very slight increases with time (about 10% in the first 24 h).

In Figure 4 the superposed averaging of the solar wind quantities for the 66 flattop events is triggered on the end of the flattop intervals (see Table 1) with the end chosen to be the beginning of the systematic decline in the solar wind radial velocity for each event. The zero epoch in each panel of Figure 4 is marked with a vertical dashed line. In Figure 4 (first panel) the superposed average of the solar wind velocity from the OMNI2 data set is plotted. The superposed average of the solar wind radial velocity is approximately constant before t=0, and the distinct decline in  $v_r$  after t=0 is seen. In Figure 4 (second panel) the superposed average of the flow longitude of the solar wind from the OMNI2 data set is plotted. After time t=0 there is an eastward (positive flow longitude) systematic deflection of the solar wind in the beginning portion of the long rarefaction region at the trailing edge of the high-speed stream. Whereas in the leading-edge compressional CIR the deflection is westward then eastward (negative flow longitude then positive flow longitude), in the trailing-edge rarefaction the deflection is eastward then westward [Carovillano and Siscoe, 1969; J. E. Borovsky and M. H. Denton, submitted manuscript, 2016]. In Figure 4 (third panel) the superposed average of the three plasma parameters  $B_{mag}$  $n_p$ , and  $S_p = T_p/n_p^{2/3}$  from OMNI2 are plotted. The superposed averages of  $n_p$  and  $B_{\text{mag}}$  show slight systematic decreases with time in the intervals of unperturbed coronal hole plasma. After the termination of the unperturbed coronal hole plasma at t=0 the

proton specific entropy declines from high values for coronal hole origin plasma to low values for streamer belt and sector-reversal-region plasma. In Figure 4 (fourth panel) the superposed average of the intensity of the 272 eV electron strahl as measured by ACE SWEPAM is plotted. The intensity of the strahl declines during the unperturbed coronal hole plasma before  $t\!=\!0$  and continues to decline in the trailing edge rarefaction after  $t\!=\!0$ . In Figure 4 (fifth panel) the superposed averages of some heavy-ion density ratios and charge-state-density ratios are plotted; in the unperturbed coronal hole plasma before time  $t\!=\!0$  the superposed averages of the charge-state ratios are approximately constant and the averages rise after



**Figure 5.** An example of a flattop high-speed stream at  $\sim$ 0.3 AU as observed by Helios 1 in 1975. The radial velocity of the solar wind is plotted with 40 s time resolution and the interval of unperturbed coronal hole plasma is indicated with the red double arrow.

t = 0 as a transition from low-chargestate-ratio coronal hole plasma is made to high-charge-state-ratio streamer belt and sector-reversal-region plasma.

# 3.2. Flattop High-Speed Streams in the Inner Heliosphere

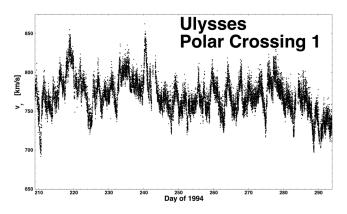
Using the Helios 1 and Helios 2 data sets for the inner heliosphere, unperturbed coronal hole plasma events are chosen similar to the events at 1 AU described in section 3.1. High-speed streams with flattop velocity profiles are selected, and regions of compression at the leading edges are eliminated and the events are cutoff at the onset of rarefaction at the trailing portions. The innermagnetosphere unperturbed coronal hole plasma events are listed in Table 2. Five events were found in the Helios 1 and 2 data sets, two of which (Flattop Intervals 3 and 4) had very intermittent

data coverage and were not used for the analysis in the present report. As noted in Table 2, of the three events with good data coverage two events were at  $\sim 0.3$  AU and one event was at  $\sim 0.6$  AU.

In Figure 5 the radial velocity of the solar wind is plotted for the Helios 1 high-speed stream denoted as Flattop Interval 2 in 1975; the interval of unperturbed coronal hole plasma is marked with the red double arrow.

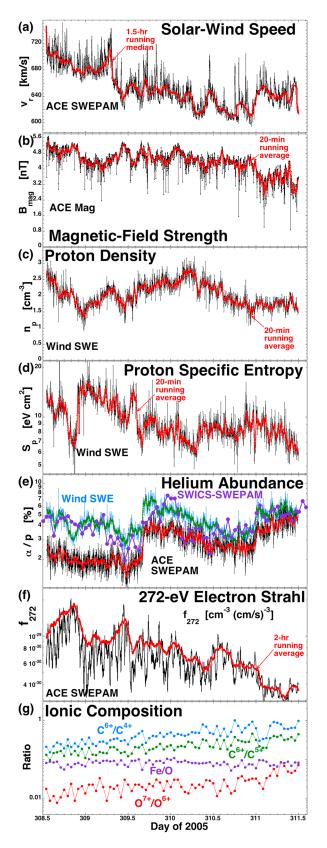
# 3.3. Ulysses Polar Crossings

The third collection of unperturbed coronal hole plasma comes from the polar passes of the Ulysses space-craft. In Table 3 the six polar crossings of Ulysses are listed; in the table the start and end dates denote the beginning and end of the time interval when Ulysses is at a solar latitude greater than 75°. The three southern crossings are each about 85 days long and the three northern crossings are each about 65 days long, with the northern crossings slightly closer to the Sun (~2.0 AU at highest latitude) than the southern crossings (~2.3 AU at highest latitude).



**Figure 6.** An example of an interval of unperturbed coronal hole plasma at  $\sim$ 2 AU as observed by Ulysses in 1994. The radial velocity of the solar wind is plotted with 64 s time resolution. The entire plotted interval is unperturbed coronal hole plasma.

Note the mean solar wind radial velocities and standard deviations for the six polar crossings in Table 3. For five of the crossings the mean velocities are 730 km/s or higher, but the mean velocity of Polar Crossing 3 in the year 2000 is only 389 km/s. That polar pass contains a lot of slow wind with low proton specific entropy, consistent with streamer belt plasma rather than coronal hole plasma. Polar Crossing 4 in the year 2001 also contains clear intervals of slow streamer belt plasma (and ejecta) [Reisenfeld et al., 2003], though not as much as Polar Crossing 3 does. Both of those crossing occurred during a solar maximum, where the highlatitude fields and plasma are not



**Figure 7.** As examples of the structure in coronal hole origin solar wind, a number of measured quantities are plotted as a function of time during an interval (Flattop 15) of unperturbed coronal hole plasma at 1 AU.

dominated by polar coronal holes [cf. McComas et al., 2002; Smith, 2011]. Polar Crossings 3 and 4 of Table 3 also each contain several intervals of coronal mass ejection plasma, as cataloged by Ebert et al. [2009], Du et al. [2010], and Richardson [2014]. Ulysses Polar Crossing 5 contains a low-velocity, high-proton entropy, radial magnetic field disturbance from days 36 to 40 of 2007 (at the highest latitude portion of that polar crossing) caused by Ulysses passing through the tail of comet McNaught [Neugebauer et al., 2007]. Half way through Polar Crossing 6 the time resolution of the data in the Ulysses data set becomes degraded.

In Figure 6 the radial velocity of the solar wind is plotted for the 85 day Polar Crossing 1 (latitude greater than 75°) of the southern polar cap of the Sun. The entire polar crossing contains unperturbed coronal hole plasma.

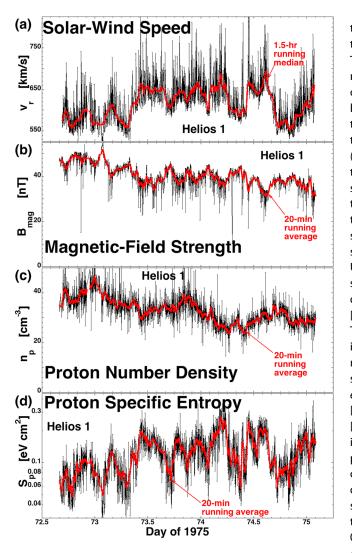
# 4. Plasma Structure in the Unperturbed Coronal Hole Solar Wind

In sections 4.1 and 4.2 (and in section 5), the plasma structure of the coronal hole origin solar wind will be analyzed. In section 4.1 the nature of the plasma structure as seen in the solar wind velocity  $v_r$ , in the alpha-to-proton density ratio  $\alpha/p$ , in the electron strahl intensity  $F_{er}$ , in the proton specific entropy  $S_{pr}$ , in heavy-ion charge-state ratios, etc. is discussed. In section 4.2 cross correlations between variations in the various plasma measures ( $v_r$ ,  $\alpha/p$ ,  $F_{er}$ ,  $S_{pr}$ , ...) in the data from intervals of unperturbed coronal hole plasma are calculated and discussed.

# 4.1. Observations of the Plasma Structure

# 4.1.1. Radial Velocity

In unperturbed coronal hole plasma at 1 AU the solar wind radial velocity is characterized by (1) a slower-faster drift of the radial velocity with timescales of several hours to a day and (2) upward spikes of the radial velocity that last a few minutes. The slow-fast drift of the solar wind velocity is seen by looking at



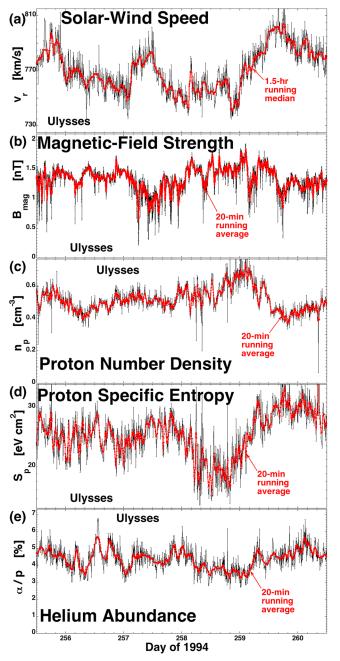
**Figure 8.** As examples of the structure in coronal hole origin solar wind, a number of measured quantities are plotted as a function of time during an interval (Helios Flattop Interval 2) of unperturbed coronal hole plasma at 0.31 AU.

the lower edge of the velocity-versustime plotted in Figure 1 or in Figure 7a. That temporal drift in the solar wind radial velocity is also seen in the Helios observations of the unperturbed coronal hole plasma at 0.3 AU (cf. Figure 5) and in the Ulysses observations of unperturbed coronal hole plasma at 2 AU (cf. Figure 6). In the Helios observations of the fast wind such velocity structures were referred to as "flow tubes" [Thieme et al., 1988, 1989] and these velocity structures suggested to be connected with supergranule structures at the Sun. In Ulysses observations of the fast wind some of this velocity drift has been discussed in terms of "microstreams" [e.g., Neugebauer et al., 1995, 1997]. The upward spikes of radial velocity in the coronal hole plasma have been noted in the literature as the "onesided" Alfvenic fluctuations [Gosling et al., 2009] taking the form of "pulses" [Gosling et al., 2011]. (Gosling et al. [2009] note that the one-sided pulses in the solar wind speed are more prominent when the magnetic field is closer to radial.) Along with the slow drift in the velocity, these upward spikes of radial velocity are seen in the Helios observations at 0.3 and 0.6 AU (see Figure 8a), in Wind and ACE observations at 1 AU, and in the Ulysses observations at ~2 AU (see Figure 9a). When simultaneous Wind and ACE measurements upstream of the Earth are examined, the pulse

occurrence is very different at the two spacecraft when the spacecraft are separated by  $50-100~R_E$ , indicating that the velocity pulses are localized in spatial extent. In all of these data sets (0.3 AU–2 AU) the upward spikes of radial velocity are associated with "foldings" or "switchbacks" of the magnetic field wherein the field direction makes very strong deviations (up to  $180^\circ$ ) from the Parker-spiral direction. The field foldings are discussed later in this section.

#### 4.1.2. Magnetic Field Strength

The temporal behavior of the magnetic field strength  $B_{\rm mag}$  in the unperturbed coronal hole plasma at 1 AU is characterized by (1) values of  $B_{\rm mag}$  drifting up and down with timescales of ~ 2 h to ~ 12 h and (2) localized deep decreases in the values of  $B_{\rm mag}$  (magnetic holes) [Turner et al., 1977; Winterhalter et al., 2000; Neugebauer et al., 2001]. The temporally drifting values of  $B_{\rm mag}$  resemble the temporally drifting values of  $v_r$ , but the two quantities do not drift in unison. As was the case for  $v_r$ , the magnetic field strength will sometimes show an abrupt change by tens of percent. The magnetic holes have durations of minutes and are often temporally associated with the pulsed increases in the solar wind radial velocity discussed in the previous paragraph. The pattern of drift of  $B_{\rm mag}$  to lower and higher values and the presence of magnetic holes are both seen in all of the unperturbed coronal hole plasma data sets from 0.3 AU (cf. Figure 8b) to ~2 AU (cf. Figure 9b).



**Figure 9.** As examples of the structure in coronal hole origin solar wind, a number of measured quantities are plotted as a function of time during an interval (the 5 days of the highest-latitude portion of Polar Crossing 1) of unperturbed coronal hole plasma at 2.3 AU.

### 4.1.3. Proton Number Density

The unperturbed coronal hole plasma at 1 AU is characterized by up and down variations of the proton number density  $n_p$  with variation timescales from several hours to about 1 day. In Figure 7c the value of  $n_p$  varies by about 50% on these fraction-of-a-day timescales. Similar fraction-of-a-day drifts in the value of  $n_p$  are seen at 0.3 AU (Figure 8c) and over the pole at ~2 AU (Figure 9c). There are smaller-scale variations in the number density, some of which may be shot noise in the density measurements. Wind and ACE see different shorttimescale variations when the two spacecraft are separated by 50-100 R<sub>E</sub>, indicating a spatial localization to the short timescale variations.

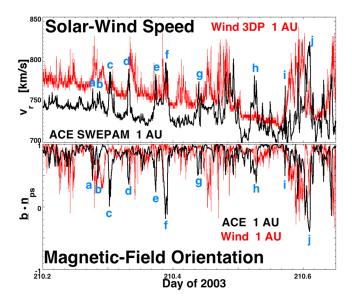
# 4.1.4. Proton Specific Entropy

The proton specific entropy  $S_p = T_p/n_p^{2/3}$ in the unperturbed coronal hole plasma at 1 AU shows variations by about an order of magnitude over timescales of a fraction of a day (cf. Figure 7d). Sometimes the change in  $S_p$  occurs abruptly, but more often it is a slow drift in the baseline  $S_p$  value. Some of the slow temporal variation in  $S_p$  is correlated with temporal variation in the solar wind radial velocity  $v_r$ ; sometimes the temporal variabilities of  $S_p$  and  $v_r$  are independent. Similar temporal variability of  $S_n$  is seen in unperturbed coronal hole plasma at 0.3 AU (Figure 8d) and at ~2 AU (Figure 9d). Shorter-timescale variations are seen that differ on ACE and Wind; some of this is probably localized small-scale variations and some of this is measurement noise.

# 4.1.5. Helium Abundance

In Figure 7e the alpha-to-proton density ratio  $\alpha/p$  is plotted for the unperturbed coronal hole plasma of Flattop 15 at 1 AU as measured by ACE (black) and Wind (blue); in the hot solar wind at

1 AU the ratio  $\alpha/p$  is difficult to measure with an E/q instrument, so data with different instrument types from ACE SWEPAM (an electrostatic analyzer) and from Wind SWE (a Farady cup) are plotted. Also plotted for confirmation of the variations is the 1 h time resolution  $\alpha/p$  density ratio  $\alpha/p = n_{\alpha}/n_{p}$  constructed with number density  $n_{\alpha}$  as measured by ACE SWICS using a time-of-flight spectrometer and the number density  $n_{p}$  from ACE SWEPAM. As can be seen in Figure 7c, when there is a large change in the  $\alpha/p$  density ratio all three methods agree on the location of the change, but the three methods in general yield different values for  $\alpha/p$ . The ratio  $\alpha/p$  is not examined in the Helios data set since close to the Sun the temperatures of the protons and alpha particles are even hotter. Ulysses at 2 AU has cooler ion populations, so alpha particles and protons



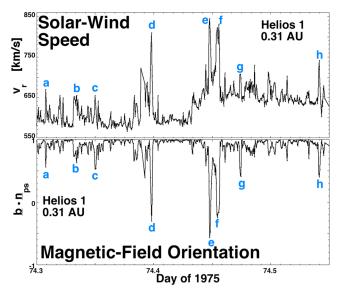
**Figure 10.** Magnetic field foldings and the associated solar wind velocity pulses at 1 AU. The black curves are 64 s resolution measurements by ACE and the red curves are 3 s measurements by Wind during Flattop 5. ACE and Wind separated by  $\sim$  70  $R_E$  transverse to the Sun-Earth line and Wind is closer to the Earth.

are more easily separated for analysis; here (cf. Figure 9e) the ratio  $\alpha/p$  is examined. The  $\alpha/p$  density ratio shows variability by ~50% in the fraction-of-a-day timescale in unperturbed coronal hole plasma at 1 AU (cf. Figure 7e) and at 2 AU (cf. Figure 9e). The density ratio  $\alpha/p$  sometimes shows abrupt strong transitions within unperturbed coronal hole plasma: an example of that is seen at t = 309.673 in Figure 7e, where a transitional increase by almost a factor of 2 occurs in about 2 min. Very rapid (few second) transitions in the helium abundance  $\alpha/p$  in the solar wind at 1 AU are common [cf. Safrankova et al., 2013; Zastenker et al., 2014].

#### 4.1.6. Electron Strahl

It is well known that coronal hole plasma (high-speed solar wind) characteristically has a narrow, robust, unidirectional electron strahl [cf. Feldman et al., 1978; Fitzenreiter et al., 1998; Hammond et al., 1996], with noted temporal variability in

the measured strahl flux [cf. Gosling et al., 2004; Louarn et al., 2009]. Figure 7 plots the phase-space density  $f_{272}$  (in units of  $\rm s^2\,cm^{-5}$ ) of the electron strahl in the field-aligned (antisunward) direction at an energy of 272 eV, as measured by ACE SWEPAM. The black curve is a 20 min running average of the value of  $f_{272}$  and the red curve is a 2 h running average of the value of  $f_{272}$ . Considerable high-frequency variability can be seen in the 20 min averaged black curve, and longer-time trends in the strahl intensity can be seen in the 2 h averaged red curve. These longer-time trends can be described as fraction-of-a-day changes in the strahl intensity by factors of 2 to 4.



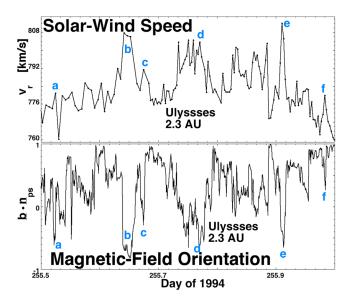
**Figure 11.** Magnetic field foldings and the associated solar wind velocity pulses at 0.31 AU. The curves are 40 s resolution measurements by Helios 1 during Flattop Interval 2.

### 4.1.7. Heavy lons

In Figure 7g three charge-state density ratios O<sup>7+</sup>/O<sup>6+</sup>, the C<sup>6+</sup>/C<sup>5+</sup>, and the C<sup>6+</sup>/C<sup>4+</sup> and the iron-to-oxygen density ratio Fe/O are plotted for the unperturbed coronal hole plasma of Flattop 15 as measured by ACE SWICS with 1 h time resolution. Although the ratios do not show much variability in Figure 7g, for most intervals of unperturbed coronal hole plasma examined at 1 AU the variability can be considerable, often with transitions in the ratios by fractions of a decade.

# 4.1.8. Magnetic Field Foldings

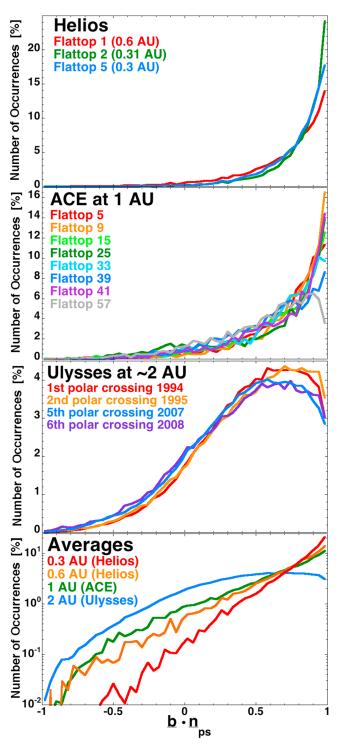
Localized magnetic field foldings (magnetic switchbacks and field reversals) are well known in the coronal hole plasma of the Ulysses polar-crossing data set [e.g., Balogh et al., 1999; Yamauchi et al., 2004; Neugebauer and Goldstein, 2013]. They are also seen in the coronal hole plasma at 1 AU [e.g.,



**Figure 12.** Magnetic field foldings and the associated solar wind velocity pulses at 2.3 AU during Polar Crossing 1. (top) The curve is 4 min resolution Ulysses plasma measurements and (bottom) the curve is 1 min resoultion Ulysses magnetic field measurements.

Kahler et al., 1996] and at 0.3 AU. In Figure 10 (top) the solar wind radial velocity in unperturbed coronal hole plasma (Flattop 5) as measured by ACE at 1 AU is plotted as the black curve and in Figure 10 (bottom)  $b \cdot n_{ps}$ is plotted in black as measured by ACE. Here  $\underline{b} = \underline{B}/B_{\text{mag}}$  is the unit vector direction of the measured magnetic field and  $n_{ps}$  is the unit vector of the calculated Parker-spiral direction. When  $b \cdot n_{ps} = 1$  the field is exactly in the direction of the Parker spiral and when  $\underline{b} \cdot \underline{n}_{ps} = -1$  it is exactly reversed. In Figure 10 (bottom) several examples of localized strong deviations of the magnetic field direction from the Parker-spiral direction are indicated with the blue letters. As seen in Figure 10 (top), those field deviations from the Parker spiral are associated with localized increases in the solar wind radial velocity, the one-sided

Alfvenic fluctuations [Gosling et al., 2009] taking the form of pulses [Gosling et al., 2011] that were noted above in the discussion of velocity structure. Plotted in red in Figure 10 (top and bottom) is higher-time resolution (3 s) measurements by Wind. In RTN coordinates ACE and Wind were separated by about 70  $R_E$  in the T-N plane with Wind about 120  $R_E$  downstream from ACE in the R direction. Note the difference in the pattern of velocity pulses seen by the two spacecraft and the difference in the pattern of field foldings; this difference indicates that the spatial structure of the velocity pulses and field foldings in the unperturbed coronal hole plasma is quite limited, probably on the order of tens of  $R_F$  in scale. Note also in the red curves of Figure 10 that very brief pulses and foldings are seen in the higherresolution Wind measurements. In Figure 11 the solar wind velocity (top panel) and  $b \cdot n_{\rm ps}$  (bottom panel) are plotted in unperturbed coronal hole plasma at 0.31 AU as measured by Helios 1 in Flattop 2. A number of isolated field foldings are denoted by the blue letters in Figure 11 (bottom), and their accompanying radial velocity pulses are seen in Figure 11 (top). In Figure 12 the solar wind velocity (top) and b•n<sub>ps</sub> (bottom) are plotted in unperturbed coronal hole plasma at 2.3 AU as measured by Ulysses in Polar Crossing 1. A number of localized field reversals are denoted by the blue letters in Figure 12 (bottom) and their accompanying radial velocity pulses are seen in Figure 12 (top). Note that it is more difficult to isolate the field reversals and the radial velocity pulses in Figure 12 at 2.3 AU than it was in Figures 10 and 11 closer to the Sun. The lower time resolution (4 min) of the plasma instrument on Ulysses may be part of the difficulty. In Figure 13 the quantity b•nps is binned for the various collections of unperturbed coronal hole plasma. In the three curves in Figure 13 (first panel)  $b \cdot n_{\rm ps}$  is binned individually for Helios measurements in three flattop intervals from Table 2. The red curve is at  $\sim$ 0.6 AU and the green and blue curves are at ~0.3 AU. In Figure 13 (second panel) b•nps is binned individually for ACE measurements in a sampling of eight flattop intervals from Table 1. All curves are at 1 AU. Comparing Figures 13 (first panel) and 13 (second panel), it is seen that the distribution of  $\underline{b} \cdot \underline{n}_{DS}$  values is evolving away from  $\underline{b} \cdot \underline{n}_{DS} = 1$  with distance from the Sun. In the four curves in Figure 13 (third panel)  $b \cdot n_{ps}$  is binned individually for Ulysses measurements in four polar crossings from Table 3: Polar Crossings 3 and 4 at solar maximum are not used and 7 days of data are cleaned from Polar Crossing 5 to eliminate the encounter with comet McNaught. In Figure 13 (fourth panel) the averages of the b•nps binnings at the various distances from the Sun are plotted: the red curve is Helios at ~0.3 AU, the orange curve is Helios at ~0.6 AU, the green curve is ACE at 1 AU, and the blue curve is Ulysses at ~2 AU. The evolution with distance from the Sun of the distribution of  $b \cdot n_{DS}$  values from +1 is clearly seen in these four curves [see also Borovsky, 2010, Figure 14]: there is more deviation from the Parker-spiral direction with distance from the Sun in the unperturbed coronal hole plasma.

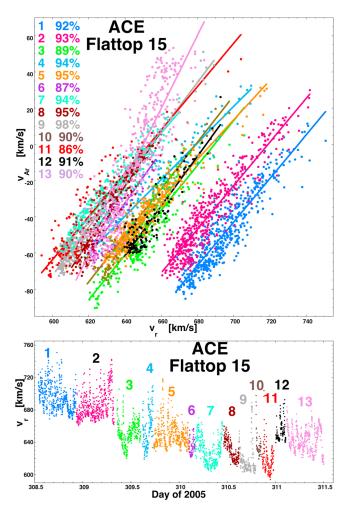


**Figure 13.** The deviation of the solar wind magnetic field from the Parker spiral direction  $\underline{b} \cdot \underline{n}_{ps}$  is binned (first panel) for the Helios 0.3 AU and 0.6 AU intervals of unperturbed coronal hole plasma, (second panel) for a sampling of the ACE 1 AU intervals, and (third panel) for the Ulysses ~2 AU intervals. (fourth panel) Summations of the four collections of  $\underline{b} \cdot \underline{n}_{ps}$  values are plotted logarithmically to see the progression of the distribution with distance from the Sun.

#### 4.1.9. Alfvenicity

In the Alfvenic solar wind there are temporal correlations between the vectors v and B, or more precisely, between the vectors  $\boldsymbol{v}$  and  $\boldsymbol{v}_A$  where  $v_A = B/(4\pi m_p n_p)^{1/2}$ . In the solar wind plasma these correlations are patchy in the sense that the functional relation between v(t) and  $v_A(t)$  changes with time. In Figure 14 a plot of the radial component of the magnetic field vector in Alfven units  $v_{Ar} = B_r/(4\pi m_p n_p)^{1/2}$  vertically versus the radial component of the plasma flow vector v<sub>r</sub> horizontally is made for the entire interval of unperturbed coronal hole plasma of Flattop 15 (see Table 1) as measured by ACE at 1 AU. The temporal sequence of points  $v_{Ar}(t)$  versus  $v_r(t)$  moves back and forth along a line in v<sub>Ar</sub>-v<sub>r</sub> space, and then there is a sudden jump wherein the  $v_{Ar}(t)$ -versus- $v_r(t)$  sequence of points moves back and forth along another line in v<sub>Ar</sub>-v<sub>r</sub> space. In Figure 14 (top), for the temporal sequence of Flattop 15 the color of the plotted points is changed every time the v<sub>Ar</sub>-versus-v<sub>A</sub> pattern shifts to a new line; 13 subintervals are found plotted by the 13 different colors. For each of the 13 subintervals, the Pearson linear correlation coefficient  $R_{\rm corr}$  is listed on the top panel: the 13 correlation coefficients vary from 86% to 98%. Each subinterval is highly Alfvenic. However, the transitions from one subinterval to another are not very Alfvenic. If the correlation coefficient between  $v_{Ar}$  and  $v_{r}$  is calculated for the entire Flattop-15 interval the coefficient 31% is obtained, much lower than the coefficient for an individual Alfvenic subinterval. From an Alfvenicity point of view, the unperturbed coronal hole plasma is spatially structured with blocks of highly Alfvenic plasma and transitions that are not very Alfvenic. In Figure 14 (bottom) the radial velocity of the solar wind v<sub>r</sub> is plotted for the entire interval of Flattop 15, with the color of the plotted points changing according to which Alfvenic subinterval the





**Figure 14.** (top) For the unperturbed coronal hole plasma interval Flattop 15, the radial component of the solar wind magnetic field  $v_{Ar}$  in Alfven units is plotted as a function of the radial flow velocity  $v_{ri}$  the data are broken into 13 domains of Alfvenicity denoted by the 13 colors. Linear regression fits for each domain are plotted as the colored lines and the linear correlation coefficient between  $v_{Ar}$  and  $v_r$  is listed for each domain. (bottom)  $v_r$  is plotted as a function of time for Flattop 15 with the points of each of the 13 Alfvenicity domains plotted in different colors.

spacecraft is within. In Flattop 14 at 1 AU, 13 subintervals of plasma are observed in 71 h of spacecraft measurements. A similar analysis of the Helios 1 measurements in Flattop Interval 2 at 0.31 AU (cf. Table 2) finds 10 subintervals of data in 58 h of measurements. These subintervals of highly Alfvenic behavior are indicators of a structuring of the coronal hole plasma.

# 4.2. Correlations in the Unperturbed Coronal Hole Plasma

Table 6 contains Pearson linear correlation coefficients for unperturbed coronal hole plasma at 1 AU, and Table 7 contains Pearson linear correlation coefficients for unperturbed coronal hole plasma at ~2 AU. The data utilized to calculate the correlations of Table 6 are all of the available data for the 66 flattop intervals of Table 1. The data utilized to calculate the correlations of Table 7 are the data from Ulysses Polar Crossings 1, 2, 5, and 6 with Polar Crossing 5 cleaned to eliminate the interval wherein Ulysses encounters the tail of comet McNaught. Polar Crossings 3 and 4 at solar maximum were not used since those intervals are poorly representative of unperturbed coronal hole plasma.

Correlation coefficients between two quantities are indications of how much the variances of one quantity are described by the variances of the other quantity. In Tables 6 and 7 the correlation coefficients are driven by

**Table 6.** Pearson Linear Correlation Coefficients Between Hourly Averaged Values of Several Quantities in the 66 Flattops at 1 AU<sup>a</sup>

	v <sub>r</sub>	log(S <sub>p</sub> )	$B_{mag}/n_{p}$	n <sub>p</sub>	$T_p$	$B_{mag}$	$\log(\alpha/p)$	log(C <sup>6+</sup> /C <sup>4+</sup> )	log(C <sup>6+</sup> /C <sup>5+</sup> )	log(O <sup>7+</sup> /O <sup>6+</sup> )	log(Fe/O)	log(f <sub>272</sub> )
v <sub>r</sub>	100	65	32	-12	63	36	4	-17	-26	-18	-16	24
$log(S_p)$	65	100	58	-35	86	21	-20	-1	-5	-5	3	20
$B_{\text{mag}}/n_p$	32	58	100	-52	40	35	-34	28	21	25	4	34
$n_p$	-12	-35	-52	100	10	44	13	1	2	-4	-2	21
$T_p$	63	86	40	10	100	46	-18	4	0	-2	4	32
B <sub>mag</sub>	36	21	35	44	46	100	-15	29	20	18	-2	68
$\log(\alpha/p)$	4	-20	-34	13	-18	-15	100	-20	-18	-11	-14	-16
$log(C^{6+}/C^{4+})$	-17	-1	28	1	4	29	-20	100	95	80	14	29
$\log(C^{6+}/C^{5+})$	-26	-5	21	2	0	20	-18	95	100	76	13	22
log(0 <sup>7+</sup> /0 <sup>6+</sup> )	-18	-5	25	-4	-2	18	-11	80	76	100	19	21
log(Fe/O)	-16	3	4	-2	4	-2	-14	14	13	19	100	-1
$\log(f_{272})$	24	20	34	21	32	68	-16	29	22	21	-1	100

<sup>a</sup>For each quantity there are between 3304 and 3769 h of data available in the 66 intervals. The quantities  $v_r$ ,  $S_p$ ,  $v_A$ ,  $n_p$ ,  $T_p$ ,  $B_{mag}$ , and  $\alpha/p$  are from the OMNI2 data set; the quantities  $O^{7+}/O^{6+}$ ,  $C^{6+}/C^{5+}$ , and  $C^{6+}/C^{4+}$ , and Fe/O are from the ACE SWICS 1p1 data set;  $f_{272}$  is from the ACE SWEPAM data set.

Table 7.         The Pearson Linear Correlation Coefficients Between Various Quantities in the Ulysses Polar Passes of Table	ıble 3
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	$v_r$	$log(S_p)$	v <sub>A</sub> r	$n_p r^2$	$n_a r^2$	$T_p r^{4/3}$	$B_{mag} r^2$	$\log(\alpha/p)$
٧ <sub>r</sub>	100	31	2	5	14	40	8	15
$log(S_p)$	31	100	26	-48	-16	65	-16	33
v <sub>A</sub> r	2	26	100	-13	-7	9	56	11
$n_p r^2$	5	-48	-13	100	66	24	44	-21
$n_a r^2$	14	-16	<b>-7</b>	66	100	28	19	22
$T_p^{\alpha} r^{4/3}$	40	65	9	24	28	100	17	11
B <sub>mag</sub> r <sup>2</sup>	8	-16	56	44	19	17	100	-10
$\log(\alpha/p)$	15	33	11	-21	22	11	-10	100

<sup>&</sup>lt;sup>a</sup>The polar passes are cleaned to ensure only unperturbed coronal hole plasma is included. Polar Crossing 3 (2000) and Polar Crossing 4 (2001) are eliminated and the comet-McNaught interval (Days 35–41 of 2007) were eliminated from Polar Crossing 5. After cleaning, 79,100 measurement time values remain for the correlations.

variations from one interval to another and by variations within the intervals. (The coefficients in Table 5 are only driven by variations between intervals.)

Examining the coefficients for unperturbed coronal hole plasma at 1 AU in Table 6, some of the correlations are familiar and some are not. One familiar set of correlations in Table 6 are the strong positive correlations between v<sub>r</sub>, S<sub>p</sub>, and T<sub>p</sub> [e.g., Lopez, 1987; Burlaga et al., 1990; Elliott et al., 2005; Borovsky, 2012c]. Another familiar set of correlations is the anticorrelation between the solar wind radial velocity  $v_r$  and the heavyion charge-state ratios O<sup>7+</sup>/O<sup>6+</sup> and C<sup>6+</sup>/C<sup>5+</sup> [e.g., Gloeckler et al., 2003], although the anticorrelations for unperturbed coronal hole plasma in Table 6 are not nearly as strong as the anticorrelations when all types of solar wind are mixed (as in Borovsky [2012c, Table 2] or Xu and Borovsky [2015, Figure 2]). Note in Table 6 that the proton specific entropy  $S_p$  is basically uncorrelated with the heavy-ion charge-state ratios  $O^{7+}/O^{6+}$ and  $C^{6+}/C^{5+}$  for unperturbed coronal hole plasma at 1 AU; this is in stark contrast to the very strong anticorrelations between  $S_n$  and the ratios  $O^{7+}/O^{6+}$  and  $C^{6+}/C^{5+}$  at 1 AU when all types of solar wind plasma are used for the correlations [cf. Pagel et al., 2004, Tables 1-4; Borovsky, 2012c, Table 2; Xu and Borovsky, 2015, Figure 9]. Another familiar correlation in Table 6 is the positive correlation between the intensity of the electron strahl  $f_{272}$  and the magnetic field strength  $B_{mag}$  [cf. Feldman et al., 1976b; Scime et al., 1994; Crooker et al., 2010]. Note that the strahl intensity  $f_{272}$  also shows positive correlations with the heavyion charge-state ratios  $O^{7+}/O^{6+}$ ,  $C^{6+}/C^{5+}$ , and  $C^{6+}/C^{4+}$  in Table 6: since higher charge-state ratios are caused by higher corona electron temperatures [cf. Landi et al., 2012, Figure 2], this positive correlation may be an indication of more robust electron strahl from regions of the corona that have higher electron temperatures. Another correlation of note in Table 6 is the negative correlation between the alpha-to-proton density ratio a/p and the value of  $B_{mag}/n_p$  in the plasma. Note in Table 6 that the iron-to-oxygen density ratio Fe/O of the unperturbed coronal hole plasma at 1 AU is not strongly correlated with other solar wind parameters.

In Table 7 the coefficients for unperturbed coronal hole plasma in the polar crossings (>75° latitude) of Ulysses are displayed. Since the Ulysses spacecraft varies in distance from the Sun during those crossings, distance-normalized variables such as  $B_{mag}r^2$ ,  $n_pr^2$ , and  $v_Ar$  are used in the correlations. In Table 7 the familiar positive correlations between  $v_r$ ,  $S_p$ , and  $T_p$  are seen, although the correlations may be weaker than found at 1 AU in Table 6. (It is difficult to directly compare correlation coefficients obtained in different data sets, like Table 6 versus Table 7.) Weaker correlation may have to do with cumulative in situ heating of the protons in the coronal hole origin solar wind [cf. Schwenn et al., 1981; Freeman and Lopez, 1985; Hellinger et al., 2011; Borovsky and Gary, 2014]. In Table 7 the positive correlation between  $S_p$  and  $v_A$  is also seen but again apparently weaker than at 1 AU in Table 6. In Table 7 the Ulysses polar-crossing data show a positive correlation between the alpha-to-proton density ratio and  $S_p$  that is not seen at 1 AU in Table 6: this positive correlation further from the Sun may represent cumulative heating of the coronal hole origin solar wind protons by alpha particles with more proton heating (higher  $S_p$ ) associated with higher fractional densities of alphas [cf. Schwartz et al., 1981; Safrankova et al., 2013; Borovsky and Gary, 2014].

Table 8.	Estimates of the Photospheric Velocities of the Solar Wind Origin Footpoints for the Helios 1 and 2, ACE, Wind,
and Ulys	ses Spacecraft in the Unperturbed Coronal Hole Plasma Intervals of This Study

	r	$\theta_{lat}$	V <sub>nadir</sub>	$v_{\rm photo}$	$ au_{ extsf{Supergranule}}$
Ulysses Polar Crossings	2 AU	80°	0.25 km/s	0.25 km/s	33 h
ACE and Wind	1 AU	0°	1.9 km/s	0.5 km/s	17 h
Helios at 0.6 AU	0.6 AU	0°	1.8 km/s	0.45 km/s	19 h
Helios at 0.3 AU	0.3 AU	0°	1 km/s	0.25 km/s	33 h

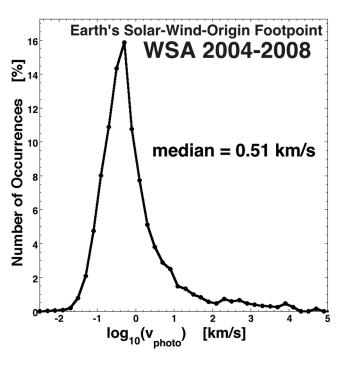
# 5. Examining the Solar Wind Data in Terms of Movement Along the Photosphere

To discern whether there are characteristic structure sizes in the coronal hole plasma that might have origins at the Sun, in this section the time series measurements of the solar wind from the various spacecraft are converted from progressing time to progressing distance along the photosphere.

#### 5.1. Converting Spacecraft Time Into Photospheric Distance

To do this conversion, estimates of the solar wind origin foot-point velocity  $v_{photo}$  of the spacecraft along the photospheric floors of the coronal holes must be obtained. With this photospheric velocity  $v_{photo}$ , time t in the spacecraft measurements is converted to distance d along the photosphere via the expression  $d = v_{photo}t$ . This conversion assumes that the foot-point motion on the Sun is smooth, whereas in reality the open flux mapping foot point of a heliospheric spacecraft jumps from flux tube to flux tube into the solar surface (e.g., from funnel to funnel), with these open flux tubes mostly residing on the supergranule boundaries.

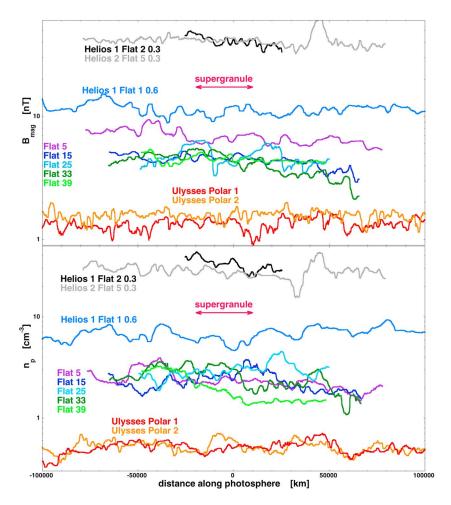
Estimating the foot point velocity  $v_{photo}$  is easiest for the high-latitude polar crossings of Ulysses. Here the origin point of the solar wind resides deep within a polar coronal hole where the open flux is nearly radial and the solar wind plasma undergoes a uniform expansion factor (radial expansion) near the Sun throughout the polar crossing. Hence, the nadir point of the spacecraft is approximately the solar wind origin footpoint,



**Figure 15.** The velocity on the photosphere of the Wang-Sheeley-Argemapped solar wind footpoint of the Earth is binned for the years 2004–2008 (data courtesy of Nick Arge, private communication, 2009).

with a time lag owing to the advection of the solar wind from the Sun to Ulysses. At a latitude of  $\theta_{lat}$  the Ulysses nadir point on the Sun makes a circular path that is  $2\pi r_s \cos(\theta_{lat})$  in length every solar rotation, with the solar radius  $r_s = 7 \times 10^5$  km. With a high-latitude rotation rate of about 35 days, at  $\theta_{lat} = 80^\circ$  the foot-point velocity is  $v_{photo} = v_{nadir} = 0.25$  km/s = 22000 km/d. These values are entered into Table 8.

In the equatorial regions the solar wind origin footpoint motion is more complicated owing to the superradial expansion of coronal hole plasma away from the Sun. With an equatorial rotation period of about 27 days, the Earth's nadir point moves along the photosphere of the Sun with a velocity of about v<sub>nadir</sub> = 1.9 km/s. The solar wind origin point, however, is not the nadir point. Rather the origin footpoint moves along the floor of coronal hole regions that are typically not on the solar equator and then the footpoint jumps a large distance from one coronal hole region into another coronal hole region [cf. Leamon



 $\textbf{Figure 16.} \ (top) \ Structure \ in \ the \ coronal \ hole \ origin \ solar \ wind \ as \ seen \ in \ the \ magnitude \ B_{mag} \ of \ the \ magnetic \ field$ from spacecraft measurements from 0.3 AU to ~2.3 AU and (bottom) structure in the coronal hole origin solar wind as seen in the proton number density  $n_p$  from spacecraft measurements from 0.3 AU to ~2.3 AU. The measurement time series have all been converted to approximate distance along the photosphere of the solar wind origin footpoint for each spacecraft. The approximate size of a supergranule on the photosphere is indicated by the red double arrows.

and McIntosh, 2009]. Owing to the expansion of open flux coming out of the low-latitude coronal hole regions the solar wind origin footpoint moves slower than 1.9 km/s across the floor of the hole and moves much faster than 1.9 km/s when it is making a jump. The motion across the floor is of interest for the present study. Examination of several of the unperturbed-coronal hole plasma intervals in Table 1 with the Wang-Sheeley-Arge mapping of the Earth's solar wind origin footpoint [Arge et al., 2003] (courtesy of Nick Arge, private communication, 2009) finds photospheric velocities of the Earth's solar wind origin footpoint being in the range of 0.15-1 km/s on the coronal hole floors of Table 1. In Figure 15 the footpoint velocity on the photosphere of the Earth's solar wind origin footpoint calculated with WSA (Wang-Sheeley-Arge) is binned for the decliningphase years 2004–2008. The occurrence distribution in Figure 15 has two parts, a low-velocity hump and a high-velocity tail; one interpretation is that the hump part of the distribution represents the velocity of the footpoint on the floors of coronal holes and that the high-velocity tail represents jumps across streamer belt regions from one coronal hole region into another. The median value for the years 2004-2008 is 0.51 km/s; from this, the value v<sub>photo</sub> = 0.5 km/s will be taken as a typical velocity of the solar wind origin footpoint across the photosphere for the unperturbed coronal hole plasma intervals at 1 AU (cf. Table 1). This value is about one fourth of the value of the nadir velocity v<sub>nadir</sub>. These values are entered into Table 8.

The orbital ephemeris in the Helios 1+2 data sets indicates that for the fast-moving Helios 1+2 spacecraft, the nadir point of the spacecraft moves at v<sub>nadir</sub> ~ 1.8 km/s across the photosphere for Flattop Interval 1

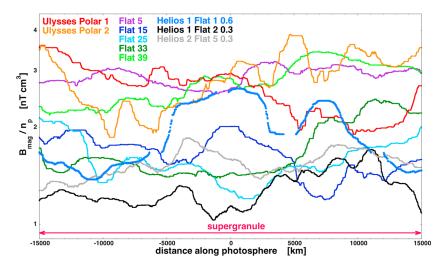
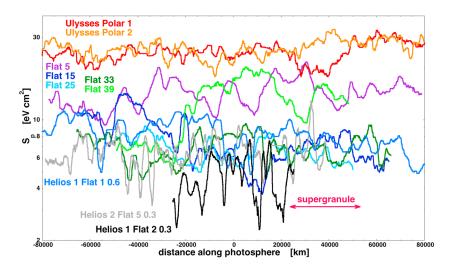


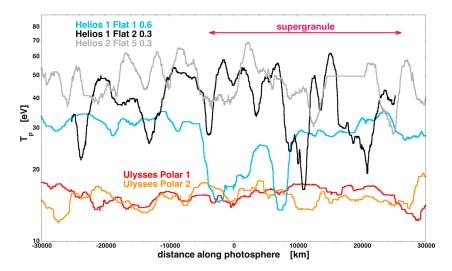
Figure 17. Structure in the coronal hole origin solar wind as seen in the ratio  $B_{mag}/n_p$  from spacecraft measurements from 0.3 AU to ~2.3 AU. The measurement time series have all been converted to approximate distance along the photosphere of the solar wind origin footpoint for each spacecraft. The approximate size of a supergranule on the photosphere is indicated by the red double arrows.

(Helios 1) at 0.61–0.67 AU, the nadir point moves at v<sub>nadir</sub> ~ 1.0 km/s in the photosphere for Flattop Interval 2 (Helios 1) at 0.31 AU, and the nadir point moves at  $v_{nadir} \sim 0.94 \, km/s$  for Flattop Interval 5 (Helios 2) at 0.29-0.31 AU. As is the case for ACE and Wind in the ecliptic plane at 1 AU, the solar wind origin footpoint of the Helios spacecraft near the ecliptic plane probably moves a factor of 4 slower across the coronal hole floor owing to the expansion of the open flux from coronal holes into the equatorial heliosphere. With the rough estimate obtained from WSA at Earth that  $v_{photo}$  for the floor of a coronal hole is about one fourth the nadir velocity  $v_{nadir}$ , the footpoint velocity  $v_{photo} = 0.45 \text{ km/s}$  is taken for the Helios Flattop Interval 1 and v<sub>photo</sub> = 0.25 km/s is taken for the Helios Flattop Intervals 2 and 5. These values are entered into Table 8.

A typical supergranule size on the photosphere is ~ 3 × 10<sup>4</sup> km [e.g., Simon and Leighton, 1964; Hagenaar et al., 1997; Srikanth et al., 2000], which is about 1/150 times the equatorial circumference of the Sun.



**Figure 18.** Structure in the coronal hole origin solar wind as seen in the proton specific entropy  $S_D$  from spacecraft measurements from 0.3 AU to ~2.3 AU. The evolution with distance of the entropy is also seen. The measurement time series have all been converted to approximate distance along the photosphere of the solar wind origin footpoint for each spacecraft. The approximate size of a supergranule on the photosphere is indicated by the red double arrows.

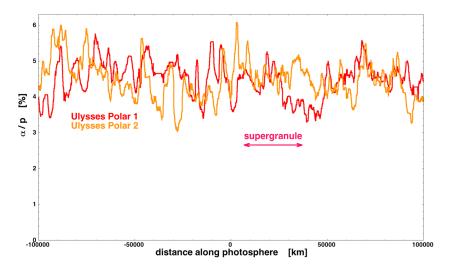


**Figure 19.** Structure in the coronal hole origin solar wind as seen in the proton temperature  $T_p$  from spacecraft measurements from 0.3 AU to ~2.3 AU. The evolution with distance of the temperature is also seen. The measurement time series have all been converted to approximate distance along the photosphere of the solar wind origin footpoint for each spacecraft. The approximate size of a supergranule on the photosphere is indicated by the red double arrows.

In Table 8 the approximate supergranule crossing times  $\tau_{\text{super}} = (30,000 \text{ km})/v_{\text{photo}}$  for the spacecraft footpoints moving along the floors of coronal holes at a speed of  $v_{\text{photo}}$  are tabulated.

# 5.2. Structure in the Solar Wind Plasma From Coronal Holes

Using the estimates of  $v_{photo}$  in Table 8 to convert the spacecraft time series into distance along the photosphere, the spacecraft measurements of structure in the unperturbed coronal hole plasma at different distances from the Sun are compared in Figures 16–21. The curves plotted in those figures are all 1.5 h running medians of the spacecraft measurements (85-point medians for the 64s resolution ACE measurements, 23-point medians for the 4 min resolution Ulysses plasma measurements, 90-point medians for the 1 min resolution Ulysses magnetic field measurements, and 135-point medians for the 40s resolution Helios measurements). The running median is chosen as a method to despike the data [*Nodes and Gallagher*, 1982]. A running medium of 1.5 h width corresponds to approximately 1350 km in the Ulysses curves and the Helios 0.3 AU curves and corresponds to approximately 2700 km in the ACE curves and the Helios 0.6 AU curve.



**Figure 20.** Structure in the coronal hole origin solar wind as seen in the helium abundance  $\alpha/p S_p$  from Ulysses measurements at ~2.3 AU. The measurement time series have been converted to approximate distance along the photosphere of the solar wind origin footpoint for Ulysses. The approximate size of a supergranule on the photosphere is indicated by the red double arrows.

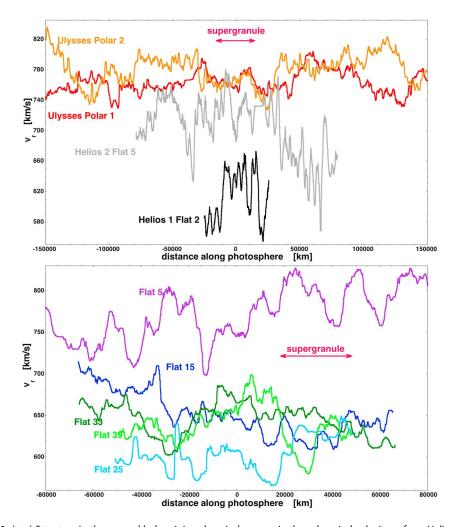


Figure 21. (top) Structure in the coronal hole origin solar wind as seen in the solar wind velocity  $v_r$  from Helios measurements at ~0.3 AU and Ulysses measurements at ~2.3 AU and (bottom) structure in the coronal hole origin solar wind as seen in the solar wind velocity v<sub>r</sub> from ACE measurements at 1 AU. The measurement time series have all been converted to approximate distance along the photosphere of the solar wind origin footpoint for each spacecraft. The approximate size of a supergranule on the photosphere is indicated by the red double arrows.

#### 5.2.1. Magnetic Field Strength

In Figure 16 (top) the magnetic field strength  $B_{\text{mag}}$  measured in the solar wind is plotted logarithmically as a function of approximate distance along the photosphere for Ulysses measurements in Polar Crossings 1 and 2 (red and orange curves), for ACE measurements in Flattop Intervals 5, 15, 25, 33, and 39 (green, blue, and purple curves), for Helios 1 measurements at 0.6 AU in Flattop Interval 1 (light blue curve), and for Helios 1+2 measurements at 0.3 AU in Flattop Intervals 2 and 5 (black and gray curves). In Figure 16 (top) the approximate  $B_{mag} \propto r^{-2}$  (purely radial field) to  $B_{mag} \propto r^{-1}$  (purely transverse-to-radial field) expansion of the solar wind is clearly seen with  $B_{\text{mag}}$  being about a factor of 40 times weaker for the Ulysses curves at ~2.3 AU than for the Helios curves at 0.3 AU. The approximate size of a 30,000 km diameter supergranule is indicated on the plot by the red double arrow. The various curves in 16 (top) show variations in B<sub>mag</sub> with relative amplitudes of slightly less than a factor of 2 with several variations per supergranule scale size.

# 5.2.2. Proton Number Density

In Figure 16 (bottom) the proton number density  $n_p$  of the coronal hole origin solar wind is plotted logarithmically as a function of the approximate distance along the photosphere. The color codings of the curves are labeled on the plot (same scheme as in Figure 16 (top)) and the size of a 30,000 km supergranule is indicated by the red double arrow. The  $n_p \propto r^{-2}$  decrease in density with distance r is clearly seen with the average densities at 0.3 AU being a factor of about 60 times the average densities at ~2.3 AU. In all curves a variability in



the proton density by factors of 2 or less are seen, with several variations per supergranule size in all curves. In no case is the coronal hole plasma homogeneous.

#### 5.2.3. $B_{mag}/n_p$

The quantity  $B_{mag}/n_p$  is of particular interest because this quantity does not change under the action of compression and rarefaction transverse to the magnetic field nor does it change under the action of adiabatic or nonadiabatic heating or cooling. In Figure 17  $B_{mag}/n_p$  is plotted logarithmically as a function of the approximate distance along the photosphere. Since  $n_p \propto r^{-2}$  and approximately  $B_{mag} \propto r^{-2}$  (the latter being approximately true for fast wind in the ecliptic at 1 AU), there is not a strong variation in  $B_{mag}/n_p$  with distance from the Sun. Since  $B_{mag}/n_p$  is impervious to compression, expansion, and heating, it is a robust quantity to use to compare structure scale sizes at different distances from the Sun. In Figure 17 the spacecraft measurements of  $B_{mag}/n_p$  are plotted for about 1 supergranule diameter of measurements. Relative variations of  $B_{mag}/n_p$  by a factor of 2 or less are seen in the various spacecraft curves, with several variations per supergranule in each curve. There is no obvious trend in the characteristic scale sizes of structure from 0.3 AU (black and gray curves) to ~2 AU (red and orange curves) or for the other curves in between at 0.6 AU and 1 AU.

### 5.2.4. Proton Specific Entropy

In Figure 18 the proton specific entropy  $S_p = T_p/n_p^{2/3}$  is plotted logarithmically as a function of approximate distance along the photosphere. The proton specific entropy is invariant to adiabatic compressions and expansions of the plasma but is a measure of the amount of nonadiabatic proton heating that the plasma has undergone. It is well known that the protons of the fast wind are nonadiabatically heated with distance from the Sun, which produces a temperature decrease with distance that is slower than the decrease associated with pure adiabatic expansion [cf. Schwenn et al., 1981; Freeman and Lopez, 1985; Hellinger et al., 2011; Borovsky and Gary, 2014]. Figure 18 shows the progression of the increase in proton specific entropy from 0.3 AU (black and gray curves), to 0.6 AU (light blue curve), to 1 AU (multiple blue, green, and purple curves), to ~2 AU (orange and red curves). The average proton specific entropy at ~2 AU is about a factor of 160 times greater than the average at 0.3 AU. It is also seen that the relative amplitude of variations of  $S_p$  decrease with distance from the Sun: the variation amplitude in the 0.3 AU curves is about a factor of about 4, while the variation amplitude in the ~2 AU curves is about a factor of 1.5. This reduction in the relative amplitude may indicate that the proton heating that gave rise to the entropy increase is a bulk heating throughout the plasma (see also Figure 19), with the mean temperature rising while temperature spatial variations are not effected. For each of the  $S_p$  curves in Figure 18, there are several variations per supergranule scale size.

# 5.2.5. Proton Temperature

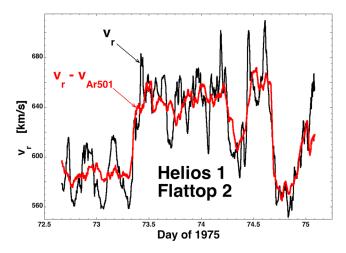
In Figure 19 the proton temperature  $T_p$  is plotted logarithmically as a function of approximate distance along the photosphere for the Helios measurements at 0.3 AU (black and gray curves) and for the Ulysses measurements at ~2 AU (red and orange curves). The proton temperature at ~2 AU is cooler than the temperature at 0.3 AU owing to adiabatic cooling by the solar wind expansion being greater than non-adiabatic proton heating [cf. Borovsky and Gary, 2014, Figure 2]. The relative amplitude of the temperature variations is greatly reduced from a factor of more than 4 at 0.3 AU to a factor of about 1.5 at ~2 AU. This is indicative of a distributed heating of the plasma that raises the bulk temperature everywhere and so reduces the relative amplitude of the initial variations in temperature. Of course, the temperature is actually cooler at ~2 AU because of the action of adiabatic cooling which is greater than the action of the uniform heating.

#### 5.2.6. Helium Abundance

In Figure 20 the alpha-to-proton density ratio  $\alpha/p$  is plotted linearly as a function of approximate distance along the photosphere for the Ulysses Polar Crossings 1 and 2 (red and orange curves). (The  $\alpha/p$  ratios at 1 AU are deemed inaccurate and are not utilized here: ACE SWEPAM, Wind SWE, and the ACE SWICS/SWEPAM combination all yield  $\alpha/p$  values that vary considerably from 1% to 8% in the coronal hole plasma with values that do not agree between the three measures.) The  $\alpha/p$  density ratio is invariant to compression and decompression of the plasma and is invariant to any nonadiabatic heating of the protons or alpha particles. Figure 20 shows variations in  $\alpha/p$  by about a factor of 2, with several variations per supergranule scale size.

#### 5.2.7. Solar Wind Radial Velocity

The structure of the solar wind radial velocity in coronal hole origin solar wind is examined in Figures 21 and 22. In Figure 21 (top) the radial velocity of the solar wind is plotted linearly from two intervals of unperturbed



**Figure 22.** In black the measured solar wind velocity  $v_r$  is plotted and in red the solar wind velocity with Alfvenic fluctuation subtraction is plotted. For the subtracting, a 3.3 h baseline is used, so only Alfvenic fluctuations shorter than 3.3 h are removed. Both curves are 33 min running averages.

coronal hole plasma at 0.3 AU (black and gray curves) and from two intervals at ~2 AU (red and orange curves). The approximate size of a supergranule is denoted by the red double arrow: in all of the curves several variations per supergranule scale size are seen. The amplitude of the velocity variations is larger at 0.3 AU than it is at ~2 AU. The 0.3 AU Helios 1 measurements in Flattop Interval 2 are replotted in black in Figure 22; using the technique of Thieme et al. [1989] the Alfvenic fluctuations are subtracted off of the black curve and the result is plotted in red in Figure 22. The subtraction takes the measured proton velocity v<sub>r</sub> and produces a "corrected" proton velocity  $v_{rc}$  according to  $v_{rc} = v_r$  $\pm v_{Ar}$ , where  $v_{Ar}$  is the velocity of the r

component Alfvenic fluctuation  $v_{Ar} = \Delta B_r / (4\pi m_p n_p)^{1/2}$  where the magnetic field fluctuation  $\Delta B_r = B_r - \langle B_r \rangle$ , with  $B_r$  being the instantaneous value of  $B_r$  and  $B_r$  being a time average of  $B_r$ . Depending on the length of the time averaging, Alfven waves of different scales are subtracted. For the red curve in Figure 22 a 501-point (3.3 h) running average is used as the baseline  $\langle B_r \rangle$ , so Alfvenic fluctuations with timescales of about 3.3 h or shorter are subtracted off the black curve to make the red curve. As seen, most of the strong fluctuations of the black curve in Figure 22 (and in Figure 21 (top)) are subtracted off; hence, those strong fluctuations were Alfvenic fluctuations. If a longer-time running average were taken for a baseline, longer-timescale Alfvenic fluctuations would be subtracted off; it has been noted in the literature that the large-spatial-scale (low frequency) velocity fluctuations of the solar wind are Alfvenic [cf. Bruno et al., 1985; Roberts et al., 1987; Bavassano and Bruno, 1992]. But not all of the low-frequency fluctuations of v<sub>r</sub> are Alfvenic; it has been noted in section 4.1 (cf. Figure 14) that there are non-Alfvenic transitions of  $v_r$  between Alfvenic patches of the coronal hole plasma. The variations in the two Ulysses curves of Figure 21 (top) are also very Alfvenic, as determined by the strong local correlations between  $v_r$  and  $B_r$ ; however, (for some reason) the *Thieme et al.* [1989]  $v_{rc} = v_r \pm v_{Ar}$  technique is not successful at cleanly subtracting off the Alfvenic fluctuations in those Ulysses curves. Perhaps the amplitudes of the variations are too small in comparison with the accuracies of measurements of  $n_p$  (used in the denominator of the Alfven speed) for the subtraction technique to be of low noise. Figure 21 (top) shows several variations per supergranule scale for the solar wind radial velocity at both 0.3 AU and at  $\sim$ 2 AU. Much of the variability of  $v_r$ is Alfvenic, and Alfvenic fluctuations are limited in amplitude to  $\pm v_A$ ; since the Alfven speed  $v_A$  of the solar wind plasma decreases with distance r from the Sun, it is only natural that the amplitudes of Alfvenic variations of  $v_r$ decrease with distance from the Sun in Figure 21 (top). It may be that the Alfvenic variations in  $v_r$  at Ulysses are limited by the lower Alfven speed there. The mean Alfven speed for Polar Crossings 1 and 2 is 44 km/s, which is more than half the size of the peak-to-peak amplitudes of the variations in the Ulysses red and orange curves. The Helios gray and Black curves have amplitudes about twice the Ulysses amplitudes. In Figure 21 (bottom) the measurements of the solar wind radial velocity in the five intervals of unperturbed coronal hole plasma at 1 AU are plotted as a function of the approximate distance along the photosphere. Again, several variations of v<sub>r</sub> per supergranule are seen: again some of the variations are Alfvenic and some are not.

# 6. Summary Assessment of the Structure in Coronal Hole Origin Solar Wind

An assessment of the observations of structure in the unperturbed coronal hole plasma is as follows.

- 1. Structure in the coronal hole origin solar wind is seen in all measured quantities.
- 2. The structure amplitudes are factors of 2 for the magnetic field strength  $B_{mag}$ , the proton number density  $n_p$ , the ratio  $B_{mag}/n_p$ , and the  $\alpha/p$  density ratio. The amplitudes do not vary significantly with distance from the Sun.



- 3. As the proton specific entropy increases systematically from the Sun, the relative amplitude of the structure variations decreases. Similarly, the relative amplitude of the structure variations of the proton temperature decreases with distance from the Sun. A nonadiabatic proton heating with distance uniformly throughout the solar wind plasma may be the cause of this reduction in amplitude.
- 4. The amplitude of variations in the solar wind radial velocity decreases with distance from the Sun. Since most of the radial velocity variations are Alfvenic and limited to the Alfven speed, and since the Alfven speed decreases with distance from the Sun, it is not unexpected that the amplitudes of radial velocity variations decrease.
- 5. Variability in the electron strahl by factors of 2 to 4 are seen, as measured at 1 AU by the amplitude of the phase-space distribution function at 272 eV.
- 6. In all measured quantities, several variations per supergranule scale size are observed. The scale size of structure in the coronal hole origin solar wind seems to be a fraction of the supergranule scale.
- 7. No systematic evolution of the structure scale size is observed with distance from the Sun over the range 0.3 AU to ~2.3 AU. This is consistent with relic structure from the Sun being advected outward without destruction
- 8. In section 7 it is argued quantitatively that the observed structure scales in the solar wind are consistent with the production of plasma from open flux funnels in coronal holes.
- 9. The population of magnetic field foldings (reversals and switchbacks) associated with Alfvenic velocity pulses evolves with distance from the Sun. The magnetic field is mostly Parker-spiral aligned at 0.3 AU and becomes more and more misaligned with distance outward.

# 7. Discussion: Expectations for Structure Scales

To estimate expected structure scale sizes in the coronal hole origin solar wind plasma (1) the birth of solar wind in open flux funnels in coronal holes is considered and (2) the reducing action of eddy diffusion in turbulence in the solar wind plasma is considered.

#### 7.1. Solar Wind Emanating From Coronal Holes

In the inner heliosphere tens of solar radii from the Sun field-aligned density structures (polar plumes) connected to coronal holes are imaged that are supergranule size in diameter [Fisher and Guhathakurta, 1995; Woo, 1996; DeForest et al., 1997; Woo and Habbal, 1997] and radio scintillation detects much-smaller-scale density perturbations in the coronal hole solar wind away from the Sun [Woo, 2006].

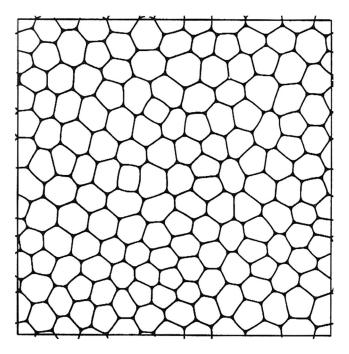
For solar wind plasma emanating from coronal holes the expected structure size can be estimated as follows. For conceptual simplicity, the approximation is taken that the entire solar surface is covered with a single coronal hole with uniformly expanding (radially expanding) solar wind; in the end this uniformity assumption will only be exploited for a fraction of the solar surface such as the center of a polar coronal hole.

The total open flux  $F_{sw}$  in the solar wind at a distance r from the Sun is

$$F_{\text{sw}} = 4\pi r^2 < B_r > \tag{1}$$

where  $< B_r >$  is an average of the (signed) radial component of the magnetic field in the solar wind. The signed value  $B_r$  is averaged rather than the absolute value of  $B_r$  to reduce the effect of magnetic field foldings (switchbacks) [Kahler et al., 1996; Balogh et al., 1999] in the coronal hole origin plasma. The quantity  $< B_r > r^2$  is obtained from the Ulysses polar crossings (cf. Table 3) where the expansion of the solar wind near the Sun should not be superradial; the values obtained are  $< B_r > r^2 = -3.1$  nT AU<sup>2</sup> from the Ulysses Polar Crossing 1,  $< B_r > r^2 = +2.8$  nT AU<sup>2</sup> from Polar Crossing 2, and  $< B_r > r^2 = +2.4$  AU<sup>2</sup> from Polar Crossing 5 (cleaned to remove the encounter with comet McNaught). Taking  $< B_r > r^2 \approx 2.8$  nT AU<sup>2</sup>, expression (1) yields (with  $1 \text{ nT} = 10^{-5} \text{ G}$  and  $1 \text{ AU} = 1.5 \times 10^8 \text{ km}$ )  $F_{\text{sw}} = 7.92 \times 10^{12} \text{ G km}^2$  for the total open flux in the heliosphere (for this single-coronal hole picture). It is believed that the coronal hole open flux originates at the photosphere in magnetic funnels that reside within the magnetic network lanes at the boundaries of supergranules [Dowdy et al., 1987; Tu et al., 2005; Peter, 2007; Kayshap et al., 2015]. A magnetic-funnel base in the photosphere is seen as a G band (4305 Å) bright point (magnetic bright point and network bright point) [Ishikawa et al., 2007; Cranmer, 2009]. If there are  $N_{\text{funnel}}$  funnels of open flux on the coronal hole-covered Sun, then the total open flux produced at the Sun by the open funnels is

$$F_{\text{sun}} = N_{\text{funnel}} d_{\text{bp}}^{2} B_{\text{bp}} \quad , \tag{2}$$



**Figure 23.** Looking in the radial direction outward from the Sun, a two-dimensional simulation of cellular structure (after *Weaire and Hutzler* [1999, Figure 6.2a]) is used to represent a square area in the heliosphere filled with 150 funnels from the Sun. The box is 2.1 supergranules by 2.1 supergranules in size, which is 5.2° by 5.2°.

where  $d_{bp}$  is the diameter of a bright point (funnel base) and  $B_{\mbox{\scriptsize bp}}$  is the magnetic field strength in the bright point. Typical values are  $d_{\rm bp} \sim 150 \, \rm km$ [Utz et al., 2013; Yang et al., 2014] and B<sub>bp</sub> ~ 1500 G [Ishikawa et al., 2007; Criscuoli and Uitenbroek, 2004]; with those two parameters, expression (2) yields  $F_{\text{sun}} = N_{\text{funnel}} 3.38 \times 10^7 \,\text{G km}^2$  for the total open flux coming out of the funnels. Equating the two above expressions  $F_{sw} = F_{sun}$  yields  $N_{funnel} = 2.34 \times 10^5$ for the number of funnels required to yield the heliospheric total open flux (if the whole sun was covered by a single coronal hole).

The diameter  $d_{\text{super}}$  of a supergranule on the photosphere is about 30,000 km [e.g., Simon and Leighton, 1964; Hagenaar et al., 1997; Srikanth et al., 2000]; hence, a single supergranule has an area  $A_{\text{super}} = d_{\text{super}}^2 = 9 \times 10^8 \text{ km}^2$  on the photosphere. The Sun has a total surface area of  $A_{\text{sun}} = 6.15 \times 10^{12} \text{ km}^2$ ; hence, there are about  $N_{\text{super}} = A_{\text{sun}} / A_{\text{super}} = 6830$  supergranules covering the solar surface. The number of funnels per supergranule  $n_{\text{funnel}}$  is

$$n_{\text{funnel}} = N_{\text{funnel}}/N_{\text{super}} = 34.2.$$
 (3)

So (for the single-coronal hole approximation we have been making), for large coronal holes with "radial" expansion of the solar wind, the average number of funnels per supergranule is approximately  $n_{\rm funnel} = 34.2$ . This value of  $n_{\rm funnel}$  should also hold for equatorial regions of coronal holes that have superradial solar wind expansion.

On the photosphere the open flux funnels do not fill the area of the supergranule, but in the coronal hole origin solar wind in the heliosphere the funnels have expanded laterally to fill the volume; hence, the area associated with a "supergranule" out in the heliosphere is filled with ~34 funnels. In the radially expanding solar wind, a supergranule with a diameter  $d_{\text{super}}$  on the photosphere has a diameter  $D_{\text{super}} = rd_{\text{super}}$  a distance r out in the heliosphere. In the heliosphere the supergranule area  $D_{\text{super}}^2$  must equal  $34.2 D_{\text{funnel}}^2$ , so  $D_{\text{funnel}} = D_{\text{super}}/34.2^{1/2} = D_{\text{super}}/5.8$ . Linearly, a supergranule has 5.8 funnels across it.

As an aside, at 1 AU where the radial magnetic field strength is  $B_r$  the funnel diameter  $D_{\rm funnel}$  should be given by flux conservation  $D_{\rm funnel}^2$   $B_r = d_{\rm bp}^2$   $B_{\rm bp}$ ; for  $B_r = 2.3$  nT (the average of the 66 intervals in Table 1, see Table 4),  $d_{\rm bp} \sim 150$  km, and  $B_{\rm bp} \sim 1500$  G the flux-conservation expression yields  $D_{\rm funnel} \sim 1.2 \times 10^6$  km; this value  $1.2 \times 10^6$  km is a factor of 2 bigger than the median flux tube size measured at 1 AU by *Borovsky* [2008] [see also *Neugebauer and Giacalone*, 2015].

To estimate how many funnels would be sampled by a spacecraft trajectory through the solar wind plasma, two-dimensional cellularization patterns (magnetic cells, soap froth, etc.) can be examined [e.g., *Aboav*, 1983; *Weaire and Hutzler*, 1999; *Durand*, 2010]. In Figure 23 one such cellularization is shown. In the figure the two-dimensional square doubly periodic box contains 150 cells with the cells all of approximately the same area; for the cells representing funnels out in the heliosphere, with the numerical value of 34.2 funnels per supergranule, the box in Figure 23 is 2.1 supergranule diameters by 2.1 supergranule diameters in area. Twenty-six vertical cuts were made through the box in Figure 23 and 26 horizontal cuts were made through the box and along each cut the number of different funnels that the cut line passed through was counted. The mean



number of funnels encountered per cut was 14.2, where the cut lengths were all  $12.2 = 150^{1/2}$  funnel diameters long. That is 1.16 funnel encounters per funnel diameter of pathway. For 5.8 funnel diameters per supergranule diameter a path will on average encounter 6.7 funnels per supergranule diameter of distance.

Note that the calculations in this section, and Figure 23, have taken the funnels to all have the same diameter (from the bright point bases all taken to have the same diameter and same magnetic field strength). Certainly G band bright points have a spectrum of diameters and field strength [Ishikawa et al., 2007; Utz et al., 2013; Yang et al., 2014; Criscuoli and Uitenbroek, 2004], so the funnel sizes in the heliosphere will have a spectrum of diameters. The spectrum of diameters will alter the count rate of funnel crossings.

One view of the source of solar wind plasma in coronal holes is that at the Sun plasma is fed into the open flux funnels by reconnection with newly emerged closed flux loops as the closed flux loops are advected into the supergranule-boundary lane where the open funnels reside [Gabriel, 1976; Tu et al., 2005; Wilhelm et al., 2011; Yang et al., 2013]. The orientation, altitudes, field strengths, and Alfven speeds of the closed loops that reconnect with an open funnel will vary with time, so the reconnection rate between an open funnel and the closed loops will vary with time [e.g., Fisk et al., 1999; Axford et al., 1999; He et al., 2010; Yang et al., 2013]. There will also be variations in the reconnection rates from open funnel to open funnel. Hence, one should expect the plasma supply to have variations from funnel to funnel and even have variations within a funnel. Energy transfer to the open funnels by reconnection will also vary with time and with location within the funnel. From these reconnection variations, a nonhomogenous solar wind plasma coming out of the coronal hole is a reasonable expectation. An inhomogeneity scale of funnel sizes is also a reasonable expectation.

#### 7.2. Possible Effects From In Situ Solar Wind Turbulence

If turbulence is active in the coronal hole origin solar wind plasma, the action of eddy diffusion is expected to blend away structure in the solar wind plasma, producing an increase in the structure scale sizes with distance from the Sun.

To estimate the scale sizes that might be subject to eddy diffusion by MHD turbulence in the coronal hole origin solar wind the assumption will be made that fluctuations in the velocity (and magnetic field) in the coronal hole origin solar wind are active turbulence and that the transport of energy in the turbulence is at the eddy-turnover timescale. The scale sizes are estimated using the methodology of *Borovsky and Denton* [2010, of section 5.1]. The scale  $L_{\perp}$  perpendicular to the solar wind magnetic field over which turbulent eddy diffusion could transport plasma is given by

$$L_{\perp} \sim \left(D_{\perp \perp} \tau_{age}\right)^{1/2} \; , \tag{4}$$

where  $D_{\perp\perp}$  is the MHD eddy-diffusion coefficient and  $\tau_{\rm age}$  is the age of the solar wind plasma from its birth at the Sun. At a distance r from the sun, the age of the plasma is the advection timescale  $\tau_{\rm age} = r/v_{\rm r}$ . The eddy-diffusion coefficient  $D_{\perp\perp}$  is approximated by  $D_{\perp\perp} \sim L_{\rm eddy}^2/\tau_{\rm eddy}$  where  $L_{\rm eddy}$  is the large-eddy scale size in the turbulence and  $\tau_{\rm eddy}$  is the eddy-turnover timescale for a large eddy. That turnover time is  $\tau_{\rm eddy} \sim L_{\rm eddy}/v_{\rm rms}$ , where  $v_{\rm rms}$  is the velocity-fluctuation amplitude of the turbulence, which is  $v_{\rm rms} \sim v_{\rm A}$ . Thus,  $D_{\perp\perp} \sim L_{\rm eddy} v_{\rm A}$  for strong turbulence. The large-eddy scale size is usually taken to be the scale associated with the low-frequency breakpoint in the Fourier spectrum of magnetic field fluctuations, which is  $L_{\rm eddy} = v_{\rm r}$   $\tau_{\rm break}$  where  $\tau_{\rm break} = 1/f_{\rm break}$  is the timescale associated with the breakpoint frequency  $f_{\rm break}$  of the Fourier spectrum. Since we are interested in the large-eddy scale size perpendicular to the mean magnetic field, a  $\sin(\theta_{\rm Ps})$  factor is put into the expression  $L_{\rm eddy} = v_{\rm r}$   $\tau_{\rm break}$   $\sin(\theta_{\rm Ps})$  to account for the angle  $\theta_{\rm Ps}$  between the solar wind vector v and the direction of the Parker spiral. Because of the wiggling of the solar wind magnetic field about the Parker spiral direction (which increases with distance from the Sun but decreases when averaged over the timescale  $\tau_{\rm break}$  [Borovsky, 2010]), the  $\sin(\theta_{\rm Ps})$  factor will lead to an underestimate of  $L_{\rm eddy}$ . Taking  $D_{\perp\perp} \sim v_{\rm r} \tau_{\rm break} \sin(\theta_{\rm Ps}) v_{\rm A}$  and  $\tau_{\rm age} = r/v_{\rm r}$ , expression (4) becomes

$$L_{\perp} \sim (\tau_{\mathsf{break}} \, \mathsf{vA} \, r \, \sin(\theta_{\mathsf{PS}}))^{1/2}.$$
 (5)

For an estimate of the breakpoint timescale  $\tau_{\rm break}$  in the coronal hole solar wind, the expression  $\tau_{\rm break} = 1300 \, {\rm s} \, R^{1.5}$  can be used, where  $R = r/(1 \, {\rm AU})$  is the dimensionless distance from the Sun. The expression  $\tau_{\rm break} = 1300 \, {\rm s} \, R^{1.5}$  comes from the  $f_{\rm break}$  curve in *Bruno and Carbone* [2013, Figure 30] with  $\tau_{\rm break} = 1/f_{\rm break}$ . (Note that the values  $\tau_{\rm break} = 1300 \, {\rm s} \, R^{1.5}$  are 2 to 4 times greater than values given for the coronal hole solar wind in *Horbury et al.* [1996, Figure 3].)



At 1 AU with  $\tau_{\rm break} \sim 1300$  s,  $v_{\rm A} = 67$  km/s (from the average of all solar wind measurements in the 66 intervals of unperturbed coronal hole plasma in Table 1),  $\theta_{\rm Ps} = 33^{\circ}$  at  $v_{\rm r} = 632$  km/s (average from Table 4), and r = 1 AU =  $1.5 \times 10^{8}$  km, expression (5) gives  $L_{\perp} \sim 2.6 \times 10^{6}$  km. For a heliospheric Parker spiral pattern that rotates in the ecliptic plane at a speed of 405 km/s at 1 AU, the scale size  $L_{\perp} = 2.6 \times 10^{6}$  km corresponds to a timescale  $\Delta t = 6500$  s. With a solar wind origin footpoint moving at about 0.5 km/s on the photosphere (cf. Table 8), the timescale 6500 s yields  $L_{\rm sun} \sim 3200$  km for the scale size of solar wind eddy diffusion on the spacecraft measurements scaled to photospheric distances. (Without the factor  $\sin(\theta_{\rm Ps})$ , the estimate  $L_{\rm sun} \sim 4400$  km would be obtained.)

At 2.25 AU in the Ulysses polar crossings the expression  $\tau_{\text{break}} = 1300 \, \text{s} \, R^{1.5}$  yields  $\tau_{\text{break}} = 4400 \, \text{s}$ ; the average value of the Alfven speed  $v_A$  for Polar Crossings 1 and 2 is  $v_A = 44 \, \text{km/s}$ ; and the average speed for Polar Crossings 1 and 2 is 778 km/s yielding  $\theta_{Ps} = 9.5^{\circ}$ . With these values, expression (5) yields  $L_{\perp} \sim 3.3 \times 10^{6} \, \text{km}$ . At a latitude of 80° and a distance of 2.25 AU, the rotation speed of the Parker spiral pattern past the Ulysses spacecraft is 130 km/s, so the scale size  $L_{\perp} \sim 3.3 \times 10^{6} \, \text{km}$  corresponds to a timescale  $\Delta t = 2.5 \times 10^{4} \, \text{s}$  in the spacecraft measurements. With a solar wind origin footpoint moving at about 0.25 km/s on the photosphere (cf. Table 8), this timescale yields  $L_{\text{sun}} \sim 6300 \, \text{km}$  for the scale size of solar wind eddy diffusion on the spacecraft measurements scaled to photospheric distances. (Without the factor  $\sin(\theta_{Ps})$ , the estimate  $L_{\text{sun}} \sim 12,000 \, \text{km}$  would be obtained.)

At 0.3 AU the expression  $\tau_{\rm break}$  = 1300 s  $R^{1.5}$  yields  $\tau_{\rm break}$  = 210 s; the average value of  $v_{\rm A}$  for Helios Flattop Intervals 2 and 5 at 0.3 AU is  $v_{\rm A}$  = 161 km/s; and with an average velocity of 665 km/s for the Helios Flattop Intervals 2 and 5 the value  $\theta_{\rm Ps}$  = 10° is obtained. With these values, expression (5) yields  $L_{\perp} \sim 5.1 \times 10^5$  km. For the fast-moving Helios spacecraft, the speed of the spacecraft through the rotating Parker spiral pattern is 90 km/s, so the scale size  $L_{\perp} \sim 5.1 \times 10^5$  km corresponds to a timescale of  $\Delta t$  = 5900 s in the spacecraft measurements. With a solar wind origin footpoint moving at about 0.25 km/s on the photosphere (cf. Table 8), this timescale yields  $L_{\rm sun} \sim 1400$  km for the scale size of solar wind eddy diffusion on the spacecraft measurements scaled to photospheric distances. (Without the factor  $\sin(\theta_{\rm Ps})$ , the estimate  $L_{\rm sun} \sim 3400$  km would be obtained.)

The characteristic scale sizes (mapped to the photosphere) for the action of eddy diffusion in the coronal hole solar wind are 1400 km to 3400 km for measurements at 0.3 AU, 3200 km to 4400 km for measurements at 1 AU, and 6300 km to 12000 km for measurements at 2.3 AU. If turbulence in the solar wind were acting at these estimated spatial scales (1) structure smaller than these scales would be greatly reduced and (2) there would be an evolution of the structure scales with distance from the Sun. At 0.3 AU and at 1 AU, the structure scale sizes seen in the spacecraft measurements are larger than these estimated turbulent-diffusion scale sizes. Certainly, at 2.3 AU structure finer than the 12,000 km turbulent-diffusion scale is seen: see, for example, structure in the helium abundance ratio  $\alpha/p$  in Figure 20 and structure in  $B_{mag}/n_p$  in Figure 17. At 2.3 AU the turbulence estimate of 6300 km could be effectively limiting the scale sizes of the observed structure in the coronal hole origin plasma. However, in general there is a lack of evolution of structure scale sizes with distance from the Sun, as exemplified by Figure 17; this might argue that the lower limit to the structure scales sizes at 2.3 AU is not caused by the action of turbulent diffusion in the solar wind.

# 7.3. Comparison of Expectations With Observations

Expectations for a coronal hole solar wind born in open flux magnetic funnels are that there should be about 6.7 funnel crossings per supergranule diameter for a spacecraft in the heliosphere mapped back to the solar surface. This is roughly the scale size of plasma variation seen in the unperturbed coronal hole solar wind when various parameters are measured and mapped to the solar surface. Hence, the plasma structure seen by spacecraft in the coronal hole origin solar wind is consistent with relic structure from the birth of the solar wind.

Estimates of turbulent-diffusion scale sizes in the solar wind at 0.3 AU, 1 AU, and 2.3 AU yield diffusion scales smaller than the structure scale sizes seen in the coronal hole origin solar wind. Hence, except perhaps at 2.3 AU and further from the Sun, turbulent eddy diffusion is not affecting the structures observed in the coronal hole origin solar wind.

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