

## Bird and bat predation services in tropical forests and agroforestry landscapes

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**Running head:** Ecosystem services provided by tropical birds and bats

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## ABSTRACT

Understanding distribution patterns and multitrophic interactions is critical for managing bat- and bird-mediated ecosystem services such as the suppression of pest and non-pest arthropods.

Despite the ecological and economic importance of bats and birds in tropical forests, agroforestry systems, and agricultural systems mixed with natural forest, a systematic review of their impact is still missing. A growing number of bird and bat enclosure experiments has improved our knowledge allowing new conclusions regarding their roles in food webs and associated ecosystem services. Here, we review the distribution patterns of insectivorous birds and bats, their local and landscape drivers, and their effects on trophic cascades in tropical ecosystems. We report that for birds but not bats community composition and relative importance of functional groups changes conspicuously from forests to habitats including both agricultural areas and

forests, here termed ‘forest-agri’ habitats, with reduced representation of insectivores in the latter. In contrast to previous theory regarding trophic cascade strength, we find that birds and bats reduce the density and biomass of arthropods in the tropics with effect sizes similar to those in temperate and boreal communities. The relative importance of birds *versus* bats in regulating pest abundances varies with season, geography and management. Birds and bats may even suppress tropical arthropod outbreaks, although positive effects on plant growth are not always reported. As both bats and birds are major agents of pest suppression, a better understanding of the local and landscape factors driving the variability of their impact is needed.

*Key words:* agricultural landscapes, arthropod suppression, bird and bat ecology, cacao, coffee, ecosystem services, exclosure experiments, flying vertebrates, food webs, pest suppression.

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## I. INTRODUCTION

Agricultural expansion and land-use intensification now typify landscapes globally (Melo *et al.*, 2013; Laurance, Sayer & Cassman, 2014), representing a serious threat to biodiversity and

ecosystem processes (Flynn *et al.*, 2009). Maintaining ecosystem services – the benefits that nature provides to humanity – is more important than ever as demand for food, fuel, fibre and other biological products grows (Millenium Ecosystem Assessment, 2005), and Earth’s climate changes (McShane *et al.*, 2011; Urban, Zarnetske & Skelly, 2013).

Birds and bats provide many important ecosystem services such as the suppression of insect pests, seed dispersal, and pollination (Whelan, Wenny & Marquis, 2008; Kunz *et al.*, 2011; ekerccio lu, Wenny & Whelan, 2016). It is hard to overstate the economic importance of the services rendered by these taxa (e.g. Cleveland *et al.*, 2006; Boyles *et al.*, 2011, 2013). In particular, the suppression of pest insects by birds and bats in tropical agroforestry systems facilitates substantial increases in crop yields (Karp *et al.*, 2013; Maas, Clough & Tscharntke, 2013) and may serve as a viable alternative to pesticides and other chemical compounds (e.g. Bianchi, Booij & Tscharntke, 2006; Clough, Faust & Tscharntke, 2009b). Biodiversity-friendly management of tropical farming landscapes thus provides a promising conservation strategy while enhancing human well-being through support of food security and ecosystem resilience (Fischer, Lindenmayer & Manning, 2006; Tscharntke *et al.*, 2012a).

However, the impact of insectivorous birds and bats on arthropod communities, plant productivity and yield as well as the underlying taxonomic and functional drivers, are highly variable and the existing knowledge is still unbalanced and limited. Insectivorous birds and bats consume a wide variety of arthropods: not only herbivorous pests (e.g. ekerccio lu, 2006a; Whelan *et al.*, 2008; Kunz *et al.*, 2011; Morrison & Lindell, 2012; Taylor *et al.*, 2013a) but also predatory arthropods, such as ants and spiders (e.g. Mooney & Linhart, 2006; Gunnarsson, 2007). Therefore, while birds and bats often improve crop yields directly by consuming herbivorous insects, they may at times depress crop yields through feeding as intraguild predators

(consuming both intermediate predators and herbivores). Whether birds and bats will ultimately suppress herbivores and contribute to yield productivity likely depends on specific functional traits (Philpott *et al.*, 2009) as well as on factors such as geographic distribution (Olson *et al.*, 2001), seasonality (e.g. Erickson & West, 2002; Williams-Guillén, Perfecto & Vandermeer, 2008; Singer *et al.*, 2012; Taylor, Monadjem & Steyn, 2013b), landscape context (e.g. Fahrig *et al.*, 2011), and local habitat structure or management regimes (e.g. Loeb & O'Keefe, 2006; Rice & Greenberg, 2000; Bhagwat *et al.*, 2008; Maas *et al.*, 2009).

Managing bird- and bat-mediated ecosystem services thus requires thorough understanding of multitrophic interactions, seasonal patterns (e.g. resource availability; precipitation; breeding cycles; presence of latitudinal effects and migrants) and the broader landscape context. Fortunately, community-wide manipulation experiments (e.g. experimental exclosures) can be readily used to identify the complex interactions between vertebrates and invertebrates that affect ecosystem services. In such studies, plants are enclosed in mesh nets that prevent access to foraging birds and bats while remaining accessible to arthropods. The relative impacts of bird- and bat-mediated predation on arthropod communities can then be isolated through deploying exclosures either during the day (to exclude only birds), at night (to exclude only bats and night-active birds), or throughout the daily cycle to assess joint impacts of birds and bats. Until recently, only the latter method was used in exclosure studies, with investigators attributing changes in arthropod density and plant damage exclusively to birds (Marquis & Whelan, 1994; Greenberg *et al.*, 2000b; Johnson, Kellermann & Stercho, 2010) and not to bats (e.g. Kalka & Kalko, 2006; Kunz *et al.*, 2011; Williams-Guillén *et al.*, 2008).

In recent years, however, several exclosure experiments have demonstrated that both birds and bats significantly constrain arthropod populations, yet major knowledge gaps persist.

For example, few studies have addressed the influence of local and landscape management on pest control, as well as the ultimate effect of bird and bat predation on crop yields (Kellermann *et al.*, 2008; Johnson *et al.*, 2010; Karp *et al.*, 2013; Maas *et al.*, 2013), hampering the design of targeted service management. In addition, study sites have been biased, with the Paleotropics underrepresented (Maas *et al.*, 2013) compared to the Neotropics (e.g. Van Bael & Brawn, 2005; Kalka, Smith & Kalko, 2008; Williams-Guillén *et al.*, 2008; Morrison & Lindell, 2012; Karp *et al.*, 2013).

Here, we compare arthropod suppression services of insectivorous birds and bats in tropical forest, agroforestry systems, and agricultural systems mixed with natural forest (here referred to as forest-agri systems), focusing on a growing number of landscape-scale enclosure experiments. Through comprehensive review and discussion of previous results, we describe trophic interactions among birds, bats and arthropods, the importance of environmental factors and biogeographic patterns in relation to vertebrate ecosystem functions, and address existing research gaps. We conducted a comprehensive literature search as well as a focused solicitation from colleagues for studies focusing on the role of birds and/or bats in regulating arthropod communities. Our search yielded 32 publications in which exclusions of birds and bats were used to quantify the effects of flying vertebrate predation on different arthropod groups. These publications provide the basis for our discussions of birds and bats in tropical agroforestry systems (i.e. coffee, cacao, and mixed fruit orchard) and forests, combining both prominent and new publications on bird and bat ecosystem services.

In Section III, we provide an overview of zoogeographic patterns of bird and bat species and their functional diversity (feeding guilds, habitat affiliations). Section IV unravels general effects of birds and bats on arthropod food webs and plants *via* trophic cascades and discusses the

factors modulating these top-down effects. The importance of predation services in diversely managed agricultural landscapes and tropical communities, with particular focus on the economic importance of birds and bats, is discussed in Section V. Existing evidence for local and landscape-management effects on bird and bat predatory functions is described in Section VI. Finally, in Sections VII and VIII, we point out existing knowledge gaps and highlight the potential for bird- and bat-mediated arthropod suppression to contribute to food security and improved landscape management in the tropics, with important implications for future biodiversity conservation and research. Together, our conclusions contribute to both a practical and theoretical framework for the study and management of tropical landscapes affected by ongoing agricultural expansion and biodiversity loss.

## II. METHODS

### (1) Data source and preparation

Quantum Gis 2.6 (QGIS) was used for all Geographic Information System (GIS) operations. Bird data were taken from a database with standardized entries on the ecology of the bird species of the world. See [Doherty & Ehrlich \(2004\)](#) and [\(2012\)](#) for further details. For bats, the terrestrial mammals shapefile was downloaded from the International Union for Conservation of Nature and Natural Resources (IUCN) Red List website (in May 2014); records not pertaining to Chiroptera were deleted. Records with presence codes different from 1 and 2 (extant and probably extant, respectively), and with seasonal codes different from 1, 2 and 3 (resident, breeding season and non-breeding season, respectively), were deleted. The separate bat distribution polygons were merged into multipart polygons for each species, to yield our bat distribution layer. The landmass polygon layer was obtained from



http://www.natureearthdata.com. The biogeographic realms were drawn by hand in QGIS based on the realms defined by Olson *et al.* (2001). The tabular IUCN Red List data on Chiroptera, incorporating full taxonomic data, were downloaded and imported into a Microsoft Access database.

### **(2) Bird and bat species richness and endemism per biogeographic region**

Bird data were updated from ~~ebler (2004)~~ and ekercio lu (2012), with new ornithological data published until 2014. For bats, spatial queries between the IUCN bat distribution data (IUCN, 2014) and the biogeographic realms layers (following Olson *et al.*, 2001) were made to determine bat species richness and number of endemic species in each region: each realm's polygon was intersected with the bat distribution layer to find the total species richness, and the number of bat polygons contained exclusively within each realm was counted to derive the endemic species richness.

### **(3) Mapping feeding-guild distributions of birds and bats**

Bird data were taken from a database with standardized entries on the ecology of the bird species of the world. See ~~ebler (2004)~~ and (2012) for further details. Bat data were based on diet data mainly from IUCN and the Animal Diversity Web (both retrieved in May 2014), except for 14 species whose diet was retrieved from other scientific publications.

Feeding-guild data for birds and bats were adapted to be comparable between the two groups. All bat diet data were entered into an Access database. For bat species-rich genera, when diet was unequivocal and consistent for multiple species, the remaining species were assigned the

same diet (e.g. *Rhinolophus* insectivores). Forty-two species had unknown diets. Each bat was then assigned to one feeding guild (see below), depending on its main diet, which could comprise multiple items (e.g. insects and fruits). Bats were classified into the omnivorous guild whenever their diet comprised plant and animal matter.

Bird feeding guilds from Ekercio lu *et al.* (2004) were adapted to be comparable with bats: the vertebrate-feeding guild was obtained by merging vertebrate-, scavenger, and fish-feeding guilds, the plant-feeding guild was obtained by merging the fruit- and plant-feeding guilds (see below). Note that omnivorous birds only belonged to that guild when no clear main diet could be found, which is different from bats. Therefore the omnivorous bird guild is slightly underestimated in birds – or the bat omnivorous guild overestimated – and both are not directly comparable.

Feeding guilds were defined as follows: (a) invertebrate-feeding guild (only arthropods for bats). (b) Vertebrate-feeding guild (including avian scavengers, fish predators and blood-feeding bats). (c) Omnivorous birds and bats [see Ekercio lu *et al.* (2004) and Ekercio lu (2012) for the omnivorous guild definition of birds; omnivorous bats were defined as feeding on both plant and animal matter]. (d) Seed-feeding guild (only birds). (e) Fruit-, leaf-, flower- and bark-feeding birds and bats. [This class was largely dominated by fruit-feeding species. Eighty per cent of the world's plant-feeding (nectar and seeds excluded) birds feed on fruit; the remaining 20% feed on plant parts other than seeds, fruit, or nectar. Ninety-two per cent of plant-feeding bats (nectar excluded) feed on fruit, the remaining 8% feed on leaves, flowers, and bark]. (f) Nectar- and pollen-feeding birds and bats.

To generate the world map for both birds and bats (see Fig. 1), we calculated percentage proportions of feeding guilds and total richness numbers for each realm. For birds, the latter were

exported from the bird database. To generate these numbers for bats, the table from the bat database (containing feeding guild data) was joined with the attribute table of the terrestrial mammals shapefile (IUCN, 2014), linked by Species ID. The bat layer was then spatially joined with the realms layer, and the sum was output, allowing us to count the number of bat species per feeding guild in each realm. Finally, feeding guilds and total species richness of birds and bats were represented as pie charts with their area proportional to the species richness in each realm.

#### **(4) Bird and bat species richness and feeding guilds per habitat**

Species lists of bats were downloaded from the IUCN Red List website (in May 2014), singly for each habitat type, and imported into the Access database. Forest bats were identified as species found in forest. Agricultural bats were identified as species found in agricultural systems (arable land, pastureland, and plantations). Forest-agri bats were defined as species found both in forest and agricultural systems. Bird data are from a database with standardized entries on the ecology of the bird species of the world, see Ekercio lu *et al.* (2004) and Ekercio lu (2012) for further details. We classified 6,093 tropical bird species based on their most preferred three habitats listed in published species accounts. The habitat preferences considered for this analysis were (1) only natural forest or woodland habitats ('forest specialists', 4,574 species), (2) agricultural areas including agroforests but not natural forest or woodland habitats ('agriculture specialists' 303 species), and (3) both agricultural areas and forests/woodlands ("forest-agri birds," 1,216 species).

**(5) Effect sizes of bird/bat enclosure studies on different arthropod groups**

We collected data from 32 enclosure studies on birds and bats from tropical agroforestry systems (i.e. cacao, coffee, mixed fruit orchard) and forests (seven tropical countries) to compare effects of predatory birds and bats on the abundance of herbivorous insects, ants, spiders and arthropods in general (see online supporting information, Table S1). We compare mean arthropod abundances in unmanipulated control treatments to experimental enclosures of birds, bats and birds+bats. Effect sizes were calculated as the logarithm of the ratio of insect abundance in the control *versus* the enclosure, then graphed in R (3.1) with the package ggplot2.

**III. ZOOGEOGRAPHY OF BIRDS AND BATS – SPECIES RICHNESS AND FUNCTIONAL DIVERSITY**

As flying vertebrates, bats and birds share several characteristics that allow them to provide important ecosystem services (Fujita & Tuttle, 1991; Muscarella & Fleming, 2007; ekericio lu, 2006a, b; Whelan *et al.*, 2008; Kunz *et al.*, 2011; ekericio lu, 2016). Many bat and bird species, owing to their capacity for flight, are highly vagile and thus capable of moving across complex landscapes, allowing both opportunistic tracking of shifting food resources (Barber, Marquis & Tori, 2008; Richter & Cumming, 2008; McCracken *et al.*, 2012) and the linkage of distinct geographic areas through seed dispersal and transport of nutrients and energy (Whelan *et al.*, 2008; Kunz *et al.*, 2011). Many studies of both birds and bats also demonstrate significant arthropod-suppression services in natural and human-modified habitats. Nevertheless, we know substantially less about the ecological functions and services of birds and bats in the tropics than we do in the temperate zone. There is particular urgency in understanding how human-driven changes in the richness, abundance and proportions of various species will affect ecosystem

functions. In this section, we summarize patterns of bird and bat species richness and functional diversity in different zoogeographic regions and habitats.

### **(1) Zoogeography of birds and bats – species richness**

More than a third (3,564) of the world's approximately 10,300 bird species are found only in the Neotropics, and an additional 320 species migrate there for most of the year after breeding in the Nearctic region (Ceballos *et al.*, 2004). The highest endemic species richness in the Neotropics is followed by the Afrotropics (1,671 species), Indomalaya including Wallacea (1,242 species), Australasia (Australia, Papua New Guinea, and surrounding islands: 1,019 species), and temperate and polar regions (Nearctic, Palearctic, New Zealand, Antarctica, and sub-Antarctic islands: 757 species) (Table 1). Only 1% of the world's bird species (98 species) are truly cosmopolitan, found on all continents except Antarctica. Another 150 species are found on most of the continents in the eastern hemisphere.

According to the IUCN Red List data on Chiroptera (IUCN, 2014), more than 80% of the world's 1,232 bat species (Kunz *et al.*, 2011) are found in the tropics (Australasia, Oceania, Afrotropics, Indomalaya, and Neotropics). Of these, 785 [spatial data from IUCN (2014) for 1,133 bat species] occur only in the tropics. The Neotropics harbour the most bat species (337), followed by Indomalaya (282), Australasia (270) and the Afrotropics (237, Table 1). No bat species is found in the Antarctic and no bat species is cosmopolitan (found in all biogeographic realms). Tropical realms have high percentages of endemic species (approximately 68–89%), though Indomalaya falls notably short (approximately 44%), as a consequence of being situated at the convergence of many realms.

## (2) Zoogeography of birds and bats – feeding guilds

Most avian feeding guilds (often used as a proxy for functional groups; *cf.* Philpott *et al.*, 2008) reach their peak richness in the Neotropics (Kissling, Sekercioglu & Jetz, 2012; Fig. 1). However, proportionate representation of avian feeding guilds varies across biogeographic realms. Insectivores and frugivores have the highest representation in the tropics, with frugivores and insectivores being proportionally lower in the Afrotropics and in Australasia, respectively. Seed-eaters are well-represented in drier parts of the world, especially in Australasia, the Afrotropics and temperate regions. Nectarivores, on the other hand, reach their highest proportions in the Neotropics (home of the hummingbird radiation), the Pacific Ocean islands, and Australia. Scavengers (vertebrate-feeding guild) reach their highest species richness in the savannas of eastern Africa. Finally, piscivores (fish-eaters), carnivores (birds of prey), and herbivores are better represented in the temperate zone than in the tropics.

All bat communities are dominated by the invertebrate-feeding guild, comprised almost exclusively by insectivores (Fig. 1). The Palearctic has the highest proportion of insectivores but not the highest number of insectivorous species. As with birds, the species richness of fruit and nectar-feeding bats peaks in the tropics. Indomalaya and the Afrotropics have higher proportions of nectar and fruit-feeding guilds than temperate realms, but distinctly below the proportions found in the Neotropics, Oceania, and Australasia. Overall, herbivorous bats, the great majority of which are frugivorous, outweigh nectar-feeding bats in species number. The Neotropics represents the most speciose realm (Table 1), and harbours by far the majority of omnivorous bat species (56) and the lowest proportion of invertebrate-feeding bats (approximately 56%, species-poor Oceania excluded). Bats overall have fewer feeding guilds than birds, with no plant, seed, non-arthropod invertebrate, or carrion specialists.

### (3) Birds and bats in different land-use systems

Although few bird species prefer agricultural areas for feeding, breeding, and other activities, nearly a third of all bird species occasionally use such habitats (Ekercioğlu *et al.*, 2007), especially in combination with forests (Ekercioğlu, 2012; Fig. 2). Compared to primary forests, species richness of large frugivorous and insectivorous birds often declines in agroforests (i.e. coffee, cacao, and mixed fruit orchard), particularly among terrestrial and understorey species. By contrast, nectarivores, small-to-medium insectivores (especially migrants and canopy species), omnivores, and some granivores and small frugivores have higher species richness in agroforests compared with forest habitats (Ekercioğlu, 2012).

These global trends are supported by field research results from Afrotropical (Waltert *et al.*, 2005), Indomalayan (Peh *et al.*, 2006), Australasian (Marsden, Symes & Mack, 2006), and Neotropical (Leyequien, de Boer & Toledo, 2010) regions. In general, these field studies suggest that the replacement of forests with agricultural systems results in a shift towards less-specialized bird communities, comprised of more-widespread and relatively common species, and with altered proportions of functional groups (Karp *et al.*, 2011; Ekercioğlu, 2012; Fig. 2). Specifically, agricultural systems harbour fewer insectivores and other invertebrate pest consumers but more seed predators (Tschamntke *et al.*, 2008; Ekercioğlu, 2012).

Like birds, most bat species live in forests (Fig. 2), and about one quarter (246 species) occur exclusively there, yet bats are also well adapted to human landscapes. According to IUCN Red List data, almost a quarter of the world's bats (271 species) use agricultural habitats such as arable land, pastureland, and plantations (IUCN, 2014). Forest–agri bat communities (which we define as bats occurring both in forest and agricultural habitats) are also well represented with

253 species, and have previously been shown to be successful in coffee and cacao agroforestry systems (Harvey & Villalobos, 2007; Williams-Guillén & Perfecto, 2010). In Costa Rica, for example, approximately 60% of bats surveyed in forest reserves and forest fragments were detected at least once in agricultural habitats (Mendenhall *et al.*, 2014).

Across all habitat types, bat communities are dominated by insectivores (more than 60% of species) and frugivores (more than 20%). It should be noted, however, that there are very few agricultural-specialist bats (bats using agricultural habitats but not forest; 11 species), making it difficult to detect shifts in feeding-guild structure across habitats analogously to those we observed for birds. Apart from the loss of vertebrate feeders, bat feeding guilds in forest-agri systems remain similar to forest bat feeding guilds. Note that evidence from the Paleotropics on the representation of different feeding guilds in forests and agricultural habitats is limited (e.g. Furey, Mackie & Racey, 2010; Phommexay *et al.*, 2011; in this review: 26 reports from the Neotropics *versus* six reports from the Paleotropics listed in Table S1), and additional investigations are needed to clarify if these results based largely on the Neotropics can be applied elsewhere.

#### **IV. EFFECTS ON FOOD WEBS**

In temperate zones, predators affect plant communities by consuming herbivores, indirectly influencing plant community composition, age structure, diversity, crop yield, productivity, and even nutrient cycling (Letourneau *et al.*, 2009). Such trophic cascades occur through a decrease in herbivorous arthropod abundance, reducing their negative effects on plants. Until recently, trophic cascades were thought to be rare in tropical terrestrial communities as a result of high species richness, including remarkable densities of insectivorous birds and bats



(Polis & Holt, 1992; Strong, 1992; Polis & Strong, 1996). In theory, diverse and complex predator–prey interaction networks should contain redundancy such that the loss of any individual predator guild would be compensated by functionally redundant species, thus preventing a trophic cascade. However, enclosure experiments have documented the presence of insectivorous bird- and bat-initiated trophic cascades in both natural and human-dominated tropical landscapes (Van Bael *et al.*, 2008; Mooney *et al.*, 2010; Mäntylä, Klemola & Laaksonen, 2011).

Most enclosure experiments have been conducted in the Neotropics and the Caribbean (Van Bael *et al.*, 2008), although top-down effects on arthropods by birds and bats have also been documented in Hawaii (Hooks, Pandey & Johnson, 2003; Gruner, 2004, 2005; Gruner & Taylor, 2006), Asia (Koh, 2010; Maas *et al.*, 2013), Australia (Loyn, Runnalls & Forward, 1983), and Africa (Dunham, 2008). Moreover, tropical trophic cascades have similar effect sizes as those in temperate and boreal systems (Mooney *et al.*, 2010; Mäntylä *et al.*, 2011; Morrison & Lindell, 2012). However, the specific effects of birds and bats on arthropod communities might not be the same in different regions because of differences in species richness and specialization, necessitating additional research from underrepresented tropical areas such as the Paleotropics.

### **(1) Bird and bat effects on arthropods and plants in tropical communities**

Birds and bats generally reduce total arthropod abundance and biomass in the tropics (Van Bael *et al.*, 2008; Mooney *et al.*, 2010; but see Van Bael, Brawn & Robinson, 2003; Van Bael & Brawn, 2005; Michel, 2012; Fig. 3), but they generally do not affect arthropod diversity (Mooney *et al.*, 2010; but see Gruner & Taylor, 2006).

Bird and bat top-down effects often differ by arthropod size, with some indications that birds – particularly breeding birds – consume larger arthropods than bats. Three studies have found that the effects of birds and bats combined reduced large arthropods (>5 mm or e3 mm) but not small arthropods (<2 mm; Greenberg *et al.*, 2000*b*; Borkhataria, Collazo & Groom, 2006; Van Bael, Bichier & Greenberg, 2007*a*). Conversely, Karp & Daily (2014) found that birds reduced large and small arthropods while bats reduced only small arthropods, which they attributed to consumption of large arthropod larvae by birds but not bats. In Mexico, both birds and bats (separately and together) reduced both large and small arthropods (Williams-Guillén *et al.*, 2008). In Jamaica, birds and bats reduced large arthropods in summer and autumn, but only reduced small arthropods in the summer (Johnson *et al.*, 2009). This may be explained by the breeding currency hypothesis (Greenberg, 1995), which states that breeding resident birds take large arthropods suitable for nestlings (“breeding currency”) during the breeding season (spring and summer), whereas in the autumn Nearctic migrants and non-breeding residents consume more small prey.

Birds and bats often reduce the abundance of leaf-chewing and phloem-feeding insects (Van Bael *et al.*, 2008; Mooney *et al.*, 2010), but the extent of limitation of these dominant pests often varies among study sites (Van Bael, Brawn & Robinson, 2003; Van Bael & Brawn, 2005; Michel, 2012; Michel, Sherry & Carson, 2014) and insect orders (Van Bael *et al.*, 2007*a*; Williams-Guillén *et al.*, 2008; Maas *et al.*, 2013). Given the importance of herbivorous arthropod suppression for plant communities, including crops, further research into the factors underlying spatial and phylogenetic variation in bird and bat predation is encouraged. Moreover, birds and bats also frequently limit numbers of arthropod predators such as ants and spiders (Van Bael *et al.*, 2008; Mooney *et al.*, 2010; Mestre *et al.*, 2013; Karp & Daily, 2014; but see e.g. Borkhataria

*et al.*, 2006; Hooks *et al.*, 2003; Maas *et al.*, 2013; Michel *et al.*, 2014; Fig. 3), potentially reducing top-down effects on herbivorous insect densities (Martin *et al.*, 2013).

While rarely reported, birds and bats may suppress arthropod outbreaks in tropical communities. Birds and bats inhibited invasion by an introduced spider (*Achaearanea riparia*) in Hawaii (Gruner, 2005), and were observed consuming large quantities of caterpillars during an outbreak in Panama (Van Bael *et al.*, 2004). Moreover, during an experimentally simulated outbreak, birds and bats substantially reduced the abundance of lepidopteran larvae in a Mexican shaded coffee plantation (Perfecto *et al.*, 2004). These isolated experiments introduce the potential for widespread outbreak suppression.

Through preventing outbreaks and consuming herbivorous arthropods, birds and bats often indirectly affect plants, although these effects on plants are generally weaker than effects on arthropod abundances (Van Bael *et al.*, 2008). Plant damage generally shows a stronger response to bird and bat exclusion than leaf biomass, plant growth, or reproductive output (e.g. fruit yield; Schmitz, Hambäck & Beckermann, 2000; Van Bael *et al.*, 2008; Mooney *et al.*, 2010; Mäntylä *et al.*, 2011; Morrison & Lindell, 2012). However, birds and bats do not always protect plants, for reasons that remain unclear (see, e.g. Van Bael & Brawn, 2005; Williams-Guillén *et al.*, 2008; Morrison & Lindell, 2012; Maas *et al.*, 2013). Notably, leaf damage was actually greater in the presence of birds and bats outside experimental mammal exclosures at La Selva Biological Station in Costa Rica (Michel *et al.*, 2014).

A potential limitation of exclosure experiments is that they likely underestimate bird and bat effects on arthropods, as many species capture insects in flight, distant from plants (or exclosures) (Kunz *et al.*, 2011). In addition, the exclosure mesh size may potentially introduce a bias by hindering movement of larger arthropods (e.g. adult lepidopterans); few studies have

analysed such cage-induced size biases (Van Bael & Brawn, 2005; Gunnarsson, 2007; Maas *et al.*, 2013). Nevertheless, studies to date indicate that birds and bats have strong and pervasive – although variable – effects on arthropods and plants in tropical communities.

## **(2) Factors influencing tropical trophic cascade strength**

The strength of top-down effects of bats and birds on tropical arthropods and plants can vary substantially. Below, we review insectivore, arthropod, plant, and community traits that could affect trophic cascade strength in the tropics.

### *(a) Insectivore identity*

Early enclosure experiments in tropical communities attributed arthropod suppression and plant effects to insectivorous birds, overlooking or minimizing the potential effects of gleaning bats, which are abundant in tropical areas and eat similar types of arthropod prey (Kalka & Kalko, 2006; Whelan *et al.*, 2008; Kunz *et al.*, 2011). Nevertheless, the relative impact of birds *versus* bats on the densities of arthropods in general and of specific arthropod groups could vary as a result of differences in anatomy, behaviour, and relative abundance. For example, many tropical herbivorous arthropods are largely nocturnal, presumably making them more vulnerable to bat predation (Kalka & Kalko, 2006). In Panama, gleaning bats have a larger impact on arthropod abundances and leaf damage than do birds, saving an estimated 52,000 kg of leaves from herbivory annually (Kalka & Kalko, 2006; Kalka *et al.*, 2008). Other studies have demonstrated broadly similar impacts of birds and bats on arthropods and plants, although with sometimes differing effects by arthropod clade and season (Williams-Guillén *et al.*, 2008; Morrison & Lindell, 2012). In the Caribbean lowland forest of Costa Rica, bat predation effects

on herbivorous arthropods exceed the effects of birds in areas where insectivorous birds have declined, suggesting that bats may functionally compensate for decreasing top-down limitation of arthropods provided by birds (Michel, 2012).

*(b) Insectivore foraging strategy*

Bats and birds possess unique foraging traits that may affect herbivore suppression, indirect effects on plants, and the strength of trophic cascades in predator–herbivore food webs (Kéfi *et al.*, 2012). Bats tend to be generalist predators, although different foraging strategies (e.g. gleaners *versus* hawkers) might result in different effects on arthropod densities (e.g. Kunz *et al.*, 2011). By contrast, gleaning insectivorous birds often have specialized diets and/or foraging strategies (Sherry, 1984; Whelan *et al.*, 2008). For example, specialized guilds such as bark-probers, leaf tossers, and ant followers are found only among birds. These specialists can have important effects on limiting arthropods unavailable to generalist predators (e.g. bark-probing birds such as woodpeckers suppress wood-boring pests in temperate forest; see Fayt, Machmer & Steeger, 2005; Koenig *et al.*, 2013; Flower *et al.*, 2014). On the other hand, generalist predators sometimes have stronger top-down effects than specialists (Halaj & Wise, 2001; but see Symondson, Sunderland & Greenstone, 2002; Borer *et al.*, 2005).

Thus far we have discussed how birds and bats benefit plants by reducing the density of herbivorous arthropods, known as density-mediated effects. However, birds and bats may also benefit plants by inducing effects on phenotypic traits of prey such as mobility, dispersal propensity and feeding activity (trait-mediated effects; Werner & Peacor, 2003). Indeed, trait-mediated effects can involve changes in the foraging habits of herbivorous prey, potentially causing host shifts that differentially affect plant species (Calcagno *et al.*, 2011) Even though

systematic research about trait-mediated effects of birds and bats on their prey is lacking, it seems that both bats and birds impose trait-mediated effects on arthropods with varying importance for arthropod suppression in different systems. For example, ultrasonic bat calls invoke behavioural responses in insects that alter insect infestation rates, mating behaviour, and reproductive success (Kunz *et al.*, 2011), while birds can affect the foraging pattern of aphid-tending ants in tree canopies (Mooney & Linhart, 2006). The relationship between bird and bat foraging strategies and the abundance of certain arthropod groups that differ in abundance and overall impact on plant productivity might explain their different relative impacts on pest control, plant growth and crop yields in the different land-use systems and tropical landscapes that have been investigated to date.

*(c) Insectivore diversity and abundance*

Diversity and abundance of predators may either strengthen or weaken trophic cascade effects, depending on the nature of intraguild interactions. The species-complementarity model suggests that insectivore richness increases herbivore suppression through additive or synergistic effects (Tschamtker *et al.*, 2005; Classen *et al.*, 2014). For example, birds in mixed-species foraging flocks often eat arthropods flushed out by other species, thus potentially consuming more arthropods collectively (synergistic effects) than the sum of the arthropods consumed by each species independently (additive effects; Munn & Terborgh, 1979). The sampling-effects model posits that more-diverse communities will have an increased probability of containing a highly effective insectivore (e.g. Huston, 1997; Schmitz, 2007). Conversely, the selection-effects model predicts that the probability of a disruptive species (i.e. a species that interacts negatively

with other insectivores) increases with insectivore richness, thus weakening herbivore suppression (antagonistic effects; Letourneau *et al.*, 2009).

A global meta-analysis of arthropod herbivore suppression in terrestrial ecosystems demonstrated that herbivore suppression increased with enemy (predator and parasitoid) richness in 183 of 266 experiments, while suppression decreased with enemy richness in 80 comparisons (Letourneau *et al.*, 2009; see also Michel, 2012; Ruiz-Guerra, Renton & Dirzo, 2012). Besides species richness, functional richness (number of functional groups), richness of a few important functional groups (e.g. small understorey foliage-gleaning insectivores), and the presence of a highly efficient avian insectivore (*Oreothlypis peregrina*) also increased top-down effects in tropical cacao and coffee agroforests (Philpott *et al.*, 2009). Moreover, predation on a simulated caterpillar outbreak was significantly greater in a diverse shade coffee system with a diverse and abundant insectivorous bird community than a monodominant system with lower avian diversity (Perfecto *et al.*, 2004). The degree to which species richness affects top-down control by bats is essentially unknown, primarily because of the difficulties in adequately sampling bat communities: commonly used capture methods such as mist netting lead to substantial underestimation of the richness and abundance of insectivorous bats in tropical communities (MacSwiney *et al.*, 2008; Williams-Guillén & Perfecto, 2011), since many insectivores have well-developed echolocation calls that allow them to avoid nets.

In addition to bolstering arthropod suppression, increasing bird and bat diversity could also affect the stability of arthropod suppression through ensuring that bird and bat abundances remain constant over time. The insurance hypothesis (Yachi & Loreau, 1999) posits that high predator diversity may ensure continued ecosystem functioning in the presence of environmental fluctuations or perturbations (e.g. by limiting pest outbreaks and/or contributing to long-term

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yields). One explanation for this phenomenon is the portfolio effect, which posits that a statistical consequence of many species fluctuating in abundance is that total abundance can remain constant (Doak *et al.*, 1998). Alternatively, more diverse communities could be more stable because they contain many competitors: if one species declines, then its competitor may exhibit density compensation and rapidly increase in abundance. Regardless of mechanism, more-diverse tropical insectivorous bird communities have been shown to be more stable (Karp *et al.*, 2011). A critical remaining question, however, is whether diverse, stable bird and bat communities also suppress arthropod abundances more consistently over time than communities that fluctuate in total bird and bat abundance.

*(d) Presence of migratory birds*

Top-down effects on arthropods are typically greater in tropical natural forests and agroforests when migrant birds are present (Van Bael *et al.*, 2008; Williams-Guillén *et al.*, 2008; Michel, 2012). Nearctic–Neotropical migrant birds (e.g. flycatchers, warblers) are largely insectivorous; for example, 29 of the 35 northern migrants on Barro Colorado Island, Panama, are insectivorous or omnivorous (Sigel, Robinson & Sherry, 2010). Moreover, Nearctic migrants may double insectivorous bird abundance in Neotropical forests during the northern winter, which overlaps with the tropical dry season when arthropod abundance is often low and, consequently, birds consume a larger proportion of the available arthropods (Van Bael *et al.*, 2008). Indeed, the relative importance of bird *versus* bat-mediated arthropod consumption was higher when migratory birds were present in Mexican coffee landscapes (Williams-Guillén *et al.*, 2008). However, top-down effects on arthropods were greater when migrants were absent in a different study excluding both birds and bats from shade tree branches at the same site, perhaps



due to the greater energetic needs of resident breeding birds (Philpott *et al.*, 2004). The effects of migrant birds on arthropod suppression are thus unresolved.

*(e) Intraguild predation*

Intraguild predation is a form of trophic omnivory that occurs when predators consume other predators, and may be unidirectional (top predator consumes intermediate predator) or mutual (predators consume one another). Intermediate predators are predicted to be more effective than top predators at suppressing shared prey when intraguild predation is unidirectional, as is the case with birds, bats, and arthropod predators (Vance-Chalcraft *et al.*, 2007). Consequently, intraguild predation of birds and bats on arthropod predators is expected to reduce herbivorous arthropod suppression and dampen the strength of trophic cascades (Tschamntke, 1997; Finke & Denno, 2005; Martin *et al.*, 2013). However, a recent meta-analysis showed that the effects of vertebrate insectivores on herbivores and plants were strongest in systems with strong intraguild predation and weak trophic cascade strength (Mooney *et al.*, 2010). Insectivorous birds and bats with relatively large body sizes, high mobility, and sophisticated foraging strategies—particularly generalists—may be able to switch dynamically between arthropod predators and herbivores as availability allows, thus maintaining their role as top predators and indirectly suppressing leaf damage (Mooney *et al.*, 2010).

*(f) Herbivore diversity*

Arthropod community composition may also influence trophic cascade strength. In systems with high herbivore diversity, trophic cascades – including indirect effects on plants – are generally weaker (Schmitz *et al.*, 2000). Indeed, Van Bael & Brawn (2005) found stronger

trophic cascade effects in seasonal forest, with lower herbivore diversity, than in moist forest during the dry season. In addition, fluctuations in arthropod abundances are often related to seasonal patterns (Janzen & Schoener, 1968), which likely affect the foraging behaviour of birds and bats (see Section V.2), and consequently trophic cascade strength.

(g) *Productivity*

Systems with high primary productivity may have higher intermediate and top predator abundance and, consequently, stronger trophic cascades (Kagata & Ohgushi, 2006; Mooney *et al.*, 2010). Herbivore reduction was stronger in areas of higher productivity (forest canopy *versus* understorey, seasonal *versus* moist forest) in Panama (Van Bael & Brawn, 2005). However, other tropical studies found that top-down effects on herbivorous arthropods and leaf damage were either unaffected by productivity (Greenberg *et al.*, 2000a; Philpott *et al.*, 2009; Mooney *et al.*, 2010) or were weaker in the higher-productivity environment (Greenberg & Ortiz, 1994). The effect of primary productivity on trophic cascade strength in tropical communities also remains unclear.

(h) *Plant ontogeny and defences*

Young plants may allocate more resources to growth than anti-herbivore defences, while mature plants produce fewer but better defended leaves. Indeed, most tropical herbivory occurs when leaves are young (Coley & Barone, 1996), so trophic cascades may weaken as plants mature (Boege & Marquis, 2006). Strong anti-herbivore defences were associated with attenuation of trophic cascades in temperate systems (Schmitz *et al.*, 2000). However, two meta-

analyses of tropical and temperate exclosure studies found similar effect sizes for saplings *versus* mature plants (Mooney *et al.*, 2010; Mäntylä *et al.*, 2011).

(i) *Natural versus agricultural systems*

Agroforests such as coffee, cacao and mixed fruit orchard plantations differ from natural forests in many of the characteristics described above. Neotropical agroforest communities generally have lower insectivore and plant species richness and a higher degree of omnivory (Figs 1 and 2; Tejada-Cruz & Sutherland, 2004; Van Bael *et al.*, 2008; Sekercioglu, 2012; but see Maas *et al.*, 2013), both of which may reduce trophic cascade strength. However, agroforests are home to many Nearctic bird migrants, and may have lower herbivore diversity, higher productivity, and a higher proportion of young plants, with variable effects on the strength of trophic cascades. These contrasting factors complicate prediction of trophic cascade strength in natural *versus* agricultural tropical communities. It is clear, however, that bird- and bat-mediated trophic cascades occur regularly in agricultural settings, potentially resulting in depressed pest abundances and increased yields for farmers (e.g. Maas *et al.*, 2013; Kellerman *et al.*, 2008; Johnson *et al.*, 2010; Karp *et al.*, 2013).

## V. BIRD AND BAT SERVICES IN AGRICULTURAL SYSTEMS

Predation by birds and bats constitutes an ecosystem service when it reduces arthropods that are herbivores on crops; often referred to as biological control. Moreover, limitation of herbivore populations may also have positive effects on the health of crop plants, since arthropod herbivores can vector crop diseases (Campbell, 1983; Evans, 2007; Wielgoss *et al.*, 2012, 2014). Until recently, the relative importance of birds *versus* bats as predators of pests was unknown, as

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exclosure experiments confounded bird and bat predation, even if bird predation was stressed as a key factor (Kalka *et al.*, 2008; Williams-Guillén *et al.*, 2008; Koh, 2010; Morrison & Lindell, 2012).

With the advent of molecular techniques such as quantitative polymerase chain reaction (qPCR) and next-generation sequencing (NGS), several recent studies have demonstrated the prevalence of significant arthropod crop pest species in the diet of bats roosting and foraging in a range of agroecosystems (Cleveland *et al.*, 2006; Whitaker, McCracken & Siemers, 2009; Brown, 2010; Clare *et al.*, 2011; Kunz *et al.*, 2011; Bohmann *et al.*, 2011; McCracken *et al.*, 2012; Taylor *et al.*, 2013a).

### **(1) Bird and bat predation in tropical agroforestry**

Given the potential that bats also limit pests, recent exclosure studies have sought to disentangle the effects of birds and bats on arthropods in agricultural systems (Williams-Guillén *et al.*, 2008; Maas *et al.*, 2013; Karp & Daily, 2014). Williams-Guillén *et al.* (2008) showed that the effect of bats in reducing overall arthropod abundance in Mexican coffee plantations was greater than the effect of birds (84% *versus* 58%, respectively) during the wet season. By contrast, in the dry season when migrant birds were present, birds reduced total arthropod abundance more than bats (30% *versus* 6%, respectively). Recent studies in Indonesian cacao (Maas *et al.*, 2013) and Costa Rican coffee plantations (Karp & Daily, 2014) also demonstrated differential effects of birds and bats, although with sometimes conflicting results. Bats appeared to have a greater impact than birds in Indonesian cacao farms (Maas *et al.*, 2013). By contrast, in Costa Rican coffee farms, birds accounted for the majority of the reduction in abundance of the coffee berry borer (*Hypothenemus hampei*) (Karp *et al.*, 2013). Thus, the few studies that have

separated bird and bat effects suggest seasonal, geographical and management-system differences.

## **(2) Seasonal differences**

Seasonal differences in arthropod suppression may have unique underlying factors for birds compared to bats. As discussed in Section IV, seasonal variability in bird effects is likely due to influxes of migrant birds in tropical agroforests (Greenberg *et al.*, 2000a; Williams-Guillén *et al.*, 2008). Although bats may be resident year-round, insectivorous bats can be opportunistic predators, and many Neotropical bat species are seasonal omnivores (Patterson, Pacheco & Solari, 1996). For bats, seasonality in feeding behaviour is likely to be due to changes in metabolic requirements in the breeding season. The effects of bats are thought to be stronger when they are breeding (Williams-Guillén *et al.*, 2008; Singer *et al.*, 2012) because of substantial increases in basal metabolism and insect consumption by pregnant and lactating bats (Kunz, Whitaker & Wadanoli, 1995). Tropical birds that feed only on few or no insects during the non-breeding season are also known to increase their insect intake or to add arthropod prey to their diet during the breeding season – seasonal feeding behaviour that has been described by the protein-limitation hypothesis (Cox, 1985). Strict insectivores may also switch to eating larger and softer-bodied prey during the breeding season, including chewing herbivores such as Lepidoptera larvae, as described by the breeding-currency hypothesis (Greenberg, 1995). Changes in the composition and quality of bird diets can also be linked to seasonal temperature fluctuations, migration, and seasonal changes in food availability (Whelan *et al.*, 2000).

The foraging behaviour of birds and bats is also likely influenced by fluctuating arthropod numbers (see Section IV.2c), which tend to be pronounced under more-extreme seasonal rainfall

conditions (Janzen & Schoener, 1968). Since many bats are opportunistic predators, their foraging activity in a particular agroecosystem may coincide with annual peaks in abundance of the primary pests in that system (Taylor *et al.*, 2013b).

### **(3) Zoogeographic patterns**

Zoogeographic patterns are likely also to be key factors in regulating the strength of bird and bat effects on arthropod communities. While one study observed 188 bird species foraging in Central American cacao farms [abundance-based coverage estimation (ACE) indicated inventory completeness of 74%; Van Bael *et al.*, 2007b), a study in cacao farms of Sulawesi found only 69 bird species (ACE indicated inventory completeness of 79%; Maas *et al.*, 2015). Similarly, in the Neotropics, foliage-gleaning bats include a wide range of arthropod types in their diet (Kalka & Kalko, 2006). In a study of Neotropical bats foraging in cacao farms, insectivorous foliage gleaners were the second most-species-rich feeding guild (Faria *et al.*, 2006). By contrast, species richness of insectivorous foliage gleaners and activity of insectivorous bats declined greatly in several agriculture systems in Southeast Asia (Furey *et al.*, 2010; Phommexay *et al.*, 2011). Given the differences in species diversity and results on arthropod suppression, there may be a greater number of bat species preying on more types of arthropods in agroforests of the Neotropics relative to the Palearctic. However, bat species diversity is poorly resolved for most sites, making zoogeographic comparisons difficult.

#### (4) Effects on leaf damage and crop yield

Whether birds and bats provide arthropod suppression services to farmers depends on whether their predation on arthropods results in reduced plant damage and higher crop yields. Across seven coffee and cacao studies, bird and bat predation combined reduced leaf damage significantly (Van Bael *et al.*, 2008). By contrast, some other studies did not find significant effects on leaf damage (Williams-Guillén *et al.*, 2008; Maas *et al.*, 2013). One study measured yield changes directly and found a 31% reduction in yield when birds and bats combined were prevented from foraging on cacao trees; constituting an estimated loss of US \$730/ha (Maas *et al.*, 2013). Similarly, several studies documented that birds reduce coffee berry borer beetle (*Hypothenemus hampei*) abundance and improve yields. Borer consumption saved farmers US \$310/ha as a result of reduced coffee yield loss in one Jamaican plantation, US \$44–105/ha in several other Jamaican plantations, and US \$75–310 in Costa Rican coffee plantations (Kellerman *et al.*, 2008; Johnson *et al.*, 2010; Karp *et al.*, 2013). Most of these studies focused only on bird effects, neglecting the critical role of insectivorous bats (but see Maas *et al.*, 2013; Karp *et al.*, 2013). For example, in Thailand, a single common bat species recently has been estimated to prevent rice (*Oryza sativa*) loss from planthopper pests of almost 2,900 tons per year, which translates into a national economic value of more than US \$1.2 million or rice meals for almost 26,200 people annually (Wanger *et al.*, 2014).

As outlined in Section IV.2e, whether or not the suppression of arthropods (biological control) occurs may depend on the identity of the arthropod feeding guilds that are suppressed by birds and bats; specifically, whether birds or bats feed as intraguild predators. Since birds and bats consume spiders, and spiders consume herbivorous or pest insect taxa such as lepidopteran larvae (Hooks, Pandey & Johnson, 2006), some herbivorous pests could be released from spider

predation as a result of bird and bat feeding activity. In Indonesian cacao plantations, birds and bats consumed both herbivores and spiders and therefore prevented crop damage, without having significant effects on crop diseases or leaf damage (Maas *et al.*, 2013). One recent study in coffee, however, found that birds reduced herbivores and leaf damage, while bats primarily reduced spiders and did not affect leaf damage (Karp & Daily, 2014).

#### **(5) Pollination services and crop yield**

While birds and bats are efficient predators in many agroecosystems, in some settings bats also play an important role as pollinators, thereby also directly impacting crop yields. In Southeast Asia, nectarivorous bats and fruit bats are pollinators of petai (*Parkia* spp.), durian (*Durio* spp.) and Indian trumpet (*Oroxylum indicum*), common economically important plants in agroforestry. Bat pollination accounts for 80–100% in fruit set in these crops (Bumrungsri *et al.*, 2008, 2009; Srithongchuay, Bumrungsri & Sripao-Raya, 2008). In southern Thailand alone, such pollination services to durian and petai were estimated to be worth US \$13 million annually (Bumrungsri *et al.*, 2009). Indirect interactions that impact pollination could also occur; for example, if bird and/or bat predation reduces arthropods that pollinate flowers (Maas *et al.*, 2013). No evidence of this was observed in a recent study of vertebrate predator and pollinator interactions for coffee, rather these ecosystem services were complementary (Classen *et al.*, 2014).

## **VI. LOCAL AND LANDSCAPE-MANAGEMENT EFFECTS**

The ecological services provided by birds and bats, including pest suppression and indirect benefits to crop yield (see Section V), are not distributed homogeneously across space as a



result of changes in the abundance, diversity, and composition of species. Local and landscape-level habitat characteristics have important consequences for the predatory services provided by many species and functional guilds that have particular habitat requirements (see Section III). Tropical agroforests vary in local vegetation characteristics such as shade, tree density, diversity, and height that modify the local environment from forest-like to open-sun habitat (Perfecto *et al.*, 1996; Moguel & Toledo, 1999). Tropical landscapes also vary in relative proportions of continuous forest, fragmented forest, agriculture, and urban land uses (Clough *et al.*, 2009a; Karp *et al.*, 2013). To date, few studies have experimentally excluded birds and bats to assess the influence of local and landscape features on ecosystem functioning.

#### **(1) Local effects on predatory function**

Bird and bat biodiversity and abundance typically declines as agroforestry systems change from high to low shade in coffee (Greenberg, Bichier & Sterling, 1997b; Philpott *et al.*, 2008; Williams-Guillén & Perfecto, 2010, 2011), cacao (Faria *et al.*, 2006; Van Bael *et al.*, 2007b), and pastoral systems (Greenberg, Bichier & Sterling, 1997a). Yet bird and bat enclosure experiments replicated across shade gradients reveal mixed results. In coffee, Perfecto *et al.* (2004) found greater predation of lepidopteran larvae and Johnson *et al.* (2009) found reduced leaf damage in high-shade relative to low-shade sites. However, Kellermann *et al.* (2008) and Greenberg *et al.* (2000a) found that shade management did not affect predation rates. Further, Johnson *et al.* (2010) found greater predation of the coffee berry borer in sunny relative to shady plantations. Only one study has focused on cacao, where no differences in bird and bat effects were observed across a shade gradient in Indonesia, except for lepidopteran larvae, which increased in abundance in response to bird and bat enclosures in cacao plantations with a higher

shade cover (Maas *et al.*, 2013). Larger forest restoration plantings showed cascading effects of bird and bat presence on leaf damage; smaller plantings did not show reduced leaf damage although patterns were in the same direction as for larger plantings (Morrison & Lindell, 2012). Other common agricultural practices, such as the use of fertilizers, insecticides, tillage, and irrigation may affect bird and bat communities (e.g. Geluso, Aletnbach & Wilson, 1976; Kunz, Anthony & Ramage, 1977; Senthilkumar *et al.*, 2001; Hallmann *et al.*, 2014), but few studies have yet assessed these practices in tropical regions. Additionally, changes to local management of other agroforestry systems, including diverse home gardens and shaded pasturelands (agrosilvopastoral systems) may influence bird and bat predatory effects, but few have studied these changes.

## **(2) Landscape effects on predatory function**

Complex landscapes with a high proportion of natural habitat may enhance pest-suppression services by increasing the diversity and abundance of natural predators (Bianchi *et al.*, 2006). Indeed, in tropical regions, bird and bat biodiversity generally increases with forest cover and connectivity (Faria *et al.*, 2006; Harvey *et al.*, 2006; Harvey & Villalobos, 2007). Intact forests and more-diversified agriculture may also confer resilience and stability to tropical bird communities (Karp *et al.*, 2011).

To date, few studies have excluded birds and bats along landscape complexity gradients (Tschamtkke *et al.*, 2012b). Karp *et al.* (2013), however, found greater effects of birds on the coffee berry borer near forest fragments, but did not find effects of bats. Johnson *et al.* (2009) found greater reductions in coffee leaf damage at greater distances from habitat patches and Kellermann *et al.* (2008) found no relationship between distance to habitat patch and predation of

the coffee berry borer. Maas *et al.* (2013) also evaluated effects of bird and bat predation in cacao plantations along a distance gradient from primary forest, but found no landscape effect on overall arthropod density or herbivory, with the only exception represented by lepidopteran larvae, which increased in abundance at higher distances to primary forest. Studies investigating naturally forested landscapes in France and New Zealand found enhanced avian attack of plasticine larval models near forest edges relative to forest interiors (Barbaro *et al.*, 2014). However, landscape diversity (amount of different forest and open-land habitats) and native forest cover did not correlate with predation rates. Further, Michel (2012) compared bird and bat exclosures in a fragmented forest in Costa Rica and a continuous forest in Nicaragua, finding that birds suppressed herbivory to a greater degree than did bats in the continuous forest with intact bird communities, whereas bats suppressed herbivory to a greater degree than did birds in fragmented forest with depauperate bird communities.

The field experiments described above indicate some dependence of pest suppression services on the landscape context. Due to the ability to control more variables, simulation models may provide additional insight into the effects of landscape context on biological control. A recent attempt to model the effects of ‘land sharing’ (e.g. shade-grown coffee) and ‘land sparing’ (e.g. monoculture next to forest) on bird-mediated coffee borer beetle suppression revealed that trees and forest fragments were more important for suppression than intact forest (Railsback & Johnson, 2014). Indeed pest suppression by birds peaked when only 5% of the area was occupied by trees and forest fragments. While intact forest supported higher bird densities in their model, birds had to return to the forest nightly and did not move far enough from the forest in the course of a day to forage on pests across the entire area.

### (3) Drivers of local and landscape effects

Despite limited evidence that bird and bat predatory function is dependent on local and landscape factors, there are many reasons to expect context dependency. Compared to non-volant vertebrates with similar body sizes, many bird and especially bat species are relatively mobile and capable of foraging over both small and large spatio-temporal scales (Kunz *et al.*, 2011; Lundberg & Moberg, 2003; Whelan *et al.*, 2008; but see Moore *et al.*, 2008). This is particularly true for habitat generalists because their movements are not restricted by specific habitat types and allow them to cross complex landscapes. Hence, landscape context may be important when considering the conservation and management of bird- and bat-mediated ecosystem functions (Cleveland *et al.*, 2006; Polis, Anderson & Holt, 1997; Struebig *et al.*, 2009). On the other hand, some species are habitat specialists and dispersal limited (Moore *et al.*, 2008), and therefore any reductions in habitat quality will reduce their abundance and predatory services.

In addition to mobility, a number of functional traits including foraging mode, migration, trophic niche, nesting or roosting ecology, and body mass vary across bird and bat species (Fleming & Eby, 2005; Kunz & Lumsden, 2005; Patterson, Willig & Stevens, 2005). These traits are associated with bird and bat responses to changes in local vegetation structure and land-use change and therefore could help predict changes in pest-suppression services (Clough *et al.*, 2009a; Flynn *et al.*, 2009; Maas *et al.*, 2009; Williams-Guillén & Perfecto, 2010, 2011).

Nesting and roosting life-history characteristics may be key to understanding the importance of local and landscape-scale habitat alterations to vertebrate functions (Tscharntke *et al.*, 2005). Species that nest or roost exclusively on plants are expected to be more sensitive to local habitat quality, while cliff nesting and cave roosting species are expected to be less sensitive to vegetation modification (Kingston, 2013). For example, investigations of a

fragmented landscape in peninsular Malaysia reveal that bat assemblage compositions were driven by the abundance of cave bats, which was associated with distance to karst outcrops, but less with patch size and isolation (Struebig *et al.*, 2009). By contrast, Struebig *et al.* (2013) report a positive relationship between the abundance of forest bats and cavity numbers in repeatedly logged rainforest landscapes.

In regions where millions of bats occupy cave roost colonies, such as, for example, in Texas (McCracken *et al.*, 2012) and Thailand (Wanger *et al.*, 2014), it has been possible to derive pest-suppression estimates for agroecosystems in the foraging range of these bats. However, it is possible that the pest-suppression estimates in such cases might be inflated. Future research should investigate the landscape effects on pest suppression of very large roosts compared to areas where bats are more dispersed in the landscape, occupying many smaller roosts.

Information on roosting behaviour and roost restoration for tropical birds is highly limited. A recent study from Jamaican coffee farms (Railsback & Johnson, 2014) emphasizes the importance of nighttime roosting for birds. Accordingly, the availability of trees suitable as foraging or roosting sites for birds near coffee plantations enhanced the efficiency of arthropod suppression by birds, while the dispersion of trees within coffee farms did not affect those services.

Habitat loss and fragmentation may also alter behavioural traits associated with the movement and migration of birds and bats (Béchet *et al.*, 2003; Bélisle, Desrochers & Fortin, 2001), which could lead to losses of local populations and ecosystem functions in recipient habitats (Leibold *et al.*, 2004; Bregman, ekercio lu & Tobias, 2014). A recent study from the cacao-dominated and highly dynamic forest margin landscape of Central Sulawesi highlights the

critical role of rapid forest tree declines on native forest bird diversity, documenting the collapse of an endemic bird population (Maas *et al.*, 2013).

## VII. KNOWLEDGE GAPS AND NEED FOR FURTHER STUDIES

Many hypotheses have been proposed to explain variability in bird- and bat-mediated control of insect populations, but few have been evaluated. For example, the effects of herbivore diversity and primary productivity on bird and bat impacts on plants remain unclear. Moreover, basic natural history is missing for many tropical species, precluding our ability to account for spatial variation in pest control. For example, zoogeographic comparisons are complicated by missing information on the taxonomic structure of bat communities and bat species traits.

While we were able to provide an overview of the available literature on pest-suppression services of bats and birds across the tropics, including global distribution patterns of feeding guilds and habitat affiliations, our work demonstrated that there is a lack of systematic comparisons of the structure and trophic positioning between bat and bird communities. Furthermore, a greater emphasis on how roosting and nesting resources in focal and neighbouring habitats affects predatory functions could reveal whether these resources are strong drivers of arthropod suppression. Particularly for tropical birds, understanding of roosting behaviour and corresponding effects on ecosystem services and their management are highly limited. A better understanding of arthropod community structure and population dynamics in tropical agroforestry systems would significantly contribute to the quality of ecosystem research on birds and bats. In this context, the focus should be on underrepresented species groups, such as bats (especially in the Paleotropics) and abundant arthropods with high total biomass (e.g. Orthoptera, aphids, ants).

With respect to the control of insect pests in tropical agricultural systems, there are several key questions and considerations that should be addressed in future studies. First, are the predation services of bats and birds of equal importance in different types of agricultural systems, in different zoogeographic regions, and in different land-use systems? Second, are there consistent, predictable differences in the effects of birds and bats on arthropods, multitrophic interactions and crop yield? Third, are there specific characteristics of birds and bats that determine their importance for ecosystem services (see Philpott *et al.*, 2009)? For example, do generalists or specialist species perform these functions, and are these species rare or abundant? In this context, we also need to understand bird and bat responses to environmental factors such as habitat transformation, land-use intensification and climate change. Finally, are insectivorous birds and bats functionally redundant? Understorey insectivorous birds are declining in both Neotropical and Paletropical forests (Ekericio *et al.*, 2002; Newmark, 2006, Sigel *et al.*, 2010; Yong *et al.*, 2011). Insectivorous bird loss may release herbivorous arthropods from predation with potentially devastating consequences for plant communities if other insectivores, including bats, are not able to compensate (Michel, 2012). Further study into compensatory effects of insectivorous birds and bats is urgently needed.

Few studies have assessed the importance of species-specific effects (e.g. in relation to abundance, traits, consumption rates or habitat preferences) and multitrophic interactions mediated by bird and bat predation (Philpott *et al.*, 2009; Maas *et al.*, 2013). These complex interactions between birds, bats and other natural enemies (e.g. ants and spiders) of leaf-chewing insects are likely jointly to affect the productivity of agricultural systems and therefore need to be considered simultaneously at different temporal and spatial scales and with careful consideration of the methods used. For example, bird and bat predation effects on spiders show contrasting

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results in different enclosure studies (e.g. Van Bael *et al.*, 2008; Mooney *et al.*, 2010; Mestre *et al.*, 2012, 2013; Karp & Daily, 2014; Borkhataria *et al.*, 2006; Hooks *et al.*, 2003; Maas *et al.*, 2013; Michel *et al.*, 2014). This might be explained by the presence of different species-specific, local management, or geographic effects but could also be a result of enhanced spider abundances in experimental enclosures (e.g. web-building spiders might use enclosure nets as additional structures; Gunnarsson, 2007). The interactions between birds, bats and (predatory) ants are also poorly understood but very important given the strong evidence that their interactions drive the abundance of serious pest insect groups and crop yield in different agricultural systems throughout the tropics (Philpott, Greenberg & Bichier, 2005; Wielgoss *et al.*, 2012, 2014).

Most fundamentally, we need applied research that explores the practicalities of how growers can manage their farms to facilitate bird- and bat-mediated suppression of pest insects. Are there specific land-use patterns that promote ecosystem services by birds and bats (Clough *et al.*, 2009a; Perfecto *et al.*, 2004)? The literature suggests that bird and bat predatory effects may depend on local management practices and the landscape context, but results are inconsistent and provide little basis to draw general conclusions. Only a few studies, for example, have assessed the extent to which agricultural intensification affects pest consumption by birds and/or bats (Williams-Guillén & Perfecto, 2010; Karp *et al.*, 2013; Maas *et al.*, 2013).

In order to understand the landscape-scale effects of birds and bats on tropical arthropod and plant communities, we must first understand the suite of factors influencing tropical insectivorous bird and bat abundance and richness patterns. In this context, information on factors such as effects of deforestation (Struebig *et al.*, 2008, 2009), habitat degradation (Mendenhall *et al.*, 2014), land-use intensification (Melo *et al.*, 2013; Laurance *et al.*, 2014) and



climate change (Urban *et al.*, 2013) appear to be particularly limited. An improved understanding of the effects of environmental factors on bird and bat communities is needed to provide evidence-based management strategies for processes such as shifting food resources (Barber *et al.*, 2008; Richter & Cumming, 2008; McCracken *et al.*, 2012), migration patterns (Béchet *et al.*, 2003), transport of nutrients and energy (Whelan *et al.*, 2008; Kunz *et al.*, 2011) and altered proportions of functional groups of birds and bats (Hansen *et al.*, 2001; Erasmus *et al.*, 2002; Maas *et al.*, 2009; *ekercio lu*, 2012).

Future experiments should be conducted to determine the single and combined effects of birds and bats on agricultural crop production and how these functions relate to specific local management practices (e.g. plant species diversity and composition; shade cover; herb layer) and landscape context (e.g. connectivity; surrounding forest cover). Such work should test hypotheses about the impacts of landscape moderation on ecosystem patterns and processes (Tschardtke *et al.*, 2012b). Differences in species richness and functional diversity of birds and bats between different zoogeographic regions mean that management recommendations might not be transferable from one biogeographic region to another, increasing the need for studies conducted at landscape scales and specifically measuring the interactions between different taxa.

At a more practical level, studies on particular management practices that can enhance bird and bat ecosystem services are needed. In particular, evaluating the effects of restoration efforts on predatory function at different spatial scales may be of practical value for managers. For example, farmers would benefit from knowing whether restoring roost sites or adding nesting boxes could facilitate the ecological services of birds and bats (Kelm, Wiesner & von Helversen, 2008). As a method to increase bat populations locally by artificially increasing the number of available roosts, bat houses have been used very successfully in North America (Tuttle, Kiser &

Kiser, 2005; www.batcon.org) and in the Mediterranean area (Flaquer, Torre & Ruiz-Jarillo, 2006). Anecdotal evidence suggests that bat houses may assist with the control of crop pests, as in the case of an organic pecan nut orchard in Georgia, USA, where the addition of 13 bat houses led to a colony of some 3000 bats. Prior to the bat houses being installed, hickory shuckworms were damaging more than 30% of the crop, whereas after the successful occupation of bat houses, crop losses due to shuckworm damage became negligible (Kiser, 2002).

Evidence on the importance of bats in multitrophic food webs and the suppression of arthropods is limited, especially compared to the available number of studies on birds. However, existing results have led to several hypotheses concerning bats. For example, compared to birds, bats may (1) feed more often as generalist predators, (2) occupy a broader range of habitats, (3) be less speciose than birds (given their overall lower species richness), and (4) demonstrate lower sensitivity to seasonal influxes in migrant populations. These hypotheses lead to the conclusion that bat effects might be less variable across seasons and habitat types than birds, which could suggest that bat management involves fewer considerations than bird management.

Therefore further bat research may be particularly important not just from the perspective of limited knowledge of bats compared to birds, but also because improved understanding of bat effects on trophic cascades (as well as the impact of different management regimes and multitrophic interactions) might be the key to making progress towards profitable biodiversity-friendly management in tropical agriculture.

## **VIII. MANAGEMENT OF BIRD AND BAT ECOSYSTEM SERVICES**

More studies that demonstrate the value of bird and bat pest-predation services could help promote the conservation of birds, bats, and other associated species. Specifically, vertebrate-

mediated pest control could provide incentives for conserving source patches including caves, intact forest and high-quality matrices between source patches such as corridors, night roosts, forest remnants, and diverse agroforests (Jirinec, Campos & Johnson, 2011; Wanger *et al.*, 2014). No studies have evaluated how hunting pressure affects predatory function, but incentives to curtail hunting could exist if it lowers the number of individuals arriving at recipient habitats and indirectly shifts migration patterns (Béchet *et al.*, 2003). Hunting effects on insectivorous birds and bats might be of higher importance in the Paleotropics, where hunting also affects large numbers of smaller species, partly due to limited law enforcement, traditional hunting practices (for food and/or medicine) and the growing market for rare species that are traded as pets (Bennett *et al.*, 2006; Nijman, 2010; Wiles *et al.*, 2010; Scheffers *et al.*, 2012). On the other hand, smallholder agroforests with a diverse shade tree cover have been shown to support substantially higher levels of species richness and functional diversity than intensified land-use systems, which may enhance the natural ecosystem services provided by birds and bats (Tschardtke *et al.*, 2005; Whelan *et al.*, 2008; Kunz *et al.*, 2011). The proximity of forest also seems to support avian predatory function (Clough *et al.*, 2009a; Karp *et al.*, 2013; Maas *et al.*, 2015) although data on bat predation are lacking. Moreover, agroforestry systems with a complex vegetation structure can serve as an insurance against insect pest outbreaks and other threats, especially in smallholder plantations (Tschardtke *et al.*, 2011). Integrating smallholder agroforestry systems (e.g. low use of pesticides; moderate to high shade levels; high fruiting tree diversity) into conservation strategies within tropical landscapes has become an even more attractive concept since it has been shown that win–win situations can be realized for both farmers and biodiversity (Perfecto, Vandermeer & Wright, 2009; Clough *et al.*, 2011; Karp *et al.*, 2013).

Clearly, the potential of birds and bats to contribute significant economic-service value is great and in need of further quantification. Given the economic impact of these services (Kellermann *et al.*, 2008; Johnson *et al.*, 2010; Boyles *et al.*, 2011, 2013; Karp *et al.*, 2013; Maas *et al.*, 2013), biodiversity-friendly management of tropical farming landscapes provides a promising conservation strategy that may also enhance human well-being through supporting food security and ecosystem resilience (Fischer *et al.*, 2006; Tschardtke *et al.*, 2012a).

## IX. CONCLUSIONS

(1) Insectivorous birds and bats play critical arthropod-limitation roles in both natural and human-dominated ecosystems, with significant constraining effects on arthropod abundances demonstrated in the vast majority of existing studies.

(2) Contrary to ecological theory, the effect of arthropod suppression by birds and bats in the tropics is of similar strength to that in temperate and boreal systems (Van Bael *et al.*, 2003; Van Bael & Brawn, 2005; Mooney *et al.*, 2010; Mäntylä *et al.*, 2011; Michel, 2012; Morrison & Lindell, 2012).

(3) While birds and bats characteristically limit arthropods throughout the tropics, the strength of bird- and bat-mediated trophic cascades can be highly variable, depending on insectivore identity, foraging strategies, geographic distributions and resource availability (e.g. primary productivity, arthropod density and diversity, nesting site availability). Additionally, the impact of arthropod suppression depends on factors such as species density, functional diversity (Philpott *et al.*, 2009), and the presence of migratory species (Van Bael *et al.*, 2008; Williams-Guillén *et al.*, 2008; Michel, 2012).

(4) In tropical natural systems, speciose bird and bat communities benefit plants through limiting herbivory (e.g. Van Bael *et al.*, 2008). In tropical agricultural systems, insect pest consumption can result in increased yields and substantial economic gains for farmers (Kellermann *et al.*, 2008; Johnson *et al.*, 2010; Boyles *et al.*, 2011, 2013; Karp *et al.*, 2013; Maas *et al.*, 2013). However, it is unclear how transferable results and recommendations are among different regions and land-use systems, highlighting the need for further research in underrepresented areas.

(5) A number of critical research gaps and unanswered questions remain with respect to steps necessary to safeguard tropical bird and bat communities and the services they provide. Thus, we strongly recommend further studies on the importance of ecosystem services provided by highly functionally diverse and mobile predator groups such as birds and bats with special focus on their economic importance, potential impact on human well-being and biodiversity-friendly land-use management. Such studies will provide real-world implications for improved agricultural management, especially in tropical areas where agricultural expansion and land-use intensification represent serious threats to biodiversity and ecosystem processes.

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## XII. SUPPORTING INFORMATION

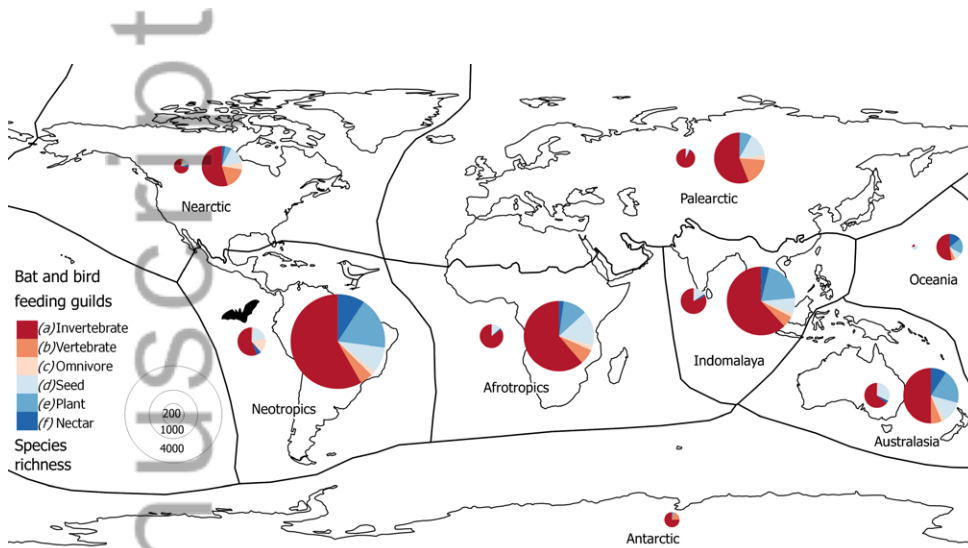
Additional supporting information may be found in the online version of this article.

**Table S1.** List of reports using enclosure studies of birds and bats to quantify predation effects on arthropod abundances (control *versus* enclosure treatments) used for the calculation of effect-size graphs in Fig. 3.

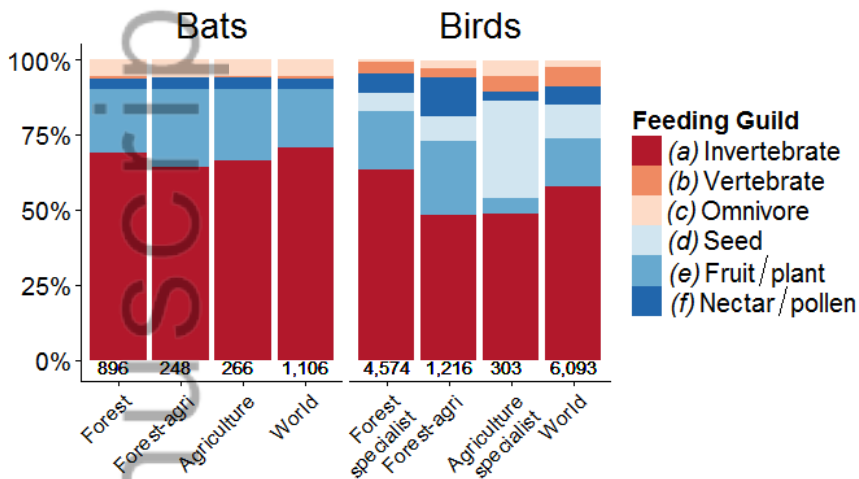
Table 1. Total and endemic species richness of birds and bats living only in one region, for each biogeographic realm (following Olson *et al.*, 2001). Bird data from Ekercio *et al.* (2004) and Ekercio *et al.* (2012), updated with new ornithological data published until 2014. Bat data from IUCN Red List mammal data (IUCN, 2014).

<b>Biogeographic realm</b>	<b>Total bat species richness</b>	<b>Endemic bat species richness</b>	<b>Total bird species richness</b>	<b>Endemic bird species richness</b>
Afrotropics	237	211 (89%)	2,079	1,671 (80%)
Australasia	270	185 (68%)	1,399	1,019 (73%)
Indomalaya	282	124 (44%)	1,982	1,242 (63%)
Neotropics	337	255 (75%)	3,996	3,564 (89%)
Nearctic	94	12 (13%)	689	173 (25%)
Oceania	14	10 (71%)	375	261 (70%)
Palaearctic	155	41 (26%)	1,160	349 (30%)

FIGURES

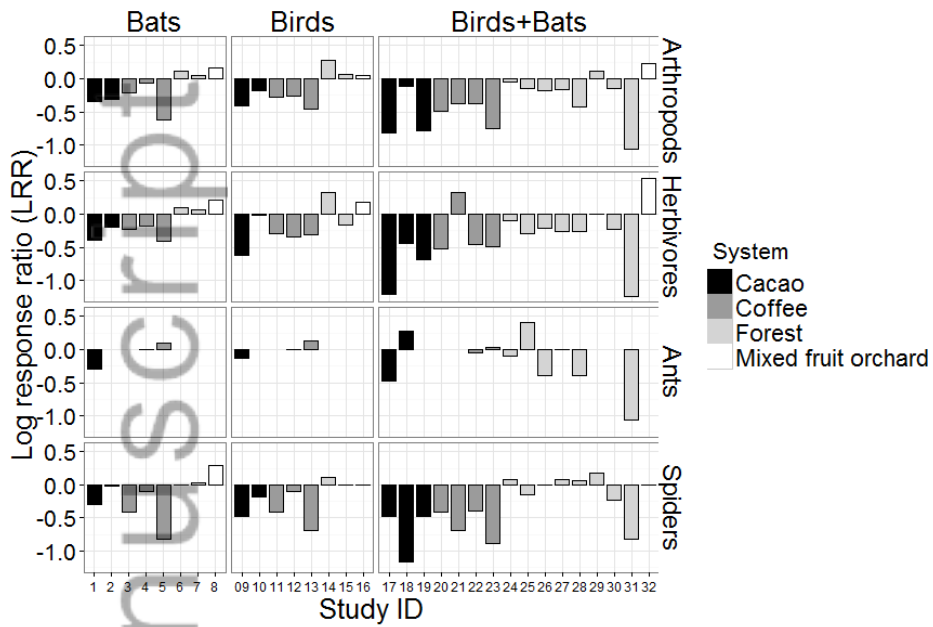


**Fig. 1.** Bird and bat species' proportions in the six largest feeding guilds (see Section II.3) in different biogeographic realms (following Olson *et al.*, 2001). The size of the pie charts is proportional to bird (right) and bat (left) species richness in each realm.



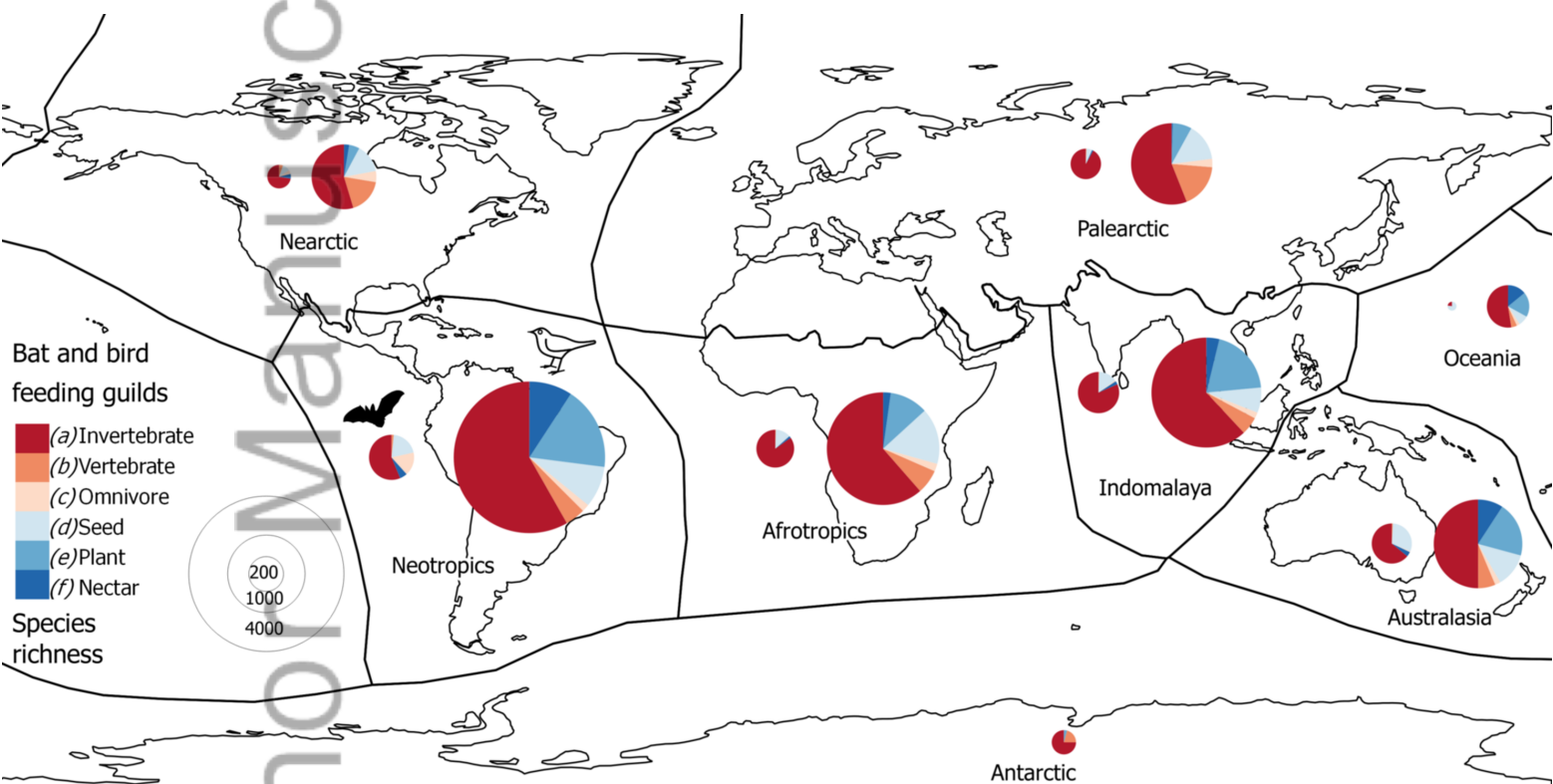
**Fig. 2.** Feeding-guild composition of bird and bat communities in different habitats. Total number of species in each habitat is indicated below the bars. Forest specialists are birds that occur only in forest or woodland habitats. Agriculture specialists are birds that occur in agricultural areas including agroforests but not natural forest or woodland habitats. Forest-agri birds occur in both agricultural areas and forests/woodland. See Sections II.3 and II.4 for details of the classification of feeding guilds and habitats. The graph for birds is adapted from Sekercioglu (2012), with permission of Springer-Verlag.



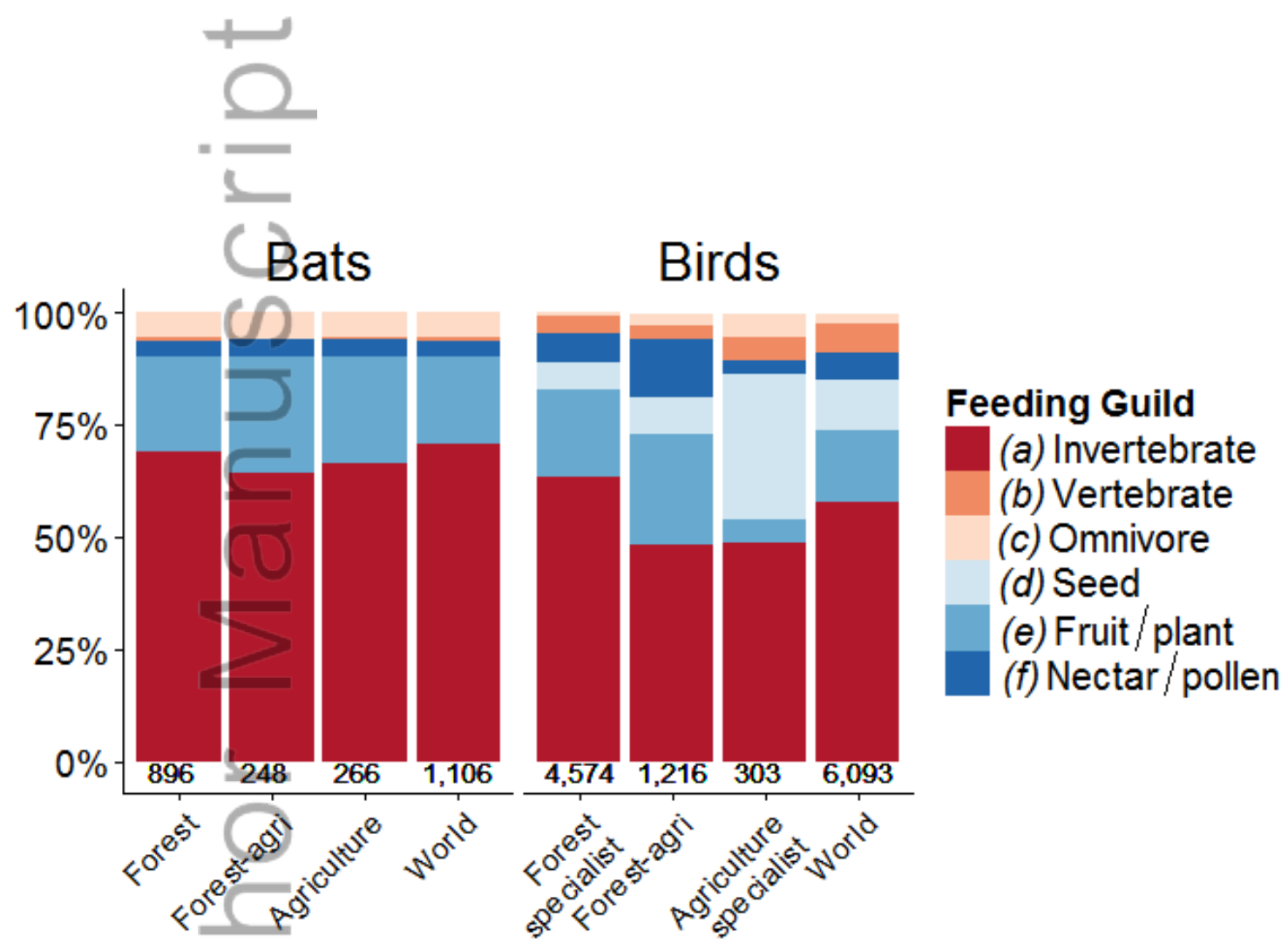


**Fig. 3.** Effect sizes of bird and bat suppression of arthropod abundance for different groups and studies in cacao and coffee plantations, tropical forests and mixed fruit orchards. Effects on arthropods were calculated using log response ratios [LRR =  $\ln(\text{control mean}/\text{exclosure mean})$ ]. A more negative LRR indicates a stronger negative effect of predator on prey abundance. Note that ants were not sampled in all studies (no data displayed for respective study ID). Original data, study ID numbers and additional details are given in Table S1.

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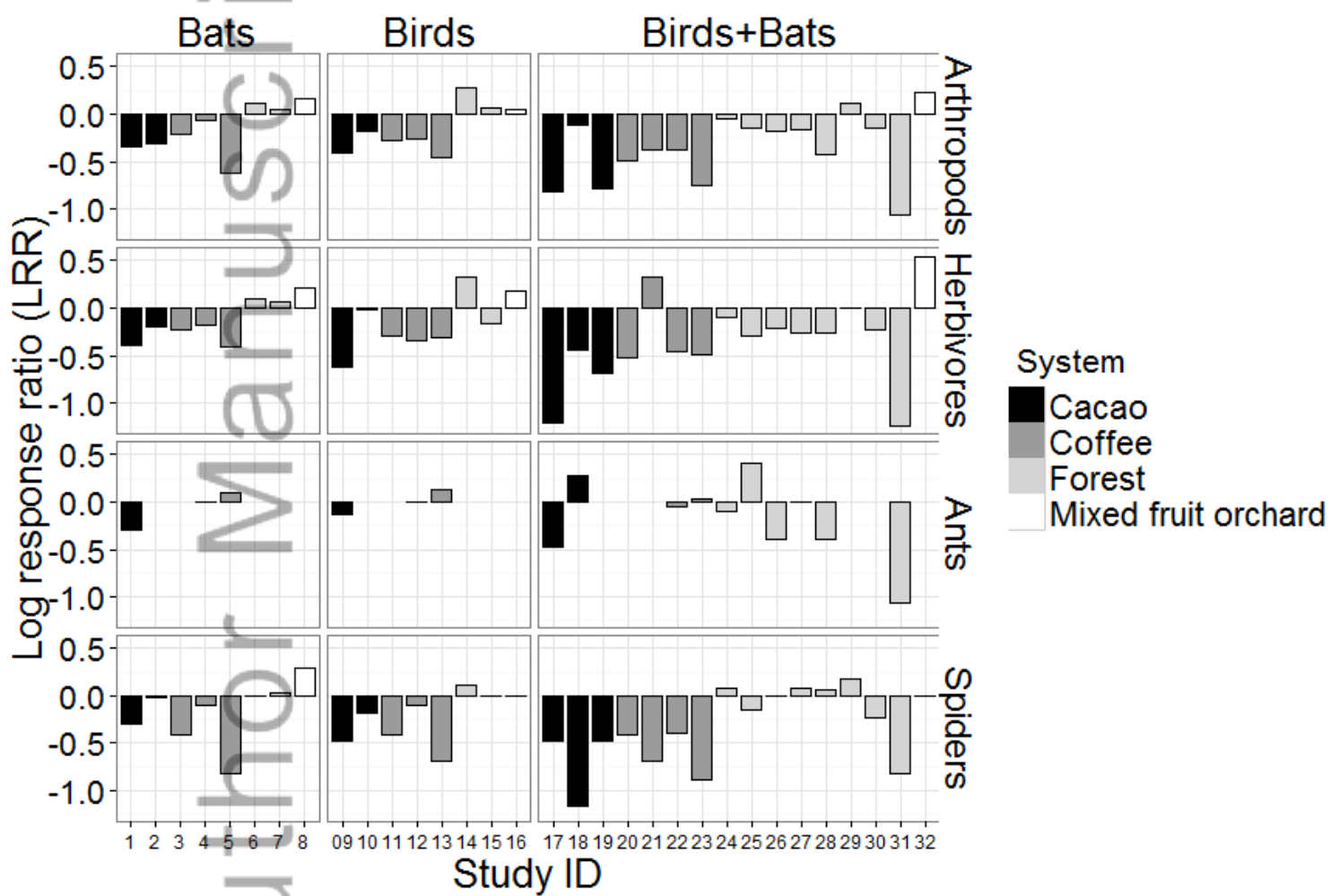


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