

Aeroservoelastic Design Definition of a 20 MW Common Research Wind Turbine Model

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Abstract

Wind turbine upscaling is motivated by the fact that larger machines can achieve lower levelized cost of energy. However, there are several fundamental issues with the design of such turbines, and there is little public data available for large wind turbine studies. To address this need, we develop a 20 MW common research wind turbine design that is available to public ¹. Multidisciplinary design optimization is used to define the aeroservoelastic design of the rotor and tower subject to the following constraints: blade-tower clearance, stresses, modal frequencies, tip-speed and fatigue damage at several sections of the tower and blade. For blade the design variables include blade length, twist and chord distribution, structural thicknesses distribution and rotor speed at the rated. The tower design variables are the height, and the diameter distribution in the vertical direction. For the other components, mass models are employed to capture their dynamic interactions. The associated cost of these components is obtained by using cost models. The design objective is to minimize the levelized cost of energy. The results of this research show the feasibility of a 20 MW wind turbine, and provide a model with the corresponding data for wind energy researchers to use in the investigation of different aspects of wind turbine design and upscaling.

Keywords: Wind turbine aeroservoelasticity, multidisciplinary design optimization, common research wind turbine model, 20 MW design, upscaling.

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1. Introduction

Over the last decades, the size of wind turbines experienced a continuous increase in hope of achieving a lower levelized cost of energy (LCoE). Political issues, public acceptance, and the desire of some countries to generate the bulk of their electricity from wind energy are among other factors that support the design of larger units. However, the progressive upscaling of wind turbines poses several technical and economical design challenges that have to be identified and solved.

There are few research studies addressing different aspects of wind turbine upscaling beyond the existing 5–7 MW range⁴. Bak et al. [1] presented the design of a 10 MW upwind, three-bladed, variable-speed, pitch-regulated wind turbine as part of the Light Rotor project. CFD simulations were performed on the rotor to obtain the detailed aerodynamics characteristic for aeroelastic simulations [2]. Peeringa et al. [3] presented a pre-design of a 20 MW turbine including the controller. Here, first a 20 MW design is obtained using linear upscaling of the 5 MW UpWind design [4]. Then, the aerodynamic and structural design of the blade takes place sequentially. A controller is designed after freezing the aerodynamic and structural design of the blade.

The Norwegian research center for offshore wind technology (NOWITECH) developed a 10 MW variable speed, variable pitch turbine with direct-drive permanent magnet synchronous generator coupled to the grid through a fully rated converter [5]. The characteristics of the control strategy, the generator, and the tower are also given, and the integrity of the complete model is demonstrated using aeroelastic simulations [6, 7, 8, 9]. Vatne [10] and Frøyd et al. [11] performed aeroelastic stability analysis of the NOWITECH 10 MW rotor.

Cox and Echtermeyer [12] performed the structural design of a 70 m blade, 10 MW turbine for an upwind horizontal-axis wind turbine. The composite structure of the blade used glass and carbon fiber. Structural analysis studies demonstrated its ability to withstand the extreme loading conditions. Griffith and Ashwill [13] created the design of a 100 m blade for a horizontal axis wind turbine corresponding to 13.2 MW power output. This initial blade was made of fiber-glass with conventional architecture⁵, followed by investigation of carbon fiber materials [14], advanced core material design [15], and advanced geometry effects [16]. Loth et al. [17] presented a 13.2 MW downwind rotor concept that uses coning and curvature to align the non-circumferential loads for a given steady-state condition.

A current issue that is preventing the research community to advance the state-of-the-art in large wind turbines is the fact that almost no public information is available about such turbines. Wind turbine manufacturers understandably prefer to keep the designs and data they produce confidential to protect any technological and knowledge

⁴The existing installation size are 5 to 7 MW, and 7 to 8 MW turbines are currently being designed.

⁵A conventional architecture is a blade with a beam box that has two shear webs and two spar caps.

39 advantage they might have. Therefore, there is a need for a publicly available large
40 scale wind turbine design with the corresponding data for research projects. Such data
41 could also help answer some of the questions in wind turbine design today, namely: (1)
42 How large can we scale up a complete wind turbine (not just a single component), (2)
43 What would be the design characteristics of a large wind turbine?, and (3) What would
44 be an accurate estimate of the LCoE for larger turbines using the current technology?

45 To address these needs, we developed a 20 MW common research wind turbine
46 complete model and made it publicly available ⁶. Unlike the previous studies, the de-
47 sign of this large wind turbine is performed using multidisciplinary design optimization
48 (MDO), a well established design technique for the design of wind turbines [18]. The
49 scaling law provides design for which there is no guarantee of feasibility. Furthermore,
50 even if feasible, a scaled design will not be an optimal design solution. Therefore, the
51 MDO methodology used in this research provides a feasible and optimum design for
52 the 20 MW turbine.

53 Since active control is becoming increasingly important for larger wind turbines,
54 this work extends the previous optimization studies with no controller or a fixed con-
55 troller strategy by updating controller parameters during every optimization iteration
56 [18, 19, 20, 21, 22, 23, 24]. The integrated design of a controller enables the develop-
57 ment of an economically more attractive large scale wind turbine by increasing energy
58 capture using a controller that is optimized simultaneously with the rest of the design.

59 The majority of large scale wind turbines designed nowadays are upwind, three-
60 bladed, pitch-regulated, variable-speed turbines, and this is the focus of this research
61 as well. To provide an initial set of design variables needed for the optimization to start
62 with, the 5 MW UpWind [4] wind turbine design data are upscaled to a 20 MW design
63 using scaling rules [25], and a scaling factor of two. After this step, optimization of
64 the design takes place to provide the optimal preliminary data, such as rotor diameter,
65 hub height, rated rotational speed, and structural and aerodynamic design of the tower
66 and rotor.

67 To evaluate the LCoE as the design objective function, various components of the
68 cost breakdown and the annual energy production (AEP) are needed. For several com-
69 ponents of the cost breakdown, the WindPACT [26] heuristic cost models have been
70 used. However, for the tower and rotor blade these cost models have not been used.
71 Instead, the design variables of the tower and blade structures, such as the tower wall
72 thickness and rotor chord are optimized. The cost contributions of these components
73 to the LCoE are determined from the design variables' values. In particular, the mass
74 is determined from the design variables and the costs are calculated from the mass.
75 This approach gives the cost evaluation a much wider range of applicability than the
76 heuristic, data dependent models. However, although the tower and blades are para-
77 metrically optimized for the 20 MW scale, their concept and configuration are similar
78 to those of current multi-megawatt turbines.

⁶<https://github.com/tashuri/20MW-wind-turbine-model>

79 These cost and mass models are either dependent or independent of the blade
80 and tower design variables. Therefore, during the optimization process, the value of
81 these dependent models is also adjusted to give an integrated design with the lowest
82 LCoE. An example of a dependent model is the hub mass and cost, which depends on
83 the blade mass. The independent models do not have any size dependency, and are
84 therefore fixed for all sizes. The cost of the safety system is an example of a model
85 that is independent of the size. Details of these models can be found in previous works
86 [27, 28, 29, 30, 31], and are therefore not discussed here.

87 The quantification of the AEP, the system masses, and the costs allows the LCoE
88 to be calculated and used as a multidisciplinary objective function to be minimized.
89 The solution of this optimization problem results a wind turbine design that includes
90 rotor and tower data, cost and mass data, and the operational parameters of the wind
91 turbine. The optimization is done for wind conditions at a Dutch site [32].

92 **2. MDO formulation**

93 To formulate a MDO problem, the choice of an optimization architecture, design
94 variables and constraints, objective function and optimization algorithm needs to be
95 defined. An architecture integrates the aeroservoelastic analysis method (to simulate
96 the system under study) with optimizer, and it defines the data flow and computational
97 process. This section outlines the MDO formulation, while the next section presents
98 the aeroservoelastic analysis method.

99 **2.1. Optimization architecture**

100 Among the various optimization architectures described in the literature [33], this
101 study uses multidisciplinary feasible design (MDF) architecture. In MDF, the opti-
102 mizer is directly linked to the disciplinary solvers as depicted in Figure 1 using the
103 extended design structure matrix (XDSM) convention [34]. The disciplinary solvers
104 shown in this figure are described in Section 3.1.

105 **2.2. Design variables**

106 The 20 MW wind turbine developed in this research has the following design fea-
107 tures:

- 108 1. A three-bladed upwind rotor attached to a conical hub with 3 m/s cut-in and
109 25 m/s cut-out wind speed.
- 110 2. A collective PI pitch-to-feather controller for power regulation above the rated.
- 111 3. A variable-speed generator torque controller for energy maximization below the
112 rated.
- 113 4. A geared drive train with a full converter.
- 114 5. A minimum of 25 m air-gap between the unloaded blade-tip and the ground.
- 115 6. A tubular tower concept nonlinearly tapered from the bottom to top.

116 To obtain the initial set of design variables needed for the optimization to start
117 with, the 5 MW UpWind wind turbine developed in the framework of the UpWind

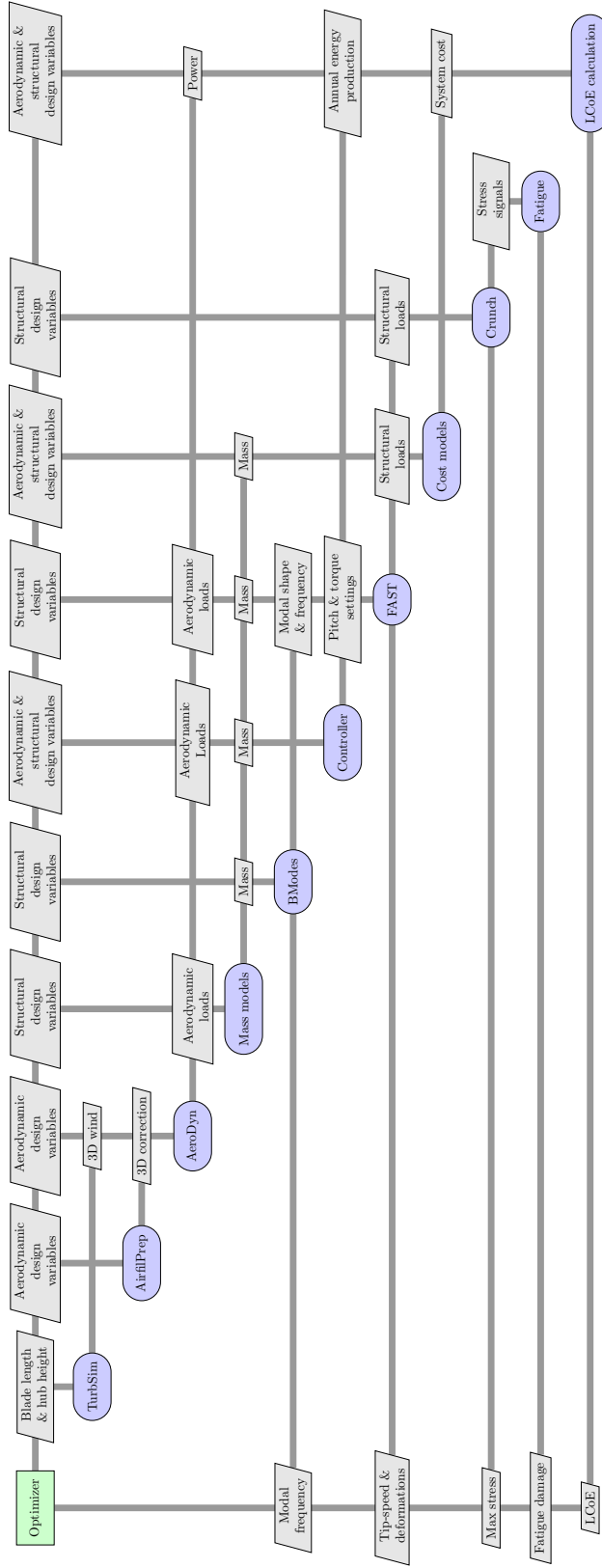


Figure 1: Extended design structure matrix (XDSM) [34] describing the how the computational codes and the optimizer are coupled. The top parallelograms are the data passed to the codes, and the lines represent the data dependencies. The optimizer is located in the top green box, and the computational codes are the blue boxes. The data flow on the upper triangular is from left to right, and top to bottom, and on the lower triangular is from right to left, and bottom to top.

118 project is linearly upscaled by factor of two as in Nijssen et al. [35]. Table 1 lists
 119 the design variables for the 20 MW wind turbine. This table also defines the bounds
 120 of the design variables (lower and upper bounds) needed to define the design space.
 121 The choice of the optimization level is related to the way these design variables are
 optimized and further explained in Section 2.4.1.

Table 1: Blade and tower design variables for the initial and optimal designs, with corresponding upper and lower limits

Variable (units)	Opt. level	Lower	Initial	Optimal	Upper
Length of blade (m)	1	110.0	123.0	135.0	140.0
Height of tower (m)	1	150.0	175.2	155.0	190.0
Rotational speed at rated (rpm)	1	6.0	6.4	7.1	7.5
Section 6, twist (deg)	2	10.0	13.3	14.8	15.0
Section 10, twist (deg)	2	5.0	10.2	5.8	11.0
Section 14, twist (deg)	2	2.0	3.3	3.1	5.0
Section 17, twist (deg)	2	0.0	0.4	1.5	3.0
Section 20, twist (deg)	2	0.0	0.0	0.1	2.0
Section 1, chord (m)	2	6.0	7.1	7.6	8.0
Section 6, chord (m)	2	7.0	9.1	10.0	10.0
Section 10, chord (m)	2	6.0	8.0	6.7	9.0
Section 17, chord (m)	2	2.0	4.6	2.9	6.0
Section 20, chord (m)	2	0.1	0.2	1.6	2.5
Section 1, skin thickness (cm)	2	18.0	20.0	19.0	21.0
Section 3, skin thickness (cm)	2	10.0	12.0	18.9	21.0
Section 6, skin thickness (cm)	2	4.0	4.6	17.1	20.0
Section 16, skin thickness (cm)	2	2.0	3.0	16.2	20.0
Section 3, web thickness (cm)	2	1.5	2.0	14.5	20.0
Section 6, web thickness (cm)	2	2.0	4.0	16.0	20.0
Section 16, web thickness (cm)	2	2.0	2.6	15.2	20.0
Section 3, spar thickness (cm)	2	1.0	2.0	14.4	20.0
Section 6, spar thickness (cm)	2	1.0	5.0	13.2	20.0
Section 16, spar thickness (cm)	2	1.0	4.8	10.0	20.0
Section 1, tower diameter (m)	2	9.0	12.0	10.0	15.0
Section 7, tower diameter (m)	2	8.0	12.0	9.0	15.0
Section 14, tower diameter (m)	2	6.0	9.8	6.9	12.0
Section 22, tower diameter (m)	2	5.0	8.2	6.2	10.0

122 There are 22 design variables for the rotor. These variables are the external ge-
 123 ometry (11), structural thickness (10), and rotor rotational speed (1). The geometry
 124 variables are 5 chord lengths at section 1 (blade root), 6, 10, 17 and 20 (blade tip),
 125

126 blade length, and 5 twist angles at section 6, 10, 14, 17 and 20. The structural thick-
127 nesses of the composite lay-ups are 3 spar thicknesses at section 3, 6 and 16, 4 shell
128 thicknesses at section 1, 3, 6, 16, and 3 web thicknesses at section 3, 6 and 16. The
129 rotational speed of the rotor and the blade length together define the tip-speed of the
130 blade, which is considered as a design constraint.

131 The five design variables of the tower are the tower height (1), and the diameter at
132 sections 1 (tower bottom), 7, 14 and 22 (tower top). We assumed a fixed diameter to
133 thickness ratio of 160 to find the value of thickness at the sections where the diameter
134 optimization takes place. This is common practice in the oil and gas industry to design
135 against pile buckling at the conceptual and preliminary design phases [36, 37]. This
136 design variable linking technique not only reduces the computational time, but also
137 prevents buckling. All these design variables are continuous.

138 Table 6 lists the exact locations of each blade section, and Table 14 list the locations
139 for the tower sections. For the blade, these sections are measured from the blade root
140 (section 1) to the tip (section 20), and for the tower they start at the tower bottom
141 (section 1) and end up at the tower top (section 22). Cubic interpolation is employed to
142 find the distributed properties of the blade and tower between these sections. To have
143 a smooth and continuous interpolation of the section design variables, the following
144 parameters are predefined:

- 145 1. Sections 1 to 3 (root region) have a circular cross section with equal diameter
146 for these sections.
- 147 2. The twists for sections 1 through 6 are equal. These sections serve to transition
148 from the circular root section to an airfoil shape, and they do not contribute in
149 a significant way to power generation.
- 150 3. Shear web and cap thicknesses close to the blade root (sections 1 and 2) are zero.

151 2.3. Design constraints

152 Several inequality constraints are used to obtain a feasible design solution of the
153 blade and tower as detailed in Tables 2 and 3. The design constraints of the blade
154 are fatigue damage at five sections along the blade, stresses, blade-tower clearance,
155 and the first three natural frequencies. The design constraints of the tower are fatigue
156 damage and stress at six sections along the tower, and the first and second natural
157 frequencies.

158 Partial safety factors are used in combination with these constraints to cover the
159 design and modeling uncertainties. Table 4 shows the selected values for the partial
160 safety factors, except for the design load case 2.3 (see Table 8), where a partial safety
161 factor of 1.1 for the ultimate limit state is used.

162 2.4. Objective function

163 LCoE is a representative multidisciplinary objective function that reflects the trade-
164 offs between all disciplines, and results in a true assessment of all the technical and eco-
165 nomical changes. For a single wind turbine operating in a wind farm, LCoE contains

Table 2: Turbine blade design constraints (accounting for safety factors)

Constraint	Value (units)
Tip-deflection	≤ 18.3 (m)
Section 1, 3, 6, 10, 17, 20 flapwise fatigue	≤ 0.7 (-)
Section 1, 3, 6, 10, 17, 20 edgewise fatigue	≤ 0.7 (-)
Section 1, 3, 6, 10, 17, 20 flapwise stress	≤ 276 (MPa)
Section 1, 3, 6, 10, 17, 20 edgewise stress	≤ 276 (MPa)
1 st frequency	$2.1P \leq \omega_{1n} \leq 2.9P$ (Hz)
2 nd frequency	$\omega_{2n} \geq 3.1P$ (Hz)
3 rd frequency	$\omega_{3n} \geq 3.1P$ (Hz)
Tip-speed	≤ 120 (m/s)

Table 3: Tower design constraints (accounting for safety factors)

Constraint	Value (units)
Section 1, 5, 9, 13, 17, 21 stress (fore-aft)	≤ 333 (MPa)
Section 1, 5, 9, 13, 17, 21 fatigue damage (fore-aft)	≤ 0.7 (-)
1 st frequency	$1.1P \leq \omega_{1n} \leq 1.9P$ (Hz)
2 nd frequency	$\omega_{1n} \geq 3.1P$ (Hz)

Table 4: Partial safety factors [38]

Type of safety factor	Value
Material	1.05
Failure consequence	Blade 1.0 Tower 1.0
Ultimate limit state	1.35
Fatigue limit state	1.43
Modal frequency	$\pm 0.1P$

166 the following elements [26]: Turbine capital cost (TCC), balance of station (BOS),
 167 initial capital cost (ICC), levelized replacement cost (LRC) and operations and main-
 168 tenance (OM) costs. Note that in the calculation of the BOS, we did not consider
 169 any transportation cost, since the WindPACT model estimates an unrealistically high
 170 transportation cost for large wind turbines.

171 These cost models were calculated based on the cost of materials and products for
 172 year 2002, and are adjusted in this research based on the cost of materials and products
 173 to account for inflation according to the producer price index ⁷. The combination of
 174 these cost models and the AEP enables the calculation of LCoE as:

$$\text{LCoE} = \left(\frac{\text{ICC} \times \text{IR} + \text{LRC} + \text{OM}}{\text{AEP}} \right), \quad (1)$$

175 where IR is the interest rate with a value of 0.118. AEP is the yearly energy production,
 176 which can be written as,

$$\text{AEP} \approx 8760 \sum_{i=\text{cut-in}}^{\text{cut-out}} P(V_i) f(V_i), \quad (2)$$

177 where $P(V)$ is the turbine power curve, 8760 is the total number of hours in a year, i
 178 is the wind speed index that ranges from the cut-in to cut-out speeds, with an interval
 179 of 2 m/s. The wind probability distribution function $f(V)$ is calculated using,

$$f(V) = \left(\frac{k}{c} \right) \left(\frac{V}{c} \right)^{k-1} \exp \left[- \left(\frac{V}{c} \right)^k \right], \quad (3)$$

180 where k is the shape factor, V is the wind speed, and c is the wind speed scale factor.
 181 Here, $c = 9.47$ and $k = 2$. An AEP conversion loss of 5.6% is assumed (for the
 182 mechanical-to-electrical energy conversion in the drive train), which is the same as the
 183 DOWEC design at the rated power [39].

184 2.4.1. Optimization algorithm and implementation

185 There are several factors that make the present design optimization computation-
 186 ally expensive: (1) The simultaneous design optimization of the blade and tower with
 187 several design variables and constraints; (2) The use of time domain simulation of the
 188 wind turbine with multiple design load cases to capture the dynamic behavior, and
 189 (3) The required gradients of the objective function and design constraints, which are
 190 computed using finite differences. To save computational time, the design variables
 191 are decomposed, resulting in a bi-level optimization approach. In both optimization
 192 levels, LCoE is minimized but with respect to different sets of variables.

193 For the first level, the convex linearization (CONLIN) algorithm is used [40].
 194 For the second level of the optimization process, we use the Lagrange multiplier

⁷<http://www.bls.gov/ppi/>

195 method [41]. The level one optimization process runs quickly since there is only one
196 design constraint is enforced (the blade tip-speed), and the design variables are only
197 tower height, blade length, and rated rotational speed.

198 The second level optimization starts with the optimized values from the level one
199 optimized tower height, blade length, and rated rotational speed. All the other design
200 variables are optimized subject to all the design constraints. This iterative process
201 between the two levels continues until the specified convergence of 1% in the LCoE
202 value is achieved. This tolerance is achieved after four iterations of the bi-level opti-
203 mization, each having 10 to 14 iterations for level 1, and 25 to 32 iterations for level 2.
204 The total optimization time was 1,150 hours of wall time using 40 computing cores.

205 **3. Aeroservoelastic analysis method**

206 This section outlines the components of the aeroservoelastic analysis, which are
207 based on different disciplinary solvers to simulate the dynamics of the wind turbine.
208 In addition to describing the disciplinary solvers, we also present the aerodynamic and
209 structural design definition, load cases, and applied safety factors.

210 **3.1. Disciplinary solvers**

211 Wind turbines are multidisciplinary systems and thus several disciplinary solvers
212 are needed to simulate the dynamics of the whole system. This paper uses the NREL
213 series of disciplinary solvers, since they are all publicly available. Table 5 lists the
214 solvers used in this work. Details of the wrapping and coupling of these solvers are
given by Ashuri et al. [18]

Table 5: Computational codes used simulate the wind turbine aeroservoelasticities

Code	Application	Reference
TurbSim	Modeling the flow field	[42]
AeroDyn	Modeling the aerodynamic loading	[43]
AirfoilPrep	Modifying airfoil polar for 3-D effects	[44]
FAST	Modeling the dynamics response of the turbine	[45]
BModes	Computing modal data	[46]
Crunch	Analyzing the time-series	[47]
Fatigue	Computing the fatigue damage	[48]

215

216 **3.2. Controller design**

217 A variable-speed, variable-pitch-to-feather controller is used in this research. The
218 strategy to control the power production is based on the design of two separable control
219 algorithms [49]: a generator torque controller for the partial and transition load region,
220 and a full-span rotor-collective blade pitch controller for the full load region.

221 **3.3. Aerodynamics and structural design definition**

222 The planform of the blade has nonlinear taper from the maximum chord location
 223 at section 6 to the blade tip. The cross section changes from circular in section 1 to
 224 an airfoil shape at section 6. The 20 MW turbine uses eight different airfoil types for
 225 the blade. The first three airfoils near the root have a circular cross section with a
 226 drag coefficients of 0.55 and no lift. The next two airfoils have an elliptic cross section
 227 that has a drag coefficient of 0.39, and no lift. The remaining six airfoils are Delft
 228 University (DU) and NACA airfoils. Table 6 shows the type and location of all airfoils
 229 along the blade.

230 The airfoils are designed for a Reynolds number of 20 million at the clean condition
 231 of the rotor [50]. To do this analysis, we use the airfoil design code RFOIL [51,
 232 52]. Then the methods of Du and Selig [53] and Eggers et al. [54] are used for the
 233 rotational stall delay. The drag coefficient is corrected using the method of Viterna and
 234 Janetzke [44]. Finally, the Beddoes–Leishman dynamic-stall hysteresis parameters are
 235 estimated [55]. AirfoilPrep is used to do these modifications on the airfoil properties
 236 (see Table 5) before running the time domain simulations.

237 The internal structure of the blade consists of a beam box with two spar caps at the
 238 bottom and top, and two shear webs between them as shown in Figure 2, with a skin
 239 surrounding this box. We made no assumptions about the core, adhesive, bonding,
 240 resin, foam and other elements of the blade. However, the contribution of these non-
 241 structural elements to the blade properties have implicitly been included, since blade
 242 mass and stiffness are dependent on the structural dimensions through a correlation
 243 model based on the 5 MW reference turbine.

244 The tower has a circular cross section along the entire height. Table 7 lists the
 245 choice of the materials and their properties for the blade and tower, excluding the
 246 safety factors. These data are based on typical values found in engineering literature.

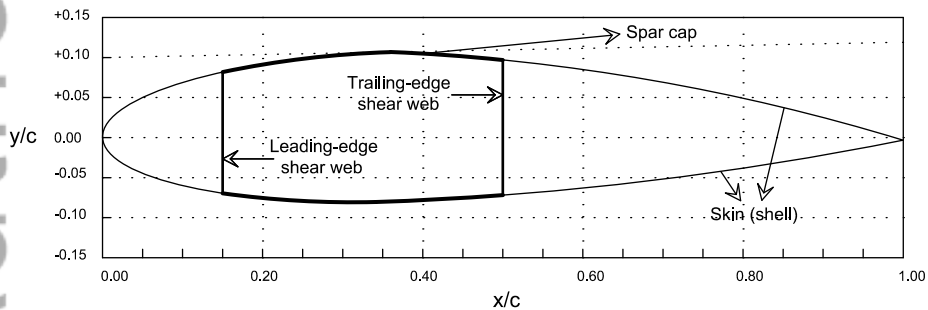


Figure 2: Structural layout of the turbine blade

247 An analytic model developed by Ashuri et al. [56] is used to obtain the flapwise and
 248 edgewise stiffnesses, and the mass per unit length of the blade based on the material
 249

Table 6: Airfoil distribution along the turbine blade span

Section	Airfoil	Distance from root (m)	Pitch axis position (%chord)
1	Circular	0.000	50.0
2	Circular	2.613	50.0
3	Circular	7.020	50.0
4	Elliptic	11.407	46.0
5	Elliptic	15.795	42.0
6	DU00W401	20.182	39.0
7	DU00W401	24.583	37.5
8	DU00W401	28.971	37.5
9	DU00W350	33.358	37.5
10	DU00W350	39.946	37.5
11	DU97W300	53.122	37.5
12	DU91W2250	66.285	37.5
13	DU93W210	79.461	37.5
14	NACA64618	92.623	37.5
15	NACA64618	105.799	37.5
16	NACA64618	118.975	37.5
17	NACA64618	125.550	37.5
18	NACA64618	128.844	37.5
19	NACA64618	132.138	37.5
20	NACA64618	135.000	37.5

250 properties of Table 7 and the geometry of each cross section. The torsional degree of
 251 freedom is assumed to be rigid. These properties are inputs to the aeroelastic solver
 252 and used to model the dynamic response of the blade.

253 A structural damping ratio of 0.477465% (critical in all modes of the isolated
 254 blade) that is equal to a 3.0% logarithmic decrement—similar to the 5 MW UpWind
 255 turbine—is assumed for the blade in the time domain analysis [4]. For the tower,
 256 the structural damping ratio is 1.0% for all the tower modes (first and second of the
 257 fore-aft and side-side modes as used for the simulations).

258 3.4. Design load cases

259 For the fatigue loads, a normal turbulence model (NTM) is selected for the power
 260 production mode, and applied from the cut-in to cut-out wind speed with a reference
 261 period of 630 seconds (the first 30 seconds are ignored to ensure that all the transient
 262 behaviors are damped out). Since the partial damage contribution from all different
 263 directions is accumulated in one direction, the calculated fatigue is an overestimate
 264 and yields a conservative design. Such a unidirectional fatigue damage calculation

265 is also allowed based on IEC design standards because it is conservative. Due to
 266 this assumption, only the fore-aft fatigue damage at the tower is used as a design
 267 constraint, as shown in Table 3.

268 For extreme loads, DLC 1.3, 2.3, 3.3, 5.1, and 6.1 are considered. Table 8 lists the
 269 defined load cases. The IEC-1B class is used for these load cases [57]. For DLC 2.3,
 270 an extreme operating gust combined with a grid drop is considered as the fault.

271 4. Results

272 In this section, we describe the main design characteristics of the 20 MW wind
 273 turbine that resulted from our multidisciplinary design optimization.

274 4.1. Cost estimation

275 Table 9 lists the cost and mass data of the 20 MW wind turbine. As the table
 276 shows, the LCoE of the 20 MW wind turbine is estimated to be 0.0345 USD/kWhr,
 277 with an AEP of 86 GWhr.

278 4.2. Design variables and constraints

279 Table 1 lists the initial, optimum, and upper and lower bounds for all the design
 280 variables. Linear scaling is employed to find the initial set of design variables. The
 281 initial values of the linearly upscaled design variables allow an engineering judgment
 282 to be made on the upper and lower bounds of these variables to establish a design
 283 space that is neither computationally expensive nor to bounded.

284 As explained before, we enforce several design constraints. However, only active
 285 constraints (those that govern the design) are presented. For the blade, the active
 286 constraints are the tip-deflection and fatigue damage at the root. Similarly, for the
 287 optimum tower, fatigue is an active constraint, which is typically the case for structures
 288 subjected to turbulent wind loading. Further information on the design constraint
 289 trends has been detailed in previous work [58, 59, 60, 61, 62, 63]. Table 10 lists the
 290 active design constraints for both the blade and tower at the optimum.

291 4.3. Blade data

292 Figure 3 shows a schematic view of the wind turbine compared to the largest man-
 293 made space rocket, Saturn V, to show the relative scale of the two designs. As the

Table 7: Composite blade and metal tower material properties

Structural element	Young modulus (GPa)	Density (kg/m ³)	Yield stress (MPa)	S-N slope (-)	S-N intercept (MPa)
Blade skin	17	510	276	11	190
Blade web	17	510	276	11	190
Blade spar	32	690	276	11	190
Tower	215	7800	333	5	235

Table 8: Definition of the design load cases based on the IEC standard

Modeled scenario	Load case	Wind speed (m/s)	Yaw error	No. of seeds	Load type
Power generation	1.2	3 to 25	0	9	Fatigue
Power generation	1.3	3 to 25	$\pm 5.6, 0$	9	Ultimate
Power generation and fault	2.3	9 to 13, 25	0	6	Ultimate
Start up	3.3	3, 9 to 13, 25	0	3	Ultimate
Emergency shut down	5.1	9 to 13, 25	0	6	Ultimate
Parked situation	6.1	V_{50}	$\pm 8.0, 0$	6	Ultimate

294 figure shows, the 20 MW wind turbine has three 135 m blades. Table 11 presents the
 295 blade data. The shown mass distribution adds up to a total blade mass of 259.0 tonnes.
 296 The natural frequencies of the blade corresponding to the first and second out-of-plane,
 297 and the first in-plane modes are: 0.2860, 1.0032 and 0.6277 Hz, respectively. Figure 4
 298 shows the chord and twist distribution at different stations along the blade. This
 299 figure also presents the linearly upscaled blade chord and twist distribution. As the
 300 figure shows, the linearly upscaled blade has a uniform distribution compared to the
 301 fully nonlinear distribution of the optimized blade.

302 Figure 5 shows the main aerodynamic properties of the rotor. These aerodynamic
 303 properties are obtained by running a series of simulations from the cut-in to cut-out
 304 wind speeds assuming a steady wind. The first 60 seconds of the simulations was
 305 ignored to ensure that all the transient behaviors were damped out, and the system
 306 reaches its steady state status. Using this steady model, a rated wind speed of 10.7 m/s
 307 is obtained.

308 4.4. Drive train data

309 Table 12 lists the drive train gross properties for the 20 MW wind turbine. The
 310 20 MW design has an optimum rated rotor speed of 7.15 rpm. With a fixed rated
 311 generator speed of 1173.7 rpm, a gearbox ratio of 164:1 is needed. Upscaling the
 312 properties of the 5 MW UpWind design, results in an equivalent spring constant of
 313 6.94×10^9 Nm/rad, and an equivalent damping constant of 4.97×10^7 Nm/(rad/s) for
 314 the drive train.

315 4.5. Nacelle and hub data

316 Table 13 presents the optimal gross data of the hub and nacelle. From the mass
 317 models developed for the hub, we obtain a mass of 252.8 tonnes. We assume that the
 318 hub is made of ductile iron castings and has a spherical shape. Based on the wall
 319 thickness of the hub, the hub mass moment of inertia is 2.1×10^6 kg m². The nacelle
 320 mass (mass of all tower top components except the rotor and hub) is 945.0 tonnes.

Table 9: Cost data for the 20 MW design in 2010 USD

Equipment	Cost ($\times 10^3$ USD)	Mass (tonnes)
Blade	4051.7	259.0
Hub	1456.9	252.8
Pitch system	1945.3	236.0
Hub cone	34.6	4.6
Main shaft	1605.3	159.1
Shaft bearing	1013.4	42.5
Gearbox	4955.5	161.9
Drive train brake	44.4	4.0
Generator	1592.2	59.8
Electronics	1572.8	—
Yaw system	1495.0	176.8
Nacelle frame	752.6	280.8
Nacelle railing	414.2	35.1
Nacelle cover	279.6	23.4
Turbine connection (electrical)	1235.5	—
Cooling and hydraulic system	309.0	1.6
Monitoring and safety system	65.4	—
Tower	3971.0	1588.3
Turbine capital costs (TCC)	34898.2	—
Foundation	290.7	—
Installation	363.1	—
Farm connection (electrical)	838.2	—
Site assessment and permits	934.5	—
Balance of station (BOS)	2426.5	—
Initial capital cost (ICC)	37324.7	—
Levelized replacement cost	249.3	—
Maintenance and operation	108.7	—
Interest rate	0.1185	—
Annual energy production (GWhr)	86.0	—
Levelized cost of energy (USD/kWhr)	0.0345	—

Table 10: Functional constraints of the blade and tower

Description (unit)	Constraint	Optimum
Tip-deflection (m)	≤ 18.3	18.1
Fore-aft fatigue at tower base (-)	≤ 0.70	0.7
Edgewise fatigue at the blade root (-)	≤ 0.70	0.7

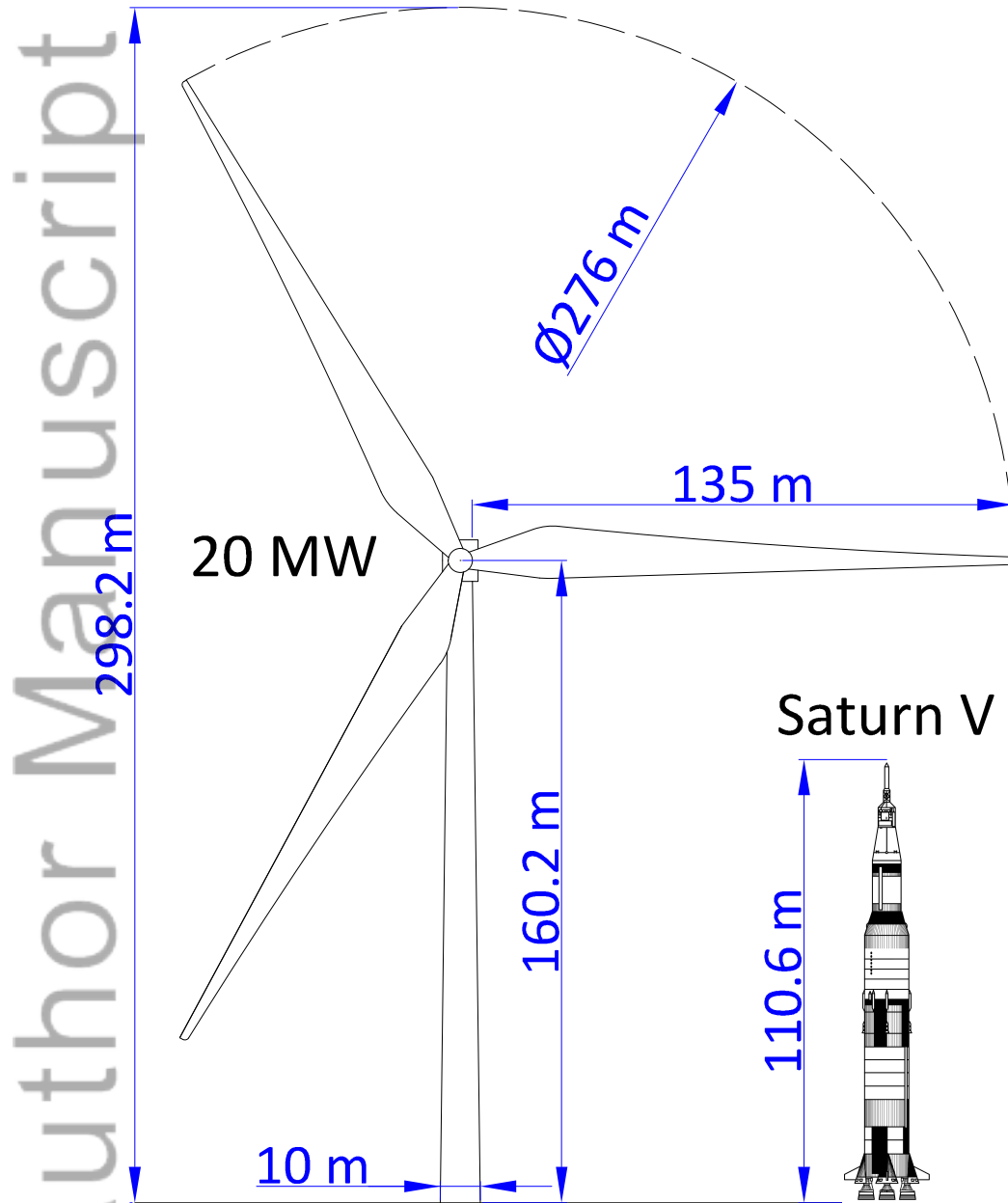


Figure 3: A schematic view of the 20 MW wind turbine and comparison of its size with the Saturn V rocket as the largest space rocket ever made.

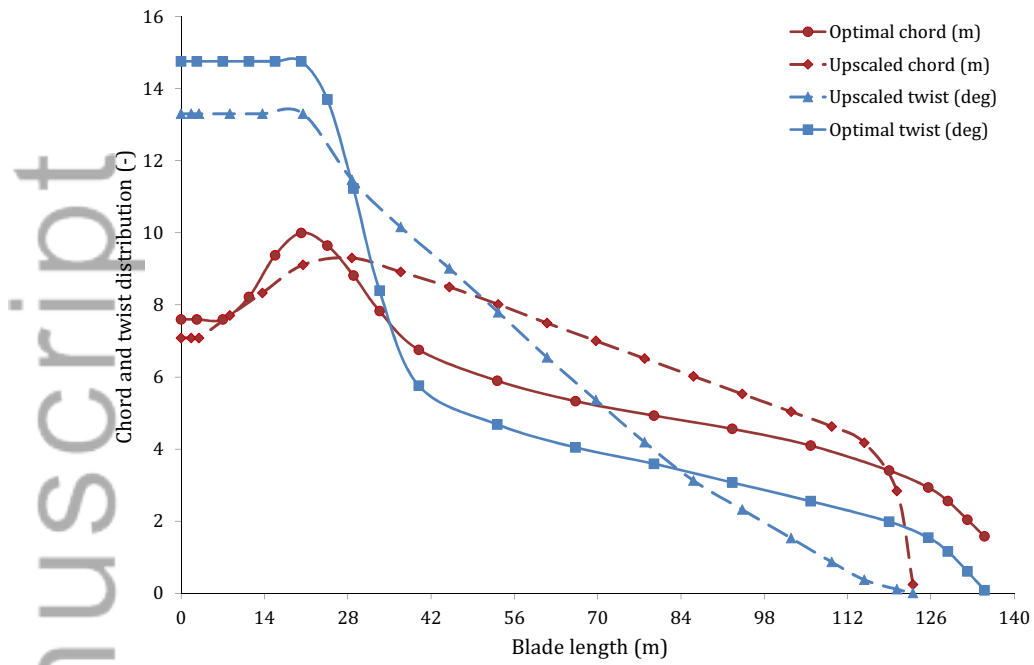


Figure 4: Chord and twist distribution along the span for the linearly upscaled and optimized blades

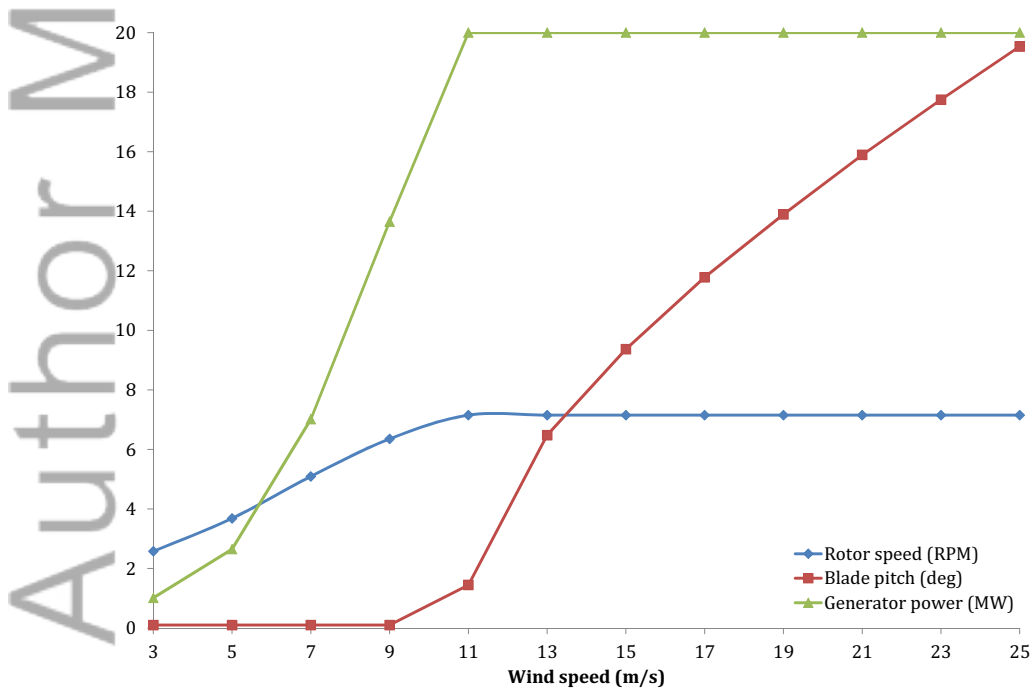


Figure 5: Steady state response for wind speeds from cut-in to cut-out.

Table 11: Blade structural and aerodynamic data

Section No.	Radius (m)	Chord (m)	Twist (deg)	Mass distribution (kg/m ³)	Flap stiffness (Nm ²)	Edge stiffness (Nm ²)
1	0.000	7.600	14.761	2313.552	5.567×10^{11}	5.567×10^{11}
2	2.633	7.600	14.761	2311.491	5.562×10^{11}	5.562×10^{11}
3	7.020	7.600	14.761	4302.129	8.529×10^{11}	9.695×10^{11}
4	11.408	8.222	14.761	4529.572	1.170×10^{12}	1.058×10^{12}
5	15.795	9.378	14.761	4845.071	1.629×10^{12}	1.323×10^{12}
6	20.183	10.000	14.761	3758.496	4.659×10^{11}	9.361×10^{11}
7	24.584	9.650	13.700	3620.345	4.301×10^{11}	8.799×10^{11}
8	28.971	8.819	11.229	2594.361	8.250×10^{10}	4.776×10^{11}
9	33.359	7.829	8.397	2289.785	5.369×10^{10}	3.424×10^{11}
10	39.947	6.755	5.757	1959.583	3.268×10^{10}	2.279×10^{11}
11	53.123	5.895	4.683	1623.365	1.513×10^{10}	1.343×10^{11}
12	66.285	5.330	4.044	1433.568	8.073×10^9	1.056×10^{11}
13	79.461	4.928	3.590	1312.959	6.229×10^9	8.612×10^{10}
14	92.624	4.560	3.069	1204.471	4.828×10^9	7.105×10^{10}
15	105.800	4.095	2.552	1026.201	2.618×10^9	4.166×10^{10}
16	118.976	3.403	1.983	842.216	1.470×10^9	2.361×10^{10}
17	125.550	2.932	1.541	705.200	9.121×10^8	1.460×10^{10}
18	128.844	2.556	1.155	599.282	5.894×10^8	9.385×10^9
19	132.138	2.039	0.602	464.475	2.910×10^8	4.600×10^9
20	135.000	1.575	0.081	350.329	1.316×10^8	2.061×10^9

4.6. Support structure data

The tower and foundation are referred to as the support structure. The soil-structure interaction of the foundation is neglected in this case, and the foundation degrees of freedom at the ground level are constrained to zero. The cost of the foundation system is represented in the design process using engineering models developed by the WindPACT project [26]. These engineering models provide a basis with which the integrity of the design is preserved without losing too much accuracy in representing the cost.

Table 14 lists the distributed tower properties. The first column lists the location of tower stations measured from the tower base (section 1) to the tower top (section 22) along the tower center-line. Using these data, the first and second natural frequencies of the tower are estimated to be 0.1561 and 1.6802 Hz, respectively. As explained before, the diameter to thickness ratio is constrained to be 160 to avoid buckling.

Table 12: Drive train gross properties for the 20 MW wind turbine

Property	Value (unit)
Rated rotor speed	7.15 (rpm)
Gearbox ratio	164 (-)
Low speed shaft mass	159.1 (tonnes)
Low speed shaft tilt	6.0 (deg)
Gearbox mass	161.9 (tonnes)
High speed shaft coupling and brake mass	4.0 (tonnes)
Generator mass	59.8 (tonnes)
Hydraulic and cooling system mass	1.59 (tonnes)

Table 13: Hub and nacelle data of the 20 MW wind turbine

Property	Value (unit)
Hub height	160.2 (m)
Hub mass	252.8 (tonnes)
Hub cone	4.0 (deg)
Hub mass moment of inertia	2.1×10^6 (kg · m ²)
Nacelle mass	945.0 (tonnes)
Nacelle mass moment of inertia	7.7×10^7 (kg · m ²)
Elevation of yaw bearing from tower base	155.0 (m)
Yaw bearing to shaft vertical distance	4.5 (m)
Hub center to yaw axis distance	8.0 (m)

Table 14: Tower data

Section	Height (m)	Diameter (m)	Thickness (m)	Stiffness (Nm ²)
1	0.000	10.000	0.063	5.179×10^{12}
2	3.875	9.918	0.062	5.011×10^{12}
3	11.625	9.748	0.061	4.676×10^{12}
4	19.375	9.571	0.060	4.346×10^{12}
5	27.125	9.388	0.059	4.022×10^{12}
6	34.875	9.197	0.057	3.706×10^{12}
7	42.625	9.000	0.056	3.398×10^{12}
8	50.375	8.788	0.055	3.089×10^{12}
9	58.125	8.559	0.053	2.780×10^{12}
10	65.875	8.321	0.052	2.483×10^{12}
11	73.625	8.080	0.051	2.207×10^{12}
12	81.375	7.845	0.049	1.961×10^{12}
13	89.125	7.622	0.048	1.748×10^{12}
14	96.875	7.420	0.046	1.570×10^{12}
15	104.625	7.233	0.045	1.418×10^{12}
16	112.375	7.053	0.044	1.282×10^{12}
17	120.125	6.880	0.043	1.160×10^{12}
18	127.875	6.714	0.042	1.052×10^{12}
19	135.625	6.556	0.041	9.565×10^{11}
20	143.375	6.406	0.040	8.723×10^{11}
21	151.125	6.266	0.039	7.985×10^{11}
22	155.000	6.200	0.039	7.652×10^{11}

334 4.7. Controller data

335 Table 15 lists the gross controller data. Rated rotational speed is the only pa-
336 rameter in this table that is directly optimized. The cut-in and cut-out wind speeds,
337 maximum actuator rate of the pitch mechanism, and generator slip in the transition
338 region (region 21/2) are fixed based on sound engineering judgments. All the other
339 properties and parameters are found based on the optimized design data. As an ex-
340 ample, the rated tip-speed is calculated by multiplying the optimized values for rated
341 rotational speed and blade length.

Table 15: Controller data

Property	Value (unit)
Cut-in, rated and cut-out wind speed	3, 10.7, 25 (m/s)
Rated tip-speed	103.3 (m/s)
Peak power coefficient	0.48 (-)
Blade pitch angle at peak power	0.0 (deg)
Rated rotational speed	7.15 (rpm)
Rated mechanical power	21.2 (MW)
Generator slip in transition region	10 (%)
Region 2 torque gain constant	0.11 (N.m/rpm ²)
Maximum actuator rate of the pitch mechanism	4.8 (deg/s)

342 Figure 6 shows the variation of the PI gains that balance the changes of the aero-
343 dynamic power as the wind speed changes. A gain correction factor is used to find
344 the values at any point of interest during operation as presented on the left axis of the
345 graph [49].

346 5. Conclusion

347 The design of large wind turbines is a challenging task that calls for innovations
348 in the design methodology. The MDO approach used in the present work is such an
349 innovation. The MDO approach enables aerodynamics, structures, and controls to be
350 integrated to achieve the design of a large wind turbine that has the lowest LCoE
351 and satisfies the design constraints. This is an important step for the development of
352 the future large wind turbines, which must be better designed than they are today
353 in order to reduce costs and make such large turbines economically feasible. This
354 goal was achieved by introducing the LCoE as a common multidisciplinary objective
355 function to minimize, rather than separately optimizing the structure for minimum
356 weight or optimizing aerodynamics for maximum energy output.

357 The linear scaling law is not adequate in providing feasible and size specific opti-
358 mized wind turbines that are needed to investigate the technical feasibility and eco-
359 nomical characteristic of large scale wind turbines. Nonlinear scaling laws can provide
360 a feasible design that also satisfies all the design constraints, but such a design is far

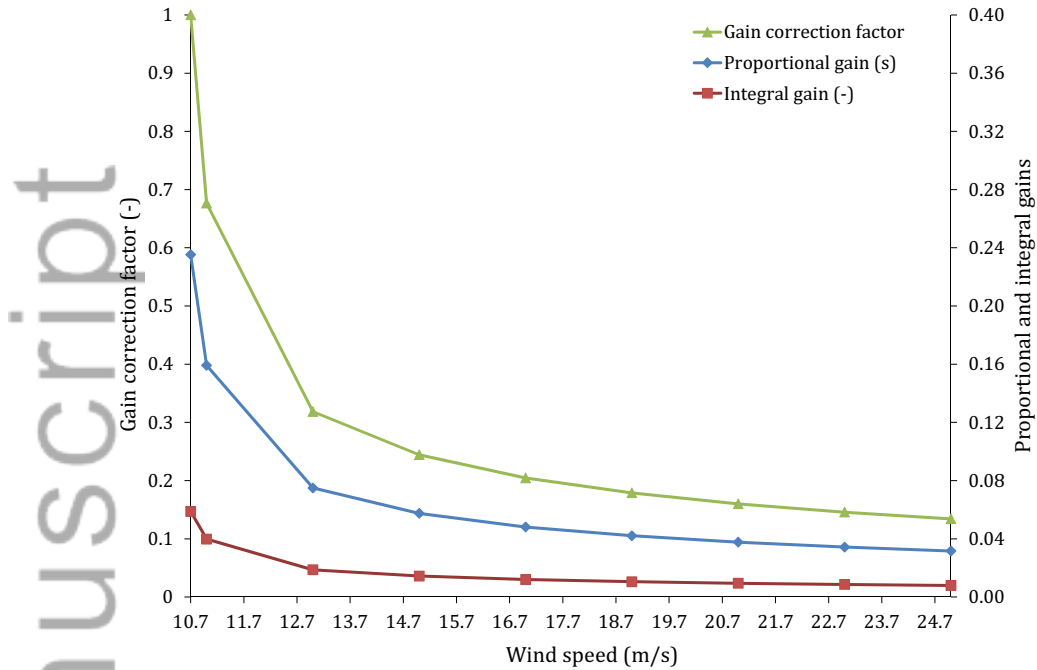


Figure 6: Gain correction and PI gains at different wind turbine operational conditions.

361 from an optimal design [64, 65]. However, the proposed MDO approach was able to
 362 provide a wind turbine optimized for 20 MW power.

363 In addition, instead of using the traditional methodology to design the tower and
 364 rotor separately, the approach of this research enabled the concurrent design of these
 365 components. In this work, blade and tower were designed simultaneously resulting in a
 366 lower LCoE than if each component were designed separately. This enables the designer
 367 to fully understand the technical and economical influence of each component on the
 368 design by computing the derivatives of the design constraints and objective function
 369 with respect to any variable of interest. This means that the designer can see which
 370 variable has the highest impact on any wanted or unwanted function of interest as the
 371 design makes progress.

372 All in all, this has enabled the realization of an optimized 20 MW wind turbine
 373 that is feasible, and the results of this research show the technical feasibility of the
 374 current wind turbine concept up to 20 MW. Judging from the design constraint values,
 375 there seems to be no major technical barrier for this size turbine.

376 The obtained wind turbine can be used as a baseline design to investigate and com-
 377 pare new technologies or design changes for large turbines, and demonstrate the added
 378 value of such turbines. Therefore, the developed 20 MW wind turbine can be used in
 379 a similar way as the 5 MW NREL wind turbine is used today by many researchers
 380 worldwide. All the corresponding data and simulation files of the common 20 MW
 381 research model wind turbine are publicly available to the wind energy community at

382 <https://github.com/tashuri/20MW-wind-turbine-model>.

383 **6. Future work**

384 We believe that this 20 MW wind turbine design is the first step toward the real-
385 ization of larger wind turbines, and that the results of this research will allow other
386 researchers to focus on the detailed design of this turbine and improve it further. There
387 are several areas of improvements in this research in order to have reliable future large
388 wind turbines, which we now describe.

389 To calculate the structural properties of the blade, an analytic technique was em-
390 ployed that did not consider effects such as the bend-twist coupling. A more sophisti-
391 cated method is recommended for the detailed design of the blade. Buckling is a design
392 issue that needs to be considered for the detailed design of the common 20 MW re-
393 search model. We also ignored aeroelastic instabilities and soil-foundation interaction,
394 which should be considered at the detailed design stage.

395 The mass and cost models used for this research are developed for wind turbines
396 at smaller scales. Although these models are well suited for the purpose of this re-
397 search, they may not be representative of future 20 MW wind turbine. Therefore, we
398 recommended the investigation of new models for larger scale wind turbines.

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