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9 **Enamel Ribbons, Surface Nodules, and Octacalcium Phosphate**  
10 **in C57BL/6 Amelx<sup>-/-</sup> Mice and Amelx<sup>+/-</sup> Lyonization**  
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## 1 Abstract

2 **Background.** Amelogenin is required for normal enamel formation and is the most abundant  
3 protein in developing enamel. **Methods.** Amelx<sup>+/+</sup>, Amelx<sup>+/-</sup> and Amelx<sup>-/-</sup> molars and incisors from  
4 C57BL/6 mice were characterized using RT-PCR, Western blotting, dissecting and light  
5 microscopy, immunohistochemistry (IHC), transmission electron microscopy (TEM), scanning  
6 electron microscopy (SEM), backscattered SEM (bSEM), nanohardness testing, and X-ray  
7 diffraction. **Results.** No amelogenin protein was detected by Western blot analyses of enamel  
8 extracts from Amelx<sup>-/-</sup> mice. Amelx<sup>-/-</sup> incisor enamel averaged 20.3±3.3 µm in thickness, or only  
9 1/6<sup>th</sup> that of the wild-type (122.3±7.9 µm). Amelx<sup>-/-</sup> incisor enamel nanohardness was 1.6 Gpa,  
10 less than half that of wild-type enamel (3.6 Gpa). Amelx<sup>+/-</sup> incisors and molars showed vertical  
11 banding patterns unique to each tooth. IHC detected no amelogenin in Amelx<sup>-/-</sup> enamel and varied  
12 levels of amelogenin in Amelx<sup>+/-</sup> incisors, which correlated positively with enamel thickness,  
13 strongly supporting lyonization as the cause of the variations in enamel thickness. TEM analyses  
14 showed characteristic mineral ribbons in Amelx<sup>+/+</sup> and Amelx<sup>-/-</sup> enamel extending from  
15 mineralized dentin collagen to the ameloblast. The Amelx<sup>-/-</sup> enamel ribbons were not well-  
16 separated by matrix and appeared to fuse together, forming plates. X-ray diffraction determined  
17 that the predominant mineral in Amelx<sup>-/-</sup> enamel is octacalcium phosphate (not calcium  
18 hydroxyapatite). Amelx<sup>-/-</sup> ameloblasts were similar to wild-type ameloblasts except no Tomes'  
19 processes extended into the thin enamel. Amelx<sup>-/-</sup> and Amelx<sup>+/-</sup> molars both showed calcified  
20 nodules on their occlusal surfaces. Histology of D5 and D11 developing molars showed nodules  
21 forming during the maturation stage. **Conclusion.** Amelogenin forms a resorbable matrix that  
22 separates and supports, but does not shape early secretory stage enamel ribbons. Amelogenin  
23 may facilitate the conversion of enamel ribbons into hydroxyapatite by inhibiting the formation  
24 of octacalcium phosphate. Amelogenin is necessary for thickening the enamel layer, which helps  
25 maintain ribbon organization and development and maintenance of the Tomes process.

1

2 **Keywords.** Amelogenesis imperfecta, Enamel, Amelogenin, Octacalcium Phosphate,

3 Amorphous Calcium Phosphate

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## 1 **Introduction**

2 Amelogenin is the most abundant protein in secretory stage enamel and is specialized for  
3 amelogenesis (Fincham et al., 1999b). In humans, there are two non-allelic amelogenin genes: on  
4 the X (AMELX; OMIM \*300391) and Y (AMELY; OMIM \*410000) chromosomes, although the  
5 copy on the Y chromosome is expressed at relatively low levels and is not critical for proper  
6 dental enamel formation (Lau et al., 1989, Salido et al., 1992, Hu et al., 2012, Lattanzi et al.,  
7 2005). AMELX is nested within the large (>400 kb) first intron of ARHGAP6 (OMIM \*300118)  
8 and is transcribed in the opposite direction (Schaefer et al., 1997). In rodents there is only a  
9 single copy of the amelogenin gene (*Amelx*), and targeted interruption of this gene in mice  
10 resulted in an amelogenesis imperfecta phenotype (Gibson et al., 2001). To date, 19 different  
11 genetic defects in AMELX have been reported to cause X-linked amelogenesis imperfecta (AI)  
12 (OMIM #301200) (Kim et al., 2004, Sekiguchi et al., 2001b, Lagerstrom-Fermer et al., 1995,  
13 Lagerström et al., 1990, Lagerström et al., 1991, Cho et al., 2014, Lench and Winter, 1995,  
14 Aldred et al., 1992, Lench et al., 1994, Kida et al., 2007, Wright et al., 2011, Collier et al., 1997,  
15 Hart et al., 2000, Ravassipour et al., 2000, Chan et al., 2011, Hart et al., 2002, Sekiguchi et al.,  
16 2001a, Greene et al., 2002, Lee et al., 2011, Kindelan et al., 2000) (S1 Appendix), which occurs  
17 in the absence of any phenotype except in enamel. A telltale phenotype of X-linked AI is that  
18 heterozygous females often exhibit vertical bands of hypoplastic enamel alternating with bands  
19 of normal or less severely affected enamel, while affected males exhibit a uniformly thin layer of  
20 defective enamel. The distinctive vertical banding of the enamel in heterozygous females is  
21 thought to be caused by mosaicism of ameloblast cohorts with respect to functional amelogenin  
22 expression, which in turn is secondary to random X-chromosome inactivation earlier during  
23 development (lyonization) (Lyon, 1961, Witkop, 1967). Vertical banding of the enamel is also

1 observed in focal dermal hypoplasia (OMIM #305600), an X-linked dominant condition with  
2 male lethality that is caused by heterozygous mutations in PORCN (OMIM \*300651) (Gysin and  
3 Itin, 2015).

4 Amelogenin is specialized for dental enamel formation. Amelogenin is expressed by the  
5 ameloblast lineage starting just before the initial mineralization of dentin, while its expression  
6 terminates early in the maturation stage (Inai et al., 1991, Wakida et al., 1999, Snead et al., 1988,  
7 Hu et al., 2001, Wurtz et al., 1996). Amelogenin is transiently expressed by young odontoblasts,  
8 but this expression ends after the onset of dentin mineralization (Karg et al., 1997). Amelogenin  
9 is not expressed by Hertwig's Epithelial Root Sheath (Luo et al., 1991), along developing tooth  
10 roots (Hu et al., 2001), or by Epithelial Rests of Malassez either under normal conditions or  
11 following a periodontal challenge (Nishio et al., 2010). No amelogenin expressed sequence tags  
12 (EST) were identified among the 3.32 million ESTs reported for normal human tissues  
13 (Hs.654436), which did not sample developing teeth. Only one amelogenin EST was identified  
14 out of over 3.36 million ESTs (Mm.391342) characterized from mouse tissues (excluding  
15 developing molars). Inactivating Amelx mutations have been observed in all edentulous  
16 vertebrate genomes yet examined (including birds, turtles and multiple mammalian species), as  
17 well as in the genomes of enamel-less mammals (sloth, armadillo, and aardvark) (Meredith et al.,  
18 2014).

19 Amelogenin belongs to the secretory calcium-binding phosphoprotein (SCPP) family of  
20 proteins that arose from the 5' region of ancestral Sparcl1 (SPARC-like 1) (Kawasaki et al.,  
21 2004). Most SCPP genes (including AMEL) have all of their exons separated by phase 0 introns,  
22 so inclusion or deletions of exon(s) by alternative splicing does not shift the reading frame.  
23 Multiple alternatively spliced amelogenin transcripts have been identified by RT-PCR of RNA

1 isolated from the enamel organ epithelia of developing teeth from many mammalian species  
2 (Gibson et al., 1991, Lau et al., 1992, Salido et al., 1992, Hu et al., 1996, Ryu et al., 1998) and  
3 even from an amphibian (Wang et al., 2013). When alternative splicing causes the inclusion of a  
4 novel sequence, such as Exon 4 (Simmer et al., 1994a), or Exons 8 and 9 (Li et al., 1998, Bartlett  
5 et al., 2006) in rodents, antibodies have identified the amelogenin variants translated from these  
6 transcripts. However, the functional importance (if any) of amelogenins translated from  
7 alternatively spliced transcripts is unknown (Sire et al., 2012). Despite the heterogeneity of  
8 amelogenin transcripts, there is typically a predominant mRNA transcript that encodes the  
9 “major” amelogenin secreted protein (isoform), which has about 180 amino acids, is about 25%  
10 proline and 15% glutamine in amino acid composition with a single phosphoserine (usually  
11 Ser<sup>16</sup>), no glycosylations, and may be divided into 3 folding units (Goto et al., 1993).  
12 Amelogenins have conserved N- and C-terminal sequences, but the middle segment of the  
13 protein is often expanded by repetitive sequences that do not seem to interfere with amelogenin  
14 function. Examples include the bovine (197 amino acids) (Shimokawa et al., 1987) and opossum  
15 (202 amino acids) (Ryu et al., 1998) major amelogenin proteins. The most consistently observed  
16 alternatively spliced amelogenin transcript encodes LRAP (Leucine Rich Amelogenin Protein),  
17 which is expressed at low levels relative to the major amelogenin and essentially deletes the  
18 middle segment (Yuan et al., 1996).

19 When the mouse amelogenin gene was replaced with the cDNA encoding only the major  
20 amelogenin (which could not undergo alternative splicing to generate any of the other  
21 amelogenin isoforms), there were no discernable alterations in enamel architecture, incisor  
22 morphology, or in the capacity to masticate food (Snead et al., 2011). A statistically significant  
23 increase in enamel hardness and decrease in toughness were detected, but these differences did



1 not alter the functionality of the enamel in any detectable way. Amelogenins translated from  
2 alternatively spliced transcripts may simply do no harm when expressed at low levels.  
3 Overexpression of alternatively spliced amelogenin transcripts can be harmful. A splice junction  
4 mutation that increased the inclusion of the normally skipped Exon 4 resulted in X-linked  
5 amelogenesis imperfecta (Cho et al., 2014). There have been many reports claiming the  
6 importance of selected amelogenin alternative splicing products, but the production of fully  
7 functional enamel in knock-in mice that only express the major amelogenin isoform argues  
8 otherwise.

9 Amelogenin is partially degraded following its secretion (Fincham et al., 1991). Amelogenin  
10 cleavage products accumulate in secretory stage enamel, and are slowly reabsorbed into  
11 ameloblasts. Matrix metalloproteinase 20 (MMP20) (Bartlett et al., 1996) is a tooth specific  
12 protease that is secreted concurrently with amelogenin and cleaves amelogenin in vitro at the  
13 same sites that amelogenin is known to be cleaved in vivo (Ryu et al., 1999). In *Mmp20*<sup>-/-</sup> mice,  
14 which exhibit severe enamel defects, only intact amelogenins and ameloblastin are observed in  
15 the secretory-stage enamel (Yamakoshi et al., 2011).

16 The major mouse amelogenin (M180) interacts with LAMP1, while CD63 interacts with  
17 multiple amelogenins, ameloblastin and enamelin (Zou et al., 2007). The amelogenin receptors  
18 LAMP1 and CD63 are membrane markers for late endosomes and lysosomes, and participate in  
19 endocytosis (Shapiro et al., 2007), which is a vital process that is necessary for the resorption of  
20 all secreted enamel proteins. Blocking LAMP3 decreased amelogenin uptake, suggesting it too  
21 facilitates amelogenin reabsorption and degradation (Xu et al., 2008).

22 Previous studies of amelogenin null mice were conducted on mice maintained in a mixed  
23 genetic background (C57BL/6 x 129/SvJ) (Gibson et al., 2001, Hatakeyama et al., 2003);

1 however, genetic background has since been shown to have a significant influence on dental  
2 phenotype (Li et al., 2013). Average enamel thickness and enamel mineral density both varied  
3 significantly among wild-type and  $Amelx^{-/-}$  mice of different genetic backgrounds. In this study  
4 we bred the  $Amelx$  null gene into the C57BL/6 background and expanded the characterization of  
5 the  $Amelx^{-/-}$  and  $Amelx^{+/-}$  mice to improve our understanding of the roles amelogenin plays  
6 during amelogenesis. In the amelogenin knockout mouse, only Exon 2 was replaced (Gibson et  
7 al., 2001). This exon encodes the transcription initiation codon, the signal peptide, the signal  
8 peptide cleavage site, and the two N-terminal amino acids of the secreted protein. Exon 2 is  
9 found on all amelogenin mRNA transcripts, is not normally skipped by alternative splicing, and  
10 is critical for amelogenin expression and secretion. In the  $Amelx$  knockout mouse the altered  
11 Exon 2 is frequently deleted during splicing, but the resulting transcripts do not generate an  
12 amelogenin protein product, so the  $Amelx^{-/-}$  mice are functionally amelogenin null mice (Gibson  
13 et al., 2001).

14

## 15 **Materials and Methods**

### 16 **Ethical Compliance**

17 All procedures involving animals were reviewed and approved by the IACUC committee at the  
18 University of Michigan (UCUCA).

19

### 20 **Breeding the $Amelx$ knockout gene into the C57BL/6 background**

21  $Amelx$  null mice in the C57BL/6-129/SvJ mixed genetic background (Gibson et al., 2001) were  
22 mated with C57BL/6 mice for at least 7 generations to obtain  $Amelx^{-/-}$ ,  $Amelx^{+/-}$ , and  $Amelx^{+/+}$   
23 mice in the C57BL/6 background. Genotyping was done using primers annealing to Exon 2  
24 (CATGGGGACCTGGATTTTGTGTTG) and Exon 6 (TCCCGCTTGGTCTTGTCTGTGCGCT).

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## **Dissecting microscopy**

Seven-week old mice were anesthetized with isoflurane, sacrificed, and perfused with 4% paraformaldehyde (PFA) for 10 m. Their mandibles were denuded of soft tissues, post-fixed by immersion in 4% PFA, overnight, and rinsed with phosphate-buffered saline (PBS) three times, for 5 min each. The teeth were cleaned with 1% bleach (sodium hypochlorite), rinsed with PBS, air dried, displayed on the Nikon SMZ1000 dissection microscope, and photographed using a Nikon DXM1200 digital camera.

## **Protein extraction and analyses from mouse molars**

The protocol used for the mouse molars protein extraction and analysis were previously described (Yamakoshi et al., 2011). Postnatal day 5 (D5) first molars were extracted and separated into soft tissue containing the enamel organ epithelia (EOE) and the dental hard tissue. The hard tissue from the 4 molars collected from each mouse was incubated in 1 mL of 0.17 N HCl/0.95% formic acid for 2 h at 4 °C. Undissolved material was removed by centrifugation. The supernatant containing the crude protein extract in strong acid buffer was exchanged with 0.01% formic acid using a centrifugal 3K-filter unit (UFC800324; Amicon by EMD Millipore, Billerica, MA). The EOE was incubated in NP40 Cell Lysis Buffer (Thermo Fisher Scientific) with protease inhibitors, sonicated, and incubated in 0.5% formic acid overnight. These samples were used for sodium dodecyl sulfate polyacrylamide gel electrophoresis (SDS-PAGE), Coomassie Brilliant Blue (CBB) staining, and amelogenin immunoblotting. The amount of protein applied per 1X lane for SDS-PAGE was 1/6 of a tooth. Polyclonal rabbit anti-full-length mouse recombinant amelogenin antibody (rM179; 1:2000) was used for amelogenin

1 immunostaining, and ECL prime western blotting detection reagent (RPN2232; GE Healthcare  
2 Life Sciences, Piscataway, NJ) was used for visualization.

3  
4 **RT-PCR analysis of amelogenin expression**

5 The first molars of 6-day old Amelx<sup>+/+</sup> and Amelx<sup>-/-</sup> mice were extracted, manually homogenized,  
6 and RNA isolated using the Dynabeads mRNA DIRECT™ Micro Kit (Thermo Fisher Scientific,  
7 Waltham, MA). First strand cDNA was synthesized at 42 °C for 25 min using an oligo(dT)<sub>16</sub>  
8 primer. PCR amplification was performed using primers for Exon 2  
9 (AATGGGGACCTGGATTTTGTGG) and Exon 6 (TCCCGCTTGGTCTTGTCTGTCGCT)  
10 using the GeneAmp RNA PCR core kit (PE Biosystems, Foster, CA).

11  
12 **Backscattered Scanning Electron Microscopy (bSEM)**

13 The bSEM procedures were described previously (Smith et al., 2011b). Left and right hemi-  
14 mandibles of 7-week old Amelx<sup>+/+</sup>, Amelx<sup>+/-</sup>, and Amelx<sup>-/-</sup> mice were dissected free of soft tissue.  
15 The hemi-mandibles were dehydrated with an acetone series (30%, 50%, 70%, 80%, 90%,  
16 100%), embedded in epoxy, cross-sectioned at 1 mm increments along their lengths, and  
17 characterized by bSEM at each level. Level 8 cross-sections, which are even with the buccal  
18 crest of alveolar bone, were used to measure enamel thickness and nanohardness.

19 Whole surface incisor imaging was done at 7-weeks and whole surface molar imaging was  
20 performed at D14 on Amelx<sup>+/+</sup>, Amelx<sup>+/-</sup>, and Amelx<sup>-/-</sup> mice. For incisor imaging, the soft tissue  
21 and bony caps covering the mandibular incisors were removed, and the incisors were examined  
22 at 50X magnification using a Hitachi S-3000N variable pressure scanning electron microscope in  
23 the backscatter mode at 25 kV and 20 pascal pressure.

1 For molar imaging, Amelx<sup>+/+</sup>, Amelx<sup>+/-</sup>, and Amelx<sup>-/-</sup> mouse molars were prepared as  
2 follows: D14 mandibles were submerged in 4% PFA overnight, and the following day, hemi-  
3 mandibles were carefully dissected of soft tissues, submerged in 1% NaClO for 20 min, rinsed,  
4 and dehydrated using an acetone series (as described above). The hemi-mandibles were mounted  
5 on metallic stubs using conductive carbon cement, then examined using a Hitachi S-3000N  
6 variable pressure scanning electron microscope (Century City, Los Angeles, CA) in the  
7 backscatter mode.

### 8 9 **Scanning electron microscopy (SEM)**

10 SEM evaluation was performed at the University of Michigan Microscopy and Image Analysis  
11 Laboratory (Ann Arbor, MI). Acetone-dehydrated, air-dried hemi-mandibles and mandibular  
12 incisors from 7-week old Amelx<sup>+/+</sup>, Amelx<sup>+/-</sup>, and Amelx<sup>-/-</sup> mice were fractured at Level 8,  
13 mounted on metallic stubs using conductive carbon cement, and sputter-coated with an Au-Pd  
14 film to increase conductivity. An Amray EF 1910 Scanning Electron Microscope operating at an  
15 accelerating voltage of 5 kV was used to image the samples.

### 16 17 **Nanohardness testing**

18 Hemi-mandibles from Amelx<sup>+/+</sup>, Amelx<sup>+/-</sup>, and Amelx<sup>-/-</sup> mice were collected at 7-weeks. Left and  
19 right hemi-mandibles Amelx<sup>+/+</sup>, Amelx<sup>+/-</sup>, and Amelx<sup>-/-</sup> mice were dissected free of soft tissue, the  
20 hemi-mandibles were dehydrated with an acetone series (30%, 50%, 70%, 80%, 90%, 100%),  
21 and embedded in epoxy. The embedded hemi-mandibles/incisors were cut transversely at the  
22 level of the labial alveolar crest (Level 8) and re-embedded in Castolite AC in 25 mm SeriForm  
23 molds (Struers Inc, Westlake, OH). The incisor cross-sections were successively polished with  
24 400, 800, and 1200 grit waterproof silicon carbide papers, followed by polishing with 1 micron

1 diamond paste. Nanohardness testing was done using a Hysitron 950 Triboindenter with a  
2 nanoDMA transducer and Berkovich probe, and the nano-indentations were analyzed using  
3 Triboscan 9 software (University of Michigan Center for Materials Characterization).

#### 4 5 **Transmission Electron Microscopy (TEM)**

6 Seven-week old Amelx<sup>+/+</sup>, Amelx<sup>-/-</sup>, and Enam<sup>-/-</sup> mice were deeply anesthetized using isoflurane  
7 and perfused with 5% glutaraldehyde in sodium cacodylate buffer for 20 min. Mandibles were  
8 dissected, cleansed of soft tissue, post-fixed with 1% reduced osmium tetroxide for 2 h, and  
9 dehydrated using an acetone gradient. Mandibular incisors were sectioned into 70 nm sections  
10 and floated on oil using an ultrathin microtome. The sections were stained with uranyl acetate,  
11 then lead citrate, and viewed by TEM using a Philips CM-100 transmission electron microscope  
12 (University of Michigan Microscopy & Image Analysis Laboratory).

#### 13 14 **Incisor histology**

15 Amelx<sup>+/+</sup>, Amelx<sup>+/-</sup>, and Amelx<sup>-/-</sup> mice at 7-weeks were deeply anesthetized with isoflurane, fixed  
16 by cardiac perfusion with 5% glutaraldehyde in 0.1 M sodium cacodylate buffer (pH 7.2-7.4)  
17 containing 0.05% calcium chloride, post fixed for 2 h at 4 °C, and rinsed 3x for 15 min each with  
18 0.1 M sodium cacodylate buffer. The samples were decalcified at 4 °C by immersion in 1 L of  
19 4.13% disodium ethylenediaminetetraacetic acid (EDTA, pH 7.3), with agitation, and the EDTA  
20 solution was changed every other day for 30 days. The samples were then washed in PBS at 4 °C  
21 4–5 times every 0.5-1 hour, washed overnight, post-fixed for 2 h in 1% osmium tetroxide in  
22 1.5% potassium ferrocyanide, and dehydrated using an acetone gradient. The samples were then  
23 embedded in Epon812 substitute and semi thin-sectioned and stained with 0.1% toluidine blue as  
24 described elsewhere (Smith et al., 2011a). At least 3 mandibular incisors were processed for

1 longitudinal sectioning, and 3 mandibular incisors were processed for cross sectioning at 1 mm  
2 increments for amelogenin immunohistochemistry.

### 3 4 **Amelogenin immunohistochemistry**

5 Seven-week mandibular incisor cross-sections were collected as described above underwent  
6 regular immunostaining and image processing as previously described (Wang et al., 2014). The  
7 primary antibody was polyclonal rabbit anti-full-length mouse recombinant amelogenin antibody  
8 (rM179; 1:2000), and the secondary antibody was anti-rabbit IgG conjugated with Alexa Fluor  
9 488 (1:500, A11034; Invitrogen, Carlsbad, CA).

### 10 11 **Molar histology**

12 This histology protocol was previously described (Wang et al., 2015). Day 5 and 11 mouse heads  
13 were quickly dissected free of skin, cut in half, and immersed in 4% PFA fixative overnight at 4  
14 °C, washed in PBS 4–5 times (every 0.5-1 h) at 4 °C, and decalcified at 4 °C by immersion in 1  
15 L of 4.13% disodium EDTA (pH 7.3) with agitation. The EDTA solution was changed every  
16 other day for 8 to 9 days for D5 mice and 19–21 days for D11 mice. The samples were washed in  
17 PBS at 4 °C 4–5 times (every 0.5–1 h) followed by one overnight wash. The samples were  
18 dehydrated using a graded ethanol series followed by xylene, embedded in paraffin, sectioned at  
19 5 µm thickness, spread on a water bath (52 °C), loaded on plus gold glass slides (Thermo Fisher  
20 Scientific), dried at room temperature overnight, and stained with hematoxylin and eosin (H&E)  
21 stain.

### 22 23 **X-ray Diffraction**

24 Incisors from 7-week old Amelx<sup>+/+</sup> and Amelx<sup>-/-</sup> mice were dissected free of the mandibles  
25 immediately after sacraficing and freeze dried using liquid nitrogen, embedded in Castolite AC

1 (Eager Polymers, Chicago, Il) and cross-sectioned at Level 6, which is ~6 mm from the basal end  
2 of the incisor, and the 1 mm basally and mounted for X-ray analyses. X-ray diffraction  
3 measurements were performed at the Advanced Photon Source (APS) at the Argonne National  
4 Laboratory, using the hard X-ray microdiffraction facility at Beamline 2-ID-D (Cai et al., 2000).  
5 The X-ray radiation used in this study was generated from a 7 GeV electron beam and an APS  
6 undulator A (Dejus et al., 2002) in the storage ring. X-rays with energy of 10.1 keV (wavelength  
7 = 0.1228 nm) were selected by a double-crystal Si <111> monochromator. Through a gold zone-  
8 plate focusing optics, the X-ray beam was focused into a spot of size 200 nm and delivered to the  
9 sample with a flux  $\sim 3 \times 10^9$  photons  $s^{-1}$  (Cai et al., 2000). The sample was mounted on and its  
10 angular position was manipulated by a six-circle kappa geometry diffractometer (Libera et al.,  
11 2002). A Rayonix Mar165 CCD detector, with 2048 x 2048 pixels and 80-micron pixel size, was  
12 mounted about 80 mm downstream of the sample to collect diffraction signals.

13

## 14 **Results**

15 The C57BL/6-129/SvJ Amelx null mice were crossed with C57BL/6 mice for a minimum of 7  
16 generations. The mice of all three genotypes ( $Amelx^{-/-}$ ,  $Amelx^{+/-}$  and  $Amelx^{+/+}$ ) were healthy,  
17 viable, and fertile. For the initial assessment,  $Amelx^{-/-}$ ,  $Amelx^{+/-}$  and  $Amelx^{+/+}$  incisors at 7-weeks  
18 were photographed, the mandibles were stripped of soft tissue, photographed and radiographed,  
19 and the incisors and molars were photographed under a dissecting microscope (Fig. 1; S2  
20 Appendix). The  $Amelx^{-/-}$  enamel was thin and smooth, and had undergone significant post-  
21 eruption attrition on the labial surface of the mandibular incisors and on the molar cusp tips (Fig.  
22 1, top). The  $Amelx^{-/-}$  and  $Amelx^{+/+}$  mice could be easily distinguished from each other simply by  
23 inspecting their enamel. The  $Amelx^{+/-}$  genotype is only possible in females, as the amelogenin



1 gene localizes to the X-chromosome (so males have only one copy of the amelogenin gene in  
2 mice). The Amelx<sup>+/-</sup> enamel was visibly thicker than the Amelx<sup>-/-</sup> enamel, and did not undergo  
3 significant attrition, but their molars and incisors exhibited a characteristic vertical banding  
4 pattern caused by alternating bands of thick and thin enamel (Fig 1, bottom). The Amelx<sup>+/-</sup>  
5 genotype in most females could be accurately inferred by a vertical banding pattern on the  
6 enamel; however, the Amelx<sup>+/-</sup> enamel on any given incisor could exhibit the full spectrum of  
7 enamel phenotypes: from thin (like Amelx<sup>-/-</sup> enamel), banded, or apparently normal (like Amelx<sup>+/+</sup>  
8 enamel). There was no apparent recession of alveolar bone or loss of periodontal attachment, and  
9 radiographs revealed normal root form for the Amelx<sup>-/-</sup> and Amelx<sup>+/-</sup> mice relative to the wild-  
10 type (Amelx<sup>+/+</sup>) mice.

11 Western blot analyses were performed on proteins extracted from D5 first molars, which  
12 confirmed that no amelogenin protein was synthesized in Amelx<sup>-/-</sup> mice (S3A Appendix) (Gibson  
13 et al., 2001). RT-PCR of RNA isolated from tissue surrounding the first molar roots of 6-month-  
14 old mice detected no amelogenin transcripts, while the control using RNA isolated from D5 first  
15 molar enamel organ epithelia (EOE) was positive (S3B Appendix). This confirms previous  
16 results showing no amelogenin expression during tooth root development (Luo et al., 1991, Hu et  
17 al., 2001, Nishio et al., 2010).

## 18 19 Mandibular Incisors

20 Longitudinal sections of mandibular incisors were characterized by light microscopy (Fig. 2 and  
21 S4-S8 Appendix). Secretory stage ameloblasts were similar in Amelx<sup>+/+</sup>, Amelx<sup>+/-</sup> and Amelx<sup>-/-</sup>  
22 mandibular incisors and did not suffer the kinds of major pathological changes that were evident  
23 in the Enam and Ambn knockout mice (Hu et al., 2011, Fukumoto et al., 2004); however, Tomes  
24 processes were not observed penetrating into the surface of the thin Amelx<sup>-/-</sup> enamel.

1 Immunohistochemistry of Amelx<sup>+/+</sup>, Amelx<sup>+/-</sup> and Amelx<sup>-/-</sup> mandibular incisor cross-sections using  
2 affinity-purified polyclonal antibodies raised against recombinant mouse amelogenin (Simmer et  
3 al., 1994b) confirmed the absence of amelogenin protein in Amelx<sup>-/-</sup> enamel (Fig. 3). Amelogenin  
4 levels varied in the Amelx<sup>+/-</sup> incisors, with more amelogenin apparent where the enamel had  
5 grown thicker, and almost no amelogenin where the enamel was very thin. These observations  
6 support the hypothesis that variations in the thickness of enamel in heterozygous females with X-  
7 linked amelogenesis imperfecta is the result of lyonization.

8 The enamel surfaces of mandibular incisors were examined by backscattered electron  
9 microscopy (Fig. 4). The Amelx<sup>+/+</sup> enamel surface was smooth and even, except basally in the  
10 region corresponding to early enamel formation. The Amelx<sup>+/-</sup> enamel surface was typically  
11 rough with longitudinal grooves of thin enamel alternating with ridges of thicker enamel. These  
12 grooves extended all the way from the basal to incisal ends of the mandibular incisor, indicating  
13 that they originally formed during the secretory stage. Surface nodules, or mineralized bumps on  
14 the enamel surface, were often arrayed longitudinally along the maturation stage enamel surface,  
15 particularly near a junction where a depression met a ridge. The nodules were only rarely  
16 observed in secretory stage enamel. Attrition of the enamel at the incisal edge was always  
17 observed in Amelx<sup>-/-</sup> mandibular incisors. Inspection of Amelx<sup>+/+</sup>, Amelx<sup>+/-</sup>, and Amelx<sup>-/-</sup>  
18 mandibular molar roots by SEM did not detect any significant root resorption, although root  
19 resorption was evident in the original F3 (mixed background) molars, including the wild-type  
20 mice (S9 Appendix). This finding of no defects on the roots of C57BL/6 Amelx<sup>-/-</sup> mice is  
21 consistent with previous data showing that amelogenin is not expressed during tooth root  
22 formation, that dentin, cementum and the periodontal ligament are all structurally normal in the  
23 Arhgap6/Amelx double null mice (Prakash et al., 2005), and the lack of amelogenin selection

1 pressure in enamel-less mammals that still make teeth attached by a periodontal ligament  
2 (Meredith et al., 2014).

3 Amelx<sup>-/-</sup>, Amelx<sup>+/-</sup>, and Amelx<sup>+/+</sup> incisors at 7-weeks were cross-sectioned at 1 mm  
4 increments along their lengths and each level was characterized by bSEM (S10-S19 Appendix).  
5 Level 8 sections from this series are shown in Fig. 5. Level 8 was chosen for display because this  
6 section is even with the labial crest of alveolar bone, where enamel maturation is advanced, but  
7 where this portion of the incisor has not yet erupted into the oral cavity where it might be altered.  
8 The Amelx<sup>-/-</sup> enamel layer was uniformly thin and most highly mineralized near the dentino-  
9 enamel junction (DEJ). The enamel away from the DEJ varied in density but was clearly less  
10 dense than normal enamel. The Amelx<sup>-/-</sup> enamel layer was not homogeneous in density, but  
11 appeared to have a repeating substructure, so that the cross-sectioned enamel layer resembled a  
12 picket fence. This pattern gave the impression that the enamel was comprised of short enamel  
13 rods, but this was only an impression, as decussating rods like those found in wild-type enamel  
14 were not observed in Amelx<sup>-/-</sup> enamel. The Amelx<sup>+/-</sup> enamel was characterized by random  
15 variations in thickness, ranging from being as thin as Amelx<sup>-/-</sup> enamel to as thick as Amelx<sup>+/+</sup>  
16 enamel in different locations on a single tooth. Where the enamel was thick, it reached high  
17 density like normal enamel, and exhibited decussating rod structures. In early maturation stage  
18 bSEM sections of Amelx<sup>+/-</sup> incisors (S14-S18 Appendix), before the enamel had matured and  
19 reached a more uniform density, the enamel often showed two distinct layers. In some cases the  
20 differences between these two layers appeared to reflect the normal distinction between  
21 inner/middle enamel and the outer enamel. In other cases the most superficial mineralized layer  
22 looked more pathological, like that of surface nodules.

1 Amelx<sup>-/-</sup> and Amelx<sup>+/+</sup> Level 8 cross-sections were used to measure enamel thickness and  
2 nanohardness. Enamel thickness was measured at the height of contour of 6 Amelx<sup>-/-</sup> and 6  
3 Amelx<sup>+/+</sup> incisors (S20 Appendix). The wild-type enamel averaged 122.3±7.9 μm in thickness;  
4 the null enamel averaged 20.3±3.3 μm. Thus the null enamel was only 1/6<sup>th</sup> as thick as the wild-  
5 type enamel. The Amelx<sup>+/-</sup> enamel thickness was too variable to measure.

6 Dentin (near the DEJ) and enamel nanohardness measurements were made at various  
7 intervals on Amelx<sup>+/+</sup>, Amelx<sup>+/-</sup>, and Amelx<sup>-/-</sup> Level 8 incisor cross-sections (Fig. 6; S21  
8 Appendix). Dentin was tested at spaced intervals near the DEJ (Fig. 6 A-E for Amelx<sup>+/+</sup> and a-e  
9 for Amelx<sup>+/-</sup>). Enamel was also tested at spaced intervals, with separate measurements obtained  
10 for the inner, middle, and outer enamel when the enamel layer was sufficiently thick to allow it.  
11 The average hardness values for Amelx<sup>+/+</sup>, Amelx<sup>+/-</sup>, and Amelx<sup>-/-</sup> enamel were 3.63±0.75,  
12 3.46±0.91, and 1.61±0.80 gigapascal (Gpa), respectively. The Amelx null enamel was softer  
13 away from the cervical margins (1.22±0.59 Gpa). Thus the overall Amelx<sup>-/-</sup> enamel hardness  
14 score was only half that of the wild-type, while the hardness score of the enamel away from the  
15 cervical margins was only 1/3<sup>rd</sup> that of the wild-type. The average hardness values for dentin  
16 near the DEJ was similar in the Amelx<sup>+/+</sup>, Amelx<sup>+/-</sup>, and Amelx<sup>-/-</sup> incisors: 1.41±0.14, 1.39±0.10  
17 and 1.32±0.12 Gpa respectively, although it trended lower in the absence of amelogenin.

18 The ultrastructure of the early enamel mineral formed in wild-type, Amelx<sup>-/-</sup> and Enam<sup>-/-</sup>  
19 mice was assessed by transmission electron microscopy of 7-week mandibular incisors (Fig. 7).  
20 The initial enamel formed in wild-type and Amelx<sup>-/-</sup> incisors was comprised of thin ribbons  
21 extending from dentin collagen to the ameloblast. In contrast, no enamel ribbons formed in the  
22 Enam<sup>-/-</sup> mice (Fig. 7A) (Hu et al., 2008, Hu et al., 2014). The enamel ribbons of wild-type mice  
23 became oriented into rod and interrod enamel. In contrast, some enamel ribbons in the Amelx<sup>-/-</sup>

1 mice appeared to have fused about 5  $\mu\text{m}$  away from the ameloblast membrane with the unfused  
2 tips of the crystals radiating toward the mineralization front like a Japanese fan (Fig. 7B). There  
3 was little or no lightly stained material separating the individual crystals in the Amelx<sup>-/-</sup> enamel.  
4 Sometimes the fans appeared to be oriented in different directions, reminiscent of the decussating  
5 pattern of enamel rods. Scanning electron microscopy of a fractured Amelx<sup>-/-</sup> mandibular incisor  
6 at the level of the alveolar crest (Level 8) showed it to be comprised of long, thin plates radiating  
7 toward the enamel surface (Fig. 7C). The radiating system of thin crystal plates may have had  
8 their origins in the fan-like structures that started forming during the secretory stage.

9 The unusual plate-like pattern of the enamel crystals observed in the Amelx<sup>-/-</sup> mandibular  
10 incisors prompted us to identify the crystal structure by X-ray diffraction (Fig. 8). The startling  
11 finding was that, unlike wild-type enamel, which produced a diffraction pattern characteristic of  
12 calcium hydroxyapatite (HAP), the Amelx<sup>-/-</sup> enamel diffraction pattern matched that of  
13 octacalcium phosphate (OCP). This finding was wholly unexpected and forces a thorough  
14 reconsideration of amelogenin's role in amelogenesis.

## 15 16 Mandibular Molars

17 The crowns of mandibular first molars were characterized by SEM on D14, immediately prior to  
18 their eruption into the oral cavity (Fig. 9). The Amelx<sup>+/+</sup> molar enamel was smooth and well-  
19 contoured. The Amelx<sup>+/-</sup> enamel varied in thickness throughout. The Amelx<sup>+/-</sup> and Amelx<sup>-/-</sup> molar  
20 enamel exhibited thin cusps and numerous protruding mineral nodules, particularly on the  
21 occlusal surface. No histological evidence of nodule formation was evident in D5 developing  
22 first molars, where the ameloblasts were in the secretory stage (Fig. 10). The enamel that formed  
23 in D5 Amelx<sup>+/-</sup> molars varied in thickness. In some cases virtually all of the enamel was as thin as  
24 that formed in Amelx<sup>-/-</sup> molars (Fig. 10C). In other cases the enamel layer varied in thickness

1 (Fig. 10D). Overall, no obvious cellular pathology was observed in the  $Amelx^{+/+}$ ,  $Amelx^{+/-}$ , or  
2  $Amelx^{-/-}$  secretory stage ameloblasts. In contrast, there was clear histological evidence of nodule  
3 formation in D11 developing first molars, where the ameloblasts were in the maturation stage  
4 (Fig. 11). The ameloblast layer, which normally stretches over an expanding enamel surface as  
5 the enamel layer thickens, appeared to have buckled or folded around cell debris on the enamel  
6 surface or within the ameloblasts layer. This extracellular material mineralized to form  
7 pathological nodules that underwent rapid attrition following eruption of the molars.

8

## 9 **Discussion**

10 Enamel is the distinctive, highly mineralized product of epithelial cells that covers the crowns of  
11 teeth and is called ganoine when covering the scales of fish. It contrasts with enameloid, the  
12 collagen-based mineralized product of mesenchymal cells that is also found in fish teeth and  
13 scales. As enamel is produced by both the coelacanth and gar, its evolutionary origin must have  
14 preceded the split between Actinopterygii and Sarcopterygii about 450 million years ago (Ma)  
15 (Blair and Hedges, 2005). Ganoine and enamel formation share the following similarities: both  
16 are deposited as thin mineral ribbons that elongate along an epithelial membrane, and are  
17 oriented to it. These ribbons initiate in close association with collagen fibers deposited by  
18 underlying osteoblasts or odontoblasts (Sire, 1995). The onset of epithelial-derived  
19 mineralization follows progressive disappearance of the basal lamina (Sire et al., 1987). After the  
20 mineral layer reaches its final thickness, it hypermineralizes through a maturation process that  
21 includes the progressive disappearance of organic matrix (Sire, 1994). Because of these striking  
22 similarities in its structure and epithelial mechanism of formation, it was concluded that “ganoine

1 is enamel” (Sire, 1994). Both enameloid and enamel cover the crowns of gar teeth, with the  
2 enamel localizing to the collar region (Prostak et al., 1989).

3 Three SSCP genes/proteins are required for normal appositional growth of dental enamel:  
4 amelogenin (Amelx), enamelin (Enam), and ameloblastin (Ambn). These genes are found in the  
5 genomes of Coelacanth, lungfish, and in tetrapods that make dental enamel (Kawasaki and  
6 Amemiya, 2014), but are generally absent or only marginally recognizable in teleosts, which  
7 make enameloid. Teleosts are ray-finned fish that make up the vast majority of all fish species.  
8 The gar is a non-teleost actinopterygian that makes enameloid, enamel, and ganoine. Recently,  
9 Enam and Ambn, but not Amel, were identified in the Spotted Gar genome (Qu et al., 2015,  
10 Braasch et al., 2016), so amelogenin is not required to make enamel/ganoine in the gar. Amel is  
11 thought to have arisen through a duplication of Ambn, which in turn was spawned by a  
12 duplication of Enam (Sire et al., 2006), so the amelogenin gene is the youngest of the three SSCP  
13 genes (Enam, Ambn, Amel). It is not known if Amel existed at the time of the  
14 Actinopterygii/Sarcopterygii divergence and was deleted in the line to gar, or if Amel arose later,  
15 in early sarcopterygians. Within Actinopterygii, the Holostei (including gars) split from the line  
16 to teleosts about 360 Ma (Near et al., 2012). Enamel/ganoine formation, along with Enam and  
17 Ambn must have been deleted in the line leading to teleosts soon after this split, as all teleosts  
18 lack them.

19 In this study we investigated the role of amelogenin in dental enamel formation through  
20 extensive characterization of the enamel formed in Amelx null mice. TEM images showed, for  
21 the first time, that Amelx<sup>-/-</sup> mice are able to generate the thin mineral ribbons that are a hallmark  
22 feature of true enamel. In contrast, Enam<sup>-/-</sup> mice were not able to generate enamel ribbons. Thus,  
23 the production of enamel mineral ribbons in mice requires Enam, but not Amelx. This finding is

1 consistent with the previous observation that amelogenin is relatively less concentrated at the  
2 mineralization front where the enamel ribbons grow in length (Nanci et al., 1998).

3 In the Amelx null condition the mineral ribbons appear to fuse at some distance away from  
4 the ameloblast, while the unfused superficial extensions radiate toward the ameloblast membrane  
5 as mineralized fan-like structures that continue to elongate at the mineralization front. The  
6 apparent fusion of mineral ribbons in Amelx<sup>-/-</sup> enamel supports Fincham's hypothesis that  
7 amelogenin separates and supports the secretory stage enamel ribbons (Fincham and Simmer,  
8 1997). The data also supports the conclusion that enamelin is necessary to shape the early  
9 mineral ribbons, while amelogenin is not.

10 Ameloblasts in mammals have two, partially separate secretory surfaces associated with  
11 elaborating enamel ribbons: the interrod growth sites (IGS) and the rod growth sites (RGS)  
12 (Kallenbach, 1973, Leblond and Warshawsky, 1979, Nanci and Warshawsky, 1984). The  
13 interrod growth site is located along the apical surface of the cells at their junction with adjacent  
14 ameloblasts, while rod enamel is produced by the Tomes process, a cytoplasmic extension distal  
15 to the apical membrane (Nanci and Warshawsky, 1984). Both growth sites are characterized by  
16 irregular infoldings of the cell membrane, whereas the non-secretory membrane that partially  
17 separates the sites is relatively smooth (Kallenbach, 1973). The crystallites in each rod are  
18 deposited by a single Tomes process and are generated by a single ameloblast (Skobe, 2006).  
19 The Tomes process of rodent ameloblasts penetrates about 18  $\mu\text{m}$  into the enamel layer (Risnes  
20 et al., 2002). The Tomes process does not form by forcing its way into the existing mineral layer;  
21 it develops following the formation of  $\sim 4 \mu\text{m}$  of initial enamel, which is not organized into rod  
22 and interrod structures. The Tomes process becomes defined by the relatively rapid extension of  
23 the initial enamel ribbons by the incipient interrod growth sites at the borders of adjacent



1 ameloblasts. The ameloblasts in *Amelx*<sup>-/-</sup> mice do not form a Tomes process. As the accumulated  
2 appositional growth over the entire secretory stage in the *Amelx*<sup>-/-</sup> mouse is only ~20 µm, Tomes  
3 processes might not be able to form because the interrod growth sites cannot extend the interrod  
4 enamel sufficiently to define them. Indeed, amelogenin localization is normally higher on the  
5 raised interrod matrix between the Tomes process pits than in the pits themselves (Herold et al.,  
6 1987, Nanci et al., 1998), so amelogenin concentrates somewhat in the matrix area that must  
7 expand to form a Tomes process.

8 Reptilian enamel formation is characterized by a relatively flat mineralizing front with  
9 perpendicular crystallite orientation throughout its development (Boyde, 1967). Tomes processes  
10 and the prismatic organization of enamel crystallites arose relatively recently during evolution, in  
11 mammals before the divergence of marsupials and placental mammals (Gasse et al., 2015), about  
12 160 Ma (Luo et al., 2011). This was long after *Amel* was introduced during evolution and  
13 occurred at a time when amelogenin expression patterns were unchanging (Assaraf-Weill et al.,  
14 2013, Gasse et al., 2015). It seems that failure to form a Tomes process in *Amelx*<sup>-/-</sup> mice is more  
15 likely to be due to the reduced matrix expansion that occurs in the absence of abundant  
16 amelogenin secretion, rather than by being directly caused by an absence of amelogenin.  
17 Amelogenin normally comprises about 90% of the secretory stage enamel matrix (Fincham et al.,  
18 1999a) and in its absence the enamel layer does not expand properly, causing downstream  
19 consequences.

20 Rod and interrod enamel are both comprised of characteristic enamel ribbons, but because  
21 they elongate at different growth sites on the ameloblast distal membrane, they differ in their  
22 orientations (direction of growth) (Moinichen et al., 1996, Simmer and Fincham, 1995). The rod  
23 represents the fossilized path traced out by the Tomes processes of the ameloblasts during

1 enamel secretion (Boyde, 1967). In the absence of amelogenin, modification of the shape of the  
2 mineralizing front following deposition of the initial enamel fails. The normal repetitive pattern  
3 of change in crystal orientation associated with rod and interrod structures is not observed. There  
4 are, however, localized independently-mineralizing structures suggestive of rods that appear to  
5 be the pathological sequellae of sustained secretory stage appositional growth without  
6 amelogenin or proper thickening of the matrix.

7 The thickness of the enamel layer formed in  $Amelx^{+/-}$  mice was highly variable, an effect  
8 believed to be caused by lyonization (Witkop, 1967). During the early blastocyst stage, one of  
9 the two X-chromosomes in a female cell is inactivated, equalizing the expression of genes with  
10 the single X-chromosome of male cells (Gartler and Riggs, 1983). With only one  $Amelx$  gene  
11 knocked out, female heterozygotes ( $Amelx^{+/-}$ ) are mosaics of ameloblasts that either express  
12 normal amelogenin at normal levels or no amelogenin at all. The sheet of ameloblasts producing  
13 enamel is therefore comprised of cohorts of ameloblasts, each cohort descended from a single  
14 progenitor cell that expanded via cell division during odontogenesis. The  $Amelx^{+/-}$  enamel layer  
15 varies in thickness in patterns that are unique to each tooth formed (S14-S18 Appendix). The  
16  $Amelx^{+/-}$  enamel is thinnest ( $\sim 20 \mu\text{m}$ ) where multiple cohorts of ameloblasts expressed only the  
17  $Amelx$  knockout gene and thickest ( $>100 \mu\text{m}$ ) where multiple cohorts of ameloblasts expressed  
18 only wild-type  $Amelx$ . In the incisors, where alternating rows of ameloblasts normally migrate  
19 laterally in opposite directions (and thereby generate an X-shaped or decussation pattern of  
20 enamel rods), the rows of cells are sometimes compelled to ascend or descend steeply, and this  
21 may have pathological consequences that explain the increase in nodule formation observed in  
22 these areas.

1 We were surprised to observe extensive nodules on the Amelx<sup>+/-</sup> and Amelx<sup>-/-</sup> molars,  
2 although the enamel surface of these mice had been previously described as “rough and knobby”  
3 (Gibson et al., 2001). Our histological analyses of D5 and D11 first molars (Figs. 9 and 10)  
4 suggested that the surface nodules formed during the maturation stage. This was surprising,  
5 because this is after Amelx expression is downregulated (Wakida et al., 1999), but when residual  
6 amelogenin cleavage products are still being reabsorbed from the matrix. Perhaps the maturation  
7 stage ameloblast layer buckles due to there being too many cells covering too small of an enamel  
8 surface area. Alternatively, the nodules may simply be the manifestation of aberrant processes  
9 that become increasingly pathological with time.

10 There is broad consensus that mature dental enamel is comprised of crystallites very similar  
11 to hexagonal calcium hydroxyapatite [ $\text{Ca}_{10}(\text{PO}_4)_6(\text{OH})_2$ ; HAP] that are oriented with respect to  
12 their c-axes (long axes) in the direction of the rods, but randomly oriented in their a-axes  
13 perpendicular to the plane of the c-direction (Glas, 1962). To our knowledge, this is the first time  
14 that X-rays have been focused on dental enamel and produced an octacalcium phosphate  
15 [ $\text{Ca}_8\text{H}_2(\text{PO}_4)_6$ ; OCP] diffraction pattern. In vitro, when low supersaturation calcium phosphate  
16 solutions that were supersaturated only with respect to tricalcium phosphate [ $\text{Ca}_3(\text{PO}_4)_2$ ; TCP] or  
17 hydroxyapatite were seeded with natural enamel crystals, the crystals grew by the continued  
18 addition of HAP; however, if high supersaturated solutions supersaturated with respect to  
19 dicalcium phosphate dihydrate [ $\text{CaHPO}_4 \cdot 2\text{H}_2\text{O}$ ; DCPD], OCP and TCP were seeded with  
20 enamel crystals, the crystals grew by the addition of OCP platelets that slowly converted into  
21 HAP (Tomazic et al., 1976). Growth of the OCP intermediate phase on HAP at high  
22 supersaturations was inhibited by magnesium (Tomazic et al., 1975). OCP growth is favored  
23 over HAP at lower Ca/P ratios and decreasing pH (Meyer and Eanes, 1978). In the Amelx<sup>-/-</sup> mice,

1 the enamel layer is mineralizing at a greatly reduced rate relative to the wild-type. By the end of  
2 the secretory stage, the Amelx<sup>-/-</sup> enamel is only a sixth as thick and less than half as hard as  
3 normal enamel. Because of the reduced deposition of calcium and phosphate into the mineral  
4 phase, the concentration of these ions in the matrix might rise to the point where OCP is favored  
5 and then forms on top of existing HAP crystals.

6 Long ago it was proposed that the initial mineral phase in enamel is octacalcium phosphate  
7 (Brown, 1965, Simmer and Fincham, 1995). However, subsequent studies could find no  
8 evidence of octacalcium phosphate in embryonic bovine enamel (Landis et al., 1984, Landis and  
9 Navarro, 1983, Landis et al., 1988). The initial mineral in enamel is currently believed to be a  
10 transient amorphous calcium phosphate (ACP) phase that converts to HAP. This is held because  
11 the enamel mineral ribbons fail to show a crystalline electron diffraction pattern (Beniash et al.,  
12 2009). Full-length amelogenin (P173) (Wiedemann-Bidlack et al., 2011) and its major  
13 proteolytic cleavage product (P148) (Kwak et al., 2009), as well as full-length LRAP (P56) (Le  
14 Norcy et al., 2011a) and its major cleavage product (P40) (Le Norcy et al., 2011b) stabilize  
15 amorphous calcium phosphate for extended periods of time in vitro. Perhaps amelogenin plays a  
16 role in regulating the transition from ACP to HAP so as to avoid the formation of OCP.

17 Amelogenin is almost synonymous with dental enamel formation. It is the most abundant  
18 protein in developing enamel and was the first enamel protein to be characterized by protein  
19 sequencing (Fincham et al., 1981, Takagi et al., 1984), the first to have its cDNA cloned (Snead  
20 et al., 1983) and characterized (Snead et al., 1985), and the first to be expressed in recombinant  
21 form (Simmer et al., 1994b). AMELX was the first gene shown to cause amelogenesis imperfecta  
22 when defective (Lagerström et al., 1991), and mouse Amelx was the first enamel gene to be  
23 knocked out (Gibson et al., 2001). This examination of enamel formed C57BL/6 Amelx<sup>+/+</sup>,

1 Amelx<sup>+/-</sup>, and Amelx<sup>-/-</sup> mice in the light current knowledge provides a fresh insight into dental  
2 enamel formation and amelogenin's role in it.

3 Amelogenin is secreted even before the first enamel ribbons form; however, the thin enamel  
4 ribbons still form in its absence. Amelogenin does not initiate or shape the enamel ribbons, but in  
5 its absence the ribbons fuse and grow into thin plates of octacalcium phosphate, so they do adopt  
6 a different shape. A key function of amelogenin is to form a gel matrix that separates and  
7 supports the mineral ribbons. Amelogenin does not lengthen the mineral ribbons, but its  
8 continued secretion and accumulation expands the extracellular matrix, which is necessary for  
9 sustained crystal elongation. Amelogenin does not form the Tomes process, but its ability to  
10 expand the matrix at interrod growth sites is necessary to define it as a cell process. Amelogenin  
11 does not orient the enamel ribbons, this is done by the rod and interrod growth sites, but without  
12 amelogenin the architecture of these sites cannot be established. Rod and interrod organization of  
13 the enamel ribbons fails. Without amelogenin the enamel layer only reaches a sixth of its normal  
14 thickness and even that layer shows reduced mineral density and hardness. Whatever influence  
15 amelogenins have in determining the final mineral phase, it is lost in the knockout. With only a  
16 fraction of the normal mineral forming, extracellular ion concentrations likely rise and  
17 amelogenesis degenerates into an increasingly pathological process that forms plates of OCP and  
18 mineralized surface nodules.

19

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## 1 Figure Legends

2 **Figure 1.** Oral photos of 7-week null ( $Amelx^{-/-}$ ) and heterozygous ( $Amelx^{+/-}$ ) mice. **A:** Frontal  
3 view of incisors in situ. **B:** Radiograph of right hemi-mandible. **C:** Right and left hemi-mandibles  
4 following removal of soft tissues. **D:** Buccal, occlusal, and lingual views of mandibular molars.  
5 **E:** Lateral, mesial, lingual, facial views of a mandibular incisor. The  $Amelx^{-/-}$  enamel is thin and  
6 relatively smooth, but undergoes rapid attrition on working surfaces. The  $Amelx^{+/-}$  enamel shows  
7 ridges of thick and thin enamel, giving the enamel a wrinkled appearance. Oral photos of the  
8  $Amelx^{+/-}$  enamel are shown in the S2 Appendix.  
9

10 **Figure 2.** Longitudinal mandibular incisor histology at 7-weeks. **A:** Sections showing the onset  
11 of mineralization, and initial and secretory stage enamel formation.  $Amelx^{+/+}$  enamel steadily  
12 increases in thickness.  $Amelx^{+/-}$  enamel increases in thickness are more stepped.  $Amelx^{-/-}$  enamel  
13 appears to initiate normally but remains thin. The ameloblasts look similar in all genotypes,  
14 except that Tomes processes penetrating into the more deeply stained enamel layer (arrowheads)  
15 are not evident. **Key:** Am, ameloblasts; d, dentin; e, enamel. **B:** Sections showing maturation  
16 stage ameloblasts. Pathology is evident in the layer of maturation stage ameloblasts  
17 (arrowheads). The complete longitudinal histological surveys of  $Amelx^{-/-}$  and  $Amelx^{+/-}$  are  
18 provided in S4-S8 Appendix.  
19

20 **Figure 3.** Amelogenin Immunohistochemistry of DAPI-stained 7-week mandibular incisor cross  
21 sections. **Row A:** Female heterozygous ( $Amelx^{+/-}$ ) at level 1; Wild-type ( $Amelx^{+/+}$ ) and Null  
22 ( $Amelx^{-/-}$ ) at level 3. **Row B:** Female heterozygous ( $Amelx^{+/-}$ ) at levels 3, 5 and 7. Positive signal  
23 for amelogenin (red) is thicker where the enamel layer is thicker. **Row C:** Female heterozygous  
24 ( $Amelx^{+/-}$ ) from levels 3, 8, and 8 that show a similar lyonization pattern as the IHC samples.  
25

26 **Figure 4.** Backscatter electron microscopy of 7-week mandibular incisor facial surfaces. **A:**  
27 Wild-type ( $Amelx^{+/+}$ ). **B:** Female heterozygous ( $Amelx^{+/-}$ ). **C:** Null ( $Amelx^{-/-}$ ). The  $Amelx^{-/-}$  incisor  
28 enamel is relatively smooth, with few apparent surface nodules. The  $Amelx^{+/-}$  incisor enamel is  
29 highly irregular areas of hypoplastic enamel sometimes spanning the entire length of the incisor.  
30 The basal end (secretory stage) is on the left. The incisal end is on the right.  
31

32 **Figure 5.** Backscatter electron microscopy of mandibular incisor Level 8 cross sections (at the  
33 level of the buccal alveolar crest). **A:** 3 wild-type ( $Amelx^{+/+}$ ). **B:** 6 heterozygous ( $Amelx^{+/-}$ )  
34 female mice. **C:** 3 null ( $Amelx^{-/-}$ ) mice. **D:** Higher magnification views of female heterozygous  
35 ( $Amelx^{+/-}$ ) enamel. **E:** Higher magnification views of null ( $Amelx^{-/-}$ ) enamel. Scale bars = 100  
36  $\mu$ M. Note that the enamel in the female heterozygous ( $Amelx^{+/-}$ ) mice varies greatly in thickness,  
37 ranging from null to wild-type levels. bSEM images of incisor cross sections from 4  $Amelx^{-/-}$   
38 mice (S10-S13 Appendix); 5  $Amelx^{+/-}$  mice (S14-S18 Appendix), and 1 wild-type ( $Amelx^{+/+}$ )  
39 mouse (S19 Appendix).  
40

41 **Figure 6.**  $Amelx^{+/+}$  and  $Amelx^{-/-}$  nanohardness testing. Backscatter electron microscopy images of  
42  $Amelx^{+/+}$  and  $Amelx^{-/-}$  mandibular incisor cross-sections from the level of the labial alveolar crest  
43 showing the sections tested and the target sites (labeled dots) for nano-indenting. The average  
44 and standard deviations of the hardness measurements for each indent site from six independent  
45 samples are shown (in gigapascal; Gpa). The average hardness value for the combined enamel

1 indents was  $3.63 \pm 0.75$  Gpa for Amelx<sup>+/+</sup> and  $1.61 \pm 0.80$  Gpa for Amelx<sup>-/-</sup>. The Amelx<sup>-/-</sup> enamel  
 2 hardness near the cervical margins ( $2.41 \pm 0.54$  Gpa; points g and l) was nearly twice that of the  
 3 Amelx<sup>-/-</sup> enamel away from the cervical margins ( $1.22 \pm 0.59$  Gpa; points h, i, j, and k). The  
 4 average hardness values for the combined dentin indents were similar in the wild-type and null  
 5 incisors: Amelx<sup>+/+</sup> dentin  $1.41 \pm 0.14$  Gpa; Amelx<sup>-/-</sup> dentin  $1.32 \pm 0.12$  Gpa.

6  
 7 **Figure 7.** Ultrastructure of enamel mineral ribbons forming in 7-week mandibular incisors. **A:**  
 8 TEMs showing initial enamel ribbons forming at the DEJ in wild-type (WT) and Amelx<sup>-/-</sup> mice,  
 9 but not in Enam<sup>-/-</sup> mice (which do not make any enamel). The dentin mineral stains darker than  
 10 the enamel, with banded collagen fibers (arrowheads) oriented toward the ameloblast. **B:** TEMs  
 11 of a more incisal position in the secretory stage. The wild-type enamel is thicker and organized  
 12 into rod and interrod structures. The Amelx<sup>-/-</sup> enamel ribbons appear to have fused together. No  
 13 rod-interrod organization was evident. Amelx<sup>-/-</sup> enamel ribbons were not separated by weakly-  
 14 staining material. **C:** SEMs of Amelx<sup>-/-</sup> enamel plates evident after fracturing the incisor at Level  
 15 8 (alveolar crest). No decussation pattern was observed. **Key:** Am, ameloblasts; d, dentin; e,  
 16 enamel.

17  
 18 **Figure 8.** X-ray ( $\lambda=0.1228$  nm) diffraction patterns and diffractograms of Amelx<sup>+/+</sup> and Amelx<sup>-/-</sup>  
 19 mandibular incisor enamel cross-sectioned at the level of the labial alveolar crest in 7-week old  
 20 mice. **Top:** Amelx<sup>+/+</sup> diffractogram plotting the intensity of the diffraction (in arbitrary units, a.u.)  
 21 against the  $2\theta$  (2 $\theta$ ) diffraction angle (in degrees). Above the peaks are Miller indices  
 22 indicating the crystal plane that produced the diffraction.

23  
 24 **Figure 9.** Backscatter electron microscopy of D14 mandibular molars. Wild-type (Amelx<sup>+/+</sup>)  
 25 enamel is smooth. Female heterozygous (Amelx<sup>+/-</sup>) enamel shows variations in thickness and  
 26 abundant surface nodules, especially on the occlusal surface. Null (Amelx<sup>-/-</sup>) enamel is sparse, but  
 27 the occlusal surface shows abundant nodules.

28  
 29 **Figure 10.** Maxillary D5 first molar histology. **A:** Low magnification sections of 4 molars  
 30 predominantly in the secretory stage of enamel formation. **B-E:** Collages of high magnification  
 31 images showing Amelx<sup>+/+</sup>, Amelx<sup>+/-</sup>, and Amelx<sup>-/-</sup> molar secretory stage ameloblasts. Note the  
 32 variability of enamel thickness in the Amelx<sup>+/-</sup> molars. The heterozygous enamel in Panel C is  
 33 virtually as thin as that of the null, whereas the heterozygous enamel in Panel D varies from  
 34 being as thin as the null and as thick as the wild-type enamel. **Key:** Am, ameloblasts; d, dentin; e,  
 35 enamel.

36  
 37 **Figure 11.** Maxillary D11 first molar histology. **A:** Low magnification sections of 4 molars  
 38 predominantly in the maturation stage of enamel formation. **B-D:** Collages of high magnification  
 39 images showing Amelx<sup>+/+</sup>, Amelx<sup>+/-</sup>, and Amelx<sup>-/-</sup> molar maturation stage ameloblasts. Note the  
 40 apparent buckling of the ameloblast layer in the Amelx<sup>-/-</sup> molars (arrowheads). **E:** Higher  
 41 magnification images of nodules forming in and under the ameloblast layer. Organic matrix (or  
 42 cell debris) within the nodules suggests difficulty in reabsorbing the amelogenin-less matrix.  
 43 **Key:** Am, ameloblasts; d, dentin; e, enamel.

1 **Conflict of Interest statement.** None declared.

2

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13

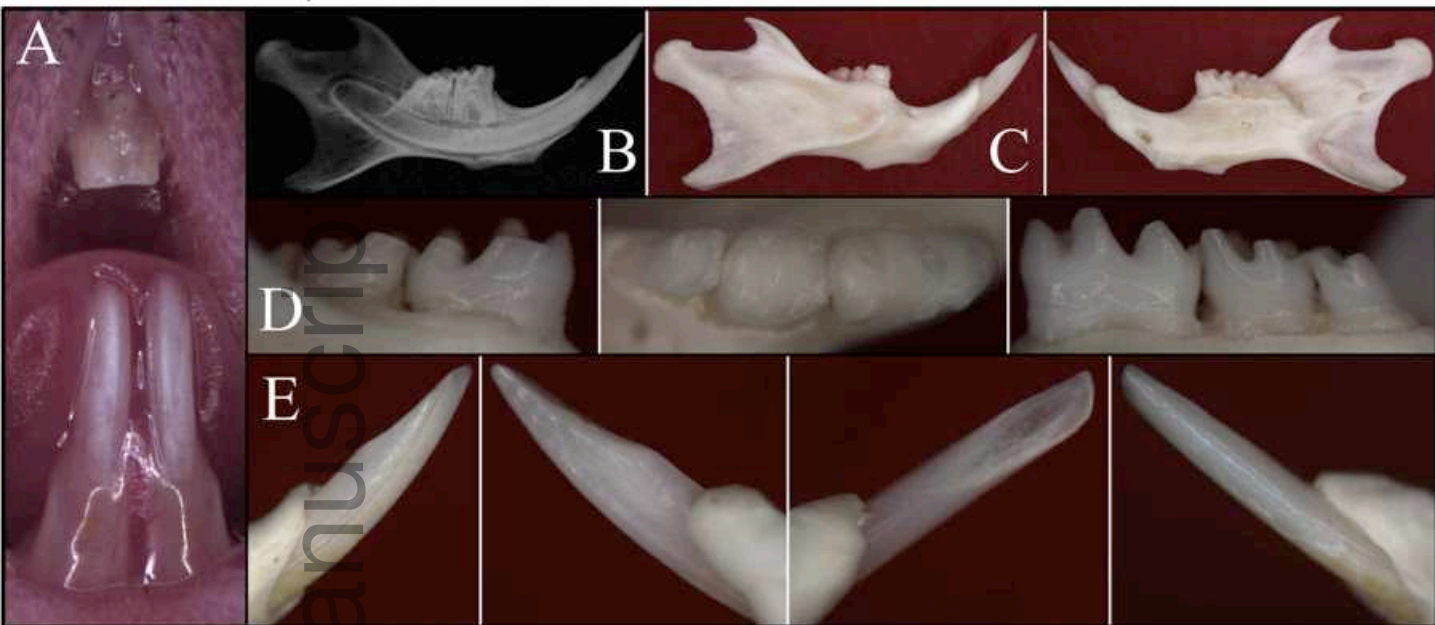


## 1 Supplemental Data

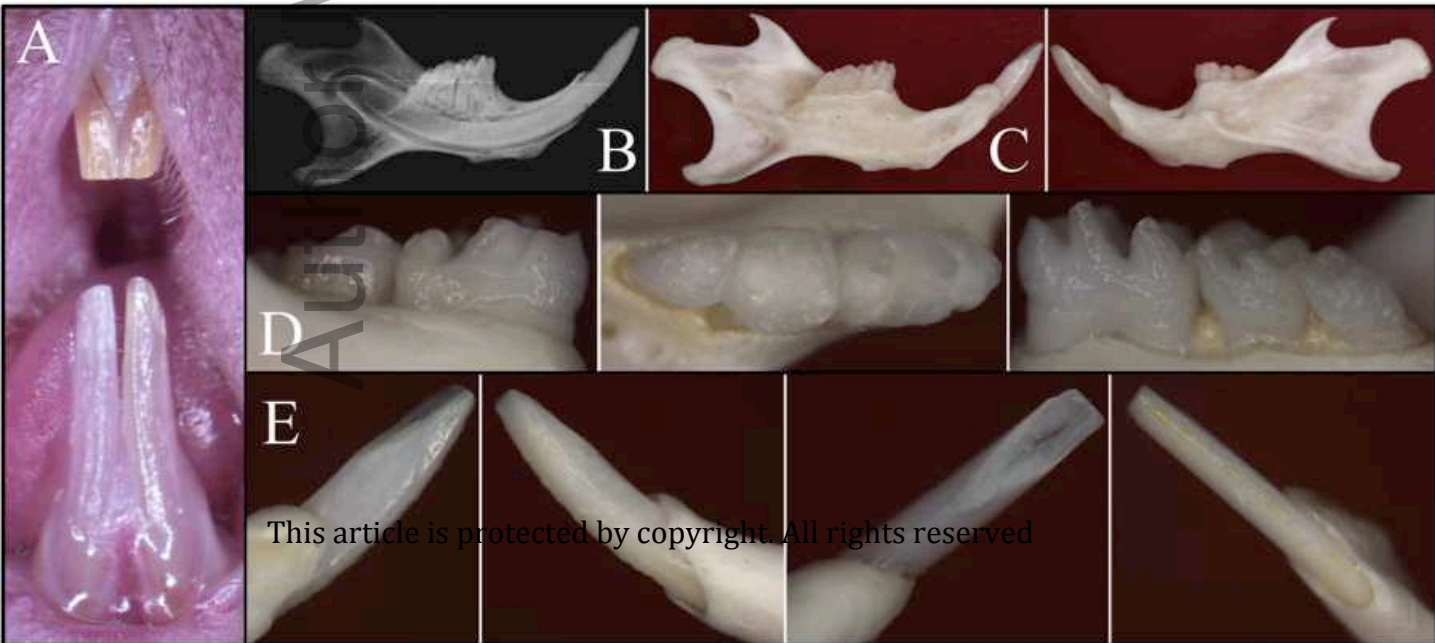
- 2 **S1 Appendix.** Reported AMELX disease-causing mutations.
- 3 **S2 Appendix.** Oral photos of 7-week wild-type ( $Amelx^{+/+}$ ) mouse.
- 4 **S3 Appendix.** Western blot & RT-PCR analyses of amelogenin expression.
- 5 **S4 Appendix.**  $Amelx^{-/-}$  (#7) mandibular incisor histology at 7-weeks.
- 6 **S5 Appendix.**  $Amelx^{+/-}$  (#11) mandibular incisor histology at 7-weeks.
- 7 **S6 Appendix.**  $Amelx^{+/-}$  (#13) mandibular incisor histology at 7-weeks.
- 8 **S7 Appendix.**  $Amelx^{+/-}$  (#15) mandibular incisor histology at 7-weeks.
- 9 **S8 Appendix.**  $Amelx^{+/-}$  (#17) mandibular incisor histology at 7-weeks.
- 10 **S9 Appendix.** SEM images of molar roots.
- 11 **S10 Appendix.** Backscatter electron microscopy of incisor cross sections of  $Amelx^{-/-}$  mouse 732.
- 12 **S11 Appendix.** Backscatter electron microscopy of incisor cross sections of  $Amelx^{-/-}$  mouse 733.
- 13 **S12 Appendix.** Backscatter electron microscopy of incisor cross sections of  $Amelx^{-/-}$  mouse 774.
- 14 **S13 Appendix.** Backscatter electron microscopy of incisor cross sections of  $Amelx^{-/-}$  mouse 780.
- 15 **S14 Appendix.** Backscatter electron microscopy of incisor cross sections of  $Amelx^{+/-}$  mouse 771.
- 16 **S15 Appendix.** Backscatter electron microscopy of incisor cross sections of  $Amelx^{+/-}$  mouse 787.
- 17 **S16 Appendix.** Backscatter electron microscopy of incisor cross sections of  $Amelx^{+/-}$  mouse 797.
- 18 **S17 Appendix.** Backscatter electron microscopy of incisor cross sections of  $Amelx^{+/-}$  mouse 799.
- 19 **S18 Appendix.** Backscatter electron microscopy of incisor cross sections of  $Amelx^{+/-}$  mouse 802.
- 20 **S19 Appendix.** Backscatter electron microscopy of incisor cross sections of  $Amelx^{+/+}$  mouse 35.
- 21 **S20 Appendix.** Backscatter electron microscopy images for enamel thickness.
- 22 **S21 Appendix.**  $Amelx^{+/-}$  nanohardness testing.

*Amelx*<sup>-/-</sup> ♀

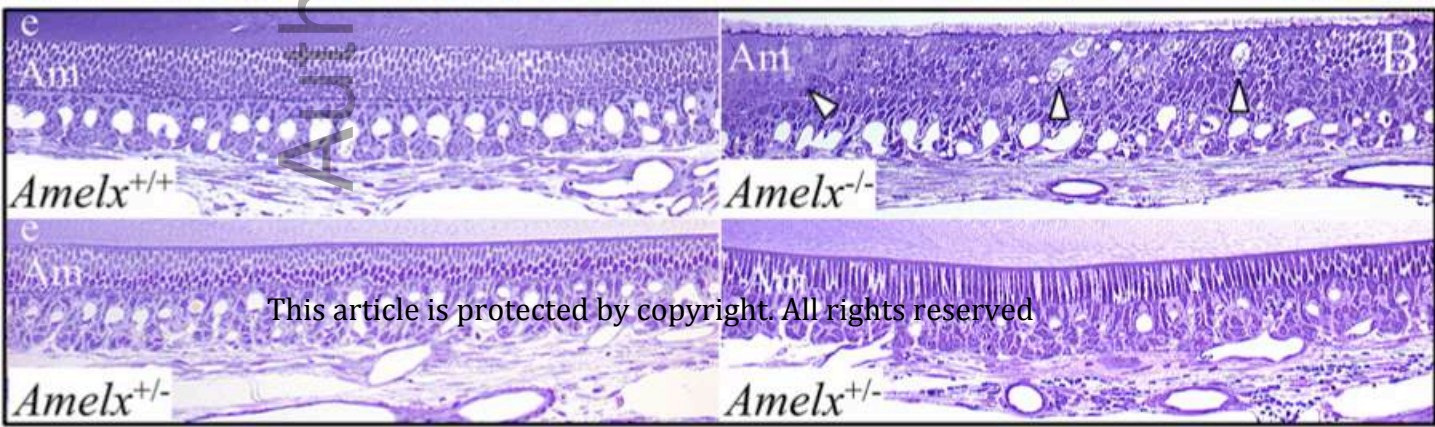
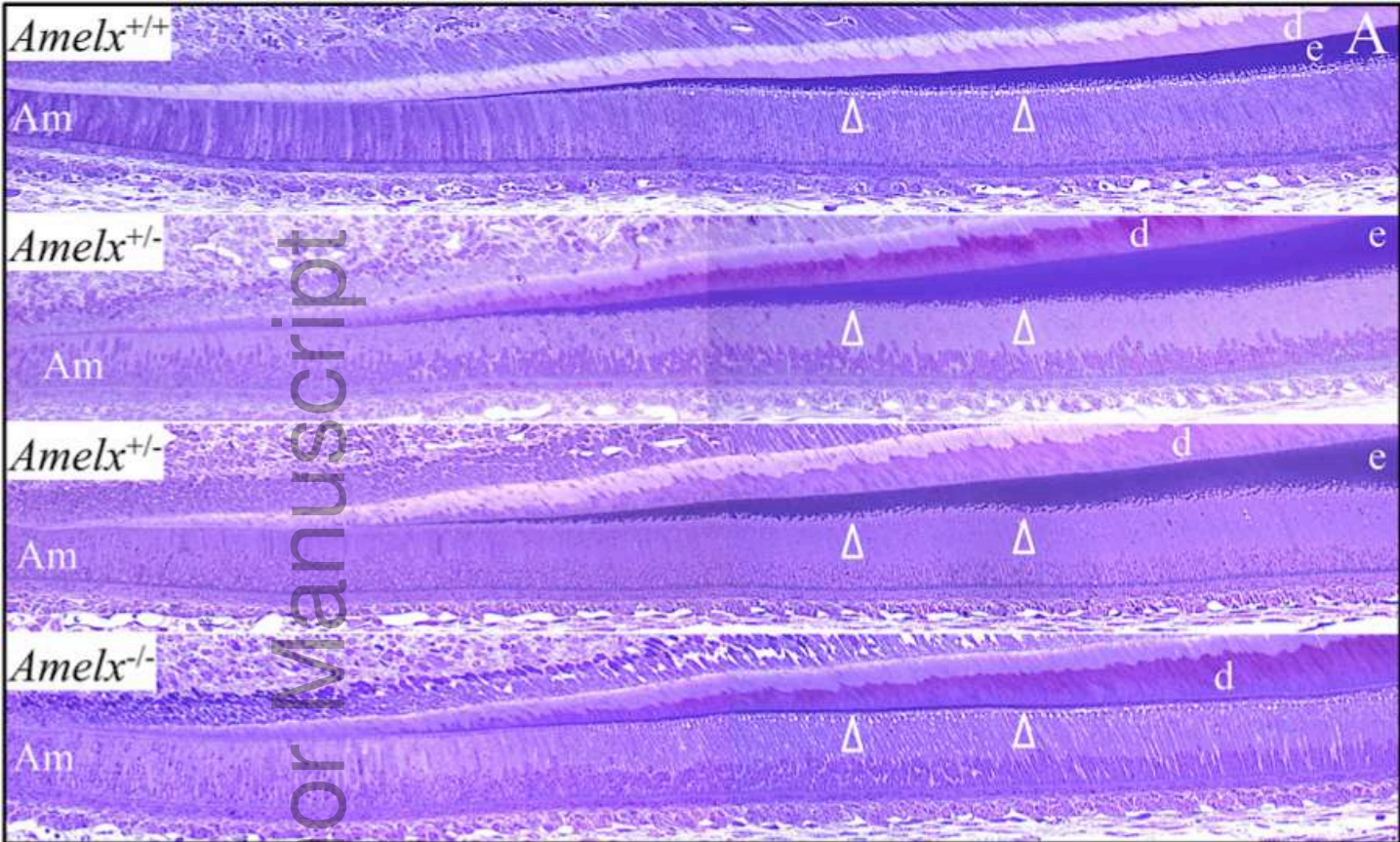
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*Amelx*<sup>+/-</sup> ♀

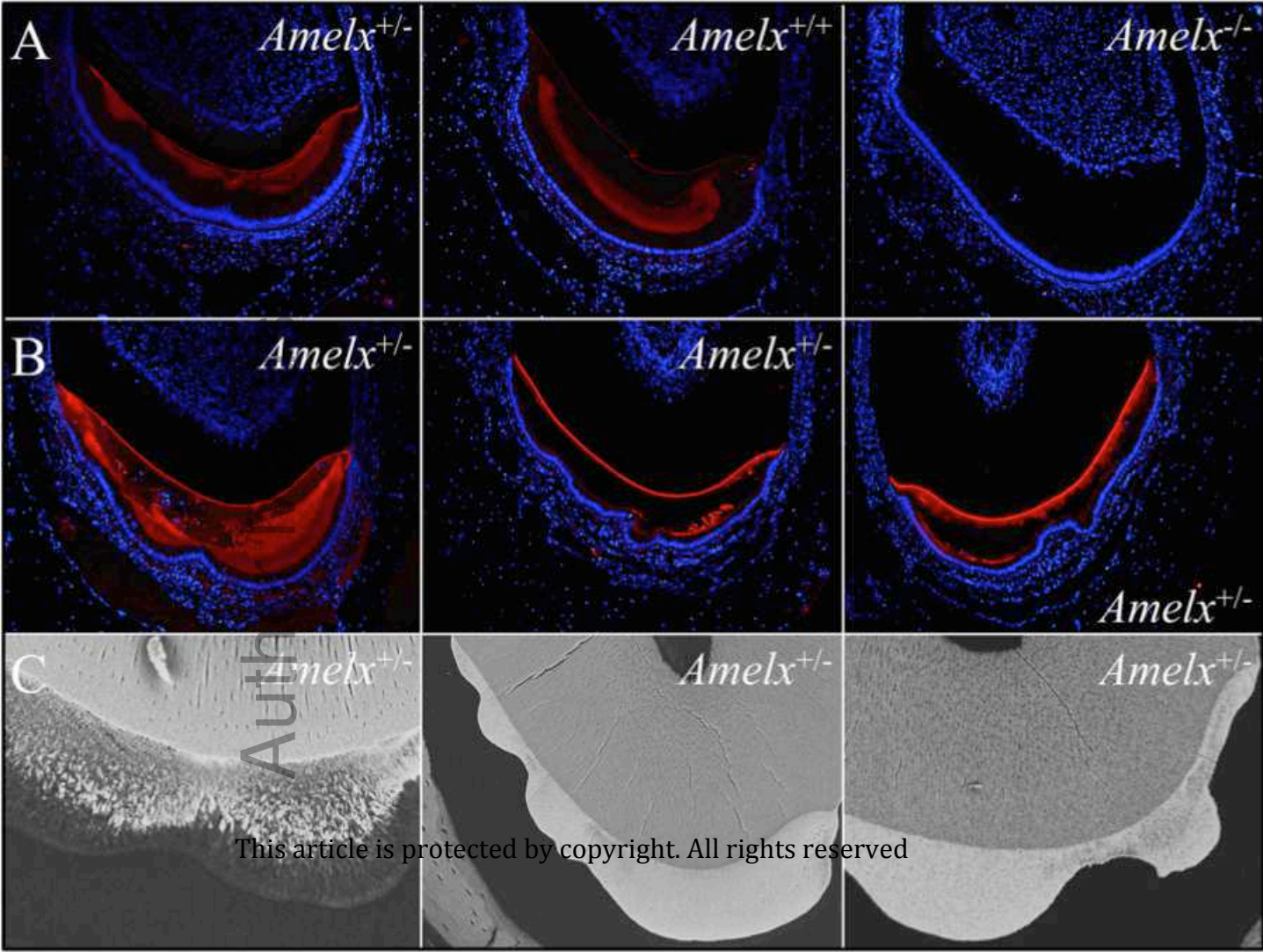






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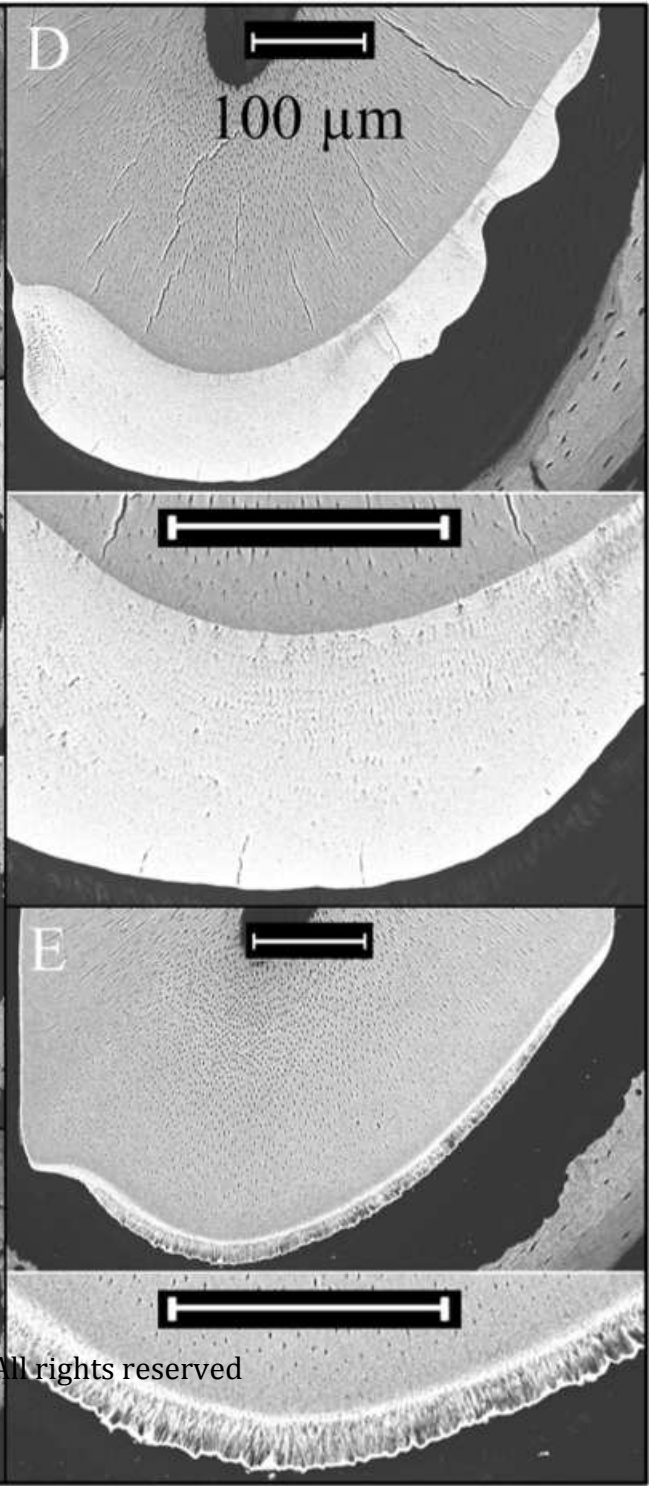
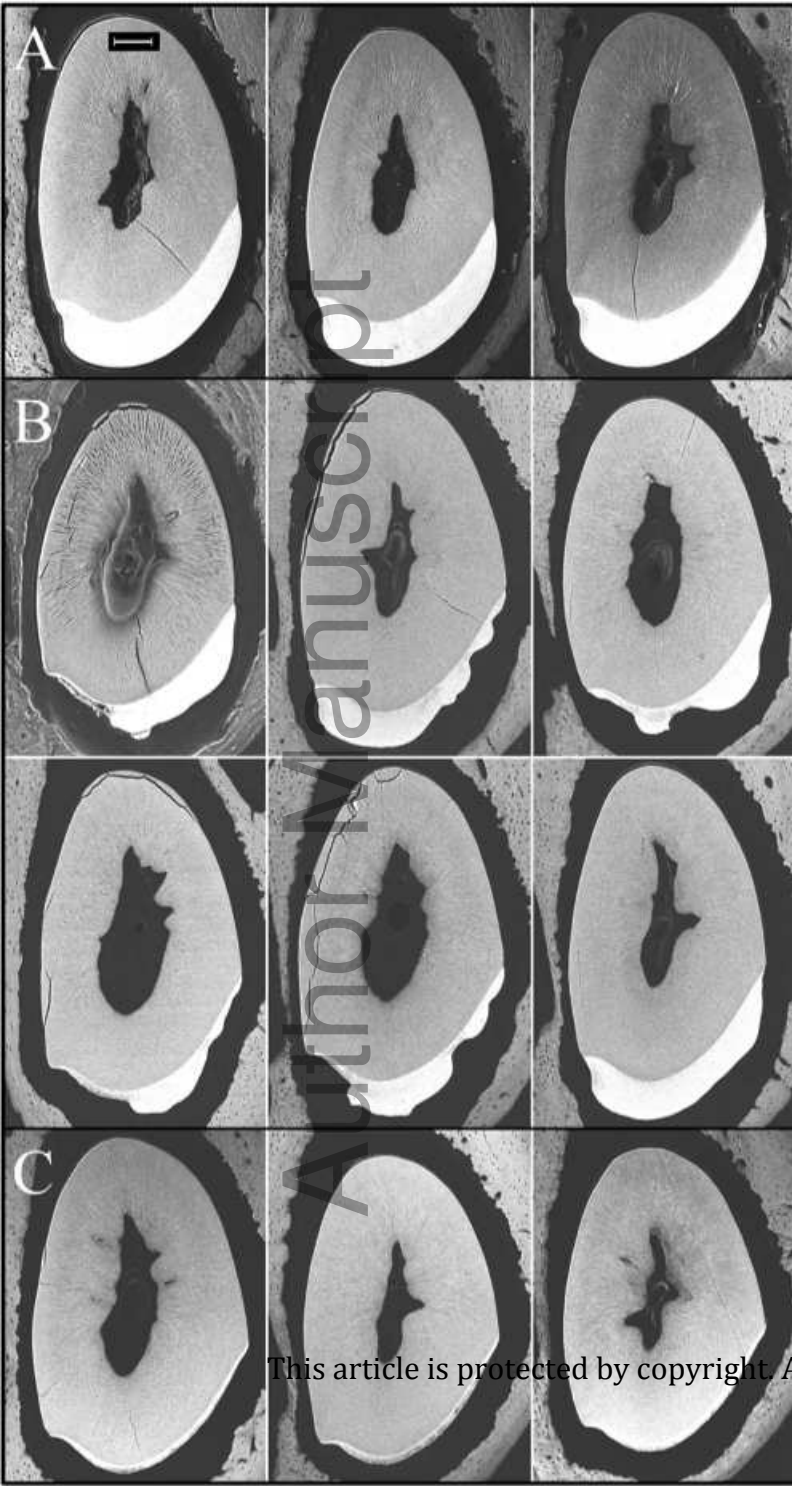




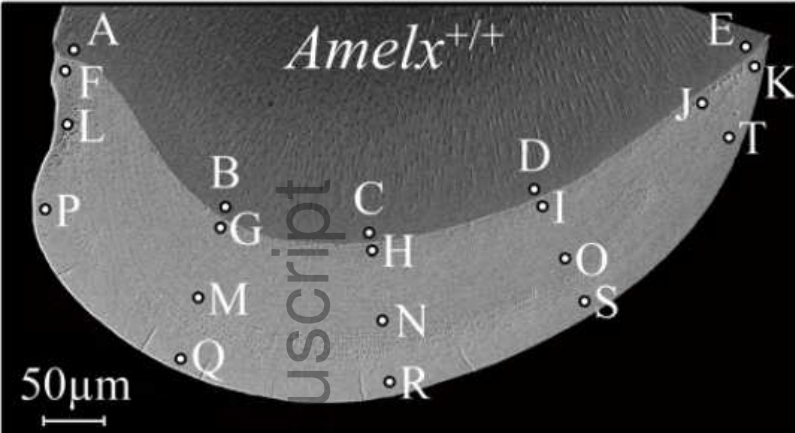
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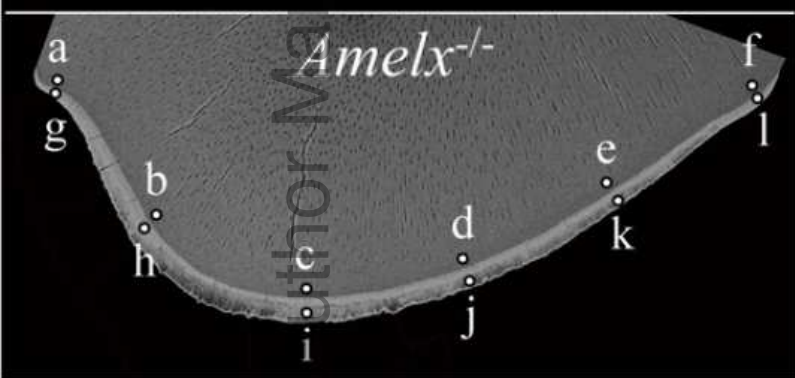
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WT DEJ-D	Null DEJ-D
A. 1.57 $\pm$ 0.05	a. 1.44 $\pm$ 0.16
B. 1.36 $\pm$ 0.10	b. 1.33 $\pm$ 0.10
C. 1.27 $\pm$ 0.02	c. 1.26 $\pm$ 0.14
D. 1.31 $\pm$ 0.03	d. 1.25 $\pm$ 0.07
E. 1.55 $\pm$ 0.07	e. 1.32 $\pm$ 0.03
	f. 1.35 $\pm$ 0.12

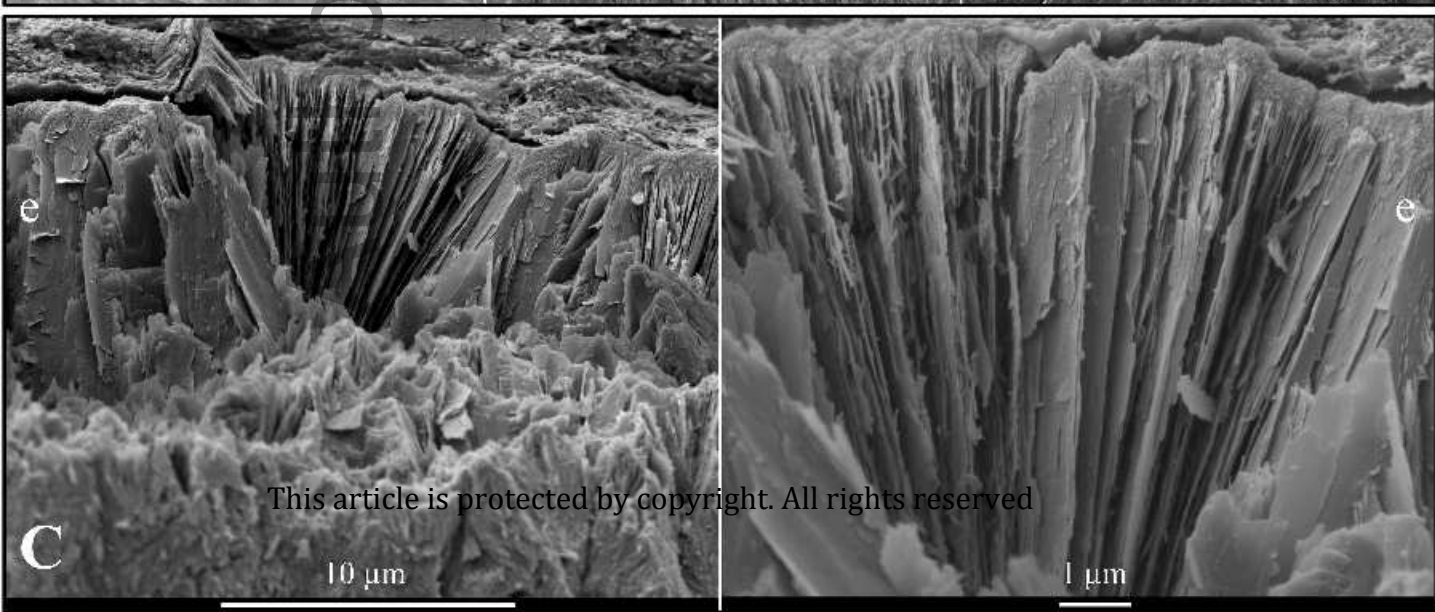
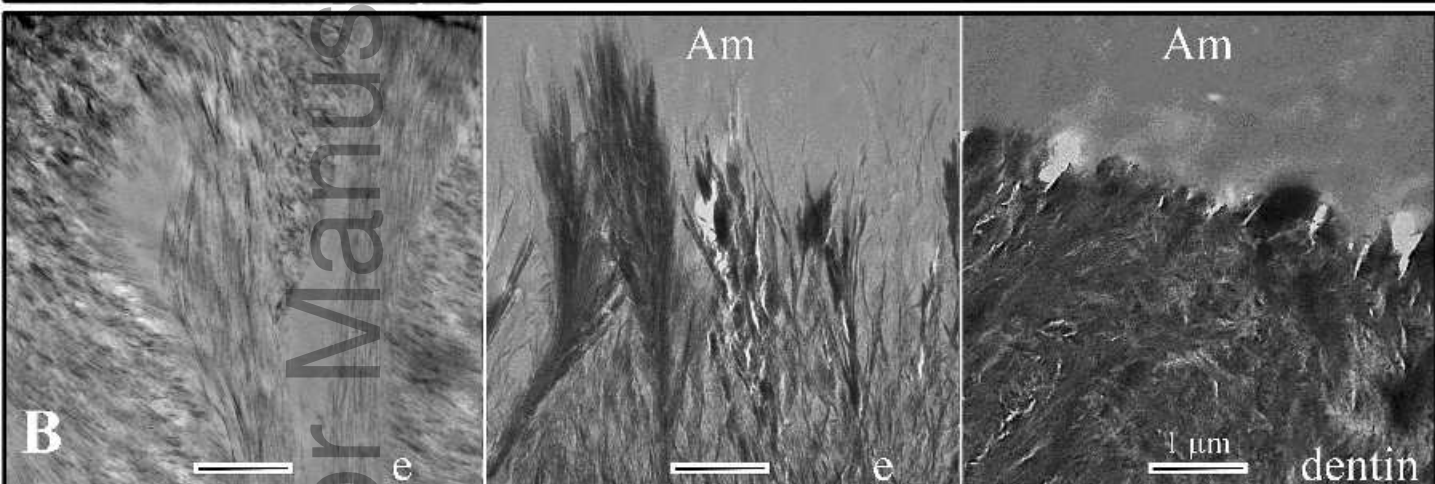
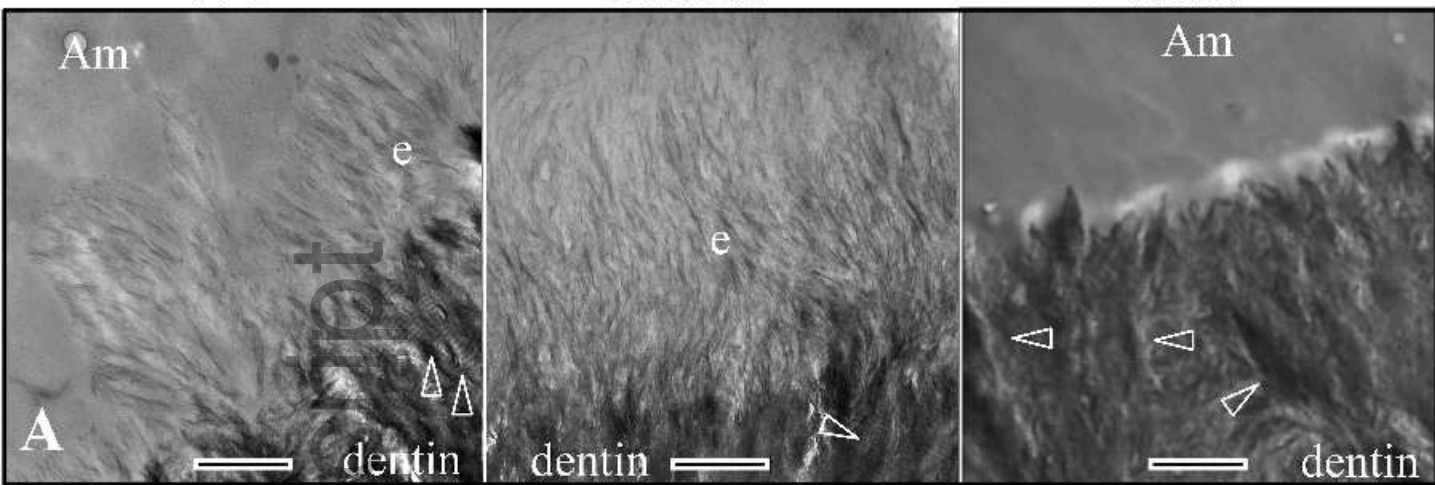


WT DEJ-E	Null DEJ-E
F. 2.87 $\pm$ 0.52	g. 2.42 $\pm$ 0.29
G. 3.25 $\pm$ 0.35	h. 1.76 $\pm$ 0.34
H. 3.40 $\pm$ 0.35	i. 0.66 $\pm$ 0.34
I. 3.60 $\pm$ 0.24	j. 1.20 $\pm$ 0.54
J. 3.89 $\pm$ 0.21	k. 1.24 $\pm$ 0.58
K. 3.83 $\pm$ 0.28	l. 2.40 $\pm$ 0.74

### WT Enamel Middle and Outer Layers

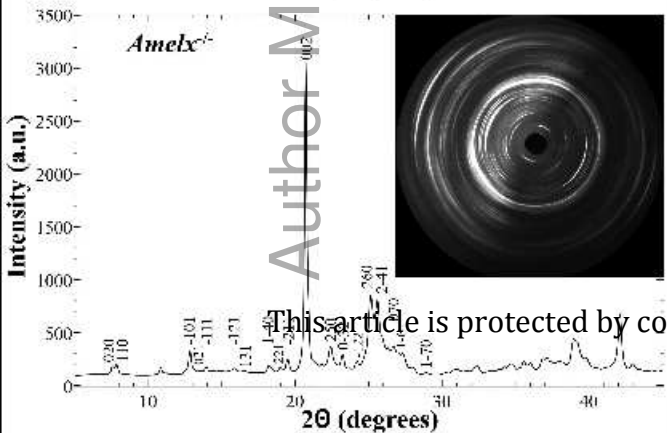
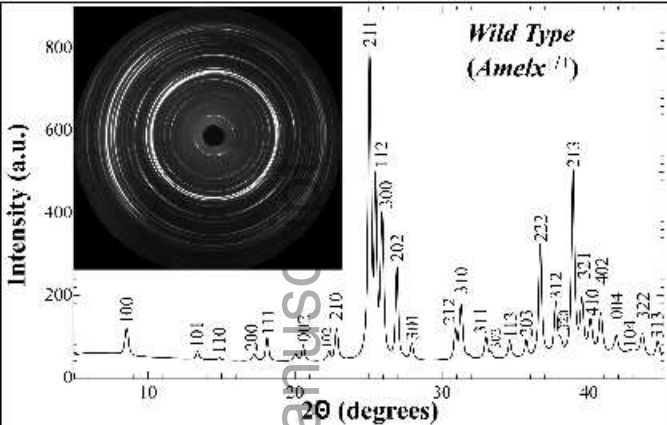
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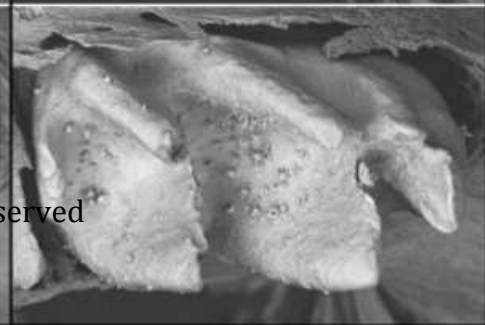
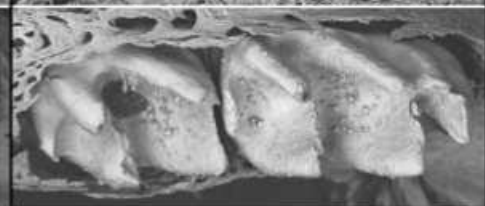
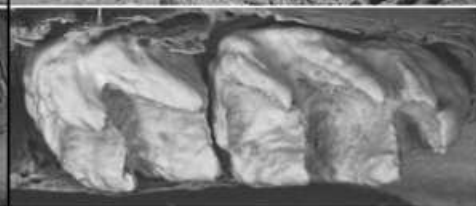
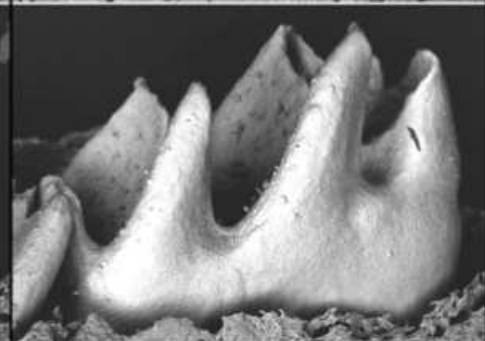
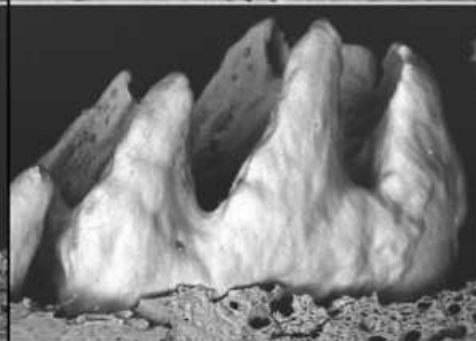
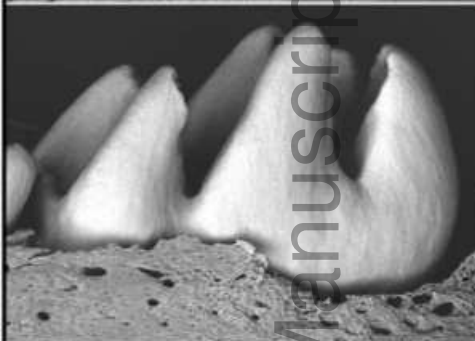
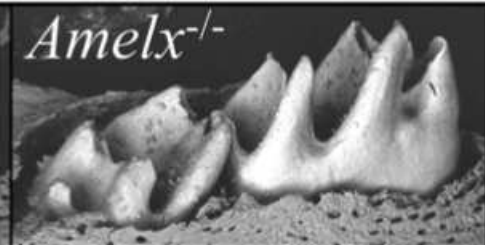
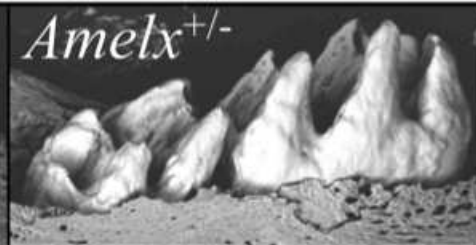
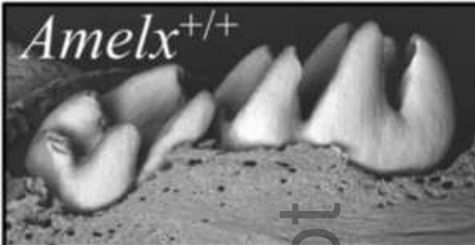
L. 1.57 $\pm$ 0.56	M. 3.76 $\pm$ 0.18	N. 3.69 $\pm$ 0.23	O. 3.72 $\pm$ 0.19
P. 3.75 $\pm$ 0.36	Q. 4.55 $\pm$ 0.20	R. 4.20 $\pm$ 0.12	S. 4.25 $\pm$ 0.50
			T. 4.11 $\pm$ 0.35

*WT**Amelx*<sup>-/-</sup>*Enam*<sup>-/-</sup>

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