## 2017-01-08

## The NIH Public Access Policy: Grant Writing, Progress Reports, and My Bibliography, Oh My!

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The NIH Public Access Policy: Grant Writing, Progress Reports, and My Bibliography, Oh My!

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## What will be covered

- About the NIH Public Access Policy (NIHPAP)
- Complying with NIHPAP
- The NIH Manuscript Submission (NIHMS)
- My Bibliography via MyNCBI
- NIH Progress Reports (RPPR)



The policy's rationale is that when research funding comes from taxpayer dollars, the public should be able to view research outputs.

Policy language:

"The Director of the National Institutes of Health ("NIH") shall require...all investigators funded by the NIH submit or have submitted for them to the National Library of Medicine's PubMed Central an electronic version of their final, peerreviewed manuscripts upon acceptance for publication, to be made publicly available no later than 12 months after the official date of publication: Provided, that the NIH shall implement the public access policy in a manner consistent with copyright law." (source: https://publicaccess.nih.gov/policy.htm)



After publication of a paper, NIH grantee or designate has 12 month window to deposit the final peer-reviewed manuscripts in the National Library of Medicine's PubMed Central database.

"Final peer-reviewed manuscript" is different than the published paper that has been formatted for publication. What's being submitted to PMC is one step back from the published version, back to the "final draft."

The onus of compliance falls on the NIH Grantee, even if the grantee is not a paper's author. If a graduate student has written a paper supported by NIH funding through an NIH Grantee's lab, the NIH Grantee is ultimately responsible for the graduate student's paper being NIHPAP compliant.



As soon as you get the notification that a paper is accepted for publication, start the process. At this stage you still have easy access to the documents you need (final draft, image files, tables of contents, etc.) and your co-authors or graduate students haven't yet moved to other universities, etc.

If you're an author, very important to read the author agreement for language that addresses Public Access compliance.



How to retain right deposit paper to PMC? Confirm that the author agreement includes language for complying with the NIHPAP. If not, contact publisher to retain right in writing.

Sample wording to add to author agreement is available at the link- https:// publicaccess.nih.gov/faq.htm

Determining what you need to do to submit your paper to PMC depends on the publisher/author agreement, and will fall into 1 of 4 methods.

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Methods A & B are in place with select publishers who are equipped to send automatically formatted (XML) versions of your paper, behind the scenes, directly to PMC.

Methods C & D are manual processes that involve a person actually uploading documents which are then specially formatted for PMC. The NIHMS system is what does the formatting.



In Method A, you don't have to do anything extra to submit your paper to PMC, the publisher takes care of it for you.

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In method C, deposit has to be done by the NIH Grantee. Method C is often required when the author agreement has not made any mention of NIHPAP compliance; in these cases it's imperative to contact the publisher to retain your right to post a final draft.



In method D, the paper will be deposited to NIHMS by someone at the publisher. After initial deposit by the publisher, an NIH Grantee or designate is usually required to follow-up to review and approve the NIHMS-formatted paper before it's assigned a PMCID#.

See a full list of the Method D journals at <u>https://publicaccess.nih.gov/</u> select\_deposit\_publishers.htm#d



This tool will help you to determine which of the four methods you need to use and how to do each one step-by-step. You can download it by following the link on this slide.

Available at <u>http://hdl.handle.net/2027.42/107424</u>

National Institutes of Health (NIH) NIH Manuscript Submission (NIHMS)



- The system was developed to facilitate the submission of peer-reviewed manuscripts supported by NIH grants for inclusion in PubMed Central (PMC) in support of the NIH Public Access Policy.
- The NIHMS system allows users –NIH grantees, authors, publishers--to supply material for conversion in a wide range of electronic formats that can be loaded into PMC.
- All the files associated with the peer-reviewed, accepted manuscript need to be submitted to NIHMS—
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  - References
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## Navigating the NIHMS Process

Depositing a manuscript into NIHMS is a multi-step process.



Deposit Methods C & D require the NIH Grantee or a designate to follow steps 1 through 5 to receive a PMCID# If publisher has deposited files to PMC as in Method D, NIH Grantee must still complete steps 2-5.

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## Manuscript Information

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### Manuscript Files

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table	Table 3 Discharge maintenance immunosuppression regiment other than	Table 3.jpg	1258	2016-12-31

New-Onset Diabetes Mellitus in Kidney Transplant Recipients Discharged on Steroid-Free Immunosuppression

## Luan, Fu L<sup>1,3</sup>; Stellick, Diane E.<sup>2</sup>; Ojo, Akiniolu O.<sup>1,2</sup>

Luar, Pu L<sup>13</sup>; SteEDk, Dane E<sup>3</sup>; Ojo, Akiniolu O.<sup>12</sup> **Author Information** <sup>1</sup> Division of Nephrology, Department of Internal Medicine, University of Michigan, Ann Arbor, M. <sup>3</sup> Solentific Registry of Transplant Recipients, Arbor Research Collaborative for Health, Ann Arbor, M. This work was supported by the Solentific Registry for Transplant Recipients grant 224-2005-37000C, Health Resources and Services Administration, U.S. Department of Health and Human Services [D.S. Jan do P.NII grant K&D ROB02204 (A.D.O.). A O.O. received grant support from Piter, U.S. for a separate investigator-initiated research project and received consulting fees and speaking honoraria from Pitzer, Genzyme, Roche, and Novaris. Presented at the American Transplant Congress, 2000, Boston, M. <sup>9</sup> Address correspondence to: Fu Luan, M.D., University of Michigan Health System, 3014 Tauhama Certer, Box 0394, 1000 East Medical Center Drive, Ann Arbor, M 48109-0384. E-ruai: fluangimed.umich.edu F-LL participated in study design and manuscript preparation; and A.O.O. participated in the study design and manuscript preparation; and A.O.O. participated in the study design and manuscript preparation; and A.O.O. participated in the study design and manuscript preparation; and A.O.O. participated in the Study design and manuscript preparation; and A.O.O. participated in the May 2010. Accepted 28 October 2010. **Abstract** 

Abstract

Background. New-onset diabetes after transplant (NODAT) is a serious complication after kidney transplantation. We studied the relationship between steroid-free maintenance regimens and NODAT in a national cohort of adult kidney transplant patients.

Methods. A total of 25,837 previously nondiabetic kidney transplant patients. Methods. A total of 25,837 previously nondiabetic kidney transplant patients, engrafied between January 1, 2004, and December 31, 2000, were included in the study. Logistic regression analysis was used to compare the risk of developing NOCM within 3 years after transplant for patients discharged with and without steroid-containing maintenance immunosuppression regimens. The eDect of transplant program-level practice regarding steroid-free regimens on the risk of NODAT was studied as well.

Results. The cumulative incidence of NODAT within 3 years of transplant was 16.2% overall; 17.7% with maintenance steroids and 12.3% without (P<0.001). Patients discharged with steroids had 42% greater odds of developing NODAT compared with



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## Reporting Publications in an NIH Research Performance Progress Report (RPPR)

- Before publications can be added to the NIH progress report, they must first be added to the NIH Grantee's My Bibliography.
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- 2. All publications in the NIH Grantee's My Bibliography that are not specifically associated with the award .
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