

CORRECTION: ABELIAN VARIETIES DEFINED OVER THEIR FIELDS OF MODULI, I

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The proof of the theorem contains an error. Before giving a correct proof, we state two lemmas.

LEMMA 1. *Let K/k be a cyclic Galois extension of degree m , let σ generate $\text{Gal}(K/k)$, and let (A, \mathcal{C}, θ) be defined over K . Suppose that there exists an isomorphism $\lambda: (A, \mathcal{C}, \theta) \rightarrow (A^\sigma, \mathcal{C}^\sigma, \theta^\sigma)$ over K such that $v\lambda^{\sigma^{m-1}} \dots \lambda^\sigma \lambda = 1$, where v is the canonical isomorphism $(A^{\sigma^m}, \mathcal{C}^{\sigma^m}, \theta^{\sigma^m}) \rightarrow (A, \mathcal{C}, \theta)$. Then (A, \mathcal{C}, θ) has a model over k , which becomes isomorphic to (A, \mathcal{C}, θ) over K .*

Proof. This follows easily from [7], as is essentially explained on p. 371.

LEMMA 2. *Let G be an abelian pro-finite group and let $\phi: G \rightarrow \mathbf{Q}/\mathbf{Z}$ be a continuous character of G whose image has order p . Then either:*

- (a) *there exist subgroups G' and H of G such that H is cyclic of order p^m for some m , $\phi(G') = 0$, and $G = G' \times H$, or*
- (b) *for any $m > 0$ there exists a continuous character ϕ_m of G such that $p^m \phi_m = \phi$.*

Proof. If (b) is false for a given m , then there exists an element $\sigma \in G$, of order p^r for some $r \leq m$, such that $\phi(\sigma) \neq 0$. (Consider the sequence dual to $0 \rightarrow \text{Ker}(p^m) \rightarrow G \xrightarrow{p^m} G$). There exists an open subgroup G_0 of G such that $\phi(G_0) = 0$ and σ has order p^r in G/G_0 . Choose H to be the subgroup of G generated by σ , and then an easy application to G/G_0 of the theory of finite abelian groups shows the existence of G' (note that $\phi(\sigma) \neq 0$ implies that σ is not a p -th power in G).

We now prove the theorem. The proof is correct up to the statement (iv) (except that (i) should read: $F' \subset k_1 \subset F'_{ab}$). To remove a minor ambiguity in the proof of (iv), choose σ to be an element of $\text{Gal}(F'_{ab}/k_2)$ whose image $\bar{\sigma}$ in $\text{Gal}(k_1/k_2)$ generates this last group. The error occurs in the statement that the canonical map $v: A^{\sigma^p} \rightarrow A$ acts on points by sending $a^{\sigma^p} \mapsto a$; it, of course, sends $a \mapsto a$.

The proof is correct, however, in the case that it is possible to choose σ so that $\sigma^p = 1$ (in $\text{Gal}(F'_{ab}/k_2)$).

By applying Lemma 2 to $G = \text{Gal}(F'_{ab}/k_2)$ and the map $G \rightarrow \text{Gal}(k_1/k_2)$ one sees that only the following two cases have to be considered.

(a) It is possible to choose σ so that $\sigma^{p^m} = 1$, for some m , and $G = G' \times H$ where G' acts trivially on k_1 and H is generated by σ .

(b) For any $m > 0$ there exists a field K , $F'_{ab} \supset K \supset k_1 \supset k_2$, such that K/k_2

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is a cyclic Galois extension of degree p^m .

In the first case, we let $K \subset F'_{ab}$ be the fixed field of G' . Then (A, \mathcal{C}, θ) , regarded as being defined over K , has a model over k_2 . Indeed, if $m = 1$, then this was observed above, but when $m > 1$ the same argument applies.

In the second case, let $\lambda : (A, \mathcal{C}, \theta) \rightarrow (A^{\bar{\sigma}}, \mathcal{C}^{\bar{\sigma}}, \theta^{\bar{\sigma}})$ be an isomorphism defined over k_1 and let $\nu\lambda^{\sigma} \dots \lambda^{\sigma^{p-1}}\lambda = \alpha \in \mu(R)$.

If λ is replaced by $\lambda\gamma$ for some $\gamma \in \text{Aut}_{k_1}((A, \mathcal{C}, \theta))$ then α is replaced by $\alpha\gamma^p$. Thus, as $\mu(R)$ is finite, we may assume that $\alpha^{p^{m-1}} = 1$ for some m . Choose K , as in (b), to be of degree p^m over k_2 . Let σ_m be a generator of $\text{Gal}(K/k_2)$ whose restriction to k_1 is $\bar{\sigma}$. Then

$$\lambda : (A, \mathcal{C}, \theta) \rightarrow (A^{\bar{\sigma}}, \mathcal{C}^{\bar{\sigma}}, \theta^{\bar{\sigma}}) = (A^{\sigma_m}, \mathcal{C}^{\sigma_m}, \theta^{\sigma_m})$$

is an isomorphism defined over K and $\nu\lambda^{\sigma_m p^{m-1}} \dots \lambda^{\sigma_m} \lambda = \alpha^{p^{m-1}} = 1$, and so, by Lemma 1, (A, \mathcal{C}, θ) has a model over k_2 which becomes isomorphic to (A, \mathcal{C}, θ) over K .

The proof may now be completed as before.

Addendum: Professor Shimura has pointed out to me that the claim on lines 25 and 26 of p. 371, viz that $\mu(R)$ is a pure subgroup of Π_R^* , does not hold for all rings R . Thus this condition, which appears to be essential for the validity of the theorem, should be included in the hypotheses. It holds, for example, if $\mu(R)$ is a direct summand of $\mu(F)$.

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