

1 **Faulting structure above the Main Himalayan Thrust**  
2 **as shown by relocated aftershocks of the 2015  $M_W$ 7.8**  
3 **Gorkha, Nepal earthquake**

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4 Three key points:

- 5 (1) We relocate the 2015 Gorkha earthquakes using teleseismic and regional waveforms.  
6 (2) The mainshock is located on the horizontal Main Himalaya Thrust (MHT) at a depth of  
7 18.5 km.  
8 (3) Aftershocks show faulting structure in the hanging wall above the MHT.

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9 The 25 April 2015,  $M_W$  7.8 Gorkha, Nepal earthquake ruptured a shallow  
10 section of the Indian-Eurasian plate boundary by reverse faulting with NNE-  
11 SSW compression, consistent with the direction of current Indian-Eurasian  
12 continental collision. The Gorkha mainshock and aftershocks were recorded  
13 by permanent global and regional arrays and by a temporary local broad-  
14 band array near the China-Nepal border deployed prior to the Gorkha main-  
15 shock. We relocate 272 earthquakes with  $M_W > 3.5$  by applying a multi-  
16 scale double-difference earthquake relocation technique to arrival times of  
17 direct and depth phases recorded globally and locally. We determined a well-  
18 constrained depth of 18.5 km for the mainshock hypocenter which places it  
19 on the Main Himalayan Thrust (MHT). Many of the aftershocks at shallower  
20 depths illuminate faulting structure in the hanging wall with dip angles that  
21 are steeper than the MHT. This system of thrust faults of the Lesser Himalaya  
22 may accommodate most of the elastic strain of the Himalayan orogeny.

## 1. Introduction

23 The collision between the Indian and Eurasian continental plates formed the Himalaya  
24 mountain range, the highest orogenic belt on Earth with widespread continental seis-  
25 micity. The region is classically divided into four tectonic units from south to north:  
26 sub-Himalaya, Lesser Himalaya, Higher Himalaya and Tethyan Himalaya [?] (Figure 1).  
27 The Main Frontal Thrust (MFT), Main Boundary Thrust (MBT), Main Central Thrust  
28 (MCT), and South Tibet Detachment (STD) separate the four tectonic units. They con-  
29 verge at the Main Himalaya Thrust (MHT), the detachment along which the Indian plate  
30 subducts beneath the Himalayan mountains [???].

31 The potential for devastating earthquakes in the Himalaya has long been recognized.  
32 Historical documents since the 10<sup>th</sup> century show evidence for great Himalayan earth-  
33 quakes with a recurrence interval of about 800 years [??]. Nearly 500 earthquakes of  
34  $M_W \geq 4.5$  have occurred along the Himalayas orogen since 1964. The Gorkha, Nepal  
35 earthquake has heightened concern for large earthquakes along the Himalayan front [??].  
36 This is the first well-recorded earthquake and aftershock sequence on the shallowest por-  
37 tion of the MHT. The seismic data will provide new constraints on the fault zone structure  
38 of the Indian-Eurasian plate boundary at shallow depth and insight into seismic hazard  
39 in the region.

40 In this study, we relocate aftershock hypocenters to infer the structure of the Gorkha  
41 earthquake fault zone. Our data come from the Global Seismic Network, the China  
42 National Seismic Network, and a temporary array of 15 broadband seismic stations that  
43 we deployed at the China-Nepal border in December 2014. The combination of teleseismic

44 (30–90°) P waveforms and regional Pg, Sg, Pn and Sn signals is optimal for precise  
45 hypocenter determinations.

## 2. The Main Himalayan Thrust

46 The MHT is defined as the detachment that separates the underthrusting Indian plate  
47 from the overriding Himalaya orogeny. The concept of the MHT was proposed by ? based  
48 on the locations and fault plane solutions of moderate earthquakes at 10–20 km depths.  
49 A similar concept of Main Detachment Fault was put forward by ? from a tectonic  
50 reconstruction of eastern Nepal. The MHT was imaged at a depth of 30–40 km by ? with  
51 a deep seismic reflection profile in southeastern Tibet. In the past decade, broadband  
52 seismic arrays have been deployed to constrain the structure of the MHT in the central  
53 [???], eastern [??] and western [??] Himalaya, respectively.

54 Subduction of the Indian continental lithosphere beneath the Himalaya has been shallow  
55 and nearly horizontal since initiation of the Indo-Asian collision. The dip angle increases  
56 with depth from the MCT to the Indus-Tsangpo suture (ITS). The deeper sections of the  
57 MHT are constrained best [e.g., ?]. However, the shallow structure of the MHT remains  
58 uncertain because the interpretation of shallow seismic wave refraction is difficult [??].

## 3. Waveform modeling and Multi-DD relocations

59 We relocated a total of 272 earthquakes, including the Gorkha mainshock, 233 after-  
60 shocks within one month and 38 earthquakes that occurred before the Gorkha earthquake  
61 since 1980 (Figure 1, and Table S2). Our estimates are based on five data sets: (1) bul-  
62 letins from the National Earthquake Information Center (NEIC) of the U.S. Geological  
63 Survey (USGS) for 234 earthquakes of  $M_W > 3.5$  that occurred within one month after

64 the mainshock, (2) bulletins from the National Seismic Network of Nepal and the Inter-  
65 national Seismological Centre (ISC) for 38 earthquakes of  $M_W > 3.5$  that occurred in  
66 the source region during three decades prior to the Gorkha earthquake, (3) seismic and  
67 waveform data from the China Earthquake Data Center (CEDC) and the China National  
68 Seismic Network (CNSN), (4) teleseismic P waveforms from the Global Seismic Network,  
69 and (5) waveform data from a temporary array of 15 broadband seismic stations along  
70 the China-Nepal border, deployed by the Institute of Tibetan Plateau Research, Chinese  
71 Academy of Sciences prior to the Gorkha earthquake. Our local temporary array recorded  
72 many of the aftershocks at epicentral distances less than 100 km (Figure 2 (a)), including  
73 the  $M_W > 7.3$  Kodari earthquake [?]. Permanent seismic stations in the Tibetan region  
74 at epicentral distances of  $2-7^\circ$  recorded clear Pn and Sn head waves and Pg and Sg waves  
75 (Figure 2 (b)). At teleseismic distances, surface reflections pP and sP phases for moderate  
76 earthquakes (Figure 3) provide constraints on focal depths.

77 Our analysis comprises three steps. First we determine hypocenters for the Gorkha  
78 earthquake and its 233 aftershocks based on the HYPOSAT methodology [?]. Absolute  
79 traveltimes and traveltime differences at common stations are modeled using a layered  
80 velocity structure in the source region, representing the Himalayan orogenic prism, the  
81 Indian upper and lower crust, and the Indian mantle, respectively [??] (Table S1). We  
82 constrain the absolute focal depths of moderate aftershocks ( $M_W$  5.5 to  $M_W$  6.3, Table  
83 S2) by modeling the teleseismic waveforms of the direct P and the surface reflections  
84 pP and sP (Figure 3) following ?. Using the depths determined by HYPOSAT and  
85 by waveform modeling, we calculate hypocenters of all earthquakes using a multi-scale

86 double-difference earthquake relocation method (Multi-DD) [?], which is modified from  
87 the hypoDD programs [?] to include phases recorded by regional and teleseismic networks.  
88 Since differential traveltimes do not depend strongly on the assumed velocity models along  
89 the whole raypath [??], the joint analysis of local, regional and teleseismic data and the  
90 precise measurements of differential phase arrival times via waveform cross correction  
91 for the China-Nepal array ((Figure S1)) improve the relative focal depth determinations  
92 considerably. The focal depths for earthquakes determined by waveform modeling are  
93 held fixed during the Multi-DD processing to constrain the absolute focal depths of all  
94 earthquakes. We calculate differential traveltimes between each event and up to eight of  
95 its nearest neighbors. Each event pair has 8–32 commonly observed phases within a 15  
96 km distance between the two events. The data include 9,690 Pg and Sg, 5,288 Pn and  
97 Sn, 174 pP and sP, and 17,664 teleseismic P and S arrival times.

#### 4. Results

98 We estimated the uncertainty of the relocations by a bootstrap analysis [?] using 100  
99 sampling iterations (Figure S2). This analysis indicates that epicenters are estimated with  
100 an accuracy of  $\pm 3.5$  km, which is two times smaller than the average uncertainty of  $\pm 7.0$   
101 km reported in the NEIC catalog. The uncertainty in the focal depth estimate is  $\pm 2.0$   
102 km, in agreement with the estimates of focal depth uncertainty based on waveform fits  
103 (Figure 3). The differential time residuals are reduced substantially from  $\pm 5$  s before to  
104  $\pm 1.5$  s after relocations (Figure S3). The weighted L1 and L2 norm residuals decreased  
105 from 1.10 s and 1.58 s to 0.46 s and 0.60 s after relocations, respectively, demonstrating  
106 that the earthquakes are better relocated.

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107 On average, the epicenters have been relocated by 6.2 km. The average focal depth  
108 after relocation is 14.7 km below the surface, deeper than the default value of 10 km in  
109 the NEIC catalog for most of the aftershocks. Almost all aftershocks occurred to the  
110 southeast of the mainshock. Few aftershocks occurred northeast of Kathmandu, where  
111 coseismic slip is large [?????]. The  $M_W$  7.3 Kodari earthquake occurred on the eastern  
112 edge of the aftershock zone. We estimate the focal depth of the mainshock to be  $18.5 \pm 2$   
113 km (Figure 3), consistent with the depth of the MHT [?] and the locking line at the  
114 source region [?]. The focal depths of the  $M_W$  7.3 and  $M_W$  6.7 aftershocks (events 150  
115 and 86 in Table S2) are 21 km, slightly deeper than the depth of MHT near a patch of  
116 large slip below the plate surface [?].

117 Figure 4 shows relocated hypocenters of the mainshock and major aftershocks along  
118 a  $N20^\circ E$  cross-section perpendicular to the strike of the Ghorka mainshock fault plane.  
119 Most aftershocks are shallower than the mainshock and located in the hanging wall. They  
120 line up as clear north-dipping structures with dip angles of about  $25^\circ$ , which is  $15^\circ$  steeper  
121 than the dip of the MHT [?] and the shallow nodal plane of the mainshock [?]. The steeper  
122 dips are in good agreement with the focal mechanisms solutions of aftershocks 12, 76, 98,  
123 156, and 222 (Table S2) reported in the global Centroid Moment Tensor (gCMT) catalog.

## 5. Discussion and conclusions

124 The 2015 Ghorka earthquake sequence on a shallow section of the MHT has been  
125 recorded extremely well by local, regional, and global seismic arrays. From precise re-  
126 locations, we infer that the Gorkha aftershocks (Figure 1) are distributed above the an-  
127 tyclinorium system of the MCT. The southern edge of the aftershock zone is very close



128 to the MBT [?], which is the thrust placing the lesser Himalaya over Tertiary sedimen-  
129 tary strata. ? suggested that earthquakes along Himalaya orogeny are mostly parallel  
130 to the MBT. These observations indicate that the MBT may be important in controlling  
131 earthquake occurrence along the frontal edge of the Himalaya.

132 While the mainshock ruptured a section of the MHT, most of the aftershocks with  
133  $M_W$  3.5 or larger have shallower focal depths and the northward dipping nodal planes of  
134 the largest aftershocks have larger dip angles. We infer therefore that the aftershocks are  
135 mainly distributed on steeper dipping structures within the hanging wall of the Lesser  
136 Himalaya (Figure 4).

137 Northward motion on the Indian plate was associated with development of a thrust  
138 system that consists of both the near horizontal MHT and more steeply dipping faults  
139 above. ? and ? suggested such Lesser Himalayan duplex system to exist in the western  
140 Himalaya and to cause folding of the MCT and STD at deeper depth. The Ghorka  
141 aftershock locations indicates that this thrust system is also present in central Nepal.

142 Active faults exist throughout the Kathmandu basin [?]. However, strike-slip earth-  
143 quakes on these near-vertical faults have not been recorded in the past fifty years [?].  
144 Great earthquakes in the past 200 years include the August 26, 1833  $M_W$  8.0 event [?],  
145 and the January 15, 1934  $M_W$  8.0 Bihar-Nepal event [?] (Figure 1), which have been at-  
146 tributed to slip on the MHT. Many of the historical large earthquakes along the Himalaya  
147 orogeny were located beneath the Lesser Himalaya [?]. We infer that the Lesser Himalaya  
148 thrust system is the most seismically active region along the Himalaya convergence and  
149 accommodates most of the elastic strain accumulation of the region.

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155 data from the Data Management Center of IRIS and CNSN at Institute of Geophysics,  
156 China Earthquake Administration (SEISDMC, doi: 10.7914/SN/CB, [?]), respectively.  
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**Figure 1.** The study region showing the relocations of the  $M_W 7.8$  Gorkha,  $M_W 7.3$  Kodari earthquakes (yellow stars), aftershocks (red circles) and earthquakes that occurred before the Gorkha earthquake since 1980 (blue circles) superposed on a slip model [?]. Historic seismicity of  $M_W > 7.0$  since 1000 is shown with large black circles. Blue triangles show the 15 stations of the China-Nepal seismograph array deployed before the Gorkha earthquake. Green triangles show the short period seismic stations of the National Seismic Network of Nepal. Station EVN and ZBA belong to the IO and CEDC networks, respectively. The black square shows the location of Nepal's capital city, Kathmandu. The double lines indicate the location and the direction N20°E of the cross section shown in Figure 4. The inset at the lower left corner shows seismic stations from the China National Seismic Network (green triangles) and the Global Seismic Network (black triangles) used in this study.

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**Figure 2.** Record section of vertical-component seismograms for event 222 (Table S2) recorded by the (a) China-Nepal array and (b) China National Seismic Network. Dotted red lines are observed arrival times of each phase.

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**Figure 3.** Comparison of the recorded (thick lines) and computed P waveform for event 98 (Table S2) at stations (a) TIXL, (b) JSD, and (c) KIV. Waveforms are bandpass filtered from 0.01 to 1 Hz. The preferred focal depth for this event is 15.5 km below the surface (thin lines) or 14.2 km beneath sea level. Waveform misfit for  $H = 10$  km (0.73) and  $H = 20$  km (0.96) are much higher because pP and sP phases in the data and the computed seismograms are misaligned. (d) Focal mechanism of the earthquake. Locations of the 3 stations shown in (a)-(c) are shown by open circles.

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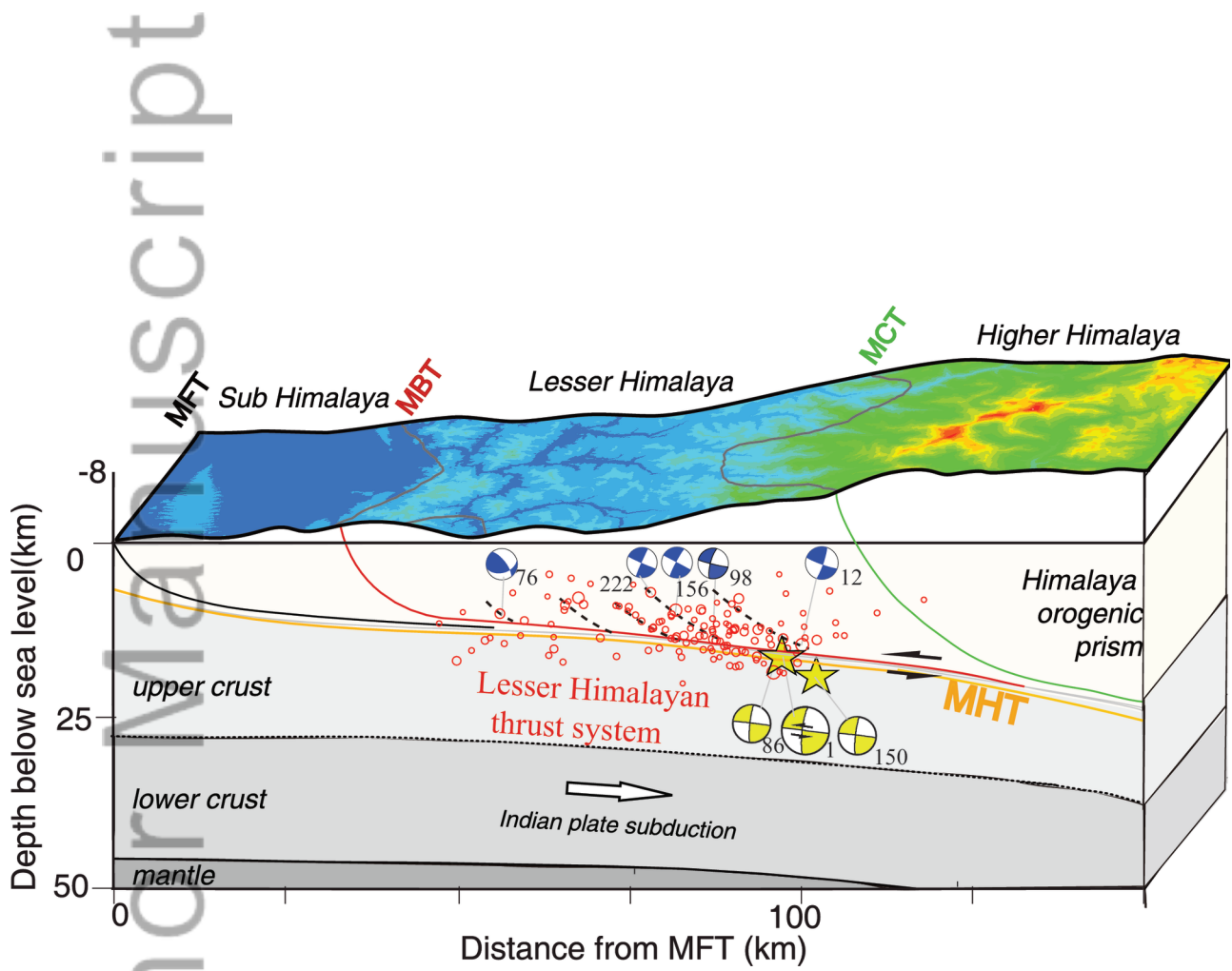
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**Figure 4.** Cross section along the double lines in Figure 1 showing relocated earthquakes occurred either before the  $M_W$  7.3 event or after the  $M_W$  7.3 event with magnitudes greater than 5. Yellow and blue earthquake focal mechanisms show events with dip angles of about  $10^\circ$  and  $25^\circ$ , respectively (<http://www.globalemt.org/>). Numbers are the earthquake ID (Table S2 in the supplementary material). The dotted black lines indicate the steeply dipping faults where aftershocks occurred within the Lesser Himalayan thrust system.

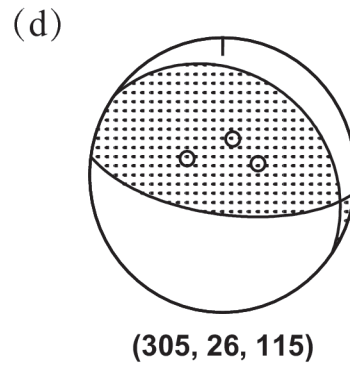
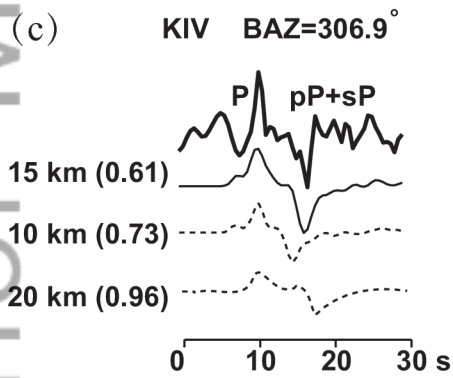
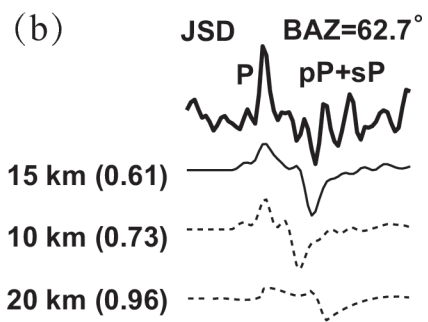
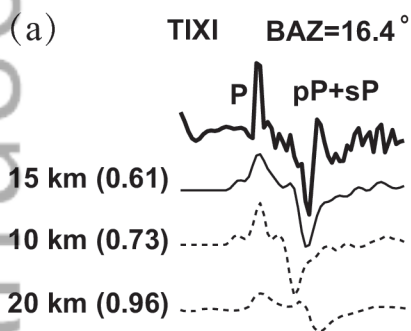
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