

**1 HF Radar Observations of a Quasi Biennial
2 Oscillation in Mid-Latitude Mesospheric Winds**

Garima Malhotra,¹ J. M. Ruohoniemi,¹ J. B. H. Baker¹ R. E. Hibbins² and

K. A. McWilliams³

Author Manuscript

Corresponding author: Garima Malhotra, Electrical and Computer Engineering, Virginia Tech,
VA, USA. (garima@vt.edu)

¹Bradley Department of Electrical and
Computer Engineering, Virginia Tech, USA.

²Department of Physics, Norwegian
University of Science and Technology,
Trondheim, Norway and Birkeland Centre
for Space Science, Bergen, Norway

³University of Saskatchewan, Canada

This is the author manuscript accepted for publication and has undergone full peer review but has not been through the copyediting, typesetting, pagination and proofreading process, which may lead to differences between this version and the Version of Record. Please cite this article

as doi:10.1002/2016JD024995 October 19, 2016, 7:33pm

D R A F T

Abstract.

The Equatorial Quasi Biennial Oscillation (QBO) is known to be an important source of interannual variability in the mid and high-latitude stratosphere. The influence of the QBO on the stratospheric polar vortex in particular has been extensively studied. However, the impact of the QBO on the winds of the mid-latitude mesosphere is much less clear. We have applied 13 years (2002-2014) of data from the Saskatoon SuperDARN HF radar to show that there is a strong QBO signature in the mid-latitude mesospheric zonal winds during the late winter months. We find that the Saskatoon mesospheric winds are related to the winds of the equatorial QBO at 50 hPa such that the westerly mesospheric winds strengthen when QBO is easterly, and vice-versa. We also consider the situation in the late-winter Saskatoon stratosphere using the ECMWF ERA-Interim reanalysis data set. We find that the Saskatoon stratospheric winds between 7 hPa and 70 hPa weaken when the equatorial QBO at 50 hPa is easterly, and vice-versa. We speculate that gravity wave filtering from the QBO-modulated stratospheric winds and subsequent opposite momentum deposition in the mesosphere plays a major role in the appearance of the QBO signature in the late winter Saskatoon mesospheric winds, thereby coupling the equatorial stratosphere and the mid-latitude mesosphere.

1. Introduction

23 The zonal winds in the equatorial stratosphere exhibit a marked Quasi Biennial Oscil-
24 lation (QBO) that is characterized by switching between easterlies and westerlies with an
25 average period of ~ 28 months [Reed, 1965; Naujokat, 1986; Baldwin *et al.*, 2001; Kawatani
26 *and Hamilton*, 2013]. The QBO was discovered independently by Reed *et al.* [1961] and
27 Ebdon [1960] and is an important mode of interannual variability in the tropics. The
28 easterly and westerly shears originate at ~ 3 hPa (~ 35 -40 km) and propagate downward
29 with a speed of ~ 1 -2 km/month until they dissipate near ~ 90 hPa (~ 18 km) [Reed *et al.*,
30 1961; Baldwin *and Dunkerton*, 1998]. QBO is zonally symmetric and is strongest at
31 the equator with a Gaussian-like latitudinal half-width of $\sim 12^\circ$ [Wallace, 1973; Baldwin
32 *et al.*, 2001]. Numerous modeling and simulation studies have established that its gener-
33 ation mechanism is an interaction between equatorial gravity waves and the background
34 mean flow [Lindzen *and Holton*, 1968; Holton *and Lindzen*, 1972; Plumb *and Bell*, 1982;
35 Dunkerton, 1997]. Even though QBO is a tropical phenomenon, it is observed to modulate
36 the extratropical circulation in mid- as well as high-latitudes [Sprenger *and Schminder*,
37 1968; Belmont *and Nastrom*, 1979; Holton *and Tan*, 1980; Dunkerton *and Baldwin*, 1991;
38 Baldwin *and Dunkerton*, 1998]. Specifically, the interannual variation of the winter polar
39 vortex due to QBO has been the subject of studies since the 1980s [Holton *and Tan*, 1980;
40 Dunkerton *and Baldwin*, 1991; Baldwin *and Dunkerton*, 1998].

41 The relationship between the polar vortex and equatorial stratospheric QBO is widely
42 known as the Holton-Tan (HT) relationship, in which the easterly phase of QBO (~ 50
43 hPa) results in a warmer and weaker polar vortex. Holton *and Tan* [1980] first described

44 a mechanism to explain this synchronization between QBO and polar stratospheric winds
45 using 16 years of geopotential height data in the Northern Hemisphere. They proposed
46 that easterly QBO (~ 50 hPa) shifts the zero-wind line into the subtropics of the winter
47 hemisphere, narrowing the waveguide for planetary-wave propagation, resulting in pole-
48 ward refraction of planetary waves and hence, a disturbed polar vortex. By contrast,
49 westerly QBO causes the planetary waves from the extratropics to leak into the summer
50 hemisphere, resulting in a colder, more stable vortex in the winter hemisphere. Though
51 plausible, this mechanism has not been adequately verified due to inconclusive observa-
52 tions of QBO modulation of planetary wave fluxes [*Holton and Tan*, 1980, 1982; *Dunkerton*
53 *and Baldwin*, 1991] and several other mechanisms have been suggested to explain the HT
54 relationship [*Gray et al.*, 2001a, b; *Pascoe et al.*, 2006; *Naoe and Shibata*, 2010; *Garfinkel*
55 *et al.*, 2012]. Some studies have also observed modulation of the HT relationship by the
56 11 year solar cycle [*Labitzke and Loon*, 1988; *Gray et al.*, 2004; *Lu et al.*, 2008, 2009].

57 The existence of the QBO is not just limited to the stratosphere but extends into the
58 mesosphere as well [*Burrage et al.*, 1996]. Mesospheric QBO maximizes at the equator
59 but extends to $\pm 30^\circ$ latitudes [*Burrage et al.*, 1996]. Equatorial Mesospheric QBO max-
60 imizes during the spring equinox and is opposite in phase with the stratospheric QBO
61 [*Venkateswara Rao et al.*, 2012]. It is most probably generated by momentum deposi-
62 tion of gravity waves selectively filtered by the stratospheric winds [*Mayr et al.*, 1997;
63 *de Wit et al.*, 2013]. At high-latitudes, a mesospheric QBO signal has been previously
64 observed in planetary wave activity [*Espy et al.*, 1997; *Hibbins et al.*, 2009], semidiurnal
65 tides [*Jarvis*, 1996; *Hibbins et al.*, 2007, 2010], diurnal tides [*Xu et al.*, 2009], temperatures
66 [*Espy et al.*, 2011; *Mayr et al.*, 2009] and winds [*Ford et al.*, 2009; *Hibbins et al.*, 2009].

67 However, at mid-latitudes, the mesospheric QBO signal is comparatively less understood.
68 Its amplitude and period have been observed to vary between 1-7 m/s and 22-36 months,
69 respectively [Sprenger and Schminder, 1968; Groves, 1973; Sprenger et al., 1975; Neu-
70 mann, 1990; Namboothiri et al., 1994; Kane et al., 1999; Manson et al., 1981]. Belmont
71 and Nastrom [1979] reported a weak QBO in the Saskatoon mesosphere below 118 km
72 with a phase shift of 180° between 94 and 97 km. Namboothiri et al. [1993] found a bien-
73 nial periodicity in the winds at Saskatoon but could not link it to the equatorial QBO due
74 to an inconsistent phase relationship. Kürschner and Jacobi [2003] found a QBO effect
75 in mesospheric winds at Collm ($\sim 50^\circ\text{N}$) in phase with stratospheric winds at 30 hPa.
76 However, several studies have searched for, but been unable to find, a robust QBO signal
77 [Middleton et al., 2002; Baumgaertner et al., 2005]. Thus, the relationship of mid-latitude
78 mesospheric QBO with equatorial QBO and its generation mechanism remains unclear.

79 This study aims to investigate the extent to which a QBO signature appears in the
80 mid-latitude mesospheric winds measured by the SuperDARN HF radar at Saskatoon
81 (52.16°N , 106.53°E). We demonstrate the existence of such a signature and investigate its
82 correlation with the equatorial QBO and its seasonal dependence and discuss its possible
83 source. This paper is organised as follows: Section 2 describes the data sets employed
84 and how they were pre-processed, Section 3 describes the results obtained by comparing
85 the Saskatoon winds with equatorial measurements and Section 4 discusses and interprets
86 these results followed by Section 5 which gives the Conclusions.

2. Data sets and Pre-processing

2.1. Saskatoon SuperDARN radar: Mid-Latitude Mesospheric Winds

87 The primary data set relates to the prevailing mesospheric winds measured by the HF
88 radar located at Saskatoon (52.16°N , -106.53°E). This radar belongs to the Super Dual
89 Auroral Radar Network (SuperDARN) which is a network of 30+ HF radars distributed
90 across various sites in the mid to high latitude regions of the Northern and Southern
91 hemispheres. The primary purpose of SuperDARN is to study plasma convection in
92 the ionosphere by receiving backscatter from magnetic field aligned plasma irregularities
93 [*Greenwald et al.*, 1985]. This study makes use of the 'Grainy Near-Range Echoes' (GN-
94 REs) observed in the first few range gates which are backscattered from meteor ionization
95 trails at 94 ± 3 km altitude [*Hall et al.*, 1997; *Chisham et al.*, 2007]. Meteor backscatter
96 usually has a peak during local midnight and early morning hours. The longest and most
97 reliable time series of such measurements is from the radar at Saskatoon which has been
98 operating almost continuously since 1994.

99 The meteor echoes observed by the SuperDARN radars can be used to derive wind
100 velocities at mesospheric heights of 94 ± 3 km [*Jenkins et al.*, 1998; *Hussey et al.*, 2000].
101 Extracting hourly mean zonal and meridional components of the mesospheric winds from
102 the Saskatoon radar meteor echoes requires some pre-processing steps to isolate them
103 from other forms of backscatter and to remove noise. Specifically, we have excluded
104 echoes having line of sight velocity greater than 100 m/s, error in velocity greater than
105 50 m/s [*Hobbins et al.*, 2009], spectral width less than 1 m/s or greater than 50 m/s and
106 signal to noise ratio less than 3 dB or greater than 24 dB [*Matthews et al.*, 2006]. The
107 remaining echoes are assumed to represent backscatter from meteor ionization trails and

108 are used to calculate hourly median velocities over the first four range gates. The median
109 line-of-sight velocity for each beam-gate cell is then scaled by the elevation angle to obtain
110 horizontal velocities. The analysis assumes that the same neutral wind vector is present
111 over the entire area covered by the radar beams and the wind has a well defined average
112 velocity within the one-hour UT intervals. Hourly median horizontal velocities in the first
113 four range gates are averaged for each beam resulting in 16 velocity values for every hour.
114 These azimuthally distributed velocities are then fit using least squares singular value
115 decomposition over all radar beam azimuth angles [*Press et al.*, 1992]. The total number
116 of meteor echoes including all beams and first four range gates, range between tens (local
117 noon) to thousands (local dawn) per hour. The fitting is performed for those hours having
118 data in at least five radar beams. The standard errors in the wind velocities are determined
119 from the covariance matrix of errors. The extent of uncertainties largely depend on the
120 number of meteors detected and the spread in azimuth of the beams used for the final two
121 component horizontal wind fit. Previous studies have used a similar technique to derive
122 mesospheric winds from the SuperDARN radars [*Jenkins et al.*, 1998; *Jenkins and Jarvis*,
123 1999; *Bristow et al.*, 1999; *Hussey et al.*, 2000; *Malinga and Ruohoniemi*, 2007; *Hibbins*
124 *et al.*, 2007].

125 The last step is to produce a daily zonal wind using a technique similar to that used by
126 *Hibbins and Jarvis* [2008]. Specifically, hourly zonal winds are split into 4-day segments
127 and a running non-linear least squares fit analysis (centered on the third day) is done
128 to remove the high frequency components of terdiurnal (8 hour), semidiurnal (12 hour),
129 diurnal (24 hour) tides and the quasi two day (48 hour) planetary wave. To ensure a
130 good fit, only those data segments having more than half (>48) of the hourly winds

131 are used. This running fit analysis is successively stepped by one day to create a time
132 series of the daily zonal winds. Monthly mean winds are then calculated by averaging
133 the daily zonal winds if the number of daily zonal wind measurements are greater than
134 15 in a month. The uncertainties are determined by standard errors around the mean.
135 The measurements span the 2002-2014 interval. For this entire duration, there were no
136 months for which monthly mean winds could not be determined.

137 Previous studies have compared the winds derived from SuperDARN radars with mea-
138 surements of other co-located instruments and found good agreement at mesospheric
139 altitudes of ~ 95 km [Bristow *et al.*, 1999; Hussey *et al.*, 2000]. Over the past decade,
140 the SuperDARN radars have been used to study several prominent mesospheric phenom-
141 ena such as the Quasi Two Day planetary wave [Malinga and Ruohoniemi, 2007], polar
142 mesospheric summer echoes [Ogawa *et al.*, 2004], long-period planetary waves [Espy *et al.*,
143 2005; Hibbins *et al.*, 2009; Kleinknecht *et al.*, 2014] and semidiurnal tides [Hibbins *et al.*,
144 2007; Hoops and Jarvis, 2008].

2.2. ERA Interim-ECMWF: Mid-Latitude Stratospheric Winds

145 To assess the QBO signature in the mid-latitude stratosphere, winds are specified using
146 monthly mean zonal wind data from the European Centre for Medium-Range Weather
147 Forecasts (ECMWF) Re-Analyses (ERA) Interim data set. This global atmospheric re-
148 analysis data set began in 1979, is constantly updated in real time, and has a spatial
149 resolution of 0.75° . It uses the ECMWF Integrated Forecasting System (IFS), which in-
150 corporates a model with three fully coupled components for the atmosphere, land surface,
151 and ocean waves [Dee *et al.*, 2011]. All observations used in ERA-Interim are subject to
152 a suite of quality control and data selection steps [Dee *et al.*, 2011]. The forecast model,

153 data assimilation method and input data sets used to produce ERA-Interim are described
154 in detail by *Berrisford et al.* [2009] and *Dee et al.* [2011]. For the purposes of this study,
155 we use the zonal winds for 2002-2014 obtained from pressure levels 1 to 70 hPa at 53°N
156 and -106°E as an approximate measure of winds in the stratosphere over Saskatoon.

2.3. Singapore Radiosonde Station: Equatorial Stratospheric Winds

157 Winds in the equatorial stratosphere are specified using monthly mean zonal
158 wind data obtained by the Singapore radiosonde station (1°N, 104°E). These
159 measurements are commonly used as a proxy for the equatorial Quasi Bi-
160 ennial Oscillation (QBO). The data were downloaded from [http://www.geo.fu-](http://www.geo.fu-berlin.de/met/ag/strat/produkte/qbo/singapore.dat)
161 [berlin.de/met/ag/strat/produkte/qbo/singapore.dat](http://www.geo.fu-berlin.de/met/ag/strat/produkte/qbo/singapore.dat) provided by Free University of
162 Berlin. This data set has been produced since 1987 from the Singapore radiosonde mea-
163 surements by using the daily vertical wind profiles. This data set is representative of the
164 entire equatorial belt since longitudinal differences in the phase of QBO are known to be
165 small [*Belmont and Dartt*, 1968]. The limitation of this data set is that the uncertainties
166 in the wind velocities are not provided. For the purposes of this study, we use the zonal
167 winds for 2002-2014 obtained from pressure levels 10 hPa to 100 hPa.

3. Results

168 In this section, we present evidence of a QBO signature in the mid-latitude mesospheric
169 winds measured by the Saskatoon HF radar and show that it has a significant correlation
170 with the equatorial QBO measured in the Singapore radiosonde data, and investigate the
171 relationship with the mid-latitude stratospheric winds derived from the ECMWF data
172 set.

3.1. Zonal Wind Observations

173 **Saskatoon Mesospheric Winds:** Monthly mean zonal winds measured by the mid-
174 latitude Saskatoon radar for 2002-2014 are presented in Figure 1a. A persistent seasonal
175 cycle is apparent along with year-to-year variations. To isolate this interannual variability,
176 the winds were first de-seasonalized in Figure 1b by subtracting the average climatology
177 from the time-series in Figure 1a, and then a Lomb-Scargle analysis is performed to ex-
178 amine the frequency components in the residual winds. Figure 1c shows the Lomb Scargle
179 periodogram obtained. The black horizontal dotted line indicates the 90% confidence level.
180 Several peaks are visible. Of particular note for this study is the peak at 27.6 months
181 which can be associated with the Quasi Biennial Oscillation (QBO) and the peaks around
182 8 and 22 months could be attributed to a non-linear interaction between the seasonal (12
183 month) cycle and the QBO, suggesting that the QBO signal is preferentially carried in
184 one season.

185 **Singapore Equatorial QBO:** Quasi-biennial oscillation is often seen at the equator
186 as downward propagating bands of alternating westerly and easterly winds. These features
187 are illustrated in Figure 2a which shows height-resolved stratospheric monthly mean zonal
188 winds at the Singapore equatorial radiosonde station for 2002-2014. The contour interval
189 is 10 m/s with positive (negative) representing westerly (easterly) winds. Year labels on
190 the horizontal axis at dashed lines show start of the year. Figure 2b shows the zonal
191 winds at Singapore equatorial radiosonde station at 50 hPa and Figure 2c shows their
192 Lomb Scargle periodogram with a peak at 27.3 months (red line). A cycle of ~ 28 months
193 can be clearly seen in Figure 2b along with pronounced asymmetry between the two
194 phases such that easterly phases tend to have higher intensity and shorter duration than

195 westerly phases at 50 hPa. This is especially evident from 2009 onwards. At 50 hPa, most
196 of the phase transitions occur in Northern spring-summer months (Mar-Aug) suggesting
197 QBO is synchronized with the annual cycle at this altitude. By contrast, at about 100
198 hPa (\sim 15-20 km) in Figure 2a, QBO is much less apparent and there is instead a steady
199 layer of easterly winds. Therefore, for further analysis, we consider the equatorial QBO
200 between 10-70 hPa, as the QBO is strongest at these altitudes.

201 Comparison of Figure 2b to Figures 1a and 1b shows that the late winter (Jan-Feb)
202 peaks in westerly mesospheric winds (e.g. during 2004, 2006 and 2013) typically occur
203 during easterly phases of the 50 hPa QBO. There is some evidence to suggest that the
204 mesospheric zonal wind anomalies at mid-latitudes are related to the phase of the equa-
205 torial stratospheric QBO.

206 ***QBO-ordered Climatology of Mid-Latitude Mesospheric winds:*** To further
207 study the possibility of QBO influences in the mid-latitude Saskatoon mesospheric winds,
208 we divide the monthly mean wind data shown in Figure 1a according to QBO phase to
209 produce climatology of the mid-latitude zonal winds for when the QBO phase is positive
210 at 50 hPa and when the QBO phase is negative at 50 hPa. Figure 3a shows the result. The
211 blue curve is the climatology for time periods when QBO was easterly while the red curve
212 is the climatology for the time periods when QBO was westerly. The average climatology
213 obtained by averaging all time periods, is identified by the green curve. The data points
214 used for plotting the two QBO climatologies are also shown for each month, with blue (red)
215 points representing the average wind velocities during QBO easterly (westerly) phases. It
216 is evident from the green curve that the mid-latitude mesospheric winds at Saskatoon are
217 dominated by easterlies (negative values) from Mar to Jun and westerlies (positive values)

218 during rest of the year. During winter (Nov-Feb), the prevailing winds at Saskatoon are
219 generally westerlies and dramatically reverse to easterlies between Feb and Apr. These
220 winds then reverse back to westerlies in Jun reaching high magnitudes of around ~ 15 m/s
221 in Aug. The winds decrease to low magnitudes of ~ 5 m/s in Sep and of $\sim 2 - 3$ m/s
222 in Oct, however, they do not reverse direction. These westerly winds start increasing in
223 magnitude from Oct and persist for the entire winter. This climatology agrees well with
224 that described by *Manson and Meek* [1986] and *Portnyagin and Solovjova* [2000] at ~ 95
225 km at mid latitudes.

226 It can also be observed that although the mean directions of the winds remain the same,
227 the difference between the winds of the two QBO climatologies ranges between 0 m/s and 8
228 m/s. Figure 5b shows the difference between the QBO and the average climatologies. The
229 blue curve shows the difference between the QBO easterly and the average climatology
230 (blue curve-green curve of Figure 3a), whereas the red curve shows the difference between
231 the QBO westerly and the average climatology (red curve-green curve of Figure 3a). From
232 Figure 3, during early winter (Nov-Dec), no statistically significant QBO modulation is
233 present as the error bars (or even means) overlap. However, in late winter (Jan-Feb),
234 a statistically significant modulation is seen such that the easterly phase (blue) of QBO
235 increases the magnitude of the Saskatoon mesospheric winds relative to the westerly phase
236 (red). The effect of QBO after the spring reversal, during Apr and May, is such that the
237 easterly (westerly) phase of QBO increases (decreases) the magnitude of the easterly
238 wind velocities whereas during Aug it decreases (increases) the magnitude of westerly
239 wind velocities. In Sep, the effect of QBO flips again and is similar to that observed in
240 late winter. It should be noted in Figure 3a that the green curve does not exactly lie

241 between the blue and red curves. This is because the number of points are unequal in the
242 two QBO climatologies owing to asymmetry between the two phases as noted previously
243 in Figure 1b. It can be observed from panels a, b and c that the QBO differences are
244 largest during late winter (Jan-Feb).

3.2. Correlative Analysis: All Months

245 *Saskatoon Mesospheric Winds vs. Singapore Stratospheric QBO:* To further
246 examine the characteristics of the QBO signal in the Saskatoon monthly mean mesospheric
247 zonal winds (~ 95 km), a height resolved correlation analysis is performed against the Sin-
248 gapore stratospheric QBO spanning all pressure levels (10-70 hPa), for each month. The
249 results are shown as a correlation contour plot in Figure 4 with an interval of 0.1 and col-
250 ors representing the Pearson correlation magnitudes. White contours identify correlations
251 having significance $> 90\%$. Significance is calculated from the p-value which roughly indi-
252 cates the probability of an uncorrelated system producing datasets that have a Pearson
253 correlation at least as extreme as the one computed from these datasets. Each data point
254 on this contour plot represents the correlation between two data series of 13 points (for
255 13 years). A feature of particular interest is the relatively high negative correlation which
256 occurs during late winter (Jan-Feb) corresponding to the lower stratospheric QBO (40-70
257 hPa), whereas a positive correlation is observed at the higher altitudes (10-20 hPa). Thus,
258 there is a sharp altitude gradient in the correlation during these months at ~ 25 -30 hPa.
259 This indicates that the QBO during these months reverses its phase at these altitudes. In
260 Mar, the positive correlation with the upper stratospheric QBO continues. From Apr to
261 May, the region of positive correlation with QBO moves to lower altitudes of 40-70 hPa.
262 This is followed by negligible to slightly negative correlation in Jun-Jul and hence no

discernible QBO influence on the Saskatoon mesospheric winds in early summer. In Aug,
the correlation of the winds with lower stratospheric QBO (30-50 hPa) flips again and
becomes positive, with significance greater than 90%. This is opposite to that observed in
late winter at lower altitudes. In Sep, the Saskatoon mesospheric winds exhibit negative
correlations with Singapore lower stratospheric QBO followed by negligible correlation in
early winter (Oct, Nov, Dec) at all altitudes of QBO. This figure implies that the Saska-
toon mesospheric winds are significantly correlated with the equatorial QBO during Jan,
Feb, Mar and Aug.

From Figures 3 and 4, we conclude that the Saskatoon mesospheric winds exhibit the
largest QBO signal in the radar data during late winter (Jan-Feb). We therefore investi-
gate the winds during this time in more detail through time series analysis.

3.3. Correlative Analysis: Late Winter

Saskatoon Mesospheric Winds vs. Singapore Stratospheric QBO:

Figure 5a shows the time series of averaged late winter QBO zonal winds measured by
the equatorial Singapore radiosonde at 50 hPa and Figure 5b shows mesospheric zonal
winds measured by the mid-latitude Saskatoon radar from 2002 to 2014 through the late
winter period. The error bars in Figure 5b represent the standard errors of the mean. The
positive velocities indicate westerly winds and negative velocities indicate easterly winds.
The designation of all positive values in Figure 5b indicates that the mesospheric winds
are consistently westerly during Jan-Feb, as observed before in Figure 3, whereas QBO in
Figure 5a alternates between its westerly and easterly phases. The main feature of interest
in Figure 5b is the approximately two-year periodicity in the Saskatoon mesospheric winds.
The Pearson correlation coefficient between the two time series is -0.61 with a significance

285 of $\sim 97.4\%$. This corresponds to the blue colored area on the bottom left (during Jan-
286 Feb) of Figure 4. The dominant feature in this figure is that the mesospheric winds tend
287 to strengthen (weaken) and hence become more (less) westerly when QBO at 50 hPa is
288 easterly (westerly). However, there are some years when the variations are less distinct
289 and this general trend is not so apparent, for example, 2009-2012 corresponds to a change
290 in QBO structure in Figure 5a. This feature can also be identified in Figure 2a and
291 2b. Both data sets exhibit more irregular behavior through these years. It should also
292 be noted that before 2009, QBO is largely synchronized with the annual cycle (Figure
293 2b). When these two cycles decouple after 2009, the correlation between the Saskatoon
294 mesospheric winds and the QBO breaks down in Figure 5.

295 *Saskatoon Stratospheric Winds vs. Singapore Stratospheric QBO:*

296 Unlike its influence on the mid-latitude mesosphere, the QBO is widely known to mod-
297 ulate the stratospheric polar vortex winds and planetary wave fluxes in the winter hemi-
298 sphere [Holton and Tan, 1980; Anstey and Shepherd, 2014], although the consistency of the
299 HT relationship over time has been found to be variable [e.g., Naito and Hirota, 1997; Lu
300 *et al.*, 2008] especially over late winter. It is also widely known that the mesospheric cir-
301 culation is primarily driven by momentum flux deposition of a spectrum of near-vertically
302 propagating gravity waves filtered by the stratospheric winds [Holton, 1983]. It is there-
303 fore possible that some of the QBO signature seen in the Saskatoon mesospheric winds is
304 linked to the stratospheric dynamics at Saskatoon.

305 To investigate the possibility that the QBO signature in Saskatoon mesospheric winds
306 might be mediated through the underlying stratosphere and to confirm that the HT
307 relationship holds for Saskatoon over the time period we are investigating, late winter

308 monthly mean winds spanning pressure levels from 1 to 70 hPa derived from the ERA-
309 Interim data set at Saskatoon are differenced with respect to the phase of the Singapore
310 equatorial QBO at 50 hPa. The results are presented in Figure 6. The vertical axis
311 shows the height in hPa and horizontal axis shows the difference between 50 hPa QBO
312 westerly and QBO easterly conditions in m/s. The positive difference indicates that the
313 winds below 7 hPa in the Saskatoon stratosphere strengthen when the equatorial QBO (50
314 hPa) is westerly, consistent with the HT relationship. This modulation of the late-winter
315 stratospheric winds maximizes at 10 m/s at around 10 hPa consistent with the results of
316 *Dunkerton and Baldwin* [1991]. The error bars represent the standard error of the mean
317 and demonstrate that the wind difference is statistically greater than zero between 7 and
318 70 hPa. We note that this relationship between Saskatoon lower stratospheric winds and
319 QBO at 50 hPa during late winter is opposite to that of the Saskatoon mesospheric winds
320 (~ 95 km) and QBO at 50 hPa as discussed in connection with Figure 5.

321 ***Saskatoon- HF Radar Mesospheric Winds vs. ECMWF Stratospheric***
322 ***Winds*** To further investigate the relationship between the mid-latitude mesosphere
323 and stratosphere, a correlation analysis is performed between the Saskatoon HF radar
324 mesospheric winds and the Saskatoon ECMWF stratospheric winds. Figure 7 shows the
325 result. It should be noted that the HF radar mesospheric winds are obtained at a con-
326 stant altitude of ~ 95 km, whereas the stratospheric winds derived from ECMWF span the
327 altitudes from 1 to 70 hPa. The vertical axis shows the ECMWF wind height in hPa and
328 horizontal axis shows the Pearson correlation magnitudes. A negative correlation below 2
329 hPa, maximizing to ~ -0.49 ($\sim 91\%$ significant) at ~ 7 -10 hPa, indicates that mesospheric
330 winds are negatively correlated with the stratospheric winds at Saskatoon below 2 hPa.

331 This negative correlation between the stratospheric and mesospheric winds at Saskatoon
332 provides a basis for concluding that the QBO signature in Saskatoon mesospheric winds
333 is indeed mediated through the underlying stratosphere. In the next section, we discuss
334 a plausible mechanism based on vertical coupling.

4. Discussion

335 In this study we have identified a Quasi-Biennial signature in mesospheric winds mea-
336 sured by the Saskatoon HF radar, that is correlated with the equatorial QBO (Figure 3,
337 4). This feature is strongest during late winter (Jan-Feb) when winds in the Saskatoon
338 mesosphere are negatively correlated with the QBO (~ 45 -50 hPa) such that when QBO
339 is easterly (westerly), Saskatoon mesospheric winds tend to become more (less) westerly
340 (Figures 3, 4, 5). By contrast, the Saskatoon ECMWF stratospheric winds become less
341 (more) westerly during easterly (westerly) QBO (Figure 6). The stratospheric and meso-
342 spheric winds at Saskatoon are thus anti-correlated during late winter (Figure 7). In this
343 section we discuss these results in the context of previous studies and search for a mech-
344 anism which may provide a causative explanation for the correlations we have identified
345 linking the equatorial QBO to the Saskatoon mesospheric winds.

346 Previous observational and modeling studies have reported a disturbed, warmer polar
347 vortex during the easterly phase of QBO (~ 50 hPa) as opposed to a stable, colder polar
348 vortex during its westerly phase [*Holton and Tan*, 1980, 1982; *Baldwin et al.*, 2001; *Anstey*
349 *and Shepherd*, 2014]. This is sometimes referred to as the HT effect [*Garfinkel et al.*,
350 2012; *Lu et al.*, 2014] after *Holton and Tan* [1980], who first explained it in terms of
351 QBO influence on winter planetary wave activity. Previous studies have reported that
352 the HT effect is generally felt throughout the winter, although the relationship is more

353 robust in early winter than in late winter [*Dunkerton and Baldwin, 1991; Lu et al., 2008*].

354 The difference climatology presented in Figure 6 indicates that the weakening of winter
355 westerly winds during easterly phase of QBO, holds true for the mid-latitude Saskatoon
356 stratosphere during Jan-Feb between 2002 and 2014 as well. How this influence becomes
357 manifested in the Saskatoon mesosphere is the next question that needs to be considered.

358 Figure 7 shows a clear anti-correlation between Saskatoon mesospheric and stratospheric
359 winds which could conceivably be explained by gravity wave coupling between the two
360 regions. It is well established that as a spectrum of atmospheric gravity waves propa-
361 gates upwards and is filtered by stratospheric zonal winds, the waves become unstable,
362 depositing net wave momentum flux to the mesosphere that is in the opposite direction
363 to the stratospheric winds [e.g., *Fritts and Alexander, 2003*]. Whether, this gravity wave
364 momentum flux will accelerate or retard the flow in mesosphere, should depend on the
365 relative direction of the stratospheric and mesospheric winds. It is possible that the QBO
366 signature in Saskatoon mesospheric winds seen in Figure 5 is a result of a similar process.
367 Namely, when the stratospheric winds at mid-latitudes are anomalously easterly during
368 easterly QBO (HT effect), the westward gravity waves are filtered out leaving anomalous
369 eastward momentum carried into the mesosphere, causing an enhanced eastwards forc-
370 ing in the mesosphere as they break and deposit their momentum. The opposite would
371 happen when stratospheric winds are anomalously westerly during westerly QBO (i.e.,
372 the eastward gravity waves are filtered out resulting in anomalous westwards forcing in
373 the mesosphere). Thus, the opposite phase relationship between the equatorial QBO and
374 QBO signal seen in the mid-latitude mesosphere provides strong evidence that the QBO
375 signal seen in the Saskatoon upper mesosphere is due to QBO modulation of the gravity

376 wave momentum flux by the mid-latitude stratospheric winds. The QBO modulation
377 of the stratospheric vortex through the HT effect has previously been used to provide
378 observational support for interhemispheric coupling theory as outlined in *Karlsson et al.*
379 [2007], *Karlsson et al.* [2009] and *Körnich and Becker* [2010]. For example, *Espy et al.*
380 [2011] have shown that a QBO signal in the high latitude summer mesopause temper-
381 atures can be coupled to the state of the winter stratosphere. They explained that the
382 mechanism for this requires a QBO modulation of the gravity wave momentum flux in
383 the winter hemisphere which in turn modulates the meridional pole-to-pole circulation
384 in the mesosphere [*Murphy et al.*, 2012; *de Wit et al.*, 2015]. Our results show that the
385 QBO modulation seen in the summer polar mesosphere is indeed present in the winter
386 mesosphere, and the phase relations are as expected, thus providing additional evidence
387 for the interhemispheric coupling mechanism.

388 The timing of the mesospheric QBO signal varies between low and high latitudes. At
389 the equator, QBO signature in the mesosphere is generally observed during northern
390 spring equinox (Mar) [*Burrage et al.*, 1996; *Garcia et al.*, 1997; *Venkateswara Rao et al.*,
391 2012]. The mesospheric QBO signal at high southern latitudes is observed to be present
392 throughout the winter [*Ford et al.*, 2009] whereas the QBO signature in the Saskatoon
393 mesosphere identified in this study is most pronounced during late winter. Thus, further
394 modeling work is required to understand the interplay between the seasonal cycle and the
395 global mesospheric QBO signal.

396 In summary, we postulate that the QBO signature we have identified in the Saskatoon
397 late-winter mesosphere is most likely to be explained by forcing of gravity wave spectrum
398 that has been filtered through QBO-modulated stratospheric winds. These results provide

399 additional evidence of extratropical QBO signal at mesospheric heights and offer support-
400 ing evidence that the QBO perturbations to the winter stratosphere can potentially be
401 coupled to the summer hemisphere. We note that long-term observations of mid-latitude
402 mesospheric gravity wave momentum flux spanning several cycles of QBO are required to
403 confirm and extend these findings.

5. Summary and Conclusions

404 In this study, we have used 13 years of data (2002-2014) from the mid-latitude Saska-
405 toon SuperDARN radar to identify a QBO signature in the Saskatoon mesospheric winds.
406 This QBO signature in the mesospheric winds is such that, when QBO ~ 50 hPa is easterly
407 during late winter, the Saskatoon mesospheric winds become more westerly. We observed
408 that the largest QBO effect in the Saskatoon mesosphere is observed during late winter.
409 We also consider the Saskatoon stratospheric winds and found that when the equatorial
410 QBO ~ 50 hPa is easterly, the stratospheric winds become less westerly in agreement with
411 previous studies and the HT effect. This hints at vertical coupling between the two regions
412 via gravity wave filtering. Namely, when the Saskatoon stratospheric winds are anoma-
413 lously easterly during easterly QBO (HT effect), the spectrum of gravity waves having
414 westward momentum is filtered out, leading to the deposition of anomalous eastward mo-
415 mentum in the mesosphere as the waves propagate upwards. This results in increased
416 westerly mesospheric winds at Saskatoon. The opposite happens when the equatorial
417 QBO is westerly.

418 The QBO signal in the mid-latitude mesosphere reported here is a remarkable example
419 of the coupling between the equatorial stratosphere and the mid-latitude mesosphere via
420 meridional effects of equatorial QBO and vertically propagating gravity waves at mid-

421 latitudes. Future studies need to be done to completely understand the dynamic effects
422 of equatorial QBO in the mid- and high- latitude mesospheres.

423 **Acknowledgments.** This work is supported by National Science Foundation grants
424 AGS-1341918 and AGS-1150789. Additional support is provided by the Research Council
425 of Norway/COE under contract 223252/F50 (REH). The authors acknowledge the use
426 of Saskatoon SuperDARN radar data. SuperDARN is a network of radars funded by
427 national scientific funding agencies of Australia, Canada, China, France, Japan, South
428 Africa, United Kingdom, and the United States of America. SuperDARN data can be
429 downloaded from the Virginia Tech data server, <http://vt.superdarn.org/tiki-index.php>.
430 Specifically, for the wind velocity data, the readers are encouraged to contact the au-
431 thors. We also acknowledge the use of ECMWF ERA Interim data set from their web-
432 site <http://apps.ecmwf.int/datasets/data/interim-full-moda/levtype=pl/> for the Saska-
433 toon stratospheric winds and the QBO data set provided by FU Berlin at their website
434 <http://www.geo.fu-berlin.de/en/met/ag/strat/produkte/qbo/>.

References

- 435 Anstey, J. A., and T. G. Shepherd (2014), High-latitude influence of the quasi-biennial
436 oscillation, *Quarterly Journal of the Royal Meteorological Society*, *140*(678), 1–21, doi:
437 10.1002/qj.2132.
- 438 Baldwin, M. P., and T. J. Dunkerton (1998), Quasi-biennial modulation of the southern
439 hemisphere stratospheric polar vortex, *Geophysical Research Letters*, *25*(17), 3343–3346,
440 doi:10.1029/98GL02445.

- 441 Baldwin, M. P., L. J. Gray, T. J. Dunkerton, K. Hamilton, P. H. Haynes, W. J. Randel,
442 J. R. Holton, M. J. Alexander, I. Hirota, T. Horinouchi, D. B. A. Jones, J. S. Kinner-
443 sley, C. Marquardt, K. Sato, and M. Takahashi (2001), The quasi-biennial oscillation,
444 *Reviews of Geophysics*, *39*(2), 179–229, doi:10.1029/1999RG000073.
- 445 Baumgardner, A., A. McDonald, G. Fraser, and G. Plank (2005), Long-term observations
446 of mean winds and tides in the upper mesosphere and lower thermosphere above Scott
447 base, Antarctica, *Journal of Atmospheric and Solar-Terrestrial Physics*, *67*(16), 1480 –
448 1496, doi:<http://dx.doi.org/10.1016/j.jastp.2005.07.018>.
- 449 Belmont, A. D., and D. G. Dartt (1968), Variation with longitude of the Quasi-Biennial
450 Oscillation, *Mon. Weather Rev.*, *96*, 767–777.
- 451 Belmont, A. D., and G. D. Nastrom (1979), Long-period waves in mesospheric winds at
452 Saskatoon (52°N), *Journal of Geomagnetism and Geoelectricity*, *31*, 165–171.
- 453 Berrisford, P., D. Dee, K. Fielding, M. Fuentes, P. Kallberg, S. Kobayashi, and S. Uppala
454 (2009), The Era-Interim Archive, *ERA Report Series, No.1. ECMWF: Reading, UK*.
- 455 Bristow, W. A., J.-H. Yee, X. Zhu, and R. A. Greenwald (1999), Simultaneous observations
456 of the July 1996 2-day wave event using the Super Dual Auroral Radar Network and
457 the High Resolution Doppler Imager, *Journal of Geophysical Research: Space Physics*,
458 *104*(A6), 12,715–12,721, doi:10.1029/1999JA900030.
- 459 Burrage, M. D., R. A. Vincent, H. G. Mayr, W. R. Skinner, N. F. Arnold, and
460 P. B. Heys (1996), Long-term variability in the equatorial middle atmosphere zonal
461 wind, *Journal of Geophysical Research: Atmospheres*, *101*(D8), 12,847–12,854, doi:
462 10.1029/96JD00575.

- 463 Chisham, G., et al. (2007), A decade of the Super Dual Auroral Radar Network (Super-
464 DARN): Scientific achievements, new techniques and future directions, *Surv. Geophys.*,
465 28, 33–109, doi:10.1007/a10712-007-9017-8.
- 466 De Wit, R. J., R. E. Hibbins, P. J. Espy, and N. J. Mitchell (2013), Interannual variabil-
467 ity of mesopause zonal winds over Ascension Island: Coupling to the stratospheric
468 QBO, *Journal of Geophysical Research: Atmospheres*, 118(21), 12,052–12,060, doi:
469 10.1002/2013JD020203.
- 470 De Wit, R. J., R. E. Hibbins and P. J. Espy (2015), The seasonal cycle of
471 gravity wave momentum flux and forcing in the high latitude northern hemi-
472 sphere mesopause region, *Journal of Atmospheric and Solar-Terrestrial Physics*, doi:
473 <http://dx.doi.org/10.1016/j.jastp.2014.10.002>.
- 474 Dee, D. P., S. M. Uppala, A. J. Simmons, P. Berrisford, P. Poli, S. Kobayashi, U. Andrae,
475 M. A. Balmaseda, G. Balsamo, P. Bauer, P. Bechtold, A. C. M. Beljaars, L. van de Berg,
476 J. Bidlot, N. Bormann, C. Delsol, R. Dragani, M. Fuentes, A. J. Geer, L. Haimberger,
477 S. B. Healy, H. Hersbach, E. V. Hlm, L. Isaksen, P. Kllberg, M. Khler, M. Matricardi,
478 A. P. McNally, B. M. Monge-Sanz, J.-J. Morcrette, B.-K. Park, C. Peubey, P. de Ros-
479 nay, C. Tavalato, J.-N. Thpaut, and F. Vitart (2011), The ERA-interim reanalysis:
480 configuration and performance of the data assimilation system, *Quarterly Journal of*
481 *the Royal Meteorological Society*, 137(656), 553–597, doi:10.1002/qj.828.
- 482 Dunkerton, T. J. (1997), The role of gravity waves in the quasi-biennial oscilla-
483 tion, *Journal of Geophysical Research: Atmospheres*, 102(D22), 26,053–26,076, doi:
484 10.1029/96JD02999.

- 485 Dunkerton, T. J., and M. P. Baldwin (1991), Quasi-biennial Modulation of Planetary-
486 Wave Fluxes in the Northern Hemisphere Winter, *Journal of the Atmospheric Sciences*,
487 *48*(8), 1043–1061.
- 488 Ebdon, R. A. (1960), Notes on the wind flow at 50 mb in tropical and sub-tropical
489 regions in January 1957 and January 1958, *Quarterly Journal of the Royal Meteorological*
490 *Society*, *86*(370), 540–542, doi:10.1002/qj.49708637011.
- 491 Espy, P. J., J. Stegman, and G. Witt (1997), Interannual variations of the quasi-16-
492 day oscillation in the polar summer mesospheric temperature, *Journal of Geophysical*
493 *Research: Atmospheres*, *102*(D2), 1983–1990, doi:10.1029/96JD02717.
- 494 Espy, P. J., R. E. Hibbins, D. M. Riggin, and D. C. Fritts (2005), Mesospheric plan-
495 etary waves over Antarctica during 2002, *Geophysical Research Letters*, *32*(21), doi:
496 10.1029/2005GL023886, l21804.
- 497 Espy, P. J., S. Ochoa Fernández, P. Forkman, D. Murtagh, and J. Stegman (2011), The
498 role of the QBO in the inter-hemispheric coupling of summer mesospheric temperatures,
499 *Atmospheric Chemistry and Physics*, *11*(2), 495–502, doi:10.5194/acp-11-495-2011.
- 500 Ford, E. A. K., R. E. Hibbins, and M. J. Jarvis (2009), QBO effects on Antarctic meso-
501 spheric winds and polar vortex dynamics, *Geophysical Research Letters*, *36*(20), doi:
502 10.1029/2009GL039848, l20801.
- 503 Fritts, D. C., and M. J. Alexander (2003), Gravity wave dynamics and effects in the
504 middle atmosphere, *Reviews of Geophysics*, *41*(1), doi:10.1029/2001RG000106, 1003.
- 505 Garcia, R. R., T. J. Dunkerton, R. S. Lieberman, and R. A. Vincent (1997), Climatology
506 of the semiannual oscillation of the tropical middle atmosphere, *Journal of Geophysical*
507 *Research: Atmospheres*, *102*(D22), 26,019–26,032, doi:10.1029/97JD00207.

- 508 Garfinkel, C. I., T. A. Shaw, D. L. Hartmann, and D. W. Waugh (2012), Does the Holton-
509 Tan Mechanism Explain How the Quasi-Biennial Oscillation Modulates the Arctic Polar
510 Vortex?, *Journal of the Atmospheric Sciences*, *69*(5), 1713–1733, doi:10.1175/JAS-D-
511 11-0209.1.
- 512 Gray, L. J., E. F. Drysdale, B. N. Lawrence, and T. J. Dunkerton (2001a), Model studies of
513 the interannual variability of the northern-hemisphere stratospheric winter circulation:
514 The role of the quasi-biennial oscillation, *Quarterly Journal of the Royal Meteorological*
515 *Society*, *127*(574), 1413–1432, doi:10.1002/qj.49712757416.
- 516 Gray, L. J., S. J. Phipps, T. J. Dunkerton, M. P. Baldwin, E. F. Drysdale, and M. R.
517 Allen (2001b), A data study of the influence of the equatorial upper stratosphere on
518 northern-hemisphere stratospheric sudden warmings, *Quarterly Journal of the Royal*
519 *Meteorological Society*, *127*(576), 1985–2003, doi:10.1002/qj.49712757607.
- 520 Gray, L. J., B. Crooks, C. Pascoe, S. Sparrow, and M. Palmer (2004), Solar and QBO In-
521 fluences on the Timing of Stratospheric Sudden Warmings, *Journal of the Atmospheric*
522 *Sciences*, *61*(23), 2777–2796, doi:10.1175/JAS-3297.1.
- 523 Greenwald, R. A., K. B. Baker, R. A. Hutchins, and C. Hanuise (1985), An HF phased-
524 array radar for studying small-scale structure in the high-latitude ionosphere, *Radio*
525 *Science*, *20*(1), 63–79, doi:10.1029/RS020i001p00063.
- 526 Groves, G. V. (1973), Zonal wind quasi-biennial oscillations at 25-60 km altitude,
527 1962-69, *Quarterly Journal of the Royal Meteorological Society*, *99*(419), 73–81, doi:
528 10.1002/qj.49709941907.
- 529 Hall, G. E., I. W. MacDougall, D. R. Moorcroft, J.-P. St.-Maurice, A. H. Manson,
530 and C. E. Meek (1997), Super Dual Auroral Radar Network observations of meteor

531 echoes, *Journal of Geophysical Research: Space Physics*, *102*(A7), 14,603–14,614, doi:
532 10.1029/97JA00517.

533 Hibbins, R., O. Marsh, A. McDonald, and M. Jarvis (2010), Interannual variability
534 of the S=1 and S=2 components of the semidiurnal tide in the Antarctic MLT,
535 *Journal of Atmospheric and Solar-Terrestrial Physics*, *72*(910), 794 – 800, doi:
536 <http://dx.doi.org/10.1016/j.jastp.2010.03.026>.

537 Hibbins, R. E., and M. J. Jarvis (2008), A long-term comparison of wind and tide measure-
538 ments in the upper mesosphere recorded with an imaging Doppler interferometer and
539 SuperDARN radar at Halley, Antarctica, *Atmospheric Chemistry and Physics*, *8*(5),
540 1367–1376, doi:10.5194/acp-8-1367-2008.

541 Hibbins, R. E., P. J. Espy, and M. J. Jarvis (2007), Quasi-biennial modulation of the
542 semidiurnal tide in the upper mesosphere above Halley, Antarctica, *Geophysical Re-*
543 *search Letters*, *34*(21), doi:10.1029/2007GL031282, 121804.

544 Hibbins, R. E., M. J. Jarvis, and E. A. K. Ford (2009), Quasi-biennial oscillation in-
545 fluence on long-period planetary waves in the Antarctic upper mesosphere, *Journal of*
546 *Geophysical Research: Atmospheres*, *114*(D9), doi:10.1029/2008JD011174, d09109.

547 Holton, J. R. (1983), The Influence of Gravity Wave Breaking on the General Circulation
548 of the Middle Atmosphere, *Journal of the Atmospheric Sciences*, *40*(10), 2497–2507.

549 Holton, J. R., and R. S. Lindzen (1972), An Updated Theory for the Quasi-Biennial Cycle
550 of the Tropical Stratosphere, *Journal of the Atmospheric Sciences*, *29*(6), 1076–1080.

551 Holton, J. R., and H.-C. Tan (1980), The Influence of the Equatorial Quasi-Biennial
552 Oscillation on the Global Circulation at 50 mb, *Journal of the Atmospheric Sciences*,
553 *37*(10), 2200–2208.

- 554 Holton, J. R., and H.-C. Tan (1982), The Quasi-Biennial Oscillation in the Northern
555 Hemisphere Lower Stratosphere, *Journal of the Meteorological Society of Japan. Ser.*
556 *II*, 60(1), 140–148.
- 557 Hussey, G. C., C. E. Meek, D. Andr, A. H. Manson, G. J. Sofko, and C. M. Hall (2000),
558 A comparison of northern hemisphere winds using SuperDARN meteor trail and MF
559 radar wind measurements, *Journal of Geophysical Research: Atmospheres*, 105(D14),
560 18,053–18,066, doi:10.1029/2000JD900272.
- 561 Jarvis, M. J. (1996), Quasi-Biennial Oscillation effects in the semidiurnal tide of the
562 Antarctic lower thermosphere, *Geophysical Research Letters*, 23(19), 2661–2664, doi:
563 10.1029/96GL02394.
- 564 Jenkins, B., and M. Jarvis (1999), Mesospheric winds derived from SuperDARN HF radar
565 meteor echoes at Halley, Antarctica, *Earth, Planets and Space*, 51(7-8), 685–689, doi:
566 10.1186/BF03353226.
- 567 Jenkins, B., M. J. Jarvis, and D. M. Forbes (1998), Mesospheric wind observations
568 derived from Super Dual Auroral Radar Network (SuperDARN) HF radar meteor
569 echoes at Halley, Antarctica: Preliminary results, *Radio Science*, 33(4), 957–965, doi:
570 10.1029/98RS01113.
- 571 Kane, R. P., C. E. Meek, and A. H. Manson (1999), Quasi-biennial and higher-period os-
572 cillations in the mean winds in the mesosphere and lower thermosphere over Saskatoon,
573 52°N, 107°W, *Journal of Geophysical Research: Space Physics*, 104(A2), 2645–2652,
574 doi:10.1029/1998JA900066.
- 575 Karlsson, B., H. Körnich, and J. Gumbel (2007), Evidence for interhemispheric
576 stratosphere-mesosphere coupling derived from noctilucent cloud properties, *Geophys-*

577 *cal Research Letters*, 34(16), doi:10.1029/2007GL030282, 116806.

578 Karlsson, B., C. McLandress, and T. G. Shepherd (2009), Inter-hemispheric
579 mesospheric coupling in a comprehensive middle atmosphere model, *Jour-*
580 *nal of Atmospheric and Solar-Terrestrial Physics*, 71(34), 518 – 530, doi:
581 <http://dx.doi.org/10.1016/j.jastp.2008.08.006>.

582 Kawatani, Y., and K. Hamilton (2013), Weakened stratospheric quasibiennial oscillation
583 driven by increased tropical mean upwelling, *Nature*, 497(7450), 478–481, letter.

584 Kleinknecht, N. H., P. J. Espy, and R. E. Hibbins (2014), The climatology of zonal wave
585 numbers 1 and 2 planetary wave structure in the MLT using a chain of Northern Hemi-
586 sphere SuperDARN radars, *Journal of Geophysical Research: Atmospheres*, 119(3),
587 1292–1307, doi:10.1002/2013JD019850.

588 Körnich, N. and E. Becker (2010), A simple model for the interhemispheric coupling
589 of the middle atmosphere circulation, *Advances in Space Research*, 45(5), 661 – 668,
590 doi:<http://dx.doi.org/10.1016/j.asr.2009.11.001>.

591 Kürschner, D., and C. Jacobi (2003), Quasi-biennial and decadal variability obtained from
592 long-term measurements of nighttime radio wave reflection heights over Central Europe,
593 *Advances in Space Research*, 32(9), 1701 – 1706, doi:[http://dx.doi.org/10.1016/S0273-](http://dx.doi.org/10.1016/S0273-1177(03)90465-0)
594 [1177\(03\)90465-0](http://dx.doi.org/10.1016/S0273-1177(03)90465-0).

595 Labitzke, K., and H. V. Loon (1988), Associations between the 11-year solar cycle, the
596 QBO and the atmosphere. Part I: the troposphere and stratosphere in the northern
597 hemisphere in winter, *Journal of Atmospheric and Terrestrial Physics*, 50(3), 197 –
598 206, doi:[http://dx.doi.org/10.1016/0021-9169\(88\)90068-2](http://dx.doi.org/10.1016/0021-9169(88)90068-2).

- 599 Lindzen, R. S., and J. R. Holton (1968), A Theory of the Quasi-Biennial Oscillation,
600 *Journal of the Atmospheric Sciences*, *25*(6), 1095–1107.
- 601 Lu, H., M. P. Baldwin, L. J. Gray, and M. J. Jarvis (2008), Decadal-scale changes in the
602 effect of the QBO on the northern stratospheric polar vortex, *Journal of Geophysical*
603 *Research: Atmospheres*, *113*(D10), doi:10.1029/2007JD009647, d10114.
- 604 Lu, H., L. J. Gray, M. P. Baldwin, and M. J. Jarvis (2009), Life cycle of the QBO-
605 modulated 11-year solar cycle signals in the Northern Hemispheric winter, *Quarterly*
606 *Journal of the Royal Meteorological Society*, *135*(641), 1030–1043, doi:10.1002/qj.419.
- 607 Lu, H., T. J. Bracegirdle, T. Phillips, A. Bushell, and L. Gray (2014), Mechanisms for
608 the Holton-Tan relationship and its decadal variation, *Journal of Geophysical Research:*
609 *Atmospheres*, *119*(6), 2811–2830, doi:10.1002/2013JD021352.
- 610 Malinga, S. B., and J. M. Ruohoniemi (2007), The quasi-two-day wave studied using the
611 Northern Hemisphere SuperDARN HF radars, *Annales Geophysicae*, *25*(8), 1767–1778,
612 doi:10.5194/angeo-25-1767-2007.
- 613 Manson, A., and C. Meek (1986), Dynamics of the middle atmosphere at Saskatoon (52°N,
614 107°W): a spectral study during 1981, 1982, *Journal of Atmospheric and Terrestrial*
615 *Physics*, *48*(1112), 1039 – 1055, doi:http://dx.doi.org/10.1016/0021-9169(86)90025-5.
- 616 Manson, A. H., C. E. Meek, and J. B. Gregory (1981), Long-Period Oscillations
617 in Mesospheric and Lower Thermospheric Winds (60-110km) at Saskatoon (52°N,
618 107°W, I-43), *Journal of geomagnetism and geoelectricity*, *33*(12), 613–621, doi:
619 10.5636/jgg.33.613.
- 620 Matthews, E., M. Parkinson, P. Dyson, and J. Devlin (2006), Optimising estimates of
621 mesospheric neutral wind using the TIGER Superdarn radar, *Advances in Space Re-*

- 622 *search*, 38(11), 2353 – 2360, doi:<http://dx.doi.org/10.1016/j.asr.2005.07.046>.
- 623 Mayr, H. G., J. G. Mengel, C. O. Hines, K. L. Chan, N. F. Arnold, C. A. Reddy, and
624 H. S. Porter (1997), The gravity wave Doppler spread theory applied in a numerical
625 spectral model of the middle atmosphere: 1. Model and global scale seasonal varia-
626 tions, *Journal of Geophysical Research: Atmospheres*, 102(D22), 26,077–26,091, doi:
627 [10.1029/96JD03213](http://dx.doi.org/10.1029/96JD03213).
- 628 Mayr, H. G., J. G. Mengel, and F. T. Huang (2009), Modeling the temperature of the
629 polar mesopause region: Part I- Inter-annual and long-term variations generated by the
630 stratospheric QBO, *Journal of Atmospheric and Solar-Terrestrial Physics*, 71(34), 497
631 – 507, doi:<http://dx.doi.org/10.1016/j.jastp.2008.09.033>.
- 632 Middleton, H. R., N. J. Mitchell, and H. G. Muller (2002), Mean winds of the mesosphere
633 and lower thermosphere at 52°N in the period 1988-2000, *Annales Geophysicae*, 20(1),
634 81–91, doi:[10.5194/angeo-20-81-2002](http://dx.doi.org/10.5194/angeo-20-81-2002).
- 635 Murphy, D. J., S. P. Alexander, and R. A. Vincent (2012), Interhemispheric dynamical
636 coupling to the southern mesosphere and lower thermosphere, *Journal of Geophysical
637 Research: Atmospheres*, 117(D8), doi:[10.1029/2011JD016865](http://dx.doi.org/10.1029/2011JD016865), d08114.
- 638 Naito, Y., and I. Hirota (1997), Interannual variability of the northern winter stratospheric
639 circulation related to the QBO and the solar cycle, *J. Meteor. Soc. Japan*, 75, 925–937.
- 640 Namboothiri, S., A. Manson, and C. Meek (1993), Variations of mean winds and
641 tides in the upper middle atmosphere over a solar cycle, Saskatoon, Canada, 52°N,
642 107°W, *Journal of Atmospheric and Terrestrial Physics*, 55(10), 1325 – 1334, doi:
643 [http://dx.doi.org/10.1016/0021-9169\(93\)90101-4](http://dx.doi.org/10.1016/0021-9169(93)90101-4).

- 644 Namboothiri, S., C. Meek, and A. Manson (1994), Variations of mean winds and solar
645 tides in the mesosphere and lower thermosphere over time scales ranging from 6 months
646 to 11 yr: Saskatoon, 52°N, 107°W, *Journal of Atmospheric and Terrestrial Physics*,
647 *56*(10), 1313 – 1325, doi:[http://dx.doi.org/10.1016/0021-9169\(94\)90069-8](http://dx.doi.org/10.1016/0021-9169(94)90069-8).
- 648 Naoe, H., and K. Shibata (2010), Equatorial quasi-biennial oscillation influence on north-
649 ern winter extratropical circulation, *Journal of Geophysical Research: Atmospheres*,
650 *115*(D19), doi:[10.1029/2009JD012952](https://doi.org/10.1029/2009JD012952), d19102.
- 651 Naujokat, B. (1986), An Update of the Observed Quasi-Biennial Oscillation of the Strato-
652 spheric Winds over the Tropics., *Journal of Atmospheric Sciences*, *43*, 1873–1880.
- 653 Neumann, A. (1990), QBO and solar activity effects on temperatures in the mesopause
654 region, *Journal of Atmospheric and Terrestrial Physics*, *52*(3), 165 – 173, doi:
655 [http://dx.doi.org/10.1016/0021-9169\(90\)90120-C](http://dx.doi.org/10.1016/0021-9169(90)90120-C).
- 656 Ogawa, T., S. Nozawa, M. Tsutsumi, N. F. Arnold, N. Nishitani, N. Sato, and A. S.
657 Yukimasa (2004), Arctic and Antarctic polar mesosphere summer echoes observed with
658 oblique incidence HF radars: analysis using simultaneous MF and VHF radar data,
659 *Annales Geophysicae*, *22*(12), 4049–4059, doi:[10.5194/angeo-22-4049-2004](https://doi.org/10.5194/angeo-22-4049-2004).
- 660 Pascoe, C. L., L. J. Gray, and A. A. Scaife (2006), A GCM study of the influence of
661 equatorial winds on the timing of sudden stratospheric warmings, *Geophysical Research*
662 *Letters*, *33*(6), doi:[10.1029/2005GL024715](https://doi.org/10.1029/2005GL024715), l06825.
- 663 Plumb, R. A., and R. C. Bell (1982), Equatorial waves in steady zonal shear
664 flow, *Quarterly Journal of the Royal Meteorological Society*, *108*(456), 313–334, doi:
665 [10.1002/qj.49710845603](https://doi.org/10.1002/qj.49710845603).

- 666 Portnyagin, Y. I., and T. V. Solovjova (2000), Global empirical wind model for the upper
667 mesosphere/lower thermosphere. I. Prevailing wind, *Annales Geophysicae*, *18*(3), 300–
668 315, doi:10.1007/s00585-000-0300-y.
- 669 Press, W. H., B. P. Flannery, S. A. Teukolsky, and W. T. Vetterling (1992), Numerical
670 Recipes in C: The Art of Scientific Computing, 2nd edn., *Cambridge University Press*,
671 *New York*.
- 672 Reed, R. J. (1965), The Quasi-Biennial Oscillation of the Atmosphere Between 30 and 50
673 km Over Ascension Island, *Journal of the Atmospheric Sciences*, *22*(3), 331–333.
- 674 Reed, R. J., W. J. Campbell, L. A. Rasmussen, and D. G. Rogers (1961), Evidence of a
675 downward-propagating, annual wind reversal in the equatorial stratosphere, *Journal of*
676 *Geophysical Research*, *66*(3), 813–818, doi:10.1029/JZ066i003p00813.
- 677 Sprenger, K., and R. Schindler (1968), On the significance of ionospheric drift measure-
678 ments in the LF range, *Journal of Atmospheric and Terrestrial Physics*, *30*(5), 693 –
679 700, doi:http://dx.doi.org/10.1016/S0021-9169(68)80025-X.
- 680 Sprenger, K., K. Greisiger, and R. Schindler (1975), Evidence of quasi-biennial wind os-
681 cillation in the mid-latitude lower thermosphere, obtained from ionospheric drift mea-
682 surements in the LF range, *Journal of Atmospheric and Terrestrial Physics*, *37*(10),
683 1391 – 1393, doi:http://dx.doi.org/10.1016/0021-9169(75)90134-8.
- 684 Venkateswara Rao, N., T. Tsuda, D. M. Riggin, S. Gurubaran, I. M. Reid, and R. A.
685 Vincent (2012), Long-term variability of mean winds in the mesosphere and lower ther-
686 mosphere at low latitudes, *Journal of Geophysical Research: Space Physics*, *117*(A10),
687 doi:10.1029/2012JA017850, a10312.

688 Wallace, J. M. (1973), General circulation of the tropical lower stratosphere, *Reviews of*
689 *Geophysics*, *11*(2), 191–222, doi:10.1029/RG011i002p00191.

690 Xu, J., A. K. Smith, H.-L. Liu, W. Yuan, Q. Wu, G. Jiang, M. G. Mlynczak, J. M.
691 Russell, and S. J. Franke (2009), Seasonal and quasi-biennial variations in the migrat-
692 ing dipole mode observed by Thermosphere, Ionosphere, Mesosphere, Energetics and
693 Dynamics (TIMED), *Journal of Geophysical Research: Atmospheres*, *114*(D13), doi:
694 10.1029/2008JD011298, d13107.

Author Manuscript



Figure 1. (a) Time series of monthly mean mesospheric zonal winds recorded by the Saskatoon radar for 2002-2014. Positive values indicate westerly (eastward) winds. (b) Time series of de-seasonalized monthly mean mesospheric zonal winds obtained by subtracting the mean climatology at Saskatoon radar from the winds in Figure 1a. (c) Periodogram for the de-seasonalized mesospheric zonal winds at Saskatoon for 2002-2014 (Figure 1b), with a red line identifying a peak at a frequency of 27.6 months. The black horizontal dotted line indicates the 90% confidence level.

D R A F T

October 19, 2016, 7:33pm

D R A F T

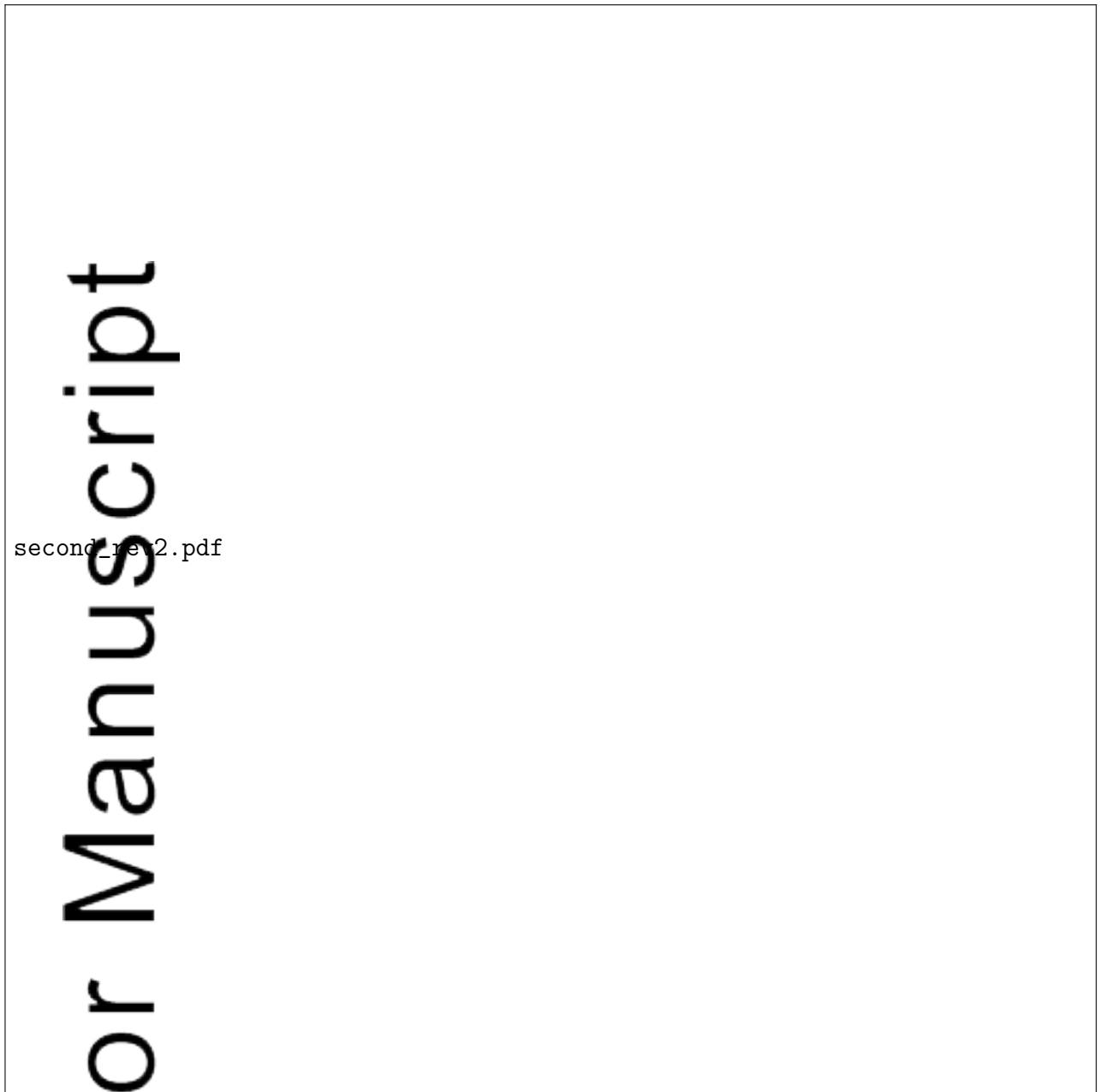


Figure 2. (a) Height resolved stratospheric zonal winds at the Singapore equatorial radiosonde station for 2002-2014. The contour interval is 10 m/s with colors representing wind velocities in m/s. Positive represents westerly winds, whereas negative represents easterly winds. (b) Monthly mean stratospheric zonal winds at 50 hPa measured at the Singapore equatorial radiosonde station for 2002-2014. The vertical axis represents the wind velocities in m/s and horizontal axis represents the years. (c) Periodogram of winds in panel (b) with vertical axis showing the normalised power and horizontal axis representing the corresponding periods. The red line represents the period of 27.27 months.

Preprint October 19, 2016, 7:33 pm

D R A F T

third_rev_fig5climat2.pdf

Author Manuscript

Figure 3 (a) Climatology of prevailing zonal winds at Saskatoon organized by phases of QBO at 50 hPa. Green represents the climatology obtained by averaging the zonal winds in Figure 3a. Blue (red) represents the climatology for the time periods when QBO is easterly (westerly). Positive velocities indicate westerly winds and negative velocities indicate easterly winds. (b) Difference between the QBO and the average (green in panel a) climatologies. The blue curve indicates the difference between the QBO easterly (blue in panel a) and the average (green in panel a) climatologies and the red curve indicates the difference between the QBO westerly (red in panel a) and the average (green in panel a) climatologies.

10 October 2016, 7:33 pm

D R A F T



Figure 4. Correlation of Saskatoon mesospheric zonal winds with QBO spanning all pressure levels on the left vertical axis, for all the months. The contour interval is 0.1 with colors representing correlation magnitudes. White contours identify correlations with significance greater than 90%.

D R A F T
than 90%.

October 19, 2016, 7:33pm

D R A F T

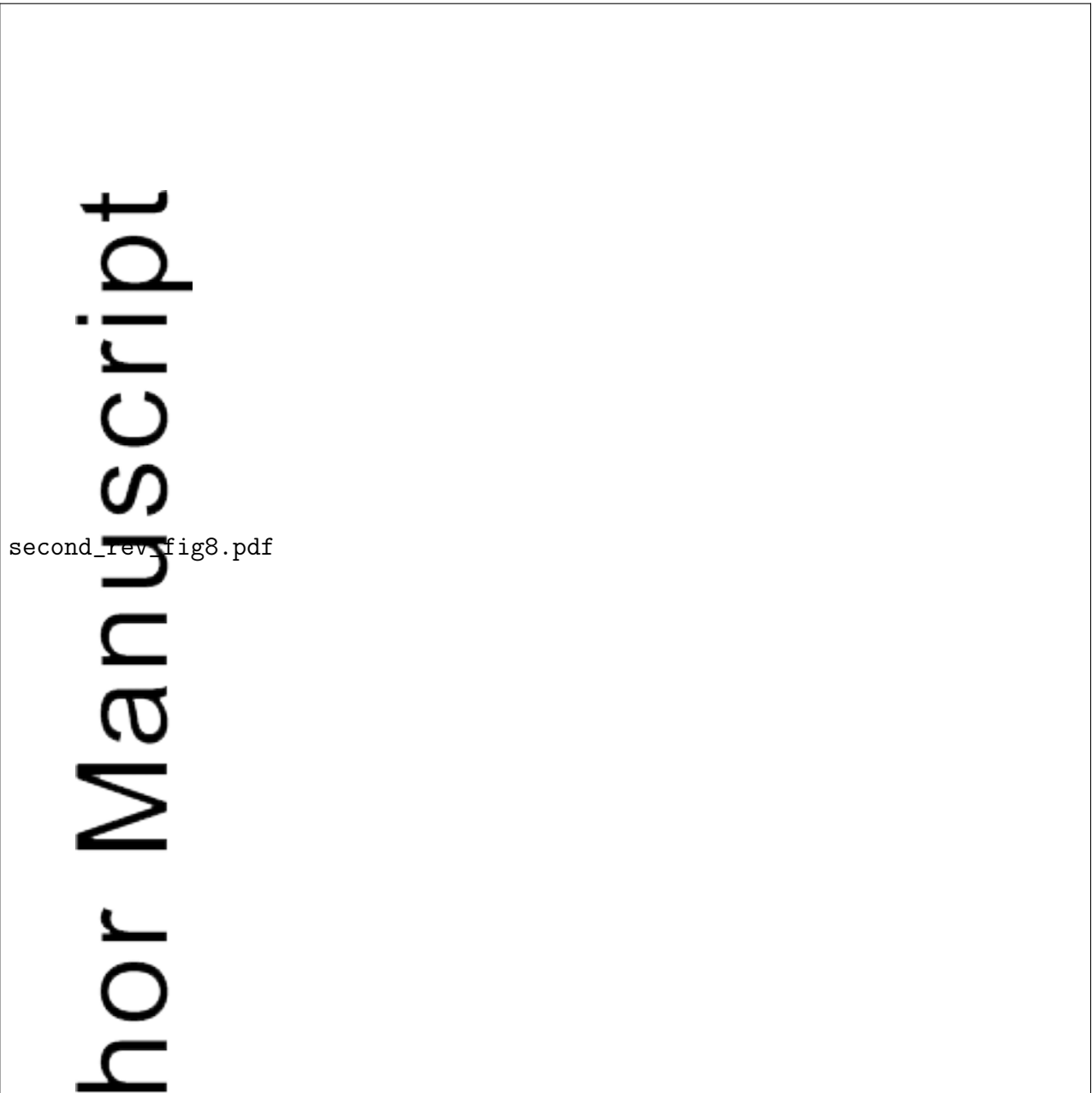


Figure 5 (a) Averaged late winter (Jan-Feb) zonal winds measured by the Singapore radiosonde at 50 hPa for 2002-2014. (b) Averaged late winter (Jan-Feb) mesospheric zonal winds measured by the Saskatoon HF radar for 2002-2014. For both the figures, positive velocities indicate westerly winds and negative velocities indicate easterly winds.



Figure 6. Difference between Saskatoon stratospheric zonal winds of Westerly and Easterly QBO conditions. The Saskatoon winds are derived from the ECMWF ERA-Interim data set and averaged for Jan-Feb for 2002-2014. The QBO phase is defined by the direction of the winds measured by the Singapore radiosonde at 50 hPa. The vertical axis shows the height in hPa in the Saskatoon stratosphere and horizontal axis shows the difference in wind velocities in m/s.

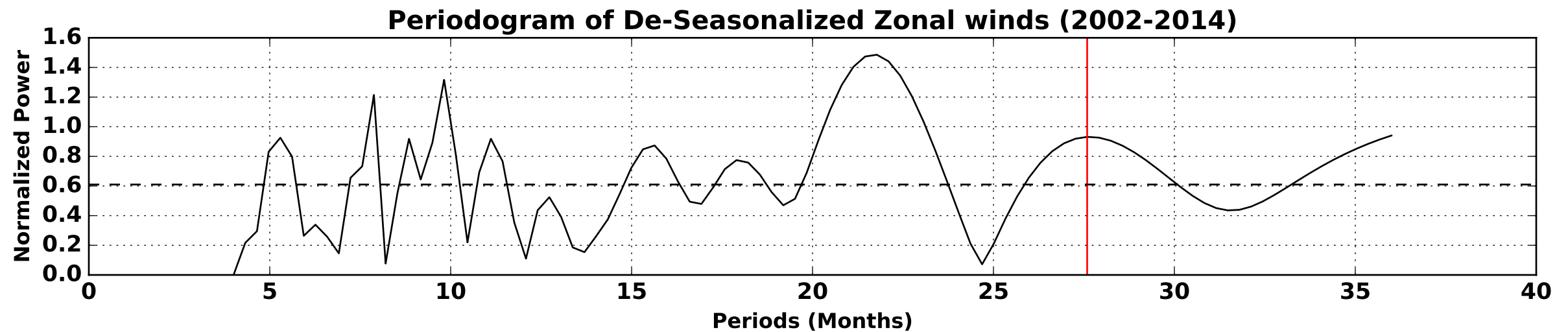
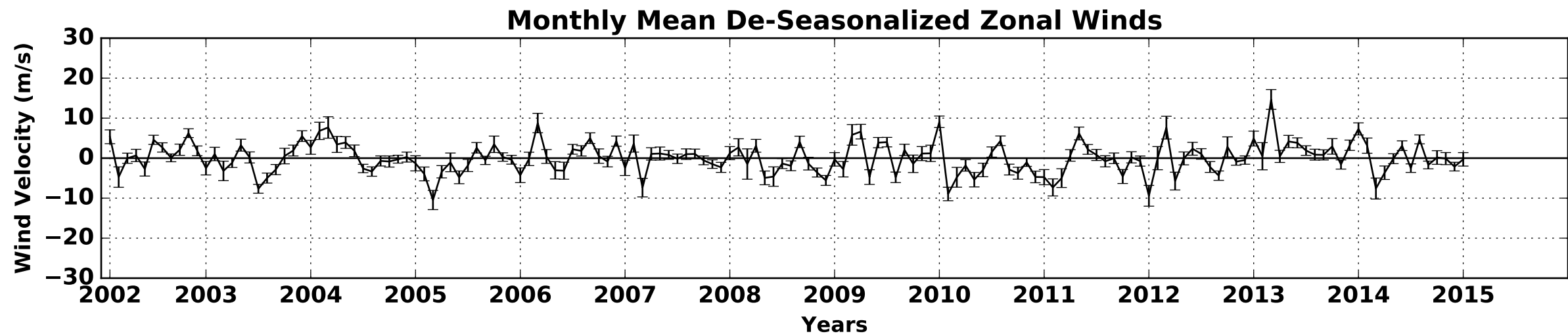
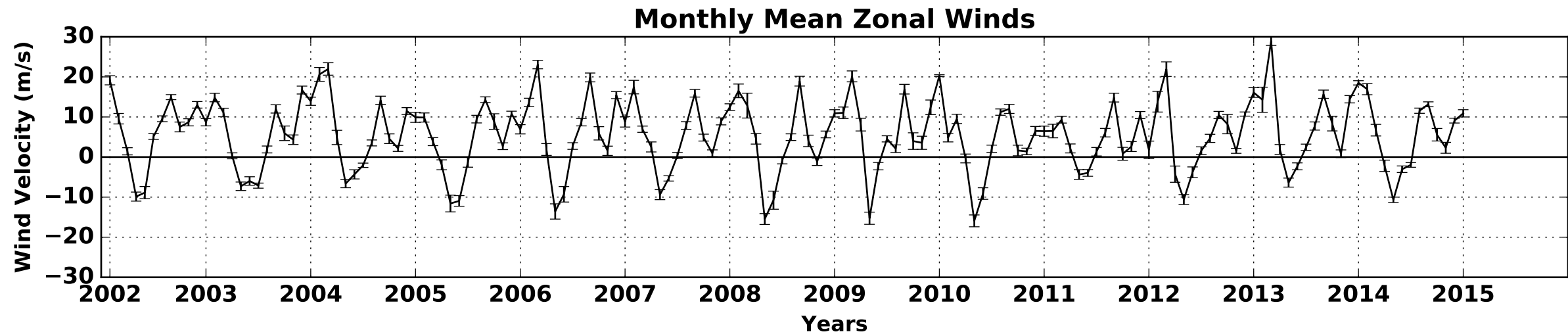
D R A F T

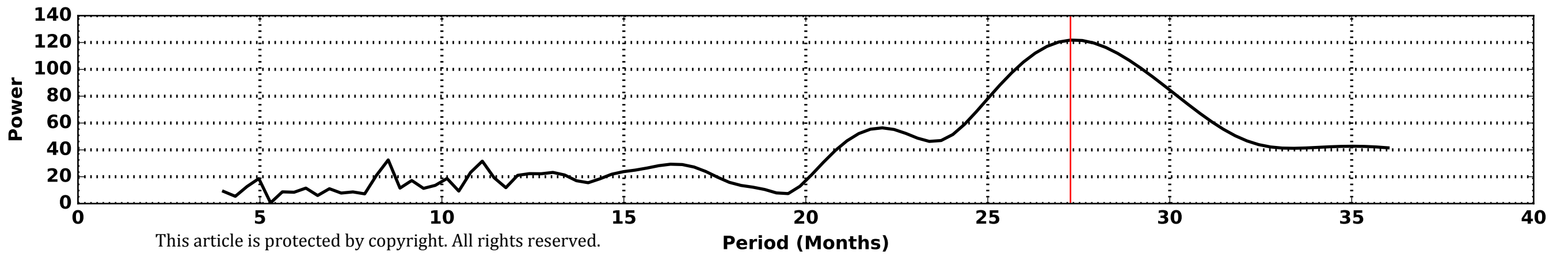
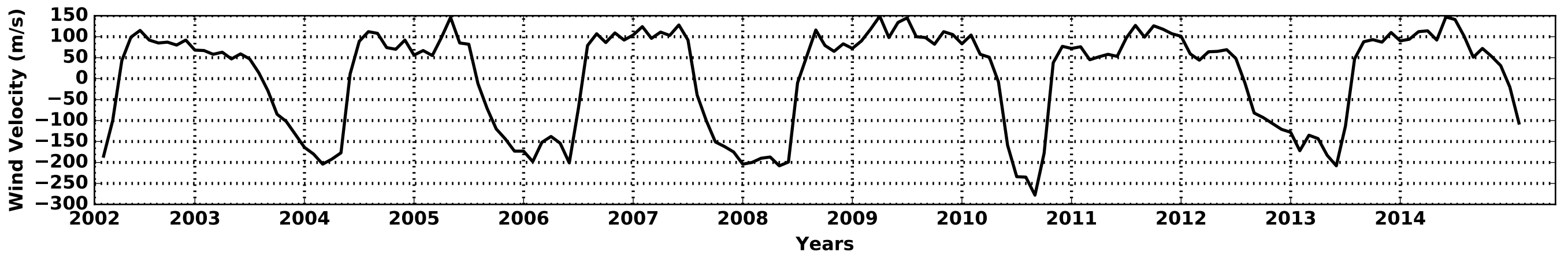
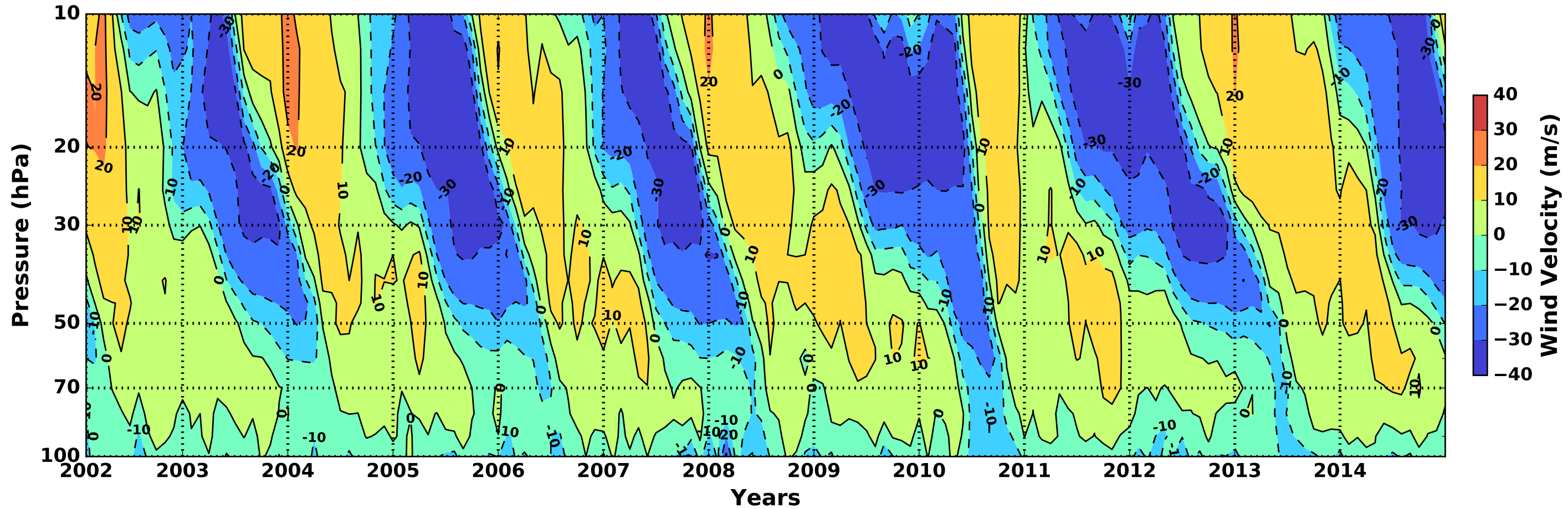
October 19, 2016, 7:33pm

D R A F T



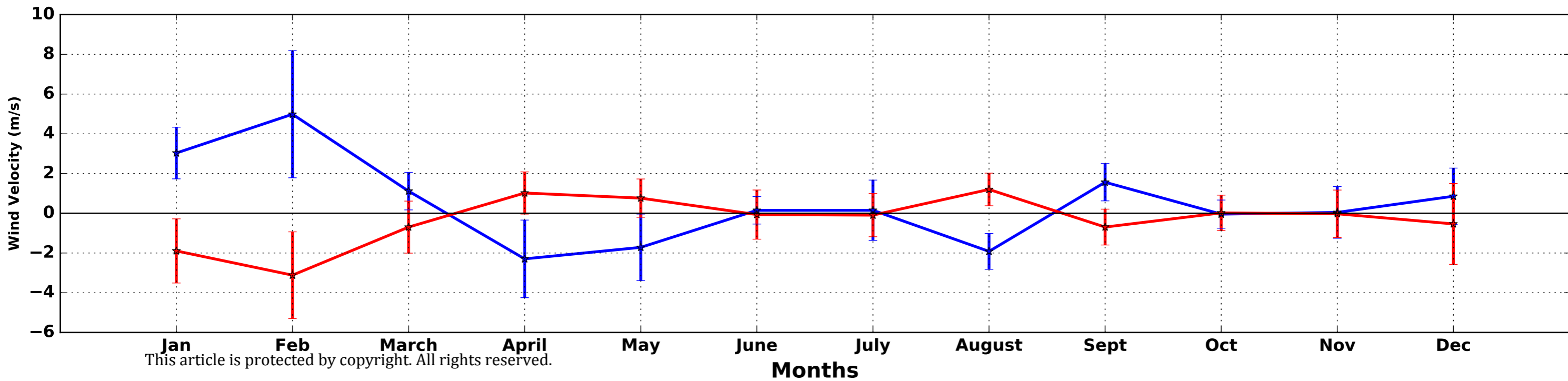
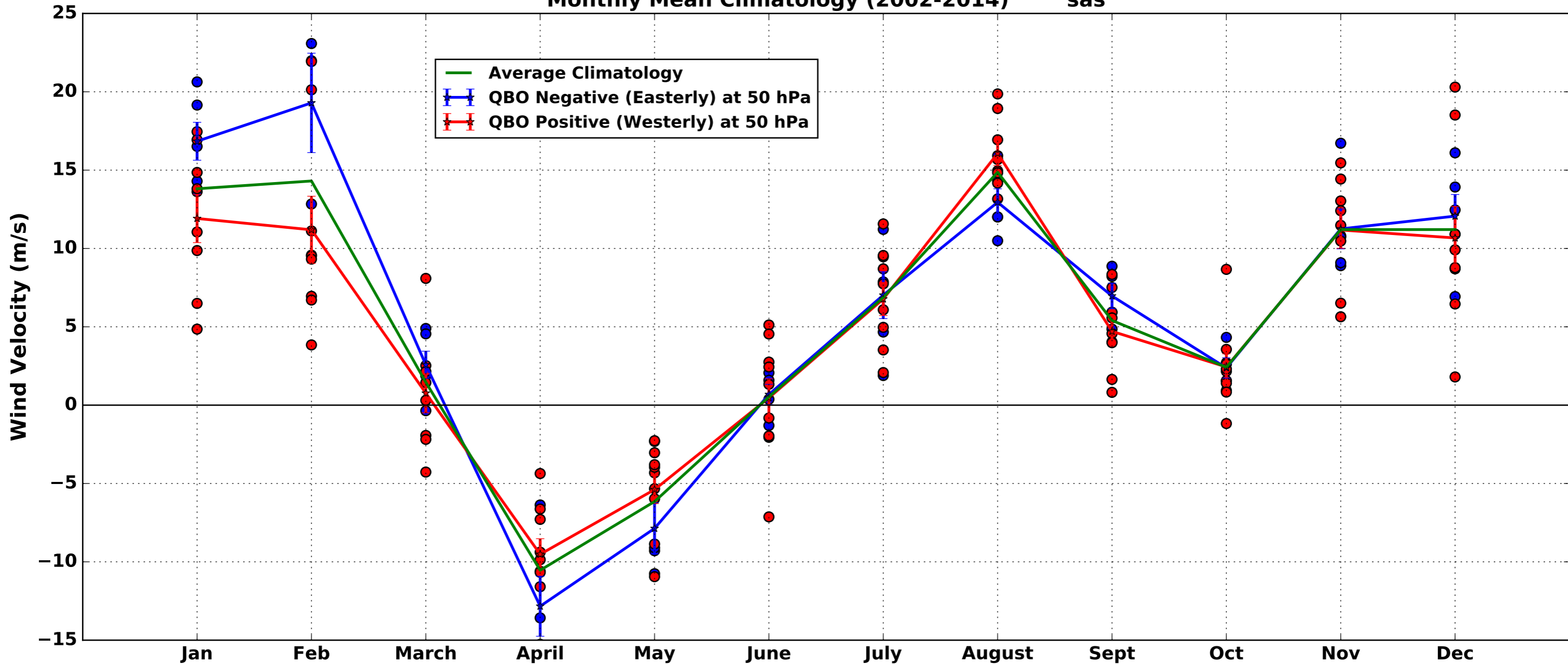
Figure 7. Correlation between averaged late winter (Jan-Feb) mesospheric zonal winds measured by the Saskatoon radar and zonal winds derived from ECMWF at Saskatoon at all the pressure levels identified on the left vertical axis for 2002-2014. The vertical axis shows the height in hPa and horizontal axis shows the correlation magnitudes.



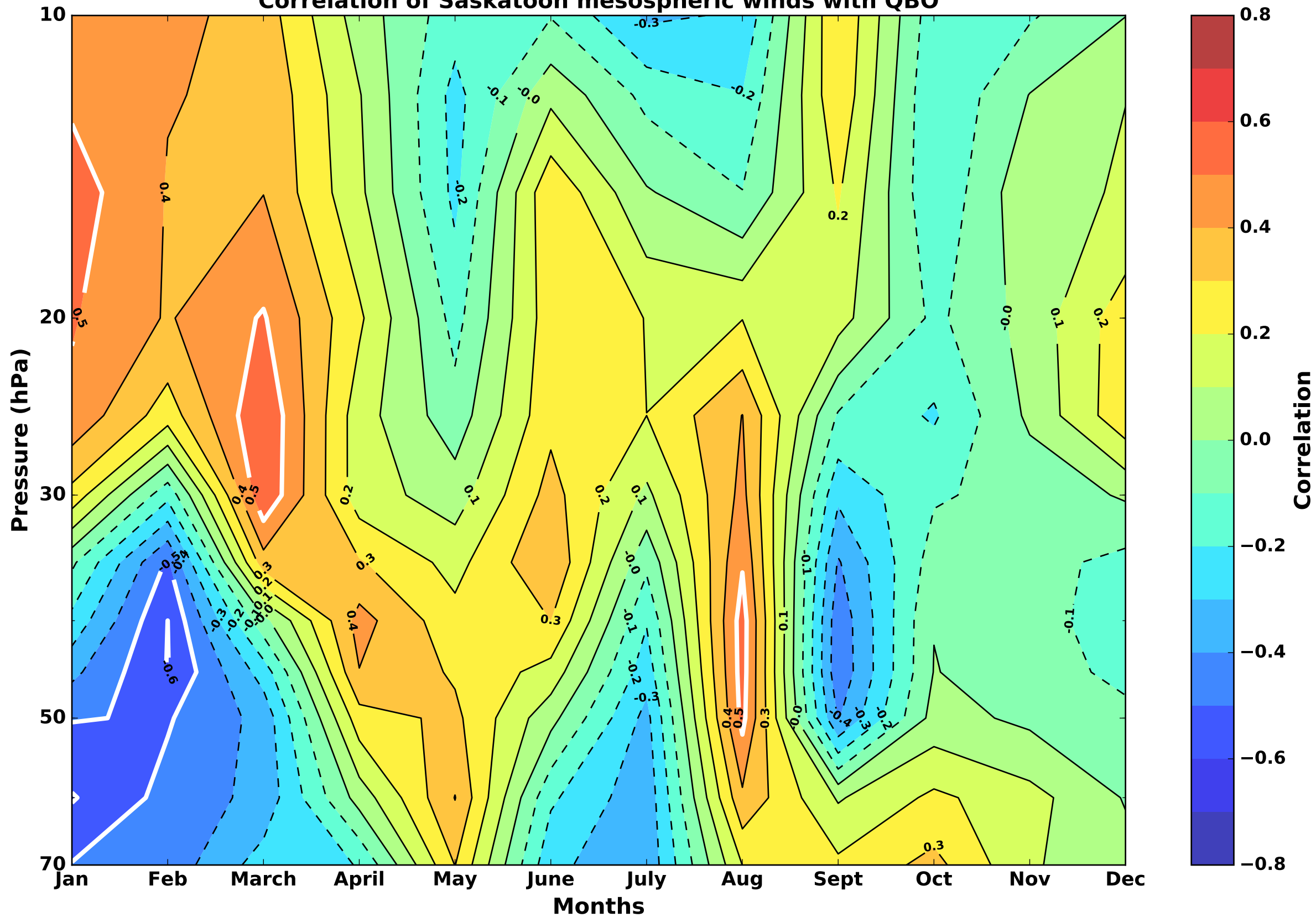


Monthly Mean Climatology (2002-2014)

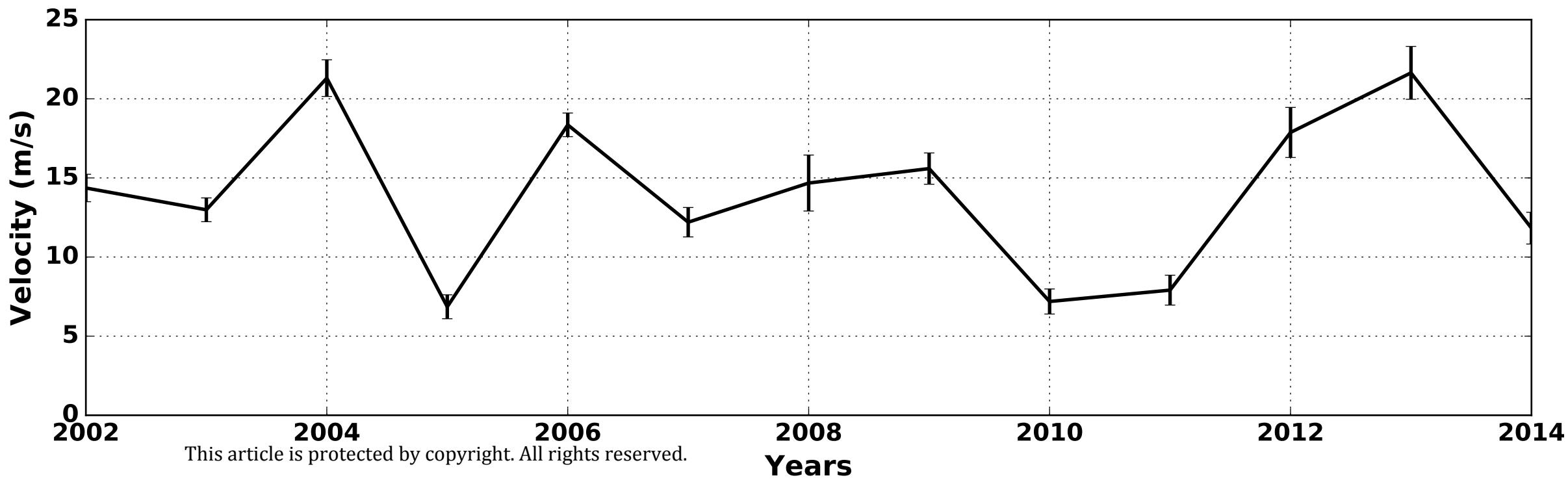
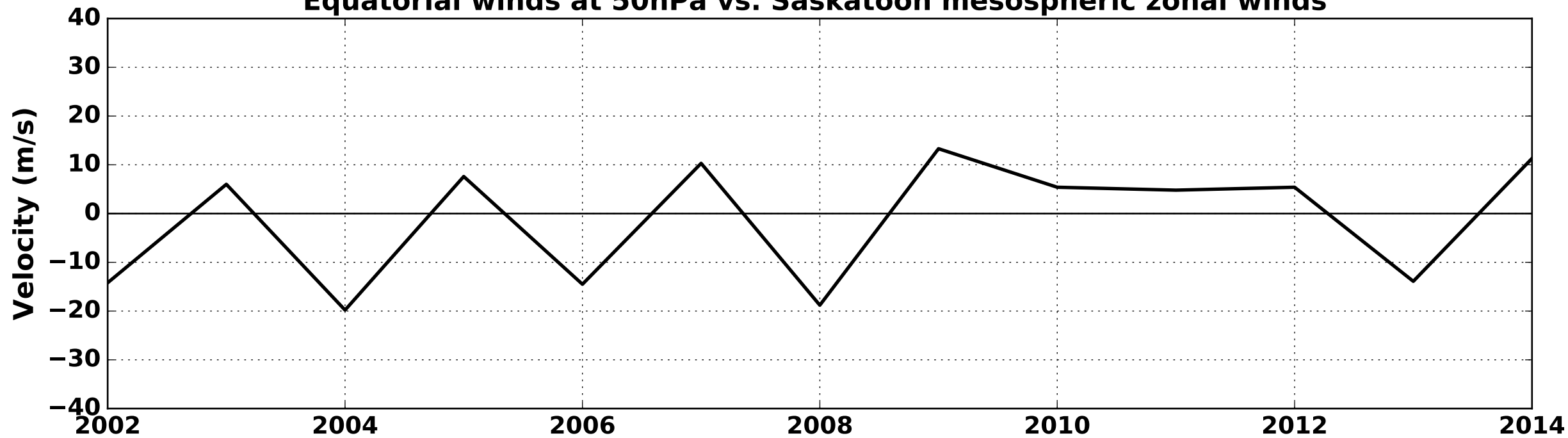
sas



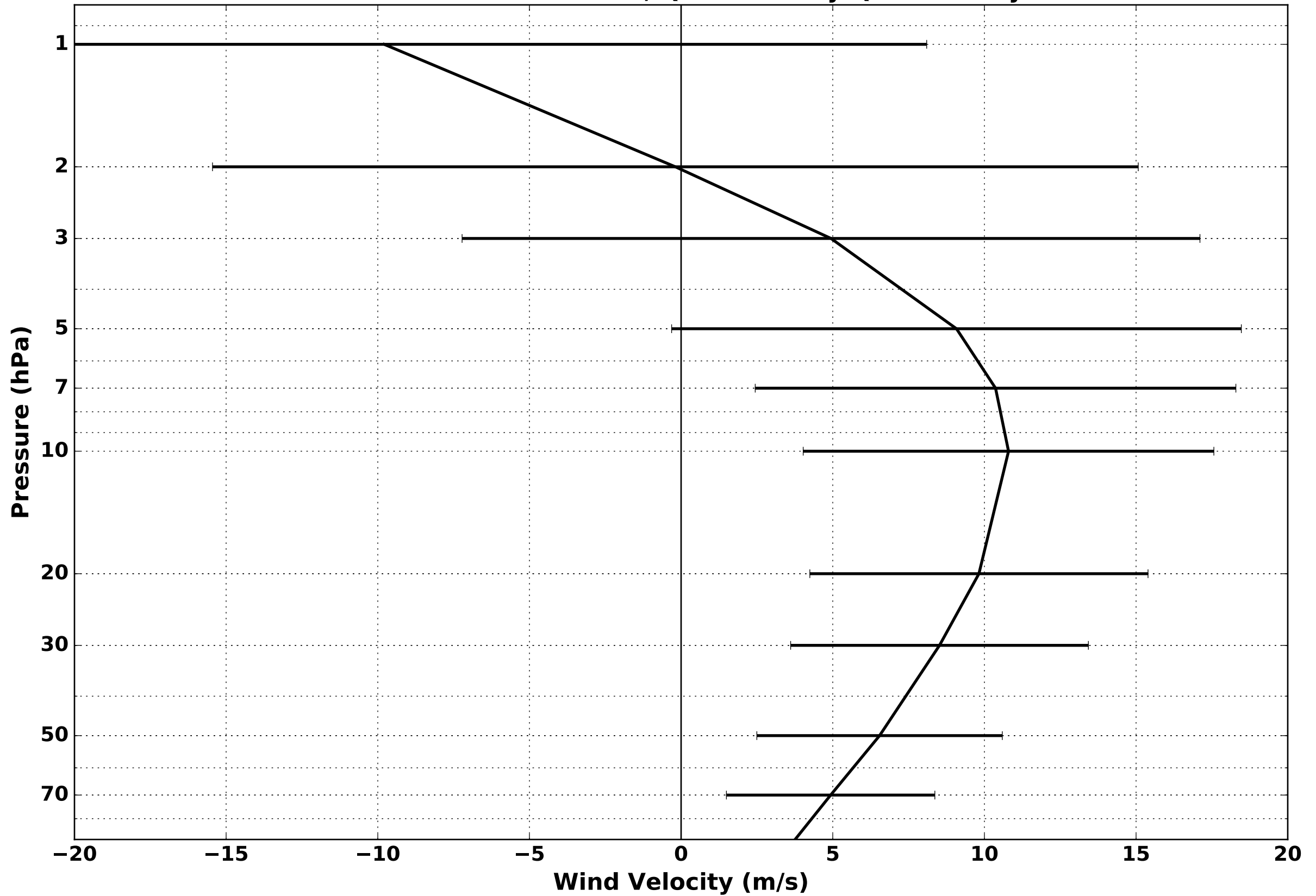
Correlation of Saskatoon mesospheric winds with QBO



Equatorial winds at 50hPa vs. Saskatoon mesospheric zonal winds



Saskatoon Wind Profile, QBO Westerly-QBO Easterly



Correlation between Saskatoon stratospheric and mesospheric zonal winds

