

Multi-scale analyses reveal robust relations between solar induced fluorescence and gross primary production

Jeffrey D. Wood^{1,2*}, Timothy J. Griffis¹, John M. Baker^{1,3}, Christian Frankenberg^{4,5}, Manish Verma⁵, and Karen Yuen⁵

¹Department of Soil, Water and Climate, University of Minnesota, Saint Paul Minnesota

²School of Natural Resources, University of Missouri, Columbia Missouri

³USDA-ARS, Soil and Water Research Unit, Saint Paul Minnesota

⁴Division of Geology and Planetary Sciences, California Institute of Technology, Pasadena, CA, USA

⁵Jet Propulsion Laboratory, California Institute of Technology, Pasadena, CA, USA

Corresponding author: Jeffrey Wood (woodjd@missouri.edu)

*Current affiliation, School of Natural Resources, University of Missouri, Columbia Missouri

Key Points:

- GPP scales linearly with SIF from instantaneous to monthly timescales
- Aggregating ecosystem GPP-SIF functions yields a representative landscape relation that matched one obtained directly using tall tower GPP
- GPP-SIF relations showed sensitivity to plant physiology but not spatiotemporal scale

Keywords:

OCO-2 tall tower fluxes, SIF, GPP, eddy covariance

This is the author manuscript accepted for publication and has undergone full peer review but has not been through the copyediting, typesetting, pagination and proofreading process, which may lead to differences between this version and the [Version of Record](#). Please cite this article as doi: [10.1002/2016GL070775](https://doi.org/10.1002/2016GL070775)

Abstract

Solar induced fluorescence (SIF) has shown great promise for probing spatiotemporal variations in terrestrial gross primary production (GPP), the largest component flux of the global carbon cycle. However, scale mismatches between SIF and ground-based GPP have posed challenges towards fully exploiting these data. We used SIF obtained at high spatial sampling rates and resolution by NASA's Orbiting Carbon Observatory (OCO-2) satellite to elucidate GPP-SIF relationships across space and time in the US Corn Belt. Strong linear scaling functions ($R^2 \geq 0.79$) that were consistent across instantaneous to monthly timescales were obtained for corn ecosystems, and for a heterogeneous landscape based on tall tower observations. Although the slope of the corn function was ~56% higher than for the landscape, SIF was similar for corn (C_4) and soybean (C_3). Taken together, there is strong observational evidence showing robust linear GPP-SIF scaling that is sensitive to plant physiology but insensitive to the spatial or temporal scale.

1 Introduction

Terrestrial gross primary production (GPP) is the largest global carbon (C) flux [Beer *et al.*, 2010]. Accurately representing GPP in coupled carbon-climate models is thus of great importance, but a lack of observational constraints at regional to global scales has impeded the development and evaluation of models [Friedlingstein, 2015]. Therefore, obtaining better constraints on spatiotemporal variations in GPP is a subject of great interest [Anav *et al.*, 2015]. The advent of satellite-based monitoring of solar induced fluorescence (SIF) has opened new avenues for probing regional-to-global photosynthesis [Frankenberg *et al.*, 2011b; Joiner *et al.*, 2011, 2014; Guanter *et al.*, 2014; Porcar-Castell *et al.*, 2014; Duveiller and Cescatti, 2016]. An important advantage of SIF is that it is more tightly coupled to physiological processes than vegetation indices such as the normalized difference vegetation index (NDVI) [Rossini *et al.*, 2015] or enhanced vegetation index (EVI) that are not sensitive to dynamic changes in physiological functioning and light-use efficiencies (LUE). For instance, the onset and shut down of photosynthesis, which is not mechanistically linked to leaf greenness, is better constrained by SIF [Joiner *et al.*, 2014]. However, to fully exploit the potential of SIF a better understanding of the relationship between SIF and GPP is needed to construct seasonal and annual budgets. This is particularly important for agroecosystems where despite a similar coupling between the electron transport rate (ETR) and fluorescence, different electron-use- (EUE) and carbon-use efficiencies (CUE) can give rise to different SIF-GPP relationship in C_3 and C_4 crops [Zhang *et al.*, 2014]. In the Corn Belt, which is dominated by corn (C_4) and soybeans (C_3), the GPP of the latter is only ~55% of the former [Suyker and Verma, 2012]. Understanding how C_4 and C_3 photosynthesis affect the relationship between SIF and GPP is thus important for utilizing SIF towards reliable estimation of local and regional budgets of photosynthetic carbon assimilation.

The existence of a relationship between fluorescence and the ETR of photosystem II is well established at molecular to leaf levels over short timescales, largely based on active fluorimetry measurements [Baker, 2008]. In contrast, remote sensing measures passive

fluorescence induced by solar irradiance, with significant knowledge gaps regarding quantitative relations with photosynthesis [Porcar-Castell *et al.*, 2014]. Empirically, model and flux tower GPP scales linearly with SIF observed (GOME-2 or GOSAT) at coarse spatial resolution and bi-weekly to annual timescales [Frankenberg *et al.*, 2011b] in a fashion that is somewhat ecosystem specific [Guanter *et al.*, 2012, 2014; Parazoo *et al.*, 2014]. Asymptotic relationships consistent with light saturation have also been observed between instantaneous SIF and GPP in managed and unmanaged ecosystems based on airborne measurements [Damm *et al.*, 2015]. There is therefore a pressing need to better understand how SIF is linked with GPP across a range of spatiotemporal scales to fully exploit these new observations in a GPP mapping context [Porcar-Castell *et al.*, 2014; Yang *et al.*, 2015].

The Orbiting Carbon Observatory-2 (OCO-2) satellite provides new capacity for space-based monitoring of SIF, with high spectral resolution and signal-to-noise ratios, as well as small footprints (1.3×2.3 km) within an orbital track [Frankenberg *et al.*, 2014]. These new monitoring capabilities allow for more detailed assessments of within orbital track spatial gradients in SIF. Furthermore, they permit better matching between satellite and ground-based observations for elucidating the relationships between SIF and GPP compared to previous products [Frankenberg *et al.*, 2011b; Guanter *et al.*, 2014; Joiner *et al.*, 2014; Parazoo *et al.*, 2014] that were to a certain extent hindered by low spatial resolution of previous SIF products (10 km diameter for GOSAT and 40×80 km for GOME-2) and sampling rates (GOSAT) because of the need for substantial aggregation in space, time or both. The new high resolution and amount of OCO-2 observations offer the potential for using SIF for finer scale assessments of GPP-SIF relationships when retrievals are proximal to ground-based flux towers.

The objectives of this current work are to therefore (i) compare SIF with common VIs, (ii) examine GPP-SIF relations based solely on satellite-based SIF and ground-based GPP observations across instantaneous to monthly timescales, and ecosystem (10–100s m) to landscape (1000–10,000s m) scales and (iii) evaluate regional (1000s of km) GPP estimated using the empirical GPP-SIF scaling function approach for a domain encompassing Iowa and southern Minnesota.

2 Materials and Methods

This analysis was conducted using data collected during the year 2015.

2.1 Tower-based GPP observations

GPP was inferred [Reichstein *et al.*, 2005; Moffat *et al.*, 2007] in a conventional corn field (AmeriFlux US-Ro1) [Baker and Griffis, 2005; Griffis *et al.*, 2005, 2008] and a restored prairie (AmeriFlux US-Ro4), and at the landscape scale at a very tall tower (KCMP 89.3 FM) [Griffis *et al.*, 2010; Zhang *et al.*, 2014a], both of which are located ~25 km south of the Minneapolis-Saint Paul metropolitan area. GPP was obtained by gap-filling and partitioning the net fluxes that were measured using eddy covariance at half-hourly and hourly resolution for ecosystem and tall tower sites [Yi *et al.*, 2000; Davis *et al.*, 2003], respectively. The corn

observations, for which flux footprints [Kljun *et al.*, 2004] were typically characterized by 90% isopleths of 60–100 m, were made in a relatively flat 17 ha field, with 180 m of fetch in all directions [Griffis *et al.*, 2007]. At the tall tower (44.689256°, -93.072817°; 290 m above sea level), the fluxes measured at 185 m (above ground level) had typical 90% flux isopleths on the order of 3–5 km that encompassed mixed land cover representative of the surrounding region [Griffis *et al.*, 2010; Zhang *et al.*, 2014a]. A more detailed description of tower based flux measurements is provided in the supplementary information.

2.2 Satellite observations

The OCO-2 spectrometer measures spectra in the O₂-A band (757–775 nm, FWHM=0.042 nm), with far red SIF retrieved at 757 (F_{757}) and 771 (F_{771}) nm based on the infilling of Fraunhofer lines [Frankenberg *et al.*, 2011a, 2014]. The spatial resolution is 1.3×2.3 km, with an 8 footprint along track sample giving a swath width of 10.6 km, with a 32 d repeat cycle for nadir retrievals. We used the SIF Lite product (v. B7101r), where biases were corrected using observations from reference target areas on a daily basis, similar to methods employed for GOSAT SIF retrievals [Frankenberg *et al.*, 2011b]. When evaluating GPP-SIF relationships at daily to monthly timescales we applied the daily correction factor that is provided in the SIF Lite product to convert instantaneous observations, F_s , to a 24 hour mean, $\overline{F_s}$ [Frankenberg *et al.*, 2011b] with biweekly and monthly $\overline{F_s}$ computed from the interpolated annual cycle (Fig. S3). When relations with VI's, were examined, F_s was ratioed by the cosine of the solar zenith angle. we used normalized difference vegetation index (NDVI) and enhanced vegetation index (EVI) Moderate Imaging Spectroradiometer (MODIS) products (MYD13Q1 v5) from the Aqua satellite, which provides global coverage every 16 days at 250 m resolution. The fraction of PAR absorbed by the canopy (FPAR) was obtained from the combined MODIS product (MCD15A2, v5) that provides global coverage every 8 days at 1 km resolution. We used the MODIS GPP product (MYD17A2H, v6), which follows an LUE approach and provides global coverage every 8 days at 500 m resolution [Zhao *et al.*, 2005]. Land cover data were obtained from the USDA's National Agricultural Statistical Service National Cropland Data Layer (NCDL) (<https://nassgeodata.gmu.edu/CropScape/>). An important feature of the NCDL is that it partitions croplands into different types (i.e., >50), and is of very high (30 m) spatial resolution allowing for fine scale variations of crop distributions within OCO-2 footprints to be estimated. For each SIF retrieval, the fractional corn, soybean, alfalfa, fallow, grassland, forest, wetland, forest, and urban land cover was determined, which is now feasible with the higher spatial resolution of the OCO-2. We also mapped each SIF retrieval to the aforementioned MODIS data.

2.4 GPP-SIF relationships

Corn-specific and landscape scale GPP-SIF relationships were obtained using GPP inferred from the field-scale and from the tall tower, respectively, and OCO-2 SIF. Prior to establishing GPP-SIF scaling functions, retrievals were screened based on several criteria to

ensure representativeness of the relationships for a broader region. For establishing GPP-SIF relationships, retrievals from within 125 km of the tall tower and from directions between 110° and 270° were selected for calibrating the landscape scale function. Land cover within this defined area was known to be representative of the larger Corn Belt region [Griffis *et al.*, 2010; Zhang *et al.*, 2014a]. This was confirmed here by a comparison which found similar land cover distributions for OCO-2 footprints in this calibration domain versus those for all of Iowa and Southern Minnesota. When selecting retrievals for establishing the corn scaling function, only those with >70% corn land cover were used, therefore the distance from the tower was relaxed to 225 km to increase the number of available observations.

2.5 Regional GPP analysis

An analysis was performed to examine the validity of using the landscape GPP-SIF scaling function (described in section 2.4) in conjunction with gridded SIF for estimating GPP for a region comprising Iowa and southern Minnesota. Data were gridded at 0.5° resolution over a domain spanning 40.5 to 45° N latitudes and 90 to 96.5° W longitudes. Two data sets were gridded: (i) GPP from SIF (GPP_{SIF}), (ii) GPP from MODIS (GPP_{MOD}). The GPP_{SIF} was obtained by gridding and interpolating $\overline{F_s}$ for each month, and then applying the landscape scale function. The calibration domain (described in subsection 2.4) that was used to establish the landscape GPP-SIF function represented a small percentage (<5%) of the larger region of interest.

3 Results and Discussion

3.1 SIF and VIs

We first examined F_s -VI and F_s -land cover relations for retrievals in Iowa and southern Minnesota. At the OCO-2 footprint level, F_s was correlated with EVI ($r=0.69$), NDVI ($r=0.69$) and FPAR ($r=0.70$) from April-15 through October-15. All F_s -VI joint distributions displayed regions of highest density when F_s was near zero (Fig. 1a-c), however, the near binary nature of phenology, which transitions rapidly from barren fields to oases and then senesced crops, is particularly evident in the F_s -NDVI (Fig. 1b) and F_s -FPAR (Fig. 1c) relations. Both FPAR and NDVI displayed clouds of high data density at the upper and lower ranges, with sparse data coverage in between. Interestingly, the clustering at NDVI>0.8 showed signs of saturation, as indicated by an apparent change in slope in the high point cloud. In contrast, the F_s -EVI distribution was consistent across an EVI range of 0.4–0.7, with no apparent signs of saturation. Indeed, NDVI and EVI displayed a nonlinear relationship indicative of saturation of the former ($NDVI = 1.79 \times EVI / [EVI + 0.72]$; $R^2=0.97$; Fig. S1).

At the height of the growing season, F_s increased with fractional land cover for corn and soybean (Fig. 1d and 1e, respectively). Linear correlations were, however, weaker than with the VIs for both corn ($r=0.25$, $p < 0.001$) and soybean ($r=0.19$, $p < 0.001$). This was likely because low corn and soybean cover does not imply that the remainders of the footprints were occupied

by non-fluorescing surfaces. A stronger relation between F_s and combined corn-soybean land cover emerged ($r=0.32$, $p < 0.001$), although the majority of data were clustered in a high density cloud above 0.8 (Fig. 1f). Linear fits of F_s on fractional crop cover had slopes that were not statistically different ($p > 0.1$) between corn (1.09 ± 0.130 , $p < 0.001$), soybean (1.01 ± 0.154 , $p < 0.001$) and corn + soybean (1.13 ± 0.100), providing empirical evidence for similar fluorescence from corn and soybean canopies.

We also examined F_s -APAR joint distributions. This analysis was restricted to retrievals within the calibration domain (subsection 2.4) because the downwelling PAR observations were from a single point. Further filtering was performed to include only cases where LAI exceeded 25% of the mean domain-wide maximum LAI. The joint F_s -APAR densities from May through October revealed a weak linear relation (Fig. S2) that explained 36% of the total variance, with no apparent sign of saturation.

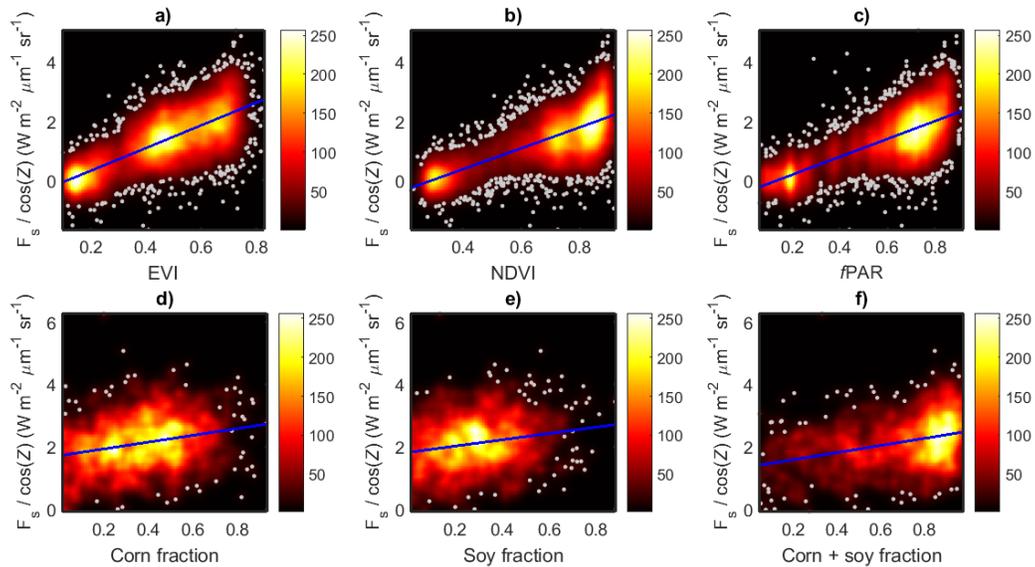


Figure 1. Joint distribution and linear fits of instantaneous SIF (F_s) at 757 nm normalized by the cosine of the solar zenith angle (Z) from April through October, 2015 and a) EVI, b) NDVI, c) FPAR and the fraction of the SIF footprint covered by d) corn and e) soybean and f) the sum of corn and soybean. The color map represents the observation density, and gray points denote observations where the smoothed density is $< 10\%$ of the maximum density.

A novel aspect of this present work is that analyses of SIF-VI relations were conducted without aggregating data in space or time, which has been common with earlier SIF products from GOSAT [Frankenberg *et al.*, 2011b] or GOME-2 [Joiner *et al.*, 2013; Guanter *et al.*, 2014] due to the high noise in a single SIF sounding and the coarse spatial resolution. This averaging could potentially introduce artifacts in subsequent analyses through altering the data distribution. Although there is considerable noise in a single OCO-2 retrieval ($0.3\text{--}0.5 \text{ W m}^{-2} \mu\text{m}^{-1} \text{ sr}^{-1}$), the

ensemble average of multiple retrievals can be accurate to within $0.05 \text{ W m}^{-2} \mu\text{m}^{-1} \text{ sr}^{-1}$ [Frankenberg *et al.*, 2014], with calibration strategies similar to GOSAT [Frankenberg *et al.*, 2011b] being employed for space-based OCO-2 observations, using non-fluorescing reference targets on a daily basis. In analyzing SIF-VI relations at the footprint level, random errors due to the noisy retrievals contributes to scatter, however, the functional relation is expected to be accurate and free of potential distortion due to averaging over space or time.

The F_s -NDVI ($r=0.69$) and F_s -FPAR ($r=0.69$) correlations were in line with previous reports for an ensemble of biomes ($r=0.68$) [Frankenberg *et al.*, 2011b]. The bimodal joint densities (for NDVI and FPAR) were consistent with the fact that most crops in the region have traits selected to maximize light interception to confer rapid growth, and probably behaved as influential ‘points’. Our land cover analyses that took advantage of the high spatial resolution of both OCO-2 and the NCDL, provided direct empirical evidence that supports the notion that canopy fluorescence is similar for corn and soybean (Fig. 1e–f) [Zhang *et al.*, 2014b; Guan *et al.*, 2015]. It is noteworthy that the F_s -APAR joint densities displayed a linear relation, which is encouraging from the perspective of validating the empirical scaling approach for GPP estimation [Porcar-Castell *et al.*, 2014]. The cloud of high data density spanning mid to upper range APAR ($175\text{--}275 \text{ W m}^{-2}$), may partially explain the relatively low R^2 of 0.36. While EVI clearly has higher sensitivity and less susceptibility to saturation compared to NDVI, as expected [Huete *et al.*, 2002], the question remains as to whether SIF offers advantages in terms of capturing the on-off nature of photosynthesis that gives rise to an intense seasonal cycle of net CO_2 exchange in the region [Zhang *et al.*, 2014a].

3.2 GPP-SIF relationships

Strong linear GPP-SIF relationships were obtained for corn ($R^2 \geq 0.79$) and for the mixed landscape ($R^2 \geq 0.81$) for timescales spanning from instantaneous to monthly means (Fig. 2). The slopes were significantly higher ($p < 0.001$) for corn versus the landscape for all timescales examined. It is noteworthy that there was consistency in the slopes for both corn and the landscape functions across timescales. Note that unless otherwise stated, the slopes of scaling functions are reported in units of $\text{g-C m}^{-2} \text{ d}^{-1} (\text{W m}^{-2} \mu\text{m}^{-1} \text{ sr}^{-1})^{-1}$. Although the goodness-of-fit was poorer for the instantaneous fits (Fig. 2a) versus the daily, biweekly and monthly means (Fig. 2b–2d), consistency in the slope terms demonstrate the existence of robust GPP-SIF relationships that are consistent across instantaneous to monthly timescales.

Author Manuscript

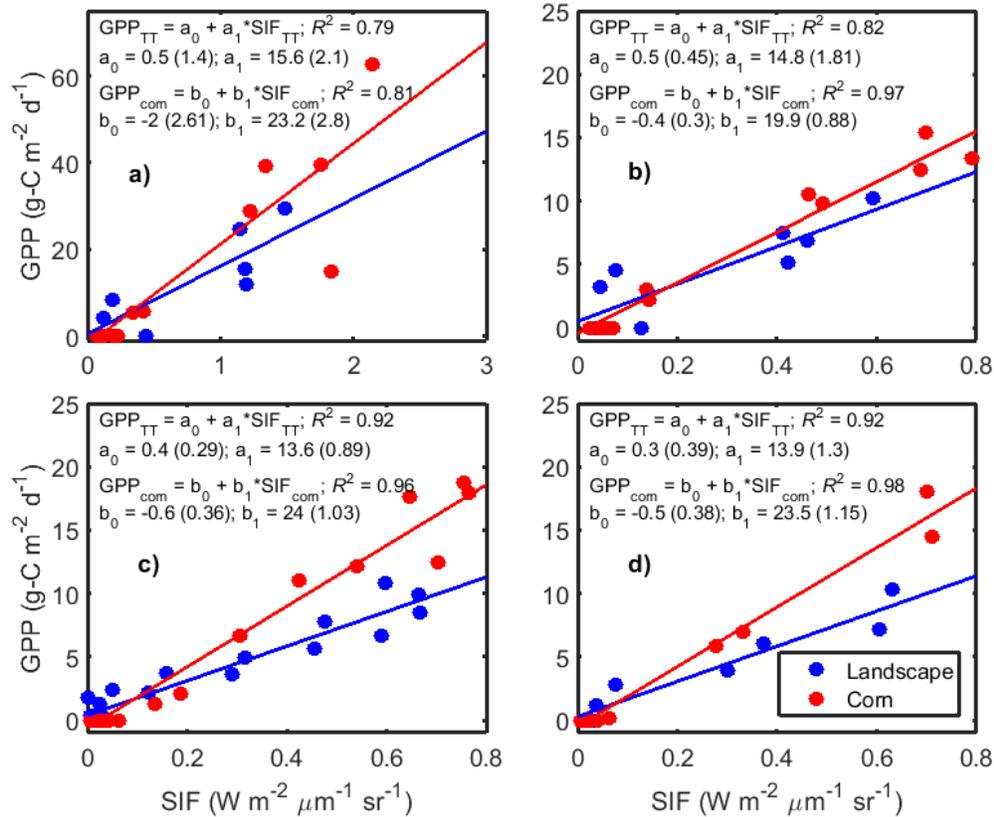


Fig. 2. Linear relationships between GPP and SIF in the vicinity of the flux towers were consistent across timescales ranging from a) instantaneous measurements at the time of the OCO-2 overflight, b) daily means, c) bi-weekly means, and d) monthly means. In equations, values in parentheses represent standard errors; no intercepts were significant ($p > 0.1$); and all slopes were significant ($p < 0.001$).

The scaling functions obtained here were parameterized using GPP and SIF observations with substantially improved scale matching, particularly for the landscape case, compared to earlier works [Frankenberg *et al.*, 2011b; Guanter *et al.*, 2014; Parazoo *et al.*, 2014], for which averaging over expansive space ($2^\circ \times 2^\circ$ or coarser), long times (annual or longer) or both was required. Previously reported scaling functions [Frankenberg *et al.*, 2011b; Parazoo *et al.*, 2014] derived from GPP from the MPI-BGC [Beer *et al.*, 2010; Jung *et al.*, 2011] model and SIF from GOSAT (10 μ m fluorescence is retrieved at similar wavelengths to OCO-2) [Frankenberg *et al.*, 2011a] are in broad agreement with those found in this present work. The slopes of the corn functions were higher than for the croplands (17.1 ± 0.83) in Parazoo *et al.* [2014] that represented a mixture of C₃ and C₄ species. The slopes of the landscape GPP-SIF relationships

(13.6–15.6) were considerably lower than the corn-specific case, which is consistent with the presence of plant species that have lower EUE and CUE [Zhang *et al.*, 2014b; Guan *et al.*, 2015], since measured fluorescence is an integrated metric representative of sub-footprint heterogeneity [Frankenberg *et al.*, 2014]. While this finding is consistent with biophysical and measurement theory, it has not been reported previously, where estimates of ensemble across-biome GPP-SIF relationships converged to a value of 18–20 g-C m⁻² d⁻¹ (W m⁻² μm⁻¹ sr⁻¹)⁻¹ [Frankenberg *et al.*, 2011b; Parazoo *et al.*, 2014], exceeding the ecosystem-specific scaling functions in Parazoo *et al.* [2014] by 5–185%. This discrepancy may have been due to aggregating to coarse grids and annual means or biases introduced by the distribution of the pooled data.

The linearity of the instantaneous GPP-SIF relations (Fig. 2a) indicates the absence of light saturation effects, which is consistent with analyses using SIF products from GOME-2 [Guanter *et al.*, 2014] and GOSAT [Frankenberg *et al.*, 2011b; Guanter *et al.*, 2012; Parazoo *et al.*, 2014]. Previous satellite-based SIF products did not permit the examination of GPP-SIF relationships at sub-annual timescales because substantial spatiotemporal aggregation of SIF retrievals was required. It is therefore possible that the linear relations in these cases were an artifact of averaging over space and time. Asymptotic instantaneous GPP-SIF relations have been found by others using airborne SIF for multiple ecosystem types [Damm *et al.*, 2015], although linearization of the light response tends to occur when moving from leaf to canopy-scale and with increasing spatiotemporal aggregation [Ruimy *et al.*, 1995]. For the first time, we demonstrated that the GPP-SIF relationship was consistent across instantaneous to monthly timescales for both corn and landscape functions (Fig. 2). It is therefore unlikely that the linear scaling was related to temporal aggregation.

The landscape investigated here was dominated by corn, soybean and grasslands, for which the light response [Gilmanov *et al.*, 2010] is generally less prone to saturation compared to forests [Griffis *et al.*, 2003]. Indeed, ecosystem-scale GPP-light responses displayed low levels of saturation when considering half hourly (“instantaneous”) data, with further linearization with increasing temporal (Fig. S4a–c) or spatial (Fig. S4) aggregation. While saturation in the GPP light response was relatively muted (Fig. S4), the instantaneous GPP-SIF relationship (Fig. 2a) showed no evidence of nonlinearity. Our analysis of instantaneous observations was limited to the time ofOCO-2 overpasses (13:30 local time), thus it is possible that the absence of data at other times of day acted to linearize the GPP-SIF relation.

Recent evidence based on ground based eddy covariance and spectrometer systems supports that light saturation may induce nonlinearity in the GPP-SIF relationship. In a deciduous broadleaf forest, the slope of the GPP-SIF relationship parameterized using instantaneous observations was steeper in the morning (09:30) versus the afternoon (13:30), implying saturation effects under conditions of high light [Yang *et al.*, 2015]. They also noted that the instantaneous afternoon relation (vs. the morning case) was in considerably better agreement with the linear one established based on daily means. In contrast, linear GPP-SIF scaling was found for ensembles of half-hourly means for all times of day in corn and wheat fields [Lu *et al.*, 2017]. Collectively, these observations are consistent with the notion that

croplands tend to display a linear light response compared to forests, with saturation occurring at high incident solar radiation [Ruimy *et al.*, 1995].

The assumption of a linear GPP-SIF relation is critical to the empirical scaling approach for GPP estimation [Guanter *et al.*, 2014; Parazoo *et al.*, 2014; Porcar-Castell *et al.*, 2014]. The consistency in the landscape scaling function across timescales observed here provides strong evidence supporting the existence of such linear scaling. As an additional assessment of the robustness of GPP-SIF functions, we compared landscape relations obtained using bottom-up and top-down approaches. The bottom-up function was taken as the sum of ecosystem-specific relations weighted by land cover. The top-down function obtained directly from tall tower measurements was integrated over several ecosystem types for which we did not have direct observational constraints. Given that corn and soybean canopies fluoresce at similar rates as discussed previously, a soybean-specific function was estimated by multiplying our biweekly corn relationship by a factor of 0.55, which is the approximate soybean:corn GPP ratio [Suyker and Verma, 2012], while the scaling functions of Parazoo *et al.* [2014] were used for deciduous forests, grasslands and other croplands. The bottom-up relation ($14.5 \text{ g-C m}^{-2} \text{ d}^{-1} (\text{W m}^{-2} \mu\text{m}^{-1} \text{ sr}^{-1})^{-1}$) agreed to within 6% of that derived from the tall tower top-down approach using biweekly means ($13.6 \text{ g-C m}^{-2} \text{ d}^{-1} (\text{W m}^{-2} \mu\text{m}^{-1} \text{ sr}^{-1})^{-1}$) (Fig. S5). The excellent agreement provides further evidence for robust linear scaling relations, and supports the conclusion that SIF integrates over sub-grid heterogeneity unlike reflectance based products [Frankenberg *et al.*, 2014].

Our analyses of GPP-SIF relationships (Fig. 2) and light responses (Fig. S4) that are based solely on observations, clearly demonstrate robust scaling functions that are independent of temporal aggregation. These types of analyses have not been possible previously due to limitations of previous SIF products, which required severe spatiotemporal averaging (e.g., annual or longer), and in many cases relied on comparison with model GPP. Ecosystem-scale studies have shown that at sub-daily timescales, GPP-SIF relations do not saturate in agricultural systems [Liu *et al.*, 2017] or that in ecosystems such as forests that may display saturation there is good agreement between linear GPP-SIF functions parameterized daily means or instantaneous observations at 13:30 local time [Yang *et al.*, 2015]. Taken together, there is compelling evidence supporting that linear GPP-SIF scaling relationships are consistent across space and time and contain ample information for regional to global-scale GPP mapping applications based on remotely sensed SIF. Furthermore, canopy-scale studies are urgently needed to permit the prying of more mechanistic information out of the remotely sensed SIF signal are urgently needed.

5.5 Regional analyses

The ensemble, domain-wide means of gridded annual GPP for Iowa and Southern Minnesota from SIF (GPP_{SIF}) and MODIS (GPP_{MOD}) were $1010 \pm 125 \text{ g-C m}^{-2}$ ($\pm\text{SD}$), and $920 \pm 100 \text{ g-C m}^{-2}$, respectively. However, GPP_{MOD} followed a normal distribution ($p=0.25$) while GPP_{SIF} did not ($p < 0.01$), displaying negative skewness (-0.55). There was a systematic under-estimation by GPP_{MOD} compared to GPP_{SIF} and GPP_{TT} during July and August, with the

SIF based approach capturing the growing season peak (Fig. 4a). In contrast, the MODIS based approach showed susceptibility to saturation. Indeed, at the OCO-2 footprint level, an asymptotic relationship between GPP_{MOD} and GPP_{SIF} was observed ($R^2=0.65$), indicating saturation of the former (Fig. 4b), and presented in GPP_{MOD} -SIF space in Figure S6.

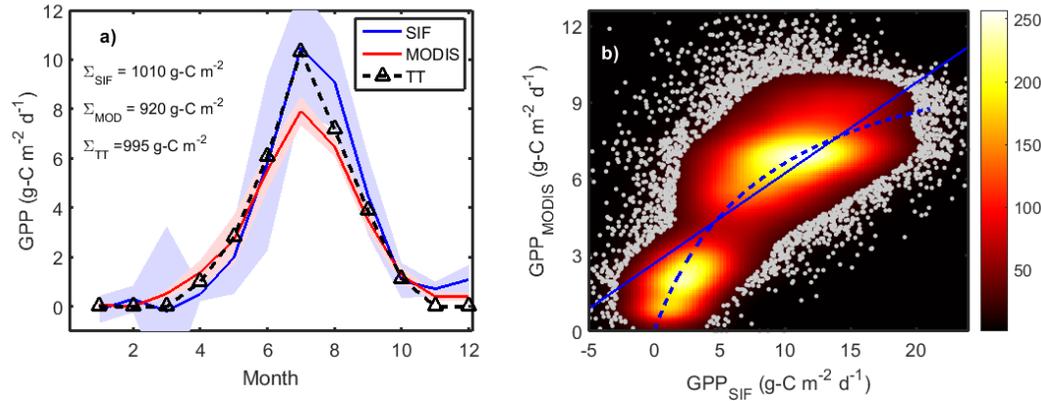


Fig. 3. Mean GPP estimated by applying the landscape relationship to gridded and interpolated SIF (GPP_{SIF}), the MODIS product (GPP_{MOD}) and the tall tower (GPP_{TT}), where shading represents 1 SD and b) the joint distribution of GPP_{MOD} and GPP_{SIF} applied at the footprint level where the solid and dashed lines are linear ($GPP_{MOD} = 0.36 \times GPP_{SIF} + 2.7$; $R^2 = 0.54$) and nonlinear ($GPP_{MOD} = 12.4 \times GPP_{SIF} / [GPP_{SIF} + 8.7]$; $R^2 = 0.65$) fits. In panel b) the color map represents observation density, and gray points denote observations where the smoothed density is <10% of the maximum density.

The empirical scaling approach offers a reasonably direct method of accessing regional to global GPP using satellite-based SIF observations and land cover data—without the need for additional information on vegetation characteristics and gridded meteorological fields. At this juncture, it appears as though land cover classification and the application of ecosystem-specific relationships is needed for operational GPP estimation. Similar analyses of GPP-SIF relationships should be performed using OCO-2 observations and flux towers situated in ecosystems not considered here to better constrain the diversity of scaling functions to determine whether a simplified classification is acceptable. While the calibration of landscape-scale functions and application to a broader region is a potential alternative to obviate the need for fine scale land use classification, landscape relationships are likely subject to greater inter-annual variations in response to changes in land cover than for individual ecosystems.

4 Conclusions

We have made use of OCO-2 SIF observations obtained at high spatial sampling rates and resolution in combination with ecosystem to landscape scale flux observations to further our understanding of GPP-SIF relationships. It is noteworthy that these analyses were possible in a

single growing season because of the high SIF sampling rates along orbital tracks and spatial resolution, and thus relatively free of artifacts introduced by scale mismatches in space and time. For instance, we did not have to average croplands over multiple years to ensure that the flux tower and SIF signals represented the average of crop rotations, and all satellite and flux tower data were aligned in time, thus eliminating issues associated with comparing SIF and GPP ensembles from different ranges of years. We found linear GPP-SIF relationships that were robust across both space and time. Further, the agreement between bottom-up and top-down scaling functions shows directly that up-scaling using ecosystem-specific relationships in conjunction with SIF and knowledge of land cover distribution can yield robust landscape GPP estimates. The GPP_{SIF} proved to be a better regional-scale estimator compared to GPP_{MOD} despite employing a simple gridding and interpolation routine. Our results indicate that a single cropland scaling function may be inappropriate, and at a minimum, different relationships for C_3 and C_4 crops should be derived. This is of particular significance in heavily cropped regions where one or more crops may dominate e.g., intense corn-soybean vs. rice production, suggesting that an average global cropland function may be unsuitable. Further studies directed towards determining the spread of GPP-SIF relationships in different natural ecosystems based on observational constraints will support the establishment and potential simplification of classification schemes for operational GPP estimation.

Acknowledgments and Data

The authors would like to acknowledge funding support provided by United States Department of Agriculture (USDA-NIFA2013-67019-21364) and the Minnesota Corn Research and Promotion Council (4101-15SP). Funding for this AmeriFlux core site was provided by the U.S. Department of Energy's Office of Science. We are grateful to the University of Minnesota Supercomputing Institute for partial support of this work. JDW acknowledges US Department of Energy support for the University of Missouri (subcontract 4000150689). Corn GPP data are available from AmeriFlux (<http://ameriflux.lbl.gov/>) and tall tower data are archived at <http://www.biometeorology.umn.edu/>. The solar induced fluorescence data (v. B7101r) were produced by the OCO-2 project at the Jet Propulsion Laboratory, California Institute of Technology, and obtained from the OCO-2 data archive maintained at the NASA Goddard Earth Science Data and Information Services Center. The MODIS FPAR/LAI (MCD15A2 v5), GPP (MYD17A2H v6), and EVI/NDVI (MYD13Q1 v5) were obtained from the Land Processes DAAC.

The authors declare no conflict of interest.

References

- Anav, A. et al. (2015), Spatiotemporal patterns of terrestrial gross primary production: A review, *Rev. Geophys.*, 53, 785–818, doi:10.1002/2015RG000483.
- Baker, J. M., and T. J. Griffis (2005), Examining strategies to improve the carbon balance of

- corn/soybean agriculture using eddy covariance and mass balance techniques, *Agric. For. Meteorol.*, 128(3-4), 163–177, doi:10.1016/j.agrformet.2004.11.005.
- Baker, N. R. (2008), Chlorophyll fluorescence: a probe of photosynthesis in vivo., *Annu. Rev. Plant Biol.*, 59, 89–113, doi:10.1146/annurev.arplant.59.032607.092759.
- Beer, C. et al. (2010), Terrestrial gross carbon dioxide uptake: global distribution and covariation with climate, *Science (80-.)*, 329(5993), 834–8, doi:10.1126/science.1184984.
- Damm, A., L. Guanter, E. Paul-Limoges, C. van der Tol, A. Hueni, N. Buchmann, W. Eugster, C. Ammann, and M. E. Schaepman (2015), Far-red sun-induced chlorophyll fluorescence shows ecosystem-specific relationships to gross primary production: An assessment based on observational and modeling approaches, *Remote Sens. Environ.*, 166, 91–105, doi:10.1016/j.rse.2015.06.004.
- Davis, K. J. P. S. Bakwin, C. Yi, B. W. Berger, C. Zhao, R. M. Teclaw, and J. G. Isebrands (2003). The annual cycles of CO₂ and H₂O exchange over a northern mixed forest as observed from a very tall tower, *Glob. Chang. Biol.*, 9, 1278–1293, doi:10.1046/j.1365-2486.2003.00672.x.
- Duveiller, G., and A. Cescatti (2016), Spatially downscaling sun-induced chlorophyll fluorescence leads to an improved temporal correlation with gross primary productivity, *Remote Sens. Environ.*, 182, 72–89, doi:10.1016/j.rse.2016.04.027.
- Frankenberg, C., A. Butz, and G. C. Toon (2011a), Disentangling chlorophyll fluorescence from atmospheric scattering effects in O₂ A-band spectra of reflected sun-light, *Geophys. Res. Lett.*, 38(3), 1–5, doi:10.1029/2010GL045896.
- Frankenberg, C. et al. (2011b), New global observations of the terrestrial carbon cycle from GOSAT: Patterns of plant fluorescence with gross primary productivity, *Geophys. Res. Lett.*, 38(17), 1–6, doi:10.1029/2011GL048738.
- Frankenberg, C., C. O’Dell, J. Berry, L. Guanter, J. Joiner, P. Köhler, R. Pollock, and T. E. Taylor (2014), Prospects for chlorophyll fluorescence remote sensing from the Orbiting Carbon Observatory-2, *Remote Sens. Environ.*, 147, 1–12, doi:10.1016/j.rse.2014.02.007.
- Friedlingstein, P. (2015), Carbon cycle feedbacks and future climate change., *Philos. Trans. A. Math. Phys. Eng. Sci.*, 373(2054), 20140421–, doi:10.1098/rsta.2014.0421.
- Gilmanov, T. G. et al. (2010), Productivity, respiration, and light-response parameters of world grassland and agroecosystems derived from flux-tower measurements, *Rangel. Ecol. Manag.*, 63, 16–39, doi:10.2111/REM-D-09-00072.1.
- Griffis, T. J. T. A. Black, K. Morgenstern, A. G. Barr, Z. Nestic, G. B. Drewitt, D. Gaumont-Guay, and J. H. McCaughey (2003), Ecophysiological controls on the carbon balances of three southern boreal forests, *Agric. For. Meteorol.*, 117(1-2), 53–71, doi:10.1016/S0168-1923(03)00023-6.

- Griffis, T. J., X. Lee, J. M. Baker, S. D. Sargent, and J. Y. King (2005), Feasibility of quantifying ecosystem-atmosphere C¹⁸O¹⁶O exchange using laser spectroscopy and the flux-gradient method, *Agric. For. Meteorol.*, *135*(1-4), 44–60, doi:10.1016/j.agrformet.2005.10.002.
- Griffis, T. J., J. Zhang, J. M. Baker, N. Kljun, and K. Billmark (2007), Determining carbon isotope signatures from micrometeorological measurements: Implications for studying biosphere-atmosphere exchange processes, *Boundary-Layer Meteorol.*, *123*(2), 295–316, doi:10.1007/s10546-006-9143-8.
- Griffis, T. J., S. D. Sargent, J. M. Baker, X. Lee, B. D. Tanner, J. Greene, E. Swiatek, and K. Billmark (2008), Direct measurement of biosphere-atmosphere isotopic CO₂ exchange using the eddy covariance technique, *J. Geophys. Res.*, *113*(D8), D08304, doi:10.1029/2007JD009297.
- Griffis, T. J., J. M. Baker, S. D. Sargent, M. Erickson, J. Corcoran, M. Chen, and K. Billmark (2010), Influence of C4 vegetation on ¹³CO₂ discrimination and isoforcing in the upper Midwest, United States, *Global Biogeochem. Cycles*, *24*(4), 1–16, doi:10.1029/2009GB003768.
- Guan, K., J. A. Berry, Y. Zhang, J. Joiner, L. Guanter, G. Badgley, and D. B. Lobell (2015), Improving the monitoring of crop productivity using spaceborne solar-induced fluorescence, *Glob. Chang. Biol.*, 1–11, doi:10.1111/gcb.13136.
- Guanter, L., C. Frankenberg, A. Dudhia, P. E. Lewis, J. Gomez-Dans, A. Kuze, H. Suto, and R. G. Grainger (2012), Retrieval and global assessment of terrestrial chlorophyll fluorescence from GOSAT space measurements, *Remote Sens. Environ.*, *121*, 236–251, doi:10.1016/j.rse.2012.02.006.
- Guanter, L. et al. (2014), Global and time-resolved monitoring of crop photosynthesis with chlorophyll fluorescence., *Proc. Natl. Acad. Sci. U. S. A.*, *111*(14), E1327–33, doi:10.1073/pnas.1320008111.
- Huete, A., K. Didan, T. Miura, E. P. Rodriguez, X. Gao, and L. G. Ferreira (2002), Overview of the radiometric and biophysical performance of the MODIS vegetation indices, *Remote Sens. Environ.*, *83*(1-2), 195–213, doi:10.1016/S0034-4257(02)00096-2.
- Joiner, J., T. Yoshida, A. P. Vasilkov, Y. Yoshida, L. A. Corp, and E. M. Middleton (2011), First observations of global and seasonal terrestrial chlorophyll fluorescence from space, *Biogeosciences*, *8*(3), 637–651, doi:10.5194/bg-8-637-2011.
- Joiner, J. J., L. Guanter, R. Lindstrot, M. Voigt, a P. Vasilkov, E. M. Middleton, K. F. Huemmrich, Y. Yoshida, and C. Frankenberg (2013), Global monitoring of terrestrial chlorophyll fluorescence from moderate spectral resolution near-infrared satellite measurements: methodology, simulations, and application to GOME-2, *Atmos. Meas. Tech. Discuss.*, *6*(2), 3885–3930, doi:10.5194/amtd-6-3883-2013.

- Joiner, J. et al. (2014), The seasonal cycle of satellite chlorophyll fluorescence observations and its relationship to vegetation phenology and ecosystem atmosphere carbon exchange, *Remote Sens. Environ.*, *152*, 375–391, doi:10.1016/j.rse.2014.06.022.
- Jung, M. et al. (2011), Global patterns of land-atmosphere fluxes of carbon dioxide, latent heat, and sensible heat derived from eddy covariance, satellite, and meteorological observations, *J. Geophys. Res. Biogeosciences*, *116*(3), 1–16, doi:10.1029/2010JG001566.
- Kljun, N., P. Calanca, M. W. Rotach, and H. P. Schmid (2004), A simple parameterisation for flux footprint predictions, *Boundary-Layer Meteorol.*, *112*(3), 503–523, doi:10.1023/B:BOUN.0000030653.71031.96.
- Liu, L., L. Guan, and X. Liu (2017), Directly estimating diurnal changes in GPP for C3 and C4 crops using far-red sun-induced chlorophyll fluorescence, *Agric. For. Meteorol.*, *232*, 1–9, doi:10.1016/j.agrformet.2016.06.014.
- Moffat, A. M. et al. (2007), Comprehensive comparison of gap-filling techniques for eddy covariance net carbon fluxes, *Agric. For. Meteorol.*, *147*(3-4), 209–232, doi:10.1016/j.agrformet.2007.08.011.
- Parazoo, N. C., K. Bowman, J. B. Fisher, C. Frankenberg, D. B. A. Jones, A. Cescatti, Ó. Pérez-Priego, G. Wohlfahrt, and L. Montagnani (2014), Terrestrial gross primary production inferred from satellite fluorescence and vegetation models, *Glob. Chang. Biol.*, *20*(10), 3103–3121, doi:10.1111/gcb.12652.
- Porcar-Castell, A., E. Tyystjärvi, J. Atherton, C. Van Der Tol, J. Flexas, E. E. Pfündel, J. Moreno, C. Frankenberg, and J. A. Berry (2014), Linking chlorophyll a fluorescence to photosynthesis for remote sensing applications: Mechanisms and challenges, *J. Exp. Bot.*, *65*(15), 4065–4095, doi:10.1093/jxb/eru191.
- Reichstein, M. et al. (2005), On the separation of net ecosystem exchange into assimilation and ecosystem respiration: review and improved algorithm, *Glob. Chang. Biol.*, *11*(9), 1424–1439, doi:10.1111/j.1365-2486.2005.001002.x.
- Rossini, M. et al. (2015), Red and far red sun-induced chlorophyll fluorescence as a measure of plant photosynthesis, *Geophys. Res. Lett.*, *42*, 1632–1639, doi:10.1002/2015GL065934.
- Ruimy, A., P. G. Jarvis, D. D. Baldocchi, and B. Saugier (1995), CO₂ fluxes over plant canopies and solar radiation: A review, *Adv. Ecol. Res.*, *26*, 1762–1776.
- Suyker, A. E., and S. B. Verma (2012), Gross primary production and ecosystem respiration of irrigated and rainfed maize-soybean cropping systems over 8 years, *Agric. For. Meteorol.*, *165*, 12–24, doi:10.1016/j.agrformet.2012.05.021.
- Yang, X., J. Tang, J. F. Mustard, J.-E. Lee, M. Rossini, J. Joiner, J. W. Munger, A. Kornfeld, and A. B. Richardson (2015), Solar-induced chlorophyll fluorescence correlates with canopy photosynthesis on diurnal and seasonal scales in a temperate deciduous forest, *Geophys.*

Res. Lett., 42, 2977–2987, doi:10.1002/2015GL063201.

Yi, C., K. J. Davis, P. S. Bakwin, B. W. Berger, and L. C. Marr (2000), Influence of advection on measurements of the net ecosystem-atmosphere exchange of CO₂ from a very tall tower, *J. Geophys. Reserach-Atmospheres*, 105(D8), 9991–9999, doi:10.1029/2000JD900080.

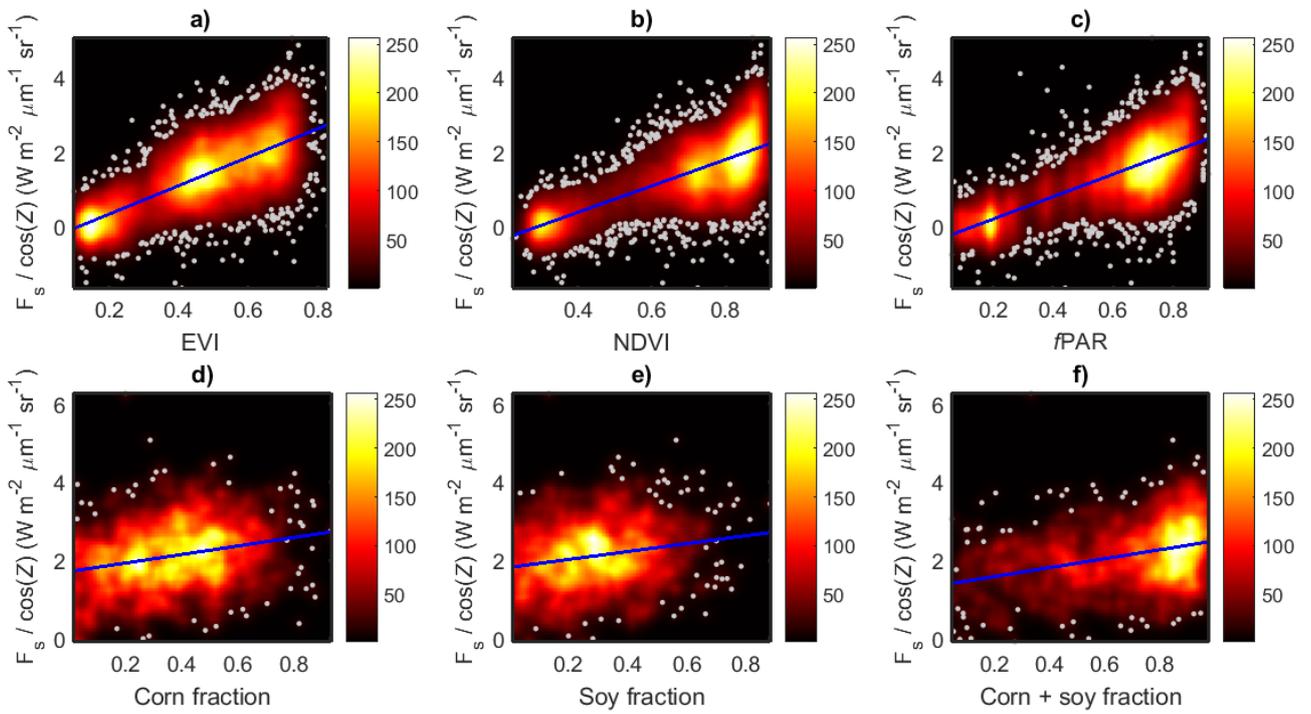
Zhang, X., X. Lee, T. J. Griffis, J. M. Baker, and W. Xiao (2014a), Estimating regional greenhouse gas fluxes: An uncertainty analysis of planetary boundary layer techniques and bottom-up inventories, *Atmos. Chem. Phys.*, 14(19), 10705–10719, doi:10.5194/acp-14-10705-2014.

Zhang, Y., L. Guanter, J. A. Berry, J. Joiner, C. van der Tol, A. Huete, A. Gitelson, M. Voigt, and P. Kohler (2014b), Estimation of vegetation photosynthetic capacity from space-based measurements of chlorophyll fluorescence for terrestrial biosphere models, *Glob. Chang. Biol.*, 20(12), 3727–3742, doi:10.1111/gcb.12664.

Zhao, M., F. A. Heinsch, R. R. Nemani, and S. W. Running (2005), Improvements of the MODIS terrestrial gross and net primary production global data set, *Remote Sens. Environ.*, 95(2), 164–176, doi:10.1016/j.rse.2004.12.011.

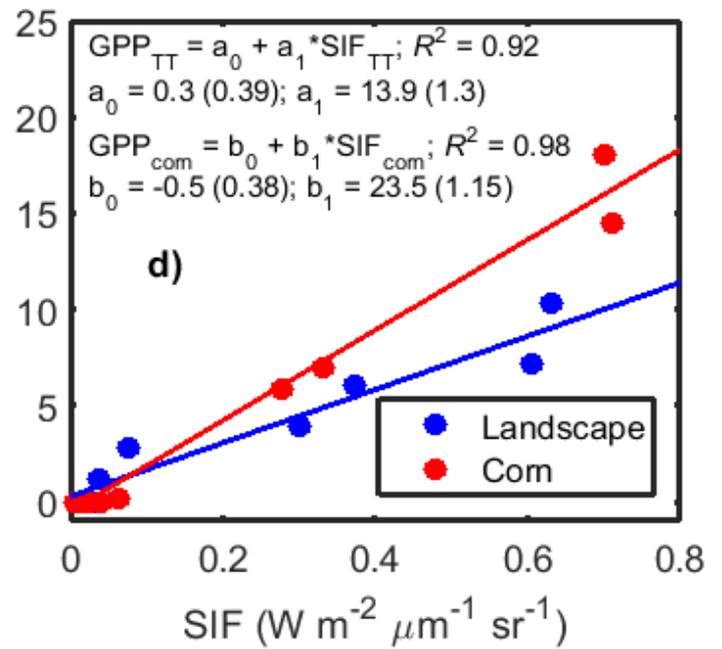
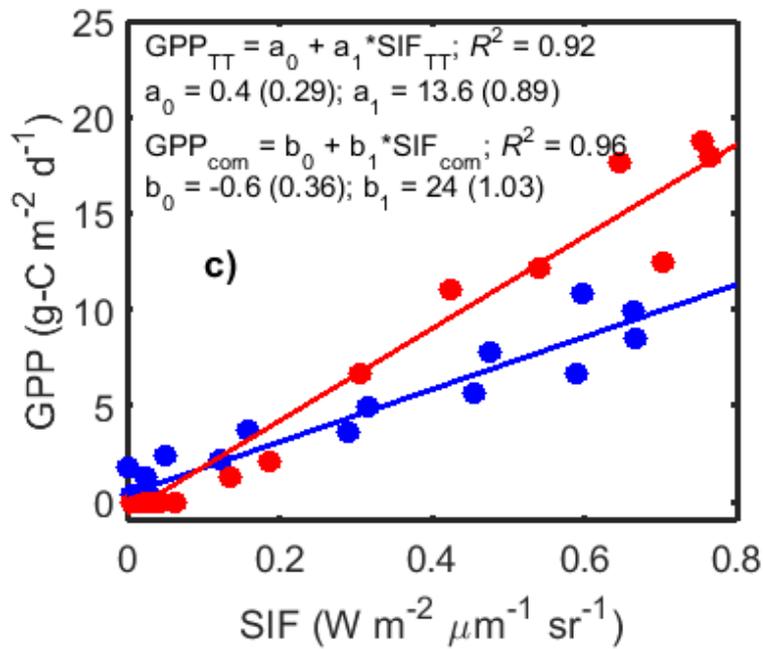
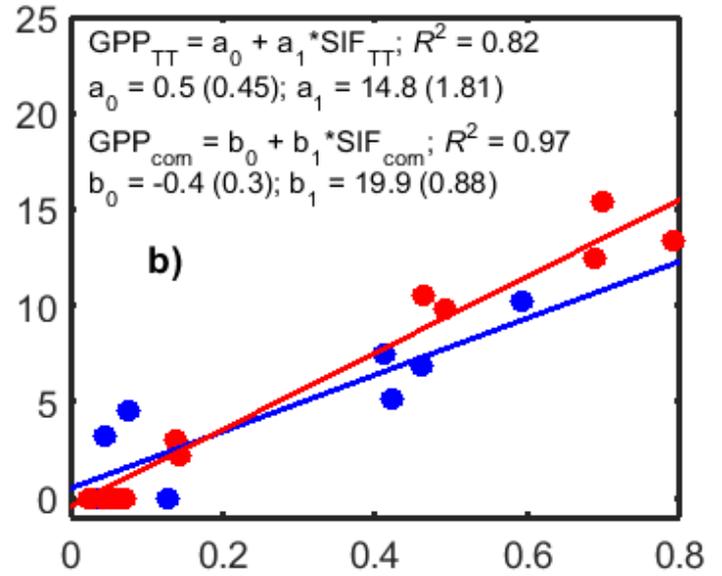
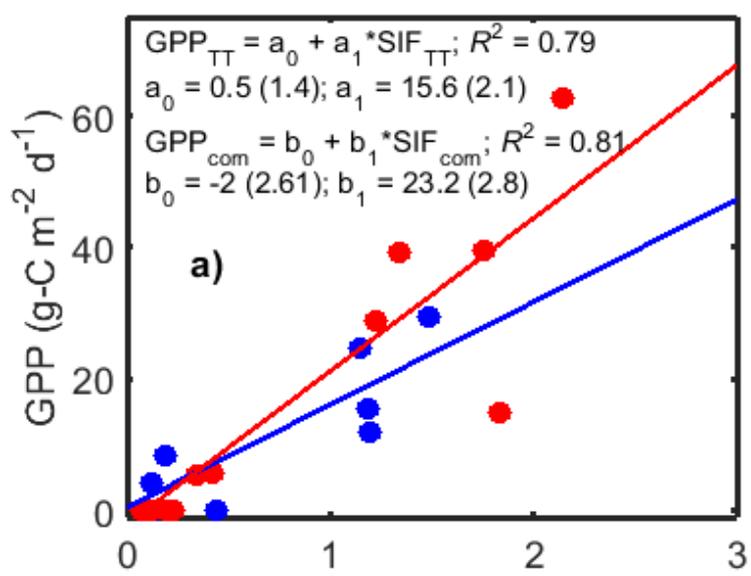
Author Manuscript

cript



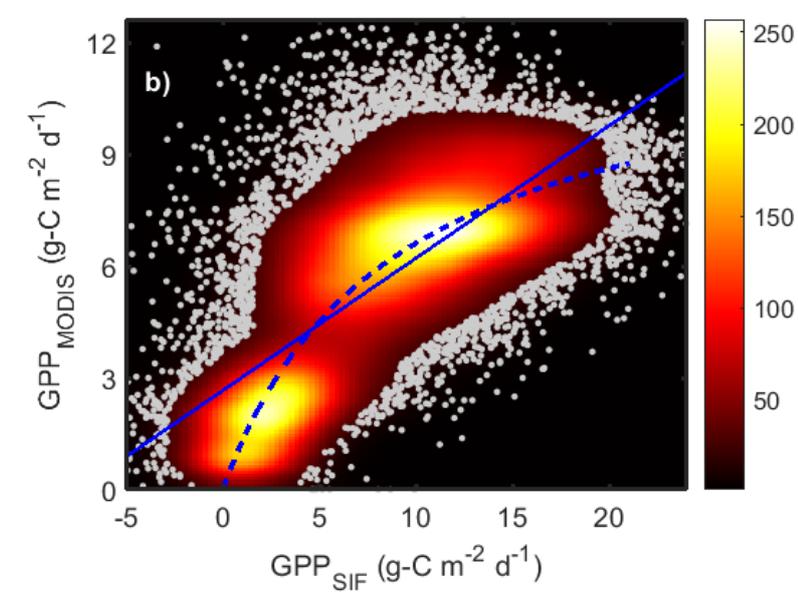
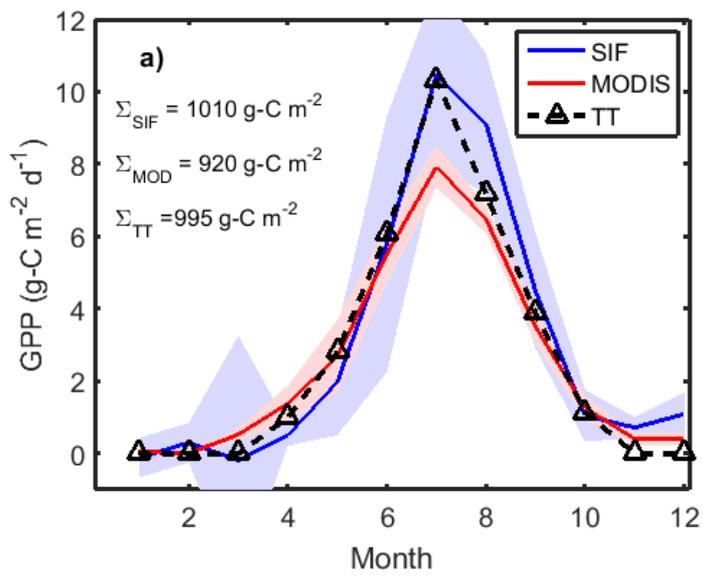
Autr

2016GL070775-f01-z.tif



2016GL070775-f02-z.tif

script



Auth

2016GL070775-f03-z.tif