


# Transcriptome-based phylogeny of endemic Lake Baikal amphipod species flock: fast speciation accompanied by frequent episodes of positive selection

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## Abstract

Endemic species flocks inhabiting ancient lakes, oceanic islands and other long-lived isolated habitats are often interpreted as adaptive radiations. Yet molecular evidence for directional selection during species flocks radiation is scarce. Using partial transcriptomes of 64 species of Lake Baikal (Siberia, Russia) endemic amphipods and two nonendemic outgroups, we report a revised phylogeny of this species flock and analyse evidence for positive selection within the endemic lineages. We confirm two independent invasions of amphipods into Baikal and demonstrate that several morphological features of Baikal amphipods, such as body armour and reduction in appendages and sensory organs, evolved in several lineages in parallel. Radiation of Baikal amphipods has been characterized by short phylogenetic branches and frequent episodes of positive selection which tended to be more frequent in the early phase of the second invasion of amphipods into Baikal when the most intensive diversification occurred. Notably, signatures of positive selection are frequent in genes encoding mitochondrial membrane proteins with electron transfer chain and ATP synthesis functionality. In particular, subunits of both the membrane and substrate-level ATP synthases show evidence of positive selection in the plankton species *Macrohectopus branickii*, possibly indicating adaptation to active plankton lifestyle and to survival under conditions of low temperature and high hydrostatic pressures known to affect membranes functioning. Other functional categories represented among genes likely to be under positive selection include Ca-binding muscle-related proteins, possibly indicating adaptation to Ca-deficient low mineralization Baikal waters.

*Keywords:* adaptive radiation, ancient lakes, directional selection, ecological opportunity

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## Introduction

Large long-lived isolated habitats – continents, islands and lakes alike – have long attracted the interest of evolutionary biologists because of the independent evolutionary diversification organisms endemic to such

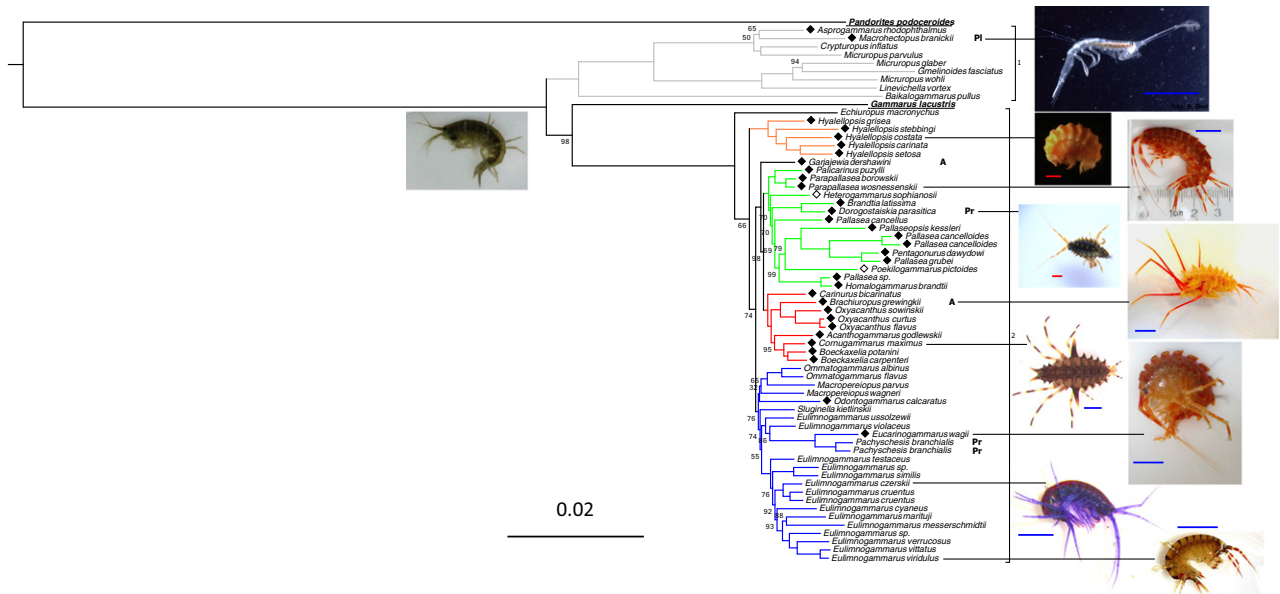
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habitats undergo in isolation from the rest of Earth's biota (Simpson 1980; Schluter 2000). In particular, ancient lakes offer a number of spectacular examples of endemic radiations resulting in highly morphologically and ecologically diverse species flocks, in a striking contrast to much lower faunal diversity in short-lived lakes (Brooks 1950; Martens 1997) and often with a fascinating evidence of intrinsic nature of the endemic diversification (Cristescu *et al.* 2010). Frequently several independent invasions of a particular lineage into an ancient lake are followed by rapid diversification of endemic lineages (Martens 1997; Cristescu *et al.* 2010). The endemic species flocks of cichlid fishes from lakes Tanganyika, Malawi and Victoria in the African Rift valley (Meyer 1993; Allender *et al.* 2003; Kocher 2004; Keller *et al.* 2013; Brawand *et al.* 2014; Fan & Meyer 2014) and of amphipod crustaceans in Lake Baikal in Siberia, Russia (Sherbakov *et al.* 1998; Kamal'tynov 1999; Takhteev 2000a, b; MacDonald *et al.* 2005), are among the most species rich and best studied. However, other examples are diverse and abundant (Martens 1997; Cristescu *et al.* 2010) and include Lake Titicaca amphipods (Pyle & Adamowicz 2015) and gastropods (Kroll *et al.* 2012), Lake Ohrid amphipods and gastropods (Albrecht *et al.* 2008; Wysocka *et al.* 2014; Foeller *et al.* 2015), lakes Tanganyika and Malawi gastropods (Genner *et al.* 2007; Van Damme & Gautier 2013), Lake Tanganyika crabs (Marijnissen *et al.* 2006), shrimps (von Rintelen *et al.* 2010) and bivalves (von Rintelen & Glaubrecht 2006) from several lakes in Sulawesi, as well as several species flocks present in Lake Baikal (Sherbakov 1999), such as sponges (Meixner *et al.* 2007), three independent gastropod radiations (Sitnikova 1994, 2006; Hausdorf *et al.* 2003), planarians (Novikova *et al.* 2006) and sculpins (Hunt *et al.* 1997), among others. Several common features have been identified that are shared by ancient lakes species flocks (as well as by similar radiations in terrestrial, often insular, habitats, such as those of Galapagos Darwin's finches, Hawaiian *Drosophila*, Hawaiian silverswords, Hawaiian honeycreepers or Greater Antilles anoles). These common features include the following: (i) unusually high levels of ecological specialization, including feeding modes and habitat use, typically far exceeding the level of specialization in generalist nonendemic sister groups; (ii) high level of morphological diversity often uncharacteristic for nonendemic relatives; and (iii) rapid speciation allowing 'explosive' radiation on the background of moderate sequence evolution (Fryer 1991; Martens 1997; Schluter 2000; Kocher 2004; Cristescu *et al.* 2010). Such common features shared by endemic species flocks despite their radically different taxonomy and ecology certainly require common explanations and provide a unique opportunity to test

hypotheses about evolutionary scenarios repeatedly playing out in different organisms and in different environments.

Adaptive radiations are often understood as the result of ecological opportunity (Schluter 2000; Yoder *et al.* 2010; Berner & Salzburger 2015; Wellborn & Langerhans 2015) which leads to accumulation of species richness by setting stage for diversifying selection. Ecological opportunity presents itself either due to availability of unoccupied niches in a newly colonized environment and abating as niche packing increases with the number of extant lineages (Bailey *et al.* 2013; Cornell 2013; Martin & Wainwright 2013; Martin & Feinstein 2014) or through morphological or ecological innovations (van Rijssel *et al.* 2015) that may occur relatively late in the evolutionary history of a radiation, thus possibly increasing the diversification rate with the number of lineages in a radiation. The early vs. late possibility of ecological opportunity is certainly not mutually exclusive, which makes it difficult to formulate hypotheses about rates of diversification and importance of positive selection in early vs. late phases of adaptive radiations. Furthermore, it has been argued (Losos 2010) that the idea of ecological opportunity can be elusive. Indeed, it is extremely difficult to pinpoint particular unoccupied niches or particular innovations that allow the radiation of hundreds of species of amphipods in Lake Baikal or cichlids in Great African Rift Lakes.

The gammarid species flock (Crustacea: Amphipoda) of Lake Baikal certainly displays the common features of adaptive radiations at the extreme. This assemblage inhabits the oldest (up to 30 Myr, with the geological history of the rift depression dating back up to 70 Myr, Mats *et al.* 2011), the deepest and the most voluminous lake on Earth, stretching over 500 km in S–N direction in East Siberia, featuring unusually cold, oligotrophic waters, with mineralization among the lowest of large lakes (<0.1 g/L), fully oxygenated depths all the way to over 1600 m and a 1–2 m thick ice cover for 5 months a year (Kozhova & Izmet'eva 1998). Baikalian amphipod species flock is the most species rich of all Baikal radiations, with over 340 described species (Bazikalova 1945; Kamal'tynov 1999) and possibly many more yet undescribed cryptic species (Väinölä & Kamal'tynov 1999; Daneliya *et al.* 2011). This assemblage includes both unusually small (2–3 mm adult females in several *Micruropus* spp.) and unusually large (Takhteev 2000b; Daneliya *et al.* 2011; up to 90 mm in several species within the genera *Acanthogammarus* and *Garjajewia*; Fig. 1). Ecologically specialized morphs include (Takhteev 2000b) obligatory fossorial detritus-feeding species, obligate scavengers, active predators, at least two specialized abyssal clades (Sherbakov *et al.* 1998), body



**Fig. 1** Partial transcriptome-based phylogeny (175 COGs; ~50K sites) of two endemic radiations of gammarid amphipods in Lake Baikal, *Micruropodae* (bracket 1) and *Acanthogammaridae* (bracket 2). Numbers correspond to bootstrap values, with only values <100 shown. Outgroups (bold underlined): Caspian Sea (*Pandorites podoceroideis*) and Palaearctic freshwater (*Gammarus lacustris*) species. Clades analysed individually are marked by different colours. Species with body armour are marked with black diamonds, and species with presumed secondary loss of body armour, with open diamonds. A, abyssal species; Pl, plankton species; Pr, (semi)parasitic species. Select Baikal species are shown on the right; *Gammarus lacustris* shown as an inset. Blue bars: 1 cm. Red bars: 1 mm. Scale: nucleotide substitutions per nucleotide site. Image credits: *Macrohectopus branickii*: Dr. B. Sket/University of Ljubljana; *Acanthogammarus maximus*: Dr. G. Chapelle/Royal Belgian Institute of Natural Sciences; *Gammarus lacustris* and *Eulimnogammarus vittatus*: E. Kondrateva; *Parapallasea borowskii*: K. Woo.

cavities parasites of other amphipods (Takhteev 2000b), specialized commensals of sponges and the world's only fully planktonic freshwater amphipod species, *Macrohectopus branickii* (Fig. 1), complete with the entire suite of planktonic adaptations, such as vertical migrations and predation on zooplankton (Melnik *et al.* 1993). A previous molecular phylogeny study (MacDonald *et al.* 2005) suggested two independent amphipod invasions into Baikal, both cladistically within the family Gammaridae. Furthermore, this phylogeny suggested that *Acanthogammaridae s.lat.*, the more ecologically and morphologically divergent and diverse of the two Baikal clades, originated within the morphologically conservative, ecologically generalistic and biogeographically Palaearctic genus *Gammarus*.

Despite strong ecological diversification among Baikalian amphipods, it is difficult to explain the extant taxonomic diversity by niche availability alone, as numerous species still occupy very similar niches and are probably resource-limited, particularly considering the oligotrophic ecology of Baikal waters characterized by mean temperatures and mineralization that are among the lowest among all freshwater lakes. Indeed, stable isotope data (Yoshii 1999) indicate a broad

overlap of trophic niches of amphipods from different genera within the amphipod radiation as well as with other benthic invertebrates, in particular in deep-water habitats, where the complexity of food chains appears to be low. Likewise, it is difficult to specify particular morphological or ecological innovations that contributed to the observed species richness. Certainly giant forms, brood chamber parasites and the plankton species *M. branickii* have acquired very significant ecological and morphological innovations, but each such innovation led to formation of just a handful of species (the most significant ones in the case of *M. branickii* – to just one species), while the most species-rich genera like *Micruropus* and *Eulimnogammarus* retain conservative morphology and ecology. It is therefore unclear whether the species diversity in Baikal is bounded (*sensu* Cornell 2013) and if yes, whether it has approached its limits, resulting in slowing of the diversification process (and also preventing the cosmopolitan *Gammarus lacustris* from establishing in Baikal).

Application of genome- and transcriptome-wide approaches to the study of adaptive radiations has started very recently, but has already shown promise in discovery of both genomic and ecological mechanisms of

diversification (Berner & Salzburger 2015). Such studies have revealed complex colonization and hybridization history and led to conclusions about a possible role of gene duplications, transposable elements and positive selection in speciation and divergence (Kocher 2004; Keller *et al.* 2013; Brawand *et al.* 2014; Fan & Meyer 2014; Franchini *et al.* 2014; Weiss *et al.* 2015). Yet, genomic studies of adaptive radiations have been so far largely limited to African Rift Lakes cichlids species flocks.

Here, we report the first ever noncichlid endemic radiation transcriptomics data set representing about 20% of described species diversity in the species flock and a significant fraction of adult-expressed open reading frames. Species were selected for sequencing, whenever possible, with the aim to increase the coverage of presumed clades at the expense of reducing taxonomic coverage within clades (see Materials and methods).

We confirm, with a high support, the previous hypothesis about two independent radiations of gammaridean amphipods in Lake Baikal (Fig. 1), largely (with minor exceptions) corresponding to previously described families *Micruropidae* and *Acanthogammaridae* (Kamaltynov 1999). Using this robust phylogeny, we test the hypothesis that the striking feature of Baikalian amphipods, namely strong body armour in the form of keels and spines, which is common in marine amphipods but not commonly observed in freshwater species, has evolved repeatedly in parallel within different Baikalian clades. We also analyse other morphological adaptations: reduction in urosome and uropods and losses of sensory organs on antennae – accessory flagellum and calceoli and show that these reductions too have occurred in parallel in several lineages.

We further utilize a detailed phylogeny with strong support for short internal branches to test the hypothesis that the diversification of Baikal amphipods occurred quickly and shortly after the invasion of ancestral forms into Baikal, consistent with the idea of ecological opportunity (Berner & Salzburger 2015; Wellborn & Langerhans 2015) presented by a large number of unoccupied ecological niches and resulting in an early burst of speciation (Martin & Wainwright 2013). We also test the frequently made but infrequently tested claim that adaptive radiation by invasion into new niches and rapid accumulation of morphologically and ecologically distinct lineages was accompanied by frequent episodes of positive (diversifying) selection.

## Materials and Methods

### Field collections

Baikal amphipods were collected during the field seasons of 2012 and 2013 at various locations (Tables S1

and S2, Supporting information) within Lake Baikal and neighbouring water bodies. Caspian sea species *Pandorites podoceroideus* and cosmopolitan *Gammarus lacustris* (sampled in a small lake adjacent, but not connected to Baikal) were used as outgroups. Collection of specimens at depths 0–20 m was accomplished by hand collection and dip net sweeps (from shore or by scuba diving), at 15–100 m and below 400 m by baited traps and at 20–60 m on soft substrate by dredging. Collected individuals were kept without food at 6 °C for 24 h to reduce the occurrence of food RNA in guts and preserved individually in either liquid nitrogen (whole body) or RNAlater (after fragmenting into fragments not thicker than 3 mm). When unequivocal species identification was not possible at the time of collection, it was conducted in the laboratory on ethanol-preserved voucher specimens whose conspecific identity with specimens preserved for sequencing was confirmed in the field. Whenever possible conspecific pairs captured in precopula were used for this purpose, with female preserved for sequencing and male preserved for identification as the voucher specimen. Generally, when available and when sex identification was possible, females were used for sequencing. Large specimens obtained in small numbers were cut longitudinally and the left side was preserved for sequencing, while the right side preserved in ethanol as the voucher for identification. Species were selected for sequencing with the goal to increase the number of lineages covered (i.e. additional available species redundant with respect to large clades such as *Micruropus*, *Eulimnogammarus* or *Pallasea* were not included into sequencing); thus, the ‘diversified’ model of phylogenetic incompleteness was assumed for diversification rate analysis (Höhna 2015), see below.

### Note on taxonomy

Taxonomy is given following Bazikalova (1945) and Kamaltynov (1999). It disagrees with the observed phylogeny (Fig. 1, Fig. S1, Supporting information) in the following: *Micruropidae* clade includes *Macrohectopus*, but not *Echiuropus*; *Acanthogammaridae s.str* clade (*Acanthogammarinae sensu* Kamaltynov 1999) does not include *Eucarinogammarus* (which shows affinity to *Eulimnogammarus*, see results) or the genera *Pallasea*, *Brandtia* and *Dorogostaikia* (which form a separate clade together with *Parapallasea*, see results); *Acanthogammaridae s.lat.* includes the genus *Pachyschesis*. Additionally, several Bazikalova’s (1945) subgenera within the genera *Eulimnogammarus*, *Pallasea* and *Acanthogammarus* are either elevated to genus level (*Heterogammarus*, *Corophiomorphus* (*Sluginella*), *Homalogammarus*,



*Brachiuropus*) or eliminated as suggested by Bedulina *et al.* (2014; see Discussion). We use '*Acanthogammaridae s.lat*' to describe the second amphipod invasion into Baikal, that is all Baikal clades except *Micruropidae*.

#### RNA next-generation sequencing

RNAs were extracted from whole bodies of liquid nitrogen- or RNALater-preserved specimens <3 mm long and from a cross section of a metasome segment in larger individuals using Qiagen RNeasy mini kit (Qiagen, Germany). RNA quality was checked using capillary electrophoresis on Bioanalyzer 2100 (Agilent, USA). Library preparation was performed using TruSeq RNA sample preparation kit (Illumina, USA) according to manufacturer's instructions. Resulting libraries were quantified using Qubit fluorometer (ThermoFisher, USA) and qPCR and sequenced on Illumina HiSeq instrument with 101 + 101 read length.

#### Filtering, de novo transcriptome assembly, alignment and orthologization

After removing duplicate and low complexity reads (Schmieder & Edwards 2011), we filtered out mtRNA reads using BLASTN search (Altschul *et al.* 1990) with e-value cut-off of  $10^{-5}$  against the mitochondrial genome of *Eulimnogammarus verrucosus* (Rivarola-Duarte *et al.* 2014). We blasted the left and the right read of each pair separately and removed all those pairs having at least one hit. Overlapping reads in a pair were merged using fastqjoin (Aronesty 2011; min overlap = 20 bases). All reads were trimmed from adapters and low-quality ends using fastqc (<http://www.bioinformatics.babraham.ac.uk/projects/fastqc/>) to detect adapters and trimmomatic (Bolger *et al.* 2014; with the minimum base retention quality 20 and the minimum length of reads 50) for trimming. Filtration statistics is shown in Table S3 (Supporting information).

We then assembled reads de novo using TRINITY (Grabherr *et al.* 2011; versions 20140413p1 20140717), retaining all isoforms of a given locus for the further analysis. Assembly statistics is shown in Table S4 (Supporting information). To check the assembled contigs for contamination, all contigs were blasted against NT database (released 2014.05.24 2014.10.11) with e-value cut-off  $10^{-5}$  and identity cut-off (ID  $\geq$  95%). Loci having isoforms with top hits outside of Arthropoda were excluded. Loci without hits at all were retained. Contamination statistics is shown in Table S5 (Supporting information). We also filtered assembled transcripts vs. UNIVEC database. Protein-coding sequences were extracted from assembled transcripts with TRANSDCODER (Grabherr *et al.* 2011) using PFAM database (Finn *et al.*

2016) and with minimal length parameter set to 70. In cases when TRANSDCODER found two CDs per transcript the longer CDS was retained. CDS statistics is shown in Table S6 (Supporting information).

For orthology analysis, we used the longest protein-coding sequence for the locus. We inferred the orthology relationship using standard ORTHOMCL pipeline version 2.0 (Li *et al.* 2003). We filtered out clusters having paralogues using only those ORTHOMCL pipeline groups in which each species was represented by the single transcript. This resulted in 175 clusters of unique orthologs for 66 species. We then aligned protein-coding sequences with MAFFT (Katoh & Standley 2013) and reverse translated protein alignments to cDNA alignments with REVTRANS (Wernersson & Pedersen 2003). The best fit substitution model was determined by JMODELTEST2 (Guindon & Gascuel 2003; Darriba *et al.* 2012). For all clades except the *Acanthogammaridae* clade, GTR +  $\Gamma$  + I was the best scoring model, while for *Acanthogammaridae*, the best model was TIM1 +  $\Gamma$  + I followed by GTR +  $\Gamma$  + I with delta BIC = 18. We used GTR +  $\Gamma$  + I model for all phylogeny inferences. We built the phylogenetic tree using RAXML 8.2.4 (Stamatakis 2014) with 1000 bootstrap replicates. For comparison, we also reconstructed phylogenies using MRBAYES 3.2 (Ronquist *et al.* 2012), which resulted in identical topologies; only RAXML results reported.

We repeated the steps starting from MAFFT (Katoh & Standley 2013) and REVTRANS (Wernersson & Pedersen 2003) alignment separately for the five clades marked with colour triangles in Fig. 1, and for all 66 samples, resulting in sets of COGs of various size represented in each species of these five clades and in a set of COGs represented in variable number of lineages (Table S7, Supporting information).

Additionally, we generated a set of 523 COGs with >2/3 occupancy (i.e. present in at least 45 species of 66) with iterative mapping-and-variant calling approach (Naumenko 2015). These clusters contain more species than generated for particular clades; however, they have inferior alignment quality.

#### Diversification rates

Branching times were estimated from the chronotree obtained by chronos function (Kim & Sanderson 2008) of APE R package. Diversification rates along the reconstructed phylogeny were estimated using the models of Rabosky & Lovette (2008) and the 'diversified' version of Höhna (2015); the 'random' version of this model was also evaluated for comparison. Both these models suffer from a number of methodological problems and their predictions may be biased (Moore *et al.* 2016), so these results must be interpreted with caution.

### Detection of positive selection and parallel molecular evolution

We estimated the  $d_N/d_S$  ratio and detected genes with sites having  $d_N/d_S > 1$  in some branches using likelihood ratio test for branch-site model in PAML (Yang 2007; Jeffares *et al.* 2015). For the comparison of  $d_N/d_S$  ratios between branches with different branching times, the two estimates obtained for two lineages originating from each node were averaged and this average juxtaposed to the node age estimated as described above.

Alignment-wide tests for episodes of positive selection, lineage-specific branch-site tests and site-specific test for episodes of diversification were conducted using, respectively, BUSTED (Murrell *et al.* 2015), ABSREL (Smith *et al.* 2015) and MEME (Murrell *et al.* 2012) modules of the HYPHY package. The following filters were applied to HYPHY analysis to reduce the rate of false positives caused by unreliable alignment: (i) five codons surrounding indels of size  $\geq 3$  bp were removed from the analysis and (ii) any amino acid sites within 16 codons from either end of the alignment if there were  $> 2$  different amino acids per site at any two sites were removed from the analysis. Both PAML and HYPHY analyses resulted in similar lists of COGs and branches with evidence of positive selection (with HYPHY showing somewhat higher statistical power); only HYPHY COG-specific results will be presented.

To detect parallel evolution of COG sequences during independent invasion of two lineages into the abyssal zone (Sherbakov *et al.* 1998), we focused on *Garjejewia dershawini* and *Brachiuropus grewingkii*, each of which does not occur above 100 m and has the maximal occurrence below 400 m (Bazikalova 1945). For each of 976 COGs represented in these two species and two shallow-water reference representatives of the *Acanthogammarus* clade, we applied ABSREL model (Smith *et al.* 2015) specifying these two species as the test group and all other lineages in the data set as the background reference.

We normalized the observed count of positive selection episodes by the number of genes in a data set and by branch length (measures in nucleotide substitutions per site). To increase robustness of normalized frequency of positive selection episodes, in addition to estimating it for each branch of the phylogeny (many of which are very short and are likely to have been measured with a large relative error), we normalized the number of episodes observed in all branches originating in time intervals each containing 20% of the combined length of all branches in each clade. To ameliorate problems arising from potentially non-normal nature of such binned time variable, we evaluated the correlation between normalized frequency of positive selection

episodes and branching time by Spearman rank correlation, in addition to a parametric regression model.

To analyse functional patterns of genes with evidence of positive selection and to test whether functionally similar but not necessarily homologous proteins showed parallel evolution, we annotated all COGs in all data sets using BLAST2GO (Conesa *et al.* 2005; Fig. S5, Supporting information) and performed functional enrichment tests (Fisher's exact test) using the considered list of COGs as the test and the remainder of the annotation data set as the reference.

## Results

### Phylogeny, parallel evolution and diversification rate

Detailed sampling, sequencing, alignment and mapping data are available in Tables S1–S6 (Supporting information). Figure 1 shows the phylogeny of Lake Baikal amphipods based on 175 clusters of orthologous genes (COGs, with exactly one ortholog represented in each species) of all 64 Baikal species and two outgroup species obtained from whole-transcriptome data sets. Bootstrap support is shown only when it is less than 100%. Phylogenies based on a larger number of COGs (Table S7, Supporting information) identified in all species within each of five colour-coded clades on Fig. 1 are shown on Figs S1 and S2 (Supporting information). Despite very short branches in early radiation, most nodes are well supported both on the whole species flock and clade-specific phylogenies, with only a few nodes supported by  $< 100\%$  of bootstrap replicates. Still, requiring the presence of a gene transcript from each COG in each species results in a quick reduction in the number of COGs as the number of analysed species increases (Fig. S3A, Supporting information), potentially lowering the robustness of phylogenetic inferences. Yet, the clade-specific phylogenies also had high bootstrap support and the phylogenetic positions of 64 of the 66 analysed species were the same as in the whole-data 66-species phylogeny, implying that our transcriptome-based phylogenetic reconstruction is robust.

The phylogeny strongly supports previously hypothesized (MacDonald *et al.* 2005; Hou & Sket 2016) two independent invasions of amphipods into Lake Baikal. The more recent, more species rich and morphologically more diverse *Acanthogammaridae* clade is more closely related to the Palaeartic *Gammarus lacustris* than to the older, morphologically less diverse *Micruropidae* clade (bootstrap support of the two sister clades 98% and 100%, respectively, Fig. 1). This divergence, as well as the divergence of major clades within *Acanthogammaridae*, largely confirms the traditional morphology-based

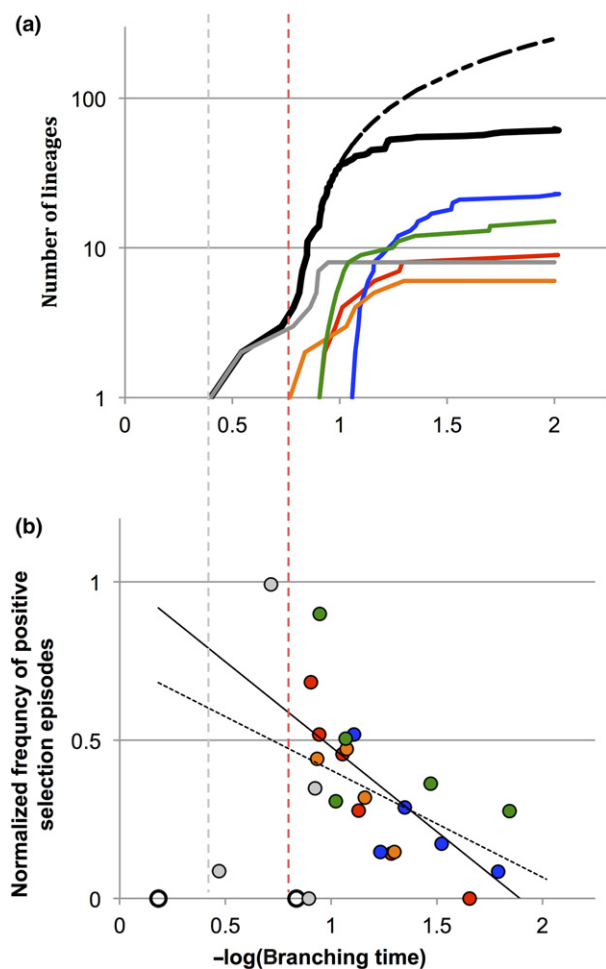
taxonomy, with several exceptions. Notably, the trademark morphological feature of Baikal amphipods – spines and keels on meso- and metasomal segments – has developed several times in parallel (black diamonds in Fig. 1). The phylogeny strongly supports at least four independent origins (three within *Acanthogammaridae* and 1 within *Micruropidae*) and two reversals to non-spiny forms, or five independent origins and two independent losses of body armour. Note that the two cases of body armour loss within the *Pallasea* clade (Fig. 1, green), in *Heterogammarus sophianosii* and *Poikilogammarus* spp., are not only reliably placed into this clade by molecular phylogeny, but also share with the genus *Pallasea* the equiramous uropod 3 as well as the coloration pattern in life animals. A possible 6th episode of spine development is observed in *Gmelinoides fasciatus* (*Micruropidae* clade), which possesses a row of very mild lateral bumps. It should be noted that the nature of body armour is different in these five clades: *Acanthogammaridae* s.str. possess spines in either or all of three rows – dorsal, median and lateral; *Odonthogammarus* is characterized by only lateral, downward pointing spines; *Eucarinoammarus* has only dorsal keels and no spines; and finally, members of the *Micruropidae* clade have either blunt dorsal keels (*Carinogammarus*) or a single long and sharp dorsal backward pointing spine (*Macrohectopus*; Fig. 1; Bazikalova 1945). Similar morphologies featuring meso- and metasomal spines and keels have also repeatedly evolved outside of the Baikal within other families of amphipods in the Caspian Sea and in the oceans (Takhteev 2000a; Hou & Sket 2016).

Figure S2A–C (Supporting information) shows other parallel changes in morphology that occurred independently in various Baikalian clades. In particular, the uropod 3 [the last appendage typical for the amphipod body plan that is used for springing motion, an important taxonomic character in the family *Gammaridae* (Hou & Sket 2016)] is strongly reduced in three independent lineages, sometimes to a tiny bump as in some *Hyalellopsis* species, and shows various degrees of reduction of its inner ramus in at least three other lineages (Fig. S2A, Supporting information). Another widespread change occurring in parallel across both major clades is the loss of sensory organs – the accessory flagellum on antenna 1 and calceoli (believed to be motion sensors) on antenna 2 (Fig. S2B, C, Supporting information). Accessory flagellum has been reduced to 1–2 segments (often one regular size and one rudimentary segment) in seven independent lineages (with two apparent reversals in *Carinogammarus* and the genus comprised of deep-water scavengers *Ommatogammarus*). The calceoli have been lost even more frequently, with nine apparent independent losses (possibly repeatedly occurring by these organs being first lost in females only), one

apparent reversal (in *Eulimmogammarus verrucosus*, which possesses unusually small calceoli) and one gain of calceoli on antennae 1 in addition to their regular location on antennae 2 (in *Micruropus wohli*).

Strikingly, the parasitic genus *Pachyschesis* is a strongly supported sister group to one of its hosts, a much larger *Eucarinoammarus wagii*, suggesting, barring an unlikely contamination artefact, a possible pathway to brood chamber parasitism through intraspecific brood parasitism. Analogous to the presumed evolution of interspecific brood parasitism in birds through conspecific brood parasitism as an intermediate stage (Hamilton & Orians 1965), this hypothesized mechanism implies a divergence of an ancestral species with conspecific brood parasitism into a large host species and a smaller, possibly neotenic, parasitic species subsequently expanding to parasitize other large-bodied amphipods.

The phylogeny also confirms the familiar patterns of fast radiation on the backdrop of limited sequence evolution (Meyer *et al.* 1990). Morphologically strikingly different species have nucleotide sequence divergence of sometimes less than 0.5%. Unlike the classic example of Lake Victoria cichlids, where all the recent branches are very short, Baikal amphipod radiation appears to be older, with the maximal rate of speciation observed in the deeper branches of the phylogeny (Fig. 2a). Both frequently used models of changes in diversification rates with time (Rabosky & Lovette 2008; Höhna 2015) support decreasing speciation rates (Fig. S4B, Supporting information). Specifically, Rabosky & Lovette (2008) model supports logistically decreasing speciation rate with constant near-zero extinction rate (Fig. S4B, Supporting information, dotted line; log-likelihood test vs. constant speciation model:  $D = 11.4$ ; d.f. = 1;  $P < 0.001$ ). Höhna (2015) model, which takes into account incomplete lineage sampling, supports an exponentially decreasing speciation rate with a nonzero extinction rate with the assumption of ‘diversified’ sampling strategy. Predicted extinction rate exceeds speciation rate resulting in predicted negative diversification rate in the most recent 1/10 of the time since divergence from the common ancestor with the Caspian species (Fig. S4B, Supporting information, solid line; log-likelihood test vs. constant speciation model:  $D = 334.9$ ; d.f. = 2;  $P < 0.00001$ ). On the other hand, in the same analysis (Höhna 2015) evaluated under the assumption of ‘random’ sampling (i.e. with sampling of lineages occurring without respect to the number of species in a lineage already sampled), there was no difference in the likelihood of all models compared (Fig. S4B, Supporting information, dashed line;  $D = 0.01$ ; d.f. = 2;  $P > 0.9$ ). This difference between the results obtained under the assumptions of ‘diversified’ vs. ‘random’ sampling



**Fig. 2** Number of lineages and positive selection signals in the studied subset of Baikal amphipods species flock lineages plotted vs. relative node age,  $-\log_{10}$  scale (i.e. time flows to the right; 0 corresponds the time of divergence from the Caspian Sea outgroup). (a) number of Baikal lineages in the whole data set (black) and in individual clades (colours on a and b matching colour-coded clades on Fig. 1). Dotted line: extrapolation based on diversification rate estimated at the root of the 2nd amphipod invasion into Baikal. (b) Occurrence of episodes of positive selection vs. branching time span binned into bins with equal total branches length (number of episodes per gene per 1 nucleotide substitution). Open circles: non-Baikal branches. Colour symbols: Baikalian clades analysed separately. Grey and red vertical dashed lines show branching times of the first (*Micruropidae*) and the second (*Acanthogammaridae s.l.*) amphipod invasions into Baikal, respectively. Linear regression lines draw through all points (dotted line; regression coefficient  $R = -0.34 \pm 0.15$ ;  $t = -2.26$ ;  $P < 0.035$ ) and through points corresponding to the second Baikal invasion (solid line; regression coefficient  $R = -0.54 \pm 0.13$ ;  $t = -4.22$ ;  $P < 0.0005$ ). Spearman rank correlations were  $\rho = -0.44$ ;  $P < 0.032$  and  $\rho = -0.78$ ;  $P < 0.0001$  for both invasions and for the second invasion alone, respectively. Spearman rank correlation was also significant for the *Acanthogammarus* clade (red dots) analysed separately ( $\rho = -1$ ;  $P < 0.001$ ).

strategies may indicate that the true estimates of speciation rate change over time are probably somewhere in between of these two extremes.

#### Evidence of positive selection

We observed many episodes of positive selection (Table 1). Inferring positive selection is notoriously difficult, as it tends to be masked by negative selection and is radically influenced by conservative biases in the set of analysed genes (see Discussion), so the inferred frequencies of such episodes may be underestimates.

Still, we infer approximately 120 episodes of positive selection, or 0.2–0.8 such episodes per gene per unit branch length (Table 1, Fig. 2b). With approximately 0.01–0.02 nucleotide substitution divergence of a typical Baikal amphipod species from the common ancestor of each of the two Baikal clades and assuming approximately 20 000 genes in the genome, this estimate corresponds to 40–80 genes having experienced an episode of positive selection in each lineage over the evolutionary time since the onset of amphipod radiation in Baikal. This number may be higher if the 20 000 genes are an underestimate (cf. Table S4, Supporting information that lists substantially larger number of predicted transcripts for most species studied).

We asked when these episodes had occurred. The  $d_N/d_S$  estimates and the frequency of positive selection episodes are the highest in the branches originating shortly after the second invasion of amphipod into Baikal (Fig. S4a, Supporting information). This corresponds to the time interval around  $\sim 9/10$  of the time since divergence from the Caspian Sea outgroup (corresponds to 1 on  $-\log_{10}$  scale; cf. Fig. 2). This may be an artefact of normalization by very short branch lengths, which are highly sensitive to large relative error in estimating branch lengths and numbers of positive selection episodes or number of nonsynonymous changes. To ameliorate this problem, we calculated the frequency of positive selection episodes for all internal or terminal branches originating within each particular time interval (binned in such a way that each bin contains a constant fraction of cumulative branch length within each clade). This result is shown in Fig. 2b. It demonstrates that the apparent peak of positive selection frequency around 0.1 units of relative time ago is not a normalization artefact (at least for the clades belonging to the second Baikalian invasion) and that this peak corresponds to the time interval of the most intense accumulation of taxonomic diversity (Fig. 2a, b). Later in the phylogenetic time, a gradual decrease in the frequency of positive selection episodes with time is observed in all 4-s invasion clades.

Tables 2 and S8 (Supporting information) list individual COGs in which positive selection has been detected



**Table 1** Summary of episodes of positive selection detected using *BUSTED* (positive selection anywhere in the phylogeny; Murrell *et al.* 2015) and *ABSREL* (positive selection in a specific branch; Smith *et al.* 2015) models applied to either whole-phylogeny data sets with 100% or >75% occupancy or to each clade separately. Values in cells represent the total number of inferred episodes (FDR < 0.1) and the same number per gene per unit branch length (nucleotide substitution per site). SD among individual clades in parentheses

Data set	Episodes of positive selection			
	<i>BUSTED</i>		<i>ABSREL</i>	
	Total	Per gene per branch length	Total	Per gene per branch length
All species, 175 COGs, 100% occupancy	33	0.101	20	0.061
All species, 523 COGs, >66% occupancy	101	0.077	56	0.043
Each clade (Fig. 1) separately, variable number of COGs per clade (Table S7, Supporting information), 100% occupancy	115	0.112 (0.055)	124	0.117 (0.031)

(assuming false discovery rate to correct for multiple COGs studied <0.1, and for *ABSREL* results, also a more stringent 0.05 Bonferroni correction for multiple tests over branches). Numerous COGs were found to contain signals of positive selection in more than one branch, either consecutively or in parallel. The two species in our data set that are endemic to the deepest parts of the lake, *Garjajewia dershawini* and *Brachiuropus grewingkii*, are of a particular interest with respect to parallel changes. Although the number of COGs under parallel positive selection in these species (2) is not significantly higher than expected (1.4), the two cases observed are interesting functionally.

Likewise, although numerous sites at numerous COGs with or without positive selection signal diversified in parallel in the two abyssal lineages studied, the frequency of such events was similar or lower than the frequency of such events occurring, along similar branch lengths, in either of the two abyssal species and a reference species *Acanthogammarus godlewskii*. Specifically, there were 45 sites in 41 COGs with parallel substitutions in the two abyssal lineages and 60 sites in 53 COGs and 46 sites in 44 COGs between each of them and *A. godlewskii*. This indicates that while parallel changes in homologous sites of homologous proteins are abundant in many Baikalian lineages, their occurrence is not unusually frequent specifically in the two abyssal lineages.

#### Functional analysis of COGs with episodes of positive selection

Gene ontologies of the 175 COGs identified in all 66 species are summarized on Fig. S5 (Supporting information). Proteins with nuclear, ribosomal and membrane localization are common among these 175 COGs, as are proteins with gene expression and proteolysis functionality. Many ribosomal proteins are represented. None of

the functional GO annotations were significantly enriched after multiple test correction in any of the COG lists with evidence of positive selection, except for the category 'respiratory chain protein' significantly enriched (FDR = 0.027) in the list of proteins showing signals of positive selection in the two abyssal lineages. This is a conservative test; for example, five of five NADH dehydrogenase subunits present in the reference list appear on some of the test lists and yet this highest possible enrichment of this GO category still does not reach significance sufficient to survive multiple test correction. Regardless of relative enrichment, a clear pattern of functional spectrum of proteins showing episodes of positive selection has emerged (Table 2). Of the 33 top candidate genes (false discovery rate FDR < 0.1), eight code for proteins with mitochondrial membrane localization and functionality central to ATP synthesis (shown in bold in Table 2). The same results for each clade analysed separately are listed in Table S8 (Supporting information). Several key components of membrane- and substrate-level ATP synthesis are represented: electron-transport chain (several NADH dehydrogenase subunits, one cytochrome *b-c1* complex subunit), membrane ATPase (two subunits), succinyl-ligase (the Krebs cycle ATP synthase) and adenine nucleotide translocase that accomplishes transport of ADP into and ATP out of mitochondria. While distributed throughout the phylogeny (Table S8, Supporting information), episodes of positive selection in these proteins appear to be particularly common in abyssal species. For example, one of the flavoprotein subunits of NADH dehydrogenase shows a significant signal of positive selection when the two strictly abyssal species in the data set, *Garjajewia dershawini* and *Brachiuropus grewingkii*, are tested against the background of other members of the same *Acanthogammaridae* clade (Table S8, Supporting information). Furthermore, two of

**Table 2** Annotations of 33 proteins with evidence for positive selection reported by either BUSTED or ABSREL (FDR < 0.1), of the 175 proteins in the analysed data set. For ABSREL, each protein also survived the 0.05 Bonferroni correction for multiple tests over all branches in the phylogeny. If both methods detect positive selection, the higher of the two FDRs is shown. To one exception (ancestral node of *Macrohectopus branickii* clade), all episodes identified to a branch were found in terminal branches. Mitochondrial respiratory chain and ATP synthesis proteins are shown in bold

#	COG ID	Description	FDR	Detected by		Detected by ABSREL in:
				BUSTED	ABSREL	
1	cog006728	<b>NADH dehydrogenase</b>	0	+		
2	cog006711	Profilin	0	+	+	Ancestor of <i>Macrohectopus branickii</i> clade 2 parallel ancestral branches of <i>Eulimnogammarus</i> clade, <i>Heterogammarus sophianosii</i> , <i>Eucarinogammarus wagii</i>
3	cog006775	<b>NADH dehydrogenase</b>	0	+		
4	cog006681	<b>Mitochondrial ATP synthase f chain</b>	1.5e-14	+	+	<i>Baikalogammarus pullus</i> , <i>Macrohectopus branickii</i> , <i>Micruropus vortex</i>
5	cog006814	<b>ATP synthase gamma subunit mitochondrial</b>	1.2e-11	+	+	<i>Macrohectopus branickii</i>
6	cog006708	<b>NADH dehydrogenase</b>	1.5e-10	+	+	<i>Poekilogammarus pictoides</i>
7	cog006909	<b>Adenine nucleotide translocase</b>	8.7e-9	+		
8	cog006784	<i>Mitochondrial ribosomal protein s7</i>	6.6e-7	+		
9	cog006777	High mobility group protein dsp1-like	9.2e-7	+		
10	cog006925	Peptidylprolyl isomerase	0.001	+		
11	cog006781	<i>Probable 39s ribosomal protein mitochondrial</i>	0.001	+		
12	cog006769	<b>Cytochrome b-c1 complex subunit mitochondrial</b>	0.001	+		
13	cog006705	<i>Ribosomal protein l13</i>	0.001	+		
14	cog006773	agap004964-pa-like protein	0.002	+		
15	cog006908	<i>60s ribosomal protein l27a</i>	0.003	+		
16	cog006720	<i>60s ribosomal protein l21</i>	0.008	+		
17	cog006825	DNA-directed RNA polymerase III subunit rpabc2	0.014		+	<i>Pachyschysis branchialis</i>
18	cog006876	<i>40s ribosomal protein s24</i>	0.014	+	+	<i>Poekilogammarus pictoides</i>
19	cog006836	Myosin light chain	0.014	+	+	<i>Echiuropus macronychus</i>
20	cog006702	cre-rpl-17 protein	0.014	+	+	<i>Echiuropus macronychus</i> , <i>Micruropus parvulus</i>
21	cog006786	Translocating chain-associated membrane protein 1-like	0.014	+	+	<i>Axelboeckia carpenteri</i>
22	cog006767	Aldo-keto reductase	0.019	+		
23	cog006691	<i>28s ribosomal protein mitochondrial</i>	0.019	+	+	<i>Echiuropus macronychus</i>
24	cog006797	<i>s10e ribosomal protein</i>	0.022	+	+	<i>Eulimnogammarus similis</i>
25	cog006717	Viral IAP-associated factor	0.043	+		
26	cog006698	NA	0.044	+	+	<i>Micruropus parvulus</i>
27	cog006778	Proliferating cell nuclear antigen	0.047	+		
28	cog006893	<i>60s ribosomal protein l35a</i>	0.048	+		
29	cog006844	<b>Succinyl ligase</b>	0.076	+	+	<i>Macrohectopus branickii</i>
30	cog006715	<i>40s ribosomal protein s3-like</i>	0.077	+		
31	cog006757	<i>60s ribosomal protein l10</i>	0.085	+		
32	cog006779	Small nuclear ribonucleoprotein sm d3	0.086	+		
33	cog006846	Heat-shock protein	0.089	+		

the four proteins showing the strongest signals of positive selection in the plankton species *M. branickii* (which also inhabits the abyssal zone, although not limited to it) are subunits of both ATPases: the gamma- ('stalk') subunit of membrane ATPase and one of the subunits of the succinyl-ligase (Tables 2 and S8, Supporting information). Casual examination of multiple

alignments of these four proteins (two NADH dehydrogenase subunits and two subunits of ATPases) with their nearest orthologs in two crustaceans, three insects, two other arthropods and six other metazoans (see Data Availability section) reveals that all substitutions that occurred in the lineages in question, including those for which MEME indicated a high posterior probability of

positive selection, have occurred in nonconserved areas of the proteins.

Another functional group highly represented among proteins with signals of positive selection (but, again, not significantly enriched relative to the reference set) are the ribosomal proteins (*italics* in Table 2: 11 of 33).

Finally, one other functional category of proteins recurrent in the list of candidate genes with signals of positive selection is proteins related to the function of muscles and calcium metabolism. This includes evidence of positive selection in the light chain on myosin in the plankton *M. branickii* and of profilin (an actin-binding protein involved in regulating the actin cytoskeleton) in several branches across the phylogeny (Tables 2 and S8, Supporting information). Additionally, two other functionally related proteins have been identified as likely targets of positive selection (Table S8, Supporting information): a sarcoplasmic calcium-binding protein which shows signals of positive selection in several branches of *Hyalellopsis* and *Pallasea* clades, and alpha-actinin, an actin-binding protein whose muscle isoforms help anchor the myofibrillar actin filaments. This protein shows positive selection signals in the ancestral branch of *Acanthogammaridae s.str.* as well as in the planktonic *Macrohectopus branickii*.

## Discussion

### *High diversification rate*

We observe a high diversification rate in Baikal amphipods which appears to be particularly fast in early branches of the more recent invasion of amphipods into Baikal (Figs 1 and 2), when the majority of extant clades appeared within the time frame corresponding to approximately 0.01–0.02 nucleotide substitutions per site. While the predictions of the diversification rates changes (Fig. S4B, Supporting information) may be biased (Moore *et al.* 2016) and they certainly suffer from incomplete taxonomic sampling in our data, there is no doubt that majority of Baikal amphipods clades originated and majority of morphological diversity was generated during this short period of time, thus being a classic case of explosive radiation.

It is difficult to calibrate this rate in terms of astronomical time. The most comprehensive recent gammaridean amphipod phylogeny (Hou *et al.* 2011) is based largely on mitochondrial and rRNA genes with a single nuclear protein-coding gene representing a small portion of data. Additionally, this analysis uses the upper bound estimate of Baikal age (30 Myr) as one of the three calibration points, so directly applying that calibration to this study would be circular; the other two calibration points are Baikal-independent, but their

applicability to larger Baikal species with longer generation times is questionable. However, even if we take the estimate of Baikal age (Hou *et al.* 2011; Mats *et al.* 2011; Hou & Sket 2016) as a very conservative time point and assume that amphipod radiation occurred immediately after Baikal was formed, we estimate that the 300 extant species are the result of diversification with about 0.3 speciation events per 1 Myr, that is, about 3 Myr between speciation events. The majority of these events occurred during the first half of the time elapsed since the onset of radiation, leading to lineages (Fig. 1) representing nearly all the extant genera. Assuming that the rate of accumulation of nucleotide substitutions was constant throughout the phylogeny, this yields the estimate of 0.55 speciation events per 1 Myr, or approximately 2 Myr between speciation events in each lineage. This estimate is substantially higher than that for the peak of freshwater *Gammaridae* diversification soon after colonizing continental waters in Eocene (approx. 0.11 events per 1 Myr, Hou *et al.* 2011) and even higher than the estimates for marine ancestors of *Gammaridae* or for recent freshwater clades (0.01–0.05; Hou *et al.* 2011). Likewise, our estimates of diversification rates are high in comparison with those in numerous other invertebrates (typically 0.05–0.01 per 1 Myr), but similar to those obtained for Hawaiian *Drosophila* or Great African Rift lakes cichlids (Coyne & Orr 2004; Table 12.1). The analysis of genome size in Baikal amphipods (Jeffery *et al.* 2016) indicates that genomes are larger in quickly diversifying lineages, possibly corroborating the previously discovered elevated rate of gene duplications and transposable elements insertions in quickly diversifying Great African Rift Lakes cichlid lineages (Brawand *et al.* 2014).

### *Discordance between morphological and molecular divergence*

As repeatedly pointed out earlier for Baikal amphipods (Yampolsky *et al.* 1994; Sherbakov *et al.* 1998; MacDonald *et al.* 2005) as well as other endemic species flocks (e.g. Meyer *et al.* 1990), fast diversification has led to striking morphological divergence despite shallow differentiation on the molecular level. Numerous very well-defined species have sequence divergence <0.005 substitutions per site for the most conserved subset of genes (Fig. 1) and not much greater for larger subsets of genes (Fig. S1, Supporting information). Furthermore, we also confirm the presence of a significant intraspecific genetic differentiation among conspecifics and among morphologically nearly identical species previously described as subspecies that is similar in magnitude to the divergence among well-defined species. That is true for *Oxyacanthus* spp. (subspecies of

*Oxyacanthus flavus sensu* Bazikalova 1945) and *Parapallasea* spp. (subspecies of *Parapallasea borowskii sensu* Bazikalova 1945), as well as for three pairs of conspecifics included in our study that show no apparent ecological or morphological divergence, but have been sampled in different locations (*Eulimnogammarus cruentus*), or from Baikal vs. Angara river (*Pallasea cancelloides*), or from different host species (*Pachyschesis branchialis*). While strong genetic differentiation is neither a necessary nor a sufficient condition for the recognition of cryptic species, this observation may offer further support to the hypothesis of widespread occurrence of cryptic differentiation within the Baikal radiation (Väinölä & Kamal'tynov 1999; Daneliya *et al.* 2011). In particular, lineages within the parasitic *Pachyschesis branchialis* may indeed represent incipient species (Takhteev 2000b), although both individuals included in this study were identified as the type species and not as any of host-specific taxa described by Takhteev (2000b).

#### Morphological homoplasies

Our robust phylogeny unequivocally confirms the previously hypothesized double invasion of amphipods into Baikal (MacDonald *et al.* 2005) and, overall, shows a remarkable success of morphology-based systematics in resolving major lineages of Baikal amphipods despite widespread morphological innovations and homoplasy. These successes include both the correct placement of the Baikal radiation within the family *Gammaridae* despite enormous morphological divergence (Stebbing 1906; Sowinsky 1915; Hou & Sket 2016) and correct insights on evolutionary relationships within the Baikalian radiation despite widespread parallel evolution in taxonomically important characters (Fig. S2, Supporting information). For example, the affinity of *Asprogammarus* with *Micruropidae* has been correctly recognized (Kamal'tynov 1999) despite the presence of dorsal keels and 3–5 articulate accessory flagellum not observed elsewhere in this clade (Fig. S2B, C, Supporting information). Likewise, Bazikalova (1945) and Takhteev (2000b) pointed out similarities between *Poikilogammarus* and *Pallasea* despite secondary loss of spines in *Poikilogammarus*, an affinity strongly confirmed by our phylogeny (Figure 1, Fig. S1C, Supporting information). The long-recognized affinity between *Brandtia* and *Dorogostaiskia parasitica* has also been established (Yampolsky *et al.* 1994; Kamal'tynov 1999) despite differences in various taxonomically important traits, such as the degree of reduction of the accessory flagellum (Fig. S2B, Supporting information) or presence of secondary spines (a homoplasy shared by *Dorogostaiskia* and *Garjajewia*).

Finally, Bedulina *et al.* (2014) expressed doubt in monophyly of the genus *Eulimnogammarus* and its

subgenera (Bazikalova 1945) elevated to genera by Barnard & Barnard (1983). Indeed, the genus *Eulimnogammarus* appears to be polyphyletic. A representative of the subgenus *Heterogammarus* (Bazikalova 1945) with typical *Pallasea*-like equiramous uropods 3 is branching reliably within *Pallasea* (Fig. 1). Two species of the subgenus *Eurybiogammarus sensu* Bazikalova (1945), *E. violaceus* and *E. ussolzewii*, characterized by mildly reduced inner branch of uropod 3, are paraphyletic with the rest of *Eulimnogammarus* with respect to the clade that contains parasitic genus *Pachyschesis* and one of its hosts *Eucarinogammaris warii* (Fig. 1, Fig. S1E, Supporting information). The representatives of subgenera *Philolimnogammarus* and *Eulimnogammarus s.str* forming a monophyletic clade in our reconstruction share strong reduction of the inner branch of uropod 3 and the loss of calceoli, but the two subgenera are paraphyletic with respect to each other's members (Fig. 1, Figs 1E and 2A, Supporting information).

One feature of the phylogenetic topology that morphology-based taxonomy failed to recognize is the most basal group of the acanthogammarid invasion into Baikal. In our reconstruction, a representative of the morphologically primitive and ecologically, presumably, generalist genus *Echiuropus*, traditionally included into the micruropid clade (Kamal'tynov 1999), branches as the sister clade to all other acanthogammarids. Sequencing of additional species of this genus is needed to determine whether it indeed represents the ancestral morphology and ecology for the more recent of the two invasions.

To conclude, several morphological changes, in particular the development and losses of spines and body parts reduction, occurring in parallel in different lineages of Baikal amphipods corroborate previously known examples of frequent parallel morphological evolution within endemic species flocks (Kocher *et al.* 1993; Martens 1997; Rüber *et al.* 1999; Allender *et al.* 2003; Salzburger 2009).

#### Frequency of positive selection episodes

We report frequent occurrence of episodes of positive selection during adaptive radiation within Baikal amphipod species flock. We almost certainly underestimate the occurrence of positive selection due to several reasons. First, positively selected genes tend to be evolutionarily transient (Vishnoi *et al.* 2010) and expressed at a lower levels and in tissue-specific manner (Kosiol *et al.* 2008). All these factors bias our sample of conserved multispecies transcriptomics-based COGs towards negatively selected genes and reduce the likelihood that a positively selected gene would be represented in it. Indeed, the  $d_N/d_S$  ratio decreases with the



number of species in which a gene is represented, indicative of negative selection (Fig. S3B, Supporting information). Second, many episodes of positive selection are likely to be missed due to low power of HYPHY models to detect positive selection on very short branches (Smith *et al.* 2015). For example, the majority of amino acid changes identified by MEME (Murrell *et al.* 2012) as likely to have occurred by selection are double-nucleotide changes or transversions, indicating that any changes that required a single transition would be overlooked even when they were indeed accomplished by selection. Even with these biases, we estimate that dozens of genes have been under positive selection in each lineage of Baikal endemic amphipods.

We also show that the frequency of episodes of positive selection per unit of branch length detected by the ABSREL model (Smith *et al.* 2015) has peaked during the time bracket of the most intensive lineage diversification. There are three caveats that need to be addressed. First, and obviously, this conclusion relies on correct phylogenetic resolution of very short branches, which, even with high bootstrap support, may be subject to errors. Second, we may have underestimated the frequency of positive selection episodes in early branches, because many early internal branches are very short, resulting in lower power of positive selection detection. However, binning positive selection episodes and branch length over time intervals in which each branch initiated, in addition to ameliorating to the normalization bias discussed above, also take care of this problem, because earlier time intervals include both the typically short internal branches and long terminal branches, while late time intervals include some of the shortest terminal branches. Thus, there was no difference in the distribution of branch length between the early and the late time intervals and thus probably no large difference in the power to detect positive selection (Smith *et al.* 2015).

Finally, and on the other hand, we should keep in mind that alignments and ancestral reconstructions are likely to become less reliable for deeper nodes than for most recent ones. Potentially, this could inflate the frequency of apparent selection episodes in early branches, meaning that we overestimate the decrease in their frequency with time. Yet, due to very low divergence among sequences in our data set, homoplastic changes are rare and saturation is not an issue. Thus, we believe that the observed pattern of the increased occurrence of positive selection during the most intense diversification of Baikal amphipod species flock is real. It may indicate that the ecological opportunity indeed appears to elicit selective response, as predicted for adaptive radiations (Yoder *et al.* 2010; Berner & Salzburger 2015; Wellborn & Langerhans 2015) and that this opportunity may have

been the highest when early amphipod invaders into Baikal encountered a large number of unoccupied niches.

What may have been the exact nature of these ecological opportunities, given the likely paucity of trophic niches (Yoshii 1999) in Baikal's oligotrophic communities? We believe that this question should be approached in conjunction with the enigma of how Baikal biodiversity, including amphipods, may have survived repeated glaciations (Karabanov *et al.* 2004). While it is difficult to calibrate the time of the second invasion in absolute time due to the lack of fossil evidence and doubtful applicability of external calibrations, it is clear that, despite short branch lengths, this radiation is much older than postglacial. During the last 5 Myr, Baikal experienced a significant cooling, occurring in two separate episodes (Williams *et al.* 1997) that followed by repeated, much more severe cooling episodes during Quaternary glaciation cycles. Initial diversification thus almost certainly occurred in much warmer, much more oxygen poor Baikal, while the most recent terminal branches are likely to have originated during glacial cycles or even since the last glacial maximum. Although Baikal has never been completely frozen or filled with glacial ice (BDP Members 2000), ice cover was extremely thick and primary production plummeted during the last glaciation maximum (and possibly previous maxima; Karabanov *et al.* 2004). Karabanov *et al.* (2004) suggested that shallow euphotic areas may have remained inhabitable due to river inflow and could have served as refugia from which lineages recolonized the abyssal zone. Alternatively, one may hypothesize that Baikal biodiversity survived (and possibly evolved) in deep-water refugia supported by hydrothermal vents. Today Baikal contains several types of such deep-water vent habitats (both hot and cold) in which a diverse fauna that includes amphipods is supported by a community of chemoautotrophic primary producers (Zemskaya *et al.* 2012). Ecological opportunity (which perhaps should be called in this context ecological necessity) may have been associated with repeated colonizations of such deep-water, oxygen-rich refugia.

On the other hand, long periods of 'near-dead' state of Baikal ecosystem proposed by Karabanov *et al.* (2004) are not supported by several molecular phylogenetic studies of Lake Baikal endemic groups (Hunt *et al.* 1997; Hausdorf *et al.* 2003; MacDonald *et al.* 2005), all of which, including the one presented here, support long-lived lineages clearly predating the last glaciation maximum. For example, it is difficult to imagine that specialized endemics of Baikal abyssal zone (and the planktonic *Macrohectopus branickii*) may have accomplished the observed radical morphological changes in the last 10–15K years. In particular, the sharp and prolonged environmental deterioration proposed by

Karabanov *et al.* (2004) would have radically affected Baikal endemic fish species. Yet, the radiation of Baikal sculpins is dated at 3.3–8.0 Ma (Hunt *et al.* 1997; Goto *et al.* 2015) and shows no evidence for mass extinction. Similarly, phylogeography of mitochondrial and microsatellite markers in Siberian sturgeon *Acipenser baerii* presently distributed in all major Siberian rivers strongly indicates that Baikal was a single refugium for this species during (Barmintseva and Mugue, in press). All these evidence supports that glaciations did not affect the Baikal biota as severely as proposed by Karabanov *et al.* (2004). Severe depletion of diatoms in the sediment core, reported by Karabanov *et al.* (2004), may be due to other reasons than drop of lake ecosystem productivity. Pollen record of terrestrial plants, collected from the same core, indicates that surrounding coastal landscapes were occupied by cold steppe floral assemblages, not snow deserts (Tarasov *et al.* 2007). Thus, at least deep-water components of Baikal ecosystem may have retained active life even during glaciation maxima. Either way, it appears that adaptation to abyssal habitats may have been an important feature of the evolutionary past of all Baikal amphipods, not just those that are abyssal endemics today. The position of *Garjajewia*, an obligatory abyssal taxon, basal to the *Acanthogammaridae* + *Pallaseidae* clade, is consistent with this hypothesis (Fig. 1).

#### Functional genomics and parallel evolution

We observe numerous episodes of positive selection in members of the same COG in different lineages in parallel (Tables 2 and S8, Supporting information). This observation, as well as a number of observed morphological homoplasies (Fig. S2, Supporting information), is consistent with high occurrence of parallel evolution in species flocks (Berner & Salzburger 2015). However, tests for enrichment of parallel substitutions relative to random expectations failed to detect any deviation from randomness in the two abyssal clades that we expected to show the highest frequency of parallelisms. It is entirely possible that such parallelisms could have been detected if we had an opportunity to analyse more than two independent abyssal lineages. However, this finding is consistent with the conclusion that many detectable episodes of parallel evolution may in fact occur entirely through neutral processes (Bazykin *et al.* 2007) and not represent any excess of frequency over random expectations (Thomas & Hahn 2015).

Furthermore, it is difficult to make any conclusions about the nonrandomness of functional parallelisms, that is, occurrences of episodes of positive selection in nonorthologous, but functionally related proteins, as observed in this study. Because the entire (reference)

data set is highly enriched in certain categories of genes relative to the whole transcriptome, it is impossible to distinguish between two reasons why a particular functional category occurs among discovered positive selection episodes. On the one hand, positive selection may be equally common throughout the genome regardless of functionality, while a particular category of proteins is more likely to occur in the full-occupancy set of COGs (e.g. due to their high conservation and/or high expression, making them likely targets during library construction and easy transcripts to align). On the other hand, the subset of COGs in our data sets may be more or less random with respect to functionality, but selection is indeed much more frequent in particular functional categories. In the absence of a possible ecological context for selection in a particular functional group, for example ribosomal proteins (Table 2), it is difficult to hypothesize on which of the two possibilities is more likely.

On the other hand, episodes of positive selection observed in other functional categories, such as membrane proteins with respiratory chain and ATP synthesis functionality, may be easier to explain, as they are concordant with the above hypothesis of abyssal refugia that allowed Baikal amphipods to survive glaciation periods. Effects of abyssal (>400 m) depths on animals, virtually nonexistent in freshwaters outside of Baikal, have been well characterized in marine animals (Somero 1992; Sébert *et al.* 2004). One of the most profound effects of high hydrostatic pressure (exacerbated by low temperature) is the reduction of membrane fluidity that in particular affects functions of inner mitochondrial membranes (Sébert *et al.* 2004; Scaion *et al.* 2008). At this point, it is only possible to hypothesize whether the observed amino acid substitutions in NADH dehydrogenase, ATPases and ATP translocase subunits have any adaptive value in terms of ameliorating the adverse effect of increased rigidity of the inner mitochondrial membrane. Structural locations of these substitutions (L.H. Yampolsky *et al.*, unpublished) offer at least some insight on this. While the two NADH dehydrogenase subunits (flavoproteins 1 and 2) identified in our analysis as likely subjects of positive selection are not located in the proximity of the membrane and probably do not interact with it, sites that appear to be under selection in ATPase gamma subunit in the *M. branickii* are indeed located in the immediate proximity to the membrane. The shaft-forming gamma subunit is believed to be essential in transmitting the energy from the rotating c-ring to the conformational changes in the catalytic sites of F1 subunits (von Ballmoos *et al.* 2009), and its interactions with the membrane-embedded subunits are critical for the mechanism of ATP synthesis

(Zimmermann *et al.* 2006; Okazaki & Hummer 2015). One may hypothesize that selection may have introduced amino acid changes that allow the planktonic *M. branickii* that occurs from surface to as deep as 1400+ m and accomplishes diurnal vertical migrations of up to 250 m to maintain high level of ATP synthesis necessary for the active life of a plankton predator regardless of hydrostatic pressure it experiences. The fact that the other ATP synthase, the Krebs cycle succinate lyase, is also among the top genes identified as likely targets for positive selection further corroborates this hypothesis.

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A.S.K. and L.Y.Y. designed the study; S.A.N., M.D.L., A.E.E., N.S.M. and L.Y.Y. participated in field collections and species identification; M.D.L., A.V.K. and A.A.P. did library preparation and next-gen sequencing; S.A.N., N.V.P. and G.A.B. participated in assembly, alignments orthologization and phylogenetics analysis; S.A.N., G.A.B., A.S.K. and L.Y.Y. participated in downstream analysis and manuscript preparation.

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### Data accessibility

Sequenced reads have been deposited to NCBI Sequence read archive (SRP07418; SRR3467040-3467102, Table S9, Supporting information); Transcriptome Shotgun Assembly project (PRJNA321360) has been

deposited at DDBJ/EMBL/GenBank under accessions listed in the Table S9 (Supporting information). Versions of individual assemblies described in this article are the first versions, that is GERM01000000. Alignments listed in Table S7 (Supporting information), and phylogenetic trees in Newick format are available at <http://faculty.etsu.edu/yampolsk/data/BaikalAmphipods>. Data for Fig. 2 are available from the Dryad Digital Repository: <http://dx.doi.org/10.5061/dryad.4ck36>.

### Supporting information

Additional supporting information may be found in the online version of this article.

**Fig. S1** Phylogeny reconstruction of 1 *Micruropidae* (A) and 4 *Acanthogammaridae s.lat.* (B–E) clades.

**Fig. S2** Cladograms of Baikal amphipod radiation showing episodes of parallel morphological changes. A: Reduction of uropod 3. B: Loss of accessory flagellum.

**Fig. S3** Mean dN/dS and frequency of positive selection episodes in individual branches.

**Fig. S4** Numbers of COGs represented in different numbers of species.

**Fig. S5** Mean pairwise dN/dS between species represented in a COG.

**Table S1** Species included in the study.

**Table S2** Locations and other details of sampling stations.

**Table S3** Read filtration statistics.

**Table S4** Assembly statistics.

**Table S5** Contamination statistics.

**Table S6** COGs statistics.

**Table S7** Datasets analyzed.

**Table S8** Blast-2go annotation of COGs with a significant (FDR < 0.01) positive selection signal analyzed in each clade separately (100% occupancy within clade) or for the set of 704 COGs with high occupancy.