

1 Martian High-Altitude Photoelectrons Independence 2 of Solar Zenith Angle

Shaosui Xu^{1,2}, Michael Liemohn¹, Stephen Bougher¹, and David Mitchell²

Corresponding author: Shaosui Xu, Department of Climate and Space Sciences and Engineering, University of Michigan, Ann Arbor, (xussui@umich.edu)

¹Department of Climate and Space Sciences and Engineering, University of Michigan, Ann Arbor, Michigan, USA.

²Space Sciences Laboratory, University of California, Berkeley, California, USA.

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D R A F T

Abstract. Many aspects of the Martian upper atmosphere are known to vary with solar zenith angle (SZA). One would assume that dayside photoelectron fluxes are also SZA dependent, especially when transport along a semi-vertical magnetic field line is significant. However, our investigation presented here of the observed Martian high-altitude (~ 400 km) photoelectron fluxes by the magnetometer/electron reflectometer (MAG/ER) instruments onboard Mars Global Surveyor (MGS) shows that the photoelectron fluxes are better correlated with just the solar irradiance, without SZA factored in, and also that the median photoelectron fluxes are independent of SZA, especially for high energies (above 100 eV). For lower energies (below 70 eV), the observed fluxes tend to vary to some degree with SZA. Such counterintuitive results are due to the existence of a photoelectron exobase, only above which the photoelectrons are able to transport and escape to high altitudes. Two methods are used here to determine the altitude range of this exobase, which varies between 145 km and 165 km depending on the atmosphere and SZA. Through our SuperThermal Electron Transport (STET) model, we found that the integral of the production rate above the photoelectron exobase, and therefore the high-altitude photoelectron fluxes, is rather independent of SZA. Such an independent relationship concerns energy redistribution in the Martian upper atmosphere, using photoelectrons to map magnetic topology and connectivity, as well as ion escape. This finding can also be carefully adapted to other solar bodies with semi-vertical magnetic fields at ionospheric altitudes, such as Earth, Jupiter, and Saturn.

1. Introduction

26 Photoelectrons, which are produced when solar photons ionize atmospheric species, are
27 important for the dynamics and chemistry of Mars' upper atmosphere [*Schunk and Nagy,*
28 2009]. Most of the excess photon energy is carried away by the low-mass photoelectrons,
29 which have kinetic energies ranging from less than 1eV to more than 500 eV. Photoelec-
30 trons with energies above the ionization potentials of atmospheric species (13.77 eV for
31 CO₂) can cause further ionization through electron impact. The primary and secondary
32 electron kinetic energy is transferred to the thermal plasma through Coulomb collisions
33 and indirectly to the neutral atmosphere through ion-neutral collisions. Eventually, these
34 electrons lose sufficient energy to join the thermal population, which accounts for most of
35 the ionospheric electron density. In the vicinity of the ionospheric main peak, for exam-
36 ple, superthermal electrons account for less than 0.1% of the total electron density [e.g.
37 *Gombosi, 1998*].

38 At low altitudes, where collision rates are high enough to establish photochemical equi-
39 librium, the structure of Mars' dayside ionosphere is reasonably well described by Chap-
40 man theory [*Chapman, 1931a, b*], which provides functional forms for the variation of
41 the electron density with solar zenith angle (SZA) and altitude. The peak density is
42 proportional to $\cos^{1/2}(SZA)$, and the peak altitude, which occurs at an optical depth to
43 ionizing radiation of unity, rises with increasing SZA from ~ 120 km at the sub-solar point
44 to ~ 180 km at the limb. A number of studies have shown at least approximate agreement
45 between Chapman theory and measurements of ionospheric thermal electrons, including
46 radio occultation profiles [e.g. *Hantsch and Bauer, 1990; Zhang et al., 1990; Withers and*

47 *Mendillo, 2005; Fox and Yeager, 2006, 2009*] and orbital radar sounding [e.g. *Nielsen et al.,*
48 *2007; Morgan et al., 2008; Gurnett et al., 2008; Němec et al., 2011; Safaeinili et al., 2007;*
49 *Lillis et al., 2010*]. Not all ionospheric quantities have an obvious dependence on SZA. In
50 particular, *Withers et al. [2014]* found that the electron temperature in the main peak is
51 independent of SZA.

52 At high altitudes, where collisions are infrequent, electron transport dominates, and the
53 electron distribution is no longer described by Chapman theory. In a uniform magnetic
54 field, electrons move along helical paths of constant radius and pitch angle, which is the
55 angle between the particle velocity and the magnetic field. The radii of gyration for
56 electrons with energies less than 500 eV are typically much smaller than spatial variations
57 in the magnetic field ($\Delta B/B$), so primary photoelectrons are often magnetized, with their
58 centers of gyration constrained to follow the magnetic field line. In addition, primary
59 photoelectrons have an energy distribution with several discrete features, including peaks
60 at 23 and 27 eV due to the ionization of CO₂ and O by the solar He-II line at 30.4 nm,
61 and an oxygen Auger peak at ~ 500 eV. Since these features are unique to the dayside
62 ionosphere, photoelectrons are a useful probe of topology in Mars' complex magnetic
63 environment [e.g. *Brain et al., 2007; Liemohn et al., 2007a*].

64 When magnetic fields with a large vertical component are present, such as near Earth's
65 magnetic poles and at Mars over strong crustal magnetic sources, photoelectrons are
66 transported from where they are produced in the dayside ionosphere to altitudes of many
67 hundreds to thousands of kilometers. Since photoelectrons travel freely only where col-
68 lisions can be neglected, it is useful to consider the concept of an "electron exobase",
69 below which collisions prevent electrons from escaping directly to high altitudes. Like the

70 exobase of the neutral atmosphere, this is not a sharp boundary, but rather a gradual
71 transition that depends on energy and has a finite thickness [*Lillis and Fang, 2015*]. Be-
72 cause the photoelectron production rate decreases exponentially with increasing altitude,
73 fluxes measured at high altitudes are dominated by production near the electron exobase
74 ($\sim 140\text{-}170$ km), which exhibits a Chapman-like SZA dependence. Recently, photoelectron
75 fluxes over the crustal field regions at Mars have been assumed to depend on SZA [e.g.
76 *Liemohn et al., 2012; Xu et al., 2014a*]. In addition, *Trantham et al.* [2011] investigated
77 the main controlling factors of photoelectrons observed by MGS and included the effects of
78 SZA in their local EUV proxy, which they found to be the best organizer of photoelectron
79 fluxes.

80 It is important to investigate if the assumed SZA effect on high-altitude photoelec-
81 trons is correct, because it concerns energy redistribution (in the form of photoelectron
82 kinetic energy) at Mars. This is especially important for heating of the nightside at-
83 mosphere through cross-terminator transport. In addition, the escaping photoelectrons
84 could set up ambipolar electric fields that facilitate ion escape. In this study, we examine
85 the relationship of high-altitude photoelectrons and SZA by analyzing the measured pho-
86 toelectron fluxes from the magnetometer/electron reflectometer (MAG/ER) instrument
87 onboard Mars Global Surveyor [*Acuña et al., 1998; Mitchell et al., 2001*], accompanied by
88 further exploration with a superthermal electron transport model.

2. Observation

89 In this section, we first briefly describe the data selection process. Then, we present
90 and examine the relationship between the observed photoelectron fluxes and SZA through
91 two different methods.

2.1. Data Selection

92 The MGS spacecraft was locked at 405 ± 36 km altitude and 2 AM/PM local time (LT)
93 during its mapping phase. A detailed description of the MAG/ER instrument onboard
94 MGS is provided by *Acuña et al.* [1992]. The MAG/ER recorded electron angular distri-
95 butions in sixteen 22.5° sectors with a field of view of $360^\circ \times 14^\circ$. The angular distributions
96 can be converted into pitch angle distributions with the formula in *Mitchell et al.* [2001].

97 This study focuses only on photoelectrons observed within the strong crustal field re-
98 gions taken during the “2 PM dayside” portion of the MGS orbit. To isolate dayside
99 photoelectron samples, the same method as *Xu et al.* [2014a] is applied. The data se-
100 lection is confined to $SZA < 90^\circ$ and in a geographic box, east longitude 160° - 200° and
101 south latitude 30° - 70° , as shown in Figure 1 of *Connerney et al.* [2005] and *Trantham*
102 *et al.* [2011]. Within this geographic box, the strong crustal fields consist of well defined
103 loop arches [e.g. *Brain et al.*, 2003, 2007]. An additional magnetic field minimum strength
104 limit of 35 nT is also applied to avoid weak fields. Furthermore, to exclude solar wind
105 electron precipitation observations through cusps in between the magnetic loop arches,
106 another criterion is the use of magnetic elevation angles (angle relative to the horizontal
107 plane) within $\pm 45^\circ$ [e.g. *Xu et al.*, 2014b]. The selected electron samples range from 10
108 eV to 700 eV and extend over a period of more than 7 years, from early 1999 to late 2006.
109 These criteria ensure that we are considering only dayside atmospheric photoelectrons on
110 closed crustal field loops with direct magnetic connectivity to the photoelectron source
111 region in the thermosphere between 100-200 km altitude.

2.2. SZA's Influence on Observed High-Altitude Photoelectron Fluxes

112 In this subsection, the relation between the observed high-altitude photoelectron fluxes
113 and SZA is investigated through two methods. The first one compares the correlation
114 of the photoelectron fluxes and the EUV proxy with or without SZA factored in. The
115 other examines, for a particular solar irradiance level as indicated by Mars-adjusted F10.7
116 cm values, how the photoelectron fluxes change with SZA. The Mars-adjusted F10.7 cm
117 values are the F10.7 cm solar flux measurements at Earth compiled by NOAA being
118 scaled to Mars according to the planet-to-Sun distances as well as the Earth-Sun-Mars
119 angle [Mitchell *et al.*, 2001]. Also, hereinafter, only Mars-adjusted solar irradiance values
120 are used in this study.

2.2.1. Correlation of Photoelectron Fluxes and EUV proxy

121 *Trantham et al.* [2011] investigated the main controlling factors of 27 eV photoelectron
122 fluxes within pitch angles (PA) 80°-90° observed by MGS and concluded that the photo-
123 electron fluxes are best correlated with their local EUV proxy. This local EUV proxy is
124 the ratio of the solar irradiance proxy, denoted as ' I_0 ', and a Chapman function [Smith
125 and Smith, 1972] to take into account variation due to SZA, i.e. the attenuation of the
126 solar irradiance because of a limb path. The Chapman function, $\text{Ch}(R_g, \text{SZA})$, is a func-
127 tion of SZA and $R_g=R/H$, where R is the distance from the center of Mars and H is the
128 scale height. This function resembles $1/\cos(\text{SZA})$ except for very high SZAs. The local
129 EUV proxy is therefore $I = I_0/\text{Ch}(R_g, \text{SZA})$.

131 The photoelectron flux is proportional to I , in contrast to the thermal electron density
132 predicted by Chapman theory correlated to \sqrt{I} . The square root operator originates
133 from the assumption that for thermal plasma, the production rate balances with the

134 recombination rate (main loss). Such equilibrium does not apply to superthermal electrons
135 as the recombination loss is trivial compared to other losses such as collisions with neutral
136 particles.

137 Figure 1 shows an example of photoelectron fluxes of the 115 eV energy channel (a
138 widely used energy channel [e.g. *Brain et al.*, 2007; *Lillis and Brain*, 2013]) at PA 20°-30°
139 as a function of time in Earth year (1a), the EUV local proxy I (1b), and F10.7 values (I_0)
140 only (1c). Both I and I_0 are in unit of sfu ($1 \text{ sfu} = 10^{-22} \text{ W}/(\text{m}^2\text{Hz})$) and also adjusted
141 to Martian values. The photoelectron fluxes highlighted in yellow are for a time period
142 that a global dust storm occurred and are much higher than the rest of the fluxes, colored
143 in blue, even with the same EUV proxy or F10.7 values, as shown in 1b and 1c. The
144 specialness of these yellow fluxes has been investigated by several studies [*Liemohn et al.*,
145 2012; *Xu et al.*, 2014a]. Hence, this study focuses only on the blue photoelectron fluxes.
146 The correlations of these blue fluxes against the local EUV proxy and F10.7 values only
147 are 0.5 and 0.65, as listed in Figure 1b and 1c, respectively. Such a 0.15 enhancement of
148 correlation is statistically significant because the correlation is calculated from hundreds
149 of thousands of data points. Therefore, the photoelectron flux correlates better with the
150 solar irradiance without SZA factored in.

151 To examine the correlations for various energies and pitch angles, Figure 1d and 1e show
152 the correlation of the blue photoelectron fluxes against the Mars-adjusted F10.7 values
153 only and the local EUV proxy, respectively, as a function of energy and PA. Figure 1f
154 shows the difference of Figure 1d and 1e. A general improvement of correlation, for more
155 field-aligned pitch angles in particular, is seen for energy above 70 eV, up to 0.30, with
156 SZA excluded. For energy below 70 eV, the exclusion of SZA leads to lower correlation. In

157 other words, high-energy photoelectron fluxes observed by MGS tend to be independent
158 of SZA while the low-energy fluxes appear to exhibit some SZA dependence.

159 **2.2.2. Observed Photoelectron Fluxes against SZA**

160 The other method is to directly examine how the photoelectron fluxes change with SZA.
161 In Figure 2, an orbit on Oct. 16th, 2000 is chosen as an example. From top to bottom,
162 each panel shows north latitude, magnetic field strength, magnetic elevation angle (relative
163 to the horizontal plane), SZA, and photoelectron fluxes at pitch angle $20^\circ - 30^\circ$ for four
164 energy channels, 313 eV, 115 eV, 47 eV, and 20 eV, against time. The longitude is
165 about 180° for this period of time. As we can see, instead of decreasing dramatically
166 as predicted by the Chapman function, the flux is rather constant for SZA $90^\circ - 60^\circ$.
167 Quantitatively, the root mean square errors (RMSEs) to the mean electron flux and also
168 to the best-fitted Chapman function are calculated and shown at the upper left and lower
169 right corners, respectively. The RMSEs to the mean value are 2-3 times smaller than that
170 to the Chapman function for all the energy channels. This implies that a straight-line fit
171 is substantially better than the SZA-dependent Chapman function fit to these data.

172 In addition to this case study, we present another statistical examination of the relation
173 between the photoelectron flux and SZA. The blue fluxes in Figure 1a are divided into
174 eight Mars-adjusted F10.7 levels and eight SZA bins. Then for the same F10.7 level,
175 the median flux of each SZA bin is normalized by the maximum of these median fluxes.
176 Normalized median photoelectron fluxes at pitch angles $20^\circ - 30^\circ$ against SZA are shown in
177 Figure 3 with different colors highlighting different F10.7 levels. The four rows, from top
178 to bottom, are for energy channels 313 eV, 115 eV, 47 eV, and 20 eV, respectively. The
179 left column shows the normalized median flux for each F10.7 level. For the right column,

180 three F10.7 levels are selected, highlighted in three different colors and line styles. For each
181 color and line style, there are three lines, marking the quartile values for the normalized
182 flux. The median photoelectron fluxes across all the solar zenith angle bins mostly vary
183 within 80% from the maximum of these median fluxes for energies above 30 eV for all the
184 pitch angles, as shown in Figure 3a-3c. Only the energy channel below 30 eV exhibits a
185 more systematic flux decrease at high SZAs, e.g. in Figure 3d. Similarly, the calculated
186 RMSEs to the mean flux are much smaller, by a factor of 2 to more than 10, than that to
187 the fitted Chapman function, except for the 20 eV energy channel with F10.7 = 43 sfu.

188 Again, an independence of the photoelectron fluxes on SZA is seen for energy above
189 30 eV. In contrast, the photoelectron flux does decrease significantly with increasing SZA
190 below 30 eV. This finding is consistent with the other method, even though the energy
191 cutoff differs.

3. Simulations

192 Such a relationship between the high-altitude photoelectron fluxes and SZA, even some-
193 what energy dependent, is rather counterintuitive and demands a closer examination.
194 Therefore, we employ a superthermal electron transport model to explore this puzzle. In
195 this section, first a brief description of the SuperThermal Electron Transport (STET)
196 model is given. Then, we show that the model is able to replicate photoelectron fluxes
197 being independent of SZA at 400 km and the explanation of such independence is also
198 discussed.

3.1. STET Model Results

199 The STET model solves the gyration-averaged Boltzmann kinetic equation to calculate
200 the superthermal electron flux distribution along a magnetic flux tube. This multi-stream
201 model was originally developed for the Earth environment [Khazanov *et al.*, 1993; Khazanov
202 *and Liemohn*, 1995; Liemohn *et al.*, 1997] and later modified for Mars [Liemohn
203 *et al.*, 2003, 2006]. Xu and Liemohn [2015] provides the detailed description of the STET
204 model. The flux is in the coordinate system $[t, E, \mu, s]$, where t is time; E is the elec-
205 tron energy in eV; μ is the cosine of the local pitch angle; and s is the distance along
206 the local magnetic field line. The STET model is equipped with several solar irradiance
207 models [Xu *et al.*, 2015a], including the Hinteregger-81 model [Hinteregger *et al.*, 1981],
208 the Flare Irradiance Spectral Model (FISM) [Chamberlin *et al.*, 2007, 2008] and the He-
209 liospheric Environment Solar Spectral Radiation (HESSR) model [Fontenla *et al.*, 2009].
210 In addition, the photoionization and excitation cross sections are from Fox [1991], and
211 the electron impact cross sections from Sung and Fox [2000]. The neutral and ionospheric
212 plasma density profiles are from the Mars Thermospheric General Circulation Model (MT-
213 GCM) [Bougher *et al.*, 1999, 2000; Bougher *et al.*, 2001; Bougher *et al.*, 2004, 2006] for
214 the altitude range of 100-240 km and linearly extrapolated from the logarithm of the two
215 topmost values from MTGCM above 240 km.

216 The solar irradiance model used for this study is the Hinteregger-81 model. All three
217 models will have the same dependence on SZA with respect to the local photoelectron
218 production rate, and therefore this choice is purely for convenience. The background
219 neutral and plasma environment based on MTGCM, run at a solar longitude L_s of 90°
220 with an Earth F10.7-cm of 100 sfu (roughly 43 sfu at Mars), for this study is shown in

221 Figures 4 and 5, along with the magnetic configuration. A Mars F10.7-cm of 43 sfu is
222 also used for the Hinteregger-81 model and the Mars-Sun distance is 1.524 Astronomical
223 Unit. Below, we will only present F10.7 cm values that have been converted into Mars.
224 The s step size is 5 km below 200 km and 10 km above 200 km, to ensure that it is no
225 larger than the neutral scale height. The pitch angle grid number at the minimum B value
226 along the field line is 20 for $0^\circ - 90^\circ$ for the superthermal electron flux along the magnetic
227 direction and 20 for $90^\circ - 180^\circ$ for the flux flowing in the opposite direction. A uniform
228 energy grid size of 1 eV is used for 1-200 eV. All the runs for this study are in steady
229 state, considered converged as $|\psi - \psi_{last}|/\psi < 0.02$, where ψ and ψ_{last} are the electron
230 flux at the current time step and the last time step at every location in the $s - \mu - E$
231 grid, respectively.

232 The photoelectron fluxes vary with solar zenith angle because of two reasons. One is
233 that the attenuation of the solar irradiance is larger with increasing SZA due to the slant
234 path. The other is that the atmospheric densities and temperatures change with SZA.
235 Hence, in this section, we will first use the same atmosphere (the atmosphere at SZA=0°,
236 as shown in Figure 4a) to simulate at ten SZAs, from 0° to 90°. Then, we will run STET
237 with two extra atmospheres, at SZA=60° and at SZA=75°, as shown in Figure 5, to
238 discuss how different atmospheres influence the results.

239 Figures 6a-6d show the photoelectron fluxes at PA=0° at different altitudes against SZA
240 for 20 eV, 50 eV, 100 eV, and 190 eV, respectively. The solid lines are for ten runs with
241 the same atmosphere and the triangle symbols for STET runs at different SZAs with the
242 corresponding atmospheres. For the runs with the same atmosphere, the photoelectron
243 fluxes decrease as SZA increases at 130 km. For comparison, the dotted lines show the

244 fluxes at SZA=0 and 130 km altitude divided by the Chapman function, $\text{Ch}(\text{Rg}, \text{SZA})$.
 245 The disagreement between the modeled fluxes at SZA=90° at 130 km (black solid line)
 246 and the Chapman function scaling is because this scaling is for peak densities but not
 247 at a particular altitude. For altitudes above 150 km, the photoelectron fluxes are almost
 248 constant across all SZAs, with a slight decrease at SZA=90°. For the runs with different
 249 atmospheres, the fluxes are mostly constant with respect to SZA for all the altitudes as
 250 well. Therefore, the modeled photoelectron fluxes also show independence on the solar
 251 zenith angle, which is consistent with the observations from section 2.

3.2. Why: The Superthermal Electron Exobase

252 The reason of this independence is the existence of a critical altitude range for photo-
 253 electrons, only above which photoelectrons can transport/escape instead of losing energy
 254 locally [e.g. *Banks and Nagy, 1970; Butler and Stolarski, 1978; Mantas and Hanson, 1979*].
 255 The measurements over the strong crustal fields made by MGS (~ 400 km), well above the
 256 main region of the ionosphere, should be mainly the transport-dominated population. So
 257 it is necessary to determine this altitude range. In this study, we provide two approaches.

The first approach is to use the formula provided by *Banks and Nagy [1970]*, which defines the photoelectron mean free path λ as:

$$\lambda = \frac{\langle \cos \theta \rangle \sin \alpha}{n \sqrt{\sigma_a (\sigma_a + 2p_e \sigma_e)}}; \quad (1)$$

258 where $\langle \cos \theta \rangle$ is the averaged pitch angle distribution; α is the dip angle of the magnetic
 259 field line, relative to the horizontal plane; n is the neutral density; σ_a and σ_e are the
 260 inelastic and elastic collision cross sections with neutrals, respectively; p_e is the backscat-
 261 ter probability for the elastic collisions. When $\lambda \ll H$, where H is the scale height,

262 there is little to no net transport and photoelectrons lose energy locally; while above the
 263 altitude where $\lambda \simeq H$, photoelectron transport becomes significant. Here, we general-
 264 ize this formula to the multi-species case by changing the denominator in equation 1 to
 265 $\sum_i n_i \sqrt{\sigma_{ai}(\sigma_{ai} + 2p_{ei}\sigma_{ei})}$, where i indicates the i_{th} neutral species. For our calculation, α
 266 is near 90° below 200 km, and $\langle \cos \theta \rangle = 0.5$, as it ranges from $3/8$ and $9/16$ [*Banks and*
 267 *Nagy, 1970*]. The photoelectron mean free path λ against altitude, along with the neutral
 268 scale height H , calculated as a weighted average of all atmospheric species, (black dashed
 269 line), is shown in Figure 7a. The altitudes at which $\lambda = H$ for different energies range
 270 from 160 to 165 km for the MTGCM atmosphere at SZA= 0° and from 150 to 155 km
 271 for the MTGCM atmosphere at SZA= 75° . For convenience, we define the “photoelectron
 272 exobase” as the altitude of $\lambda = H$. However, it is important to note that it is not im-
 273 mediately collisionless above this exobase. Instead, there is a transition region in which
 274 transport dominates but collisions still happen. The photoelectron exobase is at lower
 275 altitudes than the exobase of the neutral atmosphere, which is located above 200 km,
 276 because these high-energy electrons’ collision cross sections are much smaller than the
 277 neutral particles.

Another approach to determine this photoelectron exobase is to calculate a “collisional
 depth” τ , similar to the optical depth, for a superthermal electron moving downward from
 the top of the upper atmosphere:

$$\tau = \int_{z(s)}^{z_{max}} \sum_j n_j p_j \sigma_j ds \quad (2)$$

278 where σ_j can be the cross section of inelastic and elastic collisions with the j_{th} neutral
 279 species, as well as the Coulomb collision cross sections with electrons; n_j is the corre-
 280 sponding density; p_j is the backscatter probability for the elastic collision with neutrals

281 and 1 for other collisions. Also, in equation 2, s is the distance along the field line. The
282 electron-ion collision term is neglected as the effect is small compared to electron-neutral
283 and electron-electron collisions. Note that τ is a unitless integral from the highest alti-
284 tude z_{max} of the field line to a certain altitude $z(s)$ and stands for the probability of one
285 photoelectron not being able to transport from the top of field line to $z(s)$, or vice verse,
286 from $z(s)$ to the top of the field line. In other words, only when $\tau \leq 1$, photoelectrons can
287 be transported to high altitudes, otherwise they are lost locally or to nearby altitudes.
288 Figure 7b shows τ of different energies against altitude. $\tau = 1$ happens at the 160-165 km
289 altitude range for the MTGCM atmosphere at SZA=0° and at the 147-152 km range for
290 the MTGCM atmosphere at SZA=75°, which is consistent with the previous method.

291 For the ten runs with the same atmosphere, the calculated photoionization production
292 rate against altitude at different SZAs for 100 eV is shown in Figure 7c. The peak
293 production rate decreases and the peak altitude increases as SZA increases, as Chapman
294 theory predicted. However, above the photoelectron exobase, marked by the dashed black
295 line, the production rates are about the same for all the SZAs. Then, for the three runs
296 with a consistent atmosphere and SZA, the photoionization rates against altitude for the
297 three SZAs are shown in Figure 7d and the dashed lines are the calculated exobases.
298 While the production rate decreases with increasing SZA, as expected, the exobase moves
299 to lower altitudes, because the atmosphere is less dense.

300 To further demonstrate the effect of this photoelectron exobase, we integrated the pho-
301 toionization production rate from the exobase to the highest altitude z_{max} of the field
302 line for each SZA, as shown in Figure 7e. The solid lines are for ten runs with the same
303 atmosphere while the triangle symbols are for STET runs at different SZAs with the

304 corresponding atmospheres. In addition, Figure 7f shows the normalization of this photoionization production rate integral against SZA, calculated by dividing by the values at
305 SZA=0°. For the ten runs with the same atmosphere, an almost constant photoionization
306 production rate integral, with a slight decrease at high SZAs, is seen, while for the runs
307 with different atmospheres, the production rate integral increases slightly at higher SZAs,
308 as the exobase altitude decreases. In other words, in both sets of the simulations, with
309 or without changing atmospheres, the photoionization production rate integral is fairly
310 constant across all the SZAs.
311

4. Discussion and Conclusions

312 Photoelectron fluxes over the strong crustal field regions at Mars were assumed to change
313 with solar zenith angle because they are directly connected to the source region below
314 200 km altitude. If part of the photoelectrons produced at the peak altitude transport
315 to high altitudes along closed magnetic fields, then the photoelectron fluxes should scale,
316 though maybe not linearly, with the peak values. However, through our examination of
317 the MGS MAG/ER data over the strong crustal fields, the high-altitude photoelectron
318 fluxes are better correlated with solely the solar irradiance, without SZA factored in,
319 especially for the high energies. Furthermore, in addition to a case study as an example,
320 the median photoelectron fluxes across all the solar zenith angle bins mostly vary within
321 80% from the maximum of these median fluxes for the same Mars-adjusted F10.7 cm level.
322 Plus, through the calculation of root mean square errors, the observed photoelectron flux
323 is better described by a constant value against SZA rather than a Chapman function
324 best-fitted curve, indicating an independence.

325 The STET model is able to replicate the independence of high-altitude photoelectron
326 fluxes against SZA. Below the photoelectron exobase, while the peak fluxes vary roughly
327 as the inverse of $\text{Ch}(\text{Rg}, \text{SZA})$, these photoelectrons are lost locally due to collisions. Only
328 above this exobase, locally and freshly produced photoelectrons are able to transport to
329 high altitudes. It was found that the photoelectron exobase is located between 145 and
330 165 km altitude, which is below the neutral atmosphere exobase because of the smaller
331 collision cross section of these fast-moving particles. In addition, this value is in reasonable
332 agreement with *Mantas and Hanson* [1979], who found that photoelectron transport starts
333 to be significant in the 130-150 km altitude range. Similarly, *Lillis et al.* [2008] determined
334 that the scattering probability for 191 eV precipitating electrons at $\text{PA} < 24^\circ$ reaches 1 at
335 ~ 160 km, which also supports our results. The analysis of the photoionization production
336 rate from the simulation indicates that, above the photoelectron exobase, the integral of
337 the production rate barely changes with SZA. As a result, high-altitude photoelectron
338 fluxes are rather independent of the changing of the peak values when the peak altitude
339 is several scale heights below the photoelectron exobase.

340 This result does not change when different atmospheres are used. In this study, we
341 have tested three different atmospheres, taking into account the location difference, for
342 the same F10.7 cm level. While the production rate changes with different atmospheres
343 (Figure 7d), the production rate integral above the exobases, however, remains the same
344 as the exobase varies in altitude for different atmospheres (Figure 7e and 7f). On the
345 other hand, the observations are a collection of 7 years of data, spanning all the seasons
346 and different solar irradiance strengths. The statistical approach of section 2.2.1 should
347 average over the variations of the seasons and solar cycle changes. Also, the median

348 fluxes are independent of SZA for all the observed F10.7 cm levels, which implies that
349 this finding is applicable to different solar irradiance fluxes and that the atmospheres we
350 used are appropriate and adequate.

351 There are a couple of caveats in this study. For example, there are uncertainties with
352 adjusting F10.7 cm from Earth to Mars to use as a proxy [e.g. *Peterson et al.*, 2013].
353 However, the statistical approaches used in this study should largely reduce the errors
354 due to this approximation. Furthermore, the model demonstrates that with the same
355 solar irradiance level, the photoelectron fluxes vary little with SZA, which validates the
356 observational results. Another caveat is that the data sample is confined to the closed
357 crustal field loops. A closed field line has two foot points with two production regions
358 near the main peak being separated by 10 degrees or more of solar zenith angle. Thus,
359 a lack of SZA dependence by the observation could be partially caused by this smearing
360 effect. However, our model simulations give the same SZA for both legs so that there is no
361 smearing effect. Yet the model results illustrate that the photoelectron fluxes are indepen-
362 dent of SZA. From the modeling results, the production integral above the superthermal
363 electron exobase is independent of SZA, therefore such a smearing effect should make
364 little difference. With modeling, we are able to simulate more controlled environments to
365 determine the underlying physics.

366 It is also interesting to take a closer examination of the observational results of high-
367 energy photoelectrons in Figure 1f. The enhanced correlation is more prominent for more
368 field-aligned pitch angles than perpendicular pitch angles. For pitch angles near 90° , the
369 photoelectron fluxes observed at 400 km are mostly scattered into these pitch angles, as
370 electrons' perpendicular velocities decrease with weakening magnetic strength, to conserve

371 the first adiabatic invariant. In other words, these fluxes rely on not only the source but
372 also the scattering processes at high altitudes, such as collisions with neutral particles
373 or thermal electrons. This comparison of field-aligned and perpendicular pitch angles
374 indicates a source change, consistent with our explanation. Also, the higher the energy,
375 the more pitch angle bins show an increase in the correlation coefficient. The collision
376 cross sections are lower with increasing energy and therefore photoelectron fluxes are less
377 affected by collision processes but more controlled by the source changes.

378 The modeled low-energy photoelectron fluxes remain quite constant through all the
379 SZAs, with a slight drop of fluxes at $SZA \sim 90^\circ$, at high altitudes. In contrast, the pho-
380 toelectron fluxes observed by the MGS spacecraft show some dependence on SZA for low
381 energies, even though the energy cutoff is different for the two approaches in section 2.
382 From our model results, the peak altitudes are generally closer to the exobase for low
383 energies than high energies (not shown). It is also suggested in Figure 7f, where the nor-
384 malized production rate decreases more at high SZA for lower energies. It is possible that,
385 in reality, the photoelectron exobase is systematically closer to the peak altitudes than
386 what our model predicts. In such a case, the low-energy photoelectrons will be partially
387 controlled by SZA. Another possibility of the discrepancy between the observation and
388 the model results is that the sources and losses for low-energy photoelectrons are more
389 complicated than the high energies. Cascading and secondary electrons are also impor-
390 tant sources while the loss due to Coulomb collisions is more prominent at the low energy
391 range. Therefore, the low-energy photoelectron fluxes depend on more parameters, such
392 as the thermal electron density profile, so that it is harder to replicate by the simple model
393 setup used here. Finally, the quality of the electron data for low energy channels from the

394 MGS spacecraft might be not very good so that the findings of the low energy channels
395 are questionable. The low energy channels are easily contaminated in some ER anode
396 sectors by spacecraft photoelectrons.

397 In summary, we have shown high-altitude photoelectron fluxes over Martian strong
398 crustal field regions are rather independent of solar zenith angle, especially for high en-
399 ergies. This finding has a few implications. Firstly, it implies that the energy carried by
400 photoelectrons transported to nightside probably varies little regardless of where the pho-
401 toelectrons come from. Superthermal electrons are considered as the main energy source
402 to the Martian nightside, causing heating, ionization, and excitation (probably aurora
403 [e.g. *Bertaux et al.*, 2005; *Brain et al.*, 2006; *Leblanc et al.*, 2008]). Our study suggests
404 that it should not be assumed that less energy is carried by photoelectrons transported
405 along close magnetic field lines that straddle the terminator [e.g. *Liemohn et al.*, 2007a],
406 or along purely dayside closed field lines with footpoints at very different SZAs. Also,
407 high-altitude photoelectrons are observed and modeled within the Martian tail [*Liemohn*
408 *et al.*, 2006, 2007b; *Frahm et al.*, 2006a, b]. *Frahm et al.* [2010] estimated a Martian pho-
409 toelectron escape rate of $\sim 3 \times 10^{23} \text{ s}^{-1}$, which was compared with ion escape estimations.
410 *Frahm et al.* [2010] and *Coates et al.* [2011] suggest that these escaping photoelectrons
411 may at least partially contribute to Martian atmospheric loss. In particular, these pho-
412 toelectrons can set up ambipolar electric fields that facilitate ion escape along open magnetic
413 fields. Our study implies that such an effect is probably the same for open field lines at all
414 SZAs. On the other hand, this study also discourages the possibility of using the escaping
415 photoelectron fluxes on an open magnetic field to infer the location of the footprint of
416 this field line, as there is no SZA dependence and therefore the source region cannot be

417 specifically identified. Furthermore, as shown in this study, the properties of photoelec-
418 trons above the photoelectron exobase can be quite counterintuitive and should be treated
419 with extra care. For example, *Xu et al.* [2015b] shows that the above this exobase, the
420 high-altitude photoelectron fluxes are independent of the total neutral density at field-
421 aligned pitch angles but very sensitive to composition changes. With the new data from
422 Mars Atmosphere and Volatile Evolution (MAVEN) [*Jakosky et al.*, 2015], it is critical to
423 take into account the observation altitudes relative to this exobase to employ the correct
424 analysis. Finally, such an independence should be expected at planets for which vertical
425 transport can be significant, such as Earth, Jupiter, and Saturn, but detailed analysis is
426 required to verify this generalization.

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430 in the paper is available upon request to Dr. David Mitchell (mitchell@ssl.berkeley.edu).
431 The numerical data and the current version of the SuperThermal Electron Transport
432 (STET) model are available upon request to the authors.

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Figure 1. (a) The photoelectron fluxes ($\# \text{ cm}^{-2} \text{ eV}^{-1} \text{ s}^{-1} \text{ sr}^{-1}$) of the energy channel 115 eV at pitch angle (PA) 20° - 30° observed by MGS MAG/ER against time in Earth year. (b) The same photoelectron fluxes ($\# \text{ cm}^{-2} \text{ eV}^{-1} \text{ s}^{-1} \text{ sr}^{-1}$) in (a) against EUV proxy, i.e. $F10.7 \times \text{Ch}(\text{Rg}, \text{SZA})$. (c) The same photoelectron fluxes ($\# \text{ cm}^{-2} \text{ eV}^{-1} \text{ s}^{-1} \text{ sr}^{-1}$) in (a) against F10.7 only. In (b) and (c), the correlation of the blue fluxes and the EUV proxy and F10.7, respectively, is shown in the upper left corner. (d) The correlation of blue photoelectron fluxes and F10.7 only, as a function of PA and energy. (e) The Pearson correlation coefficient of blue photoelectron fluxes and the EUV proxy. (f) The difference of the correlation coefficient of (d) and (e) (d minus e).

Figure 2. (Caption next page.)

Figure 2. (Previous page.) One orbit example: MGS data for Oct. 16th, 2000, the x-axis is the time in minutes, starting from UT 17:27:50. From top to the bottom, shown are, MGS location over Mars in latitude (degree); the magnitude of the magnetic fields (nT); the elevation angle of the magnetic fields (degree); MGS solar zenith angle (degree); differential number fluxes ($\# \text{ eV}^{-1} \text{ cm}^{-2} \text{ s}^{-1} \text{ sr}^{-1}$) for four energy channels centered at 313 eV, 115 eV, 47 eV, and 20 eV, at PA $20^\circ - 30^\circ$, respectively. The longitude is around 180° . The dotted lines in the last four panels mark the mean flux and the dashed lines are for the best-fitted Chapman function. The standard errors to the mean photoelectron flux and the best-fitted Chapman function are shown at the upper left and lower right corners, respectively.

Figure 3. (Caption next page.)

Figure 3. (Previous page.) Normalized median photoelectron flux at pitch angles 20° - 30° against SZA with colors showing different F10.7 levels. For each F10.7 level, the median fluxes are normalized by the maximum of all the SZA bins. The four rows, from top to bottom, are for energy channels 313 eV, 115 eV, 47 eV, and 20 eV, respectively. The left column shows the normalized median flux for each F10.7 level. For the right column, three F10.7 levels are selected, highlighted in three different colors and line styles, dark green solid lines for F10.7 = 43 sfu, blue dot lines for F10.7 = 69 sfu, and purple dashed lines for F10.7 = 96 sfu. For each color and line style, there are three lines, marking the quartile values, 25%, 50%, and 75%, of the normalized flux.

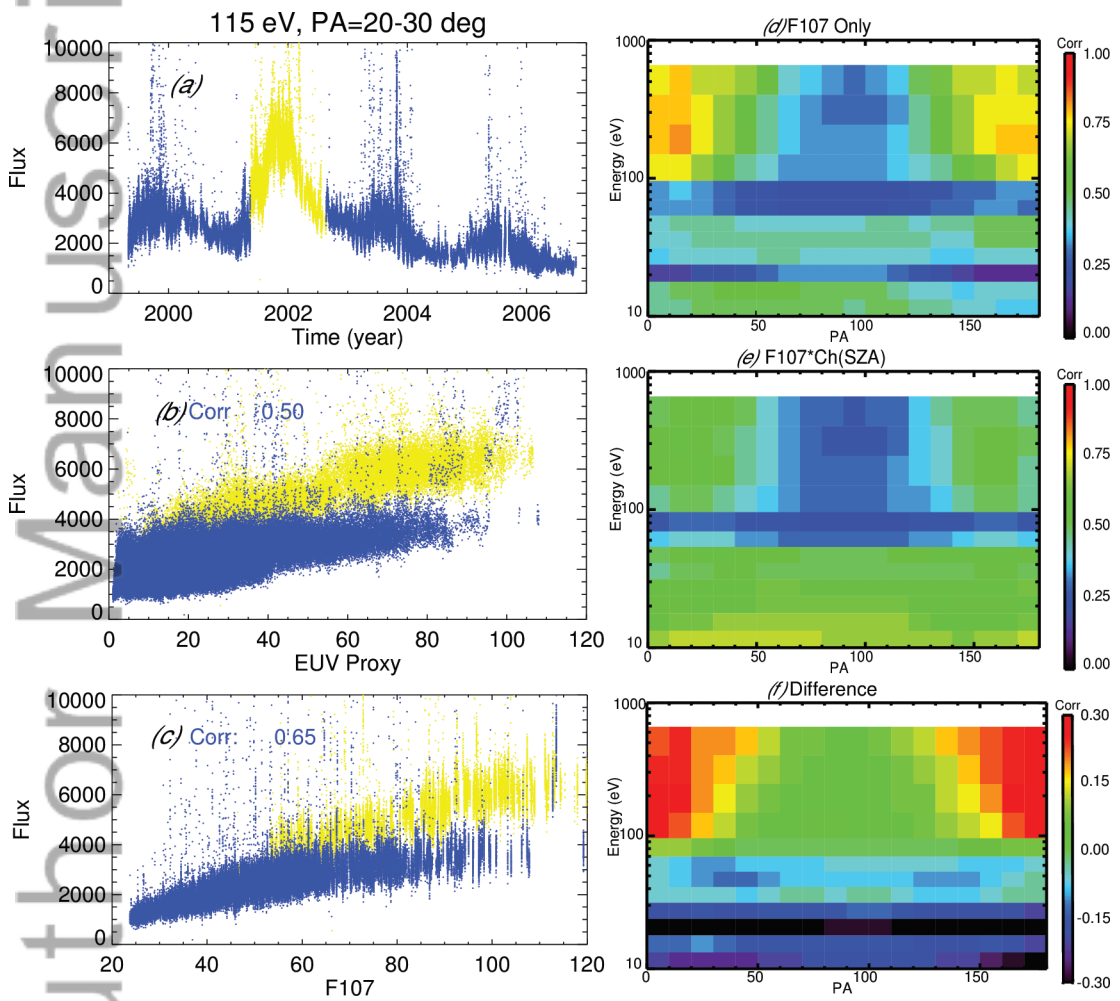
Figure 4. (a) Neutral densities, thermal electron density and neutral temperature at solar zenith angle (SZA) of 0° of Mars from MTGCM against altitude; (b) B field line altitudes against distance s ; (c) B field strength against altitude; (d) B field strength against distance s .

Figure 5. Neutral densities and thermal electron density of Mars from MTGCM against altitude at three SZAs: 0° , 60° , and 75° . Different colors are for different species' density profiles, red for O, blue for O_2 , green for N_2 , black for CO_2 , purple for CO, and light blue for electron, while different line styles for different SZAs, solid for 0° , dashed for 60° , and dashed-dot-dot for 75° , respectively.

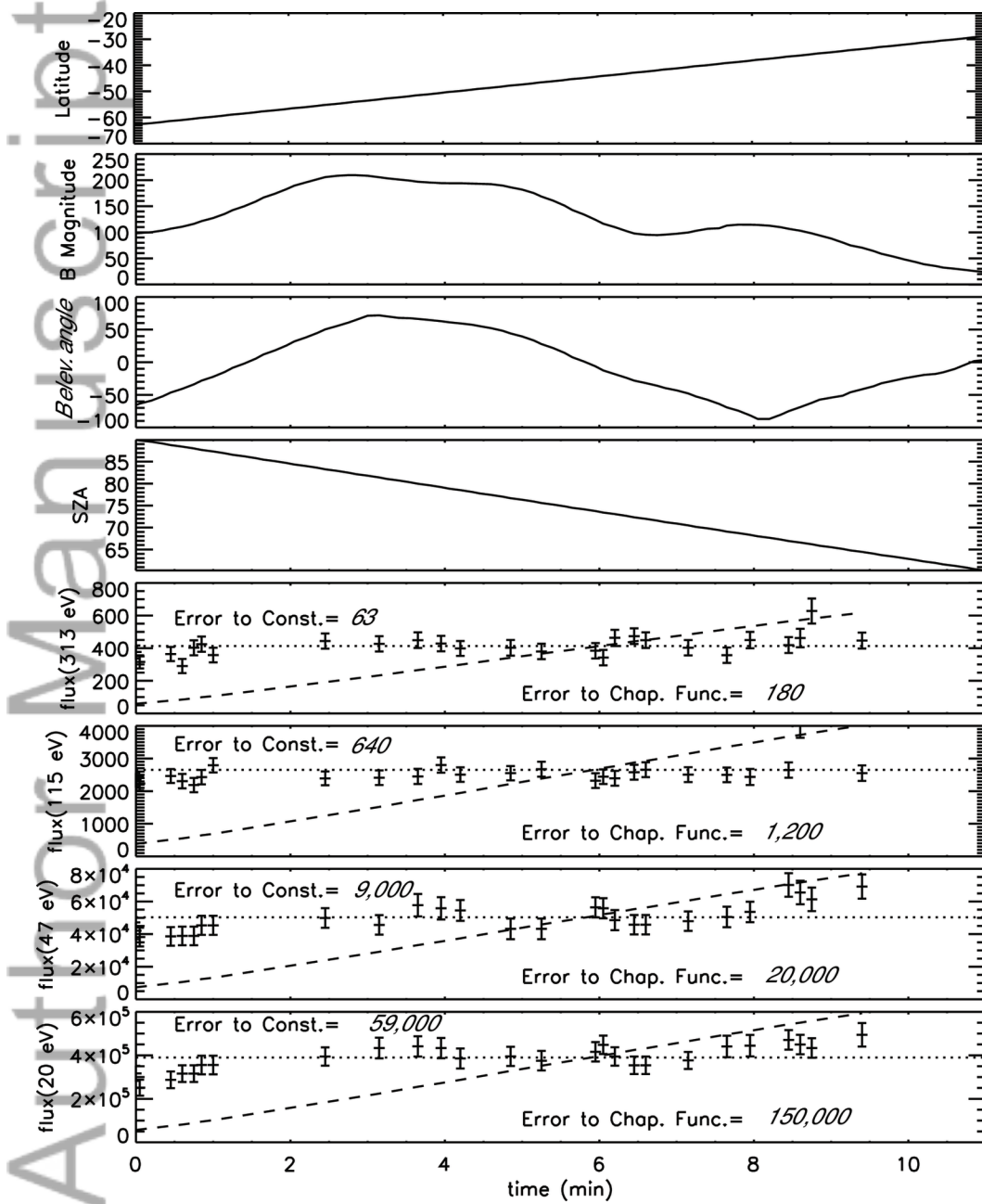
Figure 6. (a-d) The flux ($\# \text{ cm}^{-2} \text{ eV}^{-1} \text{ s}^{-1} \text{ sr}^{-1}$) at PA 0 against SZA at different altitudes, highlighted in different colors, for 20 eV (a), 50 eV (b), 100 eV (c), and 190 eV (d), with the dotted line showing fluxes scaled by the Chapman function. The solid lines with crosses are for the ten runs with the same atmosphere and the triangle symbols are for the three atmospheres.

Figure 7. (Caption next page.)

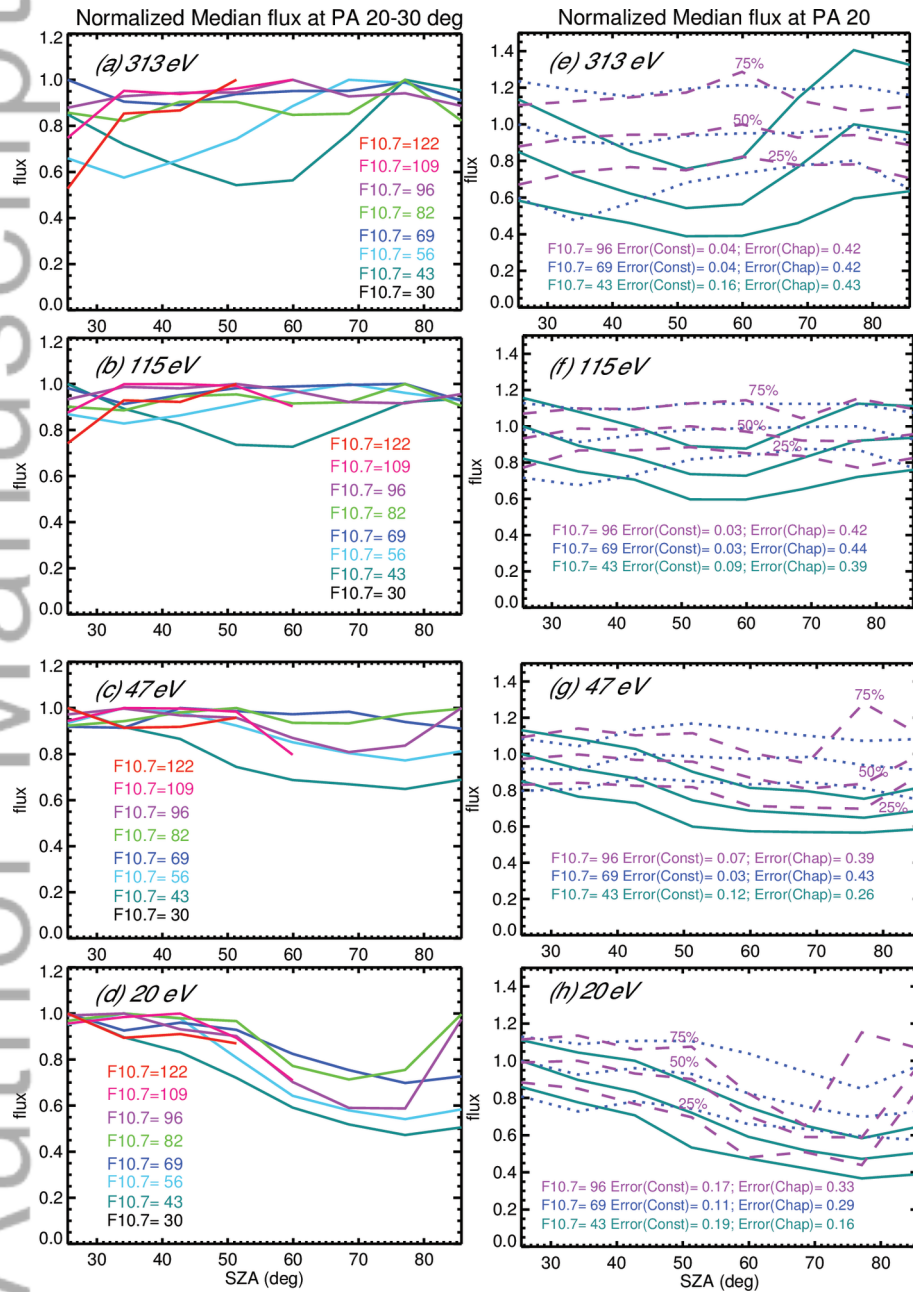
Figure 7. (Previous page.) λ (a) and τ (b) against altitude. Different colors are for different energies. The solid lines are for the MTGCM atmosphere at SZA = 0° and the dot-dashed lines for SZA= 75° . The dashed black line in (a) is the scale height (H) against altitude. The dashed black line in (b) marks $\tau = 1$. (c) shows the photoelectron production rate ($\# \text{ cm}^{-2} \text{ eV}^{-1} \text{ s}^{-1} \text{ sr}^{-1}$) from the same atmosphere, against altitude for 100 eV. Different colors highlight different SZAs. The horizontal dashed line marks the photoelectron exobase. (d) shows the photoelectron production rate ($\# \text{ cm}^{-2} \text{ eV}^{-1} \text{ s}^{-1} \text{ sr}^{-1}$) from the three MTGCM atmospheres (SZA= 0° , 60° , 75°), against altitude for 100 eV. The dashed lines show the exobases for three atmospheres. (e) Integrated production rate ($\# \text{ cm}^{-2} \text{ eV}^{-1} \text{ s}^{-1} \text{ sr}^{-1}$) above the exobase against SZA for different energies. The solid lines are for the ten runs with the same atmosphere and the symbols are for the three atmospheres. (f) Integrated production rate normalized by the production rate at SZA= 0° against SZA for different energies, the same format as (e).



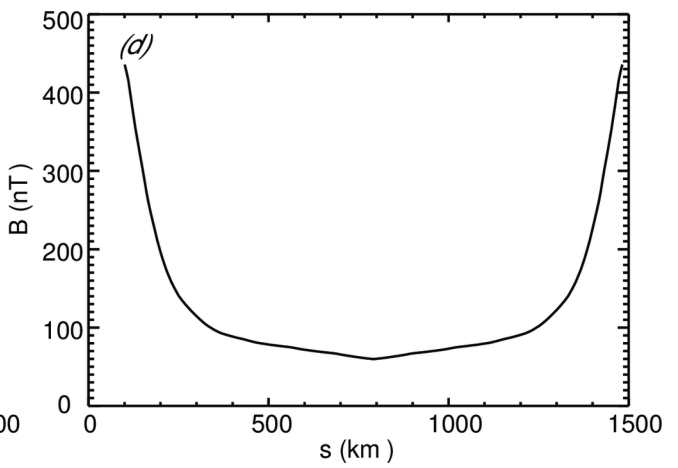
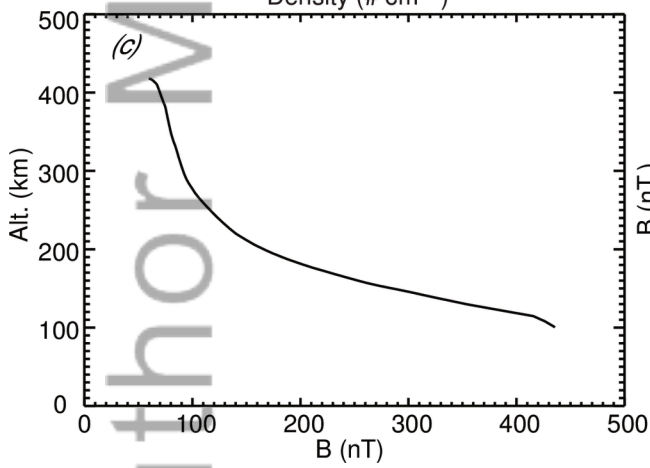
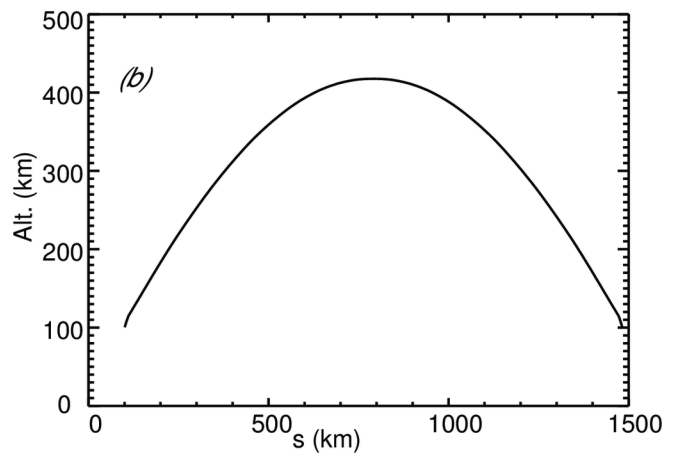
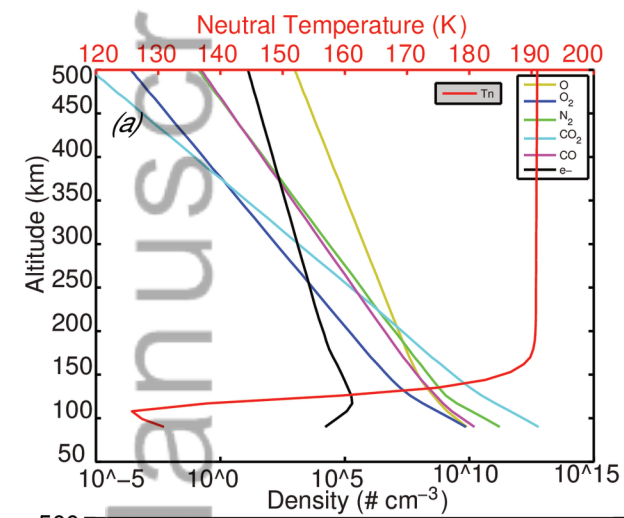
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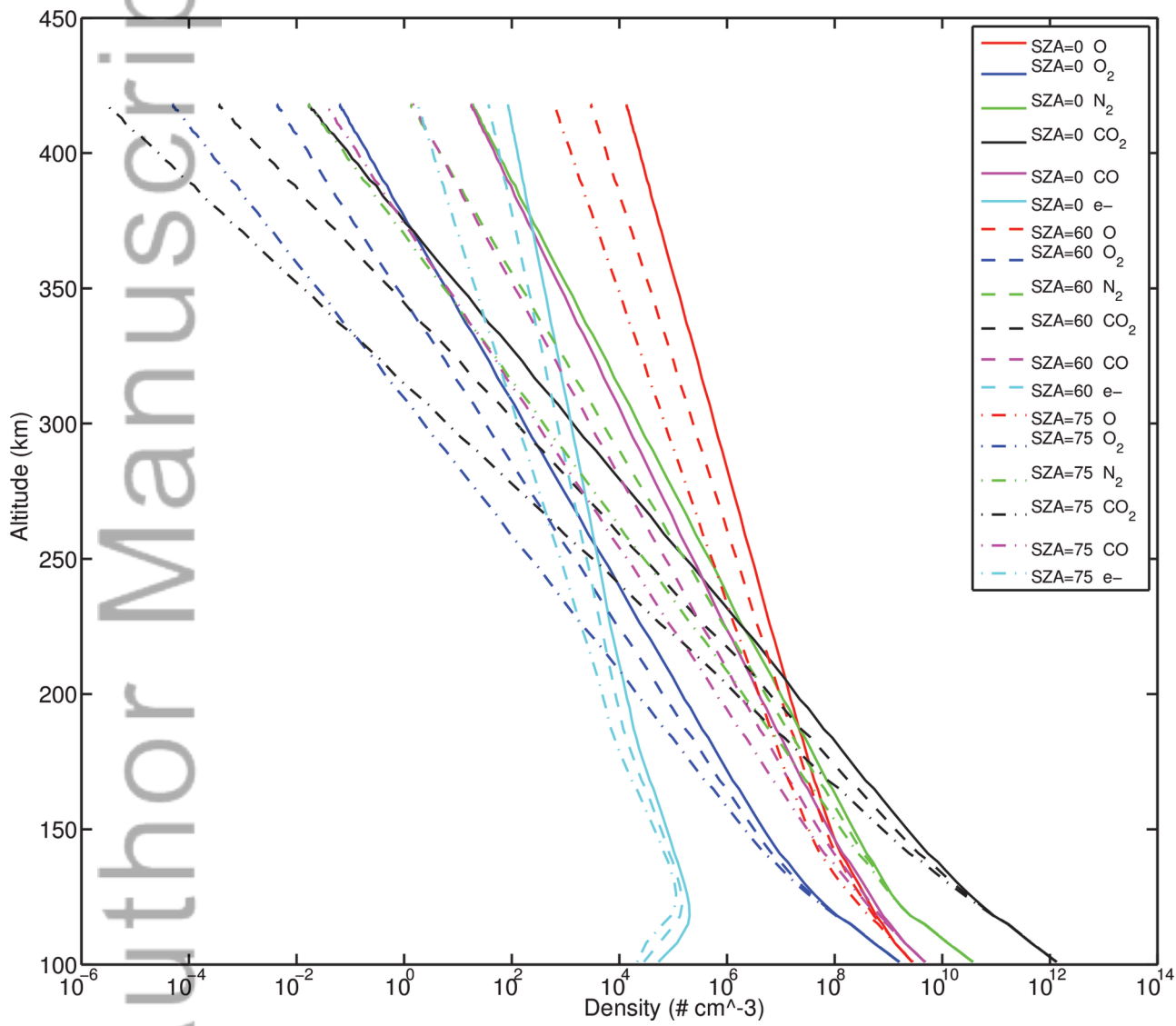


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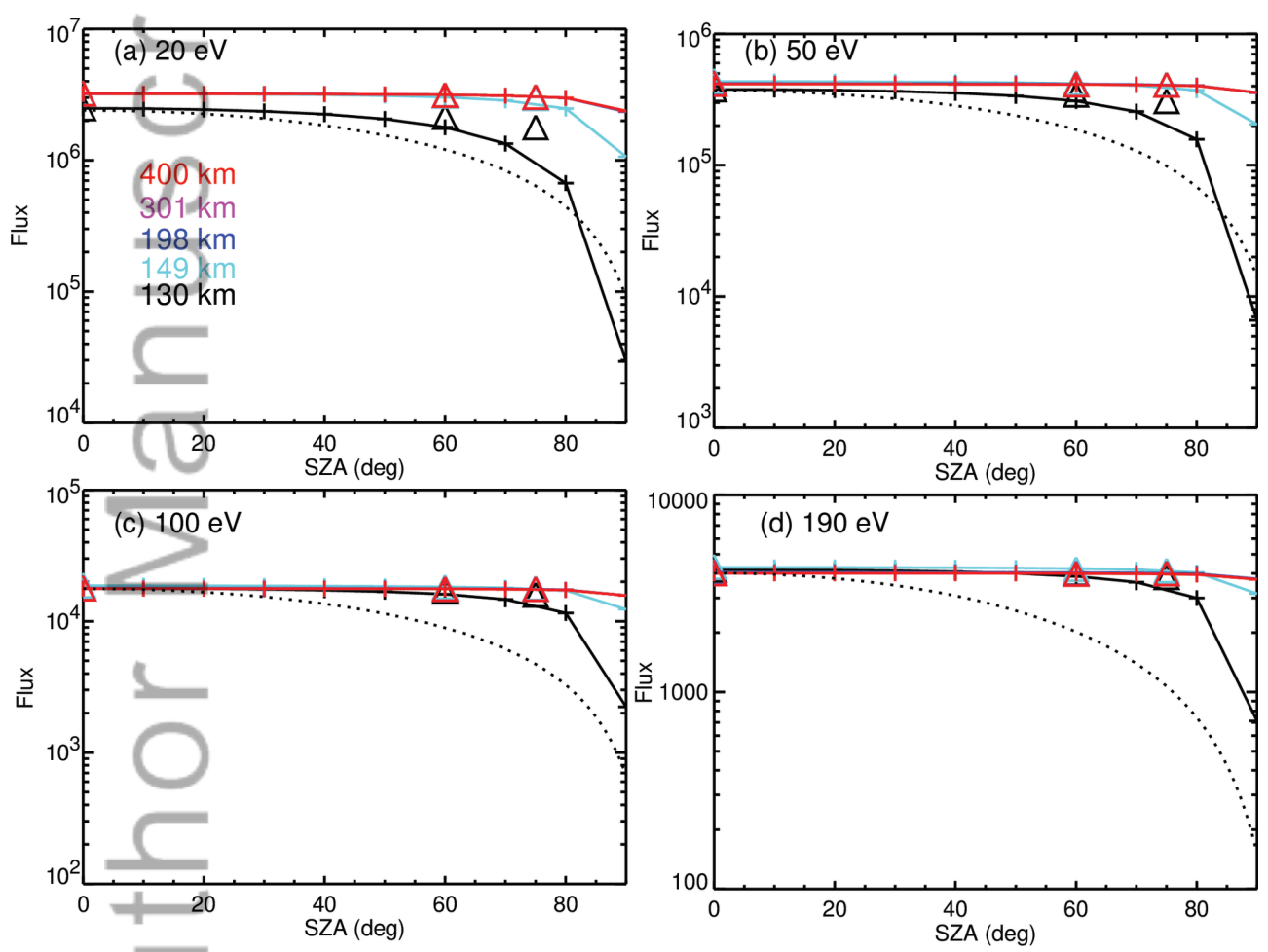


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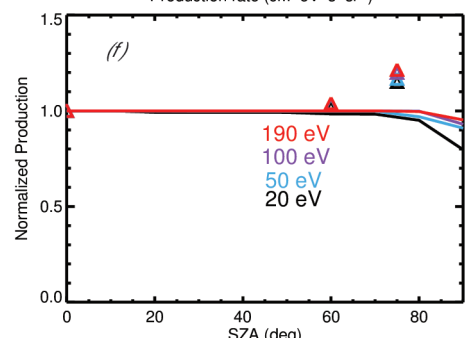
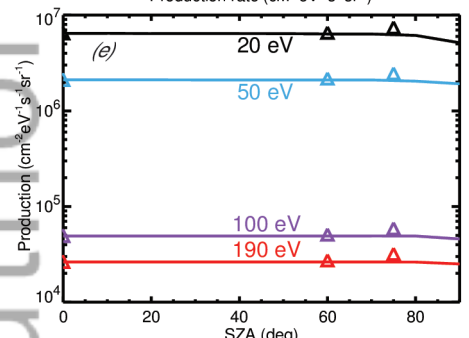
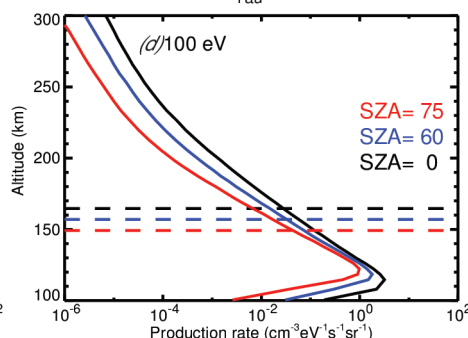
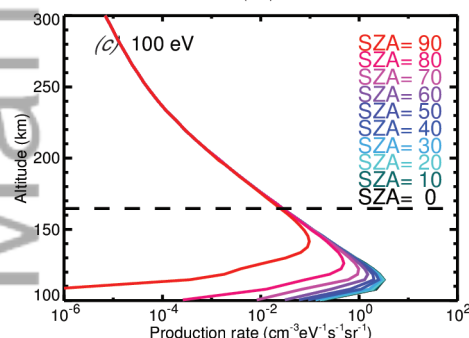
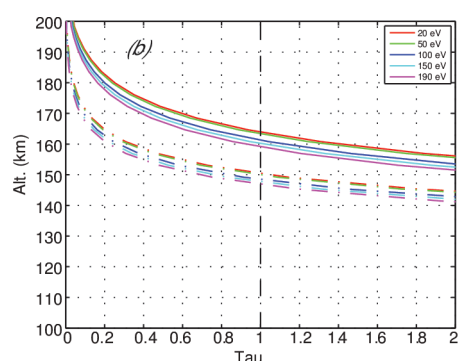
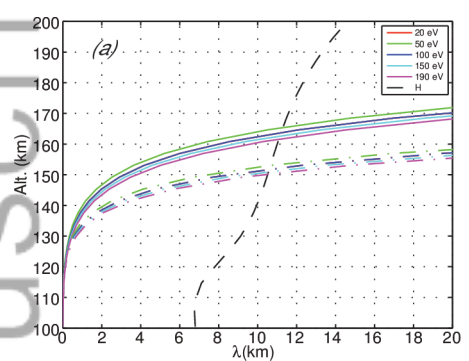
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