# The effect of ring current electron scattering rates on magnetosphere-ionosphere coupling

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## <sup>3</sup> Abstract.

This simulation study investigated the electrodynamic impact of varying 4 descriptions of the diffuse aurora on the magnetosphere-ionosphere (M-I) sys-5 tem. Pitch angle diffusion caused by waves in the inner magnetosphere is the 6 primary source term for the diffuse aurora, especially during storm time. The 7 magnetic local time (MLT) and storm dependent electrodynamic impacts 8 of the diffuse aurora were analyzed using a comparison between a new selfq consistent version of the Hot Electron Ion Drift Integrator (HEIDI) with vary-10 ing electron scattering rates and real geomagnetic storm events. The results 11 were compared with Dst and hemispheric power indices, as well as auroral 12 electron flux and cross-track plasma velocity observations. It was found that 13 changing the maximum lifetime of electrons in the ring current by 2-6 hours 14 can alter electric fields in the nightside ionosphere by up to 26%. The life-15 time also strongly influenced the location of the aurora, but the model gen-16 erally produced aurora equatorward of observations. 17

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#### 1. Introduction

The ring current carries the majority of the energy density and plasma pressure 18 in the magnetosphere, making it an extremely important plasma population in the 19 magnetosphere\_ionosphere (M-I) system. An accurate description of the ring current 20 is therefore essential for geophysics systems research as well as space weather applications 21 [Daglis et al., 2009]. The majority of the energy content in the ring current is carried 22 by protons due to their long lifetimes. The timescale for protons can be measured in 23 days, where electrons may last only minutes or hours depending on L-shell and energy 24 Chen et al., 2015]. Despite this, the storm time electron ring current has been found to 25 constitute up to 25% of the ring current energy density [Frank, 1967; Liu et al., 2005; 26 Jordanova and Miyoshi, 2005]. 27

Some electrons are predominately lost to the upper atmosphere via pitch angle scat-28 tering, primarily due to waves in the inner magnetosphere [e.g. Shprits et al., 2008a, b; 29 Thorne et al., 2010]. The types of waves responsible for such scattering have been found 30 to be dependent on location. Electron cyclotron harmonic waves are dominant beyond 8 31  $R_E$  [Ni et al., 2012], while whistler chorus waves on the night are the primary cause 32 of diffuse auroral electron precipitation closer to the Earth [Thorne et al., 2010; Ni et al., 33 2011a, b]. Plasmaspheric hiss also contributes to loss [Lyons et al., 1972; Albert, 1994]. In-34 teraction with these waves cause the velocity of the electron parallel to the magnetic field 35 to increase such that its mirror point reaches a low enough altitude where it can collide 36 with the upper atmosphere before bouncing back to the magnetosphere [Kennel, 1969; 37

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<sup>38</sup> Lyons et al., 1972]. The pitch-angle distributions resulting in precipitation are known as <sup>39</sup> loss cone distributions.

The inclusion of these wave-particle interactions in ring current models is difficult since 40 measurements of wave distributions, amplitudes, and frequencies are typically not avail-41 able in tandem with plasma density observations [Chen et al., 2015]. Consequently, a 42 number of empirical models have been developed to approximate the pitch angle scat-43 tering rates. The first of these assumed strong scattering in all regions [Schulz, 1974]. 44 Strong scattering is defined as when the pitch angle diffusion coefficient is much greater 45 than  $\alpha_c^2 \Omega$ , where  $\alpha_c$  is the particle's pitch angle and  $\Omega$  is its bounce frequency [Kennel, 46 1969]. The mean lifetime of a particle then approaches a minimum value,  $\tau$ , which is 47 dependent on the pitch angle, but not the diffusion coefficient [Schulz, 1974]. 48

More recent plasma sheet particle and wave observations have shown that pitch angle diffusion is not strong everywhere [Schumaker et al., 1989; Gough et al., 1979; Belmont 50 et al., 1983; Roeder and Koons, 1989; Meredith et al., 1999, 2000]. Simulations with only 51 strong pitch angle diffusion have also demonstrated too high of a scattering rate in this 52 limit [Chen and Schulz, 2001; Chen et al., 2005, 2015]. In light of this, models were 53 developed where the pitch angle diffusion transitions from strong to weak closer to the 54 Earth [Chen and Schulz, 2001; Chen et al., 2005], but without dependence on geomagnetic 55 activity. Chorus wave scattering electron lifetimes were then parametrized on the dayside 56 and nightside which varied by energy, geocentric distance, as well as the Kp index [Gu57 et al., 2012; Orlova and Shprits, 2014]. Plasmaspheric hiss electron losses were similarly 58 parametrized by Orlova and Shprits [2014] and Orlova et al. [2016]. 59

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The diffuse aurora resulting from ring current electron loss produces conductivity en-60 hancements in the ionosphere - a key component for M-I electrodynamics. Since the 61 divergence of total current in the M-I system must be zero, intensification's of the ring 62 current driven field-aligned currents (FACs) in to and out of the ionosphere [Wolf et al., 63 1982]. Hall and Pedersen conductivities regulate the potential pattern in the ionosphere, 64 which then map back along field lines to the magnetosphere [Nopper and Carovillano, 65 1978], driving electric fields and establishing a feedback loop [Vasyliunas, 1970]. The 66 resultant magnetospheric convection electric field drives particle transport in the ring 67 current and the process repeats itself [Ebihara et al., 2004; Liemohn et al., 2005]. Often 68 during geomagnetic storms, the FAC system cannot intensify quickly enough to regu-69 late the increase in ring current plasma pressure, resulting in ionospheric electric fields 70 equator-ward of the auroral oval known as penetration electric fields (PEFs) [e.g. Burke, 71 2007]. Reviews of the known relationships between PEF and the M-I system are given in 72 Huang et al. [2007] and Wolf et al. [2007]. 73

Plasma injection to the ring current from ionospheric outflow has also been shown to 74 influence electrodynamics in the M-I system [Winglee et al., 2002; Yu and Ridley, 2013; Ilie 75 et al., 2015; Welling et al., 2015a]. Simulation studies have revealed that heavy ion outflow 76 can create stronger azimuthal pressure gradients in the ring current, leading to FAC 77 intensification that further enhances the electric fields and subsequent outflow [Kronberg 78 et al., 2014; Welling et al., 2015b]. Completely describing these processes would require a 79 global ionosphere/thermosphere model that is fully (two-way) coupled to a kinetic inner 80 magnetosphere model. For the magnetosphere, this coupling would also mean a more 81 accurate calculation of the electric field, since ionosphere/thermosphere chemistry and 82

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transport can greatly affect conductances [*Deng et al.*, 1991; *Peymirat*, 2002; *Garner et al.*,
2007]. For the ionosphere, the coupling would improve the description of the aurora and
electric fields driven by the inner magnetosphere, leading to a more accurate model of
ionosphere/thermosphere morphology. While this study ignores these effects, they should
be included in future model developments.

Encompassing all of the M-I electrodynamic feedback physics in a self-consistent manner 88 has been a longstanding challenge in the ring current modeling community. For many 89 years, models used plasma sheet convective electric fields driven by analytical models 90 such as Volland-Stern [Volland, 1973; Stern, 1975], or empirically derived potentials from, 91 for example, the Weimer models [Weimer, 1996, 2001, 2005], resulting in many studies 92 about the storm-time inner magnetospheric plasma [e.g. Fok and Moore, 1997; Liemohn 93 et al., 2001a; Kozyra et al., 2002; Jordanova, 2003; Chen et al., 2003]. The need for 94 a self-consistent electric field was then addressed by including some description of the ionospheric conductance [Wolf et al., 1982; Toffoletto et al., 2003; Fok et al., 2001; Ridley 96 and Liemohn, 2002]. Since depressions in the Earth's magnetic field from ring current 97 intensification's influence the gradient curvature drift of ring current particles [Ebihara and Ejiri, 2000, many models now have a self-consistent description of the magnetic 99 field as well [Lemon et al., 2004; Zaharia et al., 2006; Ilie et al., 2012; Fok et al., 2014; 100 Jordanova et al., 2014]. 101

<sup>102</sup> Models are now being updated to self-consistently calculate the convection electric field <sup>103</sup> while incorporating realistic ionospheric electrodynamics based on particle precipitation <sup>104</sup> from the ring current. The Comprehensive Inner Magnetosphere-Ionosphere model (CIMI) <sup>105</sup> [Fok et al., 2014] was recently developed by integrating the Comprehensive Ring Current

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Model (CRCM) [Fok et al., 2001] and the Radiation Belt Electron (RBE) model [Fok et al., 106 2011]. Fok et al. [2014] used CIMI to investigate the ionosphere's influence on particle 107 pitch angle diffusion into the loss cone finding an especially large impact on MeV electron 108 fluxes. Chen et al. [2015] compared electron scattering descriptions at geosynchronous 109 orbit using a similar configuration of the self-consistent aurora. This study expanded 110 on the model from *Ridley and Liemohn* [2002] by using the diffuse aurora produced by 111 electron scattering as the primary source for conductance instead of a relationship with 112 the FAC's. 113

<sup>114</sup> Yu et al. [2016] compared a diffusion coefficient method [Jordanova et al., 2008] to <sup>115</sup> the electron lifetime loss method described here. They developed the Ring current-<sup>116</sup> Atmosphere interaction Model with Self-Consistent Magnetic field (RAM-SCB) [Jor-<sup>117</sup> danova and Miyoshi, 2005; Zaharia et al., 2010] to include both loss methods and in-<sup>118</sup> vestigated their effect on electron dynamics and M-I coupling. For a particular storm, <sup>119</sup> they found that the diffusion coefficient method better agreed with observed precipitation <sup>120</sup> fluxes.

In this study, the magnetic local time (MLT) and storm dependent electrodynamic im-121 pacts of the diffuse aurora were investigated using a comparison between the Hot Electron 122 Ion Drift Integrator (HEIDI) model [Liemohn et al., 2001b, 2005, 2006] with varying elec-123 tron lifetimes and auroral observations. While previous studies have focused on the mag-124 netospheric repercussions of the improved M-I electrodynamics, the emphasis here is on 125 the ionospheric electric fields and aurora for the electron lifetime loss method only. These 126 modeling efforts are a first step towards coupling with a global ionosphere-thermosphere 127 model. 128

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#### 2. Model Description

A schematic of the model configuration is shown in Figure 1. The magnetosphere-129 ionosphere-thermosphere system is described by a number of models working together in 130 an ad-hoc framework. First, ion and electron distributions in the inner magnetosphere 131 are solved for using HEIDI. This is a kinetic ring current model that solves the time-132 dependent, gyration, bounce averaged kinetic equation for H<sup>+</sup>, O<sup>+</sup>, He<sup>+</sup>, and e<sup>-</sup> plasma 133 species, though He<sup>+</sup> was not used for this study. The energy range of the species varies 134 from a few eV to hundreds of keV. The model includes convective and magnetic gradient-135 curvature drift, losses due to Coulomb collisions, charge exchange, and atmospheric loss 136 [Liemohn et al., 2010]. HEIDI now includes a self-consistent auroral model by using the 137 Ridley Ionosphere Model (RIM) [Ridley and Liemohn, 2002; Ridley et al., 2004] with input 138 from the field aligned currents and aurora from the ring current. The outer boundary 139 of HEIDI is located at geosynchronous orbit where input is given by observed particle fluxes by the multiple-particle analyzer (MPA) [McComas et al., 1993] and Synchronous 141 Orbiting Particle Analyzer (SOPA) [Belian et al., 1992] instruments from Los Alamos 142 National Laboratory (LANL). The composition of the particles was derived using the 143 empirical Young relationships provided by Young et al. [1982]. This version of HEIDI 144 uses a static dipole magnetic field. 145

The electrons scattered in to the loss cone by HEIDI were used to calculate ionospheric conductances using the formulation by *Robinson et al.* [1987]:

$$\Sigma_P = \frac{40\overline{E}}{16 + \overline{E}^2} \phi_E^{1/2} \qquad \qquad \frac{\Sigma_H}{\Sigma_P} = 0.45 (\overline{E})^{0.85} \tag{1}$$

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where  $\Sigma_H$  and  $\Sigma_P$  are the Hall and Pedersen conductances,  $\overline{E}$  is the average energy in keV and  $\phi_E$  is the energy flux in ergs cm<sup>-2</sup> s<sup>-1</sup>. *Kaeppler et al.* [2015] recently used incoherent scatter radar observations to verify the Robinson et al. formulas, finding good agreement with Pedersen conductance. They also updated the relation to be even more accurate for hall conductances, which could be used in future studies.

Since the outer boundary of HEIDI is at geosynchronous orbit, the self-consistent cou-153 pling could only occur below the footprint of the magnetic field lines there, at 67° magnetic 154 latitude. Empirical models were used poleward of this boundary to complete the coupling. 155 Driven by the SuperMAG Auroral Electrojet index [Newell and Gjerloev, 2011], the Ova-156 tion SME [Mitchell et al., 2013] gave a smooth and relatively accurate description of the 157 aurora. The Weimer electric potential model [Weimer, 2005] was also used to specify 158 the electric potential above the 67° boundary and was driven by the upstream solar wind 159 conditions observed from the ACE spacecraft [*McComas et al.*, 1998; *Smith et al.*, 1998]. 160 The inclusion of these empirical models created sharp boundaries between self-161 consistently calculated values and the empirical models. As such, a smoothing was applied 162 so that erroneous electric field intensification's did not arise along this boundary. Further-163 more, the magnetospheric origin of the aurora often resides tailward of geosynchronous 164 orbit. The Ovation model was solely used during these times for a more realistic auro-165 ral specification in the ionosphere. As the hemispheric power originating from the ring 166 current increased, the contribution of the Ovation aurora was decreased linearly until 167 only the self-consistent version remained. The self-consistent contribution began when 168 the hemispheric power reached 10 GW and the Ovation contribution decreased to 0 GW 169 when the total hemispheric power reached 40 GW. 170

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In addition to the Hall and Pedersen conductances, the region 2 FACs were passed to RIM to solve for the electric potentials below 67°. The FACs are calculated numerically from local pressures in HEIDI [*Liemohn et al.*, 2001b].

Given the FAC,  $(J_{\parallel})$ , the height-integrated Hall and Pedersen conductivity tensor  $\Sigma$ and the magnetic dip angle *I*, the electric potential,  $\phi$ , may be found by solving

$$\nabla \cdot (-\overline{\Sigma} \nabla \phi) = J_{\parallel} \sin I.$$
<sup>(2)</sup>

This equation implies that when FACs flow into regions of lower conductivity, the electric field must increase to ensure current continuity. The electric potentials are then passed back to HEIDI to drive the convective electric field in the ring current. This completes the self-consistent electric field model in HEIDI. The plasma populations of the HEIDI simulations are initialized by those of a previous simulation under nominal solar wind and magnetosphere conditions. All of the simulations were run for a period of at least 24 hours before storm onset to remove erroneous contributions from this initial condition.

A limitation of the model arises by not including proton precipitation in the conductance 181 calculations. The conductance produced by their precipitation in the sub-auroral region 182 has been found to be on the order of several mhos [Galand and Richmond, 2001; Zou 183 et al., 2014]. Conductance resulting from precipitating hot ions has also been shown 184 to distort the potential pattern [Khazanov et al., 2003]. Our model may therefore be 185 underestimating the conductance in this region, potentially leading to a stronger electric 186 field mapping back to the magnetosphere. Furthermore, the model does not include 187 contributions from discrete auroral arcs or direct injections from the magnetosphere such 188 as in the cusp region. While the majority of the conductance still comes from the diffuse 189

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electron aurora, these types of precipitation should be included in the future for a more
 accurate description.

<sup>192</sup> The model presented here is currently one-way coupled with the global ionosphere <sup>193</sup> thermosphere model (GITM) [*Ridley et al.*, 2006], which can be used to integrate the <sup>194</sup> thermosphere in to the system. In the future, the self-consistent aurora from this version <sup>195</sup> of HEIDI will be imported to the other version with a self-consistent magnetic field [*Ilie* <sup>196</sup> *et al.*, 2012] coupled with the Space Weather Modeling Framework (SWMF) [*Tóth et al.*, <sup>197</sup> 2005, 2012].

# 3. Methodology

HEIDI was run for 4 different storms, each with 4 scattering rate descriptions, for a total of 16 simulations. The basis of the loss model used originates directly from the work of *Chen and Schulz* [2001]; *Chen et al.* [2005] and *Schulz* [1974]. The model is such that the loss rate,  $\overline{\lambda}(\varphi)$ , transitions from strong to weak pitch-angle diffusion by

$$\overline{\lambda}(\varphi, R, E) = \frac{\lambda(\varphi, R, E)}{1 + \lambda(\varphi, R, E)\tau},\tag{3}$$

<sup>198</sup> where  $\tau$  is the lifetime against strong diffusion,  $\varphi$  is the MLT, and  $\lambda$  is the scattering rate <sup>199</sup> as a function of MLT ( $\varphi$ ), energy (E), and geocentric distance (R) [*Chen et al.*, 2005]. <sup>200</sup> Note that this relationship does not include a dependence on magnetic activity, which <sup>201</sup> can change the location of the plasmapause [*Moldwin et al.*, 2002; *Katus et al.*, 2015] and <sup>202</sup> scattering from enhanced wave amplitudes [*Meredith et al.*, 2004; *Miyoshi et al.*, 2006].

As *Chen et al.* [2005] demonstrated, the resulting lifetimes increase as particles move towards the Earth. This contrasts that of strong diffusion, where the lifetimes become increasingly short at low L-shells. In fact, the lifetimes increase so much in the weak dif-

fusion limit that the loss is too little when compared with observations at geosynchronous orbit [*Chen et al.*, 2015]. To remedy this, an upper limit,  $\tau_{max}$  was introduced to the scattering rates. For this study,  $\tau_{max}$  was set to 8 hours, 4 hours, and 2 hours. Additionally, an energy dependent functional form was used where the lifetime in hours was given by,

$$\tau_{max} = 10(E)^{-0.5},\tag{4}$$

where E is the particle energy in KeV. This formula was derived by comparing HEIDI electron fluxes at geosynchronous orbit to observations for different  $\tau_{max}$  values. While the other  $\tau_{max}$  values were arbitrarily chosen, the purpose of this was to demonstrate the importance of the electron scattering rate description on the ability of the model to reproduce auroral observations.

A test simulation with strong scattering everywhere was also done for each storm. In this case, the electrons were lost so quickly and close to the outer boundary that they did not have the chance to gain energy adiabatically by moving towards the Earth into a region of higher magnetic field strength. The result of this was an extremely low energy flux throughout the domain. These simulations resulted in the model defaulting to empirical results, so they are not shown in this paper.

To get a better understanding of the influence of the scattering rates, the model was run for 4 different storms. The storms were chosen to vary in strength and type, all while ensuring data availability. These include two co-rotating interaction regions (CIR) storms and two coronal mass ejection (CME) events. The storms were identified using the extensive list compiled by *Zhang et al.* [2007] of all the storms during solar cycle 23 in which the Dst dropped below -100 nT. A synopsis of the storms is given in Table 1. One

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weaker and one stronger storm was chosen for each type. The season was kept constant, as well as the UT of the main phase between storms of similar strength.

#### 4. Results

#### 4.1. Dst

The strength of the ring current is often measured using the disturbance storm time 222 (Dst) index, which is calculated from the reduction of Earth's magnetic field observed at 223 low-latitude magnetometers [Sugiura et al., 1991]. In this study, the results are compared 224 to the Dst<sup>\*</sup> index from both the Kyoto World Data Center and the United States Geolog-225 ical Survey (USGS) [Love and Gannon, 2009; Gannon and Love, 2011]. The Dst<sup>\*</sup> index 226 more accurately describes the storm time ring current by removing from the Dst index the 227 contributions from the magnetopause current, induced currents in the conducting Earth, 228 and the quiet time ring current [Ebihara and Ejiri, 1998; Kozyra et al., 1998; Liemohn 229 et al., 2001a; Katus et al., 2015]. The model calculates  $Dst^*$  using the Dessler-Parker-230 Sckopke relationship [Dessler and Parker, 1959; Sckopke, 1966] given by 231

$$Dst^* = -3.98 \times 10^{-30} E_{RC} \tag{5}$$

where  $E_{RC}$  is the total modeled ring current energy in KeV and  $Dst^*$  is in nT. A comparison of the  $Dst^*$  for all of the simulations is shown in Figure 2. The dashed black and purple lines represent the observed values. The dark grey line, with the strongest  $Dst^*_{min}$ , is an additional run performed using the empirically driven model with the Volland-Stern (V-S) electric field [Volland, 1973; Stern, 1975]. The remaining colored

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lines correspond to the results of simulations using different electron loss rate descrip-tions.

The self-consistent version of HEIDI produced a smaller  $Dst^*$  drop with little variation 239 of the results between simulations using different  $\tau_{max}$  values. This was to be expected, 240 as electrons generally constitute a small percentage of the ring current energy density 241 [Frank, 1967; Liu et al., 2005; Jordanova and Miyoshi, 2005]. There is no difference 242 between these runs before the storms, since the aurora during this time was derived from 243 the same empirical model. Storm B was the only storm with a notable difference in the 244  $Dst^*$ . Here the  $Dst^*_{min}$  was -94 nT for a  $\tau_{max}$  of 2 hours, -83 nT for the energy dependent 245  $\tau_{max}$ , -74 nT for a  $\tau_{max}$  of 8 hours, and -72 for a  $\tau_{max}$  of 4 hours. While the  $Dst^*$  was 246 underestimated by an average of about 20 nT during the main phase of the storm, the 247 magnitude was captured better throughout the main phase of storms A and B. However, 248 the simulations of storms C and D missed the minimum by over 40 nT. In storms B 249 and D, the self-consistent runs were more accurate in the timing of the minimum peak 250 in  $Dst^*$ , but then recovered at a slower rate than the observations. While more storms 251 would need to be run to determine if the model updates improve the  $Dst^*$  results, these 252 simulations demonstrate that this model version performs reasonably well at capturing 253  $Dst^*$  compared to the model driven by V-S. 254

#### 4.2. Auroral Location and Strength

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The location and strength of the simulated aurora was compared to Global Ultraviolet Imager (GUVI) data from the Thermosphere, Ionosphere, Mesosphere Energetics and Dynamics (TIMED) satellite [*Paxton et al.*, 1999, 2004; *Christensen*, 2003]. From a circular orbit of 625 km, GUVI's far-ultraviolet (115 to 180 nm) scanning imaging spectrograph

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<sup>259</sup> provided horizon-to-horizon images of the aurora. The width of single disk scan is 11.8
 <sup>260</sup> degrees.

Figure 3 shows an example comparison. In the upper left corner, Figure 3a shows the 261 simulated electron flux. The time of this plot was chosen to be near the middle of the 262 satellite pass, indicated both by the diagonal time stamp as well as the vertical black 263 line in Figure 3c. Figure 3b shows GUVI data for 15:48 UT during the August 21st, 264 2002 storm. The starting position is indicated near dusk. Figure 3c shows the electron 265 total energy flux averaged over the horizon to horizon swath width for the pass. The 266 dashed black line indicates the GUVI swath averaged energy flux. The HEIDI electron 267 flux was interpolated and averaged similarly for each time. The simulated aurora was 268 slightly poleward of the measured aurora in the 21-03 MLT sector, but close to the same 269 position in the 18-21 MLT sector. However, the strength of the aurora in the 18-21 MLT 270 sector was smaller than the observations. This was a common theme among all of the 271 comparisons, suggesting a shortcoming of the model in this region. A similar issue of the 272 dusk side aurora was reported in *Chen et al.* [2015], likely due to a shortage of observations 273 of very-low-frequency (VLF) waves by the SCATHA satellite, upon which the loss model 274 was built [Chen et al., 2005]. 275

Programmatically determining the location of the diffuse aurora in both the data and model was difficult due to superposition of the discrete aurora and the presence of multiple auroral bands. To ensure an accurate comparison, each comparison between HEIDI and GUVI passes were analyzed by hand for all of the storm and  $\tau_{max}$  combinations. The downside of the data model comparison using satellite data was that not every minute of model output could be compared. However, it was found that the location and strength

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<sup>282</sup> of the HEIDI aurora did not vary significantly in the 20 or so minutes of a satellite pass. <sup>283</sup> The only orbits considered were those where HEIDI was entirely in self-consistent mode. <sup>284</sup> More specifically, the comparison was only done when the self-consistently calculated <sup>285</sup> hemispheric power was greater than 10 GW. The analysis was further constrained to the <sup>286</sup> northern hemisphere, since the electrodynamics were solved only in this hemisphere.

The location and strength of the diffuse aurora was compared in 3 hour MLT sectors, starting from 00 MLT. Discrete auroral arcs were not separately accounted for and comparisons were only recorded in MLT bins where GUVI data existed for more than 50% of the region. The process was defined as follows:

• Define the location of the HEIDI and GUVI aurora as the center of the auroral band with the most total energy flux

• Interpolate the simulated total energy flux to the locations of the GUVI measurements, averaged over times within  $\pm 15$  seconds of the model output.

• Define the strength of the HEIDI and GUVI aurora as the average of the total energy flux in each MLT bin

Figure 3 was recreated for each storm, each simulation, and each satellite pass. For each of these, the location of the aurora was recorded from plots like Figures 3a and 3b in each MLT sector where GUVI data was available. Furthermore, the modeled and observed strengths in each sector with GUVI data were recorded. In total, over 600 comparisons were made, the results of which are shown in Figure 4.

Figure 4 quantifies the ability of the models with different  $\tau_{max}$  values to capture gross features in the auroral observations. The coloring of each sector is the average difference between the total electron flux in HEIDI and GUVI. The yellow dots are the average

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location of the aurora in each MLT sector. The black lines, dashed for GUVI, are spline interpolations between the points to create a semi-realistic auroral oval to make comparisons easier. In plot A, the  $\tau_{max} = 2$  hour simulation results were dropped in the 15-18 sector because there were no times with GUVI observations where the model produced an aurora in that sector for this value of  $\tau_{max}$ .

The location of the aurora in all four simulation sets shared a similar feature. The 310 difference between the oval locations was very little in the 18-00 MLT sectors, but then 311 increased more and more towards the dayside. This suggests that as electrons drifted 312 towards dawn, they moved too far towards the Earth before being scattering at lower 313 L-shells, and thus lower latitudes. The locations of the auroral ovals of the HEIDI simu-314 lations were nearly identical for the 4 hour, 8 hour, and energy dependent cases. The two 315 hour case was vastly different, owing to the fact that 2 hours was not enough time for the 316 electrons to drift as far as 09 MLT. A promising result was the 2 hour case from 09-15 317 MLT, where the location matched much better than the other cases. 318

The effects of the lifetimes are perhaps more visible in the strength results which are 319 indicated by the colors in Figure 4. When compared with the  $\tau_{max} = 8$  hour runs in 320 plot C, the  $\tau_{max}$  = 2 runs in plot A had a stronger aurora in the 21-03 MLT sectors, 321 but weaker in the 03-18 MLT region. Looking at the 21-00 MLT sector, the  $\tau_{max} = 2$ 322 hour case over-predicted the strength of the aurora by 0.4 ergs cm<sup>-2</sup> s<sup>-1</sup>, but the  $\tau_{max}$ 323 = 8 case under-predicted by 1.4 ergs  $\rm cm^{-2} \ s^{-1}$ . On the other side of the planet, in the 324 09-12 MLT sector, the results were flipped, with the  $\tau_{max} = 2$  case under-predicting by 325 0.9 ergs cm<sup>-2</sup> s<sup>-1</sup> and the  $\tau_{max} = 8$  case being nearly equal to the GUVI observations. 326 The differences in the  $\tau_{max} = 4$  case were a meld between the  $\tau_{max} = 2$  and  $\tau_{max} = 2$ 327

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<sup>328</sup> 8, as expected. It is interesting that the latitude of the HEIDI aurora is unchanged in <sup>329</sup> plots B-D. This suggests that the conductance changes resulting from this aurora were <sup>330</sup> not enough to significantly alter the convection electric field. If that were the case, the <sup>331</sup> extent to which electrons penetrate to lower L-shells would have been dependent on  $\tau_{max}$ . <sup>332</sup> The energy dependent case is unique in that the electron flux is greater than the other <sup>333</sup> simulations on the entire nightside, from 18-06 MLT, but despite this some of the lower <sup>334</sup> energy particles still circumnavigated the planet well past magnetic noon.

There are a couple important points to take away from this analysis. The first is 335 that the pitch angle diffusion time limit greatly influenced the strength of the aurora in 336 all MLT sectors. The second is that it only appears to have changed the location of the 337 aurora in the  $\tau_{max} = 2$  hour case. It should be noted that the results presented here are an 338 average of all 4 storms, and that the response of each individual storm is quite different, as 339 was demonstrated in the  $Dst^*$  results in Section 4.1. Conductance and electric potential 340 results for individual storms are presented in Section 4.4, and Section 4.5 investigates 341 what difference the conductance made on the ability of the model to reproduce realistic 342 self-consistent electric fields. 343

#### 4.3. Hemispheric Power

The hemispheric power (HP) is the total area integrated particle energy deposited into a hemisphere [*Fuller-Rowell and Evans*, 1987]. This quantity provides an initial large-scale metric for the amount of aurora produced by the model. Figure 5 shows a data-model comparison of HP for each storm and simulation in the northern hemisphere.

The HP for storm A matched reasonably well with observations, with all simulations tracking the approximate running average of the POES data for the majority of the storm

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time. Notice that the maximum diffusion lifetime near the beginning and end of the 350 simulation had no effect on the HP at all. This is an indication that the auroral oval was 351 outside of the HEIDI boundary during these times, and that the Ovation aurora was being 352 used here. A curious result of the simulations in plot A is that the 4 hour  $\tau_{max}$  produced 353 more hemispheric power than the others for the first half of the storm. This is likely 354 related to the energy dependent nature of the HP itself. As particles drift towards the 355 Earth, they gain energy adiabatically due to the increasing magnetic field strength. In this 356 case, the amount of electron flux diffusing into the loss cone was balanced by this energy 357 enhancement. With a minimum  $Dst^*$  of -106 nT and maximum observed hemispheric 358 power of just over 100 GW, the relative weakness of this storm suggests slower convection 359 in the inner magnetosphere. As a result, the electrons move towards the Earth more 360 slowly, and are more likely to be lost at a lower characteristic energy, resulting in less HP. 361 The 4 hour  $\tau_{max}$  simulation kept electrons around long enough for their energy to increase, 362 but not too long as to prohibit their loss, as seen in the green line of the 8 hour simulation 363 during the middle of the simulation. This conclusion is further supported by the energy 364 dependent  $\tau_{max}$ . Since the lower energy electrons were lost more slowly in this case, the 365 fact the blue line HP was smaller for much of the storm suggests that the characteristic 366 energies of the electrons were indeed low for this storm. 367

<sup>368</sup> A more expected result comes from storm B. The POES HP was vastly overestimated <sup>369</sup> by the model in this case, but the large response helped to exaggerate the  $\tau_{max}$  differences. <sup>370</sup> There are two important features to notice here. The first is that the shorter lifetimes <sup>371</sup> produced significantly more aurora at the beginning of the storm. Around noon of August <sup>372</sup> 18th, the  $\tau_{max} = 2$  hour simulation produced 500 GW, but the  $\tau_{max} = 8$  hour simulation

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<sup>373</sup> produced only 200 GW, since electrons were allowed to persist longer in the latter case. <sup>374</sup> The second feature to notice is the time shift of the response. The  $\tau_{max} = 8$  hour simulation <sup>375</sup> peaked 2 hours later than the  $\tau_{max} = 2$  hour simulation, and was 120 GW less.

Figure 5c shows a case where the model under-predicted observations. There was little 376 difference in magnitude between these simulations, but the timing of auroral enhancements 377 were still shifted from each other albeit by time frames of under an hour. There are two 378 factors that explain why HEIDI underestimated the HP in Figure 5c, but overestimated 379 it in Figure 5b. The first is the outer boundary condition where electron flux observations 380 were greater at geosynchronous orbit for storm 2. The second is the adiabatic heating of 381 the electrons as they move closer to Earth. The electrons reached lower L-shells in Figure 382 5b, causing the energy and subsequent HP to increase. This was most likely driven by 383 stronger convection electric fields for storm B. 384

Figure 5d is a good example of how shorter maximum lifetimes could produce more aurora initially, but less later. The  $\tau_{max} = 2$  hour simulation had 100 GW more at its peak than the 8 hour simulation, but 30 GW less 12 hours later. All of the simulations in this case came close to the right values in addition to capturing the timings of HP increase well. These results suggest that the maximum diffusion lifetime had consequences on both the magnitude and timing of auroral enhancements produced by the model, but they were inconsistent between storms.

#### 4.4. Conductance and Potentials

The conductivity and its gradients produced by the aurora are a primary factor in controlling the ionospheric electrodynamics in terms of ring current coupling [*Nopper and Carovillano*, 1978; *Vasyliunas*, 1970]. As equation 1 suggests, the average energy

and electron flux of the aurora are essential to the description of the conductivity and therefore the height integrated conductance. This section highlights the differences in the time evolution in the conductances for each  $\tau_{max}$ , and explores how that influenced the electric fields that drive plasma in the ionosphere-magnetosphere feedback system. For this analysis, the focus was on the August 18th, 2003 storm because the differences between simulations was greatest.

The auroral electron energy fluxes during four different times during the main phase of 401 the storm are displayed in Figure 6. There were large differences between the different 402 simulations (columns) at each time during the storm (rows). In the top row, early in the 403 main phase, the aurora gained strength from the higher to lower  $\tau_{max}$ . This is because 404 during the beginning of the storm, few electrons had time to reach the maximum lifetime 405 of the higher  $\tau_{max}$  values, so they did not precipitate into the atmosphere. As the storm 406 progressed, the simulations with a higher  $\tau_{max}$  had much more wrapping of the aurora 407 around towards the dayside. This was caused by the ability of longer lifetime electrons 408 to  $\mathbf{E} \times \mathbf{B}$  drift and gradient curvature drift towards the dawn and noon sectors. Com-409 plementary to this was a weaker aurora on the nightside for those cases. Since electrons 410 drift towards the Earth across the entire nightside, there are large differences from about 411 21 MLT to the dawnside. 412

Figure 7 shows the Pedersen conductance for the same times and simulations as the energy flux results from Figure 6. The Pedersen conductance was calculated using the energy flux and average energy of precipitating electrons as described in Section 2, as well as a dayside driven conductance described by *Moen and Brekke* [1993]. While there were some regions where the auroral Pedersen conductance was stronger than the dayside conduc-

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tance, the conductance produced by photoionization is generally larger than conductance 418 from the aurora. In addition, because of the summer conditions where the dayside solar 419 EUV dominated the conductance pattern, weaker electric fields and stronger field aligned 420 currents would be expected [Cnossen and Richmond, 2012; Cnossen and Förster, 2016], 421 as well as weaker responses to geomagnetic storms [A et al., 2012; Perlongo and Ridley, 422 2016. Since all of the storms chosen for this study were during the northern hemisphere 423 summer, the amount of electrons making it beyond 06 MLT had little effect on the total 424 Pedersen conductance on the dayside in any of the different simulations. In fact, there 425 were almost no differences between simulations from 12-18 MLT. 426

An assumption of the Robinson formula is that the electron precipitation is Maxwellian 427 in form, causing a peak in Pedersen conductance at an average energy of 4 keV, assuming 428 a constant energy flux. As such, the conductances in Figure 7 don't necessarily correspond 429 to the largest energy fluxes in Figure 6. This can particularly be seen at 9:14 UT in the 430  $\tau_{max} = 4$  simulation, where the energy flux is greater towards dawn, but the conductance 431 is largest towards dusk. In addition to this, the scattering rate,  $\lambda_{\phi}$ , in equation 3 is 432 dependent on the electron energy, MLT, and L-shell [Chen et al., 2005]. Consequently, the 433 average energy of the precipitating particles changed significantly between  $\tau_{max}$  values. 434 In the energy dependent case, higher average energies in the magnetospheric electrons 435 resulted in shorter electron lifetimes, leading to a similar response as the  $\tau_{max} = 2$  hour 436 simulation. Throughout the storm, the larger nightside energy fluxes in the 2 hour case 437 produced more Pedersen conductance there. In general, the conductance on the dawn 438 side was significantly larger for the  $\tau_{max} = 2$  hour case. 439

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Figure 8 shows the total electric field strength for the same times as Figures 6 and 7. The 440 black dashed line represents the boundary between the self-consistent calculations and the 441 Weimer potentials, which are not shown, since they are the same in all  $\tau$  cases. The electric 442 fields on the dayside were relatively unchanged between the different simulations since the 443 dayside total conductances were very similar to each other. Vastly different structures were 444 seen on the night hough, which were dependent on the scattering rate. In the  $\tau_{max} =$ 445 8 and 4 hour simulations, a strong and narrow electric field, associated with a sub-auroral 446 polarization stream (SAPS), developed in the 19-24 MLT region equatorward of the main 447 auroral oval, but poleward of a detached auroral feature from 09:14 UT to 10:04 UT. 448 This feature is highlighted in Figure 9, which shows the SAPS as well as the electron flux 449 and Pedersen conductance for the  $\tau_{max} = 8$  hour case at 9:14 UT. The conductance was 450 greater than 10 mhos at the center of the main auroral band in the region just poleward 451 of the SAPS. Equatorward of that was a narrow band of less than 5 mho conductance. 452 Further equatorward was an increase in Pedersen conductance to  $\sim 9$  mho. This structure 453 tended to confine the strong electric field channel to the narrow band between the primary 454 and secondary conductance peaks. When this secondary peak did not exist, such as in 455 the  $\tau_{max} = 2$  hour simulation case, a SAPS channel did not appear, but a penetration 456 electric field extended much further equatorward. This is consistent with modeling efforts 457 which have shown that an increase in ionospheric conductance reduces the shielding and 458 therefore results in further inward transport of the ring current plasma and a stronger ring 459 current [*Ebihara et al.*, 2004; Zheng et al., 2008]. Figures 8-9 demonstrate that  $\tau_{max}$  had 460 a significant impact on the structure of the conductance patterns, which lead to major 461 changes in the electric fields. 462

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Figure 10 quantifies these results by averaging the ionospheric electric field strength, Pedersen conductivity, and FAC both in time and longitudinally. The left column shows each variable versus magnetic latitude averaged over 18-21 MLT. The right column is the same, but for 21-03 MLT. An average was then taken over all times during August 18th, 2003. These MLT regions were chosen because the electron scattering rates diverged mostly eastward of 21 MLT. Furthermore, most electric field plots showed SAPS developing in the 18-21 MLT region in the  $\tau_{max} = 8$  and 4 hour simulations.

The electric fields for 18-21 MLT in Figure 10a show the high latitude electric field decreasing towards lower magnetic latitudes until about 54°, where there was an enhancement in the  $\tau_{max} = 8$  and 4 hour simulations. In this region the Pedersen conductance in Figure 10c was generally low, so these electric fields can be attributed to SAPS. There was little difference in this region in conductance due to the characteristics of the electron scattering model used, except that the 2 hour case was slightly higher. The electric field was 2.1 mV/m less in this case compared to the average of the other simulations.

The behavior of the FAC current in Figure 10e also varied for each  $\tau_{max}$ . This was 477 expected since each  $\tau_{max}$  drives different conductances, which leads to different electric 478 fields, which then map back to the ring current, changing the convection electric field 479 which drives the ion convection. This then changes the azimuthal pressure gradients in the 480 ring current, which drive FACs. Since so many processes occur between the conductance 481 differences from the electron scattering rates and the FAC changes near the end of the 482 feedback loop, it is impossible to draw causal relationships from this. However, treating 483 the rest of the ring current like a black box, the FAC plots do demonstrate that changes 484 of just 10% in the ionospheric electric fields can alter the position and magnitude of 485

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<sup>436</sup> subsequent FAC by at least 50%, as was the case between the energy dependent and  $\tau_{max}$ <sup>437</sup> = 8 and 2 hour simulations in Figure 10f. Furthermore, the location of the peak of the <sup>438</sup> FAC in Figure 10e moved 3 degrees equatorward when the electric field was an average of <sup>439</sup> 2.8 mV/m less in the 2 hour verses the energy dependent simulation, but this shift was <sup>430</sup> not seen in the other simulations where the electric field was also decreased.

The Pedersen conductance in the 21-03 MLT region in Figure 10d were much more 491 stratified than the dusk results in Figure 10c. This is congruent with the auroral locations 492 presented in Section 4.2 for all storms: The 2 hour simulation had the most conductance, 493 followed by the energy dependent, 4 hour, and 8 hour simulations. The two simulations 494 with the larger conductances had higher electric fields within the auroral zone, while 495 Equation 2 implies that lower conductivity leads to higher electric field[s], these averages 496 show that a higher total conductance in a region can lead to larger electric fields in the 497 same general area. The FAC equatorward of the strong electric field shows these two simulations as having the largest FAC's also, which may contribute to the strong electric 499 fields, despite the strong conductance. The strong electric fields may further be a result of 500 the structure in the aurora. When the aurora is enhanced among multiple bands created 501 by the energy dependence in the loss model, it is more likely that strong electric fields 502 will develop around them, as seen in Figure 8. Figure 10b shows that the electric field 503 can vary from 21-03 MLT between 16 mV/m and 22 mV/m between the 4 hour and 504 energy dependent simulations at 60°. In other words, the auroral zone experienced a 505 26% larger electric field when averaged over the entire storm in these longitudes. This 506 demonstrates how significant the effects of changing the maximum lifetime of electrons in 507 the ring current has in self-consistent M-I models. 508

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A major shortcoming of the model at this time is the amount of smoothing that is needed to be done for numerical stability given the resolution of the model. It is expected that this smoothing produces artificially small electric fields due to the flattened conductance gradients. Furthermore, any small-scale structures in electron precipitation or the subsequent electrodynamics are indiscernible. The effects of these limitations are explored further in section 4.5, but first the simulations are compared to different data sets.

#### 4.5. Ionospheric Electric Fields

Data from the Defense Meteorological Satellites Program (DMSP) [Hardy, 1984; Rich 515 and Hairston, 1994; Hairston et al., 1998] was used to compare the modeled electric field 516 results for each storm. Unfortunately, a full MLT analysis like in Section 4.2 could not 517 be performed because there were not enough times when GUVI observations overlapped 518 DMSP satellite tracks. The lack of discrete aurora in the model further complicated such 519 an analysis since it was not possible to discern electric fields resulting from conductance 520 produced by discrete or diffuse aurora. For these reasons, only a couple examples are 521 shown in Figures 11 and 12 to demonstrate the model's electric field results. 522

Figure 11 demonstrates a time during the August 21st, 2002 storm when the GUVI 523 observations matched very well in both strength and magnitude near 20 MLT, where 524 DMSP took measurements. While the magnitude of the velocity in Figure 10e matched 525 relatively well with a root-mean-square-error (RMSE) of about 200 m/s, the small scale 526 structure of the aurora seen in red was completely missed. This was unsurprising since 527 the resolution of the electrodynamics model was  $2.8^{\circ}$  in longitude and  $1.8^{\circ}$  in latitude. 528 Furthermore, the smoothing done to merge with the Weimer potentials poleward of the 529 boundary made it difficult, if not impossible, to model small-scale electric fields properly 530

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<sup>531</sup> here. Small-scale electric fields associated with discrete aurora are also missing from the
<sup>532</sup> model at this time. Figure 12 shows a time where HEIDI completely missed a large auroral
<sup>533</sup> enhancement. DMSP observed velocities over 2000 m/s both equatorward and poleward
<sup>534</sup> of the auroral oval, while HEIDI predicted a maximum velocity of just 420 m/s on the
<sup>535</sup> poleward side. Furthermore, the velocity was much slower for the entire flyby of the 18-21
<sup>536</sup> MLT region.

The point of these figures is primarily to show how important the scattering rate, and 537 subsequent conductances can be to accurately capturing the overall strength of the electric 538 fields in the ionosphere. They also show that when the auroral strength and location 539 matches observations, the model does reasonably capture the gross electric field strength. 540 In the future, data providing boundary conditions for much more recent storms will 541 become available and allow the model to be run and compared with data from a plethora 542 of electric field measurements, including the Super Dual Auroral Radar Network (Super-543 DARN) [Greenwald et al., 1995], and incoherent scatter radars; as well as auroral imagery 544 from the SSUSI instrument on DMSP. 545

# 5. Discussion and Summary

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In recent years, there has been a push for magnetosphere-ionosphere-thermosphere models to become fully coupled and self-consistent. This study advanced one link in that chain by creating a version of HEIDI that computes both electric fields and auroral precipitation self-consistently with auroral precipitation. This is an updated version of HEIDI. In the previous version, the aurora was quite idealized, and was driven by a simple relationship with the FACs [*Ridley and Liemohn*, 2002; *Liemohn et al.*, 2004]. The new version of the model used a much more complex description of the aurora and compared better to  $Dst^*$ 

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than HEIDI with a Volland-Stern electric field [Volland, 1973; Stern, 1975], but comparisons between observation and model results of aurora and ionospheric electric fields varied greatly. The hemispheric power plots and aggregate analysis of the HEIDI and GUVI aurora demonstrate the importance of running models for a wide variety of events and parameters, the maximum diffusive scattering lifetime in this case.

This study imposed an upper limit on the electron scattering rates defined by the *Chen* 558 et al. [2005] loss model, which was found to produce exceedingly long lifetimes at low L-559 shells [Chen et al., 2015]. This parameter,  $\tau_{max}$ , was shown to have significant impacts on 560 the strength and location of the simulated aurora, as well as the electrodynamic system. 561 It was found that a limit of  $\tau_{max} = 2$  hours produced the best agreement with the location 562 of the aurora observed by GUVI, but  $\tau_{max} = 4$  hours agreed best with the total energy 563 flux averaged over all sectors. In the  $\tau_{max} = 2$  hours case, the strength of the aurora 564 was increased in the 21-03 MLT sector, but fewer electrons drifted around the Earth and 565 precipitated on the dayside, especially in the 09-12 MLT sector. The total energy flux 566 produced by the different  $\tau_{max}$  values were consistent with the idea that a smaller  $\tau_{max}$ 567 should produce more aurora on the nightside and less on the dayside. 568

Furthermore, average differences in ionospheric conductances of just a few mhos between  $\tau_{max}$  simulations led to more than a 25% change in electric field strength in the 21-03 MLT region. While not shown systematically, it was observed that times when the aurora match observations, the electric fields in the ionosphere were on par with measurements from DMSP.

If  $\tau_{max}$  had such a large effect on electric fields, then the  $\mathbf{E} \times \mathbf{B}$  drift speeds of the electrons should have also differed between simulations. However, the location of the

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simulated aurora stayed relatively constant between the different  $\tau_{max}$  values. This is 576 evident in Figure 6 where the choice of  $\tau_{max}$  altered the longitudinal extent of the energy 577 flux to a much larger degree than in latitude. If the  $\mathbf{E} \times \mathbf{B}$  drift speed were smaller 578 for a particular  $\tau_{max}$ , the electrons should have precipitated at larger L-shells and higher 579 latitudes. While it appears this occurred for the  $\tau_{max} = 2$  hour simulation in many of the 580 MLT sectors of plot A in Figure 3, Figure 10 showed that it did not have a consistently 581 smaller electric field than the other  $\tau_{max}$  values in the 21-03 MLT sector. Since this is the 582 sector where the strength of the aurora differed the most from the  $\tau_{max} = 4$  and  $\tau_{max} = 8$ 583 simulations, this mechanism does not explain the improvement in auroral locations on the 584 nightside or dayside of the  $\tau_{max} = 2$  hour simulation. It also indicates that the large scale 585 convection electric field was not greatly influenced by  $\tau_{max}$ . Furthermore, changes in the 586 convection electric field brought on by the inclusion of ionospheric electrodynamics are 587 responsible for altering the rate of the ion outflow through the dayside magnetopause, a 588 process determined to be the primary loss mechanism for the ions in this model [Liemohn 589 et al., 1999]. If the outflow rate of the ions was altered between  $\tau_{max}$  simulations, there 590 would have been greater difference in  $Dst^*$ . 591

<sup>592</sup> Another way that  $\tau_{max}$  could effect the location of the diffuse aurora is by changing the <sup>593</sup> characteristic energy of the electron population that reach a given MLT sector. Higher <sup>594</sup> energy particles will gradient-curvature drift at larger L-shells and thus precipitate at <sup>595</sup> higher latitudes.  $\tau_{max}$  also puts a limit on the distance that cold plasma can gradient-<sup>596</sup> curvature drift before being lost to the thermosphere. The higher latitude dayside aurora <sup>597</sup> in the  $\tau_{max} = 2$  hours case could result from these two factors. The cold electrons were <sup>598</sup> lost before they were able to drift past 09 MLT, but the higher energy electrons persisted

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<sup>599</sup> at larger L-shells until 15 MLT. Despite the better match for  $\tau_{max} = 2$  hours, HEIDI <sup>600</sup> produced an aurora 5-10° equatorward of the GUVI observations for all  $\tau_{max}$  from 00 to <sup>601</sup> 12 MLT, perhaps due to the relatively close outer-boundary of geosynchronous orbit, or <sup>602</sup> lower plasma average energies than reality. Further research should be done to identify if <sup>603</sup> this is a common bias in the HEIDI model and, if so, determine the cause of it.

The choice in  $\tau_{max}$  was shown to alter the simulation's ability to reproduce auroral 604 features by a large degree. While the arbitrarily chosen  $\tau_{max} = 2$  hour simulation matched 605 the location of the aurora the best, all of the simulations presented here demonstrate the 606 importance of understanding the electron loss rates in the ring current. Since small 607 deviations in the upper limit of the scattering rates were shown to have a large effect 608 on the electrodynamic results, any uncertainty in this parameter is a major hindrance 609 to the accuracy of M-I coupled models. This offers a cautionary tale in ring current 610 modeling. Moving from more empirically driven models to self-consistent frameworks adds 611 complexity that could make the results less predictive until each parameter is modeled 612 accurately. For example, running HEIDI in self-consistent mode puts significantly more 613 pressure on the electron scattering model to be correct because of the electrodynamic 614 feedback loop. As a result, times when the scattering diverges from observations may 615 result in a much worse off solution than empirical versions. Transitioning to self-consistent 616 models should therefore be done keeping the assumptions and errors of all components 617 between models in mind. 618

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<sup>1003</sup> putational and Information Systems Laboratory, sponsored by the National Science Foun-<sup>1004</sup> dation. We would also like to thank the International Space Science Institute (ISSI) for <sup>1005</sup> supporting this collaborative effort. The DMSP and GUVI data used in this study can <sup>1006</sup> be obtained from JHU/APL. The simulation results are available upon request.

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Table 1.	Synopsis	of geomagnetic st	torm events simulated.
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#	. ,	Dst	Type
1	2002/08/21 0700	-106	CME
2	2003/08/18 1600	-148	CME
3	2003/07/12 0600	-105	CIR
4	2005/08/31 1600	-131	CIR



Figure 1. Schematics of the new self-consistent aurora and one-way coupling between the ring current solver, HEIDI, and the ionosphere/thermosphere model, GITM

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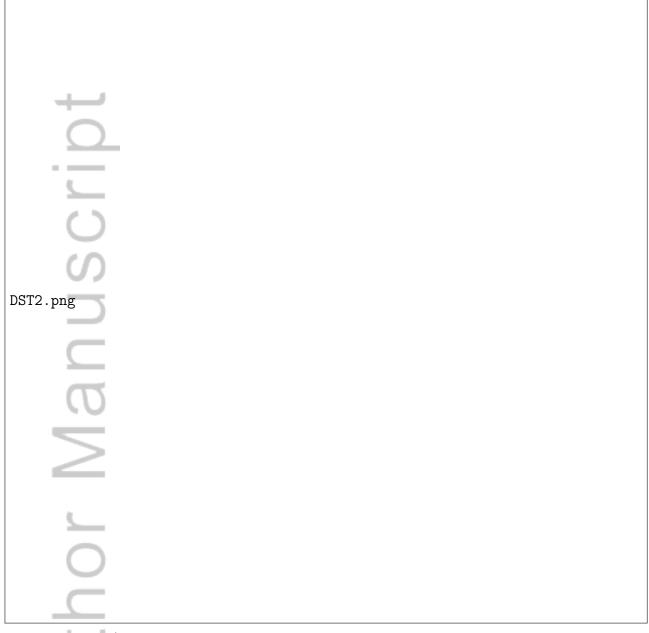


Figure 2. Dst<sup>\*</sup> data-model comparison for all 4 storms and all simulations. The dashed black and purple lines show the Kyoto Dst<sup>\*</sup> and USGS Dst<sup>\*</sup> respectively. The dark grey line is the Volland-Stern run. The blue, green, red, and brown lines show the energy dependent, 8 hour, 4 hour, and 2 hour  $\tau_{max}$  runs.

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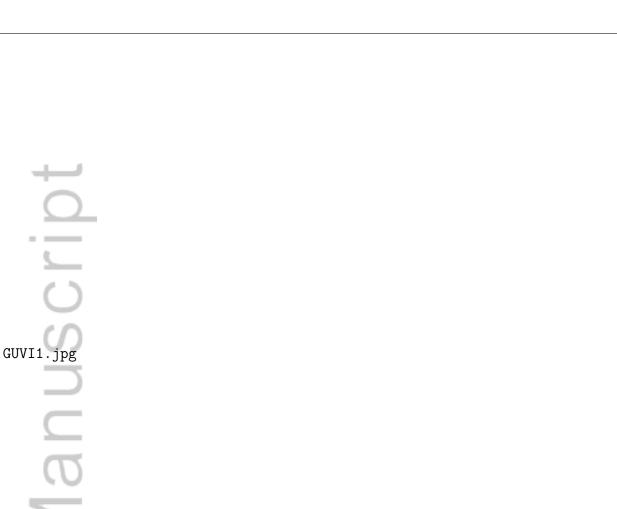


Figure 3. This snapshot compares the HEIDI electron flux in plot A to the GUVI observed aurora in plot B for the August 21st, 2002 storm with a  $\tau_{max}$  of 2 hours. The dashed black line in plot C shows 30 second bins of the average GUVI electron flux per swath. The solid green line are the HEIDI values interpolated to those times and regions. The vertical black bar in plot C is the time at which plot A is drawn.

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Figure 4. Comparison of the strength and location of the aurora between HEIDI and GUVI for each  $\tau_{max}$  for all storms and times. The colors represent the average difference between HEIDI and GUVI in each sector, blue meaning HEIDI was smaller, red meaning larger. The yellow dots are the average location of the aurora. These are connected by solid black lines for HEIDI and dashed black lines for GUVI. These lines were created with spline interpolations.

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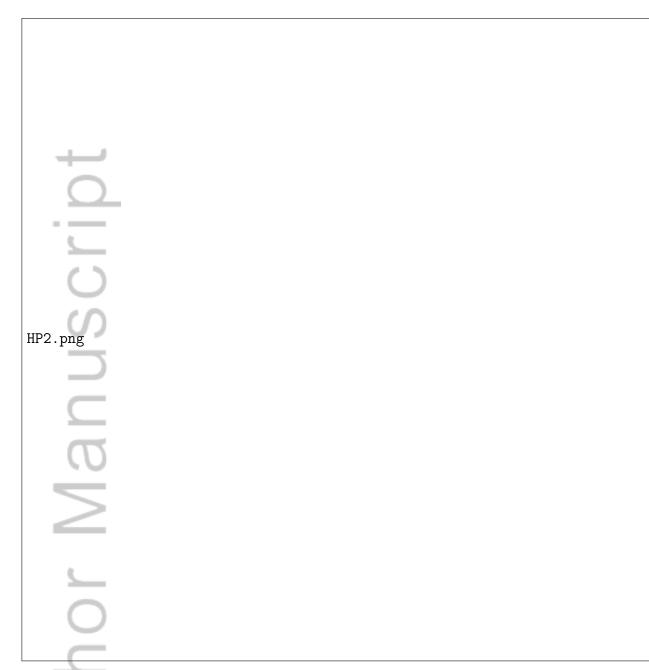


Figure 5. Hemispheric power comparison for all 4 storms and  $\tau_{max}$  values. The dashed black lines are the observations derived from NOAA POES satellites measurements. The blue, green, red, and brown lines show the energy dependent, 8 hour, 4 hour, and 2 hour  $\tau_{max}$  runs. Times when all the colored lines are on top of each other indicate when only Ovation SME was used to specify the aurora.



Figure 6. Energy fluxes in  $erg/cm^2/s$  for each  $\tau_{max}$  during the August 18th, 2003 storm. Each row is a different time during the main phase of the storm. The first column is for a  $\tau_{max} = 8$ hours, the second for  $\tau_{max} = 4$  hours, the third for  $\tau_{max} = 2$  hours, and the fourth for the energy dependent  $\tau_{max}$ . Each subfigure is plotted in magnetic coordinates, with 12 MLT at the top. The bounding magnetic latitude is 50°. The hemispheric power is shown in the bottom right of each subplot.

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Figure 7. Total Pedersen conductance, including solar and auroral sources for each  $\tau_{max}$  during the August 18th, 2003 storm in the same format as Figure 6.



Figure 8. Total electric field magnitude for each  $\tau_{max}$  during the August 18th, 2003 storm. The dashed line represents the outer boundary of HEIDI. Poleward of this boundary the potentials were described by the Weimer electric potential model.



Figure 9. Expanded electric field (A), electron flux (B), and Pedersen conductance (C) plots from August 18th, 2003 at 9:14 UT. All 3 plots are from the  $\tau_{max} = 8$  hour simulation case. The red circle highlights the SAPS feature.



Figure 10. The electric field strength, Pedersen conductivity, and FAC for each  $\tau_{max}$  in the top, middle, and bottom rows respectively. Each parameter is averaged over 18-21 MLT in the left column and 21-03 MLT in the right column. The results are further averaged over all times during the main phase of the August 18th, 2003 storm.

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figure\_11.png

Figure 11. (A) the HEIDI electric potentials, (B) electron flux, and (C) Pedersen conductivity during the August 21st, 2002 storm for a  $\tau_{max} = 2$  hours. (D) the GUVI auroral observations. The over-plotted black lines are the DMSP orbit paths. (E) The dashed black line is the cross track plasma velocity of DMSP at the HEIDI 1 min output interval; the green line is the equivalent  $V_y$  for HEIDI interpolated to the DMSP location; and the dark grey shaded region indicates poleward of the 67° HEIDI boundary. The red line is the high resolution raw DMSP data.

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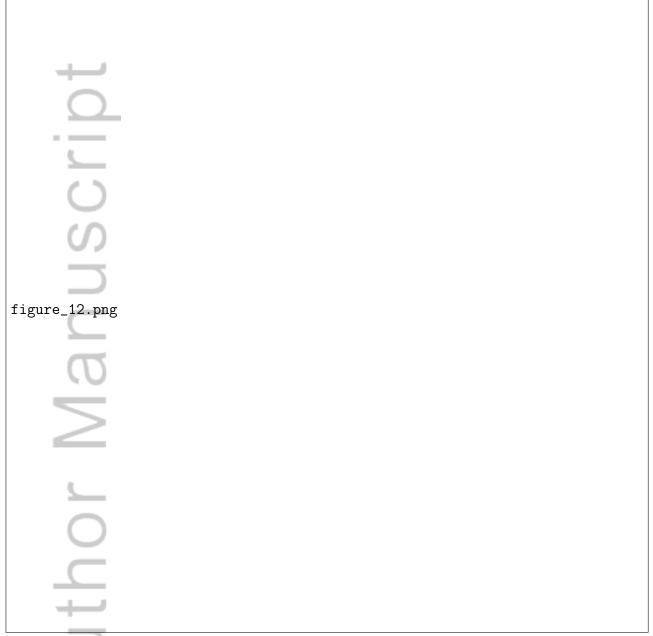
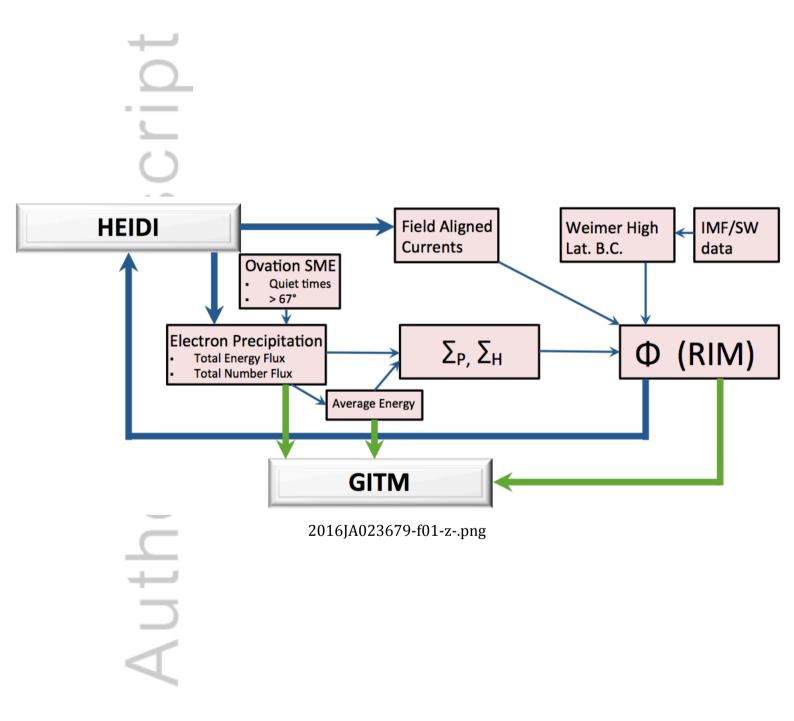
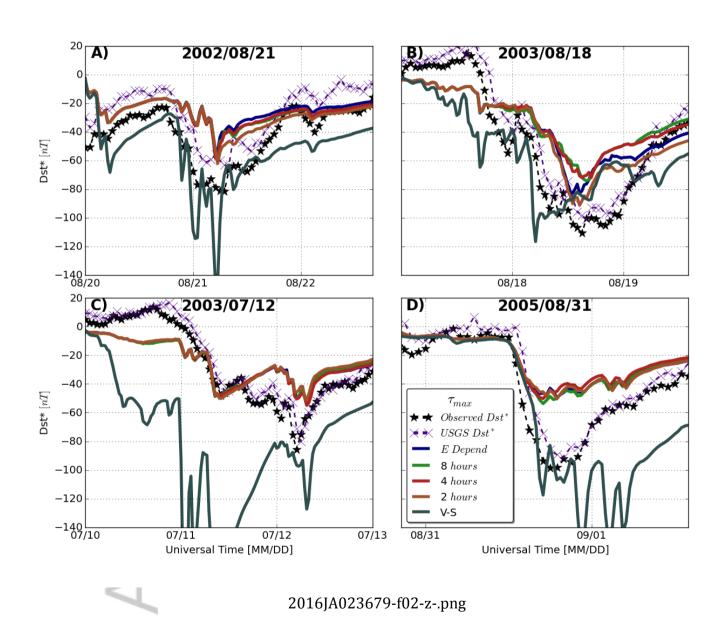


Figure 12. The same as Figure 11, but for a  $\tau_{max}$  of 8 hours during the August 18th, 2003 storm.

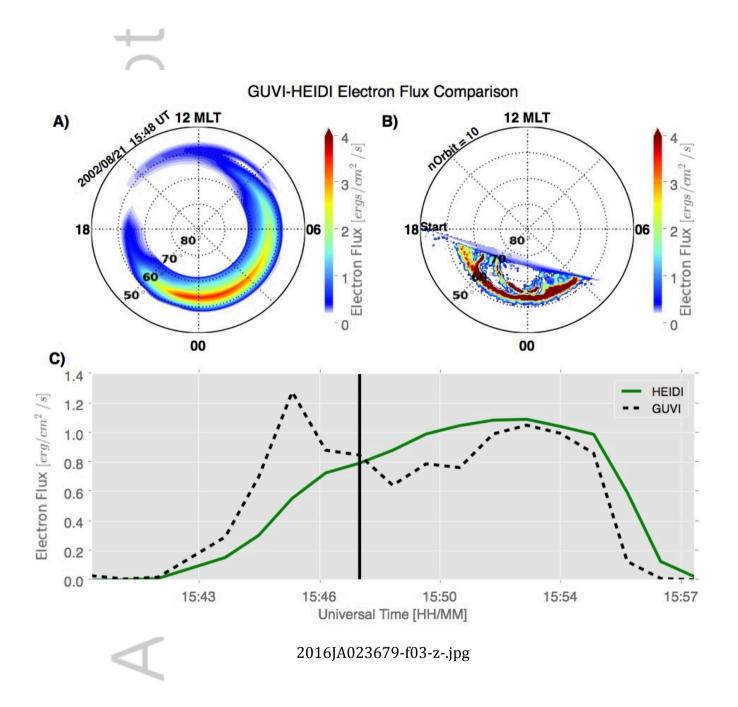
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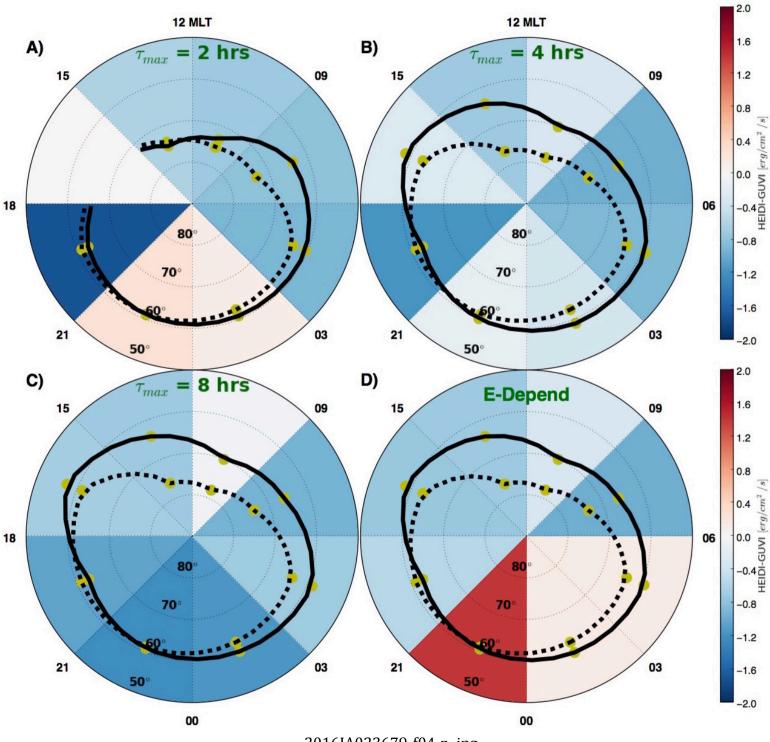
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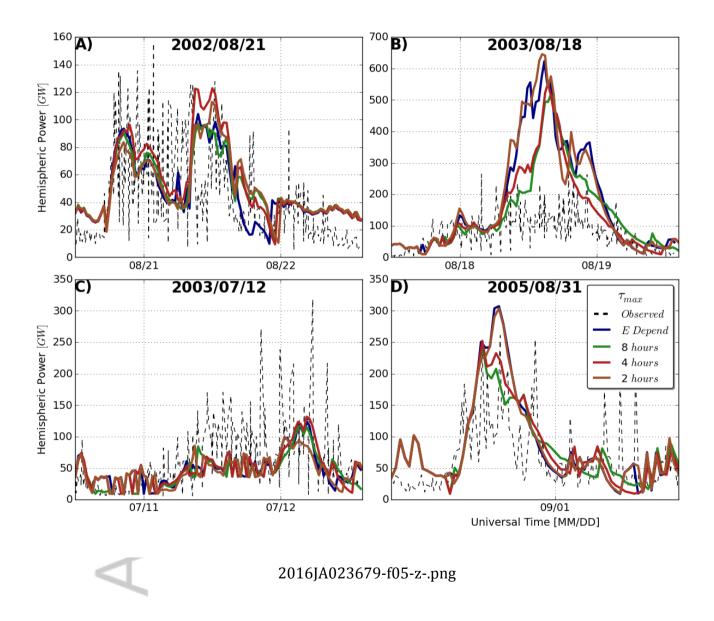


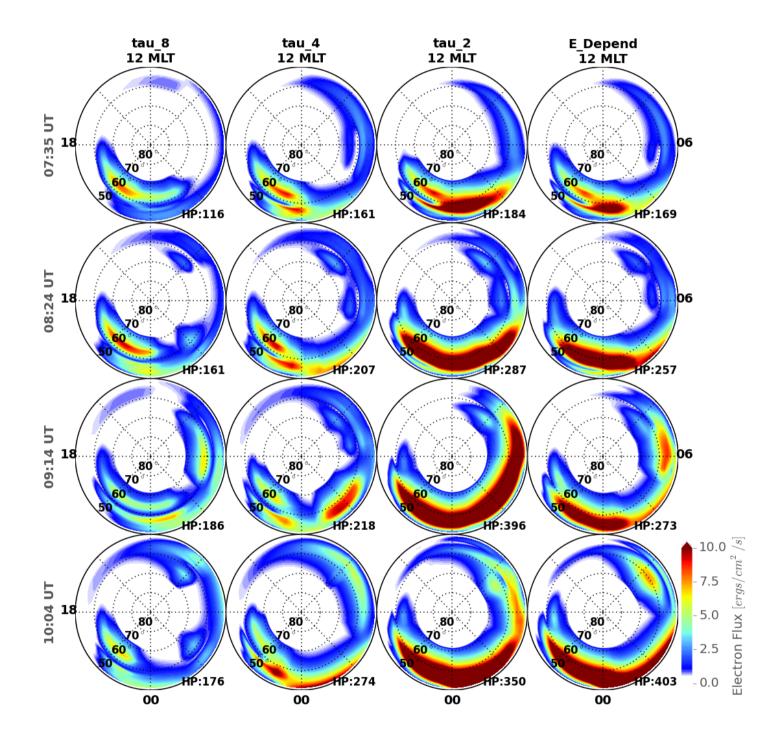
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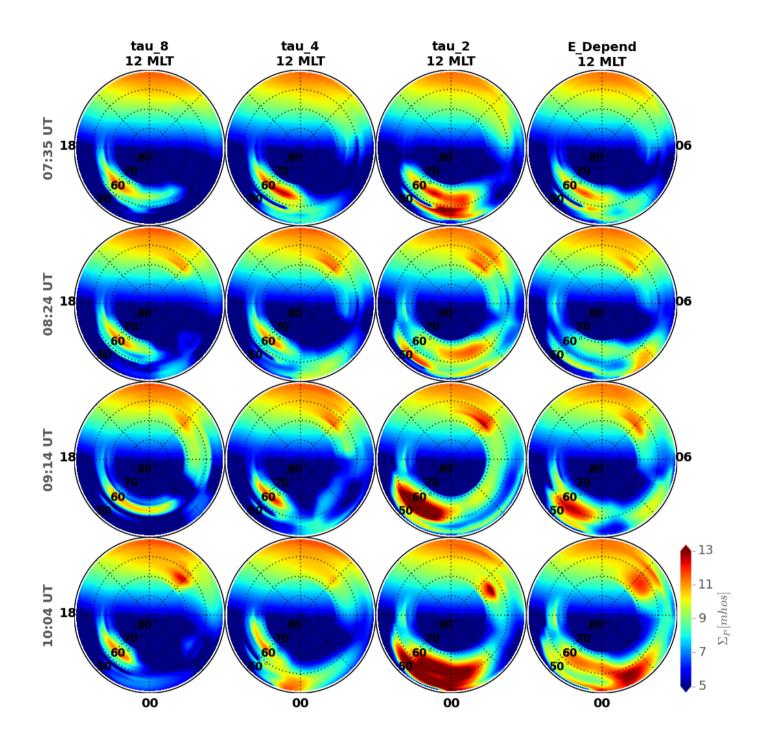


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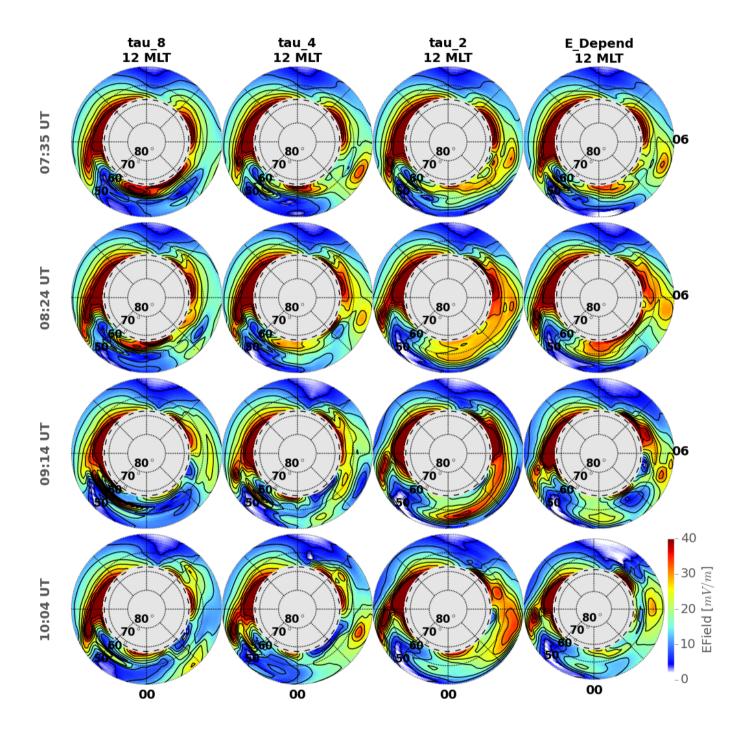




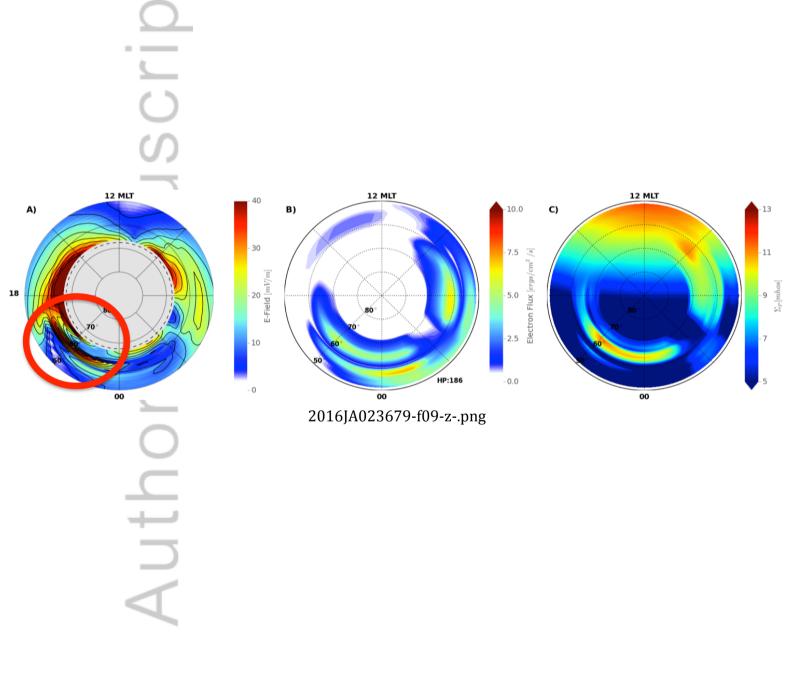
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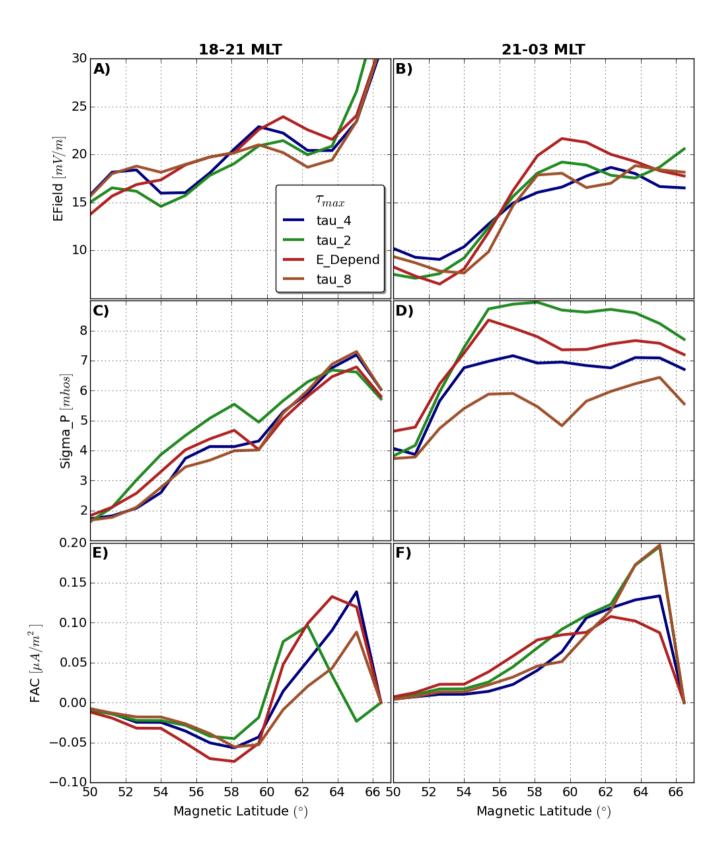
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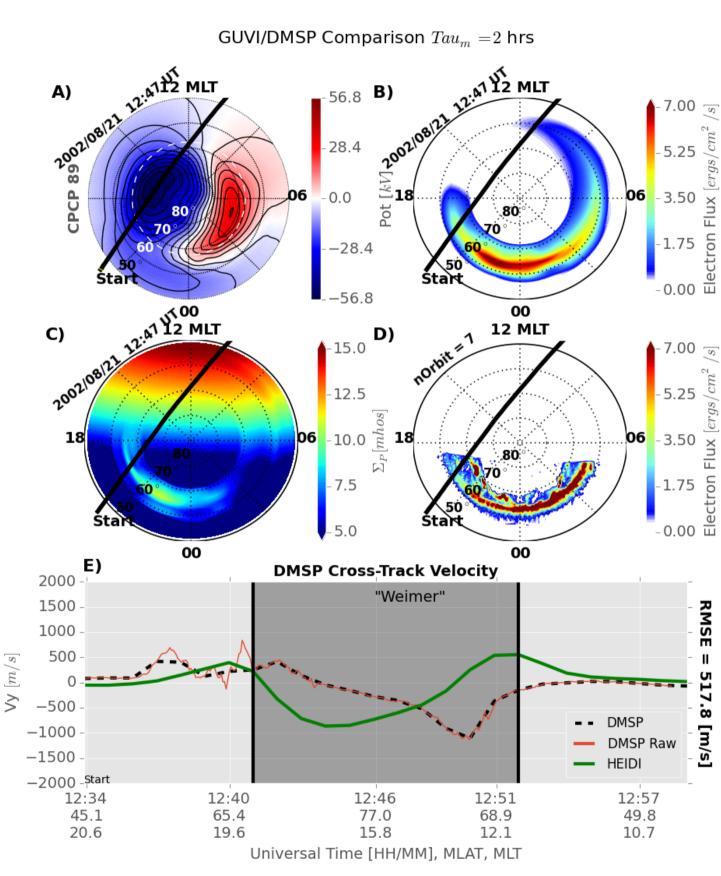


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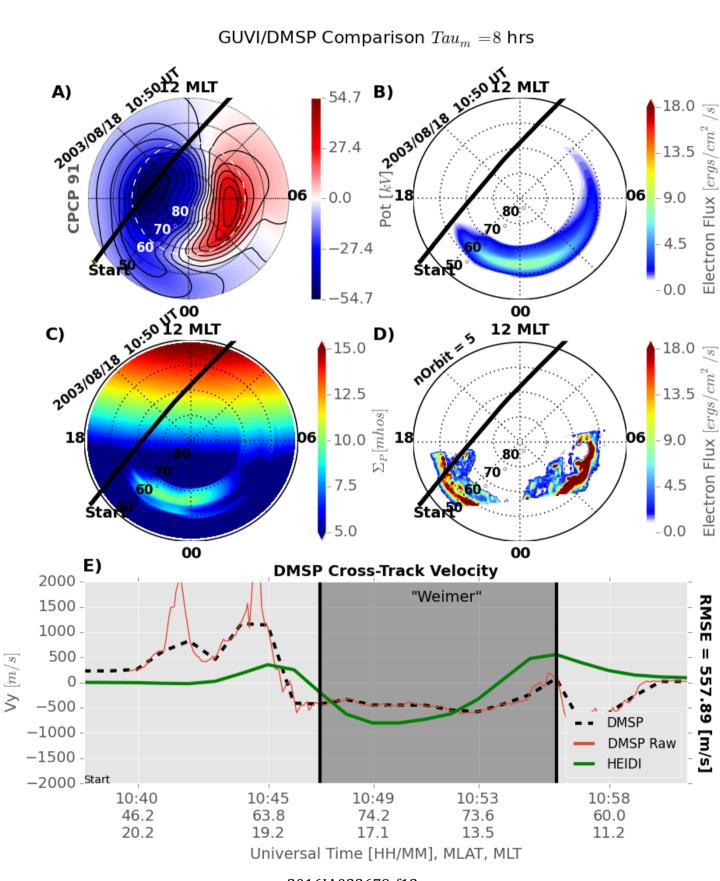
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GUVI/DMSP Comparison  $Tau_m = 2$  hrs



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GUVI/DMSP Comparison  $Tau_m = 8$  hrs



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