

3 **Abstract:** It is still unclear how slope steepness ( $S$ ) and re-vegetation affect resistance ( $f$ ) to overland  
4 flow. A series of experiments on runoff hydraulics was conducted on granular surfaces (bare soil and  
5 sandpaper) and grassed surfaces, including grass plots (GP), GP with litter (GL), and GP without leaves  
6 (GS) under simulated rainfall and inflow ( $30 < Re < 1400$ ) with varying slopes ranging from 2.6% to 50%.  
7 The results show that the observed  $f$  based on a small-size runoff plot under rainfall conditions tends to  
8 be overestimated due to the increase in flow rate, or  $Re$  (Reynolds number), with downward cross  
9 sections and a good  $f$ - $Re$  relation ( $f = KRe^{-1}$ ). There exists a good  $f$ - $Re$  relation for granular surfaces and  
0 a good  $f$ - $Fr$  relation ( $Fr$ , Froude number) for grass plots. A greater  $f$  occurred at the gentle and steep  
1 slopes for the granular surfaces, while  $f$  decreased with increasing slopes for the grass treatments. The  
2 different  $f$ - $S$  relations suggest that  $f$  is not a simple function of  $S$ . When  $Re \approx 1000$ , the sowing rye grass  
3 with level lines increased  $f$  by approximately 100 times and decreased bed shear stress to approximately  
4 5%. The contribution of grass leaves, stems, litter and grain surface to total resistance in the grass plots  
5 were averagely 52%, 32%, 16% and 1%. The greater resistance from leaves may result from the leaves  
6 lying at the plot surface impacted by raindrop impact. These results are beneficial to understand the  
7 dynamics of runoff and erosion on hillslopes impacted by vegetation restoration.

8 **Key words:** overland flow; resistance; slope steepness; grass plot; simulated rainfall

9  
0 SYMBOLS AND ABBREVIATIONS

BS	Bare soil plots covered with 0.25-mm-height naturally grown moss
SD	Sandpaper surface with grain diameter of 0.25 mm, including SD1 for $350 < Re < 550$ and SD2 for $980 < Re < 1180$
GP	Grass plots without litter, including GP1 for $30 < Re < 320$ , and GP2 for $960 < Re < 1180$

GL	Grass plots with additional litter
GS	Grass plots without leaves
CSS	The cross section measuring surface flow velocity and water depth
$d_{50}$	Grain median diameter, mm
$S$	Slope steepness, %
$q$	Flow rate per unit width, $m^2 s^{-1}$
$h$	Water depth, m
$V_s$	The measured surface flow velocity using dyeing tracer method, $m s^{-1}$
$V$	Mean flow velocity calculated by the volumetric relation ( $V=q/h$ ), $m s^{-1}$
$\alpha$	Correction factor in determining mean velocity ( $\alpha=V/V_s$ )
Re	Flow Reynolds number, $Re=4Vh/\nu$ ( $\nu$ is the kinematic viscosity)
Fr	Froude number, $Fr = V/(gh)^{0.5}$ ( $g$ is the acceleration due to gravity)
$f$	Darcy-Weisbach resistance to overland flow
$K$	The fitted value using the equation $f=KRe^{-1}$ , representing friction roughness
$f_{grain}$	The resistance derived from granular bed
$f_{litter}$	The hydraulic resistance derived from grass litter
$f_{stems}$	The hydraulic resistance derived from grass stems
$f_{leaves}$	The hydraulic resistance derived from grass leaves

1

## 2 1. Introduction

3 It is well known that vegetation can control soil erosion (Morgan, 1986), but there is still short of

4 information about the impacting mechanism of vegetation controlling soil erosion under different micro

5 environments (e.g., slope gradients, geomorphological positions) (Cerdà, 1998; Wainwright et al., 2000;  
6 Gabarrón-Galeote et al., 2013). The hydraulic resistance to overland flow could built up a bridge to  
7 thoroughly understand the runoff erosion dynamics impacted by vegetation (Abrahams and Parsons,  
8 1994; Gilly et al., 1994). Hydraulic roughness coefficients are important for calculating flow velocity,  
9 water depth and runoff hydrographs when the Saint Venant equations are used to simulate overland  
0 hydrological processes (Parsons et al., 1997). Understanding the hillslope hydrological processes is  
1 necessary for the development of process-based erosion models because runoff-driven erosion  
2 dynamics, such as shear stress and unit stream power, are always a product of flow velocity, water  
3 depth and slope steepness. The roughness coefficients are sensitive to overland hydrological processes  
4 and deserve in-depth investigation (Abrahams and Parsons, 1991; Smith et al, 2007; Kim et al, 2012).

5 The Darcy-Weisbach resistance factor and Manning coefficients are often used to describe the  
6 surface roughness characteristics (Gilley and Finkner, 1991; Smith et al., 2007). Due to the consistency  
7 in dimensions, Darcy-Weisbach resistance  $f$  is very popular and often used (Abrahams et al., 1986;  
8 Gilley et al., 1992). It can be calculated using Eq. 1 (Chow, 1959):

$$9 \quad f = \frac{8ghS}{V^2} = \frac{8gqS}{V^3} \quad (1)$$

0 where  $h$  is water depth (m);  $V$  is mean velocity ( $\text{m s}^{-1}$ );  $g$  is acceleration due to gravity ( $\text{m s}^{-2}$ ); and  $S$  is  
1 surface slope steepness (%) where no flow acceleration exists, i.e., the surface and bed slopes are  
2 parallel;  $q$  is flow rate ( $\text{m}^2 \text{s}^{-1}$ ).

3 Clearly Eq. 1 includes the slope steepness variable. Some experiments have highlighted the possible  
4 influence of slope steepness on  $f$ . Emmett (1970) found that under 0.3-7.8% slopes and  $100 < \text{Re} < 2000$ ,  
5 the  $f$  values varied from 0.1 to 10 for the smooth flume, and from 0.1 to 5.0 for the sand-covered  
6 surface with a grain median diameter of approximately 0.5 mm. Emmett also suggested that slope

7 steepness has a positive influence on  $f$ , but the influence may be fragile due to the considerable  
8 observation error. Savat (1977) conducted a series of experiments on hydraulic resistance  $f$  at 5-50%  
9 slope steepness on grain soil and sand-covered surfaces and found that  $f$  increases with increasing  $S$  by  
0 a power equation in a laminar flow regime. However, Shen and Li (1973) suggested that slope  
1 steepness has no significant effect on  $f$  for a smooth surface. Pan and Shangguan (2007) found that a  
2 negative  $f$ - $S$  relation exists on grass-covered plots at 7.8-43.2% slopes. To date, it is still unclear  
3 whether a unified  $f$ - $S$  relation exists for granular surfaces or vegetation-covered slopes, or what causes  
4 the different  $f$ - $S$  relation under shallow overland flow condition (Lawrence, 1997; 2000; Smith et al,  
5 2007).

6 Inspired by channel or pipe hydraulics, resistance  $f$  to overland flow is frequently expressed by the  
7 Re as follows:

$$8 \quad f = a \text{Re}^{-b} \quad (2)$$

9 where  $a$  and  $b$  are regressed parameters.

0 For a laminar flow regime, theoretically, the  $b$ -value in Eq. 2 equals 1.0, and Eq. 2 can be simplified  
1 as Eq. 3.

$$2 \quad f = K \text{Re}^{-1} \quad (3)$$

3 where  $K$  is regressed parameter.  $K$  equals to 96 for smooth surface under laminar flow regime (Horton,  
4 1934)..

5 The utility of Eq. 2 and 3 has been verified by many experiments (Savat, 1980; Roels, 1984;  
6 Abrahams et al., 1986). It means that slope steepness would have no relation with  $f$  because  $\text{Re}$  is a  
7 product of unit flow rate and kinematical viscosity ( $\nu$ ) ( $\text{Re}=4q/\nu$ ) and has nothing with  $S$ .

8 However, Abrahams et al. (1994) argued that Eq. 2 is not always effective and the  $f$ - $\text{Re}$  relation

9 corresponds to convex or concave curves for some complicated slopes, i.e., vegetation- or stone-  
0 covered surfaces in desert areas. Furthermore,  $f$  can be divided into four resistance components when  
1 the mobile bed does not occur, and they abide by an additive law (Eq. 4) (Abrahams et al., 1994; Hirsch,  
2 1996);

$$3 \quad f = f_{grain} + f_{form} + f_{wave} + f_{rain} \quad (4)$$

4 where  $f_{grain}$  is the friction coefficient attributed to granular roughness, and relates with  $Re$ ;  $f_{form}$  is the  
5 friction or drag resistance attributed to form obstacles, i.e., vegetation stems, litter and rock etc.;  $f_{wave}$   
6 derives from the dissipation of runoff energy due to water waves which are triggered by topography  
7 and flow regimes ( $Fr$ );  $f_{rain}$  derives from the retarding effect of raindrop impact. The  $f_{wave}$  would be  
8 affected by slope steepness, which is closely related with  $Fr$ , and  $f_{rain}$  diminishes with increasing slope  
9 steepness (Savat, 1977). Therefore, the resistance  $f$  is possibly affected by slope steepness.

0 Additionally, Lawrence (1997) proposed a resistance model based on an inundation ratio, defined as  
1 the ratio of water depth to roughness height. For a given flow discharge or  $Re$ , the varying slope  
2 steepness will inevitably trigger a variation in water depth or inundation ratio and further alter  $f$ .

3 The above works imply the possible effect of slope steepness on resistance to overland flow.  
4 However, there is little detailed data to check or verify these possibilities, especially on vegetated  
5 slopes under rainfall conditions.

6 The unavailability of Eq. 2 for some complicated slopes (Abrahams et al., 1994) hints that the  
7 underlying surface characteristics could affect the resistance forming mechanism of overland flow.

8 Grain resistance is commonly a component of complicated surface resistance (Eq. 4), and the  
9 proportion of grain resistance to total resistance, which is equivalent to the ratio of bed shear stress to  
0 total shear stress, is of importance to soil erosion dynamics (Abrahams and Parsons, 1991; Prosser et al.,

1 1995; Atkinson et al., 2000). For grassed slopes, grass canopy, stems and litter commonly represent the  
2 main resistance components (Abrahams et al., 1994), and grass stems tend to receive more attention  
3 due to their direct drag impact on overland flow in laboratory experiments (i.e., Thompson et al., 2004;  
4 Ma et al., 2013). Morgan (1986) summarized Manning roughness coefficient for different types of  
5 cultivation, plants and mulch etc., and suggested that greatest reductions in overland flow velocity  
6 occur with dense and spatially uniform vegetation covers. Weltz et al. (1992) used computer  
7 optimization procedures to identify friction coefficient associated with plant stems and cryptogam  
8 surface cover on the interrill area. Gilley et al. (1994) conducted a laboratory study to investigate  
9 friction coefficients for typical crops surfaces under different inflow rates ( $550 < Re < 22000$ ) and found  
0 that the hydraulic resistance is influenced primarily by frictional drag over the soil surface, and residue  
1 and ground cover on upland agricultural areas. However, there is little information on the contribution  
2 of the different grass components to total resistance and its relation with slope steepness under rainfall  
3 conditions (Hirsch, 1996; Lawrence, 2000).

4 The experiments on flow hydraulics on granular surfaces and grass-plot treatments were conducted  
5 on varying slope gradients and simulated rainfall. The objectives of this study are (1) to describe the  
6 relation between resistance and slope steepness on granular and grassed plots and elucidate the impact  
7 mechanism of slope steepness on the resistances and (2) to partition the contribution of the grain, grass  
8 leaves, stems and litter to total resistance and quantify the effect of grass planting on hydraulic  
9 resistance and erosion dynamics. These results would be helpful to clarify the formation of overland  
0 flow resistance and to understand the impacting mechanism of grass vegetation on controlling overland  
1 flow runoff and erosion dynamics.

2

## 3 2. Materials and Methods

### 4 2.1. Experimental apparatus

5 The experiments were conducted in an indoor hall for simulating rainfalls. In order to extend the  
6 possible effect of rainfall characteristics on overland flow resistance, the two rainfall simulators,  
7 including side-sprinkle and pin-head systems were used in this study. The rainfalls were provided by a  
8 pair of side-spray nozzle systems or series of grid-array pinheads, and rainfall intensities were adjusted  
9 by water pressure and size of nozzle or pinhead (Xu et al., 2005). The rainfall uniformity of the two  
0 simulators exceeds 85%. The side-sprinkle rainfall had an intensity of  $90 \text{ mm h}^{-1}$  with the falling height  
1 of 16.0 m, and generated a similar raindrop spectrum to natural storm rainfall with short duration on the  
2 loess plateau of China. The drop diameter mainly ranged from 1.0 to 2.5 mm with the kinetic energy of  
3  $0.36 \text{ J m}^{-2} \text{ s}^{-1}$ . The pin-head rainfall had a intensity of  $30 \text{ mm h}^{-1}$  with the falling height of 5.0 m. The  
4 pin-head simulator had a relatively even raindrop distribution, and the drop diameter mainly ranged  
5 from 1.0 to 1.5 mm with the kinetic energy of  $0.18 \text{ J m}^{-2} \text{ s}^{-1}$  (Xu et al., 2005).

6 The experimental flumes or runoff plots were 5.0 m long  $\times$  1.0 m wide  $\times$  0.5 m high, and  
7 constructed of plain steel plate and glass plate. The friction resistance to the two lateral sides is  
8 negligible due to their large width and the relatively smooth surfaces. The plots were placed on a  
9 removable platform with adjustable slopes ranging from 0 to 50%.

Figure 1.

0 Upslope inflow was provided by a rectangular water sink which was located at the upper slope  
1 boundary. In order to stabilize the inflow runoff, the sink was separated into the upper and lower parts  
2 by a perforated panel. The clear water or slurry was first pumped into the upper part of the sink, and  
3 then the stabilized runoff freely flowed over the plot surface from the lower edge of the sink (Figure 1).

4 The inflow rate can be adjusted by pump valves.

5

## 6 2.2. Experimental design

7 Two series of trials on granular and grass-plot surfaces were conducted. The granular surfaces included  
8 bare soil with little moss (BS), and impermeable sandpaper with 60 meshes (SD). The SD surface had a  
9 median grain diameter ( $d_{50}$ ) of 0.25 mm. The BS surface was covered with 0.25-mm-height naturally  
0 grown moss (Figure 1), so the BS surface had an equivalent  $d_{50}$  to the SD (Table 1) .

Table 1.
----------

1 A loessial loam was packed in the plots to achieve a 30-cm soil layer with approximately  $1.25 \text{ g cm}^{-3}$   
2 bulk density, and perennial black rye grass (*Lolium perenne* L.) was planted with level row intervals of  
3 20–25 cm for the grass surfaces (Figure 1). In the tested soil, the particles size fractions of  $<2\mu\text{m}$ ,  
4 2–25 $\mu\text{m}$ , 25–50 $\mu\text{m}$ , and  $>50\mu\text{m}$  approximately accounted for 11%, 60%, 20%, and 9%, respectively.  
5 The grassed plots were subjected to indoor simulated rainfalls when the grass had grown naturally  
6 outside for approximately 3 months. The grass plot (GP) was covered by the same moss as the BS  
7 surface ( $d_{50}=0.25 \text{ mm}$ ) and 70% grass cover. The grass plot (GP1) and BS were subjected to  $90 \text{ mm h}^{-1}$   
8 rainfall, and the slopes varied from 8.7% to 50% (Table 1). When the experiments were performed, the  
9 ryegrass belonged to the stage of transition between tillering and jointing periods with a relatively  
0 small tillering rate of leaves.

1 In the later phrase of experiments, some grass dead leaves (i.e., litter) occurred on the grass plot. In  
2 order to examine the relative importance of grass different components to resistance, the grass plot  
3 (GP2), GL (GP with additional litter) and GS (GP without leaves) were subject to a moderate rainfall  
4 with intensity of  $30 \text{ mm h}^{-1}$  and an upslope inflows with a silt concentration of approximately  $25 \text{ kg m}^{-3}$



5 on the slopes of 5.2-25.9% (Table 1 and Figure 1). Grass leaves were clipped and removed from plot  
6 surface, to only retain 3-cm-height stems to represent GS. The dry weight of the litter and the removed  
7 leaves were 72 and 119 g m<sup>-2</sup>, respectively. In semi-arid areas, there was a relatively small runoff rate  
8 generated from hillslopes under natural rainfall conditions (Morgan, 1986; Jiang, 1997), so the inflow  
9 rate of 5 and 15 L min<sup>-1</sup> were assigned to investigate the overland flow resistances.

0 The raindrop impact on hydraulic resistance became weakened as the slope gradients increased  
1 (Savat, 1977). Therefore, the trials at the steeper slopes (i.e., 8.7-50%) were subjected to a greater  
2 rainfall of 90 mm h<sup>-1</sup>, and the trials at the relatively gentle slopes (i.e., 2.6-25.9%) were subjected to a  
3 moderate rainfall of 30 mm h<sup>-1</sup>. The steeper slopes were also used to validate and extent the results  
4 drawn from the experiments on the relatively gentle slopes.

5 Considering the rainfall runoff gathering effect within a hillslope, the lower part of a hillslope  
6 (downslope) tended to correspond to a higher runoff discharge or Re than the upper part (upslope)  
7 (Jiang, 1997). Therefore, the trails (i.e., SD, GP2, GL, GS) which were subjected to simultaneously  
8 rainfall and inflow can represent the downslope runoff characteristics, while the trials (i.e., BS, GP1)  
9 which were subjected to only rainfall can represent the upslope runoff characteristics (Table 1).

### 1 *2.3. Data measurement and analysis*

2 Prior to the experiment, a pilot simulated rainfall was applied to wet each plot and ensure a steady flow  
3 state during the observing process. The pilot rainfall had a same intensity as the experimental rainfall,  
4 and it lasted for 15-20 minutes to reach a constant outflow rate for each permeable plot. The  
5 experimental duration mainly varied from 20 to 30 min with the exception of the SD1 at 2.6% slope.  
6 The exception lasted for 50 min because it took more time to measure surface flow velocity and water

7 depth. The outlet runoff of the plot was collected to ascertain flow rate and sediment concentration if  
8 soil erosion occurred.

9 The 5 m long plot was divided into five 1-m slope segments from up- to down- slope (i.e., 0-1m,  
0 1-2m, 2-3m, 3-4m and 4-5m), which corresponded to lengthwise cross sections (CSS) of 0.5 m, 1.5 m,  
1 2.5 m, 3.5 m and 4.5 m. For each slope segment, five or nine measuring stretches paralleled flow  
2 direction were set to record stop-watch readings using dye tracer (KMnO<sub>4</sub>) method (Figure 1). The  
3 surface flow velocities ( $V_s$ ) along all stretches were averaged to calculate  $V_s$  of the slope segment. At  
4 the middle (2.5 m) CSS, water depths corresponding to the stretches of flow velocity were recorded via  
5 a digital measuring needle with an error of less than 0.04 mm. Water depth was calculated by the  
6 elevation difference between ground surface and water surface. Because the measuring needle was  
7 easily inserted into soft bases, the water depth was measured more accurately for the sandpaper surface  
8 than for the grass plot and bare soil surfaces. Therefore, for the sandpaper surface, mean velocity was  
9 first calculated by the volume equation ( $V=q/h$ ), and the correction factor ( $\alpha$ ) in Eq. 5 at the 2.5-m cross  
0 section was used to extrapolate mean flow velocities at other sections based on the determined  $V_s$ . For  
1 the other surfaces, mean velocity ( $V$ ) was calculated by the measured surface flow velocity ( $V_s$ )  
2 multiplied by a correlation factor  $\alpha$  as Eq. 5:

$$3 \quad V = \alpha \cdot V_s \quad (5)$$

4 where  $\alpha$  ranges from 0 to 1.0. Judged from the flow Reynolds number, the flow vertical structure was  
5 assumed to be a laminar regime, and  $\alpha$  was determined to be 0.67 for the bare soil and grass plots  
6 (Horton et al., 1934).

7 Under rainfall conditions, due to rainfall runoff and possible infiltration loss, flow rates  $q$  at  
8 lengthwise cross sections tended to differ from up- to down-slope. Without considering spatial

9 heterogeneity of soil infiltration and evaporation,  $q$  can be calculated using Eq. 6:

$$0 \quad q = q_{out} - \left( \frac{q_{out} - q_{in}}{L} \right) \cdot (L - l_s) \quad (6)$$

1 where  $q$  is the flow rate of different cross sections ( $\text{m}^2 \text{s}^{-1}$ ),  $q_{in}$  and  $q_{out}$  refer to the flow rate into and out  
2 of plot.  $L$  is the total length of the plot or flume (m),  $L=5$  m in this study; and  $l_s$  is cross section location,  
3 expressed as the distance (m) to the up-slope end. For impermeable or weakly permeable surfaces,  
4  $(q_{in}-q_{out})/L$  can be replaced by rainfall intensity per unit area.

5 When soil erosion occurs and flurry water flows into the flume, the kinematical viscosity of  
6 silt-laden water is adjusted using Sha (1965) equation:

$$7 \quad v_m = \frac{v}{1 - \frac{S_v}{2\sqrt{d_{50}}}} \quad (7)$$

8 where  $v_m$  and  $v$  are the kinematical viscosity of flurry and clear water, respectively;  $S_v$  is the sediment  
9 concentration by volume percentage;  $d_{50}$  is the sediment grain median particle diameter; and  $v$  can be  
0 estimated by the measured water temperature.

1 The Reynolds number (Re), defined as the ratio of inertial forces to viscous forces, can be expressed  
2 by Eq. 8 for both flurry and clear water flow:

$$3 \quad \text{Re} = \frac{\rho_m V h}{\rho v_m} \quad (8)$$

4 where  $\rho_m$  and  $\rho$ , respectively, refer to the density of flurry and clear water, and  $v_m$  is the kinematical  
5 viscosity of flurry water.

6 Due to the grass roots and moss cover, a negligible erosion rate occurred on the bare soil and grass  
7 plots, and the maximum eroded sediment concentration did not exceed  $1.0 \text{ kg m}^{-3}$  by sampling outflow  
8 runoff. For all of the treatments, no visible rills appeared, and the submerged area was almost  
9 equivalent to the bed area due to the relatively flat plot surfaces. Analysis of variance (ANOVA) was

0 used to examine the influence of slope gradient and across section on hydraulic characteristics, and  
1 multiple comparison was further to classify the homogeneous subsets if there was statistical  
2 significance within a group.

### 4 3. Results and analysis

#### 5 3.1 Overland flow

##### 6 3.1.1 Surface flow velocity

7 The measured  $V_s$  generally increased with increasing  $S$ . However, for the granular surfaces (i.e., BS,  
8 SD1, SD2), the  $V_s$  changed little when  $S$  is steeper than 15% (Table 2). Between the different gauging  
9 cross sections (CSSs), there was a small variation in  $V_s$  with exception of BS and GP1 (Table 2).

0 For the impervious or low-infiltration slopes, the additional flow rates due to rainfall gradually  
1 increased with the downward CSSs (Eq. 6). For instance, for the SD1, the 30 mm h<sup>-1</sup> rainfall increased  
2 runoff rate from 0.25 to 2.25 L min<sup>-1</sup> m<sup>-1</sup> corresponding to 0.5-m to 4.5-m CSSs, which accounted for 5%  
3 to 45% of the inflow rate of 5 L min<sup>-1</sup> m<sup>-1</sup>. Due to the rainfall runoff gathering effect, both  $S$  and CSS  
4 would have effects on the  $V_s$ , and the ANOVA method was used to discuss their effects.

5 For BS and GP1 only subjected to simulated rainfalls, both  $S$  and CSS have a significant effect on  
6  $V_s$ . However, for the other treatments (i.e., SD1, SD2, GP2, GL and GS) which were subjected to both  
7 inflows and rainfalls,  $S$  had more effect on  $V_s$  than CSS, and the contribution of  $S$  to the total variance  
8 in  $V_s$  exceeded 90%. These results indicated that the measured  $V_s$  on the upslope under rainfall  
9 conditions could not represent the whole slope.

Table 2.
----------

0 The relationship between flow velocity ( $V_s$ ) and flow rate ( $q$ ), slope steepness ( $S$ ) was regressed by Eq.

1 9 (Emmett, 1970):

$$2 \quad V_s = \beta S^m q^n \quad \text{or} \quad \log V_s = \log \beta + m \log S + n \log q \quad (9)$$

3 where  $\beta$ ,  $m$  and  $n$  are the regressed constants. The transformed logarithmic line can be easily obtained  
4 using the stepwise multivariate regression analysis (Table 3).

Table 3.

5 Combining Eq. 5 and Eq. 9 with Eq. 1, one can obtain Eq. 10.

$$6 \quad f = \frac{8ghS}{V^2} = \frac{8gqS}{(\alpha\beta S^m q^n)^3} = \frac{8g}{\alpha^3 \beta^3} S^{1-3m} q^{1-3n} \quad (10)$$

7 From Eq. 10, if  $f$  has no relation with  $S$ , the exponent  $m$  corresponds to 1/3. Horton et al. (1934)  
8 suggested that  $m$  equals 0.33 for a laminar overland flow regime. However,  $m$  corresponds to 0.3 for a  
9 turbulent flow regime based on a constant Manning roughness coefficient. Correspondingly, the  
0 discharge exponent  $n$  should be 0.67 for a laminar regime and 0.4 for a turbulent regime (Emmett,  
1 1970).

2 The  $m$  values exceed 0.33 except for all the granular surfaces (i.e., BS+SD1+SD2, Table 3), which  
3 implies that  $S$  may have a negative effect on  $f$  (Eq. 10), especially for the grass plot treatments.  
4 However, for the treatment (BS+SD1+SD2), a much greater  $n$  value (0.923) suggests a possibly  
5 spurious regression, even if there is an extreme significance ( $P < 0.001$ , Table 3). For BS,  $V_s$  at a 50%  
6 slope is smaller than those at 42.3% and 34.2% slopes, and so did  $V_s$  on some CSSs on the GL (Table 2)  
7 under the same flow rate. These results indicate that experiential regression analysis sometimes  
8 undermines the mechanism recognition (Holden et al., 2008).

9

### 0 3.1.2 Flow hydraulics

1 Re, for all of the treatments, ranged from 30 to 1400 (Table 4). Judged from the open channel standard,

2 these overland flows should belong to laminar flow condition ( $Re < 2000$ ). The colour dye tracing  
3 observation also suggested that these flows should be closer to laminar flow than turbulent flow due to  
4 the visible filamental flow lines.  $Re$  gradually increased along the downward CSSs due to the rainfall  
5 runoff gathering effect (Eq. 6).

Table 4.

6  $Fr$  increased with increasing  $S$ . Under the similar flow rate,  $Fr$  at the 25.9% slope was 3-6 times as  
7 much as that at the 5.2% slope for the SD1, SD2, GP2, GL and GS treatments. Due to the difference in  
8 experimental conditions, the granular surfaces had the different threshold slope gradients dividing into  
9 subcritical (i.e.,  $Fr < 1$ ) and supercritical (i.e.,  $Fr > 1$ ) flow regimes. The threshold  $S$  is 25.9% for BS, and  
0 10.5% for SD. However, due to the additional grass resistance, all the flows for the grass plot  
1 treatments belonged to the subcritical flow regimes with the exception of the 4.5-m CSS at the steepest  
2 50% slope (Table 4). For SD, visible roll waves appeared except for on the 2.6% slope. Roll waves  
3 were not captured on the other surfaces, which may be due to the relatively low  $Fr$ , and high rainfall  
4 intensity.

5 For the BS and GP1 (representing upslope runoff characteristics),  $Re$  and  $Fr$  significantly increased  
6 with the downward cross sections. The  $Re$  and  $Fr$  values at the 4.5-m cross section were respectively  
7 8-10 times and 2-4 times those on the 0.5-m section. This implies that for the overland flow on  
8 hillslopes there would be varying flow regimes which closely relate to flow resistance (Chow, 1959).

9 Under the same conditions, statistical analysis showed that the grass plots had significantly ( $p=0.01$ )  
0 greater  $f$  than the granular surface (GP1 vs. BS; GP2 vs. SD2 at 25.9% slope), and the GL and GP2 had  
1 significantly ( $p=0.01$ ) greater  $f$  than the GS.

2

3 3.2 The relationship between resistance and slope steepness

4 3.2.1 Granular surfaces

5 For BS under  $30 < Re < 320$ , as  $S$  increased from 8.7% to 50%,  $f$  first decreased and then increased, and  
6 the minimum  $f$  almost corresponded to the 25.9% slope (Figure 2a). For SD under  $350 < Re < 550$  (SD1)  
7 and  $Re \approx 1000$  (SD2), there was a similar  $f$ - $S$  relation:  $f$  first increased, then decreased and increased  
8 again with increasing  $S$ , and the minimum  $f$  value occurred at the 10.5% slope (Figure 2b and 2c). For  
9 all the granular surfaces,  $S$  generally had no significant correlation with  $f$  with exception of the 2.5-m  
0 CSS for SD1 (Table 5). The greater  $f$  tended to occur at a gentle (i.e., 5.2-8.7%) or a steep slope (i.e.,  
1 25.9-50%) (Figure 2), which implies that there may be a threshold slope gradient corresponding to a  
2 minimum  $f$  for inundated overland flows.

Table 5.

3

Figure 2.

4 The multiple comparisons in ANOVA were used to discuss the effect of  $S$  and CSS on  $f$  (Table 6).  
5 For BS, CSS had even greater influence on  $f$  than  $S$  (Table 6). Multiple comparisons showed that the  
6 0.5-m CSS had a significantly greater  $f$  than the 1.5-m, 2.5-m, and 3.5-m CSSs, and all of them had a  
7 greater  $f$  than the 4.5-m CSS; and the lowest and steepest slopes of 8.7% and 50% had a greater  $f$  than  
8 the other slopes.

Table 6.

9 For SD1 under  $350 < Re < 550$ , due to the relatively great variation in  $f$  (Figure 2b), both  $S$  and CSS  
0 had no significant ( $p=0.05$ ) effect on  $f$ . For SD2 under  $980 < Re < 1160$ ,  $S$  mainly controlled the variance  
1 in  $f$  compared to CSS, and the slopes of 5.2% and 25.9% corresponded to the greater  $f$  than the other

2 slopes. The greater influence of the CSS on  $f$  for BS than for SD1 and SD2 indicates that the gauging  
3 cross section would have an important impact on  $f$  on upslope, rather than on downslope.

#### 5 3.2.2 Grass plots

6 For GP1 under  $30 < Re < 320$ ,  $S$  had no significant ( $p=0.05$ ) effect on  $f$  at  $8.7\% < S < 50\%$  (Table 5 and  
7 Figure 3). However, for GP2, GL and GS under  $Re \approx 1000$ ,  $S$  had a significantly negative correlation  
8 ( $p=0.01$ ) with  $f$ , and the negative  $f$ - $S$  correlation occurred for each cross section (Table 5 and Figure 3).

Figure 3.

9 ANOVA shows that for GP1, CSS had a more significant effect on  $f$  ( $p < 0.001$ ) than  $S$ . The 0.5-m  
0 CSS had a significantly greater  $f$  than the others, and the 4.5-m CSS had a smaller  $f$  than the 0.5-m and  
1 1.5-m CSSs (Table 6 and Figure 3). The great variability in  $f$  with CSSs may be attributed to the low  
2 flow discharge or  $Re$  due to the limited plot size under the simulated rainfall. However, Parsons et  
3 al. (1994) suggested that plot size had no clear effect on  $f$  on grasslands or shrub lands under the field  
4 conditions. The overland runoff flows regularly on the flat plot surfaces in this study, while the flow  
5 lines and width altered greatly due to the micro topography fluctuation and the covered gravels in the  
6 experiments of Parsons et al. (1994). The additional resistance due to topography fluctuations became a  
7 dominant component, which would offset the effect of  $Re$  on  $f$  (Hirsch, 1996).

8 For GP2, GL and GS,  $S$  had a more significant ( $p < 0.001$ ) effect on  $f$  than CSS (Table 6). The  
9 relatively gentle slopes of 5.2% and 10.5% had greater  $f$  than the steep slopes of 15.6%-25.9%.

0 For GP2 and GS where  $Re \approx 1000$ ,  $f$  first increased slightly at 5.2%-10.5% slopes, and then decreased  
1 sharply with increasing slopes. The pattern differed from the GL. The smaller  $f$  at the 5.2% slope than  
2 10.5% for the GP2 and GS may be due to more deposited sediment which filled up part of depressions



3 and smoothed the plot surface (Pan et al., 2010). Nonetheless, the deposited sediment of the gentle  
4 slope had a minor effect on the GL surface due to the protruded grass litter.

5 As  $S$  increased from 10% to 26% on the grass plots,  $f$  decreased by 50% for GP2 under  $Re \approx 1000$  and  
6 kept almost constant for GP1 under  $30 < Re < 320$  (Figure 3). The different  $f$ - $S$  relations for the GP1 and  
7 GP2, as well as for the granular surfaces indicate that the resistance to overland flow is not a function  
8 of  $S$ , and more likely to be affected by other hydraulics.

9

### 0 *3.3 The effect of slope on the relationship between resistance and Re number*

#### 1 *3.3.1 Granular surfaces*

2 For BS, Eq. 2 could well describe the  $f$ - $Re$  relation for each slope steepness, and ANOVA showed that  $S$   
3 had no significant effect on the  $b$ -values (ranging from 0.68 to 1.02) in the fitted logarithmic lines  
4 ( $\log f = \log a - b \log Re$ ). Therefore, Eq. 3 was used to analyse the  $f$ - $Re$  relation, and all the fitted equations  
5 were significant at the  $p=0.01$  level (Figure 4).

6 The fitted  $K$  values ranged from 138 to 289, which is approximately 1.5 to 3.0 times the value (96)  
7 for smooth surfaces (Horton, 1934), and the gentlest (8.7%) and steepest slope (50%) correspond to a  
8 significantly ( $p=0.05$ ) greater  $K$  value (289 and 236) than the others (Figure 4).

Figure 4.

9 For SD where  $350 < Re < 1200$ , the  $f$ - $Re$  relation for each slope steepness was also fitted by Eq. 3, and  
0 each was significant at the  $p=0.01$  level. The fitted  $K$  values ranged from 280 to 406, which is 2.9 to 4.2  
1 times the value (96) for a smooth surface, and the maximum  $K$  value corresponds to the steepest slope  
2 (25.9%, Figure 5). The slopes of 5.2% and 25.9% had a significantly greater intercept value (384 and  
3 406) than the other slopes (281-324).

Figure 5.

4 As  $S$  increases from 10% to 26%, the sandpaper surface generates an increasing  $K$  value ranging  
5 from 290 to 410 under  $350 < Re < 1200$ , but the bare soil had a decreasing  $K$  value ranging from 290 to  
6 138 at 10-17% slopes and an almost constant  $K$  value for 17-26% slopes when  $30 < Re < 320$ . This  
7 indicates that there is no consistent  $f$ - $S$  relation for granular surfaces under different  $Re$  conditions.

### 9 3.3.2 Grass plots

0 For GP1 ( $30 < Re < 320$ ), Eq. 2 could well describe the  $f$ - $Re$  relation, and the fitted  $b$  values ranged from  
1 0.90 to 1.21. There was no significant difference ( $p=0.05$ ) in  $b$  and  $\log a$  between different slopes  
2 (8.7-50%) in the fitted logarithmic lines. This implies that  $S$  has no significant ( $p=0.05$ ) effect on the  
3  $f$ - $Re$  relation on grass plots under low  $Re$  values.

Figure 6.

4 Eq. 3 was also used to fit the  $f$ - $Re$  relation, and all of the fitted equations were significant at the  
5  $p=0.01$  level (Figure 6). The  $K$  values varies from 993 to 1709, which is much greater than that  
6 (138~289) of the bare soil (BS) under the same conditions (Figure 4).

7 For the GP2, GL and GS treatments under  $Re \approx 1000$ , Eq.2 or 3 lost its efficacy, and even  $f$  had an  
8 increasing trend with  $Re$ . The  $f$ - $Re$  relation for the GP1 and GP2 corresponds to a concave curve when  
9  $30 < Re < 1200$ . This result is in line with Abrahams et al. (1994), who suggested that the equation  
0  $f = aRe^{-b}$  is not always valid to predict resistance to overland flow, especially on vegetated or  
1 stone-covered hillslopes.

### 3 3.4 Partitioning resistance on grass plots

#### 4 3.4.1 The contribution of grain resistance

5 According to Eq. 4, the resistance  $f$  in the grass plots without litter ( $f_{grass}$ ) mainly derives from the grain  
6 surface ( $f_{grain}$ ) and above-ground grass components when  $f_{rain}$  and  $f_{wave}$  is negligible due to the 70%  
7 grass cover and steep slopes (Savat, 1977; Abrahams et al., 1994; Hirsch, 1996; Lawrence, 2000).

8 Because all the treatments had similar grain surface characteristics, under the same rainfall or/and  
9 inflow conditions, the  $f$  obtained from the granular surfaces (BS, SD2) could represent the  $f_{grain}$  in the  
0 resistance  $f$  of GP1 and GP2 respectively (Rauws, 1988; Thompson et al., 2004). The  $f_{BS}$ ,  $f_{SD2}$ ,  $f_{GP1}$  and  
1  $f_{GP2}$  respectively represent the hydraulic resistances of BS, SD2, GP1 and GP2, and the contribution of  
2 grain resistance to grass plot ( $f_{grain}/f_{grass}$ ) was respectively calculated as ( $f_{BS}/f_{GP1}$ ) under  $30 < Re < 320$  and  
3 ( $f_{SD2}/f_{GP2}$ ) for  $Re \approx 1000$  (Figure 7).

4 Under  $30 < Re < 320$ , the average value of ( $f_{grain}/f_{grass}$ ) was 21% at 8.7-50% slopes. This means that  
5 grass plantation may add approximately four times the resistance of a bare soil surface. The proportion  
6 first decreases, and then increases with increasing  $S$  (Figure 7a). ANOVA shows the 50% slope has a  
7 significantly ( $p=0.05$ ) greater contribution of  $f_{grain}$  than the other slopes where no significant difference  
8 exists.

Figure 7.

9 Under  $Re \approx 1000$ , the ( $f_{grain}/f_{grass}$ ) value varied from 0.6% to 2.8% and positively related with  $S$ . The  
0 positive correlation may be mainly due to the decrease in form resistance derived from grass plots with  
1 increasing  $S$  (Figure 3b). At the slopes of 10.5-25.9%, as  $Re$  increased from 320 to 1000, the  
2 contribution of grain resistance abruptly decreases from approximately 20% to 1% (Figure 7).

Figure 8.

3 Furthermore, based on the resistance partitioning, the grain (bed) shear stress ( $\tau_b$ ) on the grass plots

4 was calculated by the total shear stress ( $\tau$ ) multiplied by ( $f_{grain}/f_{grass}$ ) as Eq. 11 (Rauws, 1988; Prosser et  
5 al., 1995):

$$6 \quad \tau_b = \tau(f_{grain} / f_{grass}) = \rho g h S (f_{grain} / f_{grass}) \quad (11)$$

7 The  $\tau_b$  is equivalent to total shear stress on granular surfaces. For both the grass plot and granular  
8 surfaces,  $\tau_b$  increased with increasing slopes (Figure 8). This indicates that the increasing slope  
9 steepness is prone to soil erosion occurring on both bare soil and vegetated slopes (Morgan, 1986; Fox  
0 and Bryan, 2000).

1 For the granular surface,  $\tau_b$  varied from 0.9 to 2.5 N m<sup>-2</sup> when 30<Re<320 at 8.7-50% slopes, and  
2 from 0.7 to 2.2 N m<sup>-2</sup> when Re≈1000 at 5.2-25.9% slopes. At the same slope of 25.9%, the increment in  
3 Re led to the doubled  $\tau_b$ .

4 For the grass plot,  $\tau_b$  varied from 0.3 to 1.1 N m<sup>-2</sup> when 30<Re<320 at 8.7-50% slopes, and from  
5 0.04 to 0.17 N m<sup>-2</sup> when Re≈1000 at 5.2-25.9% slopes. At the same slope of 25.9%, the increased Re  
6 led to a 60% reduction in  $\tau_b$  but also had a four times increase in  $\tau$  (from 2.4 to 9.7 N m<sup>-2</sup>). For each  
7 slope steepness, the granular surface correspond to a significantly greater (p=0.01)  $\tau_b$  than the grass plot.  
8 The former were 2-4 times for 30<Re<320 and 15-35 times for Re≈1000 greater than the latter (Figure  
9 8). This implies that the increasing runoff rate or Re would not increase the erosion rate for grassed  
0 hillslope. Under the same conditions, the granular surfaces correspond to a significantly greater (p=0.01)  
1  $\tau_b$  than the grass plots. This result partly explains the effectiveness of vegetated slopes in controlling  
2 soil erosion, especially under relatively high Re conditions (i.e., Re≈1000).

3

#### 4 3.4.2 The resistance components for grass plots

5 Under Re≈1000 conditions, the contribution of the grass components, including stems, litter and leaves,

6 to total resistance  $f_{total}$  was further analysed under the assumption that these resistance components  
7 accord with additive rules (Weltz et al., 1992; Abrahams et al., 1994):

$$8 \quad f_{total} = f_{grain} + f_{litter} + f_{stems} + f_{leaves} \quad (12)$$

9  $f_{grain}$ ,  $f_{stems}$ ,  $f_{leaves}$  and  $f_{litter}$  represent the hydraulic resistance caused by grass stems, leaves, litter and  
0 granular bed, respectively, and they can be calculated as  $f_{total} = f_{GL}$ ,  $f_{grain} = f_{SD2}$ ,  $f_{litter} = f_{GL} \cdot f_{GP2}$ ,  $f_{stems} =$   
1  $f_{GS} \cdot f_{SD2}$  and  $f_{leaves} = f_{GP2} \cdot f_{GS}$ .

2 Just as the total resistance for GL decreased with increasing  $S$  (Figure 2c), the  $f_{stems}$ ,  $f_{leaves}$  and  $f_{litter}$   
3 also had a decreasing tendency with  $S$  (Figure 9a).

Figure 9.

4 In the four resistance components, grass leaves had the maximum contribution to the total resistance,  
5 which accounted for 45-56% with an average of 52%; the secondary one was grass stems which  
6 accounted for 18-38% with an average of 31%; the third contributor was grass litter, which accounted  
7 for 0 to 34% with an average of 16%; and the grain resistance only covered a 0.5% to 1.5% with an  
8 average of 0.7% (Figure 9b). The resistance due to leaves was almost two times as much as the  
9 resistance due to stems.

## 1 4. Discussion

### 2 4.1 The factors impacting resistance

3 The dimensionless variables  $S$ ,  $Re$ ,  $Fr$ , and  $h/(d_{50}/2)$  (the inundation ratio) (Lawrence, 1997; Hirsch,  
4 1996; Takken and Govers, 2000) were selected to discuss the key factors impacting  $f$  to overland flow  
5 using multivariate regression analysis. The variables related to raindrop impact were excluded because  
6 each treatment was subjected to simulated rainfall, and the relatively steep  $S$  (Savat, 1977). The

7 relationships between  $f$  and these variables are commonly described with a power function (Hirsch,  
8 1996; Lawrence, 1997), so all of them are transformed into logarithmic form.  $h/(d_{50}/2)$  can be replaced  
9 by  $h$  due to the same grain  $d_{50}$  for all treatments.

Table 7.

0 Compared with  $h$ ,  $S$  and  $Fr$ ,  $Re$  is the most important to all the granular surfaces, and the exponent of  
1  $Re$  (0.845~1.373) is close to 1.0, the theoretical value in a laminar flow regime (Table 7).

2 For the grass plots under  $30 < Re < 320$ ,  $f$  also has a close relationship with  $Re$ , and the exponent of  $Re$   
3 almost equals to 1.0 (Table 7). However, as  $Re$  increases ( $Re \approx 1000$ ), the  $f = KRe^{-1}$  equation will greatly  
4 underestimate  $f$ , and  $f$  even positively correlates with  $Re$ . A threshold  $Re$  (approximately 500)  
5 corresponds to the minimum  $f$  value (2-3, Figure 10a).

Figure 10.

6 For the grass treatments when  $Re \approx 1000$ ,  $Fr$  has a closer correlation with  $f$  than  $Re$ ,  $S$  and  $h$ . In fact,  $h$   
7 also has a significantly positive relationship with  $f$  under  $h > 2$  mm, but has a negative correlation with  $f$   
8 under  $h < 2$  mm (Table 7 and Figure 10b). This result indicates that  $Fr$ , or  $h$ , rather than  $Re$ , would be  
9 suitable variable in predicting  $f$  for vegetated hillslopes, especially under relatively high  $Re$  values  
0 ( $Re \approx 1000$ ). This result agrees with Abrahams et al. (1994), who found that  $Re$  has a negligible effect on  
1  $f$  for field grass and shrub hillslopes in a semi-arid area. From the perspective of a resistance forming  
2 mechanism,  $Fr$  can explain  $f_{wave}$ , and  $h$  or  $h/(d_{50}/2)$  mainly reflects  $f_{form}$ , which closely relates with  
3 (Abrahams and Parsons, 1994; Hirsch, 1996; Lawrence, 1997).

4 The results listed in Table 7 imply that  $f$  may be closely related with  $Re$  for granular surfaces and  
5 with  $Fr$  for vegetated slopes, and the effect of  $S$  on  $f$  would derive from the variation of  $Fr$  or  $h$  with  $S$   
6 (Table 4).

7

## 8 4.2 The effect of slope steepness on resistance

### 9 4.2.1 Granular surface

0 For the granular surfaces, the greater  $f$  occurred at the gentle or steep slope gradients (Figure 2). The  $K$   
1 values in Eq.3 (Figure 4 and 5) for different slopes were plotted in Figure 11. Savat (1980) also  
2 examined the validity of the equation  $f=KRe^{-1}$  for granular surfaces, and suggested that  $K$  value  
3 increases with  $S$  in a laminar flow regime ( $f$ - $S$  curve in Figure 11). Obviously, the  $f$ - $S$  curve calculated  
4 using Savat (1980) formula is not in line with this study.

Figure 11.

5 According to Eq.3, the average value of  $K$  is approximately 330 for SD and 190 for BS (Figure 4 and  
6 5). These are shown as the two level lines ( $f$ - $Re_{1\sim 2}$ ) in Figure 11. So the  $f$ - $Re$  relation would not  
7 explain the resistance variation across slopes. At the same slope steepness, the greater  $K$  value for SD  
8 than BS may be related to the visible roll waves occurring on SD. The periodic roll waves tended to  
9 increase flow shear stress and augmented the potential of soil erosion (Liu et al., 2005).

0 Lawrence (1997) suggested a resistance model based on the inundation ratio (defined as  $h/(d_{50}/2)$ ).  
1 The model included three sub-models for different inundation ratios: a drag force sub-model for partial  
2 inundation (PI,  $h/(d_{50}/2)\leq 1$ ), a mixed length sub-model for marginal inundation (MI,  $1 < h/(d_{50}/2) < 10$ )  
3 and a rough flow sub-model for well-inundated flow (WI,  $h/(d_{50}/2)\geq 10$ ). The  $h/(d_{50}/2)$  varied from 16.4  
4 to 5.6 for SD, and from 8.8 to 2 for BS. So the “Rough flow” and “Mixing length” sub-models are  
5 applied to predict  $f$ , and the models greatly underestimate  $f$  (original model for granular surfaces in  
6 Figure 10b). If the inundation ratio  $h/(d_{50}/2)$  is replaced with  $h/d_{50}$ , the “Mixing length” sub-model  
7 would more effectively predict  $f$  (adjusted prediction for granular surfaces in Figure 10b).

8 The  $f$  calculated by the Lawrence model increases with increasing  $S$  ( $f$ - $h$  dashed lines in Figure 11).

9 The decrease in  $h/(d_{50}/2)$  with increasing  $S$  could partly explain the increasing tendency of  $f$  at  $S>10.5\%$   
0 for SD, and at  $S>18.7\%$  for BS. The curves of  $f$ - $h_{2}$  and  $f$ - $h_{4}$  in Figure 11 also appear to be possible  
1 when the threshold inundation ratio alters slightly (i.e., 2 or 5). Nonetheless, all of the possibilities in  
2 the  $f$ - $h/(d_{50}/2)$  relation cannot explain the greater  $f$  at the gentle slopes.

3 The  $f_{\text{rain}}$  may give an explanation to the greater  $f$  at gentle slopes than at steep slopes. For the  
4 granular surfaces,  $h$  varied from 0.2 to 2.1 mm (Table 4), and the raindrop diameters mainly ranged  
5 from 1 to 2 mm. The  $f_{\text{rain}}$  at gentle slopes would be an in-negligible contributor to  $f$ , and its contributor  
6 tends to weaken with increasing  $S$  (Shen and Li, 1973; Savat, 1977; Kinnell, 1991). Nonetheless, it still  
7 cannot explain the greater  $f$  at the 5.2% slope than at the 2.6% slope for SD (Figure 2).

8 Another additional resistance would be derived from roll waves. In fact, for SD, obvious roll waves  
9 appeared on all slopes except for 2.6%. Some work has also testified that roll waves commonly occur  
0 in overland flow, especially on steep hillslopes (Emmett, 1970; Lu and Li, 2002; Liu et al., 2005), and  
1 they related to  $Fr$  (Julien and Hartley, 1986). Hirsch (1996) even suggested that roll waves become a  
2 main contributor to  $f$  under high  $Fr$  (i.e.,  $Fr>0.6$ ). For SD, It is interesting that no visible roll wave  
3 occurred at the 2.6% slope, but the 5.2% slope generated a larger wave height (0.46 mm under  
4  $350<Re<550$  and 1.06 mm under  $Re\approx 1000$ ) than the other slopes (10.5-25.9%). If the difference in  $f$   
5 between the 2.6% and 5.2% slopes represents  $f_{\text{wave}}$ , it accounts for a quarter of the total resistance at the  
6 5.2% slope, which can also explain the greater  $K$  value for SD than for BS (330 vs. 190, Figure 11).

7  $Fr$  generally increased with increasing  $S$  for the granular surfaces. For BS, 25.9% is the threshold  
8 slope separating subcritical flows (i.e.,  $Fr<1$ ) from supercritical (i.e.,  $Fr>1$ ) flow regimes; and for SD,  
9 the threshold slope is 10.5%. It is a coincidence that the 25.9% and 10.5% slopes corresponded to the



0 minimum  $f$  value for BS and for SD, respectively (Figure 2). It hints that  $f$  would decrease with  $S$  under  
1 subcritical flow regimes, and increase with  $S$  under supercritical flows.

2 However, unfortunately, there is limited information on the effect of flow regimes and roll waves on  
3  $f$ . They are also important to soil erosion processes on steep loess slopes (Lu and Li, 2002; Liu et al.,  
4 2005). Therefore, It is worth conducting further experiments on them for overland flows.

5 To sum up, on granular surfaces, although  $Re$  can well predict the resistance (Table 7 and Figure  
6 10a), the independent variables  $Re$ ,  $S$ , and  $h/d_g$  cannot explain the variation in  $f$  with  $S$ . The roll waves  
7 and flow regimes would give important implications to the resistance formation.

#### 9 4.2.2 Grass plots

0 For the grass plot treatments with  $Re \approx 1000$ ,  $S$  had a significantly negative correlation with  $f$ , but no  
1 relation with  $f$  when  $30 < Re < 320$  (Table 5 and Figure 3). Abrahams et al. (1994) and Hirsch (1996)  
2 suggested that  $f_{grain}$  was calculated by Eq. 2, and  $f_{stems}$ ,  $f_{leaves}$  and  $f_{litter}$  positively correlated with the  
3 fractional cover ( $C$ ) of the ground surface covered by grass stems, leaves and litter. So  $S$  cannot lead to  
4 the variation in  $f$  for each treatment under the same  $Re$  condition (the dotted  $f-Re, C$  line in Figure 12),  
5 which disagrees with the negative  $f-S$  relation for GS, GP2 and GL (Figure 12). Meanwhile, on the  
6 grass plots, a greater  $f$  occurred with  $Re \approx 1000$  than when  $30 < Re < 320$ , which also does not correspond  
7 with the common recognition of a negative  $f-Re$  relation. These results imply that the resistance model  
8 based on  $Re$ ,  $C$  may be invalid when  $S$  or/and  $Re$  changes greatly for vegetated slopes.

Figure 12.

9 The negative  $f-S$  relation for the grass plot treatments can relate with the inundation ratio ( $h/(d_{50}/2)$ ).

0 Lawrence (1997) suggested that  $f$  increases with the inundation ratio based on the “Drag force”

1 sub-model for PI when  $h$  is much shallower than the predominant grass stem height ( $>3$  cm) (i.e.,  
2  $h/(d_{50}/2)<1$ , Figure 10b). However, the parameters in the "Drag for" sub-model, such as coefficient of  
3 drag and the projected frontal area exposed to the flow field, are difficult to define because they vary  
4 with the actual shape of the obstacles. Therefore, the optimized model for the grass plot (Figure 10b)  
5 was obtained by optimization calculation. Although there are relatively large differences between the  
6 observed and predicted  $f$ , they have a similar decreasing trend in the  $f$ - $S$  relation (Figure 12). The  
7 differences also highlight the importance of grass leaves and litter to  $f$ . However, for GP under  
8  $30<Re<320$ ,  $f$  decreases with increasing  $h$  or  $h/(d_{50}/2)$  (Figure 10b), so the "Drag force" sub-model  
9 loses its efficiency. The above results indicate that for vegetated slopes, the "Drag force" sub-model  
0 should be more suitable to mirror the resistance mechanism under higher  $Re$  or  $h$  conditions (e.g.,  $h>2$   
1 mm).

2 Generally, the model based on  $Re$  and the fractional cover ( $C$ ) is difficult to mirror the effect of  $S$  on  
3  $f$ ; and the "Drag force" sub-model based on inundation ratio can capture the  $f$ - $S$  variation trend, but its  
4 effectiveness mainly depends on the range of water depth and the assigned model parameters.

#### 6 *4.3 The importance of re-grassed slopes to runoff and erosion dynamics*

7 Compared to the granular surfaces, the grass plots significantly increased  $f$  to overland flow (Figure  
8 10a). The contribution of grain resistance to grass plot was approximately 20% under  $30<Re<320$  and 1%  
9 under  $Re\approx 1000$ . Abrahams and Parsons (1991) and Abrahams et al. (1994) also highlighted the  
0 importance of surface standing components to total resistance when  $1000<Re<5000$ . They found that  
1 the grain resistance always contributes less than 10% for desert pavement slopes and for grassland and  
2 shrubland, Walnut Gulch, southern Arizona. Prosser et al. (1995) suggested that over 90% of flow

3 resistance is exerted on plant stems for a well-covered grassland when  $Re > 10000$ . In this study, if  $Re$   
4 continuously increases ( $Re > 1000$ ),  $f_{grain}$  may decrease in the laminar flow regime, or almost keep a  
5 constant with a small value in the turbulent regime, but  $f$  on grass plots may increase due to the  
6 increasing inundated water depth (Figure 10b). Therefore, the  $f_{grain}$  will be always negligible for the  
7 grass plot. However, the negligible proportion of  $f_{grain}$  may be attributed to the plane granular bed  
8 surface.

9 When  $Re \approx 1000$ , the resistance of grass plot is 40-160 times as much as that of the granular surface  
0 (Figure 7b), which means that the former flow velocity would be 1/5-1/3 of the latter according to Eq. 1.  
1 Therefore, re-vegetation will significantly prolong runoff duration from slopes to gullies or rivers  
2 (Emmett, 1970). Additionally, vegetation cover can also strengthen soil infiltration capacity and  
3 prolong the time to runoff (Morgan et al., 1997; Jiang, 1997). Consequently, re-vegetation could cut  
4 down the flood peak discharge and influence the delivery of nutrients (e.g., nitrogen) in watersheds  
5 (Zhang et al., 2008; Alexander et al., 2000).

6 Compared to the grass plots, the greater bed shear stress (15-35 times) on the bare soil is bound to  
7 increase the possibility of erosion occurrence (Figure 8b), even though the effect of vegetation roots in  
8 strengthening the soil cohesion has not been considered. This finding supports that vegetation can  
9 decrease an order magnitude difference in soil loss rates compared with bare soil plot (Pierson et al.,  
0 1994; Hou and Du, 1985). On the loess plateau of China, with the implementation of the "Grain for  
1 Green" project, vegetation has been widely restored in recent years. This finding also supports an  
2 explanation to the sharp drop in the sediment yield produced from the middle reaches of Yellow River  
3 (Liu et al., 2015).

4 The bed shear stress of the grass plot under  $Re \approx 1000$  was even smaller than that under  $30 < Re < 320$

5 (Figure 8). This may be attributed to the increase in inundated water depth, and more runoff energy  
6 dissipates against the grass components under the relatively high  $Re$  (Figure 10b). On arid or semi-arid  
7 areas, due to the rainfall runoff gathering effect, the downslope tends to correspond to a higher flow  
8 discharge or  $Re$  than the upslope (Jiang, 1997). Therefore, the effect of re-vegetation on runoff and  
9 erosion dynamics may become more significant on downslope than on upslope, which further mirrors  
0 the importance of vegetation spatial distribution. Cerdà (1998) conducted simulated rainfall  
1 experiments to investigate the runoff and erosion behaviour at different slope positions, and  
2 suggested that vegetation is the most important factor determining the soil erosion and runoff rates  
3 within the slope. However, Prosser et al. (1995) found that when  $Re$  exceeded 10000, the bed shear  
4 stress of grassland increased with increasing  $Re$ . The Prosser's finding as well as our results indicates  
5 that for vegetated slopes, a threshold slope length may exist where  $Re$  corresponds to the minimum bed  
6 shear stress, and the effectiveness of vegetation in controlling hillslope soil erosion may be associated  
7 with vegetation spatial distribution and rainfall- runoff characteristics.

8 When  $Re \approx 1000$ , the contribution of grass leaves, stems, litter, and soil grain to the total resistance  
9 were approximately 52%, 31%, 16%, and 1%, respectively. Because such a great contribution (>80%)  
0 derives from leaves and stems, from the perspective of flood control, it would be better to avoid  
1 harvesting grass or grazing pastures in flood period. This result highlights the importance of grass  
2 leaves, which are frequently ignored in overland flow resistance as some leaves are untouched by the  
3 flow (Thompson et al., 2004). A possible reason for the greater  $f_{leaves}$  is that the soft grass leaves  
4 impacted by raindrop impact were lodging on the plot surface. This finding would generalize to the  
5 other well-covered grass species with soft stems and leaves. It further implies that although it is  
6 recognized that vegetation cover has important effects on overland runoff processes, the impact of grass

7 leaves or cover would differ from shrubs or forest stands (Wainwright et al, 1999). The ryegrass in this  
8 study was in the tillering and jointing stages, and the grass strips were well formed with a relatively  
9 high cover. As the perennial grass continuously developed, the accumulated litter would neutralize the  
0 importance of leaves to total resistance. A decrease in grass cover or leaf area index would lower the  
1 efficiency of grass restoration in controlling soil erosion on hillslope, and the spatial distribution of  
2 grass should also be paid attention to due to its possible effect on overland flow path.

## 4 5. Conclusion

5 Experiments on hydraulic resistance to overland flow on the granular and grass plot surfaces under  
6 simulated rainfall and inflow conditions were conducted, and the resistance at varying slopes and its  
7 portioning on the grass plots were discussed. On upslope of a hillslope, the resistance  $f$  in both the  
8 granular and grass plot surfaces gradually decreases with the downward cross sections, and there is a  
9 good relationship between  $f$  and  $Re$ . This indicates that the observed  $f$  based on a small-size runoff plot  
0 under rainfall conditions would be overestimated, and it would be better to observe  $V_s$  or  $h$  on  
1 downslope. However, the effect of plot size on  $f$  may weaken on field hillslopes due to the irregular  
2 surface micro-topography.

3 For the granular surfaces, the greater  $f$  occurred at the gentle and steep slopes, and there existed a  
4 threshold  $S$  (i.e., 10-25%) that corresponded to the minimum  $f$ . Coincidentally, the threshold of  $S$  also  
5 divided into two flow regimes based on  $Fr$  values (i.e.,  $Fr > 1$  or  $Fr < 1$ ), and  $f$  decreased with  $S$  under the  
6 subcritical flows and increased with  $S$  under supercritical flows. The resistance  $f$  on the grass plot  
7 treatments decreased with increasing  $S$  when  $Re \approx 1000$ , which differs from the  $f$ - $S$  relation on the  
8 granular surfaces. This indicates that re-vegetation changes the variation in  $f$  with  $S$ , and that  $S$  is not an

9 independent variable in predicting  $f$  on different hillslopes.

0  $Re$  is a good variable to predict  $f$  for regular granular slopes, and  $Fr$  is more suitable to estimate  $f$  for  
1 vegetated slopes. The variation in  $f$  with  $S$  is difficult to be captured by the popular resistance models.  
2 Therefore, further investigations on the resistance formation mechanism are required to predict  
3 hydrological or soil erosion processes on hillslopes.

4 When  $Re \approx 1000$ , the  $f$  in the grass plot with 50% cover was 40-160 times as much as that on the  
5 granular surface, and the former bed shear stress was only 3-6% of the latter. The contribution of  
6 grass-plot components to total resistance follows grass leaves > stems > litter > soil grain, and grain  
7 resistance is negligible (<1%). The greater resistance contribution caused by grass leaves  
8 (approximately 52%) may be attributed to the leaves touching the plot surface impacted by raindrop  
9 impact. Compared with the granular slopes, the grassed slopes significantly increases  $f$  to overland flow  
0 and decreases flow velocity. Therefore, vegetation restoration will prolong time to slope runoff  
1 generation and concentration and decrease flood peak discharge in river channels. Meanwhile, grass  
2 plantation largely reduces the bed shear stress impacting soil erosion. This hints that re-vegetation will  
3 greatly decrease the potential of soil erosion even if the strengthening effect of vegetation roots on the  
4 soil critical shear stress is not considered. This finding matches the sharp drop in the sediment yield  
5 generated from the middle reaches of Yellow River due to the vegetation restoration in recent years.

6

## 7 Acknowledgements

8 This research was jointly funded by the National Natural Science Foundation of China Project  
9 (grants 41271285, 51309007, 41530858), and the China Scholarship and Beijing Higher Education  
0 Young Elite Teacher Project. The experimental data in this study has been acquired with the help of

1 some anonymous workers. Some data are listed in the tables in the manuscript; any additional data may  
2 be obtained from C. Z. Pan (email: pancz@bnu.edu.cn). The authors would like to express deep  
3 gratitude to the reviewers for their constructive comments and helpful suggestions on the manuscript.

## 5 References

1 Abrahams, A. D., A. J. Parsons, and S. H. Luk (1986), *Resistance to overland flow on desert hillslopes*, J. Hydrol., 50,  
2 343-363.

3 Abrahams, A. D., and A. J. Parsons (1991), *Resistance to overland flow on desert pavement and its implications for*  
4 *sediment transport modeling*, Water Resour. Res., 27(8), 1827-1836.

5 Abrahams, A. D. and A. J. Parsons (1994), *Hydraulics of interrill overland flow on stone-covered desert surfaces*,  
6 *Catena* 23, 111-140.

7 Abrahams, A. D., A. J. Parsons, and J. Wainwright (1994), *Resistance to overland flow on semiarid grassland and*  
8 *shrubland hillslopes, Walnut Gulch, southern Arizona*, J. Hydrol., 156, 431-446.

9 Alexander, R. B., R. A. Smith, and G. E. Schwarz (2000), *Effect of stream channel size on the delivery of nitrogen to the*  
0 *Gulf of Mexico*, Nature, 403, 758-761, doi:10.1038/35001562.

1 Atkinson, J. F., A. D. Abrahams, C. Krishnan, and G. Li (2000), *Shear stress partitioning and sediment transport by*  
2 *overland flow*, Journal of Hydraulic Research, 38, 37-40.

3 Cerdà, A. (1998), *The influence of geomorphological position and vegetation cover on the erosional and hydrological*  
4 *processes on a Mediterranean hillslope*, Hydro. Process., 12, 661-671.

5 Chow, V. T. (1959), *Open-channel hydraulics*, McGraw-Hill, New York. 679 pp.

6 Emmett, W. W. (1970), *The hydraulics of overland flow on hillslopes*, U.S. Geological Survey Professional Paper, 662-A,  
7 A-1-A-68.

- 8 Fox, D. M., and R. B. Bryan (2000), *The relationship of soil loss by interrill erosion to slope gradient*, *Catena*, 38(3),  
9 211-222, doi:10.1016/S0341-8162(99)00072-7.
- 0 Gabarrón-Galeote, M. A., J. F. Martínez-Murillo, M. A. Quesada, and J. D. Ruiz-Sinoga (2013), *Seasonal changes in the*  
1 *soil hydrological and erosive response depending on aspect, vegetation type and soil water repellency in different*  
2 *Mediterranean micro environments*, *Solid Earth*, 4, 497-509, doi:10.5194/se-4-497-2013.
- 3 Gilley, J. E., and S. C. Finkner (1991), *Hydraulic roughness coefficients as affected by random roughness*, *Trans. ASAE*,  
4 34 (3), 897-903.
- 5 Gilley, J. E., D. C. Flanagan, E. R. Kottwitz, and M. A. Weltz (1992), *Darcy-Weisbach roughness coefficients for*  
6 *overland flow*, In: Parsons, A. J., and A. D. Abrahams, (Eds.), *Overland Flow Hydraulics and Erosion Mechanics*,  
7 UCL Press, London, UK, pp. 25-52.
- 8 Gilley, J. E., and E. R. Kottwitz (1994), *Darcy-Weisbach roughness coefficients for selected crops*, *Trans. ASAE*, 37(2),  
9 467-471.
- 0 Hirsch, P. J. (1996), *Hydraulic Resistance to Overland Flow on Semiarid Hillslopes*, A Physical Simulation. PhD  
1 dissertation, State University of New York at Buffalo.
- 2 Holden, J., M. J. Kirkby, S. N. Lane, D. G. Milledge, C. J. Brookes, V. Holden, and A. T. McDonald (2008), *Overland*  
3 *flow velocity and roughness properties in peatlands*, *Water Resour. Res.*, 44, W06415, doi: 10.1029/2007WR006052.
- 4 Horton, R. E., H. R. Leach, and R. Van Vliet (1934), *Laminar sheet flow*, *Am. Geophys. Union Trans.*, 2, 393-404.
- 5 Hou, X. L., and C. X. Du (1985), *Runoff and soil loss under different vegetation cover plots*, *Soil Conserv. Bull.*, 5,  
6 35-37. (in Chinese with English abstract)
- 7 Jiang, D. S. (1997), *Soil loss and its controlling mode on the loess plateau*, China's Water Conservancy and Hydropowe  
8 Press, Beijing. (in Chinese with English abstract)
- 9 Julien, P. Y., and D. M. Hartley (1986), *Formation of roll-waves in laminar sheet flow*, *J. Hydraul. Res., IAHR*, 24 (1),



0 5-17.

1 Kim, J., V. Y. Ivanov, and N. D. Katopodes (2012), *Hydraulic resistance to overland flow on surfaces with partially*  
2 *submerged vegetation*, Water Resour. Res., 48, W10540, doi:10.1029/2012WR012047.

3 Kinnell, P. I. A. (1991), *The effect of flow depth on sediment transport induced by raindrops impacting shallow flows*,  
4 Trans. ASAE, 34, 161-168.

5 Lawrence, D. S. L. (1997), *Macroscale surface roughness and frictional resistance in overland flow*, Earth Surf. Proc.  
6 Land., 22(4), 365-382.

7 Lawrence, D. S. L. (2000), *Hydraulic resistance in overland flow during partial and marginal surface inundation:*  
8 *experimental observations and modeling*, Water Resour. Res., 36, 2381-2393.

9 Liu, Q. Q., L. Chen, J. C. Li, V. P. Singh (2005), *Roll waves in overland flow*, J. Hydrol. Eng., ASCE, 10 (2), 110-117.

0 Liu, X. Y., S. T. Yang, X. Y., Li, X. Zhou, Y. Luo, and S. Z. Dang (2015), *The current vegetation restoration effect and its*  
1 *influence mechanism on the sediment and runoff yield in severe erosion area of Yellow River Basin*, Sci Sin Tech, 45,  
2 1052-1059, doi: 10.1360/N092015-00028. (in Chinese with English abstract)

3 Lu, K. X., and Z. B. Li (2002), *Theoretical study on formation mechanism of erosion holes of beginning of rill erosion*  
4 *development on loess slopes*, Journal of Soil and Water Conservation, 16 (1), 35-38. (in Chinese with English abstract)

5 Ma, L., C. Z. Pan, Y. G. Teng, and Z. P. Shangguan (2013), *The performance of grass filter strips in controlling*  
6 *high-concentration suspended sediment from overland flow under rainfall/non-rainfall conditions*, Earth Surf. Proc.  
7 Land., 38, 1523-1534.

8 Morgan, R. P. C., K. McIntyre, A. W. Vickers, J. N. Quinton, and R. J. Rickson (1997), *A rainfall simulation study of soil*  
9 *erosion on rangeland in Swaziland*, Soil Technology, 11(3), 291-299, doi:10.1016/S0933-3630(97)00013-5.

0 Morgan, R. P. C. (1986), *Soil Erosion and Conservation*, Longman Group UK Limit.

1 Pan, C. Z., L. Ma, and Z. P. Shangguan (2010), *Effectiveness of grass strips in trapping suspended sediments from runoff*,

- 2 Earth Surf. Proc. Land., 35, 1006-1013.
- 3 Pan, C. Z., and Z. P. Shangguan (2006), *Runoff hydraulic characteristics and sediment generation in sloped grassplots*  
4 *under simulated rainfall conditions*, J. Hydrol., 331, 178-185.
- 5 Pan, C. Z., and Z. P. Shangguan (2007), *Hydraulic characteristics of silt-laden flow on different gradient grassplots and*  
6 *its mechanism of sediment retention*, Advance in water sciences, 18(4), 490-495. (in Chinese with English abstract)
- 7 Pan, C. Z., Z. P. Shangguan, and T. W. Lei (2006), *Influences of grass and moss on runoff and sediment yield on sloped*  
8 *loess surfaces under simulated rainfall*, Hydro. Process., 20, 3815-3824.
- 9 Parsons, A. J., A. D. Abrahams, and J. Wainwright (1994), *On determining resistance to interrill overland flow*, Water  
0 Resour. Res., 30, 3515-3521.
- 1 Parsons, A.J., J. Wainwright, A. D. Abrahams, and J. R. Simanton (1997), *Distributed dynamic modelling of interrill*  
2 *overland flow*, Hydro. Process., 11 (14), 1833-1859.
- 3 Pierson Jr, F. B., S. S. van Vactor, W. H. Blackburn, and J. C. Wood (1994), *Incorporating small scale spatial variability*  
4 *into predictions of hydrologic response on sagebrush rangelands*. In: Blackburn, W. H., F. B. Pierson Jr., G. E.  
5 Schuman, and R. Zartman (Eds.), *Variability in Rangeland, Water Erosion Processes*. Soil Science Society of America  
6 Special Publication No. 38, pp. 23-34.
- 7 Prosser, I. P., W. E. Dietrich, and J. Stevenson (1995), *Flow resistance and sediment transport by concentrated overland*  
8 *flow in a grassland valley*, Geomorphology, 13, 71-86.
- 9 Rauws, G. (1988), *Laboratory experiments on resistance to overland flow due to composite roughness*, J. Hydrol., 103,  
0 37-52.
- 1 Roels, J. M. (1984), *Flow resistance in concentrated overland flow on rough slope surfaces*, Earth Surf. Proc. Land., 9,  
2 541-551.
- 3 Savat, J. (1977), *The hydraulics of sheet flow on a smooth surface and the effect of simulated rainfall*, Earth Surf. Proc.

- 4 Land., 2, 125-140.
- 5 Savat, J. (1980), *Resistance to flow in rough supercritical sheet flow*, Earth Surf. Proc. Land., 5, 103-122, doi:  
6 10.1002/esp.3760050202.
- 7 Sha, Y. Q. (1965), *An introduction to the mechanics of sediment transport*, China industry press, Beijing.
- 8 Shen H. W., and R. M. Li (1973), *Rainfall effects on sheet flow over smooth surface*, J. Hydraul. Div. ASCE, 99 (5),  
9 771-792.
- 0 Smith, M. W., N. J. Cox, and L. J., Bracken (2007), *Applying flow resistance equations to overland flows*, Progress in  
1 Physical Geography, 31, 363-387.
- 2 Takken, I., and G. Govers (2000), *Hydraulics of interrill overland flow on rough, bare soil surfaces*, Earth Surf. Proc.  
3 Land., 25, 1387-1402.
- 4 Thompson, A. M., B. N. Wilson, and B. J. Hansen (2004), *Shear stress partitioning for idealized vegetated surfaces*,  
5 Transaction of the ASAE, 47(3), 701-709.
- 6 Wainwright, J., A. J. Parsons, and A. D. Abrahams (1999), *Rainfall energy under creosotebush*, J. Arid. Environ., 43,  
7 111-120.
- 8 Wainwright, J., Parsons, A. J., and A. D. Abrahams (2000), *Plot-scale studies of vegetation, overland flow and erosion*  
9 *interactions: Case studies from Arizona and New Mexico*, Hydro. Process., 14(5), 2921-2943.
- 0 Weltz, M. A., A. B. Arslan, and L. J. Lane (1992), *Hydraulic roughness coefficients for native rangelands*, J. Irrigation  
1 Drainage Eng. ASCE, 118 (5), 776-790.
- 2 Xu, X. Z., D. Q. Liu, H. W. Zhang, Z. D. Dong, and M. D. Zhu (2006), *Laboratory rainfall simulation with controlled*  
3 *rainfall intensity and drainage*, Journal of Beijing Forestry University, 28(5), 52-58. (in Chinese with English  
4 abstract)
- 5 Zhang, J. J., L., Na, H. B. Dong, and P. Wang (2008), *Hydrological response to changes in vegetation covers of small*

6 watersheds on the Loess Plateau, Acta Ecologica Sinica, 28(8), 3597-3605. (in Chinese with English abstract)

7

8

9 **Table captions:**

0 Table 1. Trial treatments on granular and grass plot surfaces

1 Table 2. Surface flow velocity ( $\text{cm s}^{-1}$ ) and its standard deviation (S.d) at each cross section

2 Table 3. Stepwise multivariate regression on surface flow velocity  $V_s$  and slope steepness  $S$  and flow discharge  $q$

3 Table 4. The experimental ranges in flow hydraulic characteristics for each treatment

4 Table 5. Pearson correlation ( $R$ ) between slope steepness  $S$  and resistance  $f$  at each cross section

5 Table 6. The effect of slope steepness ( $S$ ) and cross section (CSS) on resistance  $f$  using multiple comparisons in ANOVA

6 Table 7. Stepwise multivariate regression analysis on resistance  $f$  and dimensionless variables including slope steepness

7  $S$ ,  $Re$ ,  $Fr$ ,  $h(h/d_{50}/2)$

8

9 **Figure captions:**

0 Figure 1. The scheme of this experimental setup and the tested plot surfaces

1 Figure 2. Resistance  $f$  vs. slope steepness for the granular surfaces under the different  $Re$  numbers (The solid curves  
2 represent the trends of mean values.)

3 Figure 3. Resistance  $f$  vs. slope steepness for grass plots under the different  $Re$  numbers (The solid curves represent the  
4 trends of mean values.)

5 Figure 4. Resistance  $f$  vs.  $Re$  number at varying slope gradients on the bare soil surface and their regressed equations

6 Figure 5. Resistance  $f$  vs.  $Re$  number at varying slopes (2.6-25.9%) on the sandpaper surface and their regressed

7 equations

8 Figure 6. Resistance  $f$  vs. Re number at varying slopes (8.7-50%) on grass plot and their regressed equations

9 Figure 7. The contribution of grain resistances to the grass plots ( $f_{grain}/f_{grass}$ ) under  $30 < Re < 320$  (a) and under  $Re \approx 1000$

0 (b)

1 Figure 8. Bed shear stress  $\tau_b$  for the granular surface and the grass plot under  $30 < Re < 320$  (a) and  $Re \approx 1000$  (b) ( $\tau_{b\_GP1}$

2 and  $\tau_{b\_GP2}$  which respectively represents bed shear stress for GP1 and GP2 (the same below) are calculated using Eq.

3 10, and  $\tau_{BS}$  and  $\tau_{SD2}$  refers to total shear stress on the bare soil and sandpaper surface, respectively, which are

4 equivalent to  $\tau_{b\_BS}$  and  $\tau_{b\_SD2}$ .)

5 Figure 9. The resistance components (a) and their proportions to the total resistance (the resistance on the grass plot with

6 litter) (b) under  $Re \approx 1000$  (A small negative value for the litter resistance occurs at 20.8% slope, and it is regarded as

7 naught value.)

8 Figure 10. The resistance  $f$ -Re (a),  $f$ - $h$  (b) and  $f$ -Fr relation (c) for the granular and grass plot (GP) surfaces at varying

9 slopes (In Figure 10b,  $f$  was predicted based on the inundation ratio ( $h/(d_{50}/2)$ ) of the Lawrence (1997) model.)

0 Figure 11. The fitted  $K$  values in Figure 3 and 4 vs. slope steepness  $S$  for the granular surfaces. The  $f$ - $S$  curve is

1 calculated by  $K/96 = 1 + D_{90}^{1.25} S^{0.4} / 263$  in a laminar flow regime (Savat, 1980); The dotted lines ( $f$ -Re<sub>1~2</sub>) represent the

2  $f$ -Re relation using  $f = KRe^{-1}$ ; the dashed lines ( $f$ - $h$ <sub>1~4</sub>) were predicted by Lawrence (1997) model, which includes three

3 sub-models based on the inundation ratio ( $h/(d_{50}/2)$ ), in which  $f$ - $h$ <sub>1</sub> and  $f$ - $h$ <sub>2</sub> are predicted by the "Rough flow" and

4 "Mixed length" sub-model, respectively, and  $f$ - $h$ <sub>3</sub> and  $f$ - $h$ <sub>4</sub> are predicted by the "Mixed length" and "Drag force"

5 sub-model, respectively.

6 Figure 12. Resistance  $f$  vs. slope steepness  $S$  for each grass treatment. The dotted level  $f$ -Re,  $C$  line represents schematic

7 resistance  $f$  predicted by Re and the fractional cover ( $C$ ) of the ground surface covered by stems, leaves and litter; and

8 the superscript ' denotes the calculated  $f$  using the "Drag force" sub-model suggested by Lawrence (1997).

1 Table 1-7

2

3

4 Table 1. Trial treatments on granular and grass plot surfaces

Treatment	Surface characteristics	Cover (%)	Slope gradients	Rainfall intensity /mm h <sup>-1</sup>	Inflow rate /L min <sup>-1</sup> m <sup>-1</sup>	Focus <sup>[a]</sup>
BS	Bare soil covered little moss <sup>[b]</sup>	0	8.7-50%	90	None	Upslope <sup>[c]</sup> ; $f_{grain}$
SD1	Sandpaper with $d_{50} = 0.25$ mm	0	2.6-25.9%	30	5	Downslope
SD2	Sandpaper with $d_{50} = 0.25$ mm	0	2.6-25.9%	30	15	Downslope; $f_{grain}$
GP1	Grass plot	70	8.7-50%	90	None	Upslope
GP2	Grass plot	70	5.2-25.9%	30	15	Downslope; $f_{leaves}$
GL	Grass plot with litter	70	5.2-25.9%	30	15	$f_{litter}$
GS	Grass plot with only 3-cm-height stems	0	5.2-25.9%	30	15	$f_{stems}$

5 <sup>[a]</sup> refers to the research focus on the effects of slope positions, and different grass-plot components including leaves,  
 6 stems, litter, and soil grain beside slope gradients on resistance  $f$ . <sup>[b]</sup> the BS surface has an equivalent median grain  
 7 diameter ( $d_{50}$ ) of 0.25 mm. <sup>[c]</sup> Upslope and Downslope respectively refer to the upper and lower part of a hillslope.

8

1 Table 2. Surface flow velocity ( $\text{cm s}^{-1}$ ) and its standard deviation (S.d) at each cross section

Surface	$q_{out}^{[a]}$ $/\text{cm}^2 \text{ s}^{-1}$	Slope	0-1m		1-2m		2-3m		3-4m		4-5m	
			Mean	S.d	Mean	S.d	Mean	S.d	Mean	S.d	Mean	S.d
Bare soil (BS) N=12 <sup>[b]</sup>	1.0	8.7%	3.0	0.6	6.5	0.6	8.8	2.4	9.5	2.3	13.5	4.3
	1.0	17.4%	5.0	0.6	8.9	1.0	11.2	3.3	14.2	4.4	18.3	5.5
	1.0	25.9%	5.6	1.1	9.9	1.1	13.1	3.0	16.9	5.8	20.0	6.6
	1.0	34.2%	6.2	1.0	10.8	1.6	14.1	4.2	19.7	7.7	23.2	5.2
	1.0	42.3%	5.8	0.6	10.6	1.0	14.1	2.6	20.3	7.8	26.9	6.1
	1.0	50.0%	5.6	0.9	10.0	1.0	13.1	2.4	16.8	4.6	24.2	6.8
Sandpaper (SD1) N=9	1.2	2.6%	19.0	12.3	16.8	7.9	19.2	3.2	19.7	3.5	21.4	8.2
	1.3	5.2%	19.6	10.2	18.2	5.9	23.1	5.9	23.0	4.2	27.9	5.8
	1.2	10.5%	49.6	17.1	51.7	28.1	50.6	15.6	51.4	11.6	55.7	6.5
	1.2	15.6%	58.8	19.8	57.8	22.1	54.8	15.2	55.0	16.2	62.7	11.0
	1.2	20.8%	46.5	27.9	48.4	30.6	45.1	24.6	44.3	19.3	53.4	16.1
	1.3	25.9%	52.4	20.0	46.9	17.8	48.2	10.3	59.6	15.8	62.0	10.1
Sandpaper (SD2) N=9	3.0	2.6%	36.3	5.1	33.3	7.8	35.5	6.5	37.0	4.8	36.9	8.4
	2.9	5.2%	39.9	5.7	37.8	3.4	41.6	3.0	41.7	2.6	43.1	5.6
	2.9	10.5%	84.3	14.1	80.2	10.9	80.2	12.9	78.3	6.2	83.8	8.2
	2.9	15.6%	85.5	16.7	81.3	15.1	74.7	8.2	84.6	10.3	86.4	6.8
	2.9	20.8%	83.7	27.2	78.1	25.2	80.1	20.3	80.8	18.1	86.9	8.5
	2.9	25.9%	80.1	15.4	80.2	14.9	75.4	14.5	81.9	14.7	84.6	9.3
Grass plot (GP1) N=12	1.0	8.7%	1.6	0.1	3.6	0.3	5.5	1.2	6.6	0.4	8.2	2.6
	1.0	17.4%	2.3	0.1	5.2	0.3	7.4	1.1	8.8	0.9	10.1	1.9
	1.0	25.9%	2.4	0.2	5.7	0.3	7.9	1.2	9.3	1.3	11.9	1.6
	1.0	34.2%	2.7	0.3	6.2	0.3	8.3	1.3	10.4	1.4	12.7	1.6
	1.0	42.3%	3.1	0.5	6.9	0.8	8.8	1.5	10.9	1.5	12.8	1.1
	0.9	50.0%	3.5	0.6	7.4	0.5	9.6	1.6	12.6	2.0	15.1	2.9
Grass plot (GP2) N=15	2.9	5.2%	5.8	1.0	4.7	0.8	4.4	0.7	4.8	0.8	4.9	0.9
	3.2	10.5%	6.5	1.5	6.2	0.8	5.8	0.8	5.8	0.6	6.1	1.0
	3.1	15.6%	8.9	1.3	7.8	1.4	8.2	1.3	7.6	1.0	8.4	1.7
	3.1	20.8%	8.4	2.1	9.3	1.8	9.9	2.1	8.3	1.5	9.3	1.7
	3.0	25.9%	11.5	3.3	10.3	1.8	12.0	2.2	10.0	1.4	10.2	2.0
	2.7	5.2%	4.5	1.1	4.3	0.6	3.9	0.7	3.7	0.8	4.0	0.6
Grass plot with litter (GL) N=15	2.9	10.5%	6.5	1.2	5.7	1.0	5.9	1.1	5.7	0.8	5.3	0.7
	2.8	15.6%	8.1	1.7	9.5	2.0	8.1	1.6	7.3	0.8	6.7	0.8
	2.8	20.8%	9.3	2.4	10.4	2.9	9.8	2.0	8.6	1.8	9.3	1.1
	2.0	25.9%	10.0	2.4	10.7	2.2	8.4	1.4	7.9	1.4	8.3	1.4
	3.7	5.2%	8.8	1.2	7.6	1.1	8.1	1.2	7.6	0.9	7.7	0.8
	3.2	10.5%	9.0	3.0	9.1	1.3	8.9	1.1	8.5	0.9	8.2	1.0
Grass plot with only stem (GS) N=15	3.5	15.6%	12.0	2.2	11.2	1.6	10.9	1.6	10.8	1.8	11.4	2.4
	3.3	20.8%	13.7	2.5	12.6	2.1	12.3	1.8	11.9	1.4	13.9	2.6
	3.5	25.9%	15.2	1.2	14.7	1.9	14.6	1.9	15.3	2.4	15.5	2.3

2 <sup>[a]</sup>  $q_{out}$  refers to the outlet flow rate of plot; <sup>[b]</sup> N refers to the recording number for each cross section.

1

2 Table 3. Stepwise multivariate regression on surface flow velocity  $V_s$  and slope steepness  $S$  and flow discharge  $q$ 

Treatment	Slope	Flow rate $q/\text{cm}^2 \text{ s}^{-1}$	$V_s = \beta S^m q^n$			$R^2$	Sig.	N
			$\log\beta$	$m$	$n^{[a]}$			
BS	8.7-50%	0.1-0.9	1.520	0.359	0.616	0.966	<0.001	30
SD1	2.6-25.9%	0.9-1.3	2.085	0.508	-	0.796	<0.001	30
SD2	2.6-25.9%	2.6-3.0	2.210	0.414	-	0.841	<0.001	30
SD1+SD2	2.6-25.9%	0.9-3.0	2.030	0.464	0.521	0.86	<0.001	60
BS+SD1+SD2	2.6-50%	0.1-3.0	1.725	0.306	0.923	0.846	<0.001	90
GP1	8.7-50%	0.1-0.9	1.300	0.351	0.687	0.992	<0.001	30
GP2	5.2-25.9%	2.6-3.2	1.302	0.493	-	0.917	<0.001	25
GP1+GP2	5.2-50%	0.1-3.2	1.219	0.348	0.517	0.725	<0.001	55
GL	5.2-25.9%	1.6-2.9	1.316	0.549	-	0.891	<0.001	25
GS	5.2-25.9%	2.8-3.7	1.090	0.430	0.63	0.917	<0.001	25

3 <sup>[a]</sup> Null value for  $n$  means that flow discharge  $q$  does not enter the equation at  $p=0.05$ .

4



1

2 Table 4. The experimental ranges in flow hydraulic characteristics for each treatment

Treatment	Slope	$q/\text{cm}^2 \text{ s}^{-1}$	$V/\text{cm s}^{-1}$	$h/\text{mm}$	Re	$Fr$	$f$
BS	8.7-50%	0.1-0.9	2.0-18.0	0.2-1.1	30-310	0.29-2.64	0.48-8.52
SD1	2.6-25.9%	0.9-1.3	5.9-16.7	0.7-1.7	350-550	0.46-1.77	0.47-1.34
SD2	2.6-25.9%	2.6-3.0	13.1-29.4	0.9-2.1	980-1180	0.92-2.99	0.14-0.34
GP1	8.7-50%	0.1-0.9	1.1-10.1	0.4-1.6	30-320	0.11-1.08	3.41-53.40
GP2	5.2-25.9%	2.6-3.2	2.9-8.1	3.3-9.2	960-1180	0.10-0.44	10.63-43.71
GL	5.2-25.9%	1.6-2.9	2.5-7.2	2.4-10.4	610-1090	0.08-0.47	9.42-69.53
GS	5.2-25.9%	2.8-3.7	5.1-10.4	3.1-7.3	990-1400	0.19-0.59	5.95-15.25

3

1

2 Table 5. Pearson correlation (R) between slope steepness  $S$  and resistance  $f$  at each cross section

Treatment	Slope	Correlation	$f_{.0.5m}$	$f_{.1.5m}$	$f_{.2.5m}$	$f_{.3.5m}$	$f_{.4.5m}$	$f_{all}$
BS (Bare soil)	8.7-50%	R	-.077	.623	.699	-.187	-.479	.040
		Sig.	.884	.187	.122	.723	.337	.840
		N	6	6	6	6	6	30
SD1 (Sandpaper)	2.6-25.9%	R	-.021	-.125	.902*	.292	.402	.210
		Sig.	.968	.814	.014	.575	.429	.260
		N	6	6	6	6	6	30
SD1 (Sandpaper)	2.6-25.9%	R	-.014	-.264	.515	.206	-.037	.070
		Sig.	.979	.614	.296	.695	.945	.700
		N	6	6	6	6	6	30
GP1 (Grass plot)	8.7-50%	R	-.808	-.751	.653	-.124	.124	.100
		Sig.	.052	.085	.160	.815	.815	.610
		N	6	6	6	6	6	30
GP2 (Grass plot)	5.2-25.9%	R	-.366	-.965**	-.958*	-.787	-.868	-.735**
		Sig.	.545	.008	.010	.114	.057	<0.001
		N	5	5	5	5	5	25
GL (grass plot with litter)	5.2-25.9%	R	-.978**	-.906*	-.868	-.871	-.942*	-.794**
		Sig.	.004	.034	.057	.054	.016	<0.001
		N	5	5	5	5	5	25
GS (Grass plot with stems)	5.2-25.9%	R	-.497	-.985**	-.594	-.797	-.795	-.634**
		Sig.	.395	.002	.291	.106	.108	0.001
		N	5	5	5	5	5	25

3 \* and \*\* represent the significance at  $p=0.05$  and  $p=0.01$  level, respectively.

4

1

2 Table 6. The effect of slope steepness ( $S$ ) and cross section (CSS) on resistance  $f$  using multiple comparisons in ANOVA

Surface	Subjects	Levels (Range)	F value	Sig.	Homogeneous subsets <sup>[a]</sup>		
					1	2	3
BS	$S$	6 (8.7-50%)	2.98	.036	17.4-42.3% <sup>o</sup>	8.7%, 42.3-50%	
	CSS	5 (0.5-4.5 m)	28.10	.000	2.5-4.5 m	1.5- 3.5 m	0.5 m
SD1	$S$	6 (2.6-25.9%)	0.82	.549	2.6-25.9%		
	CSS	5 (0.5-4.5 m)	2.22	.103	0.5-4.5 m		
SD2	$S$	6 (2.6-25.9%)	15.03	.000	2.6%, 10.5-15.6%	15.6-20.8% <sup>o</sup>	5.2%, 25.9%
	CSS	5 (0.5-4.5 m)	3.83	.018	0.5-4.5 m		
GP1	$S$	6 (8.7-50%)	1.39	.271	8.7-50%		
	CSS	5 (0.5-4.5 m)	98.60	.000	2.5-4.5 m	1.5-3.5 m	0.5 m
GP2	$S$	5 (5.2-25.9%)	14.77	.000	15.6-25.9%		
	CSS	5 (0.5-4.5 m)	3.00	.051	0.5- 2.5 m, 4.5 m	1.5- 4.5 m	
GL	$S$	5 (5.2-25.9%)	32.40	.000	15.6-25.9%		
	CSS	5 (0.5-4.5 m)	8.73	.001	0.5- 2.5 m	2.5- 4.5 m	
GS	$S$ s	5 (5.2-25.9%)	13.89	.000	20.8-25.9%		
	CSS	5 (0.5 m-4.5 m)	4.30	.015	0.5- 2.5 m	1.5-4.5 m	

3 <sup>[a]</sup> Homogeneous subsets 1, 2, and 3 (Resistance  $f_1 < f_2 < f_3$ ) are divided based on the observed means for groups at  $p=0.05$   
 4 using the Tukey-Kramer method of the multiple comparisons.

5

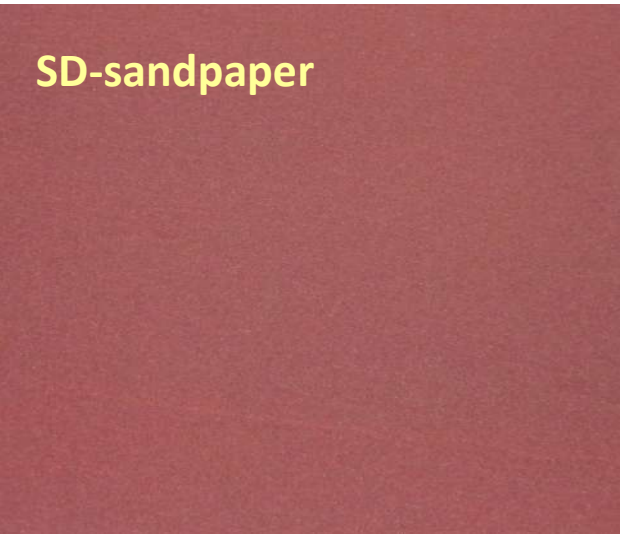
1

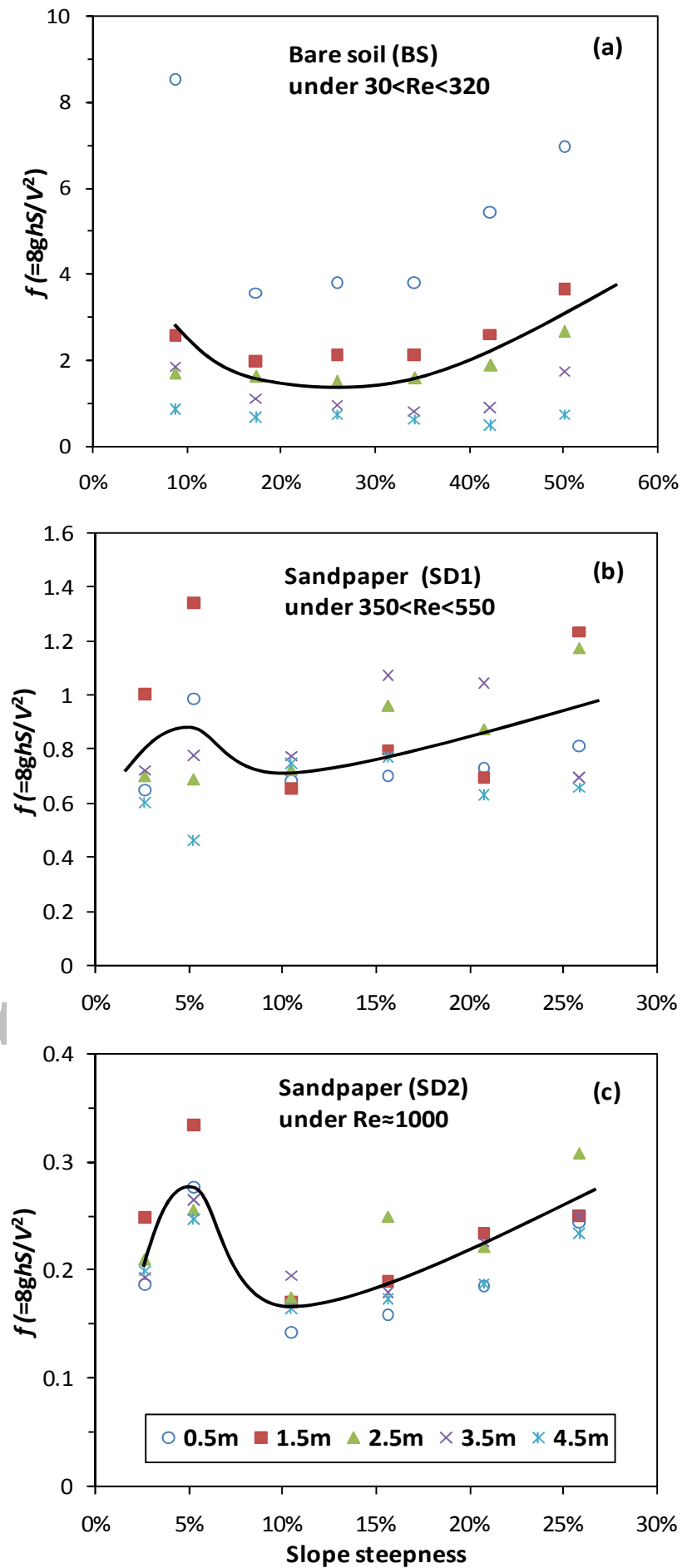
2 Table 7. Stepwise multivariate regression analysis on resistance  $f$  and dimensionless variables including slope steepness

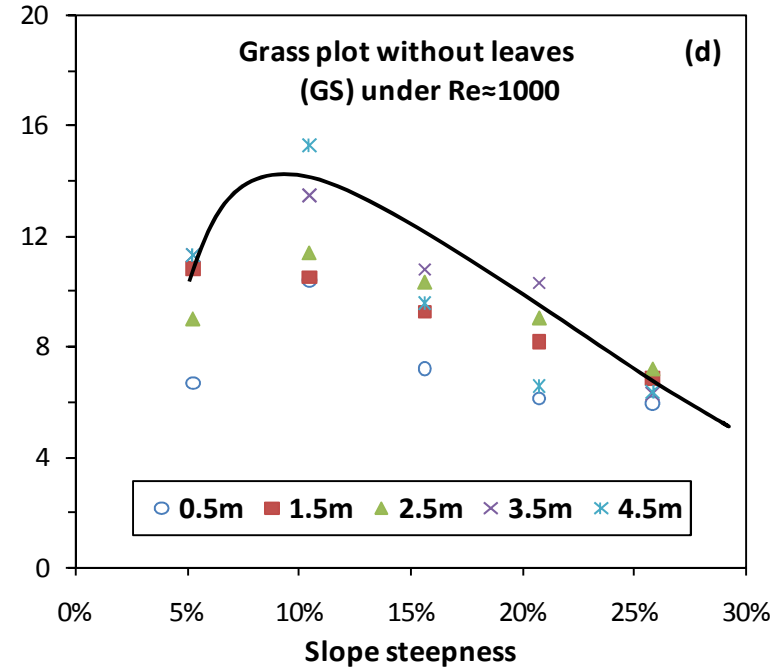
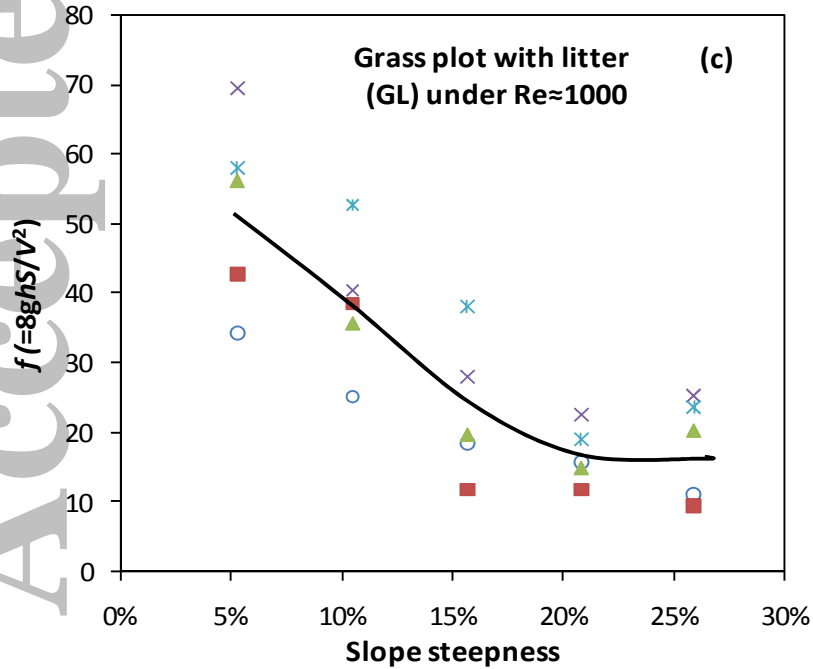
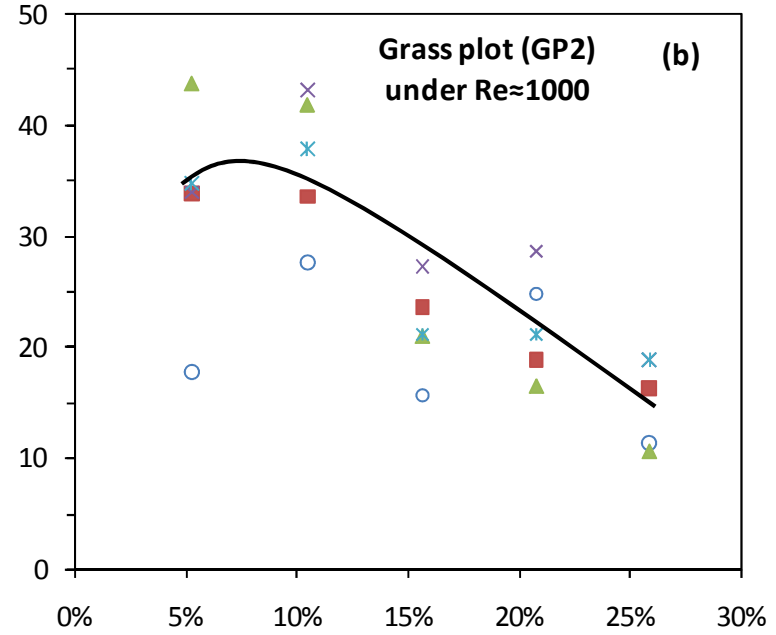
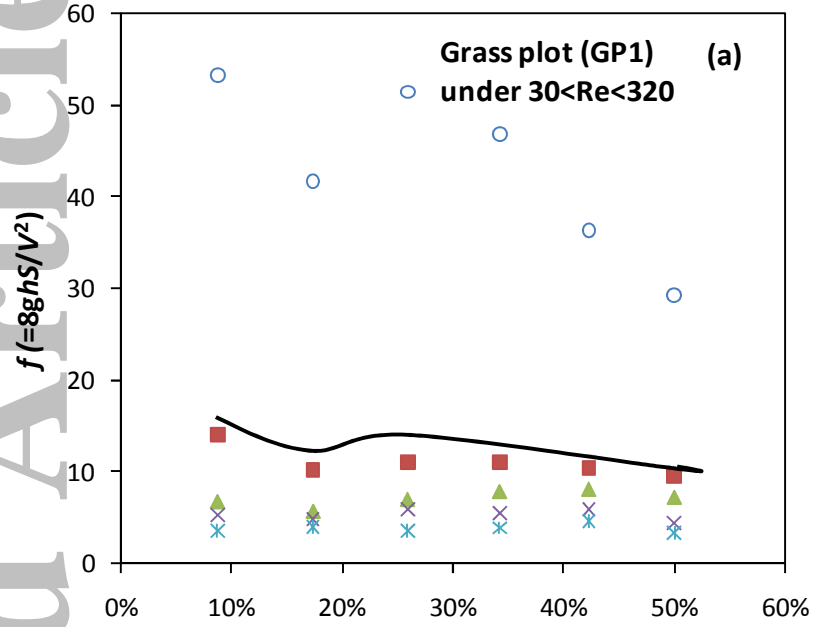
3  $S, Re, Fr, h(h/d_{50}/2)$

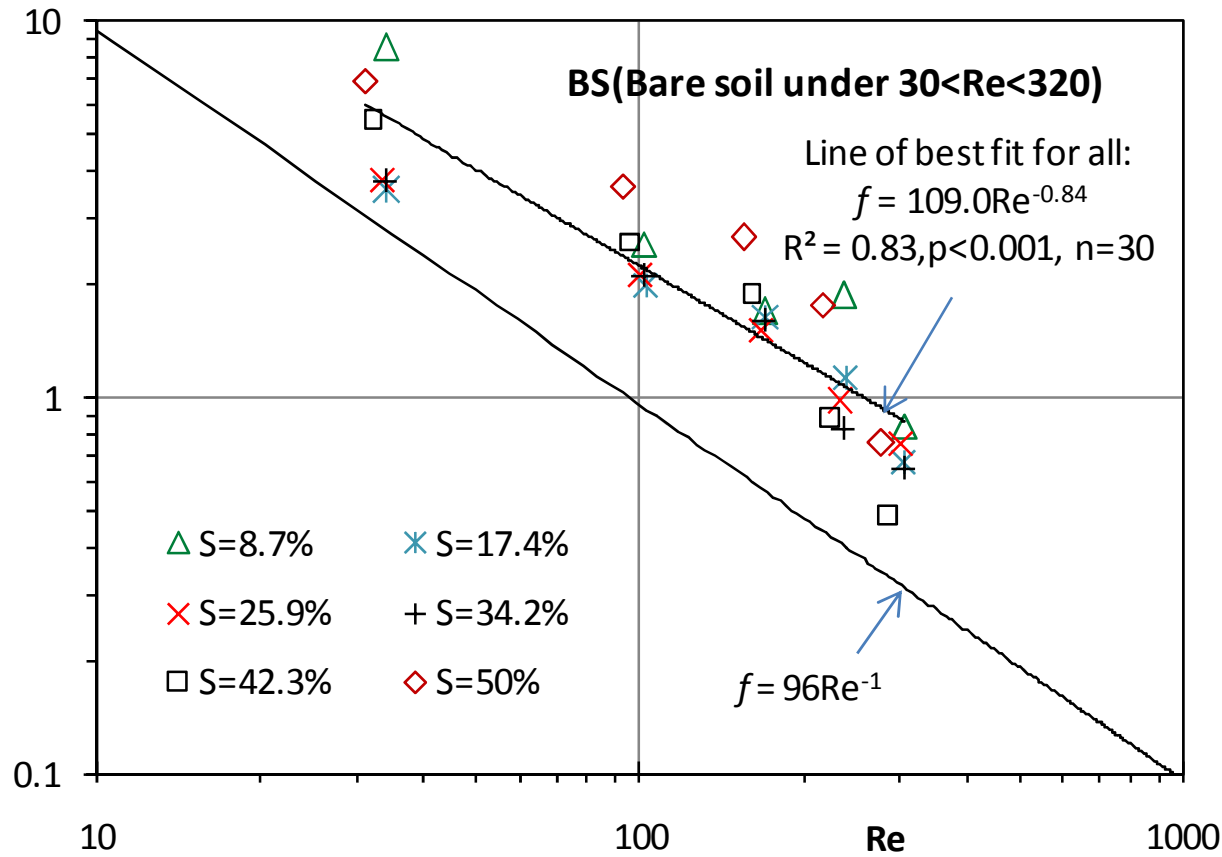
Trails	Re	Slope	Variables <sup>[a]</sup>	Equation	R <sup>2</sup>	Sig.	N
BS	30-310	8.7-50%	Re	$\text{Log}f=2.038-0.845\text{logRe}$	0.833	<0.001	30
SD1+SD2	350-1180	2.6-25.9%	Re	$\text{Log}f=3.506-1.373 \text{logRe}$	0.877	<0.001	60
BS+SD1+SD2	30-1180	2.6-50%	Re	$\text{Log}f=2.296-0.952\text{logRe}$	0.898	<0.001	90
GP1	30-320	8.7-50%	Re	$\text{Log}f=3.229-1.061\text{logRe}$	0.966	<0.001	30
GP2	960-1180	5.2-25.9%	Fr	$\text{Log}f=0.758-0.926\text{logFr}$	0.849	<0.001	25
GP1+GP2	30-1180	5.2-50%	Fr	$\text{log}f=0.597-1.152\text{logFr}$	0.594	<0.001	55
GL	610-1090	5.2-25.9%	Fr	$\text{Log}f=0.733-0.985\text{logFr}$	0.897	<0.001	25
GS	990-1400	5.2-25.9%	Fr	$\text{Log}f=0.701-0.543\text{logFr}$	0.770	<0.001	25

4 <sup>[a]</sup> The variables refer to first entering the linear logarithmic equation which is very significant at  $p=0.001$ .





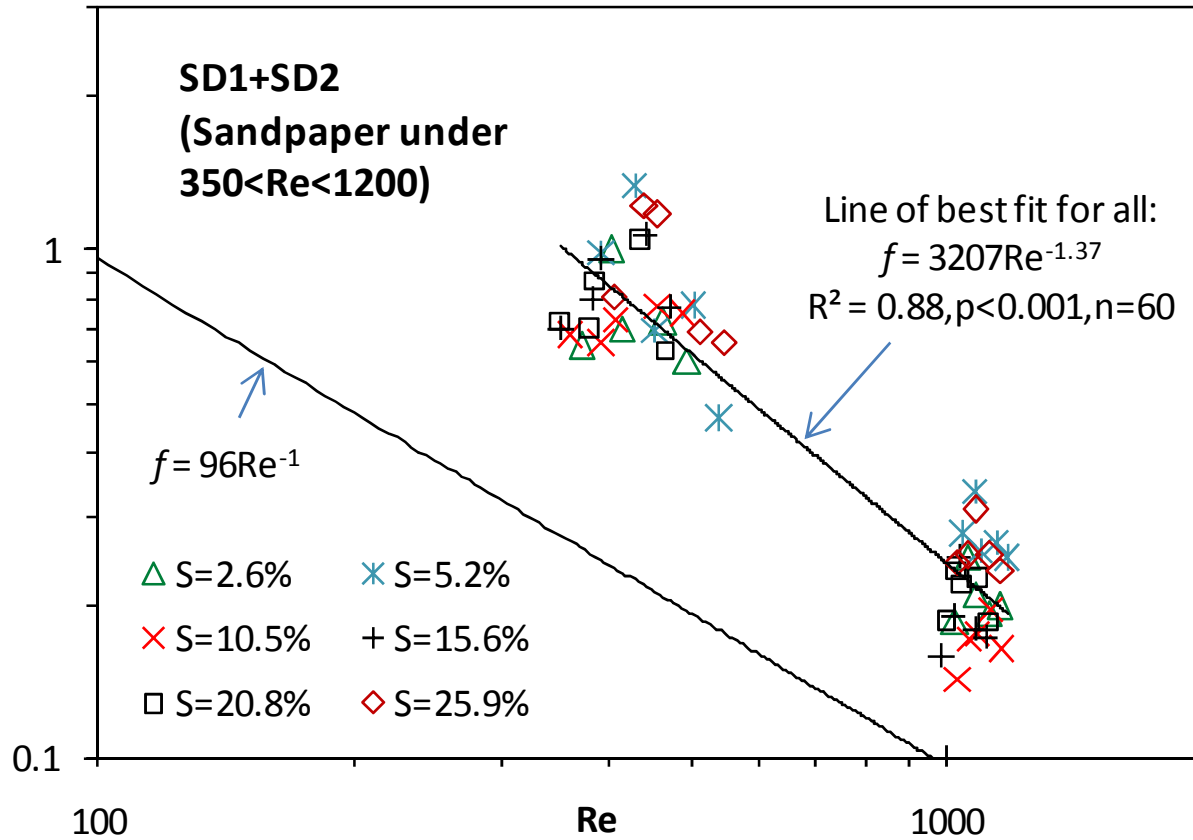




The regressed parameters in  $f = KRe^{-1}$  model

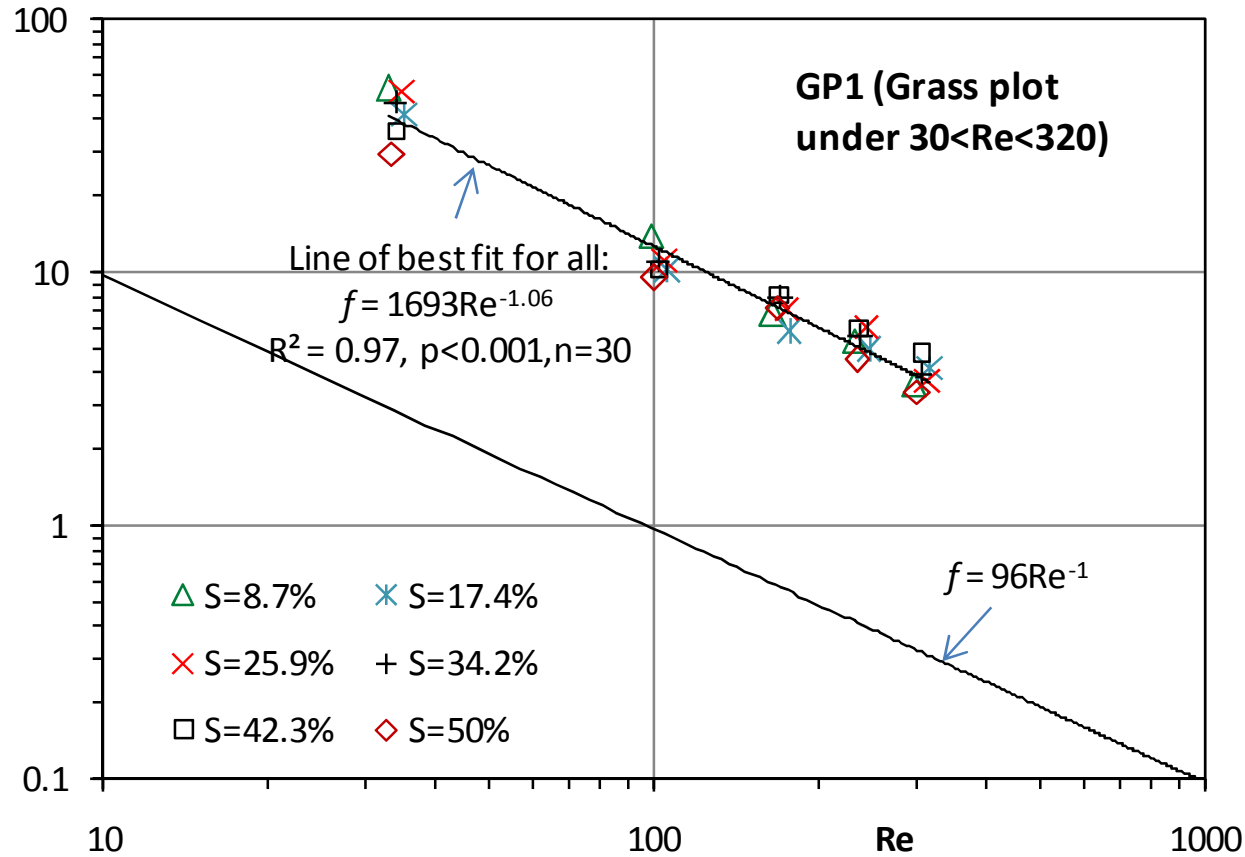
Slope (%)	$f = KRe^{-1}$			
	K	R <sup>2</sup>	Sig.	N
8.7	289.3	0.99	<0.001	5
17.4	137.9	0.92	0.002	5
25.9	143.8	0.94	0.001	5
34.2	143.8	0.94	<0.001	5
42.3	185.5	0.97	<0.001	5
50.0	236.6	0.95	0.001	5
All	190.9	0.89	<0.001	30





The regressed parameters in  $f = KRe^{-1}$  model

Slope (%)	$f = KRe^{-1}$			
	K	R <sup>2</sup>	Sig.	N
2.6	300.2	0.96	<0.001	10
5.2	384.2	0.93	<0.001	10
10.5	280.7	0.96	<0.001	10
15.6	324.1	0.93	<0.001	10
20.8	304.5	0.95	<0.001	10
25.9	405.5	0.94	<0.001	10
All	329.3	0.93	<0.001	60



The regressed parameters in  $f = KRe^{-1}$  model

Slope (%)	$f = KRe^{-1}$			
	K	$R^2$	Sig.	N
8.7	1691.3	0.99	<0.001	5
17.4	1421.6	0.99	<0.001	5
25.9	1709.6	0.98	<0.001	5
34.2	1538.5	0.9	<0.001	5
42.3	1233.0	1.00	<0.001	5
50.0	993.9	1.00	<0.001	5
All	1431.5	0.96	<0.001	30

