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Generation of Complex Azabicycles and Carbobicycles from Two Simple Compounds in a Single Operation *via* a Metal-Free Six-Step Domino Reaction

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Abstract: Aza- and carbobicyclic compounds possess favorable pharmaceutical properties, but are difficult to access. Herein we present an unprecedented organocatalytic two component sixstep chemodivergent domino reaction, which provides a straightforward, sustainable and atom economical route to difficult-to-access complex bicyclic architectures: azabicycles and carbobicycles, whose ratios can be controlled by the applied electrophiles and catalysts. Detailed NMR and X-ray studies on the structures and relative stereochemistry of selected compounds are presented. Mechanistic investigations of the chemoselective branching step have been carried out with MS and DFT methods. This novel domino reaction opens up a new vista of generating *in a single operation* new bioactive compounds with strong antiviral properties (EC₅₀ up to 0.071 μ M for *HCMV* (human cytomegalovirus)) outperforming clinically used ganciclovir (EC₅₀ 2.6 μ M).

Introduction

Chemists strive to build complex molecules from simple precursors. Facile preparation of such intricate molecular architectures, like heterobicyclic or carbobicyclic systems, which are

otherwise difficult to access via traditional methods, is still a challenge. These compounds are known to play a vital role in drug synthesis. Azabicyclic compounds, among them isoquinuclidine (2-azabicyclo[2.2.2]octane (**A**)) ring systems (Fig. 1), are of eminent importance because of their potential as bioactive compounds or pharmaceuticals^[1] and as subunits of alkaloid natural products such as ibogaine,^[2] dioscorine^[3] and coronaridine.^[4] There are also numerous natural compounds (terpenes and alkaloids) and drugs which contain purely carbocyclic scaffolds.^[5]

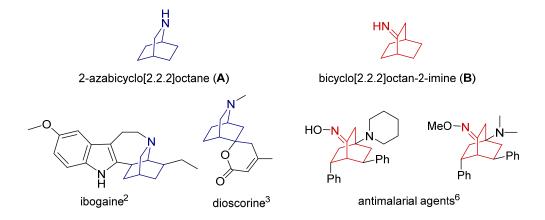


Figure 1. Nitrogen containing bicyclic structures as subunits of natural products and bioactive compounds.

Particularly, derivatives of several bridged carbobicyclic compounds with an exocyclic imine group (bicyclo[2.2.2]octan-2-imine (**B**)) were reported as being highly active against *Trypanosoma b. rhodesiense* and the resistant K1 strain of *Plasmodium falciparum* (Fig. 1).^[6] Routes by which isoquinuclidine and its derivatives are obtained are still few. Formation of the nitrogen-heterocycle scaffolds (2-azabicyclo[2.2.2]octa-2,5-dienes) has been achieved by dimerization of alkylidenemalononitriles or by related reactions employing CH₃ONa, KF-Al₂O₃, K₂CO₃-Al₂O₃ and In(OTf)₃/Et₃N as catalysts.^[7] Azabicycles have also been successfully obtained via Mannich-aza-Michael reactions using a chiral phosphoric Brønsted acid catalyst^[8] and a

proline-derived catalyst.^[9] Diels-Alder reactions of 1,2-dihydropyridines with dienophiles are also known to provide isoquinuclidine derivatives.^[10]

Synthetic routes to bridged carbobicyclic compounds are also quite rare and usually involve Diels-Alder reactions with cyclohexa-1,3-dienes^[11] or tandem Michael additions with deprotonated cyclohexenones.^[12]

Some practical shortcomings of the previously reported synthetic methodologies^[7-12] towards azabicycles and carbobicycles include the requirement of cyclic starting materials which are either unstable (e.g. 1,2-dihydropyridines) or not readily available (e.g. cyclohexa-1,3-dienes), the requirement of isolation of product intermediates in most cases and/or the need of metal-based catalysts.

Interestingly, the reaction, which enables a convenient direct and metal-free route to complex bridged carbo- and heterobicycles using malononitrile and aldehyde as strikingly simple starting compounds, has never been investigated before. Herein, we report our unexpected discovery of this atom economical metal-free six-step domino transformation which employs only two commonly used simple reactants and leads in a single operation to complex compounds like isoquinuclidine derivative **3** and its isomeric carbobicycle **4** (containing an exocyclic imine group) of high value to medicinal chemistry. We have also performed NMR, MS studies, X-ray analysis and density functional theory (DFT) calculations to elucidate the reaction mechanism and the observed chemoselectivities.

Results and Discussion

Development of novel multi-step domino reaction

In 2008, our lab demonstrated the first asymmetric organocatalytic Mannich-type reaction of unmodified ketones with *N*-benzoylhydrazones.^[13] We recently became interested to extend the

substrate scope of this Mannich-type reaction to malononitrile as a CH-acidic compound. Thus, carrying out this new reaction of phenylethanal-derived *N*-benzoylhydrazone **1** (1 equiv.) with malononitrile **2** (1.5 equiv.) in the presence of imidazole as a catalyst, we, surprisingly, observed the formation of a mixture of highly substituted isoquinuclidine heterocycle **3a** and its carbobicyclic isomer **4a** in 1:19 ratio with an overall yield of 57% (Fig. 2) instead of the expected Mannich-type product.

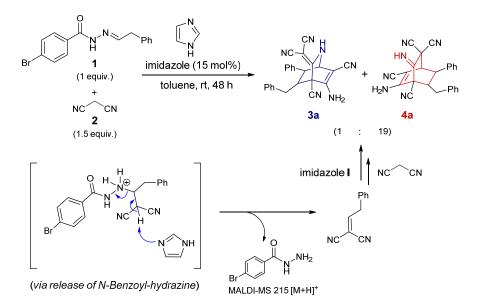


Figure 2. Imidazole catalyzed formation of isoquinuclidine heterocycle **3a** and its carbobicyclic isomer **4a**.

We hypothesized that the observed products 3a and 4a could result from the imidazole catalyzed reaction of the nucleophilic malononitrile 2 with *N*-benzoylhydrazone 1 via in situ release of N-benzoyl-hydrazine group (Fig. 2).

Since aldehydes are more electrophilic and more simple starting materials than hydrazones, we decided to use the corresponding aldehyde 5a in the following experiments. We first carried out the direct reaction of the phenylethanal 5a (1 equiv.) with malononitrile 2 (1.5 equiv.) in the presence of an imidazole at 15 mol% loading. Interestingly, isoquinuclidine 3a was formed as the

main product in contrast to the reaction employing *N*-benzoylhydrazone 1 as an electrophile. Products **3a** and **4a** were formed in 4:1 ratio with 52% overall yield (Fig. 3).

Carrying out the reaction using DBN as a catalyst gave the products in only 25% yield. Generally, higher yields (52-62%) were obtained using DABCO, DMAP, DBU and pyrrolidine (Fig. 3). However, the chemoselectivity decreased (the ratio of products 3a:4a ranged from 1 : 1 to 2 : 1) in comparison to imidazole catalyzed reaction.

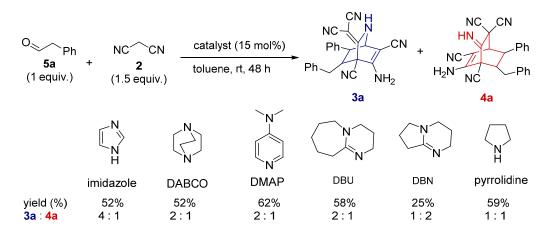


Figure 3. Evaluation of base catalysts for the reaction of phenylethanal **5a** with malononitrile **2**. Reported yields were isolated yields of products, sum of **3a** and **4a**.

Experiments with all studied base catalysts revealed that this new domino reaction is moisture-, air- and light-insensitive. Another important aspect of the reaction is the control over the chemoselectivity through the input of a certain electrophile (e.g. hydrazone **1** vs. aldehyde **5a**) or catalyst (Fig. 3, see also Supplementary Information).

Further systematic investigation of different commercially available organic bases and several imidazole derivatives (see Supplementary Information) demonstrated that simple unsubstituted imidazole was still the best choice. Therefore, and with the imidazole as a catalyst, different aldehydes were then evaluated as substrates and the results are summarized in Fig. 4.

Substrate scope

Interestingly, the use of propanal **5b** as a substrate gave the corresponding products **3b** and **4b** in 11: 1 ratio. The diastereomeric ratio of the major product **3b** was 38:62 (*anti*-**3b'** : *syn*-**3b''**). Interestingly, the diastereomer *syn*-**3a''**, which was formed only in trace amounts (>99:1 dr, *anti*-**3a'** : *syn*-**3a''**) using phenylethanal **5a**, was generated as a major diastereomer (*syn*-**3b''**) using propanal **5b** as an aldehyde. The detailed X-ray and NMR studies on relative configuration assignment of the obtained diastereomers will be discussed later in this paper.

Next, several arylethanals **5c-5j** were evaluated as substrates. Overall yields are 40-59% for six steps and **3:4** ratios ranging from 2:1 to 7:1 were obtained (Fig. 4). Intriguingly, the highly functionalized racemic isoquinuclidine molecules **3c-j**, which were the main products in all cases, were isolated with diastereoselectivities of up to more than 99:1 (similar to **3a**). It is amazing that all four stereogenic centers (one quaternary and three tertiary!) can be controlled highly diastereoselectively in this multi-step reaction using simply achiral imidazole as a catalyst.

We next turned our attention to artemisinin-derived aldehydes as substrates. Since the seminal discovery in 1972 of artemisinin (natural 1,2,4-trioxane sesquiterpene) from *Artemisia annua* L., by Youyou Tu (Nobel Prize 2015),^[14] diverse varieties of artemisinin derived dimers and hybrids have been synthesized. Natural product hybrid molecules^[15] often possess strikingly improved or new biological activities compared to their parent compounds, as reported by our group and others on examples of different highly potent artemisinin-derived hybrids with antimalarial, antiviral and anticancer activities.^[16]

Thus, predicting the potential of our new transformation to structurally diverse and complex scaffolds of value to medicinal chemistry, we next prepared artemisinin derived hybrids. We carried out two reactions of enantiomerically pure artemisinin based aldehydes **5k** and **5l** with malononitrile **2**, respectively, in the presence of imidazole (15 mol%). Delightfully, new

artemisinin-derived hybrids **3k** and **4k** in 52% overall yield, 5:1 ratio and hybrids **3l** and **4l** in 38% overall yield, 3:1 ratio of corresponding products were formed (Fig. 4).

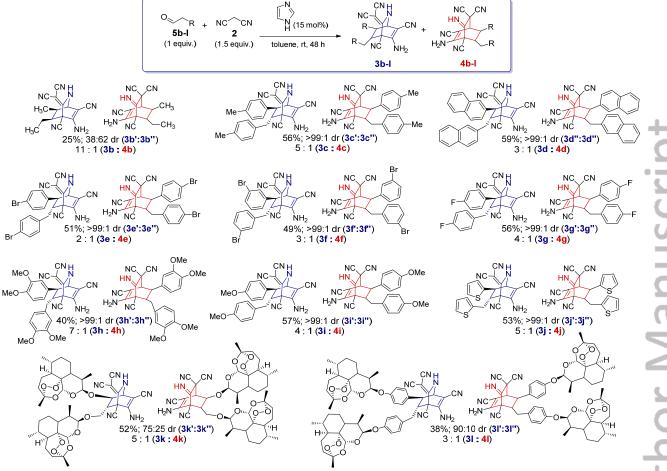


Figure 4. Substrate scope includes various aldehydes **5b-51**. Reported yields were isolated yields of products, sum of **3** and **4**. Ratio **3**:**4** and dr were determined by ¹H NMR analysis of the product mixture after a single column, see Supplementary Information for more details.

The diastereomeric ratios in the isoquinuclidine heterocyclic subunits of the major products **3k** and **3l** were determined by ¹H NMR as 75:25 (*anti*-**3k'** : *syn*-**3k''**) and 90:10 (*anti*-**3l'** : *syn*-**3l''**), respectively.

The obtained hybrids **3k**, **3l**, **4k** and **4l** are currently under biological investigations. The antiviral activities of the selected new molecules **3l** and **4l** were already assessed *in vitro*. Remarkably, hybrids **3l** and **4l** display superior potency against *HCMV* (human cytomegalovirus) (EC₅₀ of

 0.071 ± 0.002 µM and 0.260 ± 0.008 µM, respectively) compared with its parent compound artemisinin (EC₅₀ >10 µM^{30,31}) and are even more active than clinically used antiviral agent ganciclovir (EC₅₀ of 2.6±0.5 µM^{30,31}). The detailed results of the biological studies will be reported elsewhere.

Further demonstration of immense potential of this six-step domino reaction to deliver *in a single operation* new libraries of artemisinin-derived hybrid molecules and hybrids of different other bioactive compounds with antiviral, anticancer and antimalarial properties is currently also underway in our laboratory.

X-ray and NMR studies on the stereochemistry of new products

The structures and relative stereochemistry of the isoquinuclidines *anti-3*a' (formed as a single diastereomer (dr >99:1) from phenylethanal **5a**) and *anti-3*b' (formed from propanal **5b** as a minor diastereomer, dr 38:62), were confirmed by X-ray analysis of single crystals obtained by slow evaporation of the solvent (Fig. 5).^[17]

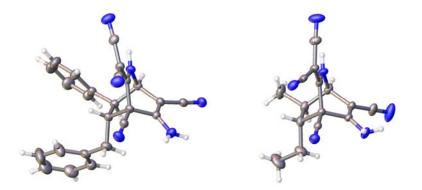


Figure 5. ORTEP view of molecular structure of polysubstituted isoquinuclidines *anti*-3a' and *anti*-3b'.

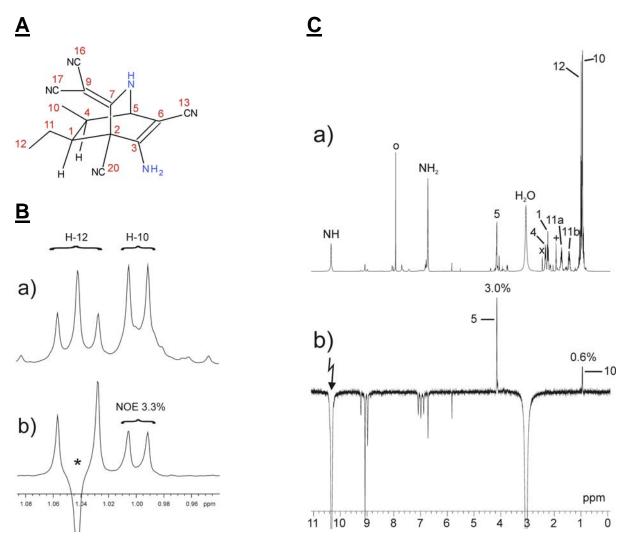


Figure 6. <u>A</u> Numbering of *syn-***3b**'' as automatically suggested by the NMRPredict software and as is employed for the depicted/described NMR spectra. <u>B</u> ¹H-NMR spectrum (500 MHz) of *syn-***3b**'', zoomed signals of H-12 (ethyl-CH₃) and H-10 (methyl) in DMSO-d₆/CD₃CN 1:2, r.t. a) normal ¹H-spectrum; b) DPFGSE-NOE spectrum under irradiation of H-11b (one of the diasterotopic CH₂-protons). The percentage number is relative to the intensity of the irradiated signal = -100%. The * denotes a selective population transfer (SPT) effect from vicinal coupling. Measuring time for the NOE spectrum: 5 hrs. <u>C</u> ¹H-NMR spectrum (500 MHz) of *syn-***3b**'' in DMSO-d₆/CD₃CN 1:2, r.t. a) normal ¹H-spectrum with assignments according to <u>A</u> o = CHCl₃ (from synthesis/workup); x = residual solvent signal (DMSO-d₆); + = residual solvent signal (CD₃CN); b) DPFGSE-NOE spectrum under irradiation of the NH signal. Negative signals are due to chemical exchange with other acidic protons from isomerization/decomposition products. Percentage numbers of positive NOE signals at H-5 and H-10 are relative to the integral sum of all negative signals = -100%. Mixing time 0.5 sec, measuring time 22 hrs.

As discussed above, compound **3b** ($R = CH_3$, c.f. Fig. 4) was obtained as a mixture of two diastereomers *anti*-**3b'**: *syn*-**3b''** in a 38:62 ratio. While the structure of minor diastereomer *anti*-**3b'** was determined by X-ray analysis (Fig. 5), the major diastereomer *syn*-**3b''** was subjected to an extensive NMR analysis, in particular with respect of the exo/endo assignment of the methyl and ethyl group. Apart from standard ¹H- and ¹³C-measurements, methods employed were HMQC, HMBC, COLOC, COSY, NOESY, and ¹H,¹³C-HOESY. For convenience, we employ the numbering shown in Fig. 6A as is suggested by the CSEARCH/NMRPredict software.^[18] Some selected NMR-findings will be presented here.

In a DPFGSE-NOE experiment, the ¹H-signal of H-11b was irradiated (one of the two diastereotopic CH₂-protons). An intense NOE of 3.3% to H-10 (the three protons of the neighbouring Me-group) has been observed (Fig. 6B). This shows the ethyl and methyl groups to be in *syn* arrangement. A further proof of the syn position of Et and Me comes from irradiation of H-4: only very weak NOEs are found to the CH₂-protons H-11a and H-11b (not depicted).

In a further DPFGSE-NOE experiment, the NH-signal at $\delta = 10.39$ was irradiated (Fig. 6C). Negative signals in Fig. 6C (b) indicate chemical exchange with other acidic protons present in the molecule and in solution: apart from the expected exchange of the NH-proton with the NH₂-protons, there is further exchange with the H₂O-protons as well as with acidic protons from isomerization/decomposition products. However, positive signals in Fig. 6C (b) indicate NOEs. An expected strong (3.0%) NOE is found to the close-by proton H-5. Furthermore, a moderately intense NOE (0.6%) is found to H-10 (Me-protons). Noteworthy, not even a weak NOE is found for H-4. Clearly, this indicates the methyl group H-10 to be in *exo* position. Along with the above finding that the ethyl and methyl group are syn, we conclude that in this particular diastereomer **3b**'' the **ethyl** and **methyl** groups both are *exo*.

Mechanism based on mass spectrometric studies

Domino reactions, a concept pioneered by L. F. Tietze, represent a highly promising route for the preparation of complex molecules and pharmaceutically important compounds.^[19] Multi-step domino reactions involving organocatalysis are among the most recent, elegant, sustainable and economically attractive methods allowing to form complex molecular scaffolds from simple components.^[20]

We next hypothesized on the mechanism for our new chemodivergent domino reaction of phenylethanal **5a** with malononitrile **2** based on EI- and APPI-MS studies (Fig. 7, see also the Supplementary Information). In the presence of imidazole under the optimized reaction conditions, we observed signals at m/z 168[M]^{*+} (Knoevenagel product), m/z 337[M+H]⁺ (dimerization product) and m/z 403[M+H]⁺, which corresponds to the products of all subsequent steps (interestingly, the molecular weight of all product intermediates and the final products is 402).

A plausible reaction pathway of the formation of isoquinuclidine **3a** and carbobicycle **4a** from phenylacetaldehyde **5a** and malononitrile **2** through a domino reaction is illustrated in Fig. 7. The reaction either proceeds through the six-step sequence of a Knoevenagel / dimerization / intermolecular addition / intramolecular aza-Michael / intramolecular addition / tautomerization (product **3a** formation), or, through the six-step sequence of a Knoevenagel / dimerization / Michael / intramolecular addition 1 / tautomerization / intramolecular addition 2 (product **4a** formation). The **3a/4a** product ratio might be subject to thermodynamic control, due to the potentially greater thermodynamic stability of **3a** vs. **4a** (vide infra). We hitherto assumed here that imidazole acts as specific base catalyst. Alternatively, the catalyst could be involved here as a general base catalyst in the intermolecular addition ($6 \rightarrow 7$). In contrast, the Michael reaction

step $(6\rightarrow 8)$ in the formation of 4a is most likely configuration determining and apparently the product ratio becomes predominantly kinetically controlled here.

This chemodivergent domino reaction could in principle proceed also via seven-steps involving Michael and Knoevenagel reactions instead of the dimerisation step of the initial Knoevenagel product. In both cases, there is a system of two interacting simple molecules 2 and 5a that link together into larger structures, allowing a consecutive series of further steps (domino reactions), leading to complex bicyclic nitrogen-containing molecules 3a and 4a.

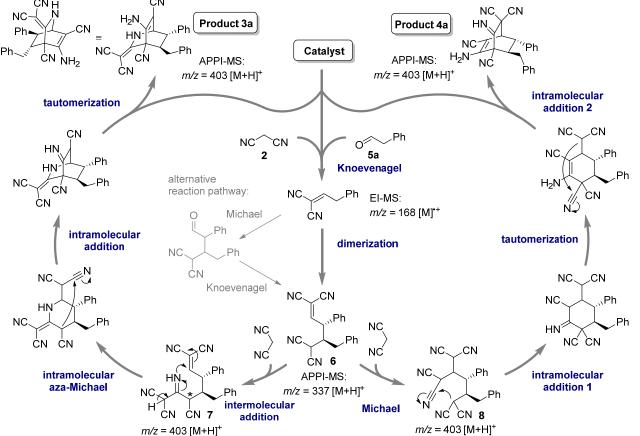


Figure 7. Proposed mechanism of the chemodivergent multi-step domino reaction leading to compounds **3a** and **4a**.

DFT studies on the mechanism

To shed some more light on the chemoselectivity, and, in particular, to understand the influence of dispersion interactions^[21] in the imidazole catalyzed transformation, mechanistic investigations have been performed also using DFT methods in conjunction with semiempirical van der Waals interactions. Computational details are provided in the Supplementary Information.

First, we note that product **3a** is more stable than **4a** by \sim 12 kcal/mol (Gibbs energy), i.e. from thermodynamics alone one would expect that molecule **4a** is formed in an only negligible amount at room temperature (Fig. 8).

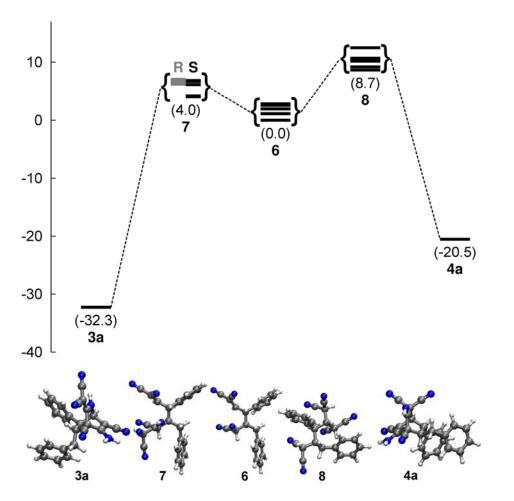


Figure 8. Free energies (in kcal/mol) of the most stable conformers relative to the most stable conformer of 6. In case of the addition product 7 the R and S configurations on the C atom marked by an asterisk in Fig. 7 were considered.

However, as this is not the case (although **3a** is usually the more dominant product) with imidazole as catalyst, the reaction cannot be controlled alone by the overall thermodynamics. Effect of solvent on reaction energies and activation barriers might also play a role.

According to our presumed mechanism, the reaction of the intermediate **6** with malononitrile forms the branching point between the reactions leading to **3a** and **4a**, and may pre-determine the observed product ratio. Therefore, we studied this "chemoselective" step in more detail. Since the molecules **6**, **7** and **8** are very flexible, various conformers, as well as two diastereomers in case of **7** (*RRS* and *RRR*), have been taken into account (see Supplementary Information for details). Overall, we have computed 103 different conformers. The relative Gibbs energies of the six most stable conformers for each of the mentioned species (incl. the products **3a** and **4a**), and the optimized structures of the most stable species are shown in Fig. 8.

The reaction pathways from 6 to 7, or 8, respectively, are both endergonic partly due to entropy effects (by reduction of the number of molecules) in addition to enthalpy effects, but the nucleophilic addition $(6\rightarrow7)$, which leads to product 3a, is favored by 4.7 kcal/mol versus the Michael addition $(6\rightarrow8)$. To obtain some information about the kinetics of this step, we calculated exemplary transition states (TS) for both pathways with imidazole as model catalyst (s. SI for details). The results are depicted in Fig. 9.

The reactants **6** (in form of the conformer leading to the lowest TS), malononitrile and imidazole, first make up a reactant complex with deprotonated malononitrile and the imidazolium cation. Via a transition state structure, this reactant complex leads directly to the complex of the product **7** and imidazole in case of the nucleophilic addition. In case of the Michael addition, the corresponding transition state structure leads to an intermediate complex of the deprotonated

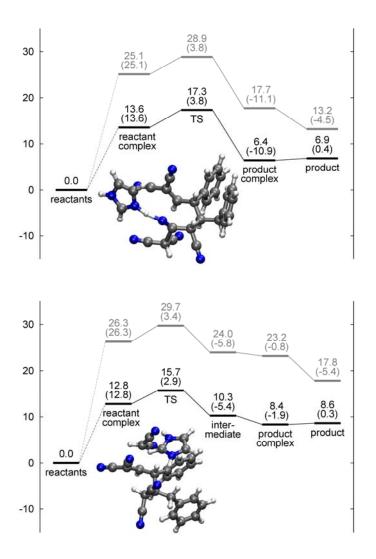


Figure 9. Reaction profiles of the nucleophilic addition reaction (upper graph) and Michael addition (lower graph), catalyzed by imidazole, respectively. Free energies (in kcal/mol) are relative to the reactants (most stable conformer 6 + imidazole + malononitrile). The free energies are also given relative to the reactant level or the previous species (in parenthesis). The black line represents the profile including, the grey line without van der Waals corrections. Additionally, the structures of the respective transition states are shown.

The activation energy for the nucleophilic addition reaction was found to be 3.8 kcal/mol relative to the reactant complex. The reaction leading from the reactant complex to the product complex

is exergonic with a reaction energy of -7.2 kcal/mol. The Michael reaction features a somewhat lower activation energy of 2.9 kcal/mol relative to the reactant complex and a reaction free energy of -4.4 kcal/mol. The reaction profiles and the optimized structure of the TSs are shown in Fig. 9. Thus, the Michael reaction leading to **4a** seems to be faster ($\Delta\Delta G^{\ddagger} = 0.9$ kcal/mol) whereas the addition reaction leading to **3a** is thermodynamically favored by 2.0 kcal/mol.

We note, however, that the difference in the activation barrier is rather small, and the reaction energies are positive for both competing steps (see Fig. 9), and the addition itself may be regarded therefore as reversible at room temperature (also in light of the moderate activation barriers). This means that the observed chemoselectivity of the domino reaction may also be influenced by the following reaction steps in addition to the activation barriers of the investigated "chemoselective" step. A comprehensive theoretical investigation of all reaction steps in Fig. 7 however is beyond the scope of the present study.

Our final point of interest was whether dispersion interactions have a special effect on the kinetics and thermodynamics of the reaction. For this purpose we calculated the free energies without dispersion interactions (see Supplementary Information for details).

If dispersion interactions are neglected, the Michael product **8** becomes more destabilized with respect to the addition product **7**. Considering the final products, **3a** is 5.1 kcal/mol and **4a** is 6.8 kcal/mol less stable without dispersion interactions. It seems that dispersion interactions stabilize the reaction path towards **4a** more than the pathway towards **3a** and therefore may decrease also the **3a**:**4a** ratio. Furthermore, the free energies of the intermediates of the imidazole catalyzed reaction are lowered relative to the energies of the reactants by dispersion interactions. This energetic lowering of the reaction intermediates is stronger in case of the Michael reaction than in case of the nucleophilic addition. The Michael reaction therefore seems to be favored by dispersion interactions also kinetically.

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Conclusion

In summary, we have demonstrated here an unexpected formation of complex molecular architectures (bicyclic nitrogen-containing molecules 3 and 4 of importance for medicinal research) from two strikingly simple components. The presented unprecedented six-step imidazole catalyzed domino reaction enables a convenient, modular and efficient direct route to biologically active isoquinuclidine ring systems and their isomeric carbobicycles using simple aldehydes and commodity malononitrile as starting materials. This obviates the need of work-up and purification steps for the synthesis of complex target compounds, leading to a reduction of costs and materials, and makes the presented synthetic strategy sustainable, straightforward, atom economical and environmentally friendly. The chemoselectivity seems to result from small differences in transition state and reaction energies of the branching step. Therefore, the chemoselectivity may also be influenced by the energetics of subsequent reactions steps. Dispersion interactions lower the energies of the intermediates and products of the branching step relative to the reactants which may conceivably result in higher yields. In addition, we also found that the two distinct reaction pathways and corresponding product ratios of 3 vs. 4 can be controlled by the applied electrophiles or catalysts. This might allow to shift the chemoselectivity to either 3 or 4 at will.

Finally, the first artemisinin-isoquinuclidine and artemisinin-carbobicycle hybrid molecules with strong antiviral activities (EC₅₀ up to 0.071 μ M for *HCMV*) have been easily prepared using this six-step domino reaction. Further efforts to diversify/apply this new six-step domino reaction to the synthesis of new target structures as well as new libraries of natural product hybrids with potential antiviral, anticancer and antimalarial activities is currently underway in our laboratory.

Experimental Section

Materials. For details of the synthetic procedures and ¹H, ¹³C NMR, MS spectra of compounds in this manuscript, see Supplementary Information.

General procedure for the domino reaction. Aldehyde (0.24 mmol), malononitrile (24 mg, 0.36 mmol) and the appropriate catalyst (0.018 mmol) were dissolved in toluene ($c_{aldehyde} = 0.48$ mol/L). The reaction mixture was stirred at room temperature until malononitrile was completely consumed. By evaporation of the solvent the reaction was stopped. The crude product was purified by silica gel column chromatography (hexane/ethyl acetate = 5/1 to 3/1).

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