

**Title:** Is prostate cancer different in black men? Answers from three natural history models

**Running title:** Prostate cancer in black men

**Keywords:** cancer epidemiology; mass screening; natural history; prostatic neoplasms; prostate-specific antigen; racial disparities; statistical methods and models

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**Precis:** Three models were used to study differences in prostate cancer natural history between black men and the general US population. We found black men have higher prevalence of preclinical disease at all ages and higher risk of metastasis, supporting further study into different screening policies for black men.

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**Abstract**

Background: Black men in the US have substantially higher prostate cancer incidence rates than the general population. The extent to which the incidence disparity is due to prostate cancer being more prevalent, more aggressive, and/or more frequently diagnosed in black men is unknown.

Methods: We estimated three independently developed models of prostate cancer natural history in black men and in the general population using an updated reconstruction of PSA screening, based on the National Health Interview Survey in 2005, and prostate cancer incidence from the Surveillance, Epidemiology, and End Results program in 1975–2000. Using the estimated models, we compared prostate cancer natural history in black men and in the general population.

Results: The models projected that 30–43% (range across models) of black men develop preclinical prostate cancer by age 85 years, a risk that is (relatively) 28–56% higher than in the general population. Among men who have had preclinical disease onset, black men have a similar risk of diagnosis (35–49%) compared with the general population (32–44%), but their risk of progression to metastatic disease by the time of diagnosis is 44–75% higher than in the general population.

Conclusions: Prostate cancer incidence patterns implicate higher incidence of preclinical disease and higher risk of metastatic progression among black men. The findings suggest screening black men earlier than white men and support further research into the benefit-harm tradeoffs of more aggressive screening policies for black men.

## INTRODUCTION

Prostate cancer is the most frequent cancer diagnosis and the second leading cause of cancer death in US men. Prostate cancer incidence among black men in the US is 60% higher and mortality is more than double the rate observed in white men.<sup>1</sup>

There is an extensive literature exploring likely drivers of the racial disparity in prostate cancer observed in the US. Regarding mortality, a persistent question concerns the relative contributions of differential access to care versus biology. Some studies<sup>2,3</sup> have suggested that differential access to care may partially explain the greater burden of adverse outcomes in black men. Others have identified differences in germline and tumor genetics between black and white men.<sup>1,4-6</sup> Black race has been identified as an independent prognostic factor for disease recurrence in multiple reports,<sup>7,8</sup> supporting a biologically more aggressive disease phenotype but also raising questions about disparities in surgery quality.<sup>9</sup> In general, black men are less likely to receive primary surgery,<sup>10-12</sup> but the extent to which this observation is related to demographics, access to care, or personal preference is unclear.

At least one major driver behind the higher rate of prostate cancer deaths among black is their higher incidence of the disease. Taksler et al. concluded that the majority (76%) of the disparity in prostate cancer mortality may be explained by higher prostate cancer incidence in black men.<sup>13</sup> The objective of the present study is to investigate and explain the higher observed incidence in black men. Whether this arises from a higher risk of disease onset or faster progression to an aggressive or symptomatic state is unclear. In their multi-ethnic study of UK men, Metcalfe et al.<sup>14</sup> suggest that the latter is unlikely; however, they do not formally interrogate this hypothesis.

Understanding whether and how natural history might be different in black men is important because, if black men have a higher susceptibility to prostate cancer and/or a greater tendency to develop aggressive disease, it may be of value to consider different screening policies for them. This issue was first raised by Powell et al.,<sup>15</sup> following observation of more aggressive disease characteristics and more frequent recurrence among black men following radical prostatectomy, and again<sup>16</sup> based on a narrowing of prostate cancer survival disparities observed following the adoption of PSA screening in the US.

We previously studied the natural history of prostate cancer in the general population via statistical and computer modeling of latent disease onset and progression to clinical and metastatic states.<sup>17-19</sup> By calibrating the models to observed population patterns of prostate cancer incidence before and after the advent of PSA screening, we estimated the risks of critical events in disease natural history and used these results to make inferences about potential impacts of different screening policies.<sup>20, 21</sup>

In this article, we develop versions of our natural history models that pertain to black men and calibrate these using incidence trends in the Surveillance, Epidemiology, and End Results (SEER) program under updated PSA screening frequencies estimated specifically among the black population. We use the calibrated models to produce estimates of disease onset, progression, and diagnosis risks that pertain to the black population. We compare these risks with estimates for the general population (i.e., all races) to determine the extent to which the increased incidence among black men is explained by higher risks of disease onset, progression, or diagnosis. Finally, we use our results to examine differential incidence of clinically relevant disease, motivating further research into differential screening policies among black men.

## METHODS

In this section, we describe the data and three models that we use to examine evidence of differential prostate cancer natural history in black men. We also describe a test for differences in black natural history relative to the general population and quantify the models' goodness-of-fit after re-estimating key components of natural history.

### PSA screening and prostate cancer incidence data

Because population-based PSA screening utilization was not tracked in real time, we retrospectively reconstructed PSA screening patterns in the US separately for black and white men in a previous study.<sup>22</sup> Briefly, this reconstruction used responses to the National Health Interview Survey (NHIS) in 2000 to estimate the age at first PSA test, and longitudinal claims data from the linked SEER-Medicare database to estimate the distribution of inter-screening intervals. The present version of the PSA screening model updates the original version, incorporating responses to the 2005 NHIS as well as information on changes in disease-specific incidence following the advent of PSA (see the online supplemental information for a comparison of the original and updated PSA screening models).

We extracted prostate cancer incidence data from the SEER database before and after the introduction of PSA screening. Specifically, we extracted prostate cancer incidence for ages 50–84, years 1975–2000, SEER historic stages local-regional and distant, tumor grade well or moderately differentiated (low grade) versus poorly differentiated or undifferentiated (high grade), and race categories “black” or “all races.” Missing information on stage, grade, and race was assumed to be missing at random and imputed as the most frequent combination of 20 logistic regression imputations using the *mice* package in R.<sup>23</sup>

### Three models of prostate cancer natural history

We estimated three models of prostate cancer natural history using PSA screening and prostate cancer incidence data separately for black men and for all races. The three models were previously used to study effects of PSA screening on incidence and mortality trends in the general US population.<sup>24, 25</sup>

Briefly, the FHCRC model is a microsimulation model that links individual PSA growth and cancer progression. In this model, higher and increasing PSA levels are associated with the presence of latent cancer and shorter intervals to metastatic spread and clinical presentation. The MISCAN model is a microsimulation model that tracks progression through combinations of cancer stages and grades. In this model, advanced stages and higher grades are associated with potentially higher screening test sensitivity and shorter intervals to clinical presentation. The UMICH model is an integrated suite of analytic models that estimates transition probabilities from earlier to later stages and from lower to higher grades during the preclinical detectable phase. In this model, a later stage at onset, a higher grade at onset, and faster progression are each associated with shorter intervals to clinical presentation. In each model, screening potentially detects latent cancer at an earlier stage and/or grade. Key differences between models are the length of the preclinical detectable phase, how much early detection improves tumor characteristics, and how both natural history and screening effects depend on age. Detailed descriptions of the models are given in the online supplemental information.

### A framework to explain incidence disparities

#### *Sequential estimation*

We first re-estimated natural history in all races using the SEER incidence and updated PSA screening data. Then, we substituted PSA screening patterns for black men and re-estimated natural history in black men following a systematic sequence of steps. Specifically, we re-estimated components of

disease natural history, each containing a specific block of parameters. The blocks of parameters governed (a) risk of disease onset and initial tumor features, (b) risks of progression to metastasis and/or high-grade disease, and (c) risk of clinical diagnosis. At each step, the re-estimation involved identifying values of the natural history parameters that allowed the models to most closely match SEER prostate cancer incidence in black men. All models proceeded in this sequential fashion until final versions of the models were obtained that re-estimated all natural history parameters for black men. To evaluate sensitivity to this sequence of re-estimated parameters, a model selection exercise examined alternative sequences.

#### ***Natural history summary measures***

Given the final versions of the models for black men and for all races, we summarized natural history in terms of the risks of preclinical onset, clinical diagnosis, and metastatic clinical diagnosis; mean ages at these natural history events; and mean years between consecutive events. Because all models were calibrated using data up to age 85 years, the summary measures were truncated at this age.

#### ***Testing and quantifying contributions to incidence disparities***

We used a likelihood ratio test to evaluate whether re-estimating components of disease natural history significantly improved the models' fits to the incidence data for black men. The likelihood used age at diagnosis as a survival time and was fit via a customized age-period approach.<sup>19</sup> To calculate likelihood ratio statistics, two likelihood functions were fit, one with and one without re-estimation of the component. While we report the likelihood ratio test results, we anticipate that, given the large sample size in the SEER registry, all tests will be highly significant at a traditional threshold. Therefore, we also report the improvement in the goodness-of-fit achieved by re-estimating components of natural history,

with goodness-of-fit expressed as the sum across years of the squared difference between annual model-projected and observed age-adjusted incidence rates.

## RESULTS

Figure 1 illustrates the annual percentage of men ages 50–84 who received at least 1 PSA test by race and age group over the period 1988–2000 using responses from the NHIS in 2005. Relative to previous estimates using responses from the NHIS in 2000,<sup>22</sup> we find that younger men received fewer tests; these differences were similar among black men and all races. The updated screening patterns indicate that, relative to the general population, modestly lower percentages of black men received at least 1 PSA test in all but the youngest ages throughout the 1990s. The greatest racial disparities in PSA testing were in the oldest ages.

Figure 2 shows the results of re-estimating natural history for all races. The figure shows age-adjusted prostate cancer incidence rates per 100,000 men ages 50–84 reported in SEER by historic stage and corresponding model-projected incidence rates. Figure 2 also shows SEER incidence rates for black men and results of the sequential estimation of the models' natural history components. The sequential estimation found that allowing the risk of disease onset to be different for black men provided an immediate improvement in the models' fits to incidence in this population. Allowing the risk of progression to distant stage to be different produced higher distant-stage but similar local-regional stage incidence projections. Also allowing the risk of clinical diagnosis to differ in black men provided modest improvements to the fit in some cases (e.g., distant-stage incidence in the FHCRC model).

Results of the model selection exercise described in the online supplemental information shows that this sequence for re-estimating parameter blocks reflects descending importance in the improvements in fits.



Improvements from re-estimating each block of natural history parameters were highly statistically significant from likelihood ratio tests (all  $P < 0.0001$ ), and the final models' fits to stage-specific incidence were substantially improved by re-estimation of the natural history components. The online supplemental information shows that sums of squared differences between observed and projected age-adjusted incidence rates declined dramatically once disease onset was re-estimated, confirming the relative importance of disease onset risk in explaining incidence disparities. All models obtained the best fits (i.e., smallest errors) when all parameter blocks were re-estimated.

Table 1 summarizes natural history measures among black men and for all races up to age 85 years estimated by the three final models. In the general population, the risk of developing preclinical disease is 24–29% (range across models). In black men, however, these risks rise to 30–43%, reflecting risks that are (relatively) 28–56% higher than the general population. According to the models, the risks of clinical diagnosis in black men are (relatively) 33–70% higher than the general population; the corresponding observed risk in SEER prior to the advent of PSA screening was 53% higher in black men than white men (range 42%–62% higher) over the period 1975 to 1986. Among men who have had disease onset, the risk of clinical diagnosis is comparable for blacks (35–49% across models) and all races (32–44% across models), and this translates into sojourn times from disease onset to diagnosis that are very similar for black men and the general population within each model. However, among men with preclinical disease, the models estimate a 44–75% higher risk of metastasis before diagnosis among black men, reflecting greater risk of progression in this population.

## DISCUSSION

The observation that prostate cancer diagnosis is more common and more lethal among black men than among white men has never been fully explained. Our study uses three models<sup>17-19</sup> previously calibrated

to US population incidence trends to learn about features of underlying disease onset and progression unaffected by differential practice patterns around prostate cancer screening and diagnosis. The model results consistently showed that the risk of onset of a preclinical prostate cancer explains a large majority of the observed incidence disparities. Further, in addition to the risk of onset, the risk of progression to metastatic disease before clinical diagnosis was higher among black men, but the risk of clinical diagnosis following disease onset was similar to the general population. The models cannot identify whether these apparent differences in disease natural history are driven by biology, behavioral, or environmental factors, but they are of value in generating hypotheses about underlying mechanisms and their implications for screening policies.

Based on these results, we conclude that black men have more preclinical and progressive prostate cancer than the general population. They are more likely to develop prostate cancer at a younger age, and they are more likely to progress to a metastatic state and/or higher grade before clinical diagnosis. Their higher risk of progression agrees with a previous study based on autopsy and surgical pathology data<sup>26</sup> that concluded that black men had an earlier transformation to clinically significant cancer than white men. This study found similar age-specific prevalence of prostate cancer among autopsies conducted in black and white men from the Detroit metropolitan area between 1992 and 2001. The study also found evidence of more aggressive disease in radical prostatectomy specimens from black men, consistent with their markedly higher incidence of metastatic disease at diagnosis. These findings led the authors to conclude that the risk of prostate cancer initiation did not differ by race, but the risk of disease progression was higher among black men. However, similar latent prevalence and greater metastatic clinical incidence of disease among black men is in fact only possible if latent incidence is also higher in this subgroup. For, if latent incidence is similar among black men but progression is faster, this would actually lead to lower latent prevalence at autopsy. Therefore, we conclude that the prior study

results are in fact consistent with our finding that the risks of latent incidence and progression are likely both higher among black men.

Our findings motivate considering more intensive screening, e.g., beginning earlier and/or screening more frequently, among black men than among the general US population. To illustrate this, Figure 3 shows the cumulative incidence of “relevant disease,” i.e., disease fated to present before other-cause death. At all ages, the cumulative incidence is higher for black men than for all races. At ages 46–52 (range across models), the cumulative incidence among black men reaches the value estimated at age 55 among all races. Thus, if it is agreed that prostate cancer screening is worthwhile, and starting at age 55 is determined for the general population, our results suggest starting 3–9 years earlier for black men.

We recognize that a consensus about general population screening is still lacking. The US Preventive Services Task Force<sup>27</sup> recommends against routine prostate cancer screening in men of average risk, while the American Cancer Society<sup>28</sup> recommends shared decision making around prostate cancer screening beginning at age 50 and the American Urological Association<sup>29</sup> provides similar guidance with a starting age of 55. However, black men are not average risk and the benefit-harm tradeoffs of screening are likely to be different for this population.<sup>30</sup>

While starting at an earlier age is unlikely to impact overdiagnosis, other more aggressive screening policies, e.g., shortening intervals between screens or lowering the PSA threshold for biopsy referral, could increase risks of overdiagnosis.<sup>31</sup> A comprehensive policy development process addressing whether and how best to screen black men will have to carefully weigh benefit-harm tradeoffs of candidate policies. Understanding race-specific natural history will be a critical pre-requisite for this important work. At this point, however, our findings support considering screening beginning at an

earlier age in black men than in the general population. Powell et al.<sup>16</sup> also recommend aggressive early prostate cancer PSA testing of African American men. Our work adds to theirs by illustrating one quantitative justification for an age to initiate screening in black men.

In practice, the policy development process will require going beyond examining incidence patterns to projecting mortality in the presence and absence of screening. Since screening benefit is contingent on access to efficacious therapies, benefits of screening in different race groups may be affected by any disparities in access to treatment and any racial differences in treatment efficacy. Future work will extend the models used in this article to project the downstream outcomes of different screening policies in black men under race-specific treatment distributions and efficacies.

Limitations of this study relate primarily to modeling assumptions and data limitations. While the use of multiple models provides some sense of robustness to the specific assumptions made, all models assume that disease is progressive. Thus, none of the models explicitly includes an indolent subpopulation, although each allows heterogeneity of disease progression with some cases progressing rapidly and others slowly. The FHCRC model allows the likelihood of developing high-grade disease to vary with age but does not model grade progression; the other models allow both grade and stage progression. Other differences across models are also driven by differences in the conceptualization of onset and how the risk of onset depends on age. In the FHCRC model, onset refers to the latent incidence of disease that would be detectable by biopsy, which can occur as early as age 35, while in the MISCAN and UMICH models onset refers to the latent incidence of disease that can be detected based on elevated PSA and diagnostic workup, and this rarely occurs before age 40. Finally, the PSA screening rates used by all models are based on a retrospective reconstruction rather than a prospective tracking of prostate cancer screening dissemination in the US population.

In conclusion, this study represents the first examination of how prostate cancer natural history must differ in black men to account for racial variation in patterns of disease incidence before and after the advent of PSA screening. We use observed patterns of disease incidence and screening to learn about key events in the latent process of disease by race. Our results provide quantitative information about the prostate cancer natural history that may support prior suggestions to explore different screening policies among white and black men.<sup>13, 15</sup>

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**FIGURE LEGENDS**

Figure 1. Annual percentage of men receiving at least 1 PSA test based on the updated reconstruction of PSA screening patterns in the US.

Figure 2. Age-adjusted prostate cancer incidence rates per 100,000 men ages 50–84 years for black men (black line) and all races (gray line) and corresponding projections by three models (colored lines). Model projections are based on the models estimated for all races combined with PSA screening in black men and sequentially re-estimating components of natural history to allow differential risk of onset of preclinical cancer (“Onset”), risk of progression to metastasis and/or higher grade (“Progression”), and risk of clinical diagnosis (“Diagnosis”).

Figure 3. Cumulative incidence of onset of preclinical prostate cancer that would be clinically diagnosed in black men and all races projected by the models. Line segments show ages at which black men have incidence corresponding to levels estimated at age 55 in all races.

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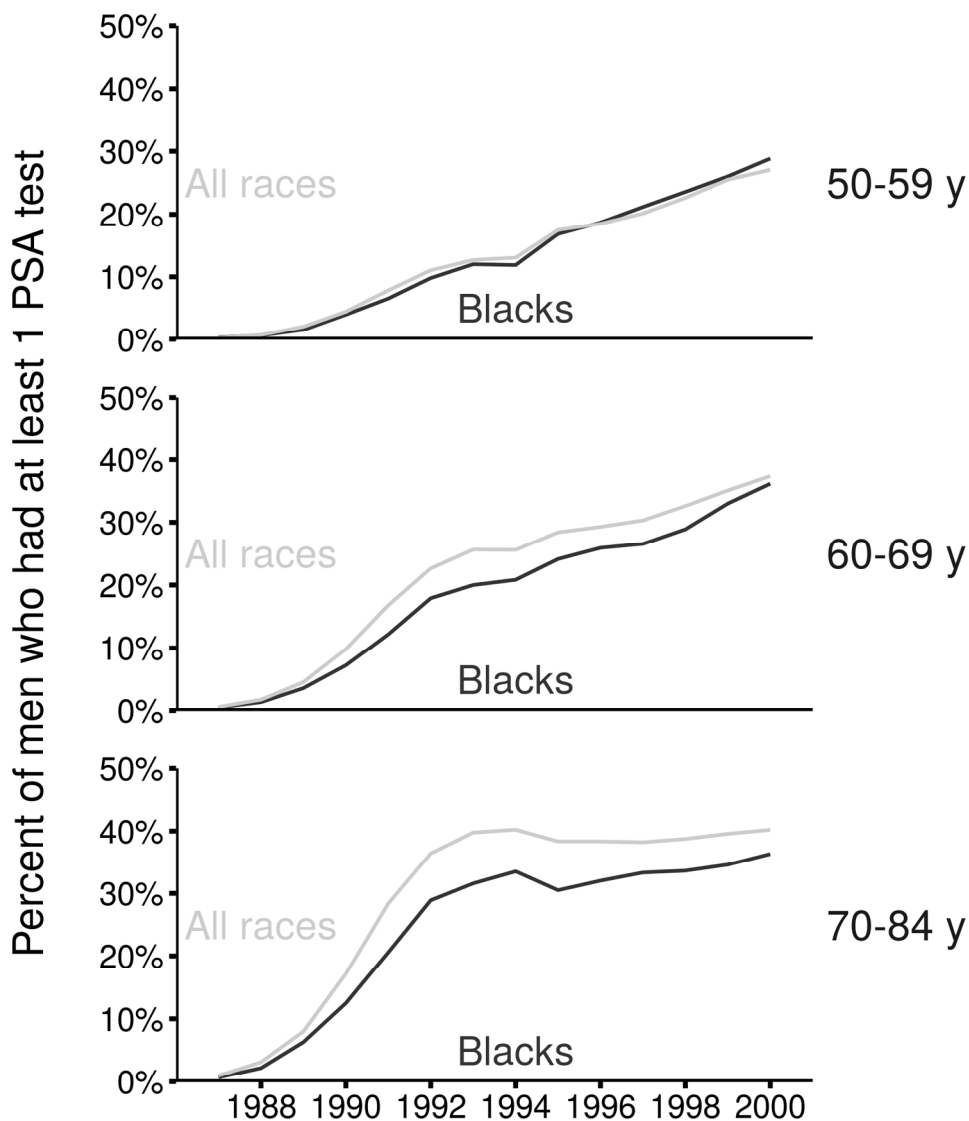
Table 1. Summary measures for natural history events occurring within a man's lifetime, up to age 85 years, projected by the three models.

Ratios are for black men relative to all races and are calculated using 4 significant digits for all measures.

Measure	FHCRC			MISCAN			UMICH		
	Black men	All races	Ratio	Black men	All races	Ratio	Black men	All races	Ratio
Risk of onset, %	43	28	1.56	30	24	1.28	37	29	1.29
Risk of clinical diagnosis, %	15	9	1.70	13	10	1.33	18	13	1.44
Risk of metastatic clinical diagnosis, %	4	2	2.53	4	2	1.84	4	2	2.26
Risk of clinical diagnosis given onset, %	35	32	1.09	45	43	1.04	49	44	1.12
Risk of metastatic clinical diagnosis given onset, %	9	6	1.63	13	9	1.44	12	7	1.75
Mean age at onset, y	57	59	0.97	64	67	0.96	65	66	0.99
Mean age at clinical diagnosis, y	71	72	0.99	70	72	0.98	75	76	0.98
Mean age at metastatic clinical diagnosis, y	70	72	0.98	71	73	0.98	74	74	0.99
Mean time from onset to clinical diagnosis, y	18	18	1.02	10	10	0.98	17	18	0.92
Mean time from onset to metastatic clinical diagnosis, y	16	15	1.03	12	13	0.94	16	21	0.79
Risk of PSA or clinical diagnosis*, %	16	10	1.65	20	16	1.23	20	14	1.45
Risk of PSA or clinical diagnosis given onset*, %	38	36	1.06	66	68	0.97	53	47	1.12
Mean age at PSA or clinical diagnosis*, y	70	71	0.99	69	71	0.98	73	74	0.98
Mean time from PSA to clinical diagnosis*, y	7	7	1.03	8	9	0.95	7	7	0.96

\* These measures are in the presence of modeled PSA screening patterns in 1987–2000 and are included for reference.

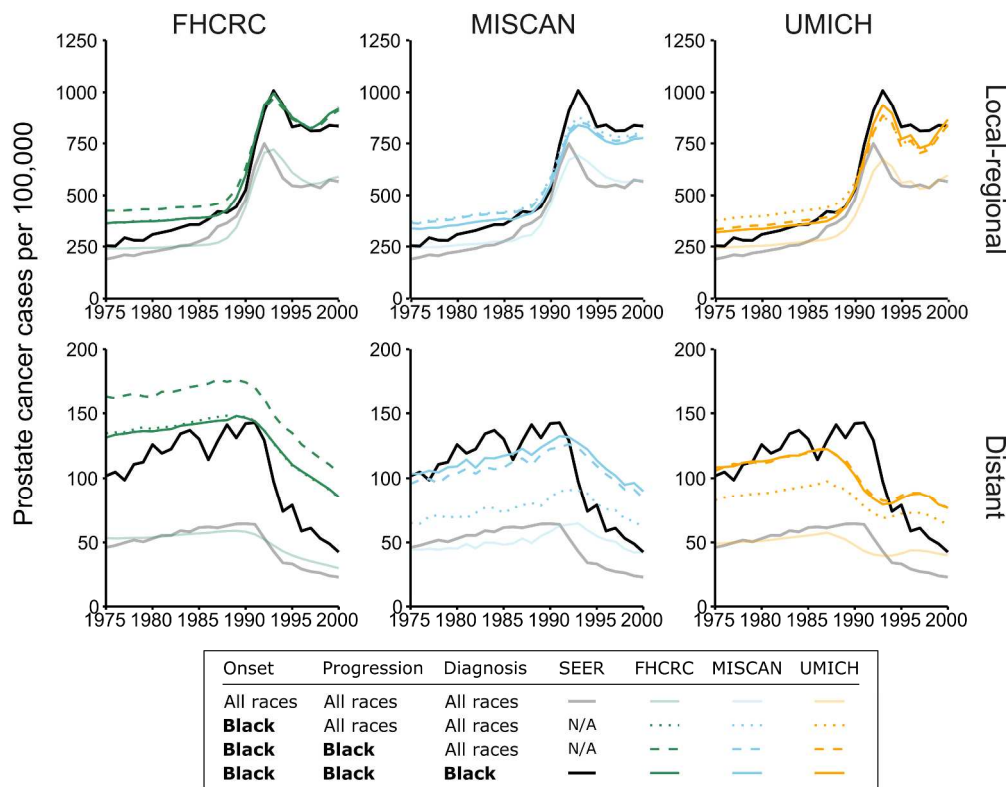
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Annual percentage of men receiving at least 1 PSA test based on the updated reconstruction of PSA screening patterns in the US.

Figure 1

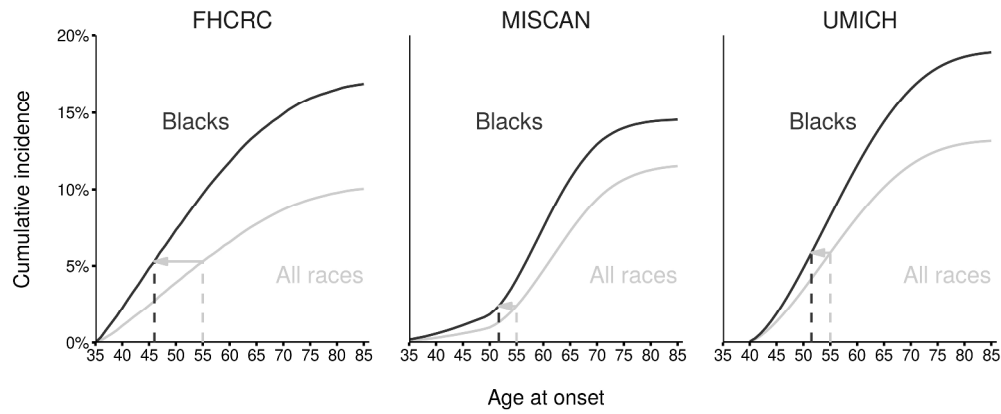
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Age-adjusted prostate cancer incidence rates per 100,000 men ages 50–84 years for black men (black line) and all races (gray line) and corresponding projections by three models (colored lines). Model projections are based on the models estimated for all races combined with PSA screening in black men and sequentially re-estimating components of natural history to allow differential risk of onset of preclinical cancer (“Onset”), risk of progression to metastasis and/or higher grade (“Progression”), and risk of clinical diagnosis (“Diagnosis”).

Figure 2

ACCEPT



Cumulative incidence of onset of preclinical prostate cancer that would be clinically diagnosed in black men and all races projected by the models. Line segments show ages at which black men have incidence corresponding to levels estimated at age 55 in all races.  
Figure 3

Accepted

## Online Supplemental Information for

### Is prostate cancer different in black men? Answers from three natural history models

This document provides detailed descriptions of the natural history models, a description of reconstructed prostate-specific antigen (PSA) screening patterns using data from the National Health Interview Survey (NHIS) in 2005, a summary of the model selection exercise to investigate alternative sequences for re-estimating parameter blocks, and results of improvements to goodness-of-fit when re-estimating the onset-progression-diagnosis sequence of blocks presented in the manuscript.

#### 1. Three models of prostate cancer natural history

**Fred Hutchinson Cancer Research Center (FHCRC) model.** The FHCRC model is a microsimulation model that links the process of disease progression through clinical-pathologic stages with PSA growth. PSA level is a log-linear function with a baseline growth rate that varies across individuals and accelerates at onset of a preclinical tumor. The PSA growth model was externally estimated<sup>1</sup> using data from the control arm of the Prostate Cancer Prevention Trial (PCPT), which conducted annual screening of 18,882 men for up to 7 years.<sup>2</sup> A previous analysis<sup>3</sup> of PSA growth among 10,219 PCPT participants (including 344 black men) showed no clear evidence of differential PSA growth by race; consequently, we assumed PSA growth in black men was similar to that in the general population.

Conditional on PSA growth, we estimated risks of preclinical onset and disease progression in the general population and, separately, in black men as follows. We assumed the risk of preclinical onset increases with age according to a Weibull distribution; the risk of tumor onset is zero before age 35. We assumed tumor grade, operationalized as Gleason score 2–7 (low grade) or 8–10 (high grade), is fixed at onset, but the probability of high grade increases with age following a generalized logistic function.

Finally, we assumed the risk of transitioning from non-metastatic (TNM stage M0) to metastatic stage (M1) is proportional to the individual PSA level, and the risk of transitioning from a preclinical to a clinical state is also proportional to the individual PSA but with possibly different proportionality constants depending on tumor stage and grade.

We simulated individual life histories from this model and superimposed PSA screening schedules based on our reconstructed screening patterns. Men with a PSA level greater than 4.0 ng/mL at a screen test were assumed to receive a prostate biopsy subject to biopsy compliance rates<sup>4</sup> observed in the Prostate, Lung, Colorectal, and Ovarian (PLCO) cancer screening trial, a randomized clinical trial involving PSA screening for 38,350 men.<sup>4</sup> Biopsy sensitivity to detect occult tumors improved over time to reflect the use more extensive biopsies beginning in the late 1990s<sup>1,5</sup>

The model parameters (disease onset and progression risks) were varied so that the model-projected incidence patterns approached those observed in the population. Separately, for all races and for black men, we formally estimated the natural history parameters using a simulated maximum likelihood algorithm fit to SEER incidence counts by age, year, stage, and grade.<sup>1</sup>

**Erasmus University Medical Center (MISCAN) model.** The MISCAN prostate cancer model is also a microsimulation model that simulates individual disease histories. The risk of disease onset is piecewise constant within age intervals below 30, 30–49, 50–69, and 70 and above. The progression of cancer in individuals is modeled as a sequence of tumor states. There are eighteen preclinical detectable states, which represent all possible combinations of clinical T-stage (T1, T2, or T3), Gleason grade (<7, 7, and >7), and metastatic stage (M0 or M1). The progression through the clinical T-stages and Gleason grades is modeled as a semi-Markov process, and we assume stage- and grade-specific risks of transition from



the non-metastatic (M0) to the metastatic (M1) stage. From each preclinical state, cancer can progress to the clinical state (diagnosis).

The natural history parameters were originally estimated using data from the Rotterdam section of the European Randomized Study of Screening for Prostate Cancer (ERSPC);<sup>6</sup> selected parameters were later adapted for the US setting via calibration to SEER incidence patterns.<sup>7</sup> Screening was superimposed on simulated life histories using the same PSA utilization estimates as the other models. In the MISCAN model, a screening test represents the combined set of procedures triggered by the test together with subsequent procedures (biopsy, diagnosis) resulting from the PSA level exceeding the threshold. Unlike the FHCRC model, these are not modelled explicitly; rather a composite sensitivity is estimated as part of the model calibration, reflecting the probability of a cancer diagnosis given the presence of latent disease at the time of the screening test. All parameters adapted for the US setting were re-estimated using prostate cancer incidence data for black men and given the updated, race-specific PSA screening patterns.

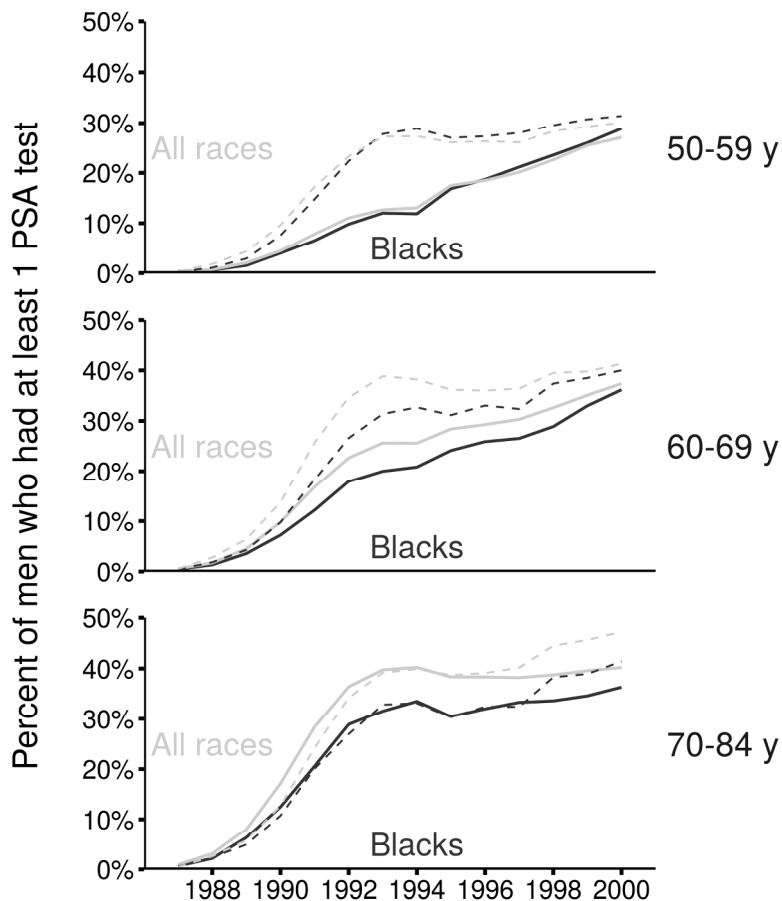
**University of Michigan (UMICH) model.** The UMICH model of disease natural history is an analytic mechanistic model. Analytic expressions and statistical analysis based on numerical methods serve as the model engine. The base model is the classical three-state model of screening.<sup>8</sup> Tumor onset is defined as a tumor attaining a PSA-detectable state; the risk of tumor onset is zero before age 40. Sensitivity of the PSA test is a function of delay time (the time from onset to diagnosis under screening). A PSA screening schedule is considered a random point process in the subject and in the population with distribution derived from the common PSA screening patterns input to the other models.

In this model, disease incidence depends on a number of latent factors, including age at onset and the preclinical sojourn time. Incidence is determined by the distributions of these factors and the PSA screening schedules. The model is fit to SEER incidence trends by maximum likelihood and this procedure yields estimates of the distributions of age at onset and sojourn time.<sup>9,10</sup> The same estimation procedure is repeated for the general population and, separately, for black men using updated PSA screening patterns.

## **2. Reconstructing prostate-specific antigen (PSA) screening using responses from the National Health Interview Survey (NHIS) in 2005**

Updated estimates of the probability of first PSA test from the 2005 NHIS show a lower uptake of PSA screening among younger men than the original estimates.<sup>11</sup> The consequence of the change is to yield increased estimates of sojourn time durations (compared with prior model publications<sup>12</sup>) when calibrating the models against prostate cancer incidence trends during the PSA era. Supplemental Figure 1 shows the original and updated estimates of the annual percent of men who received at least 1 PSA test.

Supplemental Figure 1. Annual percent of men who received at least 1 PSA test before (dashed lines) and after (solid lines) reconstruction using responses from the NHIS in 2005.



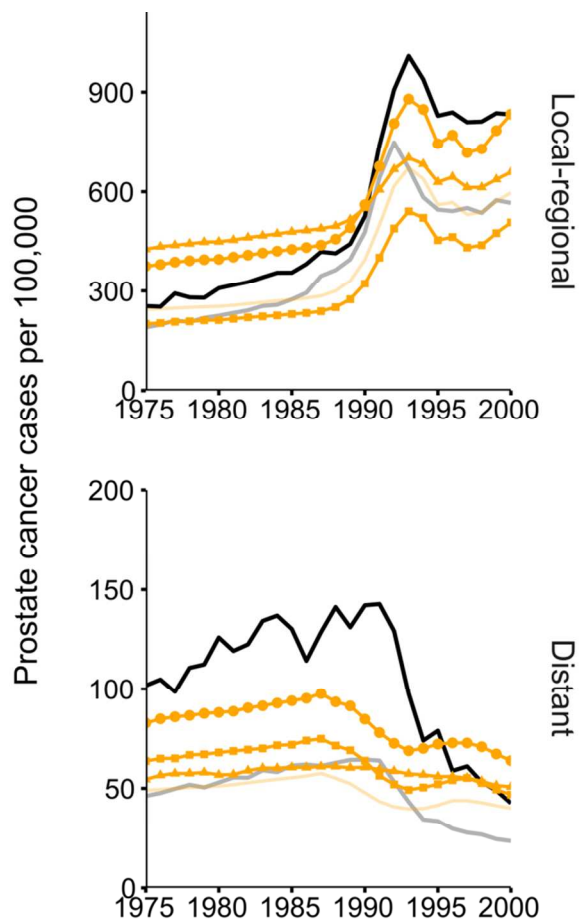
### 3. Model selection to determine order of parameter block re-estimation

We started with versions of each model that were estimated for all races and then replaced PSA screening patterns with those for black men; this version served as the baseline model. To set up the model selection procedure, meaningful components of disease natural history were defined, each containing a specific block of parameters dependent on the model and implementation. These blocks contained parameters that governed: (1) risk of preclinical tumor onset, (2) risk of progression to a higher grade and/or metastatic state, and (3) risk of progression to a clinical state. All parameter blocks were interpreted in the absence of screening. We additionally examined differential test sensitivity, i.e., the probability that a test in the preclinical state would lead to cancer diagnosis, using the UMICH model.

We first attempted to improve the baseline versions of the models by re-estimating a single block of parameters at a time, each time returning to the baseline versions as the starting point. Comparisons of projected incidence under each change with observed incidence for black men indicates the relative importance of the individual parameter blocks. After determining the most important block, we proceeded in a sequential fashion to re-estimate remaining blocks until all natural history parameters were re-estimated using data for black men.

Supplemental Figure 2 shows the results of the first step of the model selection procedure using the UMICH model. The other models give similar results (not shown). The figure shows age-adjusted prostate cancer incidence rates per 100,000 men ages 50–84 reported in SEER by historic stage and corresponding effects of re-estimating natural history components, one at a time, relative to the baseline model. Projected incidence using the baseline model is virtually identical to that for all races even though it uses PSA testing for black men. Allowing the risk of progression to clinical diagnosis to be different for black men produces higher distant stage but similar local-regional stage incidence projections. In contrast, allowing the risk of preclinical onset to differ for black men produces higher distant stage incidence projections but also dramatically improves local-regional stage projections. In fact, allowing the risk of onset to be different in black men provides the lion's share of improvement. In summary, this exercise determined that the sequence of blocks re-estimated and reported in the manuscript (onset, onset-progression, onset-progression-diagnosis) reflects descending the importance of the specified blocks.

Supplemental Figure 2. The first step of a model selection procedure to identify the most important parameter block for fitting incidence rates in black men using the UMICH model.



Onset	Progression	Diagnosis	SEER	UMICH
All races	All races	All races	—	—
<b>Black</b>	All races	All races	N/A	●
All races	<b>Black</b>	All races	N/A	■
All races	All races	<b>Black</b>	N/A	▲
<b>Black</b>	<b>Black</b>	<b>Black</b>	—	N/A

#### 4. Models' relative improvements in goodness-of-fit

We began with the versions of the models estimated for all races with updated screening rates for black men. The goodness-of-fit was quantified as the squared differences between age-adjusted prostate cancer incidence rates per 100,000 men ages 50–84 years for black men and corresponding model projections, summed across years 1975–2000. Next, the same goodness-of-fit measure was calculated for versions of the models after sequentially re-estimating components of natural history to allow

differential risk of onset of preclinical cancer (“Onset”), risk of progression to metastasis and/or higher grade (“Onset-Progression”), and risk of clinical diagnosis (“Onset-Progression-Diagnosis”). The resulting goodness-of-fit measures are summarized in Supplemental Table 1. The table shows that all models achieved a large improvement in fit (smaller sum of squared errors) after re-estimating the onset parameter block. Also re-estimating the progression parameters led to a worse fit for the FHCRC and MISCAN models until risks of diagnosis were also re-estimated. The final versions of the models achieved the best fit, though the goodness-of-fit measure for the MISCAN model was similar to that achieved after re-estimating only the onset parameters.

Supplemental Table 1. Models’ goodness-of-fit to age-specific prostate cancer incidence rates per 100,000 men age 50–84 years at baseline and after re-estimating specified parameter blocks.

Run	FHCRC	MISCAN	UMICH
Black screening	287160	271140	340010
Onset	41130	18340	38960
Onset-Progression	121280	23180	30360
Onset-Progression-Diagnosis	39250	18670	18010

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