

# National Assessment of Early Hospitalization After Liver Transplantation: Risk Factors and Association With Patient Survival

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Hospitalization is known to occur frequently in the first 6 months following liver transplantation (LT). Using a novel data linkage between the Scientific Registry of Transplant Recipients and Centers for Medicare and Medicaid Services, our study has 2 objectives: (1) to determine risk factors for “early” hospitalization (ie, within 6 months of LT); and (2) to quantify the importance of hospitalization history in the first 6 months with respect to subsequent patient survival (ie, survival, conditional on surviving 6 months post-LT). The study population consisted of patients aged  $\geq 18$  years who underwent deceased donor LT between January 1, 2003 and December 31, 2010, with Medicare as primary or secondary insurance and were discharged alive from the index LT hospitalization ( $n = 7220$ ). The early hospitalization rate was 2.76 per patient-year and was significantly associated with many recipient factors (eg, recipient age, hepatitis C, diabetes, poor renal function including dialysis, and recipient of transjugular intrahepatic portosystemic shunt procedure before LT), as well as donor race and donation after cardiac death. Conditional on surviving 6 months after LT, the covariate-adjusted death rate increased by 22% for each additional hospitalization occurring in the first 6 months (hazard ratio, 1.22;  $P < 0.001$ ). In conclusion, several LT recipient factors are significantly associated with early hospitalization. Moreover, a patient’s hospitalization profile during follow-up months 0–6 is a very strong predictor of survival thereafter. Efforts and resources should be devoted toward identifying LT recipients at risk for early hospitalization and modifying the actionable risk factors such as hepatitis C, diabetes, and body mass index to improve resource utilization and overall outcomes.

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Hospitalization after a surgical procedure or discharge following a medical condition such as pneumonia or congestive heart failure adds significantly to morbidity and mortality.<sup>(1)</sup> Consequently, reduction of hospital readmission has become a new target for quality

improvement.<sup>(2)</sup> As part of the Affordable Care Act (ACA), the Centers for Medicare and Medicaid Services (CMS) are directed to push hospitals to reduce 30-day readmission rates via reduction in payments to hospitals for acute care readmission within 30 days of discharge as opposed to longer time periods.<sup>(2)</sup> Transplant procedures are not included in the ACA mandate because transplant procedures are completely different and more complex than any other surgical procedures or medical conditions. Furthermore, hospitalizations within 6 months of index transplantation (“early” hospitalization) are common and may directly or indirectly affect patient outcomes, quality of care, and health care costs.

The estimated per-patient cost for liver transplantation (LT) from a deceased donor is more than \$500,000 for the first year, amounting to greater than \$3 billion in total annual costs.<sup>(3)</sup> Post-LT discharges

*Abbreviations: ACA, Affordable Care Act; BMI, body mass index; CMS, Centers for Medicare and Medicaid Services; CPT, Current Procedure Terminology; DAA, direct-acting antiviral; DCD, donation after cardiac death; DRI, donor risk index; ESRD, end-stage renal disease; HCC, hepatocellular carcinoma; HR, hazard ratio; ICU, intensive care unit; INR, international normalized ratio; IQR, interquartile range; LT, liver transplantation; MELD, Model for End-Stage Liver Disease; OPTN, Organ Procurement and Transplantation Network; RR, rate ratio; RRI, renal risk index; SNF, skilled nursing facility; SRTR, Scientific Registry of Transplant Recipients; TIPS, transjugular intrahepatic portosystemic shunt.*

and hospitalization within 180 days contribute significantly to such cost.<sup>(3)</sup> Rates of post-LT hospitalization are not accurately known. Most of the research pertaining to hospitalization per se has focused on hard outcomes such as inpatient mortality or 30-day mortality. The majority of published data on post-LT hospitalization incidence and associated risk factors are from single-center studies and, hence, lack generalizability and precision.<sup>(4-6)</sup>

Systematic examination of the association of recipient, donor, and transplant factors with early hospitalization is important in order to understand the primary drivers of early hospitalization so that evidence-based point-of-care interventions can be developed; such interventions would be expected to improve outcomes and quality. We aimed to estimate the incidence rates of early hospitalization and to determine the risk factors associated with early post-LT hospitalization rates. To carry out our objectives, we linked data from the Scientific Registry of Transplant Recipients (SRTR) and CMS.<sup>(7)</sup> Furthermore, we examined the impact of early hospitalization rates on patient survival conditional upon surviving the first 6 months after LT. The novelty in our study chiefly derives from the study cohort; a linkage of 2 widely known national databases that are commonly used but not often combined; the determination of risk factors for early hospitalization among LT recipients; and the explicit use of early

hospitalization history as a predictor of subsequent survival.

## Patients and Methods

### PATIENT DATA AND SOURCE

Clinical, demographic, and claims information for adult patients who received LT between 2003 and 2010 was obtained from the SRTR and linked with CMS claims data. To allow for appropriate longitudinal follow-up, the population was limited to those enrolled in Medicare at LT and discharge from the index LT hospitalization.

This study used data from the SRTR. The SRTR data system includes data on all donor, wait-listed candidates, and transplant recipients in the United States, submitted by the members of the Organ Procurement and Transplantation Network (OPTN), and has been described elsewhere.<sup>(8)</sup> The Health Resources and Services Administration, U.S. Department of Health and Human Services provides oversight to the activities of the OPTN and SRTR contractors. The SRTR database has a uniform structure based on transplant candidate registration information provided by each transplant center at the time of placement on the waiting list; transplant recipient registration information provided by the transplant center at the time of LT; and transplant follow-up provided by the transplant center at 6 months, 1 year, and annually thereafter. The SRTR supplements information on vital status with data on deaths from the Social Security Death Master Files and CMS, and for data on end-stage renal disease (ESRD) from CMS.<sup>(8)</sup>

CMS hospital claims files contain enrollment and utilization data for each beneficiary. It also has a beneficiary summary file, as well as outpatient and inpatient claims data. The MedPAR File contains inpatient hospital and skilled nursing facility (SNF) final action stay records. Each MedPAR record represents a stay in an inpatient hospital or SNF. Each MedPAR record may represent 1 claim or multiple claims, depending on the length of a beneficiary's stay and the amount of services used throughout the stay. The MedPAR file includes the diagnosis (International Classification of Diseases, Ninth Revision diagnosis), procedure (Current Procedure Terminology [CPT] procedure code), diagnosis-related group, dates of admission, dates of discharge, reimbursement amount, hospital provider, and beneficiary demographic information.

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*The data reported here have been supplied by the Minneapolis Medical Research Foundation as the contractor for the Scientific Registry of Transplant Recipients (SRTR). The interpretation and reporting of these data are the responsibility of the author(s) and in no way should be seen as an official policy of or interpretation by the SRTR or the U.S. government.*

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## DATA LINKAGE

A list of adult deceased donor LT recipients from 2003 to 2010 was sent from SRTR to CMS-Contractor Buccaneer to link the SRTR records with the CMS data. The linkage was performed based on the following: Social Security number, first and last name, sex, and date of birth. Buccaneer produced a crosswalk file that allowed us to match records in SRTR and CMS data using deidentified patient identifiers as described previously.<sup>(7)</sup>

This study protocol was approved a priori by the University of Michigan institutional review board.

## COHORT DETERMINATION

The study included adult deceased donor recipients  $\geq 18$  years of age who underwent LT between January 2003 and December 2010 in the United States and were discharged alive without re-LT from the index LT hospitalization ( $n = 7220$ ). We excluded recipients of living donor LT or multiorgan transplant including simultaneous liver and kidney transplant recipients, as well as patients with non-Medicare insurance.

## ANALYTIC APPROACH

Descriptive statistics for continuous variables were expressed as median (interquartile range [IQR]), and categorical variables were expressed as counts and percentages. Unadjusted rates of post-LT hospitalization were expressed as admissions per-patient year. Patients were followed from the time of discharge from the index hospitalization (during which LT occurred) to death or loss to follow-up. Covariate missingness for the SRTR data varied from 0% to 9%. The exception was serum sodium (21% missingness), which was not consistently available in the SRTR prior to October 31, 2004; hence, this covariate was not included in the models. We tested missingness as a 0/1 indicator variable for each covariate, with nonsignificant missingness indicators then dropped from the final model. Note that results of a sensitivity analysis using complete case analysis (ie, including patients with no missingness for any covariate) were consistent with the main results reported here.

## MODELING OF EARLY HOSPITALIZATION RATE

We focused on early hospitalizations (defined as hospitalizations within the first 6 months of LT) due to their relatively high frequency of occurrence, and their

potential association with recipient, donor, and transplant factors. We used a proportional rates model to examine associations between recipient, donor, and transplant characteristics and the rate of early hospitalization.<sup>(9)</sup> The proportional rates model is essentially an extension of the Cox model that accommodates recurrent events (ie, events that can occur repeatedly for a patient; eg, hospitalizations). Like the Cox model, the proportional rates model is quite flexible; the shape of the baseline rate (over follow-up time) is not specified, nor is the nature of the dependence structure of events within patients. Note that hospitalizations for a given patient are not assumed to be independent; standard errors for the rate ratios (RRs) are based on a robust (sandwich) variance estimator that accounts correlation among events within-subject, without assuming a particular structure for said correlation.

The following recipient factors were examined: age, sex, race/ethnicity, body mass index (BMI), diagnosis, on life support, hospitalization/intensive care unit (ICU) status, diabetes, ascites, albumin, creatinine, bilirubin, international normalized ratio (INR) of prothrombin time, dialysis, status 1, portal vein thrombosis, and history of transjugular intrahepatic portosystemic shunt (TIPS). The following donor and transplant factors were included: donor age, donor sex, donor race/ethnicity, height, donation after cardiac death (DCD), shared organ, cold ischemia time, donor cause of death, and split liver. We also calculated the donor risk index (DRI) for descriptive purposes as described previously.<sup>(9,10)</sup> Transplant center was adjusted for using stratification.

Three separate models of hospitalization stratified by transplant center were used to examine associations between recipient factors at LT and early post-LT hospitalizations, adjusting for donor- and transplant-related factors. The first model was adjusted for recipient and donor factors; the second model replaced the recipient factors with the Model for End-Stage Liver Disease (MELD) score; and the third model replaced the recipient factors with renal risk index (RRI). The RRI was calculated using the equation from Sharma et al. (<https://rri.med.umich.edu/>).<sup>(11,12)</sup>

## CONDITIONAL SURVIVAL MODELING

Next, we examined the effect of hospitalization on post-LT mortality using Cox regression. To be specific, the Cox model being fitted here evaluates the effect of the various risk factors on survival beyond 6 months, conditional on survival to the 6-month post-LT mark. The

focus in this model was the impact of the early (ie, first 6 months following LT) hospitalization on subsequent conditional survival (ie, given survival of the patient through the “early” post-LT period). These models all included the individual recipient, donor, and transplant factors mentioned above. This model was adjusted for recipient, donor, and transplant factors, as well as the number of hospitalizations within the first 6 months after discharge from the LT hospitalization and stratified by transplant center, in order to flexibly adjust for center effects.

All statistical analyses were carried out using SAS, version 9.4 (SAS Institute, Cary, NC). Results with a 2-sided *P* value of <0.05 were considered statistically significant.

## Results

### COHORT DESCRIPTION

There were 38,041 adult recipients of deceased donor liver only transplants in the United States during the study period. Of these, 9753 recipients had Medicare coverage for their transplant and at the time of discharge from the index transplant hospitalization. We excluded 136 patients who received a previous transplant, 740 for death or graft failure during index LT hospitalization, and 1657 without a transplant hospitalization record bracketing the date of the transplant. The final study group consisted of 7220 recipients.

Characteristics of recipients at the time of LT are summarized in Table 1. The median age at LT was 59 years (IQR, 52-66 years), 66% were males, 74% were Caucasians, 36% had hepatitis C, and 28% had history of diabetes. The median DRI was 1.45 (IQR, 1.22-1.75).

### HOSPITALIZATION RATES BY POST-LT FOLLOW-UP TIME

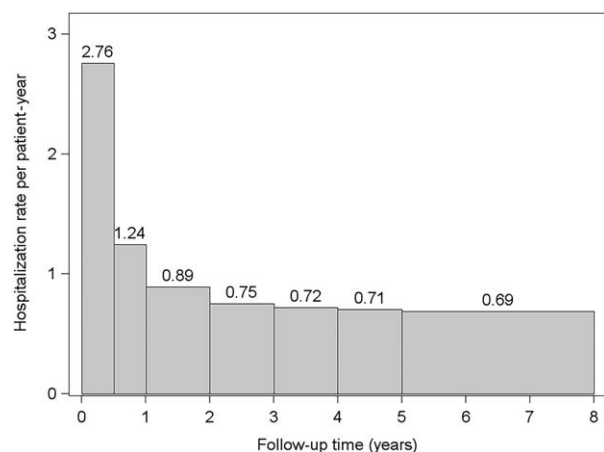
Figure 1 shows the hospitalization rates by follow-up time. The hospitalization rate was highest in the first 6 months after LT (2.76 hospitalizations per patient-year) and decreased quickly over time to less than 1 hospitalization per patient-year beyond the first post-LT year. In the first 6 months after discharge from the LT hospitalization, 3021 (42%) of patients had no hospitalization, 1972 (27%) had 1 hospitalization, 1055 (15%) had 2 hospitalizations, and 1172 (16%) had 3 or more hospitalizations (Fig. 2).

**TABLE 1. Characteristics of the Cohort at LT**

Characteristics at LT	Value (n = 7220)
Age, years	59 (52-66)
Sex	
Female	2428 (34)
Male	4792 (66)
Ethnicity	
White	5332 (74)
Black	550 (8)
Asian	276 (4)
Hispanic/Latino	985 (14)
Multiracial/other	77 (1)
Status 1 at transplant	81 (1)
BMI, kg/m <sup>2</sup>	27.8 (24.6-32.0)
Etiology	
Hepatitis C	2574 (36)
Cholestatic liver disease	526 (7)
Noncholestatic liver disease	2288 (32)
HCC	1228 (17)
Other liver disease	604 (8)
Laboratory MELD at transplant	17 (13-24)
Albumin at transplant, g/dL	2.9 (2.5-3.4)
Diabetes	2057 (28)
Dialysis	316 (4)
Ascites	
None	1346 (19)
Slight	4010 (56)
Moderate	1864 (26)
Portal vein thrombosis at transplant	546 (8)
History of TIPS	768 (11)
In ICU at LT	504 (7)
Hospitalized, not in ICU	970 (13)
Not hospitalized	5746 (80)
RRI	1.60 (0.99-2.84)
DRI	1.45 (1.22-1.75)

NOTE: Data are given as median (IQR) or n (%).

The primary reasons recorded for early hospitalizations were allograft-liver related (29%) followed by infections (14%), renal complications (11%),



**FIG. 1.** Post-LT hospitalization rate by follow-up time.

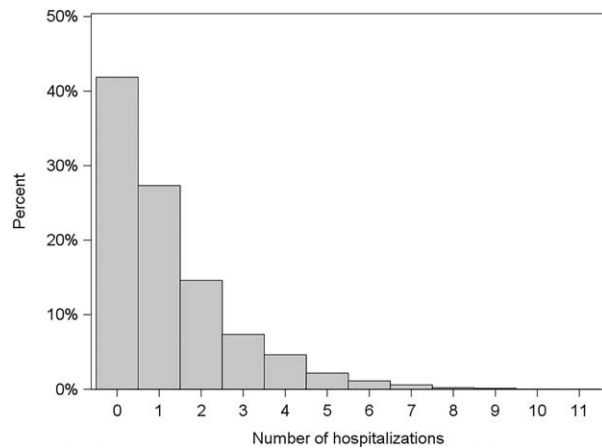


FIG. 2. Proportion of hospitalizations in the first 6 months after discharge from the LT hospitalization.

gastrointestinal complications (9%), cardiovascular complications (5%), and other medical complications (32%).

## RISK FACTORS FOR EARLY HOSPITALIZATION

Table 2 shows the results of the adjusted model using recipient, donor, and transplant factors as predictors of early hospitalization. Hepatitis C, diabetes, poor renal function including dialysis, and recipient of TIPS procedure before LT independently predicted higher early hospitalization rates after adjusting for donor and transplant factors (Table 2).

## MELD SCORE AND EARLY HOSPITALIZATION

MELD score was significantly associated with the rate of early hospitalization when it replaced the individual recipient factors in the model described above. Recipients transplanted at MELD scores 23–29 and 30–40 had 15% (RR, 1.15;  $P = 0.005$ ) and 23% (RR, 1.23;  $P < 0.001$ ) higher rates of early hospitalization, respectively, compared with those transplanted at MELD scores 16–18 at LT. Of the 3 MELD components, only serum creatinine was significantly associated with the rate of early hospitalization (RR, 1.27;  $P < 0.001$ ) when separately included in the model ( $\log_e$  [creatinine] RR, 1.22;  $P < 0.001$ ; Table 2).

## RRI SCORE AND EARLY HOSPITALIZATION

Higher RRI was associated with a higher rate of early hospitalization (RR, 1.03;  $P < 0.001$ ) after adjusting for donor and transplant factors. Among RRI components, diabetes (RR, 1.18;  $P < 0.001$ ), renal function at LT ( $\log_e$  [creatinine]—RR, 1.22;  $P < 0.001$ ; dialysis—RR, 1.29;  $P = 0.002$ ; poor liver synthetic function ( $\log_e$  [albumin]—RR, 0.83;  $P = 0.008$ ), and history of TIPS procedure (RR, 1.10;  $P = 0.05$ ) were each associated with higher rates of early hospitalization.

## RESULTS BASED ON CONDITIONAL SURVIVAL

Table 3 shows the independent predictors of mortality conditional upon survival at 6 months after discharge from LT hospitalization. The adjusted relative risk of mortality increased by 22% with every additional hospitalization (hazard ratio [HR], 1.22;  $P < 0.001$ ). Being in the hospital at the 6-month post-LT follow-up point (compared with not) was associated with a 2.3-fold higher risk of death. Additional factors significantly affecting mortality (conditional on 6-month survival) include race (African Americans being at 38% higher death risk—HR, 1.38; Hispanic/Latino being at 34% lower risk—HR, 0.66), BMI, hepatitis C (HR, 1.59), hepatocellular carcinoma (HCC; HR, 1.69), recipient on life support (HR, 1.72), presence of ESRD at 6 months (HR, 1.85), INR, and albumin. With respect to donor factors, increasing age, death due to cerebrovascular accident, and regional share, each significantly increased the death rate conditional on 6-month post-LT survival.

Figure 3 displays overall survival curves for a hypothetical reference-covariate patient; i.e., a LT recipient whose characteristics are described by the reference level of each categorical predictor listed in Table 3, and 0 for each continuous predictor; since all continuous predictors are scored on the natural log scale, the reference level equals 1. With respect to the horizontal (time) axis, time 0 represents 6 months after LT, with the hospitalization counts pertaining to the first 6 months of follow-up. It can be seen that, all else equal, conditional survival depends strongly on a patient's hospitalization experience during the first 6 post-LT months. For instance, a patient not hospitalized in the first 6 months is estimated to have a 5-year survival of approximately 90%. In contrast, a recipient with 6 prior

TABLE 2. Recipient, Donor, and Transplant Factors: Multivariate Model of Early Hospitalization

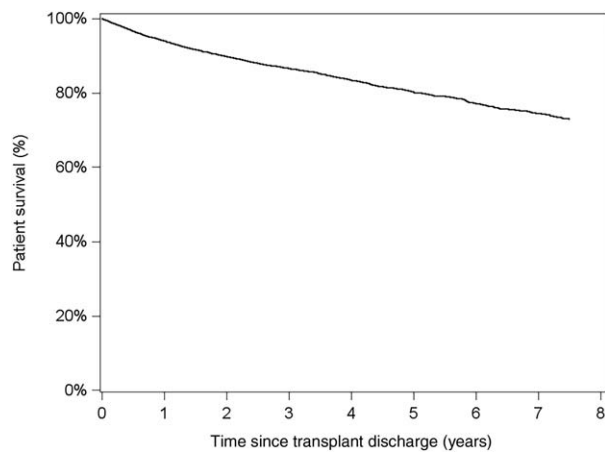
Factors	RR (95% confidence interval)	P Value
Recipient factors		
Age (reference, 18-39 years)		0.01*
40-49 years	0.91 (0.77-1.07)	0.25
50-54 years	0.89 (0.75-1.05)	0.15
55-59 years	0.81 (0.68-0.95)	0.01
60-64 years	0.90 (0.76-1.07)	0.25
≥65 years	0.81 (0.69-0.95)	0.01
Sex, female	1.16 (1.08-1.23)	<0.001
Race (reference, Caucasian)		0.049*
African American	1.02 (0.91-1.14)	0.75
Asian	0.80 (0.67-0.96)	0.02
Hispanic/Latino	0.97 (0.88-1.07)	0.56
Other race	0.74 (0.55-1.00)	0.05
BMI	1.00 (0.99-1.00)	0.05
Diagnosis		0.04*
Hepatitis C (reference, not hepatitis C)	1.12 (1.03-1.21)	0.006
Cholestatic liver disease (reference, not cholestatic liver disease)	1.04 (0.91-1.19)	0.54
HCC (reference, not HCC)	1.05 (0.95-1.16)	0.35
Other liver disease (reference, not other liver disease)	0.96 (0.84-1.10)	0.55
On life support at LT	1.03 (0.84-1.25)	0.79
Medical condition (reference, not hospitalized)		0.10
In ICU	0.98 (0.83-1.15)	0.81
Hospitalized (not in ICU)	1.10 (1.00-1.21)	0.05
ESRD at baseline	1.24 (1.05-1.47)	0.01
Diabetes	1.18 (1.11-1.26)	<0.001
On dialysis	1.29 (1.10-1.52)	0.002
Ascites (reference, none)		0.11*
Slight	1.09 (1.01-1.19)	0.04
Moderate	1.07 (0.97-1.18)	0.20
Log <sub>e</sub> (creatinine)	1.22 (1.13-1.31)	<0.001
Log <sub>e</sub> (bilirubin)	0.96 (0.92-1.00)	0.06
Log <sub>e</sub> (INR)	1.07 (0.96-1.20)	0.24
Log <sub>e</sub> (albumin)	0.83 (0.72-0.95)	0.008
Status 1	1.21 (0.90-1.64)	0.21
Portal vein thrombosis	0.96 (0.85-1.08)	0.49
TIPS	1.10 (1.00-1.21)	0.05
Donor and transplant factors		
Age (reference, 18-39 years)		0.19*
Under 18 years	1.01 (0.88-1.14)	0.93
40-49 years	1.06 (0.97-1.16)	0.19
50-59 years	1.11 (1.01-1.21)	0.03
60-69 years	1.07 (0.96-1.19)	0.19
≥70 years	0.97 (0.84-1.12)	0.67
Sex, female	0.95 (0.87-1.03)	0.20
Race (reference, Caucasian)		<0.001*
African American	1.11 (1.02-1.21)	0.01
Asian	1.55 (1.27-1.89)	<0.001
Hispanic/Latino	0.94 (0.86-1.04)	0.26
Other race	0.73 (0.48-1.11)	0.14
Height, cm	1.00 (0.99-1.00)	0.18
DCD	1.21 (1.05-1.38)	0.007
Cause of death (reference, all others)		0.27*
Anoxia	1.02 (0.94-1.12)	0.60
Cardiovascular accident	1.07 (0.99-1.15)	0.11
Split liver	1.07 (0.83-1.39)	0.58
Donor location (reference, local)		0.44*
Regional share	1.00 (0.92-1.09)	0.99
National share	1.09 (0.95-1.24)	0.21
Cold ischemia time, hours	1.00 (0.99-1.01)	0.68

\*P value from overall test of significance for all levels of the factor.

TABLE 3. Predictors of Post-LT Mortality Conditional Upon 6 Months Survival After LT

Factor	HR (95% confidence interval)	P Value
Number of early hospitalizations	1.22 (1.18-1.27)	<0.001
In hospital at 6 months	2.32 (1.81-2.97)	<0.001
Recipient age (reference, 18-39 years)		0.16*
40-49 years	0.85 (0.58-1.26)	0.43
50-54 years	0.98 (0.67-1.44)	0.92
55-59 years	1.00 (0.68-1.47)	0.99
60-64 years	0.99 (0.66-1.47)	0.96
≥65 years	1.13 (0.78-1.65)	0.51
Female recipient	0.95 (0.83-1.09)	0.46
Recipient race (reference, Caucasian)		<0.001*
African American	1.38 (1.11-1.71)	0.004
Asian	1.11 (0.81-1.52)	0.51
Hispanic/Latino	0.66 (0.53-0.82)	<0.001
Other race	0.97 (0.49-1.92)	0.94
Recipient BMI	0.99 (0.98-1.00)	0.01
Recipient diagnosis (reference, noncholestatic liver disease)		<0.001*
Hepatitis C	1.59 (1.36-1.86)	<0.001
Cholestatic liver disease	0.75 (0.56-1.01)	0.06
HCC	1.69 (1.37-2.07)	<0.001
Other liver disease	0.85 (0.65-1.10)	0.22
Recipient on life support at LT	1.72 (1.07-2.77)	0.02
Recipient medical condition (reference, not hospitalized)		0.20*
In ICU	0.77 (0.52-1.12)	0.17
Hospitalized (not in ICU)	1.09 (0.89-1.33)	0.42
Diabetes	1.06 (0.92-1.21)	0.41
ESRD at 6 months	1.85 (1.40-2.46)	<0.001
On dialysis at LT	1.01 (0.70-1.45)	0.97
Ascites (reference, none)		0.95*
Slight	0.97 (0.82-1.16)	0.77
Moderate	0.99 (0.80-1.22)	0.92
Log <sub>e</sub> (creatinine)	1.16 (1.00-1.35)	0.06
Log <sub>e</sub> (bilirubin)	0.99 (0.91-1.07)	0.82
Log <sub>e</sub> (INR)	0.62 (0.48-0.79)	<0.001
Log <sub>e</sub> (albumin)	0.62 (0.47-0.82)	<0.001
Status 1	1.16 (0.60-2.23)	0.66
Portal vein thrombosis	0.94 (0.73-1.22)	0.65
TIPS	1.14 (0.94-1.39)	0.19
Donor age (reference, 18-39 years)		<0.001*
Under 18 years	0.98 (0.74-1.28)	0.87
40-49 years	1.17 (0.98-1.40)	0.09
50-59 years	1.44 (1.20-1.73)	<0.001
60-69 years	1.49 (1.20-1.85)	<0.001
≥70 years	1.58 (1.21-2.05)	<0.001
Female donor	0.97 (0.83-1.14)	0.74
Donor race (reference, Caucasian)		0.23*
African American	0.89 (0.75-1.07)	0.21
Asian	1.23 (0.86-1.76)	0.26
Hispanic/Latino	1.16 (0.95-1.42)	0.15
Other race	1.12 (0.54-2.30)	0.76
Donor height, cm	1.00 (0.99-1.00)	0.46
DCD	1.14 (0.86-1.51)	0.37
Donor cause of death (reference, all others)		0.08*
Anoxia	0.86 (0.71-1.04)	0.11
Cardiovascular accident	0.85 (0.73-0.99)	0.04
Split liver	0.72 (0.39-1.33)	0.30
Donor location (reference, local)		0.12*
Regional share	1.19 (1.01-1.41)	0.04
National share	1.12 (0.86-1.45)	0.39
Cold ischemia time, hours	1.00 (0.98-1.02)	0.87

\*P value from overall test of significance for all levels of the factor.



**FIG. 3.** Adjusted patient survival from incident model starting at time of discharge from index LT hospitalization. Model was adjusted for recipient factors (non-ESRD, 59 years old, white, male with BMI of 26.5 kg/m<sup>2</sup>, noncholestatic liver disease, not on life support at LT, not in hospital at LT, nondiabetic, slight ascites, not on dialysis with serum creatinine of 1.0 mg/dL, bilirubin of 2.9 mg/dL, albumin of 2.9 g/dL, INR of 1.5, nonstatus 1, no portal vein thrombosis, no TIPS) and donor factors (donor age, 44 years, male donor, white donor, 172 cm tall, non-DCD, cause of death = trauma, whole liver, local transplant, and 8 hours of cold ischemia time).

hospitalizations has a 5-year survival probability of  $\approx 60\%$  (Fig. 4).

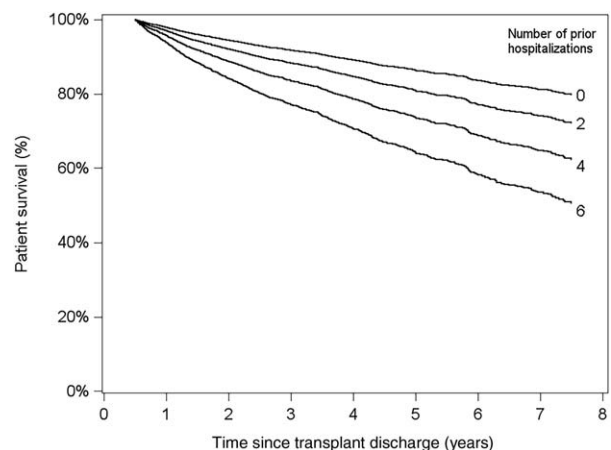
## Discussion

This is the one of the first studies to examine the burden of all-cause hospitalization and its impact on patient outcomes among LT recipients at the national level. In the population of LT recipients with Medicare as primary or secondary insurance, hospitalization rates were highest in the first 6 months after LT and declined to a plateau after the first posttransplant year. Importantly, a higher rate of early hospitalization was the most significant independent predictor of mortality beginning 6 months after LT. Out of all the independent recipient factors for early hospitalization, diagnosis of hepatitis C, diabetes, and high BMI are the most actionable and modifiable risk factors identified in our study.

Although direct-acting antiviral (DAA) agents have revolutionized the treatment for hepatitis C with excellent response rates among patients with compensated and decompensated cirrhosis as well as in the post-transplant setting,<sup>(13-17)</sup> hepatitis C still remains the

leading indication for LT in the current period.<sup>(18)</sup> On the basis of a recent modeling study, it has been proposed that with the implementation of birth cohort testing for hepatitis C and the availability of highly effective therapies, hepatitis C could become a rare disease in the next 22 years.<sup>(19)</sup> Biggins et al. found that the rates of new registrations for hepatitis C without HCC that were born from 1941 to 1955 are expected to decline, with projected stability of rates in those born from 1956 to 1960. For those with hepatitis C and HCC, the rates of new registrations are expected to be steady if born between 1941 to 1950, and projected to increase if born between 1951 to 1960.<sup>(20)</sup> Our results show that hepatitis C is an important risk factor for early hospitalizations. With the effectiveness of DAAs, hepatitis C is now a potentially modifiable risk factor. If these patients are treated while on the waiting list or shortly after LT, it is possible that the risk of early hospitalization associated with hepatitis C may reduce over time.

Our study did not examine whether the diabetes was controlled or uncontrolled in these patients because of the lack of availability of more granular data. However, good control of diabetes may affect the



**FIG. 4.** Adjusted patient survival for various numbers of hospitalizations within first 6 months of LT from model conditional on survival at 6 months after LT. Model was adjusted for recipient factors (non-ESRD, 59 years old, white, male with BMI 26.5 kg/m<sup>2</sup>, noncholestatic liver disease, not on life support at LT, not in hospital at LT, nondiabetic, slight ascites, not on dialysis with serum creatinine of 1.0 mg/dL, bilirubin of 2.9 mg/dL, albumin of 2.9 g/dL, INR of 1.5, nonstatus 1, no portal vein thrombosis, no TIPS) and donor factors (donor age, 44 years, male donor, white donor, 172 cm tall, non-DCD, cause of death = trauma, whole liver, local transplant, and 8 hours of cold ischemia time).



early hospitalization rates after LT. Similarly, there was a trend toward higher hospitalization in those with higher BMI. Our study also showed that higher MELD score and RRI score at transplant were associated with a higher rate of early hospitalization.<sup>(6,21)</sup> RRI is a risk score that predicts the risk of ESRD, and ESRD is an independent predictor hospitalization.<sup>(11)</sup> Because incident ESRD after LT is associated with high hospitalization rates,<sup>(7)</sup> it could be plausible that ESRD status during the first 6 months instead of RRI may have accounted for the hospitalization.

Since 2009, many studies used the 30-day cutoff for early hospitalization because readmission over a longer period of time (ie, 60 or 120 days) is less likely to be related to index hospitalization for a medical condition or surgical procedure. However, solid organ transplantation is very different from any other surgical or medical condition because based on the organ type, it may take the recipients of solid organ transplant up to 6 months to get to their steady state. Therefore, unlike previous studies,<sup>(4-6,21)</sup> our study examined the hospitalization within the first 6 months after LT.

Our study did not find any association between race and early hospitalization rates. Consistent with previous studies,<sup>(22,23)</sup> our study found that African American race was associated with a 38% increased risk of death after adjusting for recipient and donor factors. Historically, African Americans have lower response rates to the peg-interferon-based treatment. However, the conditional mortality model in our study was adjusted for hepatitis C. One study suggested that donor race mismatch in African Americans hepatitis C-positive recipients affect survival, but this observation was not significant in African American hepatitis C-negative recipients.<sup>(24)</sup> We did not explore the potentially complex relationship between donor-recipient mismatch and African American race, with respect to post-LT survival; such analysis is outside the scope and objectives of our current report.

The number of hospitalizations in the first 6 post-LT months and being in the hospital at the 6-month post-LT point were easily the strongest predictors of mortality after adjusting for recipient and donor factors. Posttransplant outcomes, including patient survival and graft survival, are tracked by the SRTR and CMS using program-specific reports that are based on recipient and donor characteristics. These regulatory tools ensure compliance with current performance standards for transplant programs.<sup>(25,26)</sup> However, hospitalization rates are not included in the assessment of transplant programs.

Wilson et al. combined the data from University Health Consortium and SRTR and showed a significant hospital-level variation in 30-day and 90-day readmission rates.<sup>(21)</sup> Although we cannot modify most recipient and donor risk factors, knowledge of risk may result in process improvement that could identify LT recipients at risk for early hospitalization, stimulating more effective care-coordination and pre-emptive multidisciplinary management. A recent pilot study by Russo et al. examined a prospective protocol designed to reduce readmission rates after LT by expanding outpatient services and alternatives to readmission.<sup>(27)</sup> Under the protocol, LT recipients staying less than 2 nights in the hospital were considered as “observation status” and not “inpatient readmission.” In their study of 46 patients after implementation of the protocol, readmission was reduced from 31% (pre-protocol) to 20%.<sup>(27)</sup> This change in the definition resulted in an increase in the proportion of readmission as observation status (31% versus 66%) during the protocol implementation time. However, this study did not examine the effect of these changes on patient mortality.<sup>(27,28)</sup>

Limitations of our study include the observational retrospective design that results in the potential for bias due to patient selection and unmeasured patient characteristics, use of Medicare as a primary or secondary payer that may not be generalizable to all LT recipients, and missing data in the 2 administrative data sets that may affect the results. It is very difficult to study the burden of hospitalization using single-center data because of small sample size or using the 5% nationwide inpatient sample because LTs are not very well represented in the data set. We compared the baseline characteristics of LT recipients with Medicare as primary or secondary insurance with non-Medicare recipients, and except for slightly older age among those with Medicare as primary and secondary insurance, all other factors were similar. Missingness in this data set varied from 0% to 8%. Finally, our study cohort is from 2003 to 2010, but that does not limit the relevancy of our results since hepatitis C is still the leading indication for LT<sup>(18)</sup> and the majority of the LT candidates and recipients have detectable viral load at the time of LT.

In conclusion, the burden of early hospitalization after LT is strongly associated with patient survival. Although not all post-LT hospitalizations can be prevented, treating hepatitis C with DAAs while on the waiting list or after LT, good diabetes control and weight management, along with effective post-

discharge multidisciplinary transitional care through ambulatory clinics may attenuate early post-LT hospitalization rates and resource utilization and improve survival.

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