

1
2 DR. JEROME F STRAUSS III (Orcid ID : 0000-0001-6199-0480)

3
4
5 Article type : Original Article

6
7
8 **Corresponding author mail id:** jerome.strauss@vcuhealth.org

9
10 **MUTATIONS IN FETAL GENES INVOLVED IN INNATE IMMUNITY AND HOST DEFENSE**
11 **AGAINST MICROBES INCREASE RISK OF PRETERM PREMATURE RUPTURE OF**
12 **MEMBRANES (PPROM)**

13
14
15 Bhavi P. Modi¹, Maria E. Teves², Laurel N. Pearson⁵, Hardik I. Parikh³, Hannah Haymond-
16 Thornburg², John L. Tucker², Piya Chaemsaitong⁶, Nardhy Gomez-Lopez⁶⁻⁹, Timothy P.
17 York^{1,2}, Roberto Romero⁶⁻⁹ and Jerome F. Strauss, III^{1,2*}

18
19 ¹Department of Human and Molecular Genetics, Virginia Commonwealth University, Richmond,
20 VA, USA

21 ²Department of Obstetrics and Gynecology, Virginia Commonwealth University, Richmond, VA,
22 USA

23 ³Department of Microbiology and Immunology, Virginia Commonwealth University, Richmond,
24 VA, USA

25 ⁴Center for Study of Biological Complexity, Virginia Commonwealth University, Richmond, VA,
26 USA

27 ⁵Department of Anthropology, Pennsylvania State University, University Park, PA, USA

28 ⁶Perinatology Research Branch, *Eunice Kennedy Shriver* National Institute for Child Health and
29 Human Development, NIH, Bethesda, MD and Detroit, MI, USA

30 ⁷Department of Obstetrics and Gynecology, University of Michigan, Ann Arbor, MI, USA

31 ⁸Department of Epidemiology and Biostatistics, Michigan State University, East Lansing, MI, US

This is the author manuscript accepted for publication and has undergone full peer review but has not been through the copyediting, typesetting, pagination and proofreading process, which may lead to differences between this version and the [Version of Record](#). Please cite this article as [doi: 10.1002/mgg3.330](https://doi.org/10.1002/mgg3.330)

This article is protected by copyright. All rights reserved

32 ⁹Center for Molecular Medicine and Genetics, Wayne State University, Detroit, MI, USA.

33

34 Running head: Fetal innate immunity genes and PPRM

35 Communicating author contact information:

36 Jerome F. Strauss, III, M.D., Ph.D.

37 11-029 Sanger Hall

38 1101 East Marshall Street

39 Richmond, VA 23298

40 Phone (804) 828-5598

41 FAX: (804) 828-5076

42

43

44

45

46 **ABSTRACT**

47 Background: Twin studies have revealed a significant contribution of the fetal genome to risk of
48 preterm birth. Preterm premature rupture of membranes (PPROM) is the leading identifiable
49 cause of preterm delivery. Infection and inflammation of the fetal membranes is commonly
50 found associated with PPRM.

51 Methods: We carried out whole exome sequencing (WES) of genomic DNA from neonates born
52 of African-American mothers whose pregnancies were complicated by PPRM (76) or were
53 normal term pregnancies (N=43) to identify mutations in 35 candidate genes involved in innate
54 immunity and host defenses against microbes. Targeted genotyping of mutations in the
55 candidates discovered by WES was conducted on an additional 188 PPRM cases and 175
56 controls.

57 Results: We identified rare heterozygous nonsense and frameshift mutations in several of the
58 candidate genes, including *CARD6*, *CARD8*, *DEFB1*, *FUT2*, *MBL2*, *NLP10*, *NLRP12*, and
59 *NOD2*. We discovered that some mutations (*CARD6*, *DEFB1*, *FUT2*, *MBL2*, *NLRP10*, *NOD2*)
60 were present only in PPRM cases.

61 Conclusions: We conclude that rare damaging mutations in innate immunity and host defense
62 genes, the majority being heterozygous, are more frequent in neonates born of pregnancies
63 complicated by PPRM. These findings suggest that the risk of preterm birth in African-
64 Americans may be conferred by mutations in multiple genes encoding proteins involved in

65 dampening the innate immune response or protecting the host against microbial infection and
66 microbial products.

67 **Key Words:** Preterm birth, preterm premature rupture of membranes, innate immunity, anti-
68 microbial peptides, inflammasome, mannose-binding lectin protein, fucosyltransferase,
69 defensins, chorioamnionitis

70

71

72 **INTRODUCTION**

73 Preterm birth, especially among African-Americans, has challenged the U.S. health care
74 system for decades (Behrman & Butler, 2007; Kempe et al., 1992; Aveyard et al., 2002; Ahern
75 et al., 2003; Shen et al., 2003). The disparities in prematurity among U.S. populations is thought
76 to be the result of multiple biological and environmental factors (Meis et al., 2000; Anum et al.,
77 2009b; Moutquin, 2003). Preterm premature rupture of membranes (PPROM) is the leading
78 identifiable cause of preterm birth, and more common among African-Americans. Our research
79 has been focused on understanding the pathophysiology of PPRM, and the factors that
80 contribute to population-specific risk (Parry and Strauss, 1998; Strauss, 2013).

81 The notion that heritable factors play an important role in preterm birth is supported by
82 studies based on twins (Boyd et al., 2009; Svensson et al., 2009; York et al., 2009; York et al.
83 2010, 2013, 2014, 2015). These studies demonstrated that both the fetal and maternal
84 genomes contribute to the timing of parturition. In addition, there is increasing evidence that
85 gene-environment interactions amplify the effect of specific alleles (Anum et al., 2009b; Wang et
86 al., 2002; Macones et al., 2004). However, the search for maternal and fetal genes linked to
87 preterm birth has yet to produce robust and reproducible candidates. Although association
88 studies have found significant relationships for some candidate genes, the primary reports and
89 available meta-analyses indicate that these associations are weak or population specific (e.g.,
90 Genc et al., 2002; Fujimoto et al., 2002; Ferrand et al., 2002b; Lorenz et al., 2002; Moore et al.,
91 2004; Roberts et al., 1999; Romero et al., 2010; Simhan et al., 2003; Witkin et al., 2003; Wang
92 et al., 2004; 2006; 2008; see Sheikh et al., 2016 for a recent review). Moreover, attempts to
93 identify loci contributing to prematurity through genome-wide association studies (GWAS) have
94 not delivered strong candidates (Parets et al., 2015), prompting investigators to pursue
95 alternative approaches to identify genes contributing to preterm birth (Brubaker et al., 2016;
96 Bacelis et al., 2016). Recently, we took a different approach based on the hypothesis that rare
97 mutations or damaging variants in multiple genes (which might escape detection by GWAS or
98 standard association studies, especially with small sample sizes) make significant contributions

99 to PPROM (Modi et al., 2017). The approach was based on mutation/damaging variant
100 detection using whole exome sequencing (WES), which we applied in this study to explore fetal
101 gene mutations in the innate immune system and PPROM.

102 Innate immunity encompasses recognition systems that detect molecules derived from
103 bacteria and viruses (Pathogen-Associated Molecular Patterns (PAMPs)) and endogenous
104 alarmins (Damaged-Associated Molecular Patterns (DAMPs)). Pattern recognition receptors
105 (PRRs) responsible for the initiation of innate immune response induced by PAMPs and DAMPs
106 include NOD-like receptor family pyrin domain containing proteins and toll-like receptors (TLR).
107 The response triggered by the PRRs includes activation of transcription of genes that encode
108 cytokines and factors that resolve infection/inflammation (Brubaker et al., 2015). Enhanced
109 production of pro-inflammatory cytokines has been postulated to play a central role in preterm
110 birth and PPROM (Parry & Strauss, 1998; Murtha & Menon, 2015; Gomez-Lopez et al., 2017).
111 The pro-inflammatory cytokines induce expression of matrix metalloproteinases which degrade
112 fetal membrane extracellular matrix leading to rupture (Parry and Strauss, 1998; Strauss, 2013).

113 The innate immune system is modulated by a number of molecules that dampen/inhibit
114 the inflammatory response triggered by “activating” toll-like receptors and inflammasomes.
115 Bacterial lipids and proteins derived from Gram negative and Gram positive bacteria (PAMPs)
116 reaching the fetal membranes are potent activators of the innate immune response leading to
117 inflammation. Numerous animal studies have shown that Gram negative bacterial
118 lipopolysaccharide (LPS) precipitates preterm birth, and that the fetal membranes possess
119 molecules that recognize bacterial products and trigger an inflammatory response, usually
120 involving the activation of the transcription factor, NFkB (Courtois, 2005). Endogenous
121 enzymes (e.g., acyloxyacyl hydrolase, alkaline phosphatase) protect the host from the potent
122 actions of LPS by altering LPS structure.

123 A number of endogenous proteins with anti-microbial activity like lactoferrin, mannose-binding
124 lectin 2, and fucosyltransferase 2 help protect exposed surfaces including mucosa, and the fetal
125 membranes. The *FUT2* (OMIM: (+182100) and *MBL2* (OMIM: * 154545) genes are both
126 expressed in the fetal membranes. The defensin family of genes expressed maternally and by
127 the fetus probably combat bacteria ascending from the vagina, but possibly from other sources.
128 Several defensins are known to be produced by fetal membranes including (Avila, 2016).

129 We analyzed WES data from neonatal DNA from 76 PPROM cases and 43 term controls
130 born of African-American mothers to identify damaging mutations in innate immunity genes and
131 discovered that there was an overrepresentation of these damaging alleles in PPROM cases.

132 **MATERIALS AND METHODS**

133 Study Population: WES was performed on 76 PPROM cases and 43 healthy term control
134 neonatal DNA samples all obtained in Richmond, Virginia. Additional genotyping of select
135 variants was performed on an independent cohort of 188 case and 175 control fetal/neonatal
136 DNA samples collected in Richmond, Virginia and Detroit, Michigan. DNA was isolated from
137 cord blood or umbilical cords. Subjects were self-reported African-American women and their
138 neonates receiving obstetrical care at MCV Hospitals, Richmond, VA (all samples in the initial
139 WES) and Hutzel Hospital in Detroit, MI. The study was approved by the Institutional Review
140 Boards of MCV Hospitals, Richmond, VA (IRB Number: HM15009); Wayne State University
141 (IRB Numbers: 103897MP2F (5R), 082403MP2F (5R), 110605MP4F, 103108MP2F,
142 052308MP2F) as well as NICHD (National Institute of Child Health and Human Development)
143 (IRB Numbers: 0H97-CH-N065, 0H98-CH-N001, 0H97-CH-N067, 0H99-CH-N056, 0H09-CH-
144 N014). Subjects from Hutzel Hospital, Detroit, MI were enrolled under both Wayne State
145 University as well as NICHD protocols and thus respective IRB numbers for both institutes are
146 provided. Written informed consent was obtained from mothers before sample collection.
147 Demographic and clinical data were obtained from surveys and medical records. Control DNA
148 samples (n = 43 + 175) were obtained from neonates of singleton pregnancies delivered at term
149 (> 37 weeks of gestation) of mothers with no prior history of PPROM or preterm labor. Cases of
150 PPROM (n = 76 + 188) were defined as neonates from pregnancies complicated by
151 spontaneous rupture of membranes prior to 37 weeks of gestation. The diagnosis of membrane
152 rupture was based on pooling of amniotic fluid in the vagina, amniotic fluid ferning patterns and
153 a positive nitrazine test. Women with multiple gestations, fetal anomalies, trauma, connective
154 tissue diseases and medical complications of pregnancy requiring induction of labor were
155 excluded. A DNA biobank at Virginia Commonwealth University and Hutzel Hospital of PPROM
156 cases and term controls collected using the same criteria as those used for for the WES cohort
157 was employed for subsequent genotyping of selected mutations identified by WES (Modi et al.,
158 2017).

159 Ancestry Estimates: Genetic ancestry was estimated to investigate population structure in the
160 cases and control cohorts. Genetic ancestry estimates were generated in a two-way model of
161 admixture, European and West African, for the neonates of each self-reported African-American
162 study subject using 102 ancestry informative markers (AIMs) single nucleotide polymorphisms
163 with large allele frequency differences between ancestral populations. (Modi et al., 2017). The
164 mean allele frequency difference between ancestral populations for the AIMs panel was delta
165 (δ)= 0.733. The AIMs panel was derived from the overlap of the WES and the Illumina African
166 American Admixture Mapping Panel (Illumina, San Diego, CA) and genotyped using a custom

167 iPLEX assay (Agena Biosciences, San Diego, CA) for study subjects who were not part of the
168 WES discovery set (Modi et al., 2017). Prior allele frequencies derived from the HapMap West
169 Africans (YRI, Yoruba in Ibadan, Nigeria) and Europeans (CEU, CEPH Utah residents with
170 ancestry from northern and western Europe) were used to estimate individual genetic ancestry
171 estimates following a maximum-likelihood approach.

172 Whole Exome Sequencing Analysis: Whole exome capture and sequencing was performed at
173 BGI (BGI, Cambridge, MA) using the SureSelect Target Enrichment System Capture Process
174 followed by high-throughput sequencing on an Illumina HiSeq2000 platform with 50-100X
175 coverage. The bioinformatics analysis for variant discovery and annotation was performed as
176 described earlier (Modi et al., 2017). In brief, sequences were mapped to the human reference
177 genome (build hg19) using BWA, followed by marking PCR duplicates using Picard tools and
178 base quality recalibration using GATK (Modi et al., 2017) GATK-HaplotypeCaller was used to
179 identify variants in individual sample, followed by joint genotyping of all samples in the cohort for
180 population-level analysis. The raw SNPs and INDELS were filtered for high quality and
181 annotated for their functional effects using SnpEff tool and known variant databases like dbSNP,
182 ClinVar and the 1000 Genomes Project. Damaging missense variants were selected on the
183 basis of most deleterious predictions in both Polyphen2 (HumDiv - probably damaging) as well
184 as SIFT (damaging) platforms. PCR and Sanger sequencing was used to validate mutations
185 detected by WES (Supplemental Table 1) or mutations were confirmed by custom genotyping.

186 Custom Genotyping: The variants identified and selected for further analysis from Whole Exome
187 Sequencing were validated and additional samples (an independent cohort of additional 188
188 cases and 175 controls) were genotyped for the selected variants. Genotyping was performed
189 on the Agena (previously Sequenom) MassArray iPLEX platform following manufacturer's
190 instructions at the University of Minnesota Genomics Center (Modi et al., 2017). The primer
191 sets used for iPlex genotyping are presented in Supplemental Table 2.

192 Statistical analysis: Mean levels of demographic variables were tested using a 2-tailed
193 Student's t-test. Count data (for gravidity and parity) was square root transformed before
194 performing tests. P-values < 0.05 were considered statistically significant. The paired Wilcoxon
195 rank-sum test were used to assess significant differences in minor allele frequencies.

196

197 RESULTS

198 WES was performed on 76 PPROM and 43 healthy term control neonatal DNA samples.
199 The demographic characteristics of the WES study population is presented in Table 1. The
200 characteristics of the follow-up cohort have been previously reported (Modi et al., 2017). With

201 152 chromosomes, the probability of detecting a variant with an allele frequency of 0.005 was
202 78%.

203 The WES PPRM cases and term controls had similar West African and European
204 ancestry based on genotyping of 102 ancestry informative markers (Means \pm S.D.; West African
205 ancestry: PPRM cases: 0.695 ± 0.073 (mean \pm S.D.); Term controls 0.698 ± 0.087 ($p > 0.10$)).

206 A total of 35 candidate genes were selected for investigation of nonsense mutations and
207 insertions/deletions causing damaging frameshift mutations (Table 2) based on their
208 involvement in the innate immune response and host defense against microbes. Mutations
209 identified through WES were validated by direct sequence analysis or specific genotyping
210 assays. The mutations were evaluated in an independent cohort of an additional 188 PPRM
211 cases and 175 controls.

212 Mutations in genes negatively regulating innate immunity: We detected mutations in the
213 *CARD6*, *CARD8*, *NLRP10*, *NLRP12*, *NOD2*, and *TLR10* genes (Table 3). Several of these
214 were only found in PPRM cases (*CARD6*, *NLRP10*, and *NOD2*) in both WES and the follow-
215 up genotyping cohorts. The SNP for the *CARD6* nonsense mutation has two alternative alleles
216 C or G. We confirmed by DNA sequence analysis that the PPRM case had the G allele
217 creating the stop codon TAG, which truncates the 1037 amino acid protein at position 560,
218 which retains the caspase activation and recruitment (CARD) domain, but deletes the IMPDH
219 (inosine 5'-monophosphate dehydrogenase/GMP reductase) domain and C-terminal proline-rich
220 domain. This nonsense mutation was detected in 2 PPRM cases (combined WES and follow-
221 up genotyping) and none of the combined term pregnancy controls. The one heterozygous
222 *NLRP10* nonsense mutation detected only in a PPRM case truncates the 655 amino acid
223 protein at position 103. The *NOD2* frameshift mutation truncates the C-terminal 33 amino acids
224 from the 1040 amino acid protein, disrupting a leucine-rich repeat. Mutations in *CARD8*,
225 *NLRP12* and *TLR10* were found in both PPRM cases and controls.

226 Mutations in LPS detoxifying enzymes: A nonsense mutation was found in *AOAH*, which
227 encodes an enzyme that catalyzes the hydrolysis of acyloxylacyl-linked fatty acyl chains from
228 LPS. The nonsense mutation disrupts the 688 amino acid protein at position 556, retaining the
229 lipase consensus sequence. This mutation was found in both PPRM cases and term controls.

230 Mutations in anti-microbial protein genes: A heterozygous nonsense mutation was found in
231 *DEFB1*, which encodes beta-defensin 1, an anti-microbial factor that is produced by amnion
232 epithelial cells. The rs5743490 SNP reference allele is C with two reported alternatives: T, which

233 results in a synonymous codon change that is functionally not significant, and A which creates
234 a stop codon (TGA). We sequence verified that the allele in our PPRM cases was an A. This
235 stop codon truncates the mature beta defensin 1 peptide sequence after 4 amino acids, so no
236 active peptide made (Porto et al., 2016). Additionally, the translated truncated N-terminal
237 peptide could serve as a dominant negative, competing for the intact signal peptide or
238 processing protease of intact beta-defensin 1 peptide encoded by the other *DEFB1* allele. The
239 heterozygous *DEFB1* mutation was found in 6 PPRM cases (WES and follow-up genotyping
240 combined) and no term controls.

241 A heterozygous nonsense mutation in *MBL2* was identified which deletes the 38 terminal
242 amino acids in the C-type lectin carbohydrate recognition domain of the 248 amino acid protein.
243 The reference allele of this SNP is a G, with alternate alleles of C, producing a benign missense
244 variant or a T, creates a TAG stop codon. We confirmed by DNA sequence analysis that the
245 minor allele in our PPRM cases was a T. This nonsense mutation was detected in 6 of the
246 total PPRM cases and none of the total term controls. Using RT-PCR, we demonstrated that
247 the *MBL2* gene is expressed in fetal membranes (Supplemental data Figure 1).

248 Three mutations were discovered in the *FUT2* gene, which encodes a fucosyltransferase
249 involved in protecting epithelium from bacterial infection. One of the nonsense mutations
250 (rs143482452) was found in one PPRM case (combined WES and follow-up genotyping
251 cohort) only and not in the combined term controls. Another one (rs601338) has a relatively
252 high minor allele frequency and was detected in PPRM cases and term controls. The *FUT2*
253 gene is expressed in amnion epithelial cells, and mutations that disrupt the protein cause the
254 “non-secretor” phenotype, which is associated with absent ABH blood groups (Goto et al.,
255 2016).

256 All of the mutations described above were heterozygous, except for *FUT2* rs601338. In
257 the case of this common mutation, there were 16 homozygous PPRM cases (21%) out of the
258 76 cases, and 4 homozygous controls (9.3%) out of the 43 term pregnancies. Among this
259 cohort, 7 subjects had di-genic mutations, two with *TLR10* rs62617795 mutation and the
260 *CARD8* mutation; 2 with *AOAH* mutations, one with a *TLR10* rs62617795 mutation, and one
261 with the *CARD8* mutation; and 3 with the *FUT2* rs601338 mutation in combination with either
262 the *CARD6* mutation, *MBL2* mutation, and *NLRP12* nonsense mutation.

263 We found no nonsense or damaging frameshift mutations in *ALPP*, *BPI*, *CAMP*, *DEFA1*,
264 *DEFB4A*, *DEFB103A*, *IL10*, *IL10RA*, *IL10RB*, *LBP*, *LTF*, *LYZ*, *NLRP3*, *SOCS1*, *SOCS2*,
265 *SOCS3*, *SOCS4*, *SOCS5*, *SOCS6*, *NFKBIA*, *NFKBIB*, *NFKBID*, *NFKBIE*, *NFKBIZ* and *NOD1*
266 Therefore, these genes did not undergo further interrogation.

267 Of the 14 mutations identified through WES, 10 had minor allele frequencies in the
268 combined WES and follow-up genotyping cohort that were nominally greater in PPRM cases
269 than term controls. The allele frequency of two mutant alleles were similar in cases and
270 controls, and two mutations were more frequent in controls than PPRM cases. A paired
271 Wilcoxon rank sum test estimated that across loci, variants were overrepresented at PPRM
272 case loci were compared to term controls (Empirical P-value from 10K permutations = 0.0416).

273 In addition to nonsense and damaging frameshift mutations, a number of rare predicted
274 damaging or known pathogenic missense mutations (e.g., *NOD2* rs34936594) were identified
275 through WES in the candidate genes (Supplemental Table 3). The allele frequencies of these
276 missense mutations were higher in the 76 PPRM cases than the 43 term controls. The
277 association of these predicted rare missense variants with PPRM needs to be replicated with
278 a larger sample size.

279 **DISCUSSION**

280 Our working hypothesis of whether neonatal genes that negatively regulate innate
281 immunity or help the host combat microbes and their noxious products would be more likely to
282 harbor rare, damaging mutations in PPRM cases was supported by our findings. Interestingly,
283 there were a number of important negative regulators of innate immunity and the host defense
284 system that were not mutated (e.g., *IL10*, *IL10RA*, *IL10RB*, *NLRP3*, *SOCS1*, *SOCS2*, *SOCS3*,
285 *SOCS4*, *SOCS5*, *SOCS6*, *NFKBIA*, *NFKBIB*, *NFKBID*, *NFKBIE*, *NFKBIZ*, and *NOD1*). Of
286 course, the limited WES sample size may have precluded the detection of very rare alleles in
287 these genes.

288 Inflammasomes and toll-like receptors are critical to host defense mechanisms during
289 the physiological and pathological inflammatory processes in the chorioamniotic membranes
290 that accompany labor. Thus, it is not unexpected that mutations in genes that negatively
291 regulate the inflammasome as well as the toll-like receptors were detected in PPRM cases
292 (Gotsch et al., 2008; Eisenbarth et al., 2012; Oosting et al., 2014).

293 Mutations in genes encoding host defense mechanisms against microbes had been
294 anticipated based on studies documenting differential expression of the proteins in fetal
295 membranes associated with labor with ruptured and non-ruptured membranes (Erez et al.,
296 2009) Notable in this regard are the rare heterozygous damaging mutations in *DEFB1*, *FUT2*
297 and *MBL2* that were found only in PPRM cases. Variation in these genes have been
298 previously associated with increased risk of infection and in some cases preterm birth (Annells
299 et al., 2005; Gibson et al., 2011; Jaffe et al., 2013).

300 The discovery of a rare nonsense mutation in the *DEFB1* gene is of interest in that
301 variation in this gene (rs1047031, a SNP in the 3'-UTR) has been associated with chronic and
302 aggressive periodontitis, a condition associated with preterm birth (Schaefer et al., 2010).
303 However, the functional significance of the rs1047031 minor allele has not been established.

304 Polymorphisms in the *MBL2* gene are more frequent in African-Americans and multiple
305 studies have suggested an association between *MBL2* genetic variants that result in diminished
306 MBL2 protein levels and preterm birth, and conditions commonly found in preterm pregnancies
307 including chorioamnionitis (Annells et al., 2004; Annells et al., 2005; Capece et al., 2014;
308 Gibson et al., 2011; Jaffe et al., 2013; Nedovic et al., 2014). Our discovery of a nonsense
309 mutations that significantly truncates the MBL2 protein is thus consistent with the notion that
310 loss of this anti-microbial protein increases risk of prematurity.

311 Given the distribution of allele frequencies of *FUT2* mutations we identified, we
312 speculate that the “non-secretor” type is not a strong risk factor for PPRM since the more
313 common mutation was found at allele frequencies that were similar in PPRM cases and
314 controls. It is possible, however, that if both mother and fetus harbor mutations in *FUT2* that
315 there could be an increased risk of PPRM, a possibility that we did not explore.

316 It is noteworthy that genes associated with inflammatory bowel disease also appear to
317 have an association with PPRM, including *CARD* and *NLRP* genes, *NOD2* and *BRIC2* (Hugot
318 et al., 2001; Androletti et al., 2017). Although not included in the 35 candidate genes, a novel
319 heterozygous nonsense mutation in *BIRC2* (NC_000011.10: g.102248476T>G), creating a stop
320 codon at position 539 in this 618 amino acid protein, which deletes the C-terminal zinc finger
321 domain), a gene that negatively regulates the NOD1/NOD2 signaling pathway, and has been
322 recently found to be associated with pediatric inflammatory bowel disease, was discovered in
323 the WES of one PPRM case and no term controls (Androletti et al., 2017). A heterozygous
324 damaging frameshift mutation (rs779381525, NC_000010.10 g.49440248_49440249insA) was
325 detected in *FRMPD2*, another gene associated with the NOD2 pathway, in one WES PPRM
326 case.

327 Chorioamnionitis is often found in PPRM fetal membrane specimens, and the
328 pathways that lead to an accentuated bowel inflammation in Crohn's disease and ulcerative
329 colitis may also contribute to the severity of chorioamnionitis and therefore risk of PPRM.
330 Preterm birth is associated with maternal inflammatory bowel disease but there are no reports
331 that we are aware of that link inflammatory disease in offspring to increased risk of preterm birth
332 and PPRM (Broms et al., 2016; Caruso et al., 2014; Getahun et al., 2014; Palomba et al.,
333 2014; Shand et al., 2016).

334 We previously examined the association between 2936insC (rs2066847) in the
335 *CARD15/NOD2* gene and PPRM in African-Americans and reported that this frameshift
336 mutation was only found in term controls (Ferrand et al., 2002). This study used genotyping by
337 restriction length polymorphism (RFLP) with digestion with *Nla* IV which cuts the sequence:
338 GGNNCC. We re-evaluated the putative mutations in the control samples previously analyzed
339 using DNA sequencing and discovered that none of them harbored the frameshift mutation,
340 indicating that the RFLP genotyping was flawed. The genotyping methods employed in the
341 present study can distinguish these frameshift mutations, and therefore provides evidence that
342 2936insC is a risk allele for PPRM.

343 The mutations that we identified could be spontaneous, or inherited from the father or
344 mother (Li et al., 2017). We speculate that maternal inheritance may be most likely in the
345 setting of PPRM, since an enhanced maternal reproductive tract inflammatory response to
346 bacteria or viruses, or deficiency in endogenous anti-microbial defenses would presumably act
347 in synergy with similar defects in the fetus when both mother and fetus are heterozygous for
348 damaging mutations (Plunkett et al., 2009).

349 In conclusion, our WES studies, supplemented with additional target genotyping,
350 revealed a number of rare damaging mutations, the majority being heterozygous, that are more
351 frequent in neonates born of pregnancies complicated by PPRM. These findings suggest that
352 the increased risk of preterm birth in African-Americans may be conferred by mutations and
353 damaging missense variants in genes encoding proteins involved in dampening the innate
354 immune response and protecting the host against microbial infection.

355 **ACKNOWLEDGEMENTS**

356 We thank Ms. Sonya Washington for enrolling subjects for the study at MCV Hospitals,
357 Richmond VA. This research was funded by National Institutes of Health Grants R01 HD073555
358 and P60 MD002256. This research was also supported, in part, by the Perinatology Research
359 Branch, Division of Intramural Research, Eunice Kennedy Shriver National Institute of Child
360 Health and Human Development, National Institutes of Health, Department of Health and
361 Human Services (NICHD/ NIH); and, in part, with Federal funds from NICHD, NIH under
362 Contract No. HSN275201300006C.

363 **CONFLICTS OF INTEREST**

364 The authors have no conflicts of interest to declare.

365 **REFERENCES**

366

367 Ahern J, Pickett KE, Selvin S, Abrams B (2003) Preterm birth among African-American and
368 white women: a multilevel analysis of socioeconomic characteristics and cigarette smoking.
369 J Epidemiol Community Health
370 57:606-11.

371 Andreoletti G, Shakhnoich V, Christenson K et al. (2017) Exome analysis of rare and common
372 variants within the NOD signaling pathway. Sci Reports 7:46454 doi: 10.1038/srep46454.
373

374 Annells MF, Hart PH, Mullighan CG et al. (2004) Interleukins-1,-4,-6,-10, tumor necrosis factor,
375 transforming growth factor- β , FAS, and mannose-binding protein C gene polymorphisms in
376 Australian women: Risk of preterm birth. Am J Obstet Gynecol 191, 2056-67.
377

378 Annells MF, Hart PH, Mullighan CG, Heatley SL, Robinson JS, McDonald HM (2005).
379 Polymorphisms in immunoregulatory genes and the risk of histologic chorioamnionitis in
380 Caucasoid women: a case control study. BMC Pregnancy Childbirth. 5(1):4.
381

382 Anum EA, Hill LD, Pandya A, Strauss JF 3rd. (2009a) Connective tissue and related
383 disorders and preterm birth: clues to genes contributing to prematurity. Placenta. 30(3):207-15.
384

385 Anum EA, Springel EH, Shriver MD, Strauss JF 3rd. (2009b) Genetic contributions to
386 disparities in preterm birth. Pediatr Res. 65(1):1-9.
387

388 Aveyard P, Cheng KK, Manaseki S, Gardosi J (2002) The risk of preterm delivery in
389 women from different ethnic groups. BJOG 109:894-9.
390

391 Avila EE (2016) Functions of antimicrobial peptides in vertebrates. Curr Protein Pept Sci Aug
392 13, in press.

393 Bacelis J, Juodakis J, Sengpiel V, Zhang G, Myhre R, Muglia LJ, Nilsson S, Jacobsson B.
394 Literature-Informed Analysis of a Genome-Wide Association Study of Gestational Age in
395 Norwegian Women and Children Suggests Involvement of Inflammatory Pathways. PLoS One.
396 2016 Aug 4;11(8):e0160335. doi: 10.1371/journal.pone.0160335. Erratum in: PLoS One. 2016
397 Oct 19;11(10):e0165328.

398 Behrman RE, Bulter AS (2007) Preterm Birth: Causes, consequences and prevention.
399 National Academy Press, Washington, D.C.
400

401 Boyd HA, Poulsen G, Wohlfahrt J, Murray JC, Feenstra B, Melbye M. (2009) Maternal
402 contributions to preterm delivery. *Am J Epidemiol.* 170(11):1358-64.

403 Bröms G, Granath F, Stephansson O, Kieler H. (2016) Preterm birth in women with
404 inflammatory bowel disease - the association with disease activity and drug treatment. *Scand J*
405 *Gastroenterol.* 51(12):1462-1469.

406 Brubaker SW, Bonham KS, Zanoni I, Kagan JC. (2015) Innate immune pattern recognition: a
407 cell biological perspective. *Annu Rev Immunol.* 33:257-90.

408 Brubaker D, Liu Y, Wang J, Tan H, Zhang G, Jacobsson B, Muglia L, Mesiano S, Chance MR.
409 (2016) Finding lost genes in GWAS via integrative-omics analysis reveals novel sub-networks
410 associated with preterm birth. *Hum Mol Genet.* 25(23):5254-5264.

411 Capece A, Vasieva O, Meher S, Alfirevic Z, Alfirevic A. (2014) Pathway analysis of genetic
412 factors associated with spontaneous preterm birth and prelabor preterm rupture of membranes.
413 *PLoS One* Sep 29; 9(9):e108578.doi: 10.1371/journal.pone.01085778.

414 Caruso R, Warner N, Inohara N, Núñez G. (2014) NOD1 and NOD2: signaling, host defense,
415 and inflammatory disease. *Immunity.* 41(6):898-908.

416 Collins-Schramm HE, Chima B, Operario DJ et al. (2003) Markers informative for
417 ancestry demonstrate consistent megabase-length linkage disequilibrium in the African
418 American population. *Hum Genet* 113:211-219.

419 Courtois G. (2005) The NF-kappaB signaling pathway in human genetic diseases. *Cell Mol Life*
420 *Sci.* 62(15):1682-91.

421 Eisenbarth SC, Williams A, Colegio OR, Meng H, Strowig T, Rongvaux A, Henao-Mejia J,
422 Thaiss CA, Joly S, Gonzalez DG, Xu L, Zenewicz LA, Haberman AM, Elinav E, Kleinstein SH,
423 Sutterwala FS, Flavell RA. (2012) NLRP10 is a NOD-like receptor essential to initiate adaptive
424 immunity by dendritic cells. *Nature.* 25;484(7395):510-3. Erratum in: *Nature.* 2016 Feb
425 25;530(7591):504.

426 Erez O, Romero R, Tarca AL, Chaiworapongsa T, Kim YM, Than NG, Vaisbuch E, Draghici S,
427 Tromp G. (2009) Differential expression pattern of genes encoding for anti-microbial peptides in
428 The fetal membranes of patients with spontaneous preterm labor and intact membranes and
429 those with preterm prelabor rupture of the membranes. *J Matern Fetal Neonatal Med.* 2009
430 22(12):1103-15.

431

432 Ferrand PE, Fujimoto T, Chennathukuzhi V, Parry S, et al. (2002a) The CARD15 2936insC
433 mutation and TLR4 896 A>G polymorphism in African Americans and risk of preterm premature
434 rupture of membranes (PPROM). *Mol Human Reprod* 8, 1031-34.

435

436 Ferrand PE, Parry S, Sammel et al. (2002b) A polymorphism in the matrix
437 metalloproteinase-9 promoter is associated with increased risk of preterm premature rupture
438 of membranes in African Americans. *Mol Hum Reprod* 8:494-501.

439

440 Fujimoto T, Parry S, Urbanek M et al. (2002) A single nucleotide polymorphism in the matrix
441 metalloproteinase-1 (MMP-1) promoter influences amnion cell MMP-1 expression and risk for
442 preterm premature rupture of the fetal membranes. *J Biol Chem* 277:6296-6302.

443

444 Genc MR, Gerber S, Nesin M, Witkin SS (2002) Polymorphism in the interleukin-1 gene
445 complex and spontaneous preterm delivery. *Am J Obstet Gynecol* 187:157-63.

446 Getahun D, Fassett MJ, Longstreth GF, Koebnick C, Langer-Gould AM, Strickland D, Jacobsen
447 SJ.(2014) Association between maternal inflammatory bowel disease and adverse perinatal
448 outcomes. *J Perinatol.* 34(6):435-40.

449 Gibson CS, Maclennan AH, Haan EA, Priest K, Dekker GA. (2011) Fetal MBL2 haplotypes
450 combined with viral exposure are associated with adverse pregnancy outcomes. *J Maternal*
451 *Fetal Med* 24:6, 847-854.

452 Gomez-Lopez N, Romero R, Xu Y, Garcia-Flores V, Leng Y, Panaitescu B, Miller D, Abrahams
453 VM, Hassan SS. (2017) Inflammasome assembly in the chorioamniotic membranes during
454 spontaneous labor at term. *Am J Reprod Immunol.* May;77(5). doi: 10.1111/aji.12648.
455 Epub 2017 Feb 24.

456 Gomez-Lopez N, Romero R, Xu Y, Plazyo O, Unkel R, Leng Y, Than NG, Chaiworapongsa T,
457 Panaitescu B, Dong Z, Tarca AL, Abrahams VM, Yeo L, Hassan SS. (2017) A Role for the
458 Inflammasome in Spontaneous Preterm Labor With Acute Histologic Chorioamnionitis. *Reprod*
459 *Sci. Jan 1*:1933719116687656.

460 Gotsch F, Romero R, Chaiworapongsa T, Erez O, Vaisbuch E, Espinoza J, Kusanovic JP, Mittal
461 P, Mazaki-Tovi S, Kim CJ, Kim JS, Edwin S, Nhan-Chang CL, Hamill N, Friel L, Than NG,
462 Mazor M, Yoon BH, Hassan SS. (2008) Evidence of the involvement of caspase-1 under
463 physiologic and pathologic cellular stress during human pregnancy: a link between the
464 inflammasome and parturition. *J Matern Fetal Neonatal Med.* 21(9):605-16.

465 Goto Y, Uematsu S, Kiyono H. (2016) Epithelial glycosylation in gut homeostasis and
466 inflammation. *Nat Immunol.* 17(11):1244-1251. doi: 10.1038/ni.3587.

467 Hugot J-P, Chamaillard M, Zouall H et al. (2001) Association of NOD2 leucine-rich repeat
468 variants with susceptibility to Chron's disease. *Nature* 411, 599-603.

469 Jaffe S, Norman N, Jayaram A, et al. (2013) Unique variation in genetic selection among Black
470 North American women and its potential influence on pregnancy outcomes. *Medical Hypotheses*
471 81:919-922.

472 Kempe A, Wise PH, Barkan SE, Sappenfield WM, Sachs B, Gortmaker SL, Sobol AM, First
473 LR, Pursley D, Rinehart H, et al. (1992) Clinical determinants of the racial disparity in very
474 low birth weight. *N Engl J Med.* 1;327(14):969-73.

475
476

477 Li J, Oehlert J, Snyder M, Stevenson DK, Shaw GM (2017). Fetal de novo mutations and
478 preterm birth. *PLoS Genet.* 13(4):e1006689. doi: 10.1371/journal.pgen.1006689.

479

480 Liu S, Wen SW, Demissie K, Marcoux S, Kramer MS (2001) Maternal asthma and pregnancy
481 outcomes: a retrospective cohort study. *Am J Obstet Gynecol* 184:90-6.

482

483 Lorenz E, Hallman M, Marttila R, et al. (2002) Association between the Asp299Gly
484 polymorphisms in the Toll- like receptor 4 and premature births in the Finnish population.
485 *Pediatr Res* 52:373-6.

486
487 Macones GA, Parry S, Elkousy M et al. (2004) A polymorphism in the promoter region of TNF
488 and bacterial vaginosis: Preliminary evidence of gene-environment interaction in the etiology
489 of spontaneous preterm birth. *Am J Obstet Gynecol* 190:1504-8.
490
491 Meis PJ, Goldenberg RL, Mercer BM et al. (2000) Preterm prediction study: is socioeconomic
492 status a risk factor for bacterial vaginosis in Black or in White women? *Am J Perinatol* 17:41-5.
493
494 Modi BP, Teves ME, Pearson LN, Parikh HI, Chaemsaitong P, Sheth NU, York TP, Romero
495 R, Strauss JF 3rd. (2017b) Rare mutations and potentially damaging missense variants in
496 genes encoding fibrillar collagens and proteins involved in their production are candidates for
497 risk for preterm premature rupture of membranes. *PLoS One*. Mar 27;12(3):e0174356. doi:
498 10.1371/journal.pone.0174356. eCollection 2017 Mar 27.
499
500 Moore S, Ide M, Randhawa M et al. (2004) An investigation into the association among preterm
501 birth, cytokine gene polymorphisms and periodontal disease. *BJOG* 111:125-32.
502
503 Moutquin JM (2003) Socio-economic and psychosocial factors in the management and
504 prevention of preterm labour. *BJOG* 110 Suppl 20:56-60.
505
506 Murtha AP, Menon R. (2015) Regulation of fetal membrane inflammation: a critical step in
507 reducing adverse pregnancy outcome. *Am J Obstet Gynecol* Oct; 213(4): 447-448.

508 Nedovic B, Posteraro B, Leoncini E, Ruggeri A, Amore R, Sanguinetti M, Ricciardi W, Boccia S.
509 (2014) Mannose-binding lectin codon 54 gene polymorphism and vulvovaginal candidiasis: a
510 systematic review and meta-analysis. *Biomed Res Int*. 2014:738298.

511 Oosting M, Cheng SC, Bolscher JM, Vestering-Stenger R, Plantinga TS, Verschueren IC, Arts
512 P, Garritsen A, van Eenennaam H, Sturm P, Kullberg BJ, Hoischen A, Adema GJ, van der Meer
513 JW, Netea MG, Joosten LA. (2014) Human TLR10 is an anti-inflammatory pattern-recognition
514 receptor. *Proc Natl Acad Sci U S A*. 21;111(42):E4478-84.

515 Palomba S, Sereni G, Falbo A, et al. (2014) Inflammatory bowel diseases and human
516 reproduction: A comprehensive evidence-based review. *World J Gastroenterol* 20, 7123-7136.

517 Parets SE, Knight AK, Smith AK. (2015) Insights into genetic susceptibility in the etiology of
518 spontaneous preterm birth. *Appl Clin Genet*. 2015 Dec 14;8:283-90. doi: 10.2147/TACG.S58612.

519 Parry S, Strauss III JF (1998) Premature rupture of the fetal membranes. *NEJM* 338:663-670.
520

521 Plunkett J, Feitosa MF, Trusgnich M, Wangler MF, Palomar L, Kistka ZA, DeFranco EA, Shen
522 TT, Stormo AE, Puttonen H, Hallman M, Haataja R, Luukkonen A, Fellman V, Peltonen L,
523 Palotie A, Daw EW, An P, Teramo K, Borecki I, Muglia LJ. (2009) Mother's genome or
524 maternally-inherited genes acting in the fetus influence gestational age in familial preterm birth.
525 *Hum Hered*. 68(3):209-19.
526

527 Porto WF, Nolasco DO, Pires AS, Pereira R, Franco OL, Alencar SA (2016) *Biopolymers*
528 (Peptide Science) 106: 633-644
529

530 Roberts AK, Monzon-Bordonaba F, Van Deerlin PG et al. (1999) Association of
531 polymorphism within the promoter of the tumor necrosis factor alpha gene with increased risk
532 of preterm premature rupture of the fetal membranes. *Am J Obstet Gynecol* 180:1297-302.
533

534 Romero R, Friel LA, Velez Edwards DR, Kusanovic JP, Hassan SS, Mazaki-Tovi S, Vaisbuch E,
535 Kim CJ, Erez O, Chaiworapongsa T, Pearce BD, Bartlett J, Salisbury BA, Anant MK, Vovis GF,
536 Lee MS, Gomez R, Behnke E, Oyarzun E, Tromp G, Williams SM, Menon R. (2010) A genetic
537 association study of maternal and fetal candidate genes that predispose to preterm prelabor
538 rupture of membranes (PROM). *Am J Obstet Gynecol*. 203(4):361.e1-361.e30.
539

540 Romero R, Xu Y, Plazyo O, Chaemsathong P, Chaiworapongsa T, Unkel R, Than NG, Chiang
541 PJ, Dong Z, Xu Z, Tarca AL, Abrahams VM, Hassan SS, Yeo L, Gomez-Lopez N. (2016) A Role
542 for the Inflammasome in Spontaneous Labor at Term. *Am J Reprod Immunol*. Mar 8. doi:
543 10.1111/aji.12440. [Epub ahead of print]

544

545 Schaefer AS, G M Richter GM, Nothnagel M, et al. (2010) A 3' UTR transition within *DEFB1* is
546 associated with chronic and aggressive periodontitis *Genes and Immunity* 11, 45–54.

547 Shand AW, Chen JS, Selby W, Solomon M, Roberts CL. (2016) Inflammatory bowel disease in
548 pregnancy: a population-based study of prevalence and pregnancy outcomes. *BJOG*.
549 123(11):1862-70.

550 Sheikh IA, Ahmad E, Jamal MS, Rehan M, Assidi M, Tayubi IA, AlBasri SF, Bajouh OS, Turki
551 RF, Abuzenadah AM, Damanhoury GA, Beg MA, Al-Qahtani M. Spontaneous preterm birth and
552 single nucleotide gene polymorphisms: a recent update. *BMC Genomics*. 2016 Oct
553 17;17(Suppl 9):759.

554

555 Shen TT, DeFranco EA, Stamilio DM, Chang JJ, Muglia LJ. (2008) A population-based study
556 of race-specific risk for preterm premature rupture of membranes. *Am J Obstet Gynecol*.
557 199(4):373.e1-7.

558

559 Simhan HN, Krohn MA, Roberts JM, et al. (2003) Interleukin-6 promoter -174 polymorphism
560 and spontaneous preterm birth. *Am J Obstet Gynecol*. 189:915-8.

561 Strauss JF 3rd. (2013) Extracellular matrix dynamics and fetal membrane rupture. *Reprod Sci*.
562 20(2):140-53.

563

564 Svensson AC, Sandin S, Cnattingius S, Reilly M, Pawitan Y, Hultman CM, Lichtenstein P.
565 (2009) Maternal effects for preterm birth: a genetic epidemiologic study of 630,000 families. *Am*
566 *J Epidemiol*. 170(11):1365-72.

567

568 Wang H, Ogawa M, Wood JR, Bartolomei MS, Sammel MD, Kusanovic JP, Walsh SW,
569 Romero R, Strauss JF 3rd. (2008) Genetic and epigenetic mechanisms combine to control
570 MMP1 expression and its association with preterm premature rupture of membranes. *Hum Mol*
571 *Genet*. 2008 Apr 15;17(8):1087-96.

572

573 Wang H, Parry S, Macones G, Sammel MD, Ferrand PE, Kuivaniemi H, Tromp G, Halder I,
574 Shriver MD, Romero R, Strauss JF 3rd. (2004) Functionally significant SNP MMP8 promoter

575 haplotypes and preterm premature rupture of membranes (PPROM). *Hum Mol Genet.*
576 13(21):2659-69.

577

578 Wang H, Parry S, Macones G, Sammel MD, Kuivaniemi H, Tromp G, Argyropoulos G, Halder
579 I, Shriver MD, Romero R, Strauss JF 3rd. (2006) A functional SNP in the promoter of the
580 SERPINH1 gene increases risk of preterm premature rupture of membranes in African
581 Americans. *Proc Natl Acad Sci U S A.* 103(36):13463-7.

582

583 Wang X, Zuckerman B, Pearson C et al. (2002) Maternal cigarette smoking, metabolic gene
584 polymorphism, and infant birth weight. *JAMA* 287:195-202.

585

586 Witkin SS, Vardhana S, Yih M, et al. (2003) Polymorphism in intron 2 of the fetal interleukin-
587 1 receptor antagonist genotype influences midtrimester amniotic fluid concentrations of
588 interleukin-1beta and interleukin-1 receptor antagonist and pregnancy outcome. *Am J Obstet*
589 *Gynecol.* 189:1413-7.

590

591 York TP, Eaves LJ, Lichtenstein P, Neale MC, Svensson A, Latendresse S, Långström N,
592 Strauss JF 3rd. Fetal and Maternal Genes' Influence on Gestational Age in a Quantitative
593 Genetic Analysis of 244,000 Swedish Births. *Am J Epidemiol.* 2013 Apr 7;

594

595 York TP, Eaves LJ, Neale MC, Strauss JF 3rd. The contribution of genetic and environmental
596 factors to the duration of pregnancy. *Am J Obstet Gynecol.* 2014 May;210(5):398-405.

597

598 York TP, Strauss JF 3rd, Eaves LJ. A narrow heritability evaluation of gestational age at birth.
599 *Hum Genet.* 2015 Jul;134(7):809-11.

600

601 York TP, Strauss JF 3rd, Neale MC, Eaves LJ. Racial differences in genetic and environmental
602 risk to preterm birth. *PLoS One.* 2010 Aug 25;5(8):e12391.

603

604 York TP, Strauss JF 3rd, Neale MC, Eaves LJ. (2009) Estimating fetal and maternal genetic
605 contributions to premature birth from multiparous pregnancy histories of twins using MCMC
606 and maximum-likelihood approaches. *Twin Res Hum Genet.* 12(4):333-42.

607

608

609 **Supporting Material**

610

611 Supplemental Table 1. Primers used for mutation verification by DNA sequence analysis

612 Supplemental Table 2. iPLEX Genotyping Design

613

614 Supplemental Table 3. Predicted Damaging SNPs in Innate Immunity Genes

615 Supplemental Fig 1. *MBL2* mRNA expression in fetal membrane samples from normal term
616 pregnancy.

617

Author Manuscript

Author Manuscript

Table 1: Study subject characteristics for WES

Characteristic	Cases Mean (SD)	Controls Mean (SD)	p-value
Maternal Age (years)	27.18 (5.33)	26.02 (5.32)	0.256
Gestational Age at Delivery (weeks)	30.05 (4.17)	38.93 (1.16)	<0.001
Neonatal Weight (kgs)	1.69 (1.59)	3.14 (0.46)	<0.001
Gravidity	3.53 (2.04)	3.25 (2.57)	0.555
Parity	1.47 (1.57)	1.35 (1.41)	0.657

PPROM cases, N=76; Term controls, N=43

Author Manuscript

Table 2. Candidate genes selected for analysis

Category	Gene IDs and (OMIM number)
Author Manuscript	

Innate immune response modulators

CARD6 (* 609986), *CARD8* (* 609051)

IL10 (* 124092), *IL10RA* (* 146933)

IL10RB (* 123889), *NFKBIA* (* 164008)

NFKBIB (* 604495), *NFKBID*,

NFKBIE (* 604548), *NFKBIZ* (* 608004)

NLRP3 (* 606416), *NLRP10* (* 609662)

NLRP12 (* 609648), *NOD1* (* 605980)

NOD2 (* 605956), *TLR10* (* 606270)

SOCS1 (* 603597), *SOCS2* (* 605117)

SOCS3 (* 604176), *SOCS4* (* 616337)

SOCS5 (* 607094), *SOCS6* (* 605118)

LPS detoxification

ALPP (* 171800), *AOAH* (* 102593)

Table 3. Damaging mutations identified in genes involved in modulation of the innate immune response in PPRM cases.

Gene ID	Chromosome	Position	SNP ID	Ref-Allele	Alternate-Allele	Effect	Minor Allele	AA Position (residue change)	Minor Allele Frequency Cases/Controls	Sequence Variant
<i>AOAH</i>	7p14.2	36514524	rs145455591	C	T	Nonsense	T	556	0.036/0.026	NC_000007.14:g.36514524C>T
<i>CARD6</i>	5p13.1	40853011	rs150487186	T	G	Nonsense	G	560	0.004/0.000	NC_000005.10:g.40853011T>G
<i>CARD8</i>	19q13.33	48231760	rs140826611	-	AA	Frameshift	AA	148	0.016/0.006	NC_000019.10:g.48231760_48231761insAA
<i>DEFB1</i>	8p23.1	6870777	rs5743490	C	A	Nonsense	A	37	0.011/0.000	NC_000008.11:g.6870777C>A
<i>FUT2</i>	19q13.3	48703417	rs601338	G	A	Nonsense	A	154	0.374/0.376	NC_000019.10:g.48703417G>A
<i>FUT2</i>	19q13.3	48703041	rs143482452	C	T	Nonsense	T	29	0.002/0.000	NC_000019.10:g.48703041C>T
<i>FUT2</i>	19q13.3	48703767	rs1799761	C	-	Frameshift	C	271	0.007/0.012	NC_000019.10:g.48703767delC
<i>MBL2</i>	10q21.1	52768256	rs74754826	G	T	Nonsense	T	210	0.011/0.000	NC_000010.11:g.52768256G>T
<i>NLRP10</i>	11p15.4	7961305	rs765522475	C	T	Nonsense	T	103	0.002/0.000	NC_000011.10:g.7961305C>T
<i>NLRP12</i>	19q13.42	53795911	rs35064500	C	T	Nonsense	T	1017	0.021/0.007	NC_000019.10:g.53795911C>T
<i>NLRP12</i>	19q13.42	53795917	rs776426826	AG	-	Frameshift	-	1015	0.002/0.003	NC_000019.10:g.53795917_53795918delAG
<i>NOD2</i>	16q12.1	50729867	rs2066847	-	C	Frameshift	C	1007	0.004/0.000	NC_000016.10:g.50729867_50729868insC
<i>TLR10</i>	4p14	38774483	rs62617795	C	T	Nonsense	T	370	0.020/0.016	NC_000004.12:g.38774483C>T
<i>TLR10</i>	4p14	38775590	rs140873456	A	G	Start loss	G	1	0.003/0.003	NC_000004.12:g.38775590A>G

Mutations identified through WES (76 PPRM, 43 term controls) were validated by direct sequence analysis or genotyping using TaqMan reagents. The mutations were evaluated in an independent cohort of an additional 188 PPRM cases and 175 controls. Genotyping was performed on the Agena MassArray iPLEX platform. All allele frequencies were based on called genotypes excluding missing samples or those samples without a genotype call. MAF=minor allele frequency.