Causes and consequences of variation in offspring body mass: meta-analyses in birds and mammals

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- 1
- 2 ABSTRACT

3 Early survival is highly variable and strongly influences observed population growth rates in

4 most vertebrate populations. One of the major potential drivers of survival variation among

5 juveniles is body mass. Heavy juveniles are better fed and have greater body reserves, and are

6 thus assumed to survive better than light individuals. In spite of this, some studies have failed

7 to detect an influence of body mass on offspring survival, questioning whether offspring body

8 mass does indeed consistently influence juvenile survival, or whether this occurs in particular

9 species/environments. Furthermore, the causes for variation in offspring mass are poorly

10 understood, although maternal mass has often been reported to play a crucial role. To

11 understand why offspring differ in body mass, and how this influences juvenile survival, we

- 12 performed phylogenetically corrected meta-analyses of both the relationship between
- 13 offspring body mass and offspring survival in birds and mammals and the relationship

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in mammals than in birds. An increase of one standard deviation of body mass increased the

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17 odds of offspring survival by 71% in mammals and by 44% in birds. A cost of being too fat in 18 birds in terms of flight performance might explain why body mass is a less reliable predictor of offspring survival in birds. We then looked for moderators explaining the among-study 19 differences reported in the intensity of this relationship. Surprisingly, sex did not influence the 20 intensity of the offspring mass-survival relationship and phylogeny only accounted for a 21 small proportion of observed variation in the intensity of that relationship. Among the 22 23 potential factors that might affect the relationship between mass and survival in juveniles, only environmental conditions was influential in mammals. Offspring survival was most 24 strongly influenced by body mass in captive populations and wild populations in the absence 25 26 of predation. We also found support for the expected positive effect of maternal mass on offspring mass in mammals ($r_{pearson} = 0.387$). As body mass is a strong predictor of early 27 survival, we expected heavier mothers to allocate more to their offspring, leading them to be 28 29 heavier and so to have a higher survival. However, none of the potential factors we tested for variation in the maternal mass-offspring mass relationship had a detectable influence. Further 30 31 studies should focus on linking these two relationships to determine whether a strong effect of offspring size on early survival is associated with a high correlation coefficient between 32 33 maternal mass and offspring mass.

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Key words: body size, individual heterogeneity, early survival, maternal size, maternalallocation.

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37 I. INTRODUCTION

Getting reliable estimates of demographic parameters including survival and reproduction 38 is a major step in assessing population dynamics (Caswell, 2001). Individuals vary greatly in 39 terms of lifespan and reproductive success, which lead them to differ strongly in their 40 contribution to population dynamics. Life-history theory is built on the premise that individual 41 42 traits that determine reproduction and survival throughout life are shaped by natural selection 43 to maximize individual fitness (Gadgil & Bossert, 1970; Stearns, 1992). It is therefore of crucial importance to assess the relationship among individual traits, demographic parameters, 44 and individual fitness (Cam et al., 2002). 45

46 Juvenile survival is an important fitness component because it determines whether or not an individual will reach maturity and therefore reproduce (Lindström, 1999). In long-lived 47 species of mammals and birds the juvenile period is a particularly critical life stage because 48 mortality risks are much higher than after sexual maturity. Since offspring survival often 49 drives population dynamics of long-lived species (Gaillard et al., 2000; Ozgul et al., 2010), it 50 51 is particularly important to understand the ecological and biological factors that will modulate this fitness component. Numerous studies have investigated the influence of phenotypic traits 52 on offspring survival, with a particular emphasis on body mass (Magrath, 1991, Maness & 53 Anderson, 2013). Generally, these studies have reported that body mass is a reliable predictor 54 of offspring survival (e.g. Hamel et al., 2009; Mackas et al., 2010). 55

Body mass is known to be positively correlated with body fat, which represents the
main component of body reserves in birds and mammals (Garnett, 1981; Labocha & Hayes,
2012; Monteith *et al.*, 2014; Bennett *et al.*, 2015) and allows large individuals to survive over

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periods of food shortage. Furthermore, since body mass and body size are generally closely 59 60 correlated across individuals within a given population, body size also has a positive effect on offspring survival (e.g. McMahon et al., 2015). For instance, in temperate ecosystems, 61 individuals with greater body size survive better than those with low body reserves over the 62 winter (Ringsby, Saether & Solberg, 1998). In addition, energy demands for growth are high 63 during the juvenile stage (Parker, Barboza & Gillingham, 2009) and when food availability is 64 low, body reserves allow growth to continue (Lee, Majluf & Gordon, 1991). However, some 65 studies have failed to detect a positive relationship between offspring body mass and juvenile 66 survival (e.g. Williams & Croxall, 1991; Ylönen, Horne & Luukkonen, 2004; Reading et al., 67 68 2009). The most common explanation for these results involves quite constant and abundant food resources during the critical juvenile stage that lead body reserves, and consequently 69 mass, to have less impact on survival (Van Vuren, Bray & Heltzel, 2013). Likewise, in 70 71 environments where most juvenile mortality is caused by predation, high individual body mass might not confer a particularly strong survival advantage (Warren, Mysterud & 72 73 Lynnebakken, 2001). Based on such contrasting results, it remains difficult to infer a general pattern for the effect of body mass on juvenile survival. 74

Among the factors that influence offspring body mass, maternal condition has been 75 76 one of the most studied. Maternal body mass is indeed expected to account for a substantial proportion of the variation observed in offspring body mass (Pomeroy et al., 1999; Hamel, 77 Craine & Towne, 2012a) because heavy females can typically allocate more resources to their 78 offspring during both pre- and post-natal stages (e.g. gestation and lactation in mammals), 79 which leads to increased offspring mass and thereby offspring survival. Such relationships 80 81 between maternal body mass and offspring body mass have been repeatedly documented in the literature (Clutton Brock et al., 1996; Monclús, Pang & Blumstein, 2014). However, some 82 case studies failed to detect such relationships (Campbell & Slade, 1995; Wheatley et al., 83

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2006; Foster & Taggart, 2008). Common explanations for this inconsistency involve the 84 85 offspring number-size trade-off (Michener, 1989), which appears to be the rule among shortlived species that produce multiple offspring per reproductive attempt (Smith & Fretwell, 86 1974). Moreover, females of long-lived species often trade current allocation to reproduction 87 for allocation to their own future survival (Tavecchia et al., 2005; Hamel et al., 2010). In 88 harsh years, females of long-lived species are expected to put the emphasis on their own 89 90 survival, which may produce costs in terms of losing their offspring or of producing offspring of reduced size (Skogland, 1984, Festa-Bianchet & Jorgenson, 1998). Although Lim, Senior 91 & Nakagawa (2014) performed a pioneering meta-analysis to assess the direction of the 92 93 relationship between mother and offspring body size and found support for an overall positive relationship, they included only a limited number of bird and mammal species (22 birds and 94 eight mammals) and did not identify the factors driving the observed variation in the strength 95 96 of that relationship.

To fill this knowledge gap, we review empirical evidence of the strength of the 97 relationships both between offspring body mass and offspring survival and between offspring 98 mass and maternal body mass from published data. We restricted our analysis to birds and 99 mammals because most detailed studies of free-ranging populations have been performed in 100 these two vertebrate classes (Clutton-Brock & Sheldon, 2010). We first performed two 101 phylogenetically corrected meta-analyses (i.e. one for each relationship) to assess the 102 direction and magnitude of these relationships. In a second step, we looked for biological 103 factors that drive observed variation in each of the two relationships and could explain the 104 conflicting results reported in literature. 105

We particularly focused on biological moderators that have previously been suggested
to influence the relationships between mother and offspring mass, and juvenile survival.
Offspring sex was included as one of these because male offspring of dimorphic and

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polygynous species are more susceptible to harsh conditions than females (Clutton-Brock, 109 110 Albon & Guinness, 1985). We thus expected that reserves and also body mass will have more influence on male than on female survival, which should ultimately lead to between-sex 111 differences in the relationship between maternal mass and offspring mass. In addition, in 112 polytocous species, the trade-off between offspring mass and offspring number should 113 influence the relationship between offspring mass and maternal mass (Charnov & Ernest, 114 2006). Thus we accounted for variation in litter size in the analysis of each relationship. 115 Finally, we also tested for an influence of the species mating system because different mating 116 systems lead to different patterns of maternal allocation (Zeveloff & Boyce, 1980) and 117 118 thereby to expected differences in the relationship between offspring mass and maternal mass.

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120 II. METHODS

121 (1) Literature survey

We collected published papers by using the database of ISI Web of Science following a 122 strict search protocol. The key words ("mass" or "weight" or "size") and ("survival" or 123 "mortality") were used to identify studies investigating relationships between offspring 124 survival and mass and the key words ("mass" or "weight") and ("mother" or "maternal" or 125 "adult") and ("newborn" or "offspring" or "neonate") were used to identify studies testing for 126 a relationship between maternal mass and offspring mass. The search was conducted in 127 December 2015. We restricted the results to the topics "Ecology", "Zoology", "Ornithology" 128 and "Evolutionary Biology". We deliberately used broad key words because much of the 129 required information can be hidden within papers on different topics (e.g. Serra et al., 2012). 130 We identified 20,240 papers related to offspring mass and survival and 1,414 papers related to 131 maternal mass and offspring mass. We applied a first selection procedure to this list based on 132 the title and only retained papers dealing with mammalian or avian populations. Then, we 133

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read all the abstracts to check whether the relationships were explicitly reported in these 134 135 papers. Finally, we checked the references cited in these articles for any relevant studies missed. A total of 103 papers on mammals and 133 papers on birds were retrieved for the 136 137 relationship between offspring mass and survival (Fig. 1A). For the relationship between maternal mass and offspring mass we recovered 85 papers on mammals but only three papers 138 on birds (Fig. 1B). We thus did not have enough data on birds to perform a meta-analysis for 139 140 the relationship between maternal mass and offspring mass. This low amount of published data in birds is discussed in Section IV. 141

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143 (2) Data reported

144 *(a) Information collected for each case study*

For the relationship between offspring mass and survival and for the relationship 145 between maternal mass and offspring mass we retained any relationship including mass or any 146 indicator of mass such as structural size or body condition. When different measurements of 147 mass were used in one paper, we extracted the strict measurement of mass. We did not 148 consider pre-birth measurements such as egg or fetus mass. When the relationship was 149 analysed at different ages (i.e. survival-offspring mass relationship at birth and at weaning), 150 151 the earliest relationship was retained to avoid pseudo-replication due to repeated measures of the same individuals (Hurlbert, 1984). When the relationship was assessed independently for 152 both sexes, we included sex-specific relationships in the analysis. 153

All information required for the identification of the paper (i.e. title, first author, year of publication, journal, location and species studied) was recorded. We also reported the timing of offspring measurement, the type of measurement and the data quality (see Section II.4*b*), which could potentially influence the results of the meta-analysis. We included these factors as moderators in the meta-analysis. We also recorded whether the relationship was

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assessed for both sexes separately, or for pooled sexes. Lastly we reported whether the focal 159 160 population was captive or not and if the individuals were subjected to predation.

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(b) Information collected for each species 162

To assess the potential influence of biological factors on the relationships identified 163 from our meta-analysis, we searched in the literature for information about mating system and 164 litter size (mammal) or brood size (bird) for each species included in our data set (see online 165 Supporting Information, Appendix S1, S2 and S3 for all data used for the analysis). 166

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(3) Extraction of effect sizes

(a) Relationship between offspring mass and offspring survival 169

This relationship was generally reported as a logistic function because survival follows 170 a binomial distribution. The slope of the logistic regression was reported with its standard 171 error. When the slope was not provided but the raw data or the logistic curve were graphically 172 displayed in the paper, we extracted the data from the figure using WebPlotDigitizer 173 (http://arohatgi.info/WebPlotDigitizer/) and then ran a logistic regression with the package 174 175 betareg in R (version R.3.3.0, R Development Core Team 2015). In cases where the standard 176 error was missing but the Wald statistics was reported, we used the Wald statistics to obtain the standard error. We calculated the Wald statistics as $(\theta - \theta_0)^2 / var(\theta)$, which is to be 177 compared to a χ^2 distribution with θ_0 equal to 0. When only the slope of the relationship was 178 179 reported, the standard error could still be estimated when both the mean and the standard 180 deviation of the offspring body mass were provided. We thus obtained the standard error by first simulating the survival data for each individual body mass using the published logistic 181 182 relationship and then re-running a logistic regression (see R code in Appendix S4). The relationship was sometimes presented with a quadratic term (e.g. Verboven & Visser, 1998) 183

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and in such situations, when the raw data were available in the paper, we ran a new logistic 184 185 regression without the quadratic term. This relationship was also sometimes presented as a linear relationship (e.g. Garnett, 1981). In such cases, we converted the slope of the linear 186 regression to a logistic slope following the procedure given in Hamel, Yoccoz & Gaillard 187 (2012b). The linear relationship corresponds to a portion of a logistic that is quasi-linear, and 188 multiplying the linear slope by a factor of 4 allows the slope of a logistic regression to be 189 190 obtained. Occasionally, especially in old papers, the only results reported were the distributions of body mass with the mean and the standard deviation of the mass of dead and 191 alive individuals. In such cases, we assumed that the masses of the dead individuals and of the 192 193 live individuals were normally distributed and we simulated two normal distributions (one for 194 each group) and ran a logistic regression. We replicated the procedure 10,000 times and retained the mean slope and standard error of this slope (see R code in Appendix S4). 195 196 When performing a meta-analysis, standardized coefficients are required to make results from the compiled studies comparable (Nakagawa & Santos, 2012). Only the 197 measurement of mass was standardized in our data set because the slopes were obtained from 198 very different species that have markedly different distributions of offspring mass. We did not 199 200 standardize survival because survival is bounded between and 0 and 1 across all case studies 201 and species. To standardize body mass, the standard deviation of mass was required. When not available, the range of mass was used to infer the standard deviation. We assumed that 202 mass was normally distributed, so that the range corresponds to 4 standard deviations 203 (because in a normal distribution 95% of the values belong to the interval encompassing 204 approximatively two standard deviations). Semi-standard slopes were calculated by 205 206 multiplying the slope with the standard error of the mass (Menard, 2011), the standard error being calculated in the same way. The effect sizes were reported in terms of odds ratios to 207 facilitate interpretation (Lipsey & Wilson, 2001). The odds ratio is calculated as the 208

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exponential of the semi-standardized slope. When mass increases by one standard deviation, 209 210 the odds of survival (i.e. the ratio between the probability to survive and the probability to die) is multiplied by one semi-standardized odds ratio. Thus, a coefficient greater than 1 211 corresponds to a positive effect of mass on survival. To assess a potential impact of the data 212 extraction on the results for each effect size we scored the data quality as high when all the 213 required information was reported in the paper and as low when we needed to report the data 214 from the figure or to run simulations to obtain the information (see Tables 1, 2 and 3 for 215 detailed information on the extraction procedure of data and on the quality assessment of each 216 217 paper).

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219 (b) Relationship between maternal mass and offspring mass

For this relationship, the coefficients extracted were Pearson correlation coefficients or 220 partial correlation coefficients. Correlation coefficients can also be inferred from χ^2 , *t*, and *F* 221 statistics using the formulae provided in Lipsey & Wilson (2001). When only raw data were 222 provided we extracted them with WebPlotDigitizer and ran the R function cor.test on the data. 223 For the meta-analysis, all these correlation coefficients were converted into a Fisher Zr, which 224 is an unbounded measure of effect size for correlation coefficients (Lipsey & Wilson, 2001). 225 This transformation allows the direct calculation of the standard error when the sample size is 226 known. Following Cohen (1988), we considered that correlation coefficients of 0.1, 0.3, and 227 0.5 represent low, moderate, and strong effects, respectively. We reported the quality of the 228 effect size as described in Section II.3*a* to check whether our transformation had any impact 229 on the results of the meta-analysis. 230

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232 (4) Statistical analysis

233 (a) General model

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234	A multi-level meta-analysis was performed because the effect sizes are not
235	independent from each other. Correlation between the different effect sizes can arise when
236	multiple effect sizes are recorded in one population or on the same species or in studies
237	analysed by the same author. Moreover, the shared history among different species makes
238	them non-independent (Harvey & Pagel, 1991). For such analyses, linear mixed models are
239	recommended (Nakagawa & Santos, 2012). We used the function MCMCglmm of the
240	package MCMCglmm (Hadfield, 2010; Hadfield & Nakagawa, 2010) to perform our
241	analyses. Bayesian hierarchical models are especially recommended to handle phylogenetic
242	meta-analyses in which several effect sizes are reported for the same species (e.g. Santos &
243	Nakagawa, 2012).
244	In order to assess phylogenic relatedness among the different species, we used
245	phylogenetic trees for avian (Jetz et al., 2012) and mammalian (Bininda-Edmonds et al.,
246	2007) species. These phylogenies were used in the meta-analyses to correct for non-
247	independence between species-specific data points.
248	For each meta-analysis, linear mixed models were fitted with the effect size as the
249	dependent variable and the error variance implemented for each effect size (with the mev
250	argument in the function MCMCglmm). The covariance matrix among the species was
251	extracted from the phylogeny. The phylogeny, species, population and first author were
252	included in the model as random factors. We included another random effect as species
253	independently of phylogeny because individuals from the same species can share
254	characteristics that are independent of phylogeny (e.g. lifestyle). In the absence of clear a
255	<i>priori</i> information, we used a non-informative prior (Inverse Wishart prior with $\frac{1}{2}$ = 0.02 and
256	V = 1). To assess whether the prior impacted the results, we re-ran the analysis using a new
257	parameter expanded prior ($\frac{1}{2}=1$, $V=1$, alpha.mu = 0, alpha. $V=1000$). This sensitivity
258	analysis did not uncover any difference between the two models, meaning that the results we

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obtained were not dependent on the prior used. Each model was run with 2,000,000 iterations.
We ran several models and assessed convergence with the Gelmann diagnostic (Gelmann &
Rubin, 1992) by using the Gelmann.diag function in R. This diagnostic detects statistically
significant differences in the MCMC chains that could potentially occur between two models
when these models do not converge. However, we did not detect any difference among
models.

For each model, the mean of the posterior distribution was reported, which 265 corresponds to the meta-analysis mean. We also reported the 95% credibility interval of the 266 highest posterior density distribution (HPDI). The mean was considered as statistically 267 268 significant when 0 (for Zr) or 1 (for the odds ratio) was not included in the credibility interval. To quantify the importance of the different random effects, I^2 statistics were calculated for 269 each random effect (Nakagawa & Santos, 2012). I² represents the percentage of the total 270 271 variance that is accounted for by the random effect. Values of 25%, 50%, and 75% are classically interpreted as a low, moderate, and high percentage of variance explained, 272 273 respectively (Higgins et al., 2003). The I² values are presented with their 95% highest posterior density credibility interval, this interval being bounded between 0 and 1. 274

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276 (b) Models with moderators

To test the effect of moderators we included them with fixed effects in new models. In addition to the biological variables presented in the introduction we included other studyspecific variables that could influence the intensity of the relationships. We implemented the following moderators.

(1) The timing of the measurement, which is the life stage that includes the time elapsed
between the offspring mass measurement and the record of offspring survivorship.
The timing of the measurement was included in models as a three-level factor (Early,

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Late and Total). 'Early' corresponds to pre-weaning (mammals) or pre-fledging 284 (birds) survival. Mass is then recorded at or right after birth (mammals) or hatching 285 (birds). 'Late' corresponds to post-weaning (mammals) or post-fledging (birds) 286 survival before recruitment. Mass is then recorded at or close to weaning (mammals) 287 or fledging (birds). 'Total' corresponds to a survival estimate encompassing both pre-288 and post-weaning (mammals) or fledging (birds). Mass is then recorded at or right 289 after birth (mammals) or hatching (birds). We also used the same kind of metrics for 290 the relationship between offspring mass and maternal mass. We distinguished between 291 pre- and post-weaning (mammals) or fledging (birds) periods when possible because 292 293 the weaning (mammals) and fledging (birds) periods are usually the most critical life 294 stages (Clutton-Brock, 1991). In particular, at weaning, most mammals no longer rely on parental care for survival. 295

(2) The type of mass measurement was fitted as a two-level factor (Mass versus Condition 296 index). We included this moderator to assess whether the use of different measures 297 impacted our results. In some cases, condition index and mass can be related to body 298 reserves with different intensities (e.g. Wilder, Raubenheimer & Simpson, 2016). 299 (3) The fact that the data were obtained from wild or captive conditions was recorded as a 300 301 two-level factor (Wild *versus* Captive). We considered a population as being captive when the individuals were kept in an enclosure and artificially fed. Captive animals do 302 not display the same mortality patterns as free-ranging animals (e.g. Lemaître et al., 303 2013; Tidière et al., 2016). In particular, captive individuals have access to veterinary 304 care that can markedly influence the magnitude of the offspring mass-survival 305 306 relationship. As all bird populations included in the meta-analysis were free-living, we only tested an effect of captive versus wild conditions in mammals. 307

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(4) The occurrence of predation in the studied population was implemented as a two-level 308 309 factor (Predation versus No predation) for the analysis of the relationship between offspring survival and body mass. We first considered the information provided in the 310 paper about the occurrence of predation. When no information about the causes of 311 mortality was reported, we searched for other papers about the same population to find 312 out whether the focal population was subjected to predation. We expected that 313 predation should decrease the effect of body mass on offspring survival because 314 predators generally prey upon juveniles independently from their mass (Hurley et al., 315 2011; Keech et al., 2011). This moderator was only tested for mammalian populations 316 317 because all of the bird populations included in our data set were subjected to predation. We did not report any information about hunting in populations because 318 juveniles are typically not hunted. 319

(5) Offspring sex was included as a three-level factor (Female, Male or Combined). 'Combined' corresponds to studies in which individuals from both sexes were pooled within the same relationship. 'Male' and 'Female' correspond to studies in which sexspecific relationships were provided. We looked for potential sex differences in the effect sizes of the relationships.

325 (6) The influence of species-specific mating system was tested differently in mammals and birds. As only two mating systems occurred in our set of mammalian species, we 326 included this moderator as a two-level factor (Polygynous versus Promiscuous). Our 327 bird species were principally socially monogamous, although a high rate of extra-pair 328 paternities occurred in several species (Garamszegi et al., 2005). As the degree of 329 monogamy can impact the amount of parental care and thereby influence the offspring 330 mass-survival relationship (Jaaarevi et al., 2013) we distinguished between strict 331 monogamy and other mating systems. We defined species as being strictly 332

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333	monogamous when the rate of extra-pair paternities was lower than 5%. As the
334	magnitude of between-sex differences might differ in relation to mating systems, we
335	included a test of the interaction between mating system and sex in our analyses.
336	(7) Litter size was implemented as a two-level factor in mammals (Monotocous versus
337	Polytocous). Monotocous species have a mean litter size of one, whereas polytocous
338	species produce more than one offspring per litter. Brood size in birds was measured
339	as the average clutch size for each species (i.e. a continuous variable). Litter
340	(mammals) or clutch (birds) size could influence the offspring mass-survival
341	relationship because of the expected offspring size-number trade-off (Smith &
342	Fretwell, 1974). We also tested for the interaction between litter size and mating
343	system for mammals because siblings in species displaying a promiscuous mating
344	system are expected to face higher sibling competition than siblings in species with
345	other mating systems (Forstmeier et al., 2014; Garratt et al., 2014).
346	(8) Data quality was implemented as a two-level factor (High quality versus Low quality).
347	Data were considered as high quality when all data required for the analysis were
348	explicitly reported. Low-quality data corresponded to case studies for which the
349	required data were extracted from graphs or obtained from simulations. We thus tested
350	whether the data-extraction procedure had any detectable impact on the results.
351	To assess the impact of these different moderators on the relationships of interest, we
352	reported the mean difference between the groups with the 95% highest posterior density
353	interval (the odds ratios were log-transformed to obtain a meaningful mean difference
354	between groups). The mean difference was considered as statistically significant when 0 did
355	not fall within the credibility interval.
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357 (5) Publication bias

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If studies with no detectable effects are less likely to be published (Rosenthal, 1979), 358 359 the meta-analysis performed from published information would lead to an overestimate of the true effect. To test whether such a publication bias was present in our data, funnel plots were 360 built. The standard diagram plots the precision of the study (measured as the inverse of the 361 standard error) against the mean of the study (Egger et al., 1997). The closer the mean is to 362 the meta-analysis mean, the greater the precision. In the absence of any bias the diagram 363 should be perfectly symmetrical around the mean. To test the symmetry of the diagram a 364 linear regression of the means of each study as a function of their precision is performed. This 365 test is known as the Egger regression (Egger et al., 1997). However, the means are not 366 367 independent from each other, leading a key assumption of linear regression to be violated. The only values that were independent between the different effect sizes were the residuals of 368 the meta-analysis (Nakagawa & Santos, 2012), which correspond to the variance that is not 369 370 explained by the different random factors. The residuals should be symmetrically distributed around 0. A linear regression of residuals on the precision of the study was performed. A 371 372 publication bias occurs when the intercept of the regression is statistically different from 0. To assess the influence of publication bias, the trim and fill method of the package metafor 373 (Viechtbauer, 2010) was used. This method provides an estimate of the number of studies that 374 375 are absent on one side of the funnel plot and adjusts the meta-analysis mean accordingly. It should not be interpreted as an exact correcting factor of the publication bias but rather 376 provides an assessment of the magnitude of the publication bias. 377

378

379 III. RESULTS

380 (1) Relationship between offspring mass and offspring survival

381 *(a) Data set*

In mammals, we extracted 75 effect sizes from 60 published papers. These effect sizes came from 33 different species. Overall, Carnivora (nine species), Artiodactyla (15 species) and Rodentia (six species) were the most represented mammalian orders (Table 1; Fig. 2A). In birds, we extracted 86 effect sizes from 58 published studies. These effect sizes corresponded to 56 different species, mostly Passeriformes (25 species), Charadriiformes (11 species) and Anseriformes (seven species) (Table 2; Fig. 2B).

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389 (b) Results from general meta-analyses

In mammals, offspring mass positively influenced offspring survival with a metaanalysis mean of 1.82. This effect was statistically significant because the highest posterior
density interval of the odds ratio did not overlap 1 [HPDI = (1.37; 2.41)] (Fig. 3A). In birds,
the same positive effect of mass occurred for offspring survival (meta-analysis mean = 1.48).
This effect was also statistically significant [HPDI = (1.26; 1.72)] (Fig. 3B).

The heterogeneity analysis in mammals indicated that each random effect (the effect 395 of phylogeny, of species independently of phylogeny, of population and of first author) 396 included in our meta-analysis only accounted for a weak but similar proportion of 397 heterogeneity among studies, with an I^2 near to 25% for each effect (Table 4). In birds, results 398 were similar with all I^2 near 25%, which indicates that each random effect included in our 399 meta-analysis accounted for an equal and weak part of the heterogeneity among studies (Table 400 4). The credibility intervals were large for all the values, preventing us from relying on the 401 exact I² value. 402

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404 (c) Assessing the effects of moderators on the strength of the offspring mass-survival
405 relationship

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The age at which mass was measured, the type of mass measurement, data quality and 406 407 sex did not have any detectable effect on the relationship between offspring mass and survival in either birds or mammals (Fig. 3, Table 5). Clutch/litter size did not influence the slope of 408 the relationship either in birds [linear regression slope = -0.010, HPDI = (-0.040; 0.021)] or 409 in mammals (Table 5). The meta-analysis mean was higher in captive than in wild mammals, 410 and in mammal populations with no predation than in populations subjected to predation. We 411 412 did not detect any influence of the mating system in birds but promiscuous mammals had a higher meta-analysis mean than polygynous ones, this difference being statistically significant 413 (Table 5). The mating system was not independent from environmental conditions, since 97% 414 of studies on polygynous species lived in the wild while 64% of studies on promiscuous 415 416 species lived in captivity. This prevented us from reaching a firm conclusion on whether mating system influences the offspring mass-survival relationship, because polygynous 417 418 species in this data set were virtually all from the wild, and our analysis indicated that living in the wild weakens the relationship between offspring mass and survival (see Section IV). 419 420 Including an interaction between mating system and sex did not reveal any detectable effect either in mammals [mean_{malevsfemale promiscuous} = 0.276, HPDI = (-0.394; 0.973); mean_{malevsfemale} 421 polygynous = -0.142, HPDI = (-0.535; 0.222)] or in birds [mean_{malevsfemale monogamous} = 0.013, 422 $HPDI = (-0.232; 0.251); mean_{malevsfemale othermating} = -0.100, HPDI = (-0.264; 0.086)].$ 423 Likewise, we did not find any detectable interaction between litter size and mating system in 424 mammals [mean_{monotocousvspolvtocous promiscuous} = 0.181, HPDI = (-0.735; 1.067); 425 $mean_{monotocousyspolytocous polygynous} = 0.085, HPDI = (-0.307; 0.454)].$ 426 427 (d) Publication bias 428

The intercept of the Egger regression was statistically different from zero in mammals
[intercept = 0.077, HPDI = (0.004, 0.152)]. The publication bias diagram was not symmetrical

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431	(Fig. 4A), indicating that a publication bias towards positive effects was likely. The trim and
432	fill method indicated a lack of 18 studies on the left side of the funnel plot. The meta-analytic
433	mean should thus be adjusted by -0.062 , which results in a value of 1.71. In birds the
434	intercept of the Egger regression also differed from 0 on statistical grounds [intercept = 0.156 ,
435	HPDI = (0.065; 0.246)] (Fig. 4B). The trim and fill method indicated a lack of 15 studies on
436	the left side of the funnel plot. The meta-analytic mean should thus be adjusted by -0.027 ,
437	which results in a value of 1.44. Therefore, the slight publication bias we detected did not
438	influence our conclusions.
439	$\tilde{\mathbf{O}}$
440	(2) Relationship between maternal mass and offspring mass
441	(a) Data set
442	For this meta-analysis, we extracted 96 effect sizes from 60 published papers. We
443	collected effect sizes for 38 different mammalian species with Carnivora (12 species),
444	Rodentia (11 species) and Artiodactyla (10 species) as the most represented mammalian
445	orders (Fig. 5; Table 3). This meta-analysis was performed in mammals only (see Section
446	II.1).
447	
448	(b) Results from the general meta-analysis
449	A positive relationship occurred between offspring and maternal mass (mean meta-
450	analysis = 0.408 , which is equivalent to a Pearson correlation coefficient of 0.387). This effect
451	was statistically significant because the highest posterior density interval did not overlap 0
452	[HPDI = (0.223; 0.580)] (Fig. 6).
453	The heterogeneity analysis showed that all the random effects included in our meta-

454 analysis contributed equally but weakly to the overall heterogeneity across studies, with an I^2

455 less than 25% (Table 6).

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457 (c) Assessing the effects of moderators on the strength of the maternal mass-offspring mass
458 relationship

The age at which offspring mass was measured, the type of mass measurement, sex, whether animals were captive or not, litter size, data quality, and mating system did not have any detectable effect on the magnitude of the relationship (Fig. 6; Table 7). Likewise, we did not detect any effect of interactions both between mating system and sex [mean_{malevsfemale} promiscuous = -0.064, HPDI = (-0.343; 0.247); mean_{malevsfemale polygynous} = 0.016, HPDI = (-0.010; 0.148)] and between litter size and mating system [mean_{monotocousvspolytocous promiscuous} = -0.050, HPDI = (-0.596; 0.523); mean_{monotocousvspolytocous polygynous} = 0.044, HPDI = (-0.151; 0.248)].

466

467 (*d*) Publication bias

The intercept of the Egger regression was almost statistically different from zero [intercept = 0.037, HPDI = (-0.001; 0.075)]. A direct inspection of the diagram suggests that some studies might be lacking on the left side since the funnel plot is not symmetrical (Fig. 7). This indicates that a small publication bias might exist. However, the results of the Egger regression indicate that our results are robust to such a small bias.

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474 IV. DISCUSSION

We assessed the sign and the magnitude of the relationships between offspring mass and offspring survival in mammals and birds and between maternal and offspring mass in mammals. The meta-analyses we performed provided strong support for positive relationships in all cases.

In mammals, on average, when offspring mass increases by 1 standard deviation of the
offspring body mass distribution in the population, the odds of offspring survival increase by

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71%. We also highlighted the existence of a positive relationship between offspring mass and 481 482 survival in birds. On average, when offspring mass increases by one standard deviation of the early mass distribution the odds of offspring survival increase by 44 %. Overall, these positive 483 relationships support our expectation that offspring mass is a reliable proxy of individual 484 survival in birds and mammals (e.g. Hamel et al., 2009). The magnitude of the relationship 485 was slightly weaker in birds. This difference might be due to the fact that birds and mammals 486 are not subject to the same constraints. As 92% of our effect sizes were measured on post-487 fledging survival, flight constraints are likely involved. The advantages of a greater body 488 mass in birds might be not so strong because a high body mass increases the wing loading 489 (Chandler & Mulvihill, 1992) and affects birds in terms of flying performance (Norberg, 490 1995). There is an extensive literature about the cost of being too fat, especially when 491 individuals need high flight performance to escape predators (e.g. Gosler, Greenwood & 492 493 Perrins, 1995; Bonter et al., 2013; Rogers, 2015). In birds, there is clearly a trade-off between the advantage of being fat to avoid starvation and its costs in terms of predation. 494 495 Alternatively, a methodological issue might account for the weaker influence of mass on juvenile survival in birds compared to mammals. In bird studies, it is especially difficult to 496 distinguish between death and emigration from the study site (Lebreton et al., 1992; Lebreton, 497 498 Pradel & Clobert, 1993, Gilroy et al., 2012). When the probability of emigration increases with body mass, the relationship between resighting rate (often used as a proxy of survival) 499 and mass is weaker than the relationship between true survival and mass (Stoleson & 500 Beissinger, 1997; Barbraud, Johnson & Bertault, 2003). 501 From the heterogeneity analysis, we found weak effects of phylogeny, of species 502 independent of phylogeny, and of population. As neither among-species nor among-503 population differences accounted for a substantial proportion of the variation observed in the 504 strength of the relationship between offspring survival and body mass, we can generalize our 505

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results to all mammals and birds. The absence of any detectable random effect to explain part 506 507 of the heterogeneity highlights the importance of environmental variation on shaping these relationships. Juveniles from the same species can die from different causes and, even within 508 509 the same population, juveniles born in different cohorts do not face the same environment (e.g. Keech et al., 2011; Garratt et al., 2015). In both birds and mammals, data quality did not 510 influence our finding because we did not detect any difference between the mean of low-511 512 quality data and that of high-quality data. While a publication bias was detected in birds and to a lesser extent in mammals, it only involved a negligible decrease of the overall effect size, 513 which left our conclusions unchanged. 514

515 To find potential major drivers explaining the variation in slopes reported in the literature for the offspring mass-survival relationship, we tested potential effects of the timing 516 of the measurement. We examined three periods, including the period with high parental care 517 518 from birth to weaning/fledging, the period of juvenile independence from weaning/fledging to adult stage, and the overall juvenile survival from birth to adult stage. A general objective 519 520 behind this analysis was to assess in which period juvenile survival is most dependent on body mass. We did not identify a critical period likely because such effects could be masked 521 by dominant mortality causes like predation, which is often less body-mass dependent than 522 523 other causes of mortality such as starvation (Monteith et al., 2014). A negative effect of predation on the strength of the offspring mass-survival relationship is confirmed by our 524 findings in mammals that offspring survival in populations subjected to predation is less 525 closely associated with body mass. However, the effective predation rate might strongly 526 influence the strength of condition-dependence, which is expected to peak at some 527 intermediate value of predation rate. Unfortunately, predation rates for the mammalian 528 populations considered in our meta-analysis were not provided and it was thus impossible to 529 assess accurately how predation affects the mass–survival relationship. It is also noteworthy 530

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that absolute body mass as analysed here might not reflect condition-dependent mortality 531 532 through predation. Indeed, if we assume the existence of a limited mass range over which predators are able to prey upon juveniles, all juveniles in a population will be susceptible to 533 predation initially, but the duration of the vulnerability period will be much lower for fast-534 growing juveniles. In such cases, which encompass most ungulates (Byers, 1997), condition-535 dependent mortality is weak when using absolute body mass but could be much stronger 536 537 when using individual growth rate instead of mass. In birds, several studies have reported that the critical period in terms of survival occurs just after fledging because the newly 538 independent juveniles have little experience in foraging and so have to rely on their body 539 540 reserves, which could be expected to strengthen the relationship between mass and survival (e.g. Sullivan, 1989; Stienen & Brenninkmeijer, 2002). However, fledging in birds also 541 corresponds to a period when other causes of mortality occur, such as predation, likely 542 543 explaining why late survival is not strongly associated with condition (Davies & Restani, 2006). The relationship between offspring survival and offspring body mass is driven by two 544 545 parameters: the proportion of total mortality that is condition-independent or weakly condition-dependent and the strength of the relationship for each type of condition-dependent 546 mortality. Condition-dependent mortality is mainly caused by starvation in relation to the 547 548 depletion of body reserves of the juveniles (Williams & Croxall, 1991). As we compiled studies over a large range of environmental conditions and mortality causes, the absence of 549 any influence of the juvenile period studied is not so surprising. 550

551 Surprisingly, survival of captive mammals was more dependent on body mass than 552 that of wild mammals. Wild animals have to face a much larger range of mortality factors, 553 such as predation, which is often a major cause of offspring mortality (e.g. Linnell, Aanes & 554 Andersen, 1995 in ungulates). Although accurate estimates of predation rates in the wild are 555 generally lacking, it seems likely that predation, which is likely to be the highest during a

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limited time window of the juvenile stage, is only weakly related to absolute juvenile body 556 557 mass. On the contrary, juveniles in captive populations are not subjected to predation and mostly die from infectious diseases or starvation, which can occur over the entire juvenile 558 559 stage and are highly associated with absolute body mass (Yapi, Boylan & Robinson, 1990; Mandal et al., 2007). To assess the offspring mass-survival relationship in multiple case 560 studies, different measures of mass were included. The most commonly used metric other 561 562 than mass was body condition (i.e. mass corrected for size; Schulte-Hostedde et al., 2005). Such heterogeneity in mass measurements could have led to an increase in variance across 563 studies. However, a relatively low number of studies based on body condition were included 564 565 in our analyses (two out of 75 for mammals and eight out of 86 for birds). Using other phenotypic traits to assess condition, such as growth rate, would improve our understanding 566 567 of condition-dependent juvenile mortality.

568 We did not find any effect of sex on the magnitude of the relationship in mammals or birds. In particular, we did not find any evidence for disproportionately larger survival or 569 570 mass advantage of increasing offspring mass in males than in females during early stages of life even when we accounted for the potential confounding effect of mating systems. 571 However, these results do not necessarily contradict the Trivers-Willard Hypothesis (Trivers 572 573 & Willard, 1973) because we only looked at the early stages of life, whereas, as recently demonstrated, sex-specific reproductive value across the whole life course has to be 574 considered to predict reliably a selective pressure for sex-biased allocation, even in the most 575 sexually dimorphic and polygynous species (Schindler et al., 2015). Among the species-576 specific reproductive life-history traits, we considered only the mating system in mammals, 577 578 which had a detectable influence on the offspring mass advantage. Offspring survival was more strongly mass-dependent in promiscuous species than in polygynous species. However, 579 as the mating system had a confounding effect with environmental conditions, we cannot 580

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firmly conclude which of these factors generated the observed relationship. Furthermore, we
did not find a higher effect of offspring body mass in polytocous and promiscuous mammal
species for which we expected high sibling competition due to the existence of multi-paternity
within litters.

In mammals, maternal mass was positively correlated to offspring mass with a mean 585 correlation coefficient of 0.387, which corresponds to a moderate effect (sensu Cohen, 1988). 586 This finding matches the expectation that heavier mothers in a given population allocate more 587 to their offspring than lighter ones, by allowing offspring to reach higher body mass and 588 thereby higher survival. Interestingly, this finding supports recent results reported by Lim et 589 590 al. (2014) who found a correlation coefficient of 0.414 between maternal size and offspring size for a wider set of taxonomic groups. The generally strong size-mass relationship explains 591 the consistency of results across studies (e.g. Dahle, Zedrosser & Swenson, 2006). 592

593 Both the species and the population random effects only accounted for a weak proportion of observed heterogeneity in our meta-analysis, which indicates that the positive 594 effect we highlighted is consistent across mammalian species. As we included a large 595 diversity of mammals, we can safely generalize our findings to the entire class of mammals. 596 The type of data used did not influence the results and the publication bias we detected had 597 598 only a very weak effect on the final result. We were not able to perform this analysis for birds because of insufficient data. In birds more effort has been allocated to studying the 599 relationship between maternal mass and egg mass, which is likely to be positive (Wiggins, 600 1990; Budden & Beissinger, 2005). Egg mass also relates to neonatal mass in birds (Krist, 601 2011), which leads us to expect that the relationship we identified in mammals also holds in 602 603 birds. The few studies that assessed the maternal mass–offspring mass relationship in birds supported the existence of a positive relationship between maternal mass and offspring mass 604 (Blums, Clark & Mednis, 2002; Parker, 2002; Newbrey & Reed, 2009). 605

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We checked whether the timing of the offspring measurement could impact the 606 607 magnitude of the mother-offspring mass relationship. Measuring offspring before weaning or 608 after weaning led to similar results. Maternal mass thus provides a reliable predictor of both 609 offspring birth mass and weaning mass in mammals. This result is not surprising because weaning mass is highly related to birth mass in mammals, with weaning mass being about 610 four times the birth mass in pinnipeds, primates, and ungulates (Lee, Majluf & Gordon, 1991). 611 612 As in the analysis of the offspring mass-survival relationship, the use of different types of measurement did not have any impact on this meta-analysis. Likewise, wild and captive 613 mammalian females allocate to their offspring with the same intensity at a given size. This is 614 615 quite surprising when considering that body mass is more closely related to offspring survival in captive than in wild populations. However, we expect that females should increase their 616 617 offspring body mass relative to their own mass only if an increase of the offspring body mass 618 can give a sufficient increase in offspring survival compared to lighter ones. In captivity offspring body mass is more closely related to survival than in the wild but average offspring 619 620 survival is typically higher in captivity than in the wild (Littleton, 2005). Because offspring survival is already high in captivity, any increase in offspring body mass might not provide 621 additional survival benefits. 622

623 The absence of any sex difference on the maternal–offspring mass relationship was an unexpected result, which indicates that mothers allocate the same relative amount of energy to 624 male and female offspring irrespective of their body mass. Similar results were found in birds 625 with no sex-biased allocation to egg size (Rutkowska, Dubiec & Nakagawa, 2014). In 626 polygynous species the disproportionate mass or size advantage of offspring males is 627 628 expected to be higher than in promiscuous species (Clutton-Brock, 1991) but we did not find any interaction between offspring sex and mating system. However, a similar correlation 629 coefficient does not imply that there is no differential allocation between sexes. For a given 630

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mass, mothers can produce heavier male than female offspring. We did not detect any 631 632 difference between the correlation coefficients but the intercept of the relationship was generally higher in males, indicating that mothers allocate more to male than to female 633 offspring (e.g. Foster & Taggart, 2008). Furthermore, the mother can also allocate more 634 toward males by biasing offspring sex ratio instead of increasing male mass, explaining why 635 in some cases maternal mass can be related to offspring sex ratio (Arnbom, Fedak & Boyd, 636 1997). In addition, as recently pointed out by Schindler et al. (2015), the full sex-specific 637 reproductive value has to be considered before stating that there are adaptive sex differences 638 in maternal care. In 11 of our 17 studies that tested such differences, offspring mass was 639 640 measured at birth, meaning that all the maternal allocation after birth was not accounted for. 641 Interestingly we did not find any difference in female allocation to offspring mass between monotocous and polytocous mammals. Mammals that produce multiple offspring can 642 643 modify maternal allocation via two pathways: the offspring mass or the offspring number. We thus expected females of polytocous mammals to allocate less to offspring mass than females 644 645 of monotocous species. However, in most cases, the expected offspring size-number trade-off does not show up among females within a population and both the mean mass of offspring 646 and litter size increase with maternal mass (reviewed by Lim et al., 2014). The mating system 647 648 does not seem to impact this relationship. This is not so surprising because the difference between promiscuous and polygynous mating systems is only expected to impact paternal 649 allocation (Adrian et al., 2005). As the degree of paternity certainty is lower in promiscuous 650 species than in polygynous species, promiscuous fathers should allocate less than polygynous 651 fathers (Wright & Cotton, 1994), whereas such differences are not expected for maternal 652 allocation. 653

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655 V. CONCLUSIONS

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(1) Using meta-analyses we provide strong evidence of a positive relationship between 656 657 offspring mass and offspring survival in birds and mammals. Our main finding shows the importance of considering body mass when analysing variation in early survival. Offspring 658 mass offers a reliable indicator of offspring survival in both birds and mammals. However, 659 the magnitude of the relationship was weaker for birds, likely because of flight constraints. 660 (2) We did not identify biological drivers that explained the differences we observed in the 661 magnitude of the offspring mass-survival relationship across studies. We propose that this is 662 because the offspring mass-survival relationship is highly dependent on the mortality causes 663 in the focal populations. When most individuals die from weakly condition-dependent factors 664 665 such as predation, a low magnitude of the relationship is expected, whereas when condition-666 dependent factors such as starvation mostly cause mortality, a higher magnitude of the relationship is expected. 667

668 (3) Offspring body mass, which drives individual differences in survival among offspring, is positively correlated with maternal body mass in mammals. This correlation was not 669 670 quantitatively tested in birds due to a lack of data. However, from the limited information collected so far, there is support for a positive relationship. Further work, when sufficient data 671 672 are available, should assess the correlation coefficient in birds for comparison with the coefficient obtained here for mammals. Because offspring survival is less related to offspring 673 mass in birds, we expect a smaller coefficient of correlation in birds than in mammals. 674 (4) We did not identify any major driver that could explain the observed variability in the 675 relationship between maternal mass and offspring mass. As we found large variation in 676 condition-dependent survival in mammals in relation to variation in environmental conditions, 677 678 we expected also to find large variation in the relationship between offspring and maternal mass. The link between the two relationships studied here is not clear and is worth further 679 investigation. 680

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- 687

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1382 VIII. SUPPORTING INFORMATION

- 1383 Additional supporting information may be found in the online version of this article.
- 1384 Appendix S1. Data used for the analysis of the relationship between offspring body mass and
- 1385 offspring survival in mammals.
- 1386 Appendix S2. Data used for the analysis of the relationship between offspring body mass and
- 1387 offspring survival in birds.
- 1388 Appendix S3. Data used for the analysis of the relationship between maternal mass and
- 1389 offspring mass in mammals.
- 1390 Appendix S4. R code for the extraction of the effect size for the relationship between
- 1391 offspring mass and offspring survival.

1392

1393 Figure legends

Fig. 1. PRISMA flow diagram [search procedure according to the PRISMA statement Liberati *et al.* (2009) and recommended by Nakagawa & Poulin (2012)] for (A) the meta-analysis of
the relationship between offspring mass and offspring survival and for (B) the meta-analysis
of the relationship between maternal mass and offspring mass.

Fig. 2. Phylogenies of (A) mammal (from Bininda-Edmonds *et al.*, 2007) and (B) bird (from
Jetz *et al.*, 2012) species included in the meta-analyses. The colours indicate the average adult
body mass of the species.

1401 **Fig. 3.** Meta-analysis means of each moderator (see Section II.4*b*) for (A) mammals and (B)

1402 birds for the relationship between offspring mass and offspring survival. Meta-analysis

overall means are also provided. All means are presented with their 95% highest posteriordensity intervals and sample size is provided (*N*).

Fig. 4. Funnel plots of the different effect sizes in (A) mammals and (B) birds for the
relationship between offspring mass and survival. The precision is plotted as a function of the
meta-analysis residuals, as recommended by Nakagawa & Santos (2012). The vertical solid
line corresponds to 0.

Fig. 5. Phylogeny of mammal species included in the analysis of the relationship between
offspring mass and maternal mass (from Bininda-Edmonds *et al.*, 2007). The colours indicate
the average adult body mass of the species.

1412 **Fig. 6.** Meta-analysis means of each moderator (see Section II.4*b*) in mammals for the

1413 relationship between offspring mass and maternal mass. Meta-analysis overall means are also

1414 provided. All means are presented with their 95% highest posterior density intervals and

1415 sample size is provided (*N*).

45

1416 Fig. 7. Funnel plots of the different effect sizes in mammals for the relationship between

1417 offspring mass and maternal mass. The precision is plotted as a function of the meta-analysis

1418 residuals, as recommended by Nakagawa & Santos (2012). The vertical solid line corresponds

1419 to 0.

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