

Improved transcriptome sampling pinpoints 26 ancient and more recent polyploidy events in Caryophyllales, including two allopolyploidy events

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Summary

- Studies of the macroevolutionary legacy of polyploidy are limited by an incomplete sampling of these events across the tree of life. To better locate and understand these events, we need comprehensive taxonomic sampling as well as homology inference methods that accurately reconstruct the frequency and location of gene duplications.
- We assembled a data set of transcriptomes and genomes from 168 species in Caryophyllales, of which 43 transcriptomes were newly generated for this study, representing one of the most densely sampled genomic-scale data sets available. We carried out phylogenomic analyses using a modified phylome strategy to reconstruct the species tree. We mapped the phylogenetic distribution of polyploidy events by both tree-based and distance-based methods, and explicitly tested scenarios for allopolyploidy.
- We identified 26 ancient and more recent polyploidy events distributed throughout Caryophyllales. Two of these events were inferred to be allopolyploidy.
- Through dense phylogenomic sampling, we show the propensity of polyploidy throughout the evolutionary history of Caryophyllales. We also provide a framework for utilizing transcriptome data to detect allopolyploidy, which is important as it may have different macroevolutionary implications compared with autopolyploidy.

Introduction

The prevalence and evolutionary consequences of polyploidy in plants have been discussed at length in the field of macroevolution (Soltis *et al.*, 2015; Lohaus & Van de Peer, 2016). Polyploidy has been correlated with acceleration of speciation (Tank *et al.*, 2015; Smith *et al.*, 2017), surviving mass extinction (Fawcett *et al.*, 2009; Vanneste *et al.*, 2014a), evolutionary innovations (Vanneste *et al.*, 2014b; Edger *et al.*, 2015), and niche shift (Smith *et al.*, 2017). While there is little disagreement about the importance of polyploidy in angiosperm evolution, the frequency and phylogenetic locations of these events often remain unclear. Several limitations in methodology and sampling have limited our ability to accurately locate polyploidy events.

Until recently, most studies of polyploidy have employed either dating synonymous distances (*Ks*) among paralogous gene pairs (Vanneste *et al.*, 2013) or ancestral character reconstruction of chromosome counts (Mayrose *et al.*, 2010; Glick & Mayrose, 2014). While these have facilitated the discovery of many

polyploidy events, both are indirect methods that have insufficient resolution and can be misleading (Kellogg, 2016). *Ks* plots between syntenic blocks from individual sequenced genomes have the advantage of being sensitive enough to detect ancient and nested polyploidy events (Jaillon *et al.*, 2007; Jiao *et al.*, 2011, 2012, 2014). However, this technique suffers from the typically sparse taxon sampling available in whole-genome data. Distribution of polyploidy events inferred using *Ks* plots from genomic data, whether or not taking synteny into consideration (Fawcett *et al.*, 2009; Vanneste *et al.*, 2014a), awaits re-examination with more comprehensive taxon sampling. An alternative to *Ks* plots is the detection of polyploidy from chromosome counts. This method has the best signal for recent events and is most often restricted to the genus level or below (Wood *et al.*, 2009; Mayrose *et al.*, 2010, 2011).

Recent advances in transcriptome and genome sequencing offer the ability not only to measure *Ks* distances but also to use gene tree topology to validate these. A combination of both approaches has allowed for the identification and placement of

polyploidy events across the tree of life (Cannon *et al.*, 2015; Edger *et al.*, 2015; Li *et al.*, 2015; Marcet-Houben & Gabaldon, 2015; Yang *et al.*, 2015; Huang *et al.*, 2016; Xiang *et al.*, 2016). Despite this rapid increase in the number and precision of mapped polyploidy events, the sampling strategy for many of these studies was aimed at resolving deeper phylogenetic relationships. Testing hypotheses regarding the rich macroevolutionary legacy of polyploidy requires more extensive sampling of genomes and transcriptomes within a major plant clade. To date, only a few such data sets with sufficient sampling are available (Huang *et al.*, 2016; Xiang *et al.*, 2016). Furthermore, with a few exceptions (Kane *et al.*, 2009; Lai *et al.*, 2012; Estep *et al.*, 2014; Hodgins *et al.*, 2014), most of these studies have assumed autopolyploidy and have not explicitly tested for allopolyploidy. Despite the rich body of literature on gene expression, transposon dynamics, formation of novel phenotypes, and gene silencing and loss in recently formed allopolyploids (reviewed by Soltis & Soltis, 2016; Steige & Slotte, 2016), the long-term effects of allopolyploidy events remain poorly understood.

The plant order Caryophyllales offers an excellent opportunity to explore phylogenomic processes in plants. Caryophyllales forms a well-supported clade of *c.* 12 500 species distributed among 39 families (Byng *et al.*, 2016; Thulin *et al.*, 2016), with an estimated crown age of *c.* 67–121 Myr (Bell *et al.*, 2010; Moore *et al.*, 2010; Smith *et al.*, 2017). Species of the Caryophyllales are found on every continent including Antarctica and in all terrestrial ecosystems as well as aquatic systems, occupying some of the most extreme environments on earth, including the coldest, hottest, driest, and most saline habitats inhabited by vascular plants. Familiar members of the group include cacti, living stones, a diverse array of carnivorous plants (e.g. the sundews, Venus flytrap, and tropical pitcher plants), and several important crop plants (e.g. beet, spinach, amaranth, and quinoa). Such extraordinary diversity makes Caryophyllales a prime system for investigating polyploidy vs diversification rate, character evolution, and niche shifts. Previous analyses using transcriptomes representing 67 species across Caryophyllales located 13 polyploidy events (Yang *et al.*, 2015). By generating 43 new transcriptomes, we have expanded the previous sampling to include lineages with key evolutionary transitions, across a data set that now includes 168 species of Caryophyllales.

The size of this data set makes an all-by-all homology search impractical. Hence, we developed a ‘modified phylome’ strategy to build homolog and ortholog groups for species tree inference. In addition, we used an all-by-all approach to build lineage-specific homolog gene sets (Yang & Smith, 2014), and took advantage of recent developments in gene tree-based methods for mapping polyploidy events (Cannon *et al.*, 2015; Li *et al.*, 2015; Yang *et al.*, 2015). Our dense sampling allowed us to take chromosome counts into consideration, and begin to explore allopolyploidy events. These improved methods for tree building and mapping of gene duplications, along with our improved taxon sampling, enabled the most extensive exploration of polyploidy yet attempted in a major plant clade. The results reported here help establish the necessary foundation for further

exploration of the macroevolutionary consequences of polyploidy (for example, Smith *et al.*, 2017).

Materials and Methods

Taxon sampling, laboratory procedure, and sequence processing

We included 178 ingroup data sets (175 transcriptomes and three genomes; Supporting Information Table S1) representing 168 species in 27 out of the 39 Caryophyllales families (Byng *et al.*, 2016; Thulin *et al.*, 2016). Among these, 43 transcriptomes were newly generated for this study (Table S2). In addition, 40 outgroup genomes across angiosperms were used for rooting gene trees (Table S1). Tissue collection, RNA isolation, library preparation, sequencing, assembly, and translation followed previously published protocols (Brockington *et al.*, 2015; Yang *et al.*, 2017) with minor modifications (Tables S1, S2).

Caryophyllales homology and orthology inference from peptide sequences using a ‘modified phylome’ strategy

We employed a modified phylome strategy for reconstructing orthogroups (Fig. S1). An ‘orthogroup’ includes the complete set of genes in a lineage from a single copy in their common ancestor. Each node in an orthogroup tree can represent either a speciation event or a gene duplication event. An orthogroup differs from a homolog group in that the former is inferred from the latter by extracting rooted ingroup lineages separated by outgroups. The modified phylome procedure consisted of two major steps. First, ‘backbone homolog groups’ were constructed using peptide sequences from three Caryophyllales and 40 outgroup genomes. Second, peptides from transcriptomes were sorted to each backbone homolog. This two-step procedure allowed us to avoid the computationally intensive all-by-all homology search for constructing orthogroups.

To construct the backbone homolog groups, we started from the proteome of the best annotated genome, sugar beet (*Beta vulgaris*; Fig. S1; Dohm *et al.*, 2014; <http://bvseq.molgen.mpg.de/v.1.2>, accessed 25 June 2015). Sequences from each beet locus were used to search against a database that consisted of combined proteomes from all 43 genomes using SWIPE v.2.0.11 (Rognes, 2011) with an *E*-value cutoff of 0.01. The top 100 hits with bit scores higher than 50, and bit scores of at least 20% of the self-hit were retained and aligned using MAFFT v.7.215 (Katoh & Standley, 2013), with ‘-genafpair -maxiterate 1000’. The alignments were trimmed using PHYUTILITY v.2.2.6 (Smith & Dunn, 2008) with ‘-clean 0.1’, and trees were constructed using RAxML v.8.1.5 (Stamatakis, 2014) with the model PROTCATWAG. After visual inspection of *c.* 10 resulting trees to evaluate outliers, any terminal branch that was longer than 2 (absolute cutoff) or longer than 1 and more than 10 times as long as its sister (relative cutoff) was trimmed. Internal branches longer than 1 were separated (Yang & Smith, 2014). We retained trees that contained the original beet bait locus, combining those groups that shared beet locus IDs (i.e. had gene duplication within Caryophyllales).

The resulting backbone homolog groups constructed from the 43 genomes were then used to place the remaining 175 Caryophyllales transcriptomes (Fig. S1). First, peptide sequences from each of the Caryophyllales transcriptomes were reduced using CD-HIT v.4.6 (-c 0.99 -n 5; Fu *et al.*, 2012). The resulting sequences were then used in SWIPE analyses comparing the sequences to the beet proteome to identify matching backbone homolog groups. A new tree representing each expanded homolog group, with both genome and transcriptome data, was estimated using the same alignment and phylogenetic reconstruction settings as for the backbone homolog tree. To reduce isoforms in transcriptome data sets, monophyletic and paraphyletic tips that belonged to the same taxon were removed, leaving only the tip with the highest number of characters in the trimmed alignment (Yang & Smith, 2014). Spurious tips and long internal branches were cut using the same settings as for the backbone tree. For homolog groups with > 1000 and < 5000 sequences, alignments were constructed using PASTA v.1.6.3 (Mirarab *et al.*, 2014a,b) with default settings, and were trimmed by PHYUTILITY with '-clean 0.01', and phylogenetic trees were estimated using FASTTREE v.2.1.8 (Price *et al.*, 2010) with the model WAG. An initial internal branch length cutoff of 2 was used after reducing tips and trimming spurious tips with the same cutoffs as for the backbone trees. A second round of alignment and refining was carried out for these larger homolog groups. Homolog groups > 5000 were ignored.

After obtaining final homologs using the modified phylome approach, we carried out orthology inference following the 'rooted ingroup' method in Yang & Smith (2014). Briefly, for each Caryophyllales orthogroup extracted from a final homolog, we walked from the root toward the tip. When two sister nodes shared one or more taxa, the side with a smaller number of taxa was separated and both subtrees were taken into account in the next round until all subtrees contained only one sequence per taxon. For each resulting tree with at least 160 taxa, sequences were pooled, re-aligned using PRANK v.1.40110 (Löytynoja & Goldman, 2010) with default settings, and trimmed with PHYUTILITY with '-clean 0.3', and a new ortholog tree was estimated using RAXML with PROTCATAUTO. A set of more stringent cutoffs was used to produce the final ortholog trees: absolute tip cutoff of 0.6, relative tip cutoff of 0.3, and an internal branch cutoff of 0.4. Aligned sequences were pooled according to remaining tips and trimmed (using PHYUTILITY with '-clean 0.3'), and remaining alignments with at least 150 characters and 160 taxa were used for species tree inference.

All-by-all homology search and orthology inference in each of five Caryophyllales subclades from coding sequences

Uncertainty in alignment and tree inference increases with data set size. Given the absence of polyploidy events along the backbone of Caryophyllales (Smith *et al.*, 2015; Yang *et al.*, 2015), we divided Caryophyllales into five subclades according to previous phylogenetic analysis (Yang *et al.*, 2015): PHYT: Aizoaceae + the 'Phytolaccoid clade' which consists of Nyctaginaceae, Phytolaccaceae s.l. (i.e. including *Agdestis*), Petiveriaceae, and Sarcobataceae (Yang

et al., 2015), with *Stegnosperma halimifolium* (Stegnospermataceae) and the three Caryophyllales genomes *Beta vulgaris* (beet, Chenopodiaceae; Dohm *et al.*, 2014), *Spinacia oleracea* (spinach, Chenopodiaceae; Dohm *et al.*, 2014), and *Dianthus caryophyllus* (carnation, Caryophyllaceae; Yagi *et al.*, 2014) as outgroups; PORT, the 'Portullugo clade' which consists of Molluginaceae + Portulacineae (Edwards & Ogburn, 2012) with the three Caryophyllales genomes as outgroups; AMAR, Amaranthaceae + Chenopodiaceae, with carnation and *Phaulothamnus spinescens* (Achatocarpaceae) as outgroups; CARY, Caryophyllaceae, with spinach, beet and *Phaulothamnus spinescens* (Achatocarpaceae) as outgroups; and NCORE, the clade that is sister to the rest of Caryophyllales, with all three Caryophyllales genomes plus *Microtea debilis*, *Physena madagascariensis* and *Simmondsia chinensis* as outgroups.

An all-by-all approach was used for homology inference in each subclade following Yang & Smith (2014) with minor modifications (Methods S1). The final alignments from homolog trees with no taxon duplication (i.e. one-to-one orthologs), no more than one missing taxon (except requiring full taxon occupancy for CARY and PHYT), and an average bootstrap value of at least 80 were trimmed with PHYUTILITY '-clean 0.5'. Trimmed alignments with at least 300 columns were the final orthologs.

Species tree inference

We used two alternative approaches for constructing species trees for both the entire Caryophyllales using peptides ('modified phylome data set') and each of the five subclades using coding sequences (CDSs) ('the subclade data set'). First, a supermatrix was constructed by concatenating trimmed ortholog alignments. A maximum likelihood tree was estimated from the supermatrix using RAXML, partitioning each locus, with the model set to PROTCATAUTO for peptides and GTRCAT for coding sequences for each individual partition. Node support was evaluated by the internode certainty all (ICA) scores (Salichos *et al.*, 2014) calculated in RAXML using final ortholog trees as input. Probabilistic correction was used to take incomplete taxon occupancy into consideration (Kobert *et al.*, 2016; Stamatakis, 2016). As implementation of ICA score calculation was updated in more recent releases of RAXML, we used RAXML v.8.2.9 for calculating ICA scores.

In addition to the concatenated analyses, we also searched for the maximum quartet support species tree (MQSST) using ASTRAL-II v.4.10.12 (Mirarab *et al.*, 2014b; Mirarab & Warnow, 2015) starting from maximum likelihood trees estimated from individual orthologs. Tree uncertainty was evaluated using 100 multi-locus bootstrap replicates (Seo *et al.*, 2005; Seo, 2008; Mirarab *et al.*, 2014a,b), starting from 200 Fast bootstrap trees for each final ortholog calculated in RAXML.

Mapping polyploidy events based on subclade orthogroup tree topology

To map polyploidy events in each subclade, we extracted orthogroups from each subclade homolog tree, requiring no more

than two missing ingroup taxa. When two or more taxa overlapped between the two daughter clades, a gene duplication event was recorded to the most recent common ancestor (MRCA) on the subclade species tree (Yang *et al.*, 2015). In this procedure, each node on a species tree can be counted at most once per orthogroup to avoid nested gene duplications inflating the number of duplications scored. Two alternative filters were applied for comparison. The first filter required an average bootstrap percentage of each orthogroup to be at least 50. Alternatively, we also tested a local topology filter that only mapped a gene duplication event when the sister clade of the gene duplication node in the orthogroup contained a subset of the taxa in the corresponding sister clade in the species tree (Cannon *et al.*, 2015; Li *et al.*, 2015).

Distribution of synonymous distance among gene pairs (K_s plots)

For each of the ingroup Caryophyllales data sets, a K_s plot of within-taxon paralog pairs was created following the same procedure as Yang *et al.* (2015) based on BLASTP hits. Similarly, we carried out a second K_s analysis based on BLASTN between CDSs without first reducing highly similar sequences to maximize detection of more recent polyploidy events. In cases where tree-based mapping was ambiguous, or comparison of within- vs between-species K_s peaks could help inform allopolyploidy, we also calculated the K_s distribution of between-species reciprocal best BLASTN hit pairs. K_s values < 0.01 were excluded to avoid isoforms from *de novo* assembled transcriptomes.

Chromosome counts

Chromosome counts were obtained from the Chromosome Counts Database (ccdb.tau.ac.il accessed 5 October 2015). When counts in this database were unavailable or inconsistent, counts were obtained from the Jepson eFlora (ucjeps.berkeley.edu/eflora/ accessed 5 October 2015) and Flora of North America (www.efloras.org/ accessed 5 October 2015).

A total evidence approach for mapping polyploidy events

We considered six scenarios for mapping polyploidy events, including taking orthogroup tree topology, within-taxon K_s plots, and chromosome counts into consideration (Fig. 1). When polyploidy events occurred without subsequent speciation (or for which only one taxon was represented in our sampling; Fig. 1a–c), only a single within-taxon K_s plot would show a peak. In these instances, because we required at least two overlapping taxa between sister clades in the orthogroup tree to record a gene duplication event, no gene duplication was recorded from topology-based mapping. The polyploidy event was therefore mapped to the terminal branch with the K_s peak. However, when a polyploidy event was followed by lineage diversification, we used information from both K_s peaks and the orthogroup tree topologies to map duplication events (Fig. 1d–f). If we saw an excess of duplication events along the

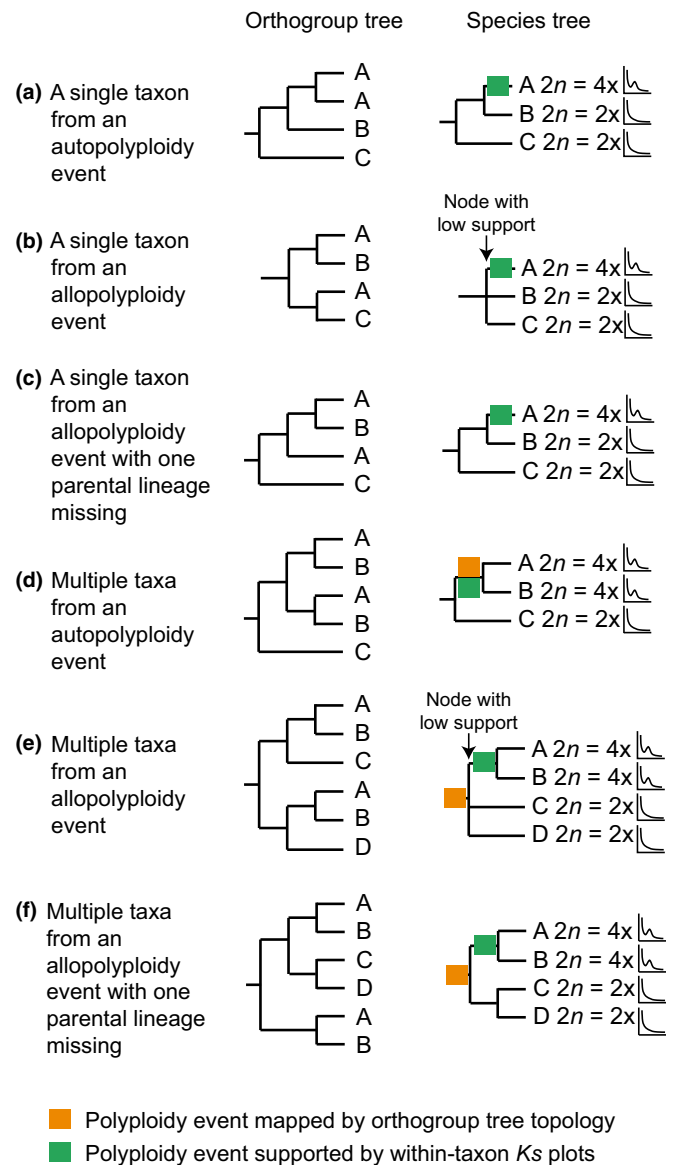


Fig. 1 Scenarios of polyploidy events (Kellogg, 2016). Letters 'A–D' represent taxon names, followed by chromosome numbers with the base number 'x', and schematic K_s plots (the x-axis is K_s values, and the y-axis is the number of paralogous gene pairs).

same lineage in which all taxa share the same within-taxon K_s peak, then this was inferred as autopolyploidy (Fig. 1d). However, if an excess of duplication events was found on a lineage ancestral to the lineage in which all taxa share the same within-taxon K_s peak, this was inferred to be an allopolyploidy event (Fig. 1e,f). We indicated the node with low support in Fig. 1 to highlight that allopolyploidy can lead to nodes with low support when both parental lineages are present or nodes that are well supported when one of the parental lineages is missing. We did not consider polyploidy events that were supported by chromosome count alone, as these can be within-population variation (Caperta *et al.*, 2016), and an increase in number can represent chromosome fission instead of duplication (Fishman *et al.*, 2014; Chester *et al.*, 2015).

Results

Data availability

Raw reads for newly generated transcriptomes were deposited in the NCBI Sequence Read Archive (BioProject: PRJNA388222; Table S2). Assembled sequences, alignments, and trees were deposited in Dryad (<https://doi.org/10.5061/dryad.st3gt>). Scripts used were also archived in Dryad, with notes and updates for modified phylomes available from https://bitbucket.org/yangya/genome_walking_2016 and those for building lineage-specific homologs and mapping polyploidy events available from https://bitbucket.org/blackrim/clus_tering.

RAxML and ASTRAL recovered nearly identical species tree topologies

RAxML and ASTRAL analyses recovered identical topologies for most branches (Figs 2–6, S2–S4). We consider branches with an ICA score higher than 0.5 as strongly supported, as ICA scores < 0.5 suggest that the dominant bipartition is present in < 80% of ortholog trees (Salichos *et al.*, 2014). As multi-locus bootstrap support percentages increase with the number of loci (Seo, 2008) and given that each of our final ortholog set contained > 100 loci (Table 1), we consider multi-locus bootstrap values < 100 as low support. Using this set of criteria, most branches from subclade data sets (Figs 2–6, S2) and the majority of the branches from modified phylomes (Figs S3, S4) were well supported.

Phytolaccoid Clade + Aizoaceae (PHYT)

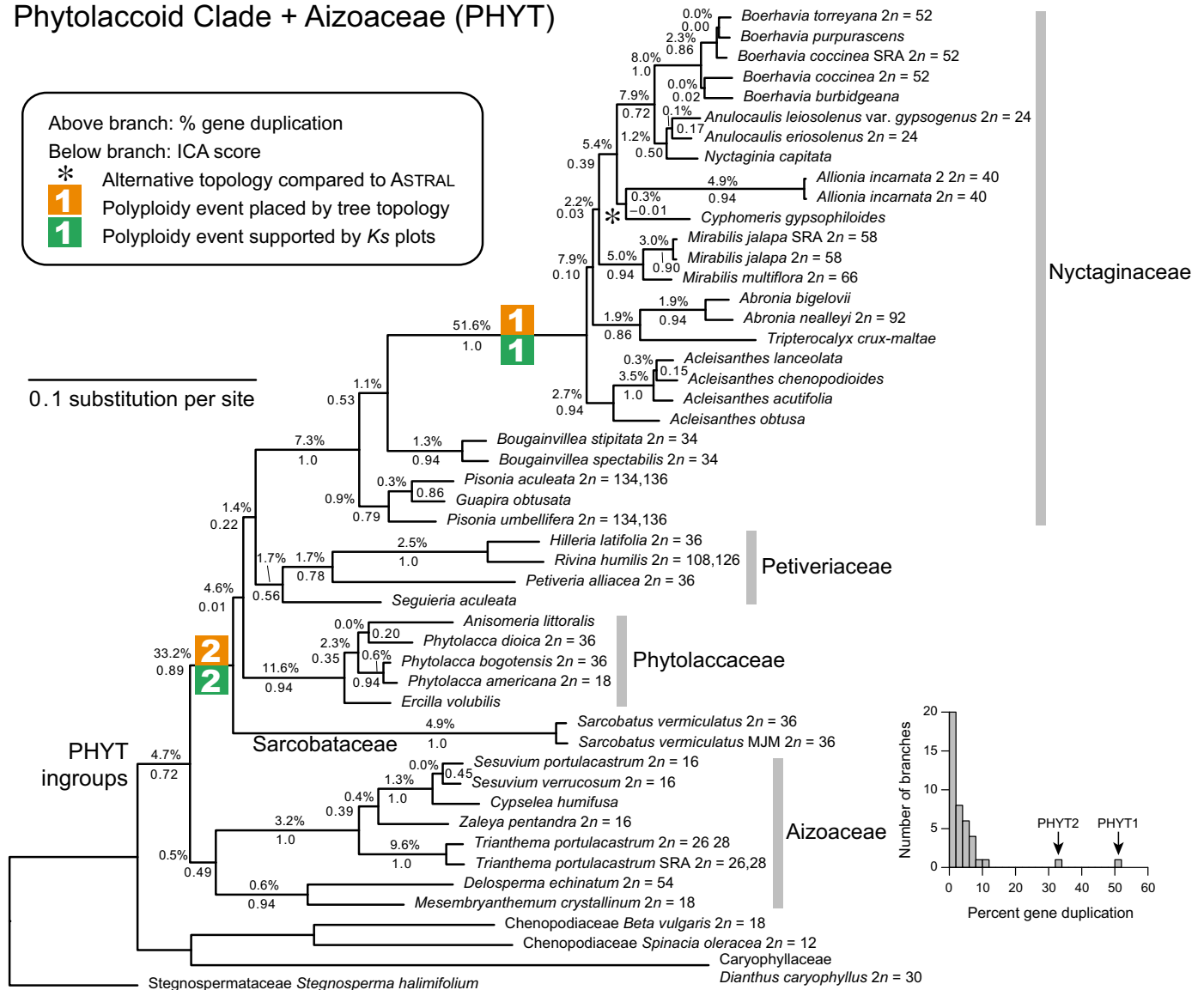


Fig. 2 Best tree from RAxML analysis of the concatenated supermatrix of the phytolaccoid clade and Aizoaceae (PHYT). Percentage values above branches indicate the proportion of orthogroups showing duplication filtered by bootstrap percentage. Internode certainty all (ICA) values are given below branches.

Portulacineae + Molluginaceae (PORT)

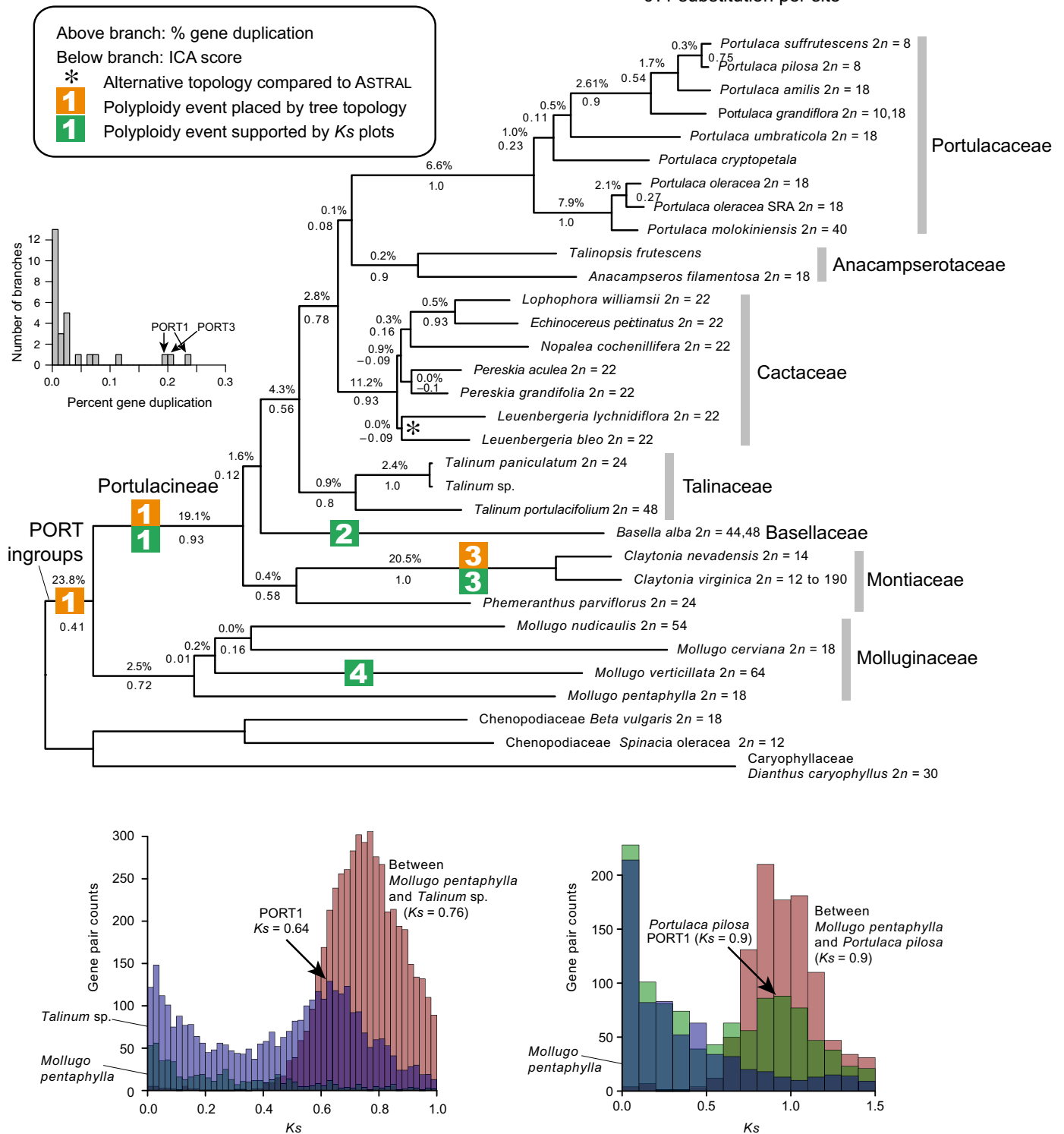


Fig. 3 Best tree from RAxML analysis of the concatenated supermatrix of Portulacineae and Molluginaceae (PORT). Percentage values above branches indicate the proportion of orthogroups showing duplication filtered by bootstrap percentage. Internode certainty all (ICA) values are given below branches. Selected K_s plots based on BLASTN hits are shown below trees. K_s values < 0.01 are not shown.

We recovered between 152 and 736 one-to-one orthologs and 0.2–1.1 million trimmed CDS columns from each of the five subclasses. The concatenated supermatrices had gene occupancies

of 98–100% and character occupancies of 87–93% (Table 1). Four clades showed different relationships between RAxML and ASTRAL, with little support for either alternative relationships

Amaranthaceae + Chenopodiaceae (AMAR)

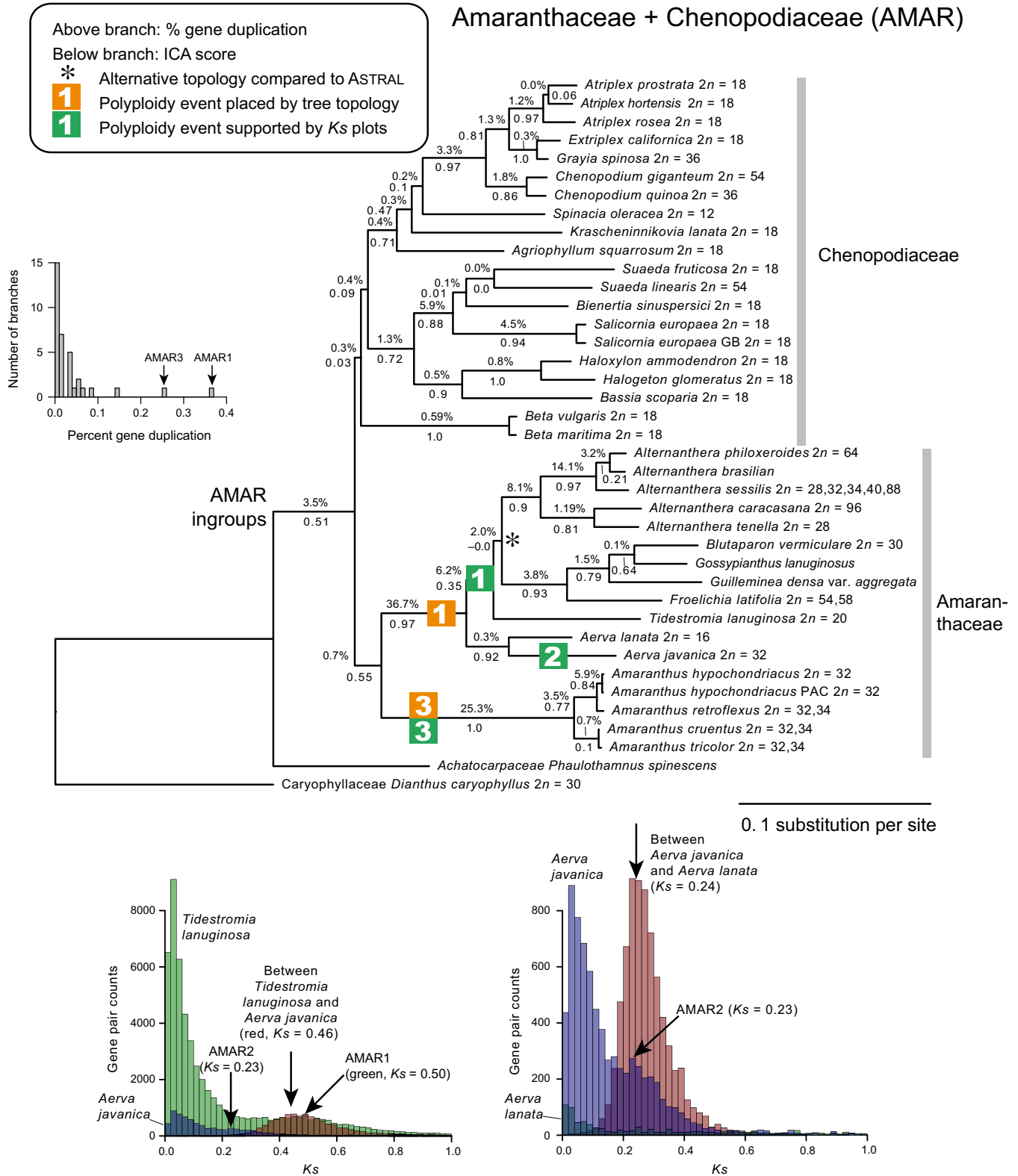


Fig. 4 Best tree from RAxML analysis of the concatenated supermatrix of Amaranthaceae and Chenopodiaceae (AMAR). Percentage values above branches indicate the proportion of orthogroups showing duplication filtered by bootstrap percentage. Internode certainty all (ICA) values are given below branches. Selected Ks plots based on BLASTN hits are shown below trees. Ks values < 0.01 are not shown.

(Figs 2–6 marked with ‘*’, S2): *Cyphomeris gypsophiloides* (Nyctaginaceae, PHYT) was sister to *Allionia* in the RAxML tree (ICA = -0.01) but was sister to the clade *Nyctaginia* +

Anulocaulis + *Boerhavia* in the ASTRAL tree (bootstrap = 95); species in *Leuenergeria* (Cactaceae, PORT) were monophyletic in the RAxML tree (ICA = -0.09) but were paraphyletic to the

Caryophyllaceae (CARY)

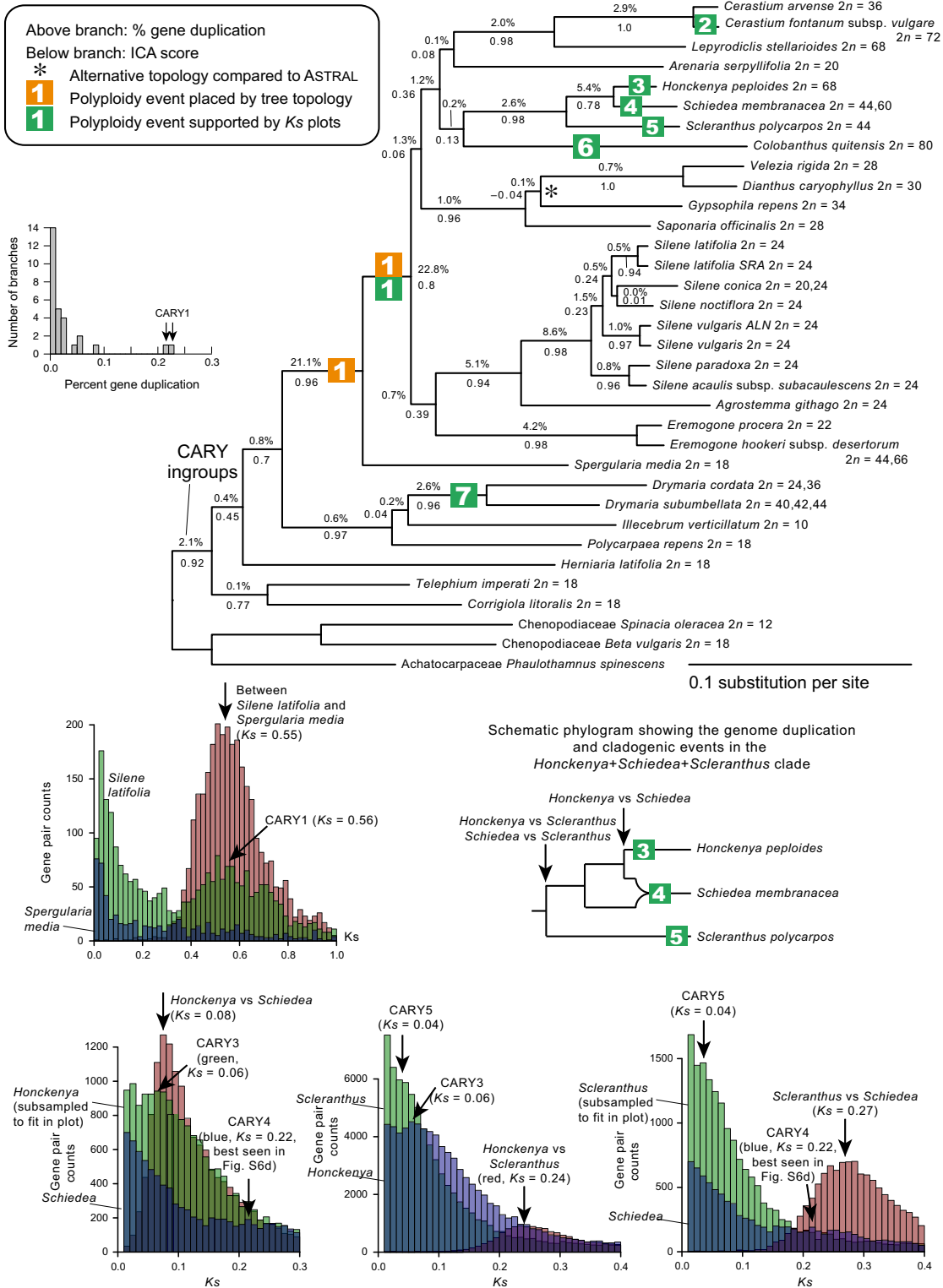


Fig. 5 Best tree from RAxML analysis of the concatenated supermatrix of Caryophyllaceae (CARY). Percentage values above branches indicate the proportion of orthogroups showing duplication filtered by bootstrap percentage. Internode certainty all (ICA) values are given below branches. Selected Ks plots based on BLASTN hits are shown below trees. Ks values < 0.01 are not shown.

rest of Cactaceae in the ASTRAL tree (bootstrap = 69); *Tidestromia lanuginosa* (Amaranthaceae, AMAR) was sister to the clade of *Froelichia* + *Guilleminea* + *Gossypianthus* + *Blutaparon* +

Alternanthera in the RAxML tree (ICA = -0.00), but was sister to *Alternanthera* in the ASTRAL tree (bootstrap = 50); and *Saponaria officinalis* (Caryophyllaceae, CARY) was sister to

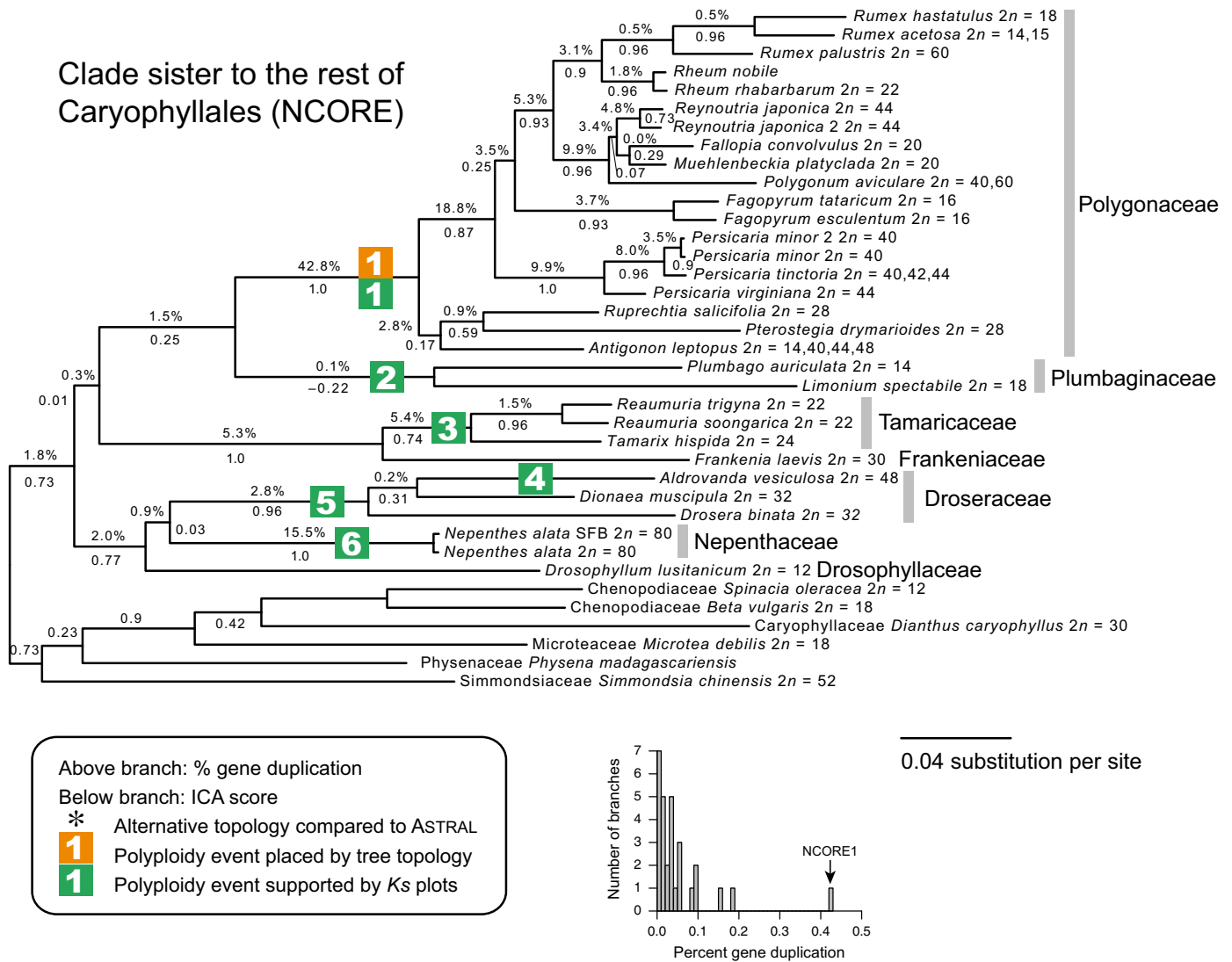


Fig. 6 Best tree from RAxML analysis of the concatenated supermatrix of the clade sister to the rest of the Caryophyllales (NCORE). Percentage values above branches indicate the proportion of orthogroups showing duplication filtered by bootstrap percentage. Internode certainty all (ICA) values are given below branches.

Table 1 Statistics for homology and orthology inference. PHYT, PORT, AMAR, CARY and NCORE are subclades within Caryophyllales (see Fig. 7)

	Caryophyllales	PHYT	PORT	AMAR	CARY	NCORE
Data type	Peptides					
Homology inference	Modified phylome					
Orthology inference	Rooted ingroup					
Number of taxa (ingroup + outgroup)	175 + 40	45 + 4	29 + 3	37 + 2	31 + 3	31 + 6
Minimum number of taxa per ortholog	160	49	31	38	34	36
Supermatrix dimension taxa × loci (columns)	178 × 624 (215 669)	49 × 152 (217 033)	32 × 171 (230 873)	39 × 315 (453 842)	34 × 736 (1 130 082)	37 × 213 (325 966)
Supermatrix gene/character occupancy	92.6%/80.1%	100%/92.5%	97.8%/86.5%	98.1%/87.2%	100%/92.2%	97.5%/87.8%
Minimal ingroup taxa for mapping gene duplication	Not applicable	43	27	35	29	29
Number of orthogroups used for mapping gene duplications	Not applicable	2843	3577	4713	6686	1649

Gypsophila + *Dianthus* + *Velezia* in the RAxML tree (ICA = -0.04), but was sister to *Dianthus* + *Velezia* in the ASTRAL tree (bootstrap = 63).

Among the 15 045 homolog groups we obtained using the modified phylome approach, 15 had > 5000 sequences and were ignored, while the rest were used for subsequent orthology inference. The final concatenated matrix consisted of 624 loci and 215 669 amino acids, with a final gene occupancy of 92.6% and character occupancy of 80.1% (Table 1). The modified phylome approach recovered identical species tree topologies except for one branch that had little support from either analysis (Figs S3, S4): *Leuenbergeria* (Cactaceae) was monophyletic in the RAxML tree (ICA = 0.18) but was polyphyletic in the ASTRAL tree (bootstrap = 28). The modified phylome approach recovered an identical species tree topology compared to that recovered by the subclade analysis. When subclade trees had different topologies between RAxML and ASTRAL, the modified phylome tree agreed with the subclade ASTRAL results in the placement of *Cyphomeris gypsophiloides* (ICA = 0.23 and bootstrap = 97), whereas the position of *Leuenbergeria* was recovered in the same incongruent positions as recovered by RAxML and ASTRAL in the subclade tree analyses. The modified phylome approach recovered both *Tidestromia lanuginosa* (0.19/81) and *Saponaria officinalis* (0.38/98) in the same positions as found in the RAxML results for the subclade trees.

Twenty-six polyploidy events were mapped

Twenty-six polyploidy events were inferred by using a total evidence approach of orthogroup tree topology, shared K_s peaks, and chromosome counts (Figs 2–6). Overall, the two orthogroup tree filtering strategies, by bootstrap percentage or local tree topology, produced almost identical results for frequency of gene duplication (Figs 2–6, S5). The frequency of gene duplications was strongly associated with the inferred polyploidy events (Figs 2–6). In our K_s analysis, we only considered K_s peaks that were similar in height or taller than the peak, *c.* $K_s = 2$, that corresponds to the early eudicot paleohexaploidy that predates the origin of Caryophyllales (Dohm *et al.*, 2012; Jiao *et al.*, 2012; Yang *et al.*, 2015).

Two polyploidy events in the PHYT clade were supported by both homolog tree topology and shared K_s peaks (Figs 2, S5a, S6a). The frequency of orthogroups showing evidence of gene duplication was 52% filtered by bootstrap percentage and 45% filtered by tree topology for PHYT1, and 33% and 31%, respectively, for PHYT2. Both were significantly higher percentages compared with remaining branches (Fig. 2).

At least four polyploidy events were recovered in the PORT clade. PORT1 was mapped to both the MRCA of Portulacineae (19%/17%; filtered by bootstrap vs tree topology) and its parent node (24%/22%) from gene duplications (Figs 3, S5b). However, Molluginaceae did not share the K_s peak that was present in all members of Portulacineae (Fig. S6b). Within-species paralogs in Portulacineae (represented by the PORT1 K_s peak in *Talinum* sp. at $K_s = 0.64$; Fig. 3, lower left) coalesced at lower K_s values compared with the K_s peak at 0.76 between *Talinum* sp. and

Mollugo pentaphylla (Molluginaceae). However, similar comparison of *Portulaca pilosa* (Portulacineae) vs *M. pentaphylla* showed overlapping K_s peaks ($K_s = 0.9$; Fig. 3, lower right), probably attributable to a higher molecular rate in *Portulaca* compared with *Talinum*. Therefore, phylogenetic uncertainty probably at least partly contributed to the ambiguity in mapping. Both PORT2 and PORT4 were recovered by taxon-specific K_s peaks, and both had relatively high chromosome counts compared with close relatives (Figs 3, S6b). PORT3 was supported by shared K_s peaks and gene duplications in orthogroup trees (21%/18%), whereas chromosome counts were uninformative.

At least three polyploidy events were recovered in the AMAR clade (Figs 4, S5c, S6c). AMAR1 was detected by an elevated percentage of gene duplications mapped to the branch uniting *Alternanthera* + *Gossypianthus* + *Blutaparon* + *Froelichia* + *Aerva* (37%/35%). However, species of *Aerva* lacked the AMAR1 K_s peak shared by *Alternanthera* + *Gossypianthus* + *Blutaparon* + *Froelichia* at 0.4–0.65. Further examination of the between-species K_s peak of *Aerva javanica* vs *Tidestromia lanuginosa* ($K_s = 0.46$) shows that it was more ancient than the within-species K_s peak AMAR2 at 0.23 in *A. javanica* (Fig. 4, lower left), suggesting that paralogs in *A. javanica* coalesced more recently than coalescing with taxa outside of *Aerva*, and AMAR1 and AMAR2 were two distinct polyploidy events. The K_s peak within *A. javanica* (AMAR2; $K_s = 0.23$) overlapped with the between-species K_s peak of *A. javanica* vs *A. lanata* ($K_s = 0.24$; Fig. 4, lower right). Given that *A. javanica* had a higher molecular substitution rate than *A. lanata* according to their relative branch lengths (Fig. 4), paralogous copies within *A. javanica* probably coalesced along the branch leading to *A. javanica* before coalescing with *A. lanata*. The lack of the AMAR2 peak in *A. lanata* as well as chromosome counts ($2n = 32$ for *A. javanica* vs $2n = 16$ for *A. lanata*) further supported the location of AMAR2 along the terminal branch leading to *A. javanica*. Based on the lack of the AMAR1 peak in both *Aerva* species, we inferred that AMAR1 was an allopolyploidy event, with one parental lineage closely related to *Aerva* and the other parental lineage missing, consistent with the scenario in Fig. 1(f).

At least seven polyploidy events were recovered in the CARY clade (Figs 5, S5d, S6d). CARY1 was detected through an elevated percentage of gene duplication in two adjacent nodes (21%/20% on the node that included *Spergularia media*, and 23%/20% in the node excluded *S. media*; Figs 5, S5d). However, *S. media* did not share the CARY1 K_s peak (Fig. S6d). The reciprocal best hits K_s peak between *S. media* and *Silene latifolia* indicated that paralogs derived from CARY1 coalesced within *S. latifolia* at similar K_s values compared to coalescing with *S. media* (Fig. 5), suggesting that phylogenetic uncertainty at least partly contributed to the fact that CARY1 mapped to two adjacent nodes.

Nested in CARY1, five taxa showed K_s peaks (Figs 5, S6d). Among them, within-species K_s peak CARY2 was observed only in *Cerastium fontanum* ($2n = 72$) but missing from its sister *Cerastium arvense* ($2n = 36$). *Honckenya peploides* had a within-species K_s peak *c.* 0.06 (CARY3), its sister *Schiedea membranacea* had a K_s peak at 0.22 (CARY4), whereas their reciprocal best hit K_s peak was at 0.08, suggesting that CARY3 was restricted to the

terminal branch leading to *H. peploides*. The observation that the within-species K_s peak in *S. membranacea* (CARY4) was older than its split with *H. peploides*, but that CARY4 was not shared with *H. peploides*, suggested an allopolyploid origin of *S. membranacea* with one parental lineage closely related to *H. peploides* while the other parental lineage was missing from our taxon sampling. Pairwise comparison among *S. membranacea*, *H. peploides*, and *Scleranthus polycarpus* showed that *S. polycarpus* had a polyploidy event CARY5 ($K_s=0.04$) that was more recent than its split with *Schiedea* or *Honckenya* and is therefore restricted to *S. polycarpus*. CARY6, also nested in CARY1, was mapped to the terminal branch leading to *Colobanthus quitensis*. Although *Colobanthus* was weakly supported to be sister to the clade consisting of *Honckenya*+*Schiedea*+*Scleranthus* (ICA=0.13; bootstrap=100), the peak at $K_s=0.15$ was more recent than the *Honckenya*/*Scleranthus* ($K_s=0.24$) or the *Schiedea*/*Scleranthus* ($K_s=0.27$) split and is therefore inferred to be independent of CARY3, CARY4 or CARY5. In addition to CARY2–6 which were nested in CARY1, one additional polyploidy event, CARY7, independent of CARY1 was mapped to the MRCA of *Drymaria cordata* and *Drymaria subumbellata* by a shared K_s peak. Both species had high chromosome counts relative to their sister lineages.

At least six polyploidy events were inferred in the NCORE clade (Figs 6, S5e, S6e). Both gene duplications (43%/34%) and shared K_s peaks supported a polyploidy event at the base of Polygonaceae (NCORE1). NCORE2–5 were supported by K_s peaks, and NCORE6 was supported by both K_s peaks and chromosome counts. We inferred NCORE5 (base of Droseraceae) and NCORE6 (branch leading to *Nepenthes alata*, Nepenthaceae) as two separate polyploidy events on sister branches given that very low frequencies of gene duplication events were mapped to the MRCA of Droseraceae + Nepenthaceae (0.9%/1.7%), compared with the MRCA of Droseraceae (2.8%/3.0%) and Nepenthaceae (16%/15%).

In addition to the polyploidy events detected from each of the five subclades, three of the four taxa along the grade paraphyletic to PHYT+PORT+AMAR+CARY also each had a peak at $K_s < 1$: *Stegnosperma halimifolium* (Fig. S6a), *Physena madagascariensis* (Fig. S6e), and *Simmondsia chinensis* (Fig. S6e). No polyploidy event has been inferred along the Caryophyllales backbone leading to beet (Chenopodiaceae) from genome analysis (Dohm *et al.*, 2012, 2014), indicating K_s peaks mapped to this grade probably represent lineage-specific polyploidy events. Also, the relatively high chromosome count of *S. chinensis* ($2n=52$) compared with *M. debilis* ($2n=18$), the only taxon in this grade that did not experience a polyploidy event, further supports the lineage-specific nature of the polyploidy events along this grade. In addition, *P. spinescens* (Achatocarpaceae; sister to AMAR) also probably had its lineage-specific polyploidy event, as it had a K_s peak that was not shared with its sister clade (Figs 4, S6c).

As we excluded K_s values < 0.01 when plotting to avoid isoforms in *de novo* assembled transcriptome data that resulted in very high K_s counts, occasionally there were apparent peaks at $K_s < 0.2$. We have plotted all K_s values on a different scale to zoom in on these K_s peaks (shown in Fig. S6 only when K_s peak < 0.2 was confirmed).

Discussion

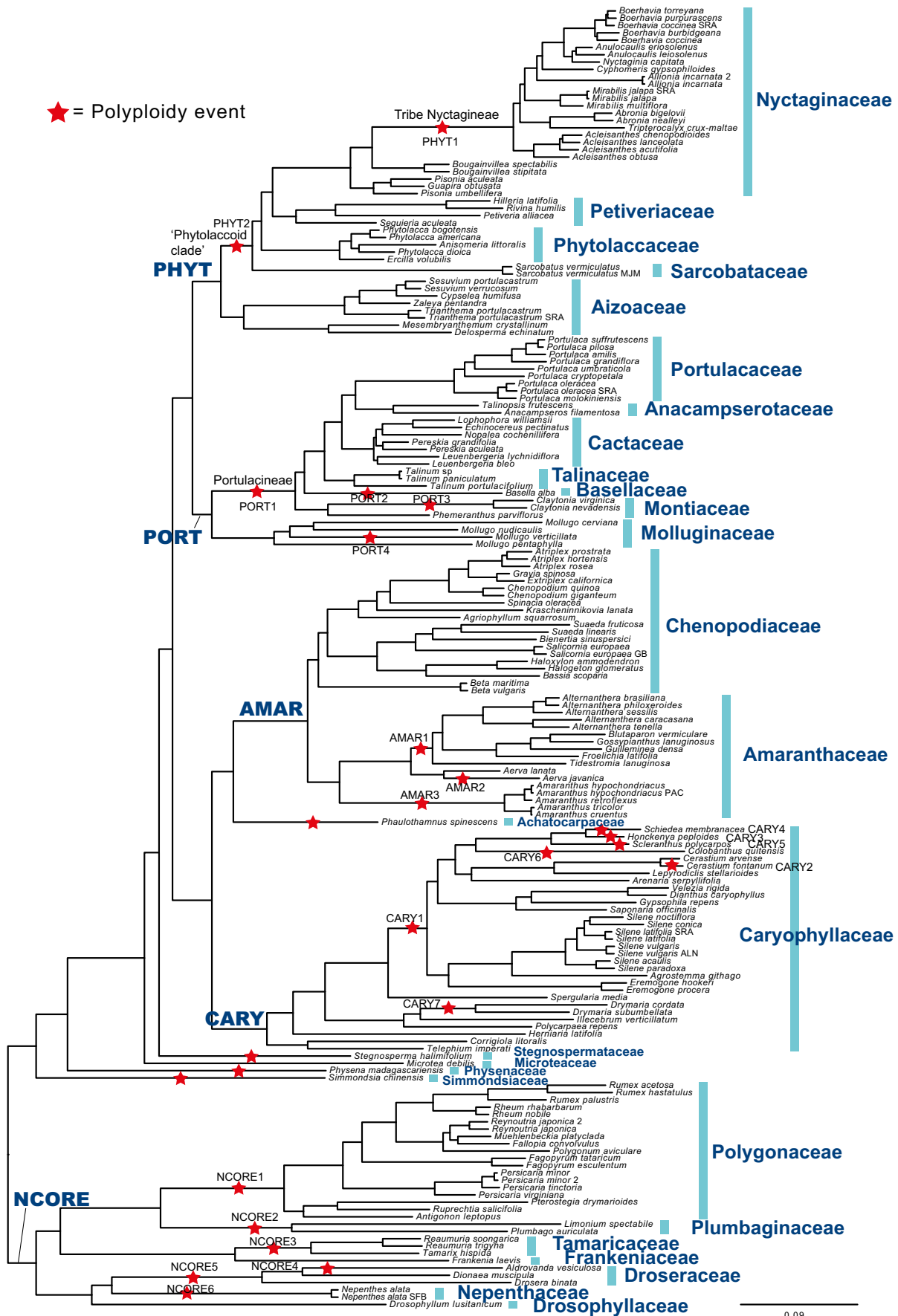
Our analyses add to a growing body of literature that suggests that polyploidy events are much more prevalent than previously thought (Cannon *et al.*, 2015; Edger *et al.*, 2015; Li *et al.*, 2015; Yang *et al.*, 2015; Huang *et al.*, 2016; Xiang *et al.*, 2016; Mandakova *et al.*, 2017). The data set presented here uniquely contributes to this question by greatly improving taxon sampling of transcriptomes in a major plant clade (168 species in Caryophyllales) whose evolutionary history spans a time period that encompasses both deep divergences and more recent events during the Neogene (Smith *et al.*, 2017). Likewise, our improved homology search and filtering approaches aid in identifying the phylogenetic locations of polyploidy events. Moreover, we consider multiple lines of evidence for pinpointing the phylogenetic locations of polyploidy events, including orthogroup tree topology, K_s plots, and chromosome counts. These approaches identified 26 polyploidy events across Caryophyllales, include 10 newly reported and 16 previously identified (Yang *et al.*, 2015; Walker *et al.*, 2017b). Importantly, two of these 26 events are suggested to be allopolyploidy events.

Species trees based on transcriptome data are concordant with previous analyses

The species trees we recovered are highly concordant with previous analyses of family-level relationships (Figs 7, S3, S4; Cuénoud *et al.*, 2002; Brockington *et al.*, 2009; Schäferhoff *et al.*, 2009; Arakaki *et al.*, 2011; Yang *et al.*, 2015). As seen in previous analyses, the placements of Sarcobataceae and Stegnospermataceae remain poorly supported despite using hundreds of loci. We found weak support for two nodes that had previously received high support using a small number of loci. Previous analyses using plastomes recovered Cactaceae as being sister to Portulacaceae with 100% bootstrap support (Arakaki *et al.*, 2011), but we recovered Anacampserotaceae + Portulacaceae as sisters to Cactaceae (modified phylome ICA=0.31 and ASTRAL multi-locus bootstrap=100). Previous studies recovered strong to moderate support for the monophyly of Portulacaceae + Molluginaceae (likelihood bootstrap=100; Arakaki *et al.*, 2011; Bayesian posterior probability=0.94 and parsimony bootstrap < 50 ; Nyffeler & Egli, 2010), but we found low support for the relationship (ICA=0.06 and multi-locus bootstrap=93). This confirms that, while individual loci can be informative, there is a large amount of phylogenetic conflict among gene trees (Smith *et al.*, 2015; Walker *et al.*, 2017a, b). Future studies should dissect these cases of discordance using a gene-by-gene approach (Arcila *et al.*, 2017; Brown & Thomson, 2017; Shen *et al.*, 2017; Walker *et al.*, 2017a,b).

Many polyploidy events are associated with taxonomic units and/or habitat shifts

A notable pattern emerged showing that many polyploidy events occurred on branches leading to major taxa and/or involved clear habitat shifts (Fig. 7). For example, PHYT1 is located on the branch representing a transition from trees and large shrubs in wetter environments within the Neotropics to a radiation of arid-



0.09

Fig. 7 Species tree inferred by RAxML analysis of the supermatrix from modified phylomes. Polyploidy events are labeled according to Figs 2–6. When orthogroup tree topology vs *Ks* plots placed a polyploidy event on different branches as a result of either phylogenetic uncertainty or allopolyploidy, we placed the event at the most recent common ancestor of taxa that share a within-taxon *Ks* peak.

and semiarid-adapted herbs and subshrubs recognized as Tribe Nyctagineae of Nyctaginaceae (Douglas & Manos, 2007; Douglas & Spellenberg, 2010). Similarly, PORT1 at the base of Portulacaceae is associated with the evolution of succulence (Nyffeler *et al.*, 2008; Edwards & Ogburn, 2012; Ogburn & Edwards, 2013). Additional polyploidy events are inferred along the branch leading to Polygonaceae (Schuster *et al.*, 2013) and the branch leading to Droseraceae, a carnivorous family (Rivadavia *et al.*, 2003).

Similar cases of polyploidy events at or near the base of major clade origins include seed plants (Jiao *et al.*, 2011), angiosperms (Jiao *et al.*, 2011), monocots (Jiao *et al.*, 2014), early eudicots (Jiao *et al.*, 2012), and Asteraceae (Barker *et al.*, 2016; Huang *et al.*, 2016). This hints at a potential relationship between genome duplication and evolutionary innovations (Soltis & Soltis, 2016). However, branches leading to major recognizable taxonomic units tend to be relatively long and thus had more time to accumulate changes. Hence, while correlations between polyploidy and evolutionary novelty are intriguing, we must be cautious in assuming that polyploidy is the cause of such innovation (Smith *et al.*, 2017).

Inferring allopolyploidy from transcriptome data

Methods of polyploidy detection developed for genomes or low-copy nuclear loci are inadequate for data sets with isoforms and missing duplicated copies (Lott *et al.*, 2009; Jones *et al.*, 2013; Marcussen *et al.*, 2015; Thomas *et al.*, 2017). While we applied a stringent filter to minimize missing taxa in orthogroups (no more than two missing), differential gene loss or silencing following polyploidy events remained a problem. Given that our goal of accurately pinpointing the phylogenetic location of polyploidy events and searching for allopolyploidy is highly dependent on taxon sampling, we only explored cases when *Ks* vs orthogroup tree-based mapping disagreed with each other.

Two allopolyploidy events were inferred in this study. We inferred that the AMAR1 (Fig. 4) paleopolyploidy event followed by a nested, more recent polyploidy event (AMAR2) together were responsible for the observed *Ks* peaks, instead of a single, deeper event as previously reconstructed (Yang *et al.*, 2015). *Schiedea* also has a complex history (Fig. 5). While the polyploid origin of *Schiedea* was previously identified (Kapralov *et al.*, 2009; Yang *et al.*, 2015), by including its close relatives, *Honckenya* and *Scleranthus*, we show that all three each had their own lineage-specific polyploidy event. *Schiedea* probably had a parental lineage other than the lineage leading to *Honckenya* (see schematic phylogram in Fig. 5). The putative allopolyploid origin of *Schiedea* adds to a growing list of Hawaiian endemic radiations with similar putative allopolyploid origins (Barrier *et al.*, 1999; Yang & Berry, 2011; Marcussen *et al.*, 2012; Roy *et al.*, 2015), and demonstrates the importance of increased transcriptomic

taxon sampling. Moving forward, genome and transcriptome data will be essential for investigating selection, homeolog expression, gene silencing and loss in contributing to these divergence events following allopolyploidy.

Improved homology inference methods improve polyploidy mapping

In the original phylome approach (Huerta-Cepas *et al.*, 2011), each sequence from a seed species was used to search against a database of sequenced genomes. The resulting homologous sequences were filtered and aligned, and phylogenetic trees were constructed. In this study, we made three modifications to the original phylome approach to enhance the ability to accommodate transcriptome data (Fig. S1). First, we merged putative homolog groups that represent gene duplication within Caryophyllales, ensuring that our final orthogroups are nonoverlapping. Second, given the presence of multiple transcript isoforms and the inherent incompleteness of transcriptome data sets, we used transcriptome sequences as queries to search against the beet proteome for sorting transcriptome-derived sequences into backbone orthogroups constructed with genomes only. Lastly, to remove spurious tips and isoforms, we added tip-trimming and long-branch cutting procedures. By taking this two-step, baited approach we were able to process a large number of taxa without going through the time-consuming all-by-all homology search required by ORTHOMCL (Li *et al.*, 2003) and ORTHOFINDER (Emms & Kelly, 2015). A second advantage of this modified phylome approach is that it avoids a graph-based clustering step, and hence is not biased by sequence length or phylogenetic relatedness among taxa (Emms & Kelly, 2015). The modified phylome approach is more effective than other baited approaches such as HAMSTR (Ebersberger *et al.*, 2009) in that it explicitly takes gene tree topology into account in distinguish orthologs from paralogs. However, because both the original phylome and the modified methodology start with a seed genome, the resulting orthogroup set is dependent on the quality and gene content of the seed genome.

In addition to the modified phylome approach, to overcome phylogenetic uncertainty associated with deep timescales we employed a second homology inference strategy that inferred sub-clade species trees using all-by-all homology search and Markov clustering (van Dongen, 2000) of filtered hits, followed by alignment and tree trimming (Yang & Smith, 2014). We use the original Markov clustering software MCL (van Dongen, 2000) instead of software packages such as ORTHOMCL (Li *et al.*, 2003) and ORTHOFINDER (Emms & Kelly, 2015) that aim to directly obtain orthogroups using filtered and normalized BLAST hits. The normalization procedures used by these software packages were based on genome-derived data and were yet to be evaluated using transcriptome data sets that had isoforms, missing data, and assembly

errors. By using the original MCL with relatively low inflation value (i.e. coarse clusters) and taking advantage of outgroup information to root and extract orthogroups, we were able to minimize the loss of gene duplication information in our data set.

Using our techniques, we found that, for each inferred polyploidy event, approximately one-third of genes show clear evidence of duplication (i.e. they retain at least two overlapping taxa between paralogs), similar to the numbers identified in both transcriptomes and genomes (Yang *et al.*, 2015). For example, the PHYT2, AMAR1 and AMAR3 events follow this 'one-third rule' (Figs 2–6). When there is phylogenetic uncertainty, gene duplication events may be mapped to two adjacent nodes, each with lower percentages, such as observed for PORT1 and CARY1. Percentages of gene duplication can be inflated during rapid diversifications, where short internodes and phylogenetic uncertainty make it difficult to distinguish paralogous copies from isoforms using tree topology. Such inflated percentages of gene duplication can be seen at the base of Cactaceae and *Silene* (without polyploidy), and at PHYT1 and NCORE1 (following a polyploidy event).

Moving forward, additional taxon sampling of genomes and transcriptomes will be essential to identify additional polyploidy events and pinpoint their phylogenetic locations. Understanding the legacy of ancient polyploidy events in plant macroevolution will require many other forms of improved data as well, including functional studies of traits, molecular pathways, and genes themselves. Only then will we have a more comprehensive functional framework for understanding differential gene retention and diploidization following polyploidy events, and how polyploidy is linked to character evolution and niche shifts.

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Author contributions

S.A.S., S.F.B., M.J.M., and Y.Y. designed research; M.J.M., Y.Y., J.M., J.O., S.F.B., and J.F.W. collected samples and

carried out laboratory work; Y.Y. analyzed data and led the writing. All authors edited the manuscript and approved the final version.

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Supporting Information

Additional Supporting Information may be found online in the Supporting Information tab for this article:

Fig. S1 Workflow for the modified phylome approach.

Fig. S2 Maximum quartet support species tree (MQSST) from ASTRAL analysis of individual subclade orthologous gene trees.

Fig. S3 Phylogram from RAxML analysis of the concatenated 624-gene supermatrix from modified phylomes, with ICA scores on branches.

Fig. S4 Maximum quartet support species tree (MQSST) from ASTRAL analysis of 624 orthologous gene trees from modified phylomes.

Fig. S5 Proportion of orthogroups showing duplications filtered by local tree topology.

Fig. S6 Distribution of within-taxon synonymous distances (K_s) among paralogous gene pairs.

Table S1 Sources of data and settings for assembly and translation

Table S2 Information for the 43 newly sequenced transcriptomes

Methods S1 All-by-all homology search for subclade data sets.

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