## Electron fluxes at geostationary orbit from GOES MAGED data

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  - Key Points:

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- An empirical, predictive model function is presented for electron fluxes for energies
- of 40, 75, and 150 keV at geostationary orbit.
- Higher solar wind speed in general and negative IMF  $B_Z$  at midnight to noon result
  - in electron flux enhancements in energies 30-200 keV at geostationary orbit.

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#### 11 Abstract

Electron behavior in energies below 200 keV at geostationary orbit has significance for 12 satellite operations due to charging effects on spacecraft. Five years of keV energy elec-13 tron measurements by the geostationary GOES-13 satellite's MAGED instrument have 14 been analyzed. A method for determining flight-direction integrated fluxes is presented. 15 The electron fluxes at the geostationary orbit are shown to have significant dependence on 16 solar wind speed and IMF  $B_Z$ : increased solar wind speed correlates with higher electron 17 fluxes with all magnetic local times while negative IMF  $B_Z$  increases electron fluxes in 18 the 0 to 12 MLT sector. A predictive empirical model for electron fluxes in the geosta-19 tionary orbit for energies 40, 75, and 150 keV was constructed and is presented here. The 20 empirical model is dependent on three parameters: magnetic local time, solar wind speed, 21 and IMF  $B_Z$ . 22

#### 23 **1 Introduction**

The populations of low energy electrons (below 200–300 keV) and their variations 24 in the Earth's inner magnetosphere are critically important for the magnetospheric dy-25 namics. One of their obvious roles is being a seed population, further accelerated to MeV 26 energies by various processes in the Earth's radiation belts [Horne et al., 2005; Chen et 27 al., 2007; Turner and Li, 2008; Li et al., 2014; Jaynes et al., 2015; Boyd et al., 2016]. An-28 other important effect is that the low-energy electron flux is highly responsible for sur-29 face charging effects on satellites [Garrett, 1981; Lanzerotti et al., 1998; Davis et al., 2008; 30 Thomsen et al., 2013]. Recently Ma et al. [2016] showed with their simulation study that 31 the scattering of low-energy electrons by chorus waves together with intense electric field 32 spikes lead to higher fluxes in energies 10-100 keV in the outer radiation belt indicating 33 an important source mechanism for keV electrons. 34

There have been some long-time and even continuous measurements of low energy electrons and ions by satellites in geosynchronous or geostationary orbits. Numerous studies have been published on the analysis and modeling based on these measurements as described below.

Early measurements made by geostationary satellites ATS-1 and 1976-059A (DPS F6) revealed general features through statistical studies (*Lezniak and Winckler* [1970]; *Baker et al.* [1978]). In addition, the variations of electron anisotropies in keV energies

were associated with geomagnetic activity and the flattening of the electron pitch-angle
 distributions during substorm onsets in the night sector.

Korth et al. [1999] presented statistics for one year of proton and electron fluxes at 44 geosynchronous orbit measured by three LANL satellites, using the data from the Magne-45 tospheric Plasma Analyzer (MPA) instrument which covered the energy range from 1 eV/q 46 to 40 keV/q [Bame et al., 1993; McComas et al., 1993]. Organized as a function of the lo-47 cal time and the Kp index, the fluxes show distinct boundaries which were interpreted to 48 be caused by global magnetospheric particle drifts in the presence of loss processes due 49 to charge-exchange of the ions and auroral precipitation of the electrons. The following 50 study by Korth and Thomsen [2001] further confirmed that obtained statistical boundaries 51 approximately match the Alfvén boundary crossings when calculated using simple repre-52 sentations of convective electric field [Volland, 1973; Stern, 1975] and dipole magnetic 53 field. 54

*Shi et al.* [2009] statistically examined the geosynchronous energetic flux response as measured by LANL SOPA to solar wind dynamic pressure enhancements. It was obtained that for low-energy electrons, the primary response to magnetospheric compression is an increase in flux at geosynchronous orbit. *Li et al.* [2005] found using daily solar wind and IMF data that solar wind speed enhancements result in higher electron fluxes at geosynchronous orbit; the optimal time delay was found to increase rapidly with energy from a couple of hours or less for 50–150 keV to 15–25 hours for energies 250 keV and above.

Denton et al. [2005] and Thomsen et al. [2007] presented the LANL geosynchronous 62 data set extended to more than a full solar cycle. Denton et al. [2005] identified depen-63 dencies in the average plasma properties at the geosynchronous orbit by studying MPA 64 instrument data with respect to the Dst index, local time, storm phase, and solar cycle. 65 For electrons their energy range was from 30 eV to about 45 keV. For low Kp indexes 66 the electron density is typically highest in the midnight-dawn sector while for Kp>7 the 67 electron density becomes high throughout the geosynchronous orbit. For electron temper-68 atures, however, the largest average values are in the midnight-dawn sector, and were not 69 found to expand any further for higher Kp values beyond the extent found at Kp=4. Thom-70 sen et al. [2007] gave percentile values for particle fluxes in energies from 1 eV to 40 keV; 71 particularly, the lowest measured energy electron and proton fluxes were even orders of 72 magnitude larger than by the ATS-6 model used in satellite design. 73

Sicard-Piet et al. [2008] created a model for yearly electron fluxes between 1 keV 74 and 5.2 MeV at the geostationary orbit by combining multiple satellite data sets; its usabil-75 ity is especially towards estimating charged particle doses for spacecraft and solar panel degradation. Another type of empirical model was created by Denton et al. [2015] from 77 LANL geosynchronous satellite data using MPA instruments for energies from about 1 eV 78 to 40 keV. The model provides flux probabilities as percentile values for given Kp index, 79 magnetic local time (MLT), and solar activity index  $F_{10,7}$  values. Kellerman and Shprits 80 [2012] determined two-dimensional probability distributions functions dependent on solar 81 wind speed and density using electron data from the LANL geosynchronous satellites in 82 energies between 20 keV and 3.5 MeV. Their results showed that electron fluxes have a 83 positive correlation with the solar wind speed while mid-to-high energy fluxes show anti-84 correlation with the solar wind density. 85

Hartley et al. [2014] used MAGED 30–600 keV electron data of year 2011 from the
 GOES-13 geostationary satellite to determine the effect of solar wind speed and density
 on the electron density, temperature and energy density at the geostationary orbit. They
 found that simultaneously elevated electron number density and temperature are usually
 preceded by fast solar wind speed about 24 hours previous. They also presented predictive
 formulas for electron density, temperature and energy density at geostationary orbit; especially, the electron density lower limit shows dependence on the solar wind speed from 12
 to 48 hours in advance.

There have been also studies focusing on the effects of high-speed solar wind events. 94 In their study using over a hundred superposed high-speed-stream epochs, Denton and 95 Borovsky [2008] analyzed plasma behavior on the dayside geosynchronous orbit. It was 96 found that magnetospheric convection is increased creating very dense plasma at geosyn-97 chronous orbit about 20 hours after the convection onset. Turner et al. [2016] have used new observations of a substorm activity event by the Magnetospheric Multiscale mission 99 and they found that there was an upper energy cutoff for electron acceleration by betatron 100 and Fermi mechanisms that increased from 130 to over 500 keV over five or more injec-101 tions. 102

The studies cited above show the dynamic nature of the keV energy range electrons at geosynchronous orbit and how the understanding of their behavior and also dependence on various magnetospheric processes has increased over the past decade or so.

While many studies have shown correlations between solar wind conditions and energetic 106 plasma features within Earth's magnetosphere, it should be emphasized that it is through a 107 chain of processes that the solar wind affects, for example, electron flux enhancements at 108 geosynchronous orbit. Namely, substorm injections and enhancements in magnetospheric 109 convection and convection electric field are among the key mechanisms that directly af-110 fect energetic plasma in the inner magnetosphere and at geosynchronous orbit. It is in this 111 context that our current study is taking a statistical look on the correlations between so-112 lar wind and interplanetary magnetic field and electrons at geosynchronous orbit in the 113 10's to 100's of keV energy range. Our purpose is to create a predictive empirical model 114 for plasma conditions of low-energy electrons at geosynchronous orbit. We are building 115 a model dependent only on parameters observed upstream of Earth. By using only solar 116 wind and interplanetary magnetic field (IMF) parameters for the model and no geomag-117 netic or magnetospheric indices, we create a predictive model that can be run in real time. 118

The rest of the paper is organized as follows. In Section 2 the GOES-13 MAGED instrument and data used in the study are described along with the method of determining flight-direction integrated fluxes. Then the electron fluxes for a five-year period are organized in Section 3 by solar wind and IMF parameters and analysis results are described. In Section 4 a new empirical model for electron fluxes in the geostationary orbit is presented for energies of 40, 75 and 150 keV along with the steps of its construction. The Discussion and Conclusions section concludes the paper.

#### <sup>126</sup> 2 Flight-direction integrated GOES MAGED data

The MAGED (MAGnetospheric Electron Detector) instrument onboard the GOES-127 13 satellite is a set of nine collimated solid state detectors [GOES N Series Data Book, 128 2010; Hanser, 2011; Rowland and Weigel, 2012; Rodriguez, 2014]. The detectors operate 129 in five energy channels of 30-50 keV, 50-100 keV, 100-200 keV, 200-350 keV, and 350-130 600 keV for electrons. The nine detectors, or telescopes, each with a full detection cone 131 angle of 30 degrees, form two crossing fans with the central telescope 1 pointing directly 132 away from the Earth. Figure 1 shows their fields of views (numbered green ellipses) as 133 seen from the Earth and situated on a unit sphere projected onto a plane perpendicular to 134 the Earthward direction. The orientation of the spacecraft is nominal in Figure 1. 135



Figure 1. The fields of views of the MAGED telescopes (numbered green ellipses) as seen from the Earth and situated on a unit sphere projected onto a plane perpendicular to the Earthward direction. Telescope 1 points in anti-Earth direction as seen from the Earth. Each telescope has a full detection cone angle of 30 degrees.

The MAGED archival flux data is provided as differential electron fluxes determined for the midpoint of the five energy ranges (i.e., 40, 75, 150, 275, and 475 keV) and given separately for all nine telescopes. For the present study, we use the first three channels. The GOES-13 satellite is located on geostationary orbit at longitude of 75 degrees west. GOES-13 has been kept in the nominal orientation since the start of its operation in May 2010.

In order to have one representative flux value for each energy instead of nine separate values from the nine telescopes, we determine flight-direction integrated differential electron fluxes for each of the energies 40 keV, 75 keV, and 150 keV. The flight-direction integrated flux is calculated in the case of the MAGED data similarly how the omnidirectional flux would be but it has an additional factor  $\frac{1}{4\pi}$  and consequently also steradian (sr) in the units. For this, we use the directional differential fluxes of the nine telescopes and their pitch angles based on the magnetic field measurements by Magnetometer 1 onboard

- <sup>153</sup> GOES-13. The directional differential electron fluxes and pitch angles for each telescope
- are provided in the NOAA archival data (available at http://satdat.ngdc.noaa.gov/sem/goes/data/new\_avg/).

The nine MAGED telescopes do not provide a full coverage of the full solid angle. 155 Indeed, they provide a partial coverage on the hemisphere in the anti-Earthward direc-156 tion. Furthermore, if the local magnetic field has a significant component along the Earth-157 satellite line, as when the near-field stretches prior to a particle injection, all the pitch an-158 gles from 0 to 180 degrees cannot be covered by the telescopes. With this partial solid 159 angle coverage, an integrated flux can still be obtained if we assume that the directional 160 electron fluxes are (1) gyrotropic (i.e., fluxes are uniform in all directions with the same 161 pitch angle) and (2) reflection symmetric with respect to the plane perpendicular to the 162 magnetic field (i.e., fluxes for pitch angles  $\alpha$  from 0 to  $\pi/2$  are the same as from  $\pi/2$  to 163  $\pi$ , or  $J(\pi - \alpha) = J(\alpha)$ ). Therefore, in order to address the sparseness of samples in pitch-164 angle space, we replace those pitch angles  $\alpha > \frac{\pi}{2}$  with  $\pi - \alpha$ , an operation we refer to as 165 'folding', resulting in improved sampling between 0 and  $\frac{\pi}{2}$ . These assumptions represent 166 an ideal case which results from the Liouville's theorem when neither particle accelera-167 tion nor losses are considered. Asnes et al. [2005] studied peaks in the electron pitch angle 168 distributions at the geosynchronous orbit with LANL satellites in energies up to 47 keV 169 and found the flux peaks consistently very symmetric. Thus, the assumptions seem to be 170 justified for our purposes. 171

The nine MAGED telescopes actually provide a good pitch angle coverage of elec-172 tron fluxes for any direction of the magnetic field when these two assumptions are ac-173 cepted, and the calculation of flight-direction integrated flux is simplified. It follows that 174 a flight-direction integrated differential flux J for each energy channel can be determined 175 by using the directional differential electron fluxes of individual telescopes to estimate 176 the differential fluxes in all directions and then integrating these fluxes over the full solid 177 angle of  $4\pi$ . The flight direction integrated flux in units of  $1/(\text{cm}^2 \text{ s sr keV})$  is obtained 178 using the following: 179 5

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$$J = \frac{1}{4\pi} \int_{4\pi} J(\Omega) d\Omega$$
  
=  $\frac{1}{4\pi} \cdot 2\pi \cdot 2 \int_0^{\pi/2} J_{\text{folded}}(\alpha) \sin(\alpha) d\alpha$   
=  $\sum_{i=1}^n J_i \int_{\alpha_{i0}}^{\alpha_{i1}} \sin(\alpha) d\alpha$   
=  $\sum_{i=1}^n J_i [-\cos(\alpha_{i0}) - (-\cos(\alpha_{i1}))]$  (1)

$$J_i = \frac{\sin(\alpha_{i0}) \cdot J_{i0} + \sin(\alpha_{i1}) \cdot J_{i1}}{\sin(\alpha_{i0}) + \sin(\alpha_{i1})}$$
(2)

<sup>186</sup> Here  $J(\Omega)$  is the directional flux as function of the solid angle  $\Omega$ .  $J_{i0}$  is the direc-<sup>187</sup> tional differential flux by a detector at the beginning of a folded pitch angle interval *i* and <sup>188</sup>  $J_{i1}$  is the directional differential flux at the other end of the interval; the corresponding <sup>189</sup> pitch angles are  $\alpha_{i0}$  and  $\alpha_{i1}$ , respectively.

First, we move from integrating over solid angle  $\Omega$  to integrating over folded pitch 190 angles  $\alpha$ . Using assumption (1), we take the integrated flux over all directions with a 191 given pitch angle  $\alpha$  to be the product of the flux for that pitch angle and the circumfer-192 ence of the circle that pitch angle forms in directional space, namely  $2\pi \cdot \sin(\alpha)$ . Further-193 more, assumption (2) gives that the integration over pitch angles from 0 to  $\pi$  is twice the 194 integration over folded pitch angles from 0 to  $\pi/2$ , and hence we have a factor  $4\pi$ . Then 195 to discretize the integration over fluxes of the folded pitch angles  $J_{\alpha}$  to the actual pitch 196 angles of the telescopes, we use fluxes  $J_i$  for each pitch angle interval *i*. These interval 197 fluxes  $J_i$  are given by Eq. 2 as weighted telescope fluxes with the circumferences (i.e., 198  $2\pi \cdot \sin(\alpha)$ ; the  $2\pi$  terms cancel in the ratio) at the end points of the interval *i*. These 199 circumferences are directly proportional to the solid angle contributions of the telescope 200 fluxes at the end points. 201

If there are any pairs of telescopes that have their folded pitch angles closer than 5 degrees from each other, we replace the fluxes of these two telescopes with the mean flux of the two. This allows the integration over longer intervals to be weighted by the fluxes measured by several telescopes. The differential fluxes for the end points of 0 and  $\pi/2$  are set to be the same as differential fluxes of the telescopes with lowest and highest folded pitch angles, respectively.

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#### **3** Electron fluxes at geostationary orbit in 30–200 keV energy range

The GOES-13 MAGED data for a five year period between 1 January 2011 and 31 209 December 2015 were analyzed in three lower energy channels using 5-minute averages 210 of electron flight-direction integrated fluxes determined with the method described in the 211 previous section. The three lower energy channels centered on 40, 75, and 150 keV pro-212 vided continuous coverage in the energy range from 30 to 200 keV. The MAGED data as 213 5-minute average fluxes are at least two orders of magnitude above the single count flux 214 levels throughout the five year period. In the following we describe our main results with 215 this five-year data set. 216

We have organized the MAGED fluxes by the coincident solar wind and interplanetary magnetic field (IMF) parameters, including solar wind pressure, density, speed, and temperature and IMF components as provided by the OMNIWeb service of the Space Physics Data Facility at the Goddard Space Flight Center (http://omniweb.gsfc.nasa.gov/, provided as propagated values at the nose of the magnetopause).

Figure 2 shows median electron fluxes as functions of MLT and the IMF compo-222 nents  $B_X$  (panels a-c),  $B_Y$  (d-f), and  $B_Z$  (g-i). The differential electron fluxes for energy 223 40 keV are about an order of magnitude higher than those of energy 150 keV with fluxes 224 of energy 75 keV in between. Overall the data show quite similar features for all three en-225 ergies while the average flux levels are different. The  $B_X$  values are mostly between -20226 and 22 nT whereas  $B_Y$  and  $B_Z$  reach absolute values up to 35 nT (however, the plots are 227 limited to a range between -30 and 30 nT to show the main features). The fluxes in each 228 bin show no sharp changes near the 0 nT lines in all the panels where the number of data-229 points per bin is the highest. The variability of the median bin values clearly increase with 230 the distance from the 0 nT lines as the number of datapoints per bin decreases. 231

In all the panels the average fluxes are higher in the post-midnight and dawn MLT 232 sectors. The highest flux values are nearly symmetrical with respect the  $B_X = 0$  and 233  $B_Y = 0$  lines above 5 nT and below -5 nT in these sectors. With  $B_Z$  the high fluxes are 234 especially concentrated in a large area in the night-dawn sector with  $B_Z$  values less than 235 -5 nT. In  $B_X$  panels for energies 40 and 75 keV (panels a and b) an asymmetric flux in-236 tensification is seen at the 0 to 4 MLT sector and  $B_X < 10$  nT, though the feature may not 237 be statistically significant. In the afternoon and dusk MLT sectors there are no significant 238 changes in the average fluxes as functions of IMF component values. 239





values are medians of the datapoints.

The solar wind parameters speed  $V_{SW}$ , density  $n_{SW}$ , pressure  $p_{SW}$ , and temperature 240  $T_{SW}$  are used to organize the MAGED fluxes in Figure 3. The organization of MAGED 241 fluxes by the solar wind speed (panels a-c) shows nearly monotonic increases in the elec-242 tron fluxes with increasing speed from about 250 to 800 km/s for each of the three ener-243 gies and each MLT hour; the highest median fluxes are found in the range of 2 to 10 MLT 244 with solar wind speed above 700 km/s. Additionally, a sinusoidal shape in the logarithmic 245 fluxes can be seen with 24 MLT hours corresponding to  $2\pi$  of a full sine period. There is 246 a notable shift in the phase of the sinusoidal behavior with the electron energy, with the 247 flux maximum of  $V_{SW}$  = 400 km/s at around 5, 7, and 9 MLT for energies 40, 75, and 248 150 keV, respectively. 249

There is considerable similarity between solar wind speed (panels a-c) and the solar 250 wind temperature (j-l): both have clear trends towards higher fluxes with higher parameter 251 values nearly in all MLTs. Solar wind density (panels d-f) and pressure (g-i) show that 252 higher density or pressure have a slight tendency to correspond to higher median fluxes in 253 the dawn sector. However, this is not the case in other MLT sectors. For example, the 12 254 to 17 MLT sector shows almost no discernible features in the electron fluxes as organized 255 with the solar wind density or pressure in all three energies (except at the highest density 256 or pressure values where the number of datapoints per bin is very low). While there are 257 distinct low flux features around density of 30 cm<sup>-3</sup> and in pressure 10–20 nPa in the pre-258 midnight sector, they are not statistically very significant due to the relatively low number 259 of datapoints per bin at those parameter values. 260

# 4 Empirical model for electron fluxes at geostationary orbit for energies 40, 75, and 150 keV

Based on five years of 2011–2015 of GOES-13 MAGED data, we have developed an empirical model for electron fluxes at the geostationary orbit for energies 40 keV, 75 keV, and 150 keV. The main aspects of the construction of the model are explained in the following.

The electron fluxes used were 5-minute averaged flight-direction integrated MAGED data from the GOES-13 satellite. The coincident solar wind and IMF parameters (provided by the OMNIWeb service of the Space Physics Data Facility) were studied to determine their correlation with the electron fluxes measured by GOES-13. The requirement of coincident solar wind and IMF data reduced the number of MAGED 5-minute data points





panels (g-i), respectively. Bin values are medians of the datapoints.

that could be used in years 2011–2015 from 520 000 to 464 000. This was due to missing ACE solar wind and/or IMF data. There was a small, statistically insignificant number of datapoints (123) with  $V_{SW}$  higher than 800 km/s mostly in the dawn sector that were excluded from the data set. A continuous solar wind speed coverage in MLT hours was found to extend from the lowest data set values of about 250 to about 800 km/s with  $V_{SW}$ bin width of about 30 km/s (See Figure 3 panels a–c).

Plots with the MAGED electron fluxes organized with solar wind and IMF parame-278 ters and MLT (e.g., Figures 2 and 3) were used to visually examine potential ways to con-279 struct the model function (i.e. looking for features in the plots that could be modeled with 280 simple functional forms). In addition, linear correlation coefficients (CC) were calculated 281 between solar wind speed, pressure, density and temperature as well as with IMF com-282 ponents for the MAGED fluxes for energies 40, 75, and 150 keV. The highest correlation 283 coefficients by far are with the solar wind speed: 0.3059, 0.3492, and 0.4191 for energies 284 40, 75, and 150 keV, respectively. The MAGED fluxes showed sinusoidal-like behavior 285 with MLT when organized by  $V_{SW}$  as described in the previous section. Other parame-286 ters show significantly lower correlations with MAGED data. The parameters that have 287 absolute CC larger than 0.1 with the MAGED data are IMF |B| in energies 40 and 75 keV 288 (0.136 and 0.11, respectively), IMF  $B_Z$  in energies 40 and 75 keV (-0.158, -0.115),  $n_{SW}$ 289 in energy 150 keV (-0.163),  $p_{SW}$  in energies 40 and 75 keV (0.118, 0.104), and  $T_{SW}$  in all 290 energies (0.188, 0.203, 0.197). 291

While solar wind speed seemed a promising base for the empirical model, more pa-292 rameters were needed to create a viable model. The solar wind temperature has the sec-293 ond highest absolute CC with MAGED data; however, it has a strong correlation with 294 the solar wind speed (CC=0.628) and that meant that it was not likely to be a suitable 295 parameter to use in addition to the solar wind speed. Three test functions were created 296 of the form  $a \cdot 10^{b \cdot V_{SW} \cdot \sin(\frac{\pi}{12} \cdot MLT + c) + d}$  for three lower MAGED energies. The test func-297 tion residuals of the MAGED data were used to search for additional model parameters. 298 Other solar wind and IMF parameters were studied for viability by calculating their CC 299 and plotting the MAGED data or the test function residuals organized by that parameter. 300 Negative IMF  $B_Z$  component values in the post-midnight and dawn sectors correlated with 301 increased electron fluxes. This is seen as a wide peak centered around -10 nT in Figure 2. 302 Both solar wind density and pressure plots showed some enhancements of electron fluxes 303 (see Figure 3), but the residuals from the  $V_{SW}$  test functions showed only weak enhance-304

ments, if any, as the density and pressure did not provide meaningful additions to the flux patterns in the test functions based on organization by the solar wind speed. Tests conducted by building model functions based on solar wind density or pressure combined with IMF  $B_Z$  component peak failed as the resulting CC between MAGED data and the values from such test functions were significantly lower than with the solar wind speed test functions.

The best combination for model functions as per CC was found by combining solar wind speed and IMF  $B_Z$  features. The empirical model functions were built based on the sinusoidal shape in the electron flux responses to the solar wind speed and added with a flux peak near dawn sector MLT for negative  $B_Z$ . The fitting routines used were iterative least squares algorithms.

The final functional form for the empirical model was found as presented below. The empirical model function provides flight-direction integrated differential electron fluxes  $f_{\rm EMP}$  (in units of 1/(cm<sup>2</sup> s sr keV)) at geostationary orbit as

$$f_{\rm EMP} = a1 \cdot 10^{V_{SW}} \cdot (a2 \cdot \text{sMLT} + a3 \cdot \text{cMLT} + a4) + b1 \cdot \exp\left[-\frac{12 - \left||\text{MLT} - b2| - 12\right|}{b3} - \left(\frac{B_Z + 11}{8}\right)^3\right] + c1,$$
(3)

where

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$$sMLT = \sin\left(\frac{\pi}{12} \cdot MLT\right),\tag{4}$$

$$cMLT = \cos\left(\frac{\pi}{12} \cdot MLT\right).$$
 (5)

The model inputs are the MLT (corresponds to a set time and location on a geostationary orbit), the IMF  $B_Z$  and the solar wind speed  $V_{SW}$ . MLT is given in hours;  $B_Z$  is in units of nT, and  $V_{SW}$  in km/s. Term 12 - ||MLT - b2| - 12| is the shortest time difference between MLT and parameter b2 (0 and 24 hours in MLT are the same).

<sup>330</sup> A further inspection revealed that a time delay of 1.5 hours for the solar wind speed <sup>331</sup> and  $B_Z$  significantly improved the empirical model. Time delays between 0 and 3 hours <sup>332</sup> were fitted and tested separately for input parameters  $B_Z$  and  $V_{SW}$ ; the highest CC with <sup>333</sup> MAGED data were clearly with a time delay of 1.5 hour for  $B_Z$ . The time delays for  $V_{SW}$ <sup>334</sup> with the highest correlations were found between 1 and 2 hours, each energy having dif-<sup>335</sup> ferent trend as a function of time delay. With the 1.5-hour delay for both of these param-<sup>336</sup> eters, CC between the electron fluxes of the empirical model and MAGED data are 0.567,

0.504, 0.486 for the MAGED energies of 40, 75, and 150 keV, respectively, for the time 337 period 2011-2015. The prediction efficiencies are 0.321, 0.206, and 0.229 for the energies 338 40, 75, and 150 keV, respectively, showing that the model's performance is superior to av-339 erages of the MAGED data. Without any time delay for the solar wind speed and IMF the 340 CC would be lower by 0.076 for the 40 keV energy, by 0.056 for 75 keV, and by 0.018 for 341 150 keV. Therefore the IMF and solar wind speed values used are delayed by 1.5 hours 342 in the final model; i.e.  $V_{SW} = V_{SW}(t + 1.5h)$ ,  $B_Z = B_Z(t + 1.5h)$ , MLT = MLT(t), and 343  $f_{\text{EMP}} = f_{\text{EMP}}(t)$ , where t is time. 344

<sup>345</sup> A realization that helped in reducing the number of energy dependent parameters <sup>346</sup> to eight in the model (see Table 1) was that three originally energy-channel-dependent <sup>347</sup> parameters could be replaced with constants in the exponent function of the  $B_Z$  term re-<sup>348</sup> sulting in term  $((B_Z + 11)/8)^3$ ; these values (11, 8, and 3) determine the location (as in <sup>349</sup> -11 nT), width scale (8 nT), and shape (with the exponent of 3) of the flux peak for nega-<sup>350</sup> tive  $B_Z$  values. The final model coefficients for three energies are provided in Table 1.

Table 1. Coefficients of the empirical model for electron fluxes at the geostationary orbit.

Parameter	40 keV	75 keV	150 keV
a1	8 500	76 000	15 000
a2	$3.3 \times 10^{-4}$	$4.5\times10^{-5}$	$2.6  imes 10^{-5}$
a3	$1.7 \times 10^{-4}$	0	$-5 \times 10^{-5}$
a4	$1.8 \times 10^{-3}$	$5 \times 10^{-4}$	$5 \times 10^{-4}$
b1	299 000	97 700	11 100
b2	6.4	7.8	8.4
b3	4.9	4.6	2.9
c1	-19300	-98 600	-19 000

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Table 2 presents CC for each 6-hour MLT sector in comparison to the overall CC. For the 0 to 6 MLT sector, CC between MAGED electron fluxes and the model results are significantly higher than the overall values. The evening to midnight sector (18–24) also has somewhat higher CC, while the lowest CC are in the pre-noon sector 6–12. That the nightside MLT sectors and especially the post-midnight sector have higher CC is ex-

<sup>&</sup>lt;sup>361</sup> energies 40, 75, and 150 keV.

	MLT sector	40 keV	75 keV	150 keV
	0–6	0.603	0.556	0.527
2	6–12	0.520	0.430	0.444
-	12–18	0.561	0.504	0.475
-	18–24	0.583	0.516	0.489
	all	0.567	0.504	0.486

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<sup>357</sup> plainable with the low energy electron source from the magnetospheric tail driven by solar <sup>358</sup> wind affecting these sectors most directly via enhanced convection and substorm injections <sup>359</sup> (e.g., *Birn et al.* [1998]; *Ganushkina et al.* [2013]).

The empirical model was tested with the GOES-13 MAGED data of the first four 362 months of year 2016 which was not included in model development. The test period had 363 34 542 MAGED data points as 5-minute averages, and 30 942 data points that coincided 364 with available solar wind and IMF measurements. For this period, the correlation coeffi-365 cients between empirical model results and the MAGED electron fluxes are 0.548, 0.489, 366 and 0.499 and prediction efficiencies (PE) 0.294, 0.219, and 0.2027 for energies 40, 75, 367 and 150 keV, respectively. These CC and PE values vary a little from those obtained for 368 the five year period that the model construction was based on; the differences is CC are 369 only 0.02 or less. 370

Figure 4 shows the MAGED electron flux values against the electron fluxes given 371 by the empirical model for 40 (panel a), 75 (b), and 150 keV (c) for the five year period 372 of data used in the model construction and similar plots for the four months of the test 373 period (panels d, e, and f). There is a noticeable feature, especially in the plots of en-374 ergies 75 and 150 keV, of an overestimation of low MAGED flux values by the model. 375 The modeled fluxes are  $10^4 - 10^6$  1/(cm<sup>2</sup> s sr keV) for 75 keV and between  $10^3$  and  $10^4$ 376  $1/(cm^2 \text{ s sr keV})$  for 150 keV when the corresponding observed fluxes were less than  $10^3$ 377 and  $5 \cdot 10^2$  1/(cm<sup>2</sup> s sr keV), respectively. For the highest values of the observed fluxes the 378

<sup>379</sup> model underestimates the measured electron fluxes: this is most pronounced for 75 keV <sup>380</sup> energy around  $5 \cdot 10^5$  1/(cm<sup>2</sup> s keV) and for 150 keV energy above  $5 \cdot 10^4$  1/(cm<sup>2</sup> s keV) <sup>381</sup> in MAGED flux values. The test period results from early 2016 (panels d–f) exhibit very <sup>382</sup> similar features.

The empirical model mostly failed to capture the low flux tails of MAGED data as 383 seen in Figure 4. To better understand possible underlying reasons for the low flux tails, 384 we present Figure 5 that organizes the low fluxes with MLT and the declination of Sun. 385 The declination of the Sun (or subsolar latitude) parametrizes Earth's seasonally varying 386 tilt with respect to the Sun. Together with MLT, the declination of the Sun organized well 387 the low flux data. The upper cutoff limits of the fluxes shown  $(2 \cdot 10^3, 5 \cdot 10^2, \text{ and } 50)$ 388 1/(cm<sup>2</sup> s sr keV) for 40, 75, and 150 keV, respectively) were set so that the number of data 389 points below the limit were close to each other for each of the three energies (between 390 3229 and 3353 data points). 391

Figure 5 shows that the lowest fluxes are concentrated to the nightside between 18 and 4 MLT. The frequency of low fluxes peaks sharply at the highest declination values (> 21 degrees, i.e. June and most of July), with highest numbers of data points right before midnight (maximum number of datapoints per bin were between 155 and 180 for the three energies). There seems to be a trend for these low fluxes to occur more frequently with positive declination than with negative.

Additional tests showed some improvement in CC between the MAGED data and the empirical model when criteria for omission of high-declination datapoints in the nightsector was used in order to reduce the influence of the low flux tails. However, any tried criteria, that excluded most of the low flux datapoints defined by the low flux limits given above, removed also more than  $6 \cdot 10^4$  other datapoints (about 14 percent of all data).

Figure 6 shows the MAGED data (upper row of panels) organized by IMF  $B_Z$  and MLT and compared with the empirical model results (lower row of panels) for the same time period from January 2011 to December 2015. Figure 7 presents similar comparison but with the electron fluxes organized solar wind speed  $V_{SW}$  and MLT. These parameters, IMF  $B_Z$ ,  $V_{SW}$ , and MLT, are all the input parameters used in the developed empirical model. The bin size used in the panels for modeled fluxes is smaller to demonstrate the patterns even with small number of data points. The modeled fluxes successfully replicate









the main patterns of the electron flux data. The bin values are averages of the fluxes as

that correspond well with the root mean square fitting done for the model.

#### 412 **5 Discussion and Conclusions**

The geostationary satellite GOES-13 has provided a significant data set of keV range electrons with its MAGED instrument. We have analyzed five full years of that data and based on it developed an empirical model for 40, 75, and 150 keV electrons at any geostationary orbit. The developed model uses solar wind and IMF parameters as input, namely solar wind speed and the Z component of the IMF. The model reproduces well the observed electron fluxes.

The linear correlation coefficients between the MAGED electron fluxes at geosynchronous orbit and solar wind speed are (with no time delay) are from 0.30 to 0.42 (moderate correlations). The correlation coefficients between MAGED fluxes and the final, time-delayed empirical model are between 0.49 and 0.57 (stronger correlations). These correlations indicate that the solar wind speed has an effect on the electron fluxes, but it is a connection that takes place through a number of magnetospheric processes.

Employing geomagnetic indices (with significantly better correlation coefficients for 425 the MAGED data than solar wind or IMF parameters) would likely have made the empir-426 ical model more accurate in the fluxes overall. However, our purpose in this study was to 427 quantify and model the effect that solar wind and IMF conditions have on electron fluxes 428 at geosynchronous orbit. Furthermore, an empirical model using solar wind and IMF pa-429 rameters suits much better for forecasting purposes and is not dependent on anything but 430 observations taken in the upstream solar wind. This together with the time delay of 1.5 431 hours for the input parameters IMF  $B_Z$  and solar wind speed makes the model usable for 432 forecasting purposes. 433

<sup>434</sup> A feasible method for improving the presented empirical model could be to use time <sup>435</sup> averaging of the model parameters. This would mean searching for optimal delayed time <sup>436</sup> ranges for the input parameters  $V_{SW}$  and IMF  $B_Z$ . Likely this would have to be done by <sup>437</sup> MLT sectors as the delays are expected to vary significantly with the magnetospheric loca-<sup>438</sup> tion (cf. *Hartley et al.* [2014]). The method was recently applied by *Dubyagin et al.* [2016] <sup>439</sup> for a very successful prediction model of the parameters of the magnetospheric tail.





Bin values are averages.





Our statistical results on the electron low flux tail in the 30-150 keV energies showed 440 higher occurrence of low flux events from dusk to dawn centered on local midnight and 441 around the June solstice over the studied five years. Very low fluxes at geosynchronous 442 orbit are associated with stretched magnetic fields, as the partial ring current develops 443 during storm times (e.g. Green et al. [2004]), or just prior to substorm particle injections 444 (e.g. Loto'aniu et al. [2015]), and they can also ensue after long geomagnetically-quiet pe-445 riods (e.g. Jaynes et al. [2015]). Mechanisms associated with disparate solar wind velocity 446 and IMF conditions can thus lead to very low fluxes at geosynchronous orbit, possibly 447 weakening correlations with solar wind and IMF parameters. It is interesting that the low 448 flux tails in our statistics are not symmetric with respect to June and December solstice. It 449 may be possible that the location of GOES-13 at 75W longitude and the positive magnetic 450 latitude at that position 11 degrees north [Onsager et al., 2004] is behind the asymmetry. 451 Further research would be advisable on these low flux phenomena. 452

Keeping in mind the points discussed above, our conclusions are the following:
1. Solar wind speed has a moderate correlation with the geostationary keV-range
electron fluxes for all MLT sectors.

456 2. IMF  $B_Z$  has a significant influence in the 0 to 12 MLT sector where the  $B_Z$  less 457 than -5 nT leads to elevated electron fluxes in the 30–200 keV energy range.

458 3. Electrons with energies from 30 to 200 keV have particularly low flux periods 459 that occur mainly in the night sector and have a clear seasonal preference.

460 4. The constructed empirical model can have a variety of applications from fore-461 casting electron fluxes at geostationary or geosynchronous orbits to being an input to other 462 models such as serving as low-energy boundary conditions for studying electron accelera-463 tion to MeV energies.

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- 469 speed, and temperature and IMF components are provided by the OMNIWeb service of
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Author Manuel Authority

# GOES-13 MAGED Field of View



















