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9	Comprehensive Histopathologic Comparison of Epidermotropic/Dermai Metastatic
10	Melanoma and Primary Nodular Melanoma
11	(Running Title: Comparison of Metastatic and Primary Melanoma)
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- 35 ABSTRACT

Aims: Metastatic melanoma involving the epidermis and/or upper dermis may show significant 36 37 histologic overlap with primary cutaneous melanoma, especially the nodular subtype. Proper histopathologic classification is crucial to appropriate staging and management, yet often 38 39 challenging. This study aims to identify helpful histopathologic features in differentiating epidermotropic/dermal metastatic melanoma (EDMM) and primary nodular melanoma (PNM). 40 41 Methods and Results: A cohort of EDMM (n=74) and PNM (n=75) was retrospectively 42 reviewed for various histopathologic features, and the data were compared between groups by univariate analysis. Features significantly associated with EDMM included tumor size of < 0.243 cm, absence of tumor-infiltrating lymphocytes and plasma cells, monomorphism, and 44 involvement of adnexal epithelium. Features associated with PNM included polypoid 45 46 (exophytic) configuration, prominent tumor-infiltrating plasma cells (TIPs), tumor size of >1 cm, ulceration, epidermal collarette, higher mitotic rate, necrosis, multiple phenotypes, significant 47 pleomorphism, and lichenoid inflammation. By multivariate analysis, a logistic regression model 48 including large tumor size, ulceration, prominent TIPs, lichenoid inflammation, and epidermal 49 50 collarette was highly predictive of PNM. Six (8%) EDMM cases from three patients demonstrated an "epidermal-only" or "epidermal-predominant" pattern closely simulating in-situ 51 or microinvasive melanoma. Two of these cases were tested by fluorescence in situ hybridization 52 which confirmed clonal relationship with their corresponding primary melanomas. 53 Conclusions: This is the first comprehensive histopathologic comparison of EDMM and PNM. 54 55 Recognition of the above histopathologic associations should aid in correct classification and 56 staging of cutaneous melanoma. Epidermotropic metastatic melanomas may occasionally display

- 57 an epidermal-only/predominant pattern; accurate diagnosis requires prudent clinical correlation
- and, when necessary, ancillary molecular tests.

59	Keywords: epidermotro	pic; metastatic melanoma;	nodular melanoma; staging
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80 INTRODUCTION

Distinction between metastatic and primary melanomas is crucial, as therapy and prognosis
differ widely. While primary melanoma is treated with wide excision, metastatic melanoma is
often treated with systemic therapy.¹ While a patient with multiple primary melanomas is staged
based on the lesion with the worst prognostic parameters, patients with satellite and in-transit
metastases are considered to have stage IIIB disease, and those with distant cutaneous metastases
are considered to have stage IV disease with 10% five-year survival.²

87 Because the skin is a common site for melanoma metastasis, histologic differentiation between primary and metastatic melanomas presents a rather common diagnostic dilemma. Primary 88 89 nodular melanoma (PNM) typically only minimally involves the epidermis, frequently raising consideration for metastatic melanoma even in patients without a prior history of melanoma, as 90 metastatic melanoma may develop following complete regression of the primary tumor.³⁻⁵ 91 Conversely, an epidermotropic/dermal metastatic melanoma (EDMM) may closely mimic a 92 primary melanoma and be misdiagnosed as such, particularly when a prior history of melanoma 93 is not known. Clinical correlation is often imperative in rendering the correct diagnosis. 94 Epidermotropic/dermal metastatic melanoma usually occurs near the site of a primary melanoma, 95 sometimes presenting in crops.⁶ In contrast, it is rare for a patient to present with multiple 96 synchronous primary melanomas.^{7,8} 97

Historically, epidermal involvement was considered pathognomonic for primary melanoma,⁹ but 98 this belief was subsequently disputed.¹⁰ Microscopic features frequently reported in cutaneous 99 metastatic melanoma included thinning of the epidermis, widening of papillary dermis by 100 aggregates of atypical melanocytes, flanking epidermal collarette, lack of inflammation, 101 monomorphism, and fibrotic stroma.^{10,11} Lymphovascular invasion was also thought to favor 102 EDMM, however more recent studies have demonstrated this feature in up to 30% of primary 103 melanomas.¹² Similarly, extension of intraepidermal melanocytes beyond dermal melanocytes 104 (architectural "shoulder") was once considered a unique feature of primary melanoma, but was 105 later also reported in EDMM.^{8,13,14} The absence of an architectural "shoulder" in PNM further 106 blurs their distinction. 107

Further complicating this issue are rare reports of purely intraepidermal epidermotropic
 metastatic melanoma.^{11,14,15} Despite their striking resemblance to melanoma in situ, the clinical
 presentation of numerous lesions and their small size, circumscription and symmetry supported
 the interpretation of epidermotropic metastatic melanomas with an "epidermal-only" pattern.

In this study, we performed a comprehensive histopathologic analysis of EDMM and PNM inorder to identify the most helpful features in differentiating the two.

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This study has been approved by the Institutional Review Board at the University of Michigan. 126 127 Our pathology database was searched for EDMM and PNM using word searches for "nodular"+"melanoma", "epidermotropic"+"melanoma", and "metastatic melanoma" from 2000-128 129 2016. Final classification as EDMM or PNM was based on careful clinicopathologic correlation. Patients with prior history of melanoma were excluded from the PNM group, whereas patients 130 131 without a previously diagnosed primary melanoma were excluded from the EDMM group. 132 Metastases distant from the previous primary melanoma site were also excluded from the EDMM group; only locoregional metastases (e.g., from the same extremity) were included. To 133 qualify as EDMM, a metastatic melanoma should involve the epidermis/adnexal epithelium 134 and/or the upper dermis (papillary dermis or superficial reticular dermis). Hematoxylin and eosin 135 136 (H&E) stained slides of the final cohort were reviewed by two dermatopathologists (D.P.A. and M.P.C.) and a pathology resident (S.L.S.) for various histopathologic features as listed in Tables 137 1 and 2. Selected features are defined below. 138

A tumor was polypoid (exophytic) if its epicenter was above the skin surface. An architectural
"shoulder" was defined as an intraepidermal component extending at least three rete ridges
beyond the dermal component. Pleomorphism was scored as: 0 = mostly monomorphic cells, 1 =
pleomorphism appreciated at medium to high magnification, 2 = pleomorphism readily
appreciated at low magnification, often with bizarre looking cells. Tumors consisting of two or
more morphologically distinct cell populations were considered to have multiple phenotypes.
Mitotic rate was the number of dermal mitotic figures in a 1 mm² "hot spot" (i.e., most

mitotically active area). As per the AJCC guidelines for melanoma,² tumor-infiltrating 146 lymphocytes (TILs) were either absent (0), non-brisk (1), or brisk (2). Tumor-infiltrating plasma 147 cells (TIPs) were scored as: 0 = no intratumoral plasma cells, 1 = rare aggregates of intratumoral 148 plasma cells only appreciable upon close inspection, 2 = prominent, readily appreciable 149 aggregates of intratumoral plasma cells. Regression was defined as a discrete fibrotic area with 150 melanophages, lymphocytes, and increased vascularity. Tumoral melanosis referred to large 151 aggregates of melanophages replacing a portion of the melanoma. Unlike lymphovascular 152 invasion in which melanoma cells are present within a vascular lumen, angiotropism referred to 153 extravascular melanoma cells bulging into the vascular lumen but covered by endothelial cells. 154 In univariate analysis (performed by M.P.C.), comparison of each feature between EDMM and 155 PNM was performed using Chi-square (categorical) or two-sample t test (continuous). 156

157 Multivariate analysis was conducted by L.Z. using SAS (version 9.4, SAS Institute, Cary, NC).

158 A logistic regression model was obtained by a stepwise variable selection procedure based on a

significance level of 0.15. The parameter estimates, p-values from Wald chi-square tests, and the

area under the receiver operating characteristic (ROC) curve were reported. A p-value of <0.05
was considered significant.

Fluorescence in situ hybridization (FISH) was performed by A.A.A., P.W.H., and M.W. on 162 selected EDMM cases with an unusual "epidermal-only" or "epidermal-predominant" pattern 163 and their corresponding primary tumors to confirm clonal relationship. Four-micron sections 164 were prepared from each selected formalin-fixed paraffin-embedded tissue block; one was 165 stained with H&E, and two were hybridized with Vysis Melanoma FISH probe kit including 166 probes 6p25 (RREB1), 6q23 (MYB), CEP6, and 11q13 (CCND1), and Vysis LSI probes 8q24 167 (MYC) and 9p21 (CDKN2A)/CEP9 according to manufacturer's instructions. Thirty cells were 168 evaluated and the percentage of nuclei with copy number changes, including gain of 6p25, loss 169 of 6q23, gain of 11q13, gain of 8q24, and homozygous loss of 9p21 was recorded and considered 170 as positive or negative based upon pre-determined thresholds.¹⁶ 171

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193	RESULTS

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The final cohort consisted of 74 EDMM specimens obtained from 44 patients, and 75 PNM 194 195 specimens from 75 patients. There was no overlap of the patient pools of the two groups. 196 Epidermal involvement was observed in 62 (84%) EDMM cases and 59 (79%) PNM cases. Histopathologic features demonstrating statistically significant differences between EDMM and 197 PNM by univariate analysis are listed in Table 1. Features associated with EDMM included 198 greatest dimension of <0.2 cm, TIPs score of 0, pleomorphism score of 0, TILs score of 0, and 199 200 involvement of adnexal epithelium (Figure 1). Features associated with PNM included polypoid (exophytic) configuration, TIPs score of 2, greatest dimension of >1 cm, ulceration, epidermal 201 202 collarette, higher mitotic rate, tumor necrosis, multiple phenotypes, pleomorphism score of 2, 203 and lichenoid inflammation (Figure 2). Ulceration was seen much more frequently in lesions >1 cm (24/33; 73%) compared to lesions <1 cm (16/116; 14%) (p<0.0001). Other examined features 204 did not show significant differences between groups (Table 2). Multivariate analysis resulted in a 205 predictive model for PNM which included large tumor size, ulceration, prominent TIPs, 206

lichenoid inflammation, and epidermal collarette (Table 3). The area under the ROC curve was
0.90, indicating a high predictive accuracy of this model.

209 Six EDMM lesions from three patients were purely or predominantly intraepidermal,

210 microscopically indistinguishable from melanoma in situ and microinvasive melanoma (Figure

3). In two of these patients, multiple new pigmented lesions developed around the site of a

212 previously resected primary scalp melanoma. Another patient presented with multiple small

pigmented lesions on the right leg after excision of a primary right heel melanoma. Two

epidermal-only/predominant EDMM lesions from the two patients with scalp melanoma were

studied by FISH, which showed identical copy number changes to their corresponding primary

216 melanomas (Supplemental Table 1), confirming a clonal relationship.

238 **DISCUSSION**

Histologic distinction between cutaneous metastatic melanoma and primary melanoma carries important diagnostic, prognostic, and treatment implications, yet to our knowledge none of the previous studies have systematically compared the histopathologic features of the two. We selected only metastatic melanoma involving the epidermis and/or upper dermis because these cases most closely simulate primary melanoma. Likewise, we confined our cohort of primary melanoma to the nodular subtype as it is most likely to generate diagnostic confusion with EDMM.

246 We identified multiple useful histopathologic features in differentiating EDMM and PNM. Of these, tumor size is one of the most objective discriminating factors. Nodules >1 cm are more 247 likely to be primary, whereas lesions <0.2 cm are much more likely metastatic. Because large 248 melanomas are more likely to ulcerate, we also found PNM to be associated with ulceration. 249 250 Although none of the PNM lesions in our series are smaller than 0.2 cm, one should be aware that primary "micromelanomas" do exist, and may be detected more readily with the use of 251 dermoscopy.^{17,18} Hence, tumor size should be viewed as a strong but not absolute discriminator 252 of metastatic and primary melanomas. 253

In addition to tumor size, tumor silhouette also provides useful information. Polypoid/exophytic lesions are much more likely to be PNM, as only one EDMM in our series displays a polypoid silhouette. The rarity of polypoid EDMM is also reflected in the literature.^{11,19} Epidermal collarette has been previously reported in both EDMM and primary melanomas.²⁰ In our cohort, epidermal collarette is significantly associated with PNM. This association is probably related to the larger tumor size in this group, as epidermal collarette is a consequence of expansile dermal growth.

261 None of the intraepidermal features (lentiginous growth, junctional nests, pagetoid spread,

involvement of preserved rete ridges) are associated with either group. Interestingly,

involvement of adnexal epithelium is significantly associated with EDMM, indicating that in

addition to epidermotropism, adnexotropism is also common in metastatic melanoma.

265 Although architectural "shoulder" was traditionally thought to be specific for primary melanoma, later studies have also shown this feature in EDMM.^{8,13,14} Our findings support the latter by 266 267 showing architectural shoulder in 17% of EDMM. Notably, six EDMM lesions from three patients were purely or predominantly intraepidermal, microscopically indistinguishable from 268 melanoma in situ and microinvasive melanoma. The metastatic nature of these lesions is 269 supported by the identical copy number abnormalities to their corresponding primary 270 melanomas. The occurrence of multiple lesions in the vicinity of the primary melanoma site also 271 provides compelling clinical evidence for metastases. However, when clinicopathologic 272 correlation fails to elucidate the primary versus metastatic nature of a lesion, molecular studies 273 may be needed to facilitate more accurate staging.^{15,21} 274

A number of cytomorphologic features aid in the distinction between PNM and EDMM. In 275 276 general, significant pleomorphism favors a diagnosis of PNM, whereas monomorphism favors EDMM. Furthermore, primary melanomas are more likely to comprise multiple morphologically 277 278 distinct subpopulations secondary to genetic divergence within the tumor. In contrast, metastatic melanomas more commonly show one phenotype only, probably reflective of a selected tumor 279 subclone harboring increased metastatic potential.²² Pseudomaturation, referring to partial 280 diminution of cell size with dermal descent, has been described in the majority of nevoid 281 melanomas²³ but also in other melanoma subtypes as well as EDMM. This feature is not helpful 282 in their distinction. 283

While a coexisting nevus tends to be found more frequently in PNM than EDMM, the difference falls short of statistical significance (p=0.0578). It is well known that primary melanoma may arise from a preexisting nevus via malignant transformation. A recent study showed that the incidence of associated nevus was highest among superficial spreading melanomas (37%) and lowest among nodular melanomas (16%).²⁴ This relatively low frequency in PNM may have accounted for the lack of a significant difference when compared with EDMM.

Although mitotic figures can be brisk in metastatic melanoma,²⁵ our study found significantly
higher mitotic rate in PNM. Nevertheless, given the wide range and significant overlap of mitotic
rates in the two groups, the observed difference is of limited practical value. As rapidly
proliferating tumors often outgrow their blood supply, tumor necrosis may result and is again

more commonly found in PNM. Previous studies have found tumor necrosis to be significantly

associated with increased tumor thickness, increased Ki-67 proliferation index, and

ulceration.^{26,27} It is therefore possible that the lower incidence of tumor necrosis in EDMM may

be in part attributable to smaller tumor size and infrequent ulceration in this group.

298 Melanoma can metastasize via lymphatic, hematogenous, or angiotropic route.²⁸⁻³³ Both

angiotropism and lymphovascular invasion are fair predictors of metastatic potential in

100 melanoma.³⁴ In our study, both lymphovascular invasion and angiotropism were seen in small

301 subsets of PNM and EDMM cases, and failed to distinguish between groups. Perineural invasion

is believed to be another means by which satellite metastases form in melanoma. This, too, doesnot serve as a useful discriminator.

Our data indicate that prominent TIPs are strongly suggestive of PNM, observed in 29% of these 304 cases compared to 1% of EDMM. Assessment of TIPs is fairly straightforward, rendering it a 305 306 powerful and practical discriminator. Mascaro et al. reported clusters of plasma cells in 22% of primary melanomas, a feature that was associated with increased Breslow thickness, ulceration, 307 and poor survival.³⁵ A recent study confirmed these associations as well as higher 308 mitoticactivity.³⁶ Interestingly, when found in metastatic melanomas, TIPs were reported as a 309 favorable prognosticator.³⁷ Further investigation is needed to elucidate the immunologic 310 relationship between TIPs and melanoma cells. 311

Because absence of TILs is associated with sentinel lymph node metastasis, whereas brisk TILs 312 are associated with prolonged recurrence-free survival,^{38,39} one may expect fewer TILs in 313 metastatic melanomas. A study reported a higher percentage of primary melanomas (67%) 314 contained lymphoid infiltrate compared to metastatic foci (9%) in the same patients.⁴⁰ Another 315 study of nodal metastatic melanomas found that TILs were absent in 46% of cases.⁴¹ In the skin, 316 we showed that absence of TILs is significantly associated with EDMM, suggesting enhanced 317 capability in evading immune detection.⁴² Similarly, lichenoid inflammation is less common in 318 EDMM than PNM, despite the essentially identical frequency of epidermal involvement in these 319 groups. 320

Perhaps contrary to common belief,¹¹ our study did not identify any significant difference in the
 frequency of tumor regression. Late regression, evidenced by fibrosis, increased vascularity,

epidermal atrophy, and melanophages, has been reported in 10-35% of primary melanomas.⁴³ 323 Although a review article cited a much lower incidence of spontaneous regression in metastatic 324 325 melanomas (0.25%), this figure is likely skewed by the exceedingly low incidence of regression in extracutaneous metastases.⁴⁴ Our cohort demonstrates regression in 17% and 9% of PNM and 326 EDMM cases, respectively. Several factors may explain the lack of significant difference. First, 327 regression has been reported to occur less frequently in nodular melanoma (13%) compared to 328 329 other subtypes.⁴⁵ Second, the histopathologic features of regression are not specific and may be indistinguishable from changes of chronic friction or prior trauma. Lastly, one of our EDMM 330 cases with regression was excised after immunotherapy (pembrolizumab), which may have 331 triggered regression of the metastatic melanoma.⁴⁶ Tumoral melanosis—a histologic variant of 332 regression⁴⁷—similarly fails to differentiate between PNM and EDMM. 333

334 In conclusion, we conducted the first comprehensive histopathologic comparison of EDMM and PNM, and identified multiple useful discriminators with significant associations not previously 335 reported. Of these, the collective findings of large tumor size, ulceration, prominent TIPs, 336 epidermal collarette, and lichenoid inflammation strongly support a diagnosis of PNM based on a 337 338 logistic regression model. Other highly useful characteristics based on univariate analysis include 339 small tumor size and absence of TILs which favor EDMM, and polypoid configuration, tumor necrosis, and multiple phenotypes which favor PNM. While the importance of clinical 340 correlation cannot be overemphasized, recognition of the above constellation of histopathologic 341 342 features, especially when clinical history is limited, should allow for more accurate 343 classification, hence more precise tumor staging and prognostication for melanoma patients.

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- 347 S.L.S., D.P.A., D.R.F., and M.P.C. designed the research study. S.L.S., D.P.A., K.B.C., M.W.,
- P.W.H., A.A.A., and M.P.C. obtained the data. S.L.S., L.Z., M.W., P.W.H., A.A.A., and M.P.C.
- analysed the data. S.L.S. and M.P.C. wrote the paper. All authors performed critical review of
- the manuscript.
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CONFLICTS OF INTEREST

The authors declare no conflicts of interest. 353

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- an **TABLES**

Table 1. Histopathologic features showing statistically significant differences between

- epidermotropic/dermal metastatic melanoma (EDMM) and primary nodular melanoma (PNM)
- 537 by univariate analysis.

Histopathologic features	EDMM	PNM (n=75)	p-value	Specificity
	(n=74)			(%)
Greatest diameter <0.2 cm	19 (26%)	0 (0%)	< 0.0001	100
Absence of tumor-infiltrating	67 (91%)	38 (51%)	0.0036	49
plasma cells				
Monomorphism	41 (55%)	24 (32%)	0.0309	68
Absence of tumor-infiltrating	9 (12%)	2 (3%)	0.0348	97
lymphocytes				
Involvement of adnexal epithelium	32 (43%)	18 (24%)	0.0417	76
Polypoid (exophytic) configuration	0 (0%)	16 (21%)	< 0.0001	100
Prominent tumor-infiltrating	1 (1%)	22 (29%)	< 0.0001	99
plasma cells				
Greatest diameter >1 cm	2 (3%)	31 (41%)	< 0.0001	97
Ulceration	4 (5%)	36 (48%)	< 0.0001	95
Epidermal collarette	18 (24%)	53 (71%)	< 0.0001	76
Mean mitotic rate (median, range)	4 (2, 0-23)	13 (11, 1-53)	< 0.0001	
Tumor necrosis	2 (3%)	19 (25%)	0.0002	97
Multiple phenotypes	6 (8%)	24 (32%)	0.0012	92
Significant pleomorphism	13 (18%)	31 (41%)	0.0073	82
Lichenoid inflammation	3 (4%)	14 (19%)	0.0088	96

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- 540 Table 2. Histopathologic features without statistically significant differences between primary
- nodular melanoma (PNM) and epidermotropic/dermal metastatic melanoma (EDMM) by
- 542 univariate analysis.

Histopathologic features	PNM (n=75)	EDMM (n=74)	p-value
Associated nevus	8 (11%)	2 (3%)	0.0578

Perineural invasion	12 (16%)	5 (7%)	0.0991
Symmetry*	12/74 (16%)	20/69 (29%)	0.1036
Infiltrative borders	38 (51%)	52 (70%)	0.1238
Lymphovascular invasion	18 (24%)	10 (14%)	0.1405
Regression	13 (17%)	7 (9%)	0.1946
Expansion of papillary dermis by melanoma	69 (92%)	54 (73%)	0.2004
Pseudomaturation	15 (20%)	9 (12%)	0.2364
Brisk tumor-infiltrating lymphocytes	8 (11%)	5 (7%)	0.4054
Tumoral melanosis	14 (19%)	10 (14%)	0.4379
Pseudoepitheliomatous hyperplasia	10 (13%)	7 (9%)	0.4970
Architectural "shoulder"	18 (24%)	14 (19%)	0.5017
Lentiginous growth	57 (76%)	62 (84%)	0.5949
Pagetoid spread	48 (64%)	52 (70%)	0.6455
Junctional nests	55 (73%)	51 (69%)	0.7559
Sheet-like growth	31 (41%)	33 (45%)	0.7642
Angiotropism	11 (15%)	10 (14%)	0.8614
Involvement of preserved rete ridges	48 (64%)	49 (66%)	0.8709

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543 *Some cases were partial biopsies in which symmetry could not be determined.

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549 Table 3. Logistic regression model by multivariate analysis.

Histopathologic features	Estimates with PNM*	p-value
Greatest diameter of >1 cm (vs < 0.2 cm)	2.2024	0.0023
Greatest diameter of 0.2-1 cm (vs <0.2 cm)	0.5340	0.3695
Presence of ulceration	0.8014	0.0166

	Histopathologic features	Estimates with PNM*	p-value
	Presence of prominent tumor-infiltrating	0.9775	0.0487
	plasma cells		
	Presence of lichenoid inflammation	0.7993	0.0675
	Presence of epidermal collarette	0.6903	0.0028
550	PNM, primary nodular melanoma.		-
551 552 553	*A greater positive estimate indicates a high	her probability of PNM.	
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567	FIGURE LEGENDS		
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569	Figure 1. Representative features associated	d with epidermotropic/dermal i	netastatic melanoma.
570	(A) A small lesion in the upper dermis mea	suring less than 0.2 cm in grea	test dimension (H&E,
571	40x). (B) Another lesion demonstrates a dem	rmal nodule with epidermotrop	oism, cytologic
572	monomorphism, and lack of tumor-infiltrat	ing lymphocytes and plasma co	ells (H&E, 100x).

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574 Figure 2. Representative features associated with primary nodular melanoma. (A) A large, ulcerated nodular melanoma with an exophytic polypoid configuration. (H&E, 10x). (B) This 575 576 tumor is flanked by epidermal collarette (H&E, 20x). (C) Zonal tumor necrosis is noted in the deeper portion of this biopsy (H&E, 20x). (D) Clusters of tumor-infiltrating plasma cells are 577 present in this example (H&E, 400x). (E) This nodular melanoma exhibits multiple distinct 578 phenotypes and significant pleomorphism. One population of cells contain highly pleomorphic 579 and bizarre looking nuclei (top), whereas the other population consists of nests of relatively 580 uniform cells (bottom) (H&E, 200x). 581

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Figure 3. Epidermotropic metastatic melanomas with epidermal-predominant and epidermal-only patterns. (A) This scalp lesion was taken from near the site of a previously resected primary melanoma. Most of the melanoma cells are present within the epidermis, giving rise to an architectural "shoulder" and the appearance of a microinvasive melanoma. Adnexal involvement is also present (H&E, 100x). (B) Another patient presented with a purely intraepidermal metastatic melanoma on the scalp, closely resembling melanoma in situ (H&E, 100x).

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