

p -TOWER GROUPS OVER QUADRATIC IMAGINARY NUMBER FIELDS

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ABSTRACT. The modern theory of class field towers has its origins in the study of the p -class field tower over a quadratic imaginary number field, so it is fitting that this problem be the first in the discipline to be nearing a solution. We survey the state of the subject and present a new cohomological condition for a quadratic imaginary number field to have an infinite p -class field tower (for p odd). Under an additional hypothesis, we refine this to a necessary and sufficient condition and describe an algorithm for evaluating this condition for a given quadratic imaginary number field.

1. INTRODUCTION

Let K be number field and p a prime. Set $K_p^{(0)} := K$ and for each $i \geq 1$, let $K_p^{(i)}$ be the Hilbert p -class field of $K_p^{(i-1)}$, i.e., the maximal unramified abelian p -extension of $K_p^{(i-1)}$. Repeating this construction gives the *Hilbert p -class field tower* (or just *p -tower*) over K :

$$K = K_p^{(0)} \subset K_p^{(1)} \subset \dots \subset K_p^{(i)} \subset \dots$$

By class field theory, the Galois group of consecutive terms in the tower is isomorphic to the p -part of the class group of the base field: $\text{Gal}(K_p^{(i)}/K_p^{(i-1)}) \cong \text{Cl}_p(K_p^{(i-1)})$. The tower thus stabilizes at the i -th step, i.e., $K_p^{(i)} = K_p^{(i+1)} = \dots$, if and only if $\text{Cl}_p(K_p^{(i)}) = 1$. If such an i exists, the minimal such one is called the *p -length* $\ell_p(K)$ of K , and we set $\ell_p(K) = \infty$ otherwise. Let $K_p^{(\infty)}$ be the compositum of all fields in the p -class field tower. We call $\text{Gal}(K_p^{(\infty)}/K)$ the *p -tower group over K* .

One arithmetic motivation of class field towers relates to the question of whether a given number field admits an extension with certain restrictions on its class number. For example, the following well-known lemma addresses the question of whether or not a number field can be embedded in an extension with class number relatively prime to a given prime p , a subtle condition which famously played a role in Kummer's proof of the first case of Fermat's Last Theorem for regular primes.

Lemma. *Let K be an algebraic number field and p a rational prime. Then there is a finite extension of K with class number prime to p if and only if $\ell_p(K) < \infty$.*

Proof. The first direction is clear: If $\ell_p(K) = n < \infty$, then $K_p^{(n)} = K_p^{(n+1)}$ and so $\text{Gal}(K_p^{(n+1)}/K_p^{(n)}) \cong \text{Cl}_p(K_p^{(n)})$ is trivial. Thus $K_p^{(n)}$ is a finite extension of K with class number prime to p . For the converse, we use that the Hilbert p -class field is functorial with respect to inclusions, i.e., that $K \subset L$ implies $K_p^{(1)} \subset L_p^{(1)}$. By induction, this gives $K_p^{(\infty)} \subset L_p^{(\infty)}$. Now suppose that L is a finite extension

of K with class number prime to p . Then the p -tower of L has length 0, and thus $K \subset L$ implies that $K_p^{(\infty)} \subset L_p^{(\infty)} = L_p^{(0)} = L$. Thus the entire p -tower over K is contained in the finite extension L , so is necessarily finite. \square

In general, the theory of p -class field towers has an abundance of problems and only a select few answers, but the case in which K is a quadratic imaginary number field has historically been one of the few exceptions to this trend. To list a few relevant facts (fields denoted by K below are assumed to be quadratic imaginary):

- The work of Golod and Shafarevich [GS64] provided the first quadratic imaginary number field, $\mathbb{Q}(\sqrt{-4849845})$, with an infinite 2-tower, and some further refinements by Koch and Venkov [KV74] and Schoof [Sc86] allowed examples to be found for other primes (e.g., $\mathbb{Q}(\sqrt{-3321607})$ for $p = 3$, $\mathbb{Q}(\sqrt{-222637549223})$ for $p = 5$).
- More recently, Bush [Bu03] gave the first examples with 2-tower of length 3 (e.g., $K = \mathbb{Q}(\sqrt{-445})$). For $p > 2$, the longest known finite towers are of length 2 (e.g., [ST34] determined that $\ell_3(\mathbb{Q}(\sqrt{-3299})) = 2$).
- As we shall see, of central importance is the p -rank of the class group of K . One can find fields with large p -rank for $p = 2$ by the classical genus theory of Gauss, and for other primes by the work of Yamamoto [Ya70], Mestre [Me83], and Buell [Bu76], among others.

As evidenced by the above list, there is a noticeable divide in the theory depending on whether p is even or odd. For $p = 2$, the theory benefits from the use of Kummer theory and from the observation that the 2-class field tower $K_2^{(\infty)}$ over a quadratic imaginary number field K is a subfield of $\mathbb{Q}_S(2)$, the maximal 2-extension of \mathbb{Q} unramified outside the set S of primes ramifying in K/\mathbb{Q} . As we will discuss, the Galois groups $G_S(p) := \text{Gal}(\mathbb{Q}_S(p)/\mathbb{Q})$ are in general more forthcoming with information concerning their relation structure, and this descends to give refined information about the corresponding 2-tower groups. This paper will focus instead on the case in which p is odd, which while missing out on the above benefits, capitalizes instead on slightly stronger versions of the Golod-Shafarevich theorem and a calculation of Shafarevich, both of which we describe in the next section.

2. GENERATORS AND RELATIONS FOR p -TOWER GROUPS

Our intuition tells us (and it is not difficult to prove) that if one is to present a group with generators and relations, the number of generators is certainly a lower bound for the number of relations needed to keep the group finite. A famous theorem of Golod and Shafarevich, which we will discuss shortly, makes this precise and improves this bound significantly. Similarly, one intuitively recognizes that a long or complicated relation contributes less to keeping a group finite than does a short one. As a rough illustration of this idea, we note that the group given by the presentation $\langle x \mid x^n \rangle$ increases in size as $n \rightarrow \infty$. Unfortunately, most naive attempts at making this rigorous fail. As an illustration of the potential difficulties, observe that the presentation $\langle x, y \mid y^{-1}xyx^{-2}, x^{-1}yxy^{-1} \rangle$ defines the infinite cyclic group, whereas there is a well-known presentation $\langle x, y \mid y^{-1}xyx^{-2}, x^{-1}yxy^{-2} \rangle$ defining the trivial group, despite having a longer (and only marginally different) second relation. A more complete version of the Golod-Shafarevich theorem mentioned above makes rigorous this idea, giving a refinement which takes into account a measure of how

“complicated” the relations are. More precisely, we will assign an invariant to each defining relation corresponding to its depth with respect to a certain filtration on the group.

Definition 2.1. Let G be a group and let $\mathbb{F}_p[G]$ be its group ring over \mathbb{F}_p . The degree map $\varepsilon : \mathbb{F}_p[G] \rightarrow \mathbb{F}_p$, given by $\sum a_i g_i \rightarrow \sum a_i$, is a surjective homomorphism whose kernel I is called the *augmentation ideal* of $\mathbb{F}_p[G]$ (or just of G). Define the n -th (modular) dimension subgroup G_n of G (the p is suppressed in the notation, but it will always be clear which prime we are using) by

$$G_n = \{g \in G \mid g - 1 \in I^n(G)\}.$$

Note that we will always write G multiplicatively, so the additive notation $g - 1$ will always refer to addition in the group ring $\mathbb{F}_p[G]$. We have $G_1 = G$ trivially, and the aforementioned filtration is the descending chain of subgroups

$$G = G_1 \supseteq G_2 \supseteq G_3 \supseteq \cdots .$$

We call this filtration the *Zassenhaus filtration* of G .

Remark. Both names – the “Zassenhaus filtration” and the “modular dimension subgroups” (in addition to several others) – appear frequently in the literature, apparently as historical remnants from before it was known that various definitions all gave the same series of subgroups. We will use both terms as they seem to fit most naturally in the English language – the filtration will refer to the sequence of subgroups, whereas the dimension subgroups will refer to the specific terms in the chain.

Our principal tool for studying this filtration will be the following theorem of Lazard which relates the Zassenhaus filtration to the lower central series (defined recursively in terms of commutators by $\gamma_1(G) := G$, $\gamma_n(G) := [\gamma_{n-1}(G), G]$).

Theorem 2.2 (Lazard, [La54]). *For any group G and any prime p , the n -th dimension subgroup G_n of G is given by*

$$G_n = \prod_{ip^j \geq n} \gamma_i(G)^{p^j}.$$

Note that while the product is over infinitely many pairs (i, j) , all but finitely many of these are redundant since we have the inclusions $\gamma_i(G) \subset \gamma_j(G)$ for $i \geq j$ and $\gamma_i(G)^{p^j} \subset \gamma_i(G)^{p^k}$ for $j \geq k$. For example, one can easily argue from the theorem that $G_1 = G$ and that $G_2 = G^p[G, G]$.

For a pro- p -group G , the quantities $d(G) := \dim_{\mathbb{F}_p} H^1(G, \mathbb{F}_p)$ and $r(G) := \dim_{\mathbb{F}_p} H^2(G, \mathbb{F}_p)$ are the respective cardinalities of a minimal generating set and set of relations for G as a pro- p -group, i.e., there exists a minimal presentation $1 \rightarrow R \rightarrow F \rightarrow G \rightarrow 1$ where F is a free pro- p -group on d generators and R is r -generated as a normal subgroup of F . We note for later the crucial fact that the Burnside Basis Lemma for pro- p -groups implies that for a p -tower group, $d(G) = d(G/[G, G]) = d_p \text{Cl}(K)$, where d_p denotes the p -rank of an abelian group. For each $r \in R$, define the *level* of r to be the greatest integer k such that $r \in F_k$, the k -th dimension subgroup of F . Given a set of generators $\{\rho_i\}_{i=1}^r$ of R , let r_k

denote the number of ρ_i which have level k (so $\sum_{k=1}^{\infty} r_k = r$)¹. The following is a small but important step in determining the relation structure of a pro- p -group.

Proposition 2.3. *For any minimal presentation of a d -generated pro- p -group G , we have $r_1 = 0$.*

Proof. Write $G \cong F/R$ with F the free pro- p -group on d generators, and $R = \langle \rho_1, \dots, \rho_r \rangle$. By Theorem 2.2, we have $F_2 = F^p[F, F]$, which coincides with the Frattini subgroup of non-generators of F . If there were a relation ρ of level 1, it would lie in $F \setminus F^p[F, F]$ and hence would be non-trivial in $F/F^p[F, F]$, so could be used to reduce the number of generators, contradicting that G was d -generated. \square

The theorem of Golod-Shafarevich can now be made precise.

Theorem 2.4 (Golod-Shafarevich). *Let G be a pro- p -group with d generators, and choose a presentation $G \approx F/R$ of G . Choose a generating set $\{\rho_i\}_{i \in I}$ for R as a normal subgroup of F , and let r_k denote the number of these relations which have level k . Then if G is finite, we have that*

$$\sum_{k=2}^{\infty} r_k t^k - dt + 1 > 0$$

for all $t \in (0, 1)$.

Given a group abstractly (e.g., a Galois group), it may in practice be difficult to find a presentation and hence apply the theorem. However, the versatility of the theorem means that partial results and even trivial bounds can be put to good use. For example, to obtain the most frequently stated (but a relatively weak) form of the Golod-Shafarevich theorem, we need only observe that for any presentation we have $\sum r_k = r$ and that $t^k \leq t^2$ for all $t \in [0, 1]$, so that the theorem gives $rt^2 - dt + 1 > 0$, which as in the proof of the following corollary, quickly implies that $r > \frac{d^2}{4}$ for finite p -groups. The natural generalization of this idea makes for a “medium strength” Golod-Shafarevich theorem:

Corollary 2.5 ([Ko69]). *With notation as in the theorem, suppose further that $R \subset F_m$. Then if G is finite, we have*

$$r > d^m \frac{(m-1)^{m-1}}{m^m}.$$

Proof. Since $R \subset F_m$, we have $r_k = 0$ for $k < m$, and so the theorem gives

$$\sum_{k=m}^{\infty} r_k t^k - dt + 1 > 0$$

for $t \in (0, 1)$. Since $\sum r_k = r$ and $t^k \leq t^m$ on this interval for any $k \geq m$, the Golod-Shafarevich inequality gives us that $rt^m - dt + 1 > 0$. Plugging in $t = \left(\frac{d}{mr}\right)^{1/(m-1)} \in (0, 1)$ gives a contradiction if $r \leq d^m \frac{(m-1)^{m-1}}{m^m}$. \square

As is clear from the previous argument, there is much flexibility in the application of this inequality. Namely, if the inequality holds for some set of relation levels, then

¹We caution the reader that the use of the symbols r_1 and r_2 in relation to the number of real and complex embeddings of a number field will not be needed for this paper, so these quantities will always refer to the number of relations of levels 1 and 2 respectively.

it holds again if we move (e.g., via Tietze transformations) one of the relations into a higher (less deep) level. It is therefore prudent to determine the presentation with deepest possible levels, on which the inequality will deliver the strongest possible result. To this end, we follow an inductive procedure to construct a presentation with relations of maximal depth. Namely, let $R_1 = \emptyset$, and define recursively

$$R_k = R_{k-1} \cup \{\rho_{k,1}, \dots, \rho_{k,r_k}\},$$

where the $\rho_{n,i}$ are chosen to be a minimal generating set for RF_{n+1}/F_{n+1} as a normal subgroup of F/F_{n+1} . Whereas previously the values of r_k depended on the choice of presentation, we redefine the r_k to be the quantities arising in this process so that they become invariants of the group (as opposed to invariants of the presentation). Fixing the values of r_k correspondingly fixes a new invariant of G , the *Zassenhaus polynomial* $Z_G(t) := \sum r_k t^k - dt + 1$ of G appearing on the left-hand side of the Golod-Shafarevich inequality.

The various forms of the Golod-Shafarevich theorem are of particular number-theoretic importance when applied to Galois groups for which one knows more about the quantities d and r . For p -tower groups, this information is provided by the following remarkable calculation of Shafarevich (which further illustrates the divide between $p = 2$ and p odd mentioned in the introduction).

Theorem 2.6 (Shafarevich, [Sh63]). *For G a p -tower group over a quadratic imaginary number field, we have $r(G) - d(G) \leq 1$. For $p \neq 2$, this is strengthened to $r(G) = d(G)$.*

One immediately forces a contradiction by contrasting this theorem with Corollary 2.5: Since $R \subset F_2$ by Proposition 2.3, Corollary 2.5 implies we must have $r > \frac{d^2}{4}$ for G to be finite. Combining this with either of the two inequalities in Theorem 2.6 (depending on the prime) easily contradicts a finiteness assumption on G for quadratic imaginary number fields K with sufficiently large d . Such K can frequently be found in practice. For example, armed with Gauss' genus theory it is easy to construct K with arbitrarily large $d = d_2 \text{Cl}(K)$, leading to Shafarevich's first examples of quadratic imaginary number fields with infinite 2-class field towers.

Making further progress restricting the class of p -groups which can arise as p -tower groups requires more information on the form of the relations defining such groups. As a successful example of such an enterprise, we note that a more general form of Shafarevich's calculation (see [NSW00], VIII.7) computes the generator and relation ranks for $G_S(p)$ as well. Specifically, if one insists that $p \notin S$ and that S be minimal in the sense that it contains no primes that cannot ramify in a p -extension, then the computation gives $d(G_S(p)) = r(G_S(p)) = |S|$. In this case, one can use the relations defining the Galois group of the maximal p -extension of \mathbb{Q}_ℓ for each $\ell \in S$ (the so-called "local relations") to extract information about the relation structure of $G_S(p)$. Relationships between the primes in S – for example, the p -th power residue symbols $\left(\frac{\ell_i}{\ell_j}\right)_p$ for $\ell_i, \ell_j \in S$ – influence the form of the local relations, which in turn can be used in conjunction with the Golod-Shafarevich theorem to prove $G_S(p)$ infinite for certain S (for example, see [Mo02]). In contrast, the p -tower groups for p odd also satisfy $r = d$, but the relations are of a much more mysterious nature (so-called "unknown relations"). Nonetheless, we do have

the following result of Koch and Venkov, providing a significant refinement of the admissible relation structure of p -tower groups.

Theorem 2.7 (Koch-Venkov, [KV74]). *Let G be a p -tower group over a quadratic imaginary number field. Then $r_{2k} = 0$ for all $k \geq 1$. In particular, since $r_1 = r_2 = 0$, we have $R \subset F_3$.*

Remark. The original proof of this fact is fairly straight-forward linear algebra, showing that generators and relations for the group can be chosen to be in the -1 eigenspace of the action $\sigma : G \rightarrow G$ induced by complex conjugation (i.e., so that complex conjugation sends generators and relations to their inverses). It then follows that if $r \in F_k$, then $\bar{r} \in F_k/F_{k+1}$ satisfies both $\bar{r}^\sigma = (-1)^k \bar{r}$ and $\bar{r}^\sigma = -\bar{r}$, implying that $\bar{r} = e$ for k even and thus that $r \in F_{k+1}$. It is worth mentioning that a more modern version of this argument is given in [KL89] using the triviality of the cup product $H^1(G, \mathbb{F}_p) \times H^1(G, \mathbb{F}_p) \rightarrow H^2(G, \mathbb{F}_p)$, but only proves $r_2 = 0$. It is unclear if the more modern cohomological framework can provide the full result.

As promised above, this extra information about the levels of the defining relations strengthens the results on conditions for guaranteeing infinite p -class field towers.

Corollary 2.8 (Koch-Venkov). *Let $p \neq 2$ be prime, and let K be a quadratic imaginary number field with $d_p \text{Cl}(K) \geq 3$. Then $K_p^{(\infty)}/K$ is infinite.*

Proof. By Theorem 2.7, we have $r_2 = 0$, so $R \subset F_3$. Suppose $G := \text{Gal}(K_p^{(\infty)}/K)$ were finite. Then by Theorem 2.5, we have

$$r > \frac{4d^3}{27}.$$

Recalling that $r = d$ for p -tower groups ($p \neq 2$), this gives a contradiction for $d \geq 3$. \square

Remark. The analogous result for $p = 2$ is that one needs the 4-rank of $\text{Cl}(K)$ to exceed 2 (i.e., that $\text{Cl}(K)$ contains a subgroup of type $(4, 4, 4)$), and it is conjectured that a 2-rank exceeding 3 also suffices. See [Ha96].

This result nearly completes the analysis of whether or not a given quadratic imaginary field has an infinite p -class field tower, since we have that such a field's p -tower length is given by

$$\ell_p(K) = \begin{cases} 0 & \text{if } d_p \text{Cl}(K) = 0 \\ 1 & \text{if } d_p \text{Cl}(K) = 1 \\ ? & \text{if } d_p \text{Cl}(K) = 2 \\ \infty & \text{if } d_p \text{Cl}(K) \geq 3. \end{cases}$$

Proof. The rank ≥ 3 case was just proven. The rank 0 case is the case that $\text{Cl}_p(K)$ is trivial, and hence K is its own Hilbert p -class field. The rank 1 case follows from an application of the Burnside Basis Lemma that $d(G) = d(G/[G, G])$ for pro- p -groups. Namely, if G is a p -tower group such that $G/[G, G] \approx \text{Cl}_p(K)$ is 1-generated, then G itself is 1-generated. Thus G is cyclic, and hence abelian, and thus isomorphic to $\text{Cl}_p(K)$ by maximality of the Hilbert class field. Thus $K_p^{(1)} = K_p^{(\infty)}$ by Galois theory, and so the tower has length 1. \square

Thus the only case remaining undecided occurs when $d_p \text{Cl}(K) = 2$, and even the full version of the Golod-Shafarevich theorem above does not rule out these cases. Indeed, there are many quadratic imaginary number fields with finite 3-towers and $d = 2$, the earliest discovered being the example of Scholz and Taussky mentioned in the introduction. It is noteworthy that no longer 3-towers (or p -towers for *any* odd p) have been found since. In fact, to the author's knowledge, there are no known examples, for p odd, of quadratic imaginary number fields with $d_p \text{Cl}(K) = 2$ and an infinite p -class field tower. Nonetheless, Theorem 2.4 gives insight in to this case as well. Specifically, since in this case we have $r = d = 2$, the Zassenhaus polynomial of a finite such group is of the form

$$Z_G(t) = t^i + t^j - 2t + 1,$$

where i and j are odd (by Theorem 2.7) and at least three (by Proposition 2.3). One checks that the polynomials

$$t^3 + t^a - 2t + 1 \quad \text{and} \quad t^5 + t^b - 2t + 1$$

both have zeroes in the interval $(0, 1)$ for values of $a \geq 9$ or $b \geq 5$. Thus every finite 2-generated p -tower group admits a presentation with one of the following three Zassenhaus polynomials:

$$Z_G(t) \in \{t^7 + t^3 - 2t + 1, t^5 + t^3 - 2t + 1, t^3 + t^3 - 2t + 1\},$$

i.e., the two relations defining a finite p -tower group lie in levels i and j , where $(i, j) \in \{(3, 3), (3, 5), (3, 7)\}$. We call this pair (i, j) the *Zassenhaus type* of G , which proves to be an important invariant in the characterization of p -tower groups. This represents the state of the art in the sense that all three of the possible Zassenhaus types are still *a priori* possible, though two points merit mentioning:

- Every known example of an explicit 2-generated p -tower group is of Zassenhaus type $(3, 3)$, including the groups investigated in [ST34] and the series of groups G_n described in [BB06].
- For $p > 7$, the author has shown in [Mc09] that if G is a p -tower group of Zassenhaus type $(3, 7)$ over a quadratic imaginary number field K with $\text{Cl}_p(K) \approx (p^a, p^b)$ ($1 \leq a \leq b$), then $|G| \geq p^{21+a+b} \geq p^{23}$. There are no known groups which satisfy this collection of properties.

This leads to the following (somewhat unsubstantiated) conjecture.

Conjecture 2.9 (The $(3,3)$ Conjecture). *A p -tower group over a quadratic imaginary field is finite if and only if it is of Zassenhaus type $(3,3)$.*

While the two bullets above represent the scant, but slowly building, body of evidence in support of this conjecture, it is worth mentioning because of the elegant solution to the p -class field tower problem one obtains as a corollary. This will be presented in the next section after we set up some terminology and notation.

3. A CRITERION FOR INFINITE p -TOWERS

Let G be a d -generated pro- p -group, and take a minimal presentation $1 \rightarrow R \rightarrow F \rightarrow G \rightarrow 1$ for G . The corresponding inflation-restriction sequence in Galois cohomology gives isomorphisms $\text{inf}: H^1(G, \mathbb{F}_p) \rightarrow H^1(F, \mathbb{F}_p)$ and $\text{tg}: H^1(R, \mathbb{F}_p)^G \rightarrow H^2(G, \mathbb{F}_p)$, where tg denotes the transgression map. For $\rho \in R$, we define the corresponding *trace map* $\text{tr}_\rho: H^2(G, \mathbb{F}_p) \rightarrow \mathbb{F}_p$ by $\text{tr}_\rho(\phi) := (\text{tg}^{-1}\phi)(\rho)$. Let χ_1, \dots, χ_d be a basis for $H^1(G, \mathbb{F}_p)$. A frequently used tool from Galois cohomology ([NSW00],

3.9.13) is that a relation $\rho \in R \subset F$ defining G can be computed modulo F_3 from the scalars $\text{tr}_\rho(\chi_i \cup \chi_j)$ (here, \cup denotes the cup product).

If K is a quadratic imaginary number field with $d_p(\text{Cl}(K)) = 2$ and G is the p -tower group over K , then as mentioned in the remark after Theorem 2.7, the cup product is trivial, rendering the above technique rather unhelpful. However, the vanishing of the cup product is precisely the required condition for the so-called triple Massey products

$$\langle \cdot, \cdot, \cdot \rangle: H^1(G, \mathbb{F}_p) \times H^1(G, \mathbb{F}_p) \times H^1(G, \mathbb{F}_p) \rightarrow H^2(G, \mathbb{F}_p)$$

to be well-defined. To define these, let $\{\chi_1, \chi_2\}$ be a basis for $H^1(G, \mathbb{F}_p)$. For each pair (i, j) with $1 \leq i, j \leq 2$, the triviality of the cup-product implies we can write $\chi_i \cup \chi_j = d(f_{ij})$ for some 1-chain $f_{ij}: G \rightarrow \mathbb{F}_p$. Then for any $1 \leq i, j, k \leq 2$, we can uniquely define the *triple Massey products* $\langle \chi_i, \chi_j, \chi_k \rangle$ to be the class in $H^2(G, \mathbb{F}_p)$ of the 2-cocycle $[\chi_i \cup f_{jk} + f_{ij} \cup \chi_k]$. In general, these are well-defined only up to the collection of choices of f_{ij} but the vanishing of the cup-product for p -tower groups removes any such ambiguity.

Theorem 3.1. *Let K be a quadratic imaginary number field with $d_p \text{Cl}(K) = 2$, let $G = \text{Gal}(K_p^{(\infty)}/K)$, choose a basis $\{\chi_1, \chi_2\}$ for $H^1(G, \mathbb{F}_p)$, and suppose $p > 3$. Then $\ell_p(K) = \infty$ if the triple Massey products $\langle \chi_1, \chi_2, \chi_1 \rangle$ and $\langle \chi_1, \chi_2, \chi_2 \rangle$ both vanish. For $p = 3$, we need in addition the triviality of the triple Massey products $\langle \chi_1, \chi_1, \chi_1 \rangle$ and $\langle \chi_2, \chi_2, \chi_2 \rangle$.*

Proof. By Theorem 2.6 and the computations that $r_1 = r_2 = 0$, the hypotheses of the theorem guarantee the existence of a presentation $1 \rightarrow R \rightarrow F \rightarrow G \rightarrow 1$, where $F = \langle x, y \rangle$ is the free pro- p -group on two generators, and R can be generated as a normal subgroup of F by two elements ρ_1 and ρ_2 of level at least 3. In [Mc08], the *dimension factors* $\dim_{\mathbb{F}_p} F_n/F_{n+1}$ of a free pro- p -group on d generators are computed, and we find that

$$\dim_{\mathbb{F}_p} F_3/F_4 = \begin{cases} 4 & \text{if } p = 3 \\ 2 & \text{if } p \neq 3 \end{cases}$$

for $d = 2$. For $p \neq 3$, a basis for F_3/F_4 is given by the triple commutators $[x, y, x] := [[x, y], x]$ and $[x, y, y] := [[x, y], y]$. We can thus write $\rho_i = [x, y, x]^{a_i} [x, y, y]^{b_i} \rho'_i$, $i \in \{1, 2\}$ for some $a_i, b_i \in \mathbb{F}_p$, $\rho'_i \in F_4$. By work of Vogel [Vo04] extending the aforementioned link between cup products and relation structures, we have $2a_i = \text{tr}_{\rho_i} \langle \chi_1, \chi_1, \chi_2 \rangle$ and $b_i = \text{tr}_{\rho_i} \langle \chi_1, \chi_2, \chi_2 \rangle$, where the tr_{ρ_i} are the trace maps defined at the start of the section. In particular, if both triple Massey products vanish, then $a_1 = b_1 = a_2 = b_2 = 0$. But this implies $\rho_i = \rho'_i \in F_4$ for $i = 1, 2$, and by the condition that $r_{2k} = 0$, we must further have $\rho_i \in F_5$ for $i = 1, 2$. Let j_1 and j_2 denote the levels of the two relations, so that $j_1, j_2 \geq 5$ by the previous sentence. If G were finite, then by Theorem 2.4, we would have

$$0 < Z_G(t) = t^{j_1} + t^{j_2} - 2t + 1 \leq 2t^5 - 2t + 1$$

on the unit interval, which gives a contradiction when evaluated at $t = \frac{2}{3}$. The proof is almost identical for $p = 3$, noting that a basis for F_3/F_4 is given by $\{x^3, y^3, [x, y, x], [x, y, y]\}$ and the exponents of x^3 and y^3 occurring in the representation of ρ_i modulo F_4 are respectively given by $\text{tr}_{\rho_i} \langle \chi_1, \chi_1, \chi_1 \rangle$ and $\text{tr}_{\rho_2} \langle \chi_2, \chi_2, \chi_2 \rangle$. \square

Note that it is clear from the proof that all that is really needed is the vanishing of the traces of the Massey products and not the Massey products themselves (though, in practice, one could not evaluate these without knowing more about the relations, leading to a vicious circle of ignorance). In short, the proof observes that the vanishing of these traces of Massey products forces the relations down into the fourth level of the Zassenhaus filtration, which when combined with the Koch-Venkov result that $r_4 = 0$, pushes them down into at least the fifth level. One needs then only observe that by the theorem of Golod and Shafarevich, two relations in the fifth level of the Zassenhaus filtration is insufficient to keep a 2-generated pro- p -group finite.

On a similar note, if one assumes the (3,3) conjecture given in the last section, then to prove the group infinite it suffices to force only one of the two relations down to the fourth level, and we are left with the following particularly elegant cohomological solution to the p -class field tower problem over quadratic imaginary number fields.

Proposition 3.2 (Assuming the (3,3) Conjecture). *Let K be a quadratic imaginary number field with $d_p \text{Cl}(K) = 2$, let $G = \text{Gal}(K_p^{(\infty)}/K)$, choose a basis $\{\chi_1, \chi_2\}$ for $H^1(G, \mathbb{F}_p)$, and suppose $p > 3$. Then $\ell_p(K) < \infty$ if and only if the matrix*

$$\begin{bmatrix} \text{tr}_{\rho_1} \langle \chi_1, \chi_1, \chi_2 \rangle & \text{tr}_{\rho_1} \langle \chi_2, \chi_2, \chi_1 \rangle \\ \text{tr}_{\rho_2} \langle \chi_1, \chi_1, \chi_2 \rangle & \text{tr}_{\rho_2} \langle \chi_2, \chi_2, \chi_1 \rangle \end{bmatrix}$$

is invertible over \mathbb{F}_p .

Finally, we observe that the (3,3) conjecture would also provide an algorithm for determining whether or not a given quadratic imaginary number field K has a finite p -class field tower. Namely, one first computes the p -rank $d_p \text{Cl}(K)$. If this p -rank is 0 or 1, the p -tower is finite, and if the p -rank is 3 or larger, the p -tower is infinite. The only remaining case is that $d = 2$. Note that $G'' \subset \gamma_4(G) \subset G_4$ and so we have a surjection $\text{Gal}(K_p^{(2)}/K) = G/G'' \rightarrow G/G_4$. Computing the Galois structure of the first two steps of the p -class field tower over K thus allows the computation of G/G_4 . In [Mc08], the author finds that the quantity $\dim_{\mathbb{F}_p} G_3/G_4$ distinguishes finite p -groups of Zassenhaus type (3,3) from finite p -groups of Zassenhaus type (3,5) or (3,7) for any value of p . Specifically, we have the following table of values of $\dim_{\mathbb{F}_p} G_3/G_4$ depending on the prime p and the Zassenhaus type of G :

	(3,3)	(3,5) or (3,7)
$p = 3$	2	3
$p \geq 5$	0	1

Thus under the assumption of the (3,3) conjecture, the decision process concludes with the computation of the quantity $\dim_{\mathbb{F}_p} G_3/G_4$. One has $\ell_p(K) < \infty$ if the result is even, and $\ell_p(K) = \infty$ if the result is odd.

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