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6 Article type : Research Paper

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9 **Original Article: Fish biogeography in the "Lost World" of the Guiana Shield:**

10 **Phylogeography of the weakly electric knifefish *Gymnotus carapo* (Teleostei: Gymnotidae)**

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This is the author manuscript accepted for publication and has undergone full peer review but has not been through the copyediting, typesetting, pagination and proofreading process, which may lead to differences between this version and the [Version of Record](#). Please cite this article as [doi: 10.1111/jbi.13177](https://doi.org/10.1111/jbi.13177)

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41 Running head: Biogeography of a Guiana Shield electric fish

42

Word Count: 6216

43 **ABSTRACT**

44 **Aim**

45 The Guiana Shield region exhibits extraordinary topography that includes sheer, flat-topped
46 mountains (tepui) atop an upland platform. Rivers of the eastern Pakaraima mountains descend to
47 Atlantic coastal lowlands, often traversing spectacular rapids and waterfalls. For fish species
48 distributed in both uplands and lowlands, it is unclear whether these rapids and waterfalls present
49 population or biogeographic boundaries. We sought to test this using the geographically
50 widespread banded-electric knifefish (*Gymnotus carapo*) as a model.

51 **Location**

52 The Guiana Shield region of South America.

53 **Methods**

54 We sampled 60 *Gymnotus carapo* specimens from the Guiana Shield region, and 75 *G. carapo*
55 and closely related species from other parts of South America. We sequenced the mitochondrial
56 cytochrome *b* gene and an intron from the nuclear *S7* ribosomal protein gene, and used maximum
57 likelihood and Bayesian tree-building approaches to generate phylogenetic trees of haplotypes.

58 **Results**

59 Haplotype sharing is minimal between populations separated by elevational barriers. We found
60 evidence for two main haplotype clades in the Guiana Shield: one distributed in Atlantic coastal
61 regions that includes most lowland samples, and one inland that includes most upland samples.
62 Inland Guiana samples are more closely related to samples from the Amazon basin than to those
63 of Atlantic coastal regions. A single sample from Tafelberg tepui in Suriname was most closely
64 related to the Atlantic coastal lineages.

65 **Main conclusion**

66 Riverine barriers that result from steep elevation gradients in the Guiana Shield inhibit gene flow
67 between uplands and lowlands, even for a widely distributed species. Biogeographic relationships
68 of Guiana Shield *G. carapo* are complex, with most upland lineages showing affinities to the
69 Amazon basin, rather than to nearby lowland drainages of the Atlantic coast.

70 **Keywords**

71 Guiana Shield; *Gymnotus carapo*, Pakaraima Mountains; phylogeography; South America;
72 Tafelberg; tepui

73 INTRODUCTION

74 The Guiana Shield region of north-eastern South America is famous for its
75 striking topography. In particular, the Pakaraima Mountains of Guyana, Brazil, and
76 Venezuela are extraordinary sheer-sided tabletop mountains (tepui) rising above an
77 upland platform, which itself is elevated above the lowlands (McConnell, 1968; Lujan &
78 Armbruster, 2011; Rull, 2005). These mountains are exceedingly remote, and this region
79 has been referred to as a "Lost World" for this reason (e.g., Kok *et al.*, 2016). The
80 hydrogeography of this region is complex—upland regions are drained to the west and
81 north by the Rio Orinoco, to the south by the Rio Amazonas via the Rio Branco and Rio
82 Negro, and in the east by the Mazaruni and Essequibo drainages (Lujan and Armbruster,
83 2011). Rivers originating in the Pakaraima Mountains descend by as much as 1000 m,
84 often via spectacular waterfalls and rapids, before reaching the Atlantic coastal plain.

85 The uplands and highlands of the Guiana Shield exhibit remarkable biological
86 endemism across taxonomic groups, including vascular plants (Berry & Riina, 2005),
87 birds (Zyskowski *et al.*, 2011), herpetofauna (McDiarmid & Donnelly, 2005), and
88 mammals (Voss *et al.*, 2013). Although sampling of fishes is generally sparse at higher
89 altitudes of the Guiana Shield, surveys of the upper Mazaruni and upper Potaro rivers
90 also indicate endemism and biogeographic isolation. Alofs *et al.* (2014) estimated fish
91 species endemism in the upper Mazaruni, which is isolated from the lowland course of
92 the river by a series of rapids and waterfalls, to be between 67 - 95%. The upper
93 Mazaruni also hosts multiple endemic genera (in the families Cichlidae, Loricariidae,
94 Crenuchidae, and Lebiasinidae) suggesting a long period of isolation (Alofs *et al.* 2014).
95 The upper Potaro, which is isolated by the Kaieteur Falls, contains the endemic
96 *Lithogenes villosus*, a "relict" catfish species whose morphology is so distinct that its
97 family-level phylogenetic placement has been subject to debate (Armbruster, 2004;
98 Schaefer & Provenzano, 2008; Lujan *et al.* 2015). Hardman *et al.* (2002) provided strong
99 evidence that fish species distributions in the upper Potaro are affected by a series of
100 rapids and waterfalls.

101 In many cases, the isolation imposed by Guiana Shield elevation changes and
102 associated waterfalls and cataracts has limited fish species distributions, and resulted in
103 endemism across a range of taxonomic levels. However, for other species, these barriers
104 appear to be surmountable. For example, the banded electric knifefish *Gymnotus carapo*

105 L. is distributed in both uplands of the Pakaraima mountains and nearby lowlands
106 (Hardman *et al.*, 2002; Albert & Crampton, 2003). *Gymnotus carapo*, a member of the
107 electric knifefish clade Gymnotiformes, is the most widely distributed species in its
108 genus, occurring in the Amazon, Orinoco, Guianas, and northeast Atlantic Brazilian
109 drainages, as well as on Trinidad (Albert & Crampton, 2003). *Gymnotus carapo* has been
110 collected from Guianas coastal lowland rivers including the Demerara, Berbice,
111 Commewijne, and Suriname rivers, as well as from upland Guiana Shield localities in the
112 upper Mazaruni and upper Potaro drainages. Also, *G. carapo* was recently collected near
113 the summit of Tafelberg, an isolated tepui in Suriname that has elevations ranging from
114 500 to 1000m (Fig. 1). Because of its wide distribution in the Guianas, and its presence in
115 both upland and lowland rivers, *G. carapo* is an interesting model for studying
116 biogeographic relationships, genetic connectivity, and dispersal pathways in this region.

117 Here, we present a biogeographic analysis that focuses on *Gymnotus carapo* from
118 uplands (defined as elevations > 300 m a.s.l.) and lowlands (elevations < 300 m a.s.l.) of
119 the north-eastern Guiana Shield region (hereafter Guianas), with three main objectives.
120 First, we wanted to determine whether samples from upland and lowlands are genetically
121 differentiated. Do the waterfalls and rapids that separate uplands and lowlands represent
122 a barrier to *Gymnotus carapo*? Second, we investigated biogeographic relationships
123 among upland and lowland Guianas lineages, in relation to samples from other parts of
124 South America. Are individuals from uplands most closely related to individuals from
125 nearby lowland river basins? Alternatively, uplands lineages from different rivers might
126 form monophyletic groups, indicating biogeographic connectivity among upland sections
127 of different river drainages. Finally, we sought to determine the phylogenetic affinity of
128 the isolated Tafelberg tepui sample—would it be most closely related to upland
129 populations of the Pakaraimas, or to geographically proximate lowland populations of *G.*
130 *carapo*? To address these questions, we analyzed sequence data from a mitochondrial
131 coding gene (cytochrome *b*) and a nuclear intron (S7) from individuals representing both
132 upland and lowland populations in the Guianas, as well as other regions of the species
133 range in South America.

134

135 MATERIALS AND METHODS

136 Study Region and Taxon Sampling

137 To explore the relationships of *Gymnotus carapo* in the Guianas region, we examined 60
138 samples obtained from upland (> 300 m a.s.l.) and lowland localities (< 300 m a.s.l.) between
139 2008 and 2014 (collection permits issued by the Suriname Nature Conservation Divison and
140 Guyana Environmental Protection Agency: 311 – 2007, 1194-2014; 300408 SP:004, 030211
141 BR149, 040414 SP:003, 190209 SP:010, 160410 SP: 020). Our upland samples (Table 1; Fig. 1)
142 come from three main areas, two of which are in the Pakaraima mountains: the upper Potaro
143 (localities 8-11) and the upper Mazaruni (localities 4-7). The third upland area is Tafelberg tepui
144 (locality 16), which is geographically separated from the other upland regions by lowland habitat.
145 In all three cases, upland localities are separated from lowland localities by rapids or waterfalls.
146 The upper Mazaruni is separated from the lowlands by a series of steep rapids and waterfalls
147 (Alofs *et al.*, 2014); the upper Potaro is separated from the lowlands by the 226 m drop of
148 Kaieteur Falls (Hardman 2002); and streams on Tafelberg tepui flow over the sheer edge of the
149 tepui, eventually draining to the right arm of the Coppename River. Although the upper Potaro and
150 upper Mazaruni samples are geographically adjacent, there is no direct connection between these
151 rivers (Lujan & Armbruster, 2011).

152 Our lowland Guianas samples were collected largely from coastal rivers in Guyana and
153 Suriname (localities 1-3, 13-15, and 17-20) that drain in a north-easterly direction to the Atlantic
154 (Table 1; Fig. 1). An exception is the sample from the Sawariwau River (locality 12), which runs
155 through the lowland Rupununi area between the Pakaraima and Kanuku mountain ranges, and
156 forms a part of the upper Branco that flows southwards to the Amazon basin.

157 To provide geographical and phylogenetic context, we also included samples of *G. carapo*
158 from other parts of South America, as well as samples of several closely related species (Appendix
159 S1 in Supplementary Information). Crampton and Albert (2003) defined *G. carapo sensu stricto*
160 and we included samples from several allopatric populations delineated by these authors,
161 including from the western and central Amazon, and the Orinoco. Phylogenetic studies based on
162 morphological and molecular data have failed to support the monophyly of *G. carapo*, and have
163 placed other described and undescribed *Gymnotus* species within *G. carapo* (Albert *et al.*, 2005;
164 Lovejoy *et al.*, 2010; Brochu, 2011; Maxime, 2013; Crampton *et al.*, 2013). We included
165 representatives of as many of these species as possible (generally corresponding to members of

166 "G. carapo clades" B, C, and D from Crampton *et al.*, 2013). As outgroups, we included G.
167 *obscurus*, G. *varzea*, G. *curupira*, and G. *chaviro* (members of the "G. carapo clade A" from
168 Crampton *et al.*, 2013).

169

170 **DNA Extraction, Polymerase Chain Reactions and Sequencing**

171 DNA was extracted from muscle tissues using DNeasy Blood and Tissue kit, following
172 manufacturer's instructions (Qiagen, Hilden, Germany). We amplified and sequenced fragments
173 of the mitochondrial Cytochrome *b* (*cyt b*) gene and the first intron of the nuclear *S7* gene, RP1
174 (Chow & Hazama, 1998). An approximately 1100 base pair fragment of *cyt b* was amplified using
175 PCR in 25 μ L reaction volumes made up of 2.0 μ L of DNA, 14.8 μ L of de-ionized water (ddH₂O),
176 1x KCl Taq polymerase buffer, 2.0 mM MgCl₂, 0.15 μ M each deoxynucleotide triphosphate
177 (dNTPs), 0.4 μ M forward primer, 0.4 μ M reverse primer, and 1U of Taq polymerase. Universal
178 vertebrate primers GLUDG.L (5'-CGAAGCTTGACTTGAARAACCAAYCGTT-3'), *cytbR* (5'-
179 CTCCGATCTTCGGATTACAAG-3'), and *cytbF* (5'-TCYAWCATCTCAGCCTGATG-3') were
180 used for *cytb* amplification. Primers specific to *Gymnotus* were developed for tissues that were
181 difficult to amplify. Thermocycler conditions for *cyt b* were: 95°C for 30s for initial denaturation
182 followed by 35 cycles of 95°C for 30s to denature DNA; 50.0°C for 60s to anneal DNA, and 72°C
183 for 90s for elongation. This was followed by a final extension cycle for 300s at 72°C.

184 An approximately 500 base pair fragment of the first intron of *S7* was amplified using PCR
185 in 25 μ L reaction volumes of 11.875 μ L ddH₂O, 1x of KCl Taq polymerase buffer, 1.5 mM of
186 MgCl₂, 0.2 μ M of each of dNTPs, 1.2 μ M of forward and reverse primers, and 0.625 U of Taq
187 polymerase. Thermocycler conditions for *S7* were 95°C for 30s of initial denaturation followed by
188 30 cycles of 95°C for 30s denaturation; 50.6 – 55.5°C for 60s annealing, and 72.0°C for 120s of
189 elongation. An extension period of 600s followed the cycles. All PCR products were visualized
190 using gel electrophoresis. Products were run on a 0.8% agarose gel preloaded with 5.0 μ L of
191 Amresco EZVision in-gel stain. 5.0 μ L of DNA was pipette-mixed with 3.0 μ L of
192 Thermoscientific 6x loading dye. The electrophoresis was run for 30 minutes at 80 Volts and 70
193 milliAmperes. PCR products were purified using ExoSAP-IT according to the manufacturer
194 protocol (Affymetrix), and sanger-sequenced at the Centre for Applied Genomics (Toronto,
195 Canada).

196 **Sequence Alignment and Phylogenetic Analyses**

197 Sequences were imported into GENEIOUS 6.1.7 (Biomatters Ltd, Auckland, New Zealand)
198 and aligned using default CLUSTALW parameters. For *cyt b*, we obtained 1074 base pairs for 118
199 individuals. No insertions or deletions were noted. For *S7*, we obtained 784 base pairs for 73
200 individuals, and seven indels were detected. For analysis of the diploid nuclear *S7* dataset, we
201 used PHASE 2.1 (Stephens *et al.*, 2001) to determine the allele sequences (haplotypes) of any
202 sequences with polymorphisms (heterozygotes). PHASE was run for 100 iterations with a burn in of
203 100; the algorithm was set to run ten times. All other priors and parameters used the default
204 settings. After analysis with PHASE, our *S7* dataset consisted of 103 haplotypes. We used MEGA 7
205 (Kumar *et al.*, 2016) to calculate uncorrected pairwise distances between our sequences within the
206 *cyt b* and *S7* matrices.

207 The *cyt b* and *S7* matrices were analyzed separately using PARTITIONFINDER 1.1.0 (Lanfear
208 *et al.*, 2012) to determine the most appropriate partition schemes and model of molecular
209 evolution for each partition, based on the Akaike information criterion (AIC). Bayesian and
210 maximum likelihood analyses were conducted on each gene independently, using MRBAYES 3.2.2
211 (Huelsenbeck *et al.*, 2001), and RAXML 1.31 (Stamatakis, 2006), respectively. For Bayesian
212 analyses, each analysis was run until the average standard deviation of split frequencies was below
213 0.01. For Bayesian and maximum likelihood analysis of *S7*, the gene was not partitioned; an HKY
214 model was used in MRBAYES and a GTR model in RAXML.

215 For analysis of *cyt b*, the gene was partitioned by codon position as determined by
216 PARTITIONFINDER. Codon positions one and two were assigned the GTR+G+I model, and codon
217 position three was assigned the GTR+G model. The *cyt b* analysis in MRBAYES was run for 50
218 million generations sampling every 10 000 generations. Our RAXML analysis included 50 searches
219 to infer the best ML tree. Node support was estimated by 1000 bootstrap replicates. MRBAYES was
220 run for 50 million generations sampling every 10 000 generations. For RAXML, a total of 50
221 searches were used to generate the best ML tree. Node support was estimated by 1000 bootstrap
222 replicates.

223 We used *BEAST 2.1.3 (Drummond *et al.*, 2012) to implement a Bayesian species tree
224 approach using the concatenated *cyt b* and *S7* data. We used geographically defined lineages as
225 proxies for species, since the species identities within the *Gymnotus carapo* complex are unclear.
226 Though it is possible to estimate divergence times in *BEAST using geologic events or fossil data,
227 neither of these are readily available for the Guianas region or *Gymnotus*, and we chose not to
228 include it in our analysis. Three separate runs were conducted for 100 million generations

229 sampling every 10 000 generations, using unlinked trees and models for each gene, and an
230 additional run was conducted using only priors. We used a GTR+G+I model for *cyt b* and a HKY
231 model for *S7*, with a strict clock imposed and a birth-death process prior for rates of cladogenesis.
232 Log files of each separate run were examined in Tracer 1.6 (Rambaut *et al.*, 2014) to assess
233 convergence of parameter estimates before combining all three runs to generate a single tree in
234 TreeAnnotator 1.8.1, a part of the BEAST package (Drummond *et al.*, 2012).

235 **RESULTS**

236 **Distributions of haplotypes across the Guianas region**

237 Genbank numbers for sequences used in this study are provided in Appendix S1. We
238 observed a total of four different *cyt b* haplotypes across 27 individuals from upland rivers,
239 including the upper Mazaruni and upper Potaro Rivers from the Pakaraima mountains in Guyana,
240 as well as from Tafelberg tepui in Suriname (Table 1). *Cyt b* haplotypes were shared between
241 localities within the same river drainage, but not across upland river drainages (Table 1). All 10
242 individuals from localities 4-7 within the upper Mazaruni shared haplotype A, except for a single
243 individual from locality 7 with unique haplotype B. All fish from the upper Potaro (localities 8 –
244 11) shared haplotype C. The single individual from the upland Tafelberg site had a unique
245 haplotype (J).

246 We collected *cyt b* sequences from 33 individuals from rivers of lowland Guyana and
247 Suriname, and these exhibited considerably more haplotypes (17) than upland individuals (Table
248 1). Also, in contrast to uplands, lowland rivers showed haplotype sharing across river basins. For
249 example, haplotype L is found in the Waini River (locality 2), the Suriname River (locality 18),
250 and the Commewijne River (localities 19 and 20). Compared to upland localities, more haplotype
251 diversity was observed within lowland localities. For example, four haplotypes were observed
252 among the seven sequenced individuals from the Courantyne River (locality 15).

253 For *cyt b*, we found no shared haplotypes between sites from upland and lowland regions
254 of the Guiana Shield. The mean uncorrected sequence divergence between individuals from the
255 upland rivers of the eastern Pakaraimas (upper Potaro and upper Mazaruni) and lowland Guianas
256 was 1.2%. The average sequence divergence between the Tafelberg individual and lowland
257 Guianas individuals was 1.5%, and the average sequence divergence between the Tafelberg
258 individual and the Pakaraima *G. carapo* was 1.9%.

259 We sequenced *S7* from 35 fish from the Guianas, including 13 from upland localities and
260 22 from lowland localities, and recorded a total of 18 haplotypes (Table 1). Many of the patterns

261 observed in *cyt b* were repeated in this nuclear locus. In general, fish from upland localities had
262 fewer haplotypes (four), compared to lowland localities (14). We observed no haplotype sharing
263 across basins in upland localities, but haplotypes are shared across basins in lowlands.

264 We observed minimal haplotype sharing between upland and lowland localities. Eastern
265 Pakaraima fish exhibited three haplotypes (b, c, and d), and the Tafelberg *G. carapo* had a unique
266 haplotype (j). These haplotypes were not observed at lowland localities, except that haplotype c
267 (present in both the upper Potaro and upper Mazaruni) was recorded in a single individual from
268 the upper Berbice (locality 14), as well as in a single individual of *Gymnotus arapaima* from the
269 central Amazon.

270 The mean uncorrected sequence divergence between individuals from the upland
271 Pakaraima rivers and Guianas lowlands was 0.7%. Average sequence divergence between the
272 Tafelberg individual and lowland Guianas individuals was 0.8%; the average sequence divergence
273 between the Tafelberg individual and Pakaraima individuals (upper Potaro and upper Mazaruni)
274 was 0.3%.

275 **Biogeographic Relationships Between Upland and Lowland Guianas**

276 In the *cyt b* topology (Fig. 2), haplotypes from upland and lowland Guianas are for the
277 most part, but not exclusively, positioned in different clades. Haplotypes from the upper Mazaruni
278 (uplands), upper Potaro (uplands), and upper Berbice (lowlands) form a monophyletic clade that
279 has moderate support (pp = 0.71). This clade is the sister group of a haplotype from the Rupununi
280 (lowlands) (pp = 0.93). We name this entire group the “Inland Guianas Clade” (IGC), based on its
281 relatively inland geographic distribution. The IGC is sister (pp = 1.0) to a group of *G. carapo*
282 haplotypes and *Gymnotus* species (*G. arapaima*, *G. ucamara*, and *G. n. sp. LORE*) haplotypes
283 from the Amazon (hereafter, the “Amazon Clade”). All other *G. carapo* haplotypes from the
284 Guianas are part of a large “Atlantic Coastal Clade” (ACC) (pp=0.99), with the exception of the
285 single haplotype from Tafelberg tepui, which is positioned as the sister lineage of the ACC (pp =
286 0.77). The *BEAST tree (Fig. 4) largely matches the *cyt b* tree, indicating a monophyletic IGC
287 that is sister to an Amazon Clade, and a monophyletic ACC that is sister to the Tafelberg lineage.

288 Compared to *cyt b*, the S7 topology (Fig. 2) shows shallower branches and less
289 correspondence between inferred clades and geographic distributions. The S7 tree has a clade that
290 includes inland haplotypes, but this clade also includes several haplotypes from coastal regions
291 and Tafelberg, as well as several representatives of the closely related species *Gymnotus*
292 *arapaima*. Other lowland/coastal haplotypes are distributed in other parts of the tree.

293 **DISCUSSION**

294 **Genetic divergence across the Guianas – elevational barriers isolate the upland rivers**

295 A key finding of our study is that for the electric knifefish *Gymnotus carapo*, haplotypes
296 are not generally shared between upland and lowland Guianas localities. This provides evidence
297 that barriers between these habitats likely restrict gene flow. In most cases, rivers that flow across
298 planation surfaces of the Guianas experience a steep gradient as they pass from uplands to
299 lowlands (Hammond, 2005; McConnell, 1968). For example, as the Mazaruni River leaves the
300 uplands, it passes through 60 km of rapids and waterfalls (Gery & Zarske, 2002; Alofs *et al.*,
301 2014), while the Potaro River flows over Kaieteur Falls, a spectacular 226-m drop in elevation
302 from the Pakaraima uplands (Hardman *et al.*, 2002) then crosses another barrier called the
303 Tumatumari cataract, before traversing the coastal lowlands of the Guianas. While the effect of
304 these physical barriers on fish communities and species distributions has been discussed (e.g.,
305 Hardman *et al.*, 2002; Lujan & Armbruster, 2011; Alofs *et al.*, 2014), our study provides the first
306 genetic evidence that these barriers influence genetic connectivity within a species.

307 The distinctiveness of upland and lowland populations reflects a pattern of endemism that
308 has been reported from the Pakaraima Mountains and associated upland areas. In fishes, there are
309 several species endemic to upland rivers of the Guianas, including members of the families
310 Hypopomidae, Cichlidae, and Loricariidae, as well as four families of Characiformes (Gery &
311 Zarske, 2002; Lujan, 2008; Taphorn *et al.*, 2008; Armbruster & Taphorn, 2011; López-Fernández
312 *et al.* 2012; Lujan *et al.*, 2013; Maldonado-Ocampo *et al.*, 2014). Although the antiquity of these
313 endemic species is not well known, some may date to the Oligocene or earlier (Lujan and
314 Armbruster, 2011; López-Fernández *et al.*, 2013), indicating a very long period of isolation. We
315 did not attempt to estimate a time-tree for *G. carapo* because we do not have precise fossil or
316 biogeographic calibration points. However, we calculated *cyt b* sequence divergence between
317 upland taxa and other populations to be 1.5%. Based on a rate of mitochondrial sequence
318 divergence of approximately 1% per million years, this would provide an age estimate of 1.5 Myr
319 for the divergence of upland *G. carapo* from nearby lowland populations. This suggests that *G.*
320 *carapo* is a more recent addition to the fauna of upland Guiana, in line with ages of some
321 amphibians found on tepui summits (Kok *et al.* 2012; Kok *et al.* 2016). Based on these results, we
322 suggest that upland Guianas ichthyofauna consist of a mosaic of relatively ancient endemics
323 combined with more recent arrivals like *G. carapo*.

324 **Two distinct *G. carapo* lineages in the Guianas**

325 Our analyses indicated imperfect concordance between gene trees for *cyt b* and S7. This
326 pattern has been observed in other taxa where mitochondrial and nuclear genes have been
327 compared (e.g., Monsen & Blouin, 2003; Bensch *et al.* 2005; Wiens *et al.* 2010). As expected, S7
328 is less divergent between populations than *cyt b*, and this is likely due to lower rates of molecular
329 evolution and larger effective population sizes for nuclear versus mitochondrial genes. Given the
330 very low levels of divergence for S7 between *G. carapo* populations, we suggest that this gene is
331 less likely to track recent dispersal and genetic isolation of populations, with observed variation
332 potentially representing inherited ancestral polymorphisms that have not yet "sorted" based on
333 geography and population barriers. In light of this, we emphasize *cyt b* in our interpretation of
334 biogeographic patterns, as well as the *BEAST tree, which most closely resembles the *cyt b* gene
335 genealogy.

336 Our phylogenetic analysis of *G. carapo* lineages shows a mismatch with our initial upland
337 and lowland site categorization. Instead we find evidence that two major lineages in the Guianas
338 are (1) an inland Guianas clade (IGC) that is composed of *G. carapo* from the upper Mazaruni and
339 Potaro rivers, as well as the upper Berbice and Rupununi; and (2) an Atlantic coastal clade (ACC)
340 that includes fish from the coastal rivers and the Tafelberg *G. carapo* (Fig. 4). Surprisingly, the
341 IGC and ACC are not each other's closest relatives. Instead, the IGC is most closely related to an
342 Amazon clade that includes samples from the upper and middle Amazon. Overall, these patterns
343 suggest that while elevational barriers such as waterfalls and rapids play a significant role in
344 shaping phylogeographic patterns in *G. carapo* in the Guianas, they are not the only factor
345 determining relationships among populations; it is likely that continent-scale biogeographic
346 connections and routes of dispersal between areas are also key drivers.

347 The *G. carapo* ACC is in agreement with an Atlantic Coastal biogeographic corridor,
348 evidence for which is summarized by Lujan and Armbruster (2011). This corridor encompasses
349 coastal Atlantic drainages from the mouth of the Orinoco to the mouth of the Amazon River.
350 Dispersal within the eastern part of the corridor is likely facilitated by north-western movements
351 of the Amazon discharge causing reduced salinity in coastal habitats, thereby allowing fishes to
352 move from mouth to mouth of separated drainages (Jégu & Keith, 1999). Sea level changes that
353 connect rivers in coastal plains, and headwater captures and connections are also likely enhancers
354 of dispersal. The ACC geographic pattern of *G. carapo* *cyt b* and S7 haplotype distributions fit
355 this scenario of dispersal and gene flow, since several haplotypes are distributed across multiple

356 river drainages. Future inclusion of *G. carapo* from the lower Amazon, as well as from other
357 Amazon drainage rivers that have been proposed as "sources" for coastal corridor dispersers (such
358 as the Jari River; Cardoso & Montoya-Burgos, 2009), would clarify the biogeographic affinities of
359 the *G. carapo* ACC.

360 The biogeographic basis for the *G. carapo* IGC is less clear. This clade includes a group of
361 strongly geographically demarcated individuals from the Pakaraimas, but also includes individuals
362 from the upper Berbice and Rupununi (both low elevation sites). Low levels of genetic divergence
363 between IGC individuals imply recent genetic connectivity between these localities, but dispersal
364 routes, in the absence of more extensive sampling, remain unclear. We note that a similar
365 biogeographic pattern has been observed in a species of the characiform family Crenuchidae --
366 *Skiotocharax meizon* is found in the upper Mazaruni, but has been reported from a single locality
367 in the Berbice as well (Presswell *et al.*, 2000).

368 Lujan and Armbruster (2011) have previously highlighted the importance of the proto-
369 Berbice paleo-drainage for the biogeography of the Guiana Shield region. The proto-Berbice was a
370 large river that, until the Plio-Pleistocene, is thought to have drained much of the eastern Guiana
371 Shield region, including the southern Guiana Shield uplands, the Rupununi Savannas, and the
372 current Berbice basin. The fact that the IGC occupies these regions suggests that it dispersed
373 throughout the proto-Berbice. However, the sister-taxon relationship between the IGC and
374 Amazon clade suggests, alternatively, that the IGC is the product of relatively recent dispersal
375 from the Amazon.

376 While not the focus on this study, these results provide insight regarding taxonomic and
377 evolutionary aspects of the *Gymnotus carapo* species. Albert and Crampton (2003) defined
378 *Gymnotus carapo sensu stricto*, but phylogenetic studies using both morphological and molecular
379 datasets have failed to resolve *G. carapo* as a monophyletic lineage (Albert *et al.*, 2004; Lovejoy
380 *et al.*, 2010; Brochu, 2011; Crampton *et al.*, 2013). The present study, which includes increased
381 molecular sampling of *G. carapo* populations, confirms that there is a complex relationship
382 between geographic isolates of this species and their close relatives. Our analyses show that
383 several described species, including *G. arapaima*, *G. ucamara*, *G. ardilai*, and *G. bahianus* are
384 nested within *Gymnotus carapo* lineages. In addition, our results demonstrate discordance with
385 Albert and Crampton's (2003) morphology-based population boundaries of *G. carapo*; notably, the
386 putative GO (Guiana Shield and Orinoco) population defined by Albert and Crampton (2003)

387 encompasses at least three genetic lineages (the ACC, IGC, and a lineage in the Orinoco/Andes
388 region) that do not make up a monophyletic group.

389 **Tafelberg tepui *Gymnotus carapo***

390 Tafelberg tepui is a table-top mountain in Suriname, and is geographically isolated from
391 the Pakaraima mountain range of Guyana, Brazil, and Venezuela by approximately 300km of
392 lowland habitat. Our single *G. carapo* specimen was collected from a small pool on the summit of
393 Tafelberg, at approximately 600 m elevation. Our analyses suggest that this sample is genetically
394 distinct, with unique *cyt b* and S7 haplotypes that are, respectively, minimally 1.1% and 0.2%
395 diverged from other haplotypes in our study. Our *cyt b* and *BEAST phylogenies (Fig. 2; Fig. 4)
396 place the Tafelberg sample as the sister to the ACC, indicating an independent dispersal to this
397 high elevation habitat from lowland coastal habitat. The question of whether there is a genetically
398 isolated, self-sustaining population of *Gymnotus* at the summit of Tafelberg, and what its age
399 might be, is deferred until samples can be procured from lowland drainages in the immediate
400 vicinity of this tepui.

401 ***Gymnotus carapo*, a super-dispersing, mountain-climbing species complex**

402 Our results highlight the continental scale population connectivity of a widespread
403 Neotropical fish species. Especially impressive is the minimal genetic divergence detected
404 between upper Amazon individuals and members of the IGC. In fact, we identified an S7
405 haplotype (b) that is shared across the upper Mazaruni, middle Berbice, and upper Amazon (in *G.*
406 *arapaima*), despite the maximum separation of these basins by roughly 2000km. Also, unlike most
407 other Neotropical fish taxa, *G. carapo* has gained access to extremely isolated upland river
408 drainages of the Guiana Shield, including rivers of the Pakaraima mountains and Tafelberg tepui.
409 In contrast to other taxa present in these isolated regions, which are often endemic species or
410 genera (Alofs *et al.*, 2014), we find that *G. carapo* is only diverged at a population level (<2% *cyt*
411 *b* divergence) from nearby conspecifics. We hypothesize that this species has life history traits that
412 differentiate it from other *Gymnotus* species (and indeed most other Neotropical fish species) with
413 more local distributions, and that enable both extreme long-distance dispersal and the ability to
414 surmount daunting elevational river barriers. Variation in dispersal ability among different fish
415 lineages likely contributes to the spectrum of antiquities exhibited by inhabitants of Guianas 'Lost
416 World' rivers.

417

418 **ACKNOWLEDGEMENTS**

419 The authors wish to thank M.A. Kolmann, D. Taphorn, Y. Mol, F. Janzen, E. Holm, J.
420 Maldonado-Ocampo, K. Brochu, A. Short, and N. K. Lujan for assistance with fieldwork and
421 collecting specimens. Funding for molecular work and analysis was provided by an NSERC
422 Discovery Grant to N.R.L. Fieldwork in Guyana was funded by a Research Fund from the ‘Life in
423 Crisis: Schad Gallery of Biodiversity’ through the Royal Ontario Museum (H.L.F.), grants from
424 the Royal Ontario Museum’s Department of Museum Volunteers, an NSERC Discovery Grant
425 (H.L.F.) and NSF Grant DEB 0516831 to K.O. Winemiller, R.L. Honeycutt, and H.L.F. W.G.R.C.
426 was funded by NSF grants DEB-0215388, DEB-0614334, DEB-1146374, and supplements;
427 CNPq-Brazil (grants CNPq 38062/96-2 & 381597/97-0); Ministerio de Ciência e Tecnologia -
428 Brazil, Instituto de Desenvolvimento Sustentável Mamirauá – Brazil; and the University of
429 Central Florida (UCF). D.D.B. was funded by National Geographic Committee on Research and
430 Exploration. All authors wish to thank the governments of Guyana and Suriname for permitting
431 collection and export of specimens. E.S.L. wishes to thank D. Halas for suggestions on early drafts
432 of this manuscript.

433 **CONFLICT OF INTEREST STATEMENT**

434 The authors state no conflict of interest in a financial or research capacity in the publication of this
435 paper. All authors were made aware of this statement and have acknowledged it to be true.

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601

602 **BIOSKETCH:**

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605 Author contributions: E.S.L. and N.R.L. designed the research; H.L-F., W.G.R.C., N.R.L., and
606 D.D.B. collected samples; E.S.L. and A.A.E. collected data; E.S.L. analyzed data; E.S.L. wrote
607 the paper with contributions from N.R.L. All authors contributed to and approved the final version
608 of the manuscript.

TABLES

Table 1: Localities of Guianas *Gymnotus carapo* (n=60) included in this study. Locality numbers refer to Figure 1. Approximate elevation is given in meters above sea level (m a.s.l.). To determine elevation, latitude and longitude were plotted using ArcGIS software on elevation maps acquired from DivaGIS 7.5.0 (Hijmans *et al.*, 2012). Dashes indicate sequence data unavailable.

Locality	Number of individuals sampled	Drainage	Latitude	Longitude	Elevation category	Elevation	Cyt <i>b</i> haplotype	S7 haplotype
1	2	Waini	7.700	-59.233	lowland	0 - 105	O	-
2	2	Waini	7.428	-58.676	lowland	0 - 105	L	-
3	1	Demerera	6.734	-58.303	lowland	0 - 105	Q	-
4	2	upper Mazaruni	5.936	-60.614	upland	493 - 646	A	bb
5	1	upper Mazaruni	5.708	-60.360	upland	335 - 492	A	bb
6	4	upper Mazaruni	5.475	-60.779	upland	493 - 646	A	bb
7	3	upper Mazaruni	5.360	-60.371	upland	493 - 646	A, B	bb
8	3	upper Potaro	5.010	-59.637	upland	493 - 646	C	cc
8	4	upper Potaro	5.007	-59.631	upland	493 - 646	C	cc
8	4	upper Potaro	5.007	-59.636	upland	493 - 646	C	-
9	1	upper Potaro	5.108	-59.635	upland	647 - 818	C	-
10	2	upper Potaro	5.070	-59.653	upland	493 - 646	C	cc
11	2	upper Potaro	4.933	-59.799	upland	493 - 646	C	dd
12	1	Rupununi	2.829	-59.808	lowland	106 - 225	E	ee
13	1	middle Berbice	4.905	-58.250	lowland	0 - 105	P	-
14	2	upper Berbice	4.156	-58.177	lowland	0 - 105	D	ac, ab
15	7	Courantyne	5.097	-57.143	lowland	0 - 105	F, G, H, I	fi, fh, fg
16	1	Tafelberg	3.919	-56.200	upland	493 - 646	J	jj
17	4	Suriname	5.586	-54.285	lowland	0 - 105	K, M	kk, gl
18	4	Suriname	5.452	-55.245	lowland	0 - 105	L, N	kk, mn
19	2	Commewijne	5.582	-54.233	lowland	0 - 105	L	kk, ok
20	7	Commewijne	5.586	-54.285	lowland	0 - 105	L	dd, kk, ok, oo, pq, rs, tu

FIGURES

Supporting Information

Additional Supporting Information may be found in the online version of this article

Appendix S1: List of all *Gymnotus* samples included in this study.

Appendix S2: Cytochrome *b* and *S7* trees showing all individuals included in the initial analysis.

Figures

Figure 1: Collection localities of Guianas region *Gymnotus carapo* specimens used in this study. Yellow squares show lowland localities (<300 m a.s.l.) and purple circles show upland localities (300-1500 m a.s.l.). Dashed line indicates seasonal connection between Rupununi and Potaro basins. Numbers indicate localities (more detailed information provided in Table 1): 1,2 Waini; 3, Demerera; 4-7, upper Mazaruni; 8-11, upper Potaro; 12, Rupununi; 13, middle Berbice; 14, upper Berbice; 15, Courantyne; 16, Tafelberg; 17-18, Suriname; 19-20, Commewijne.

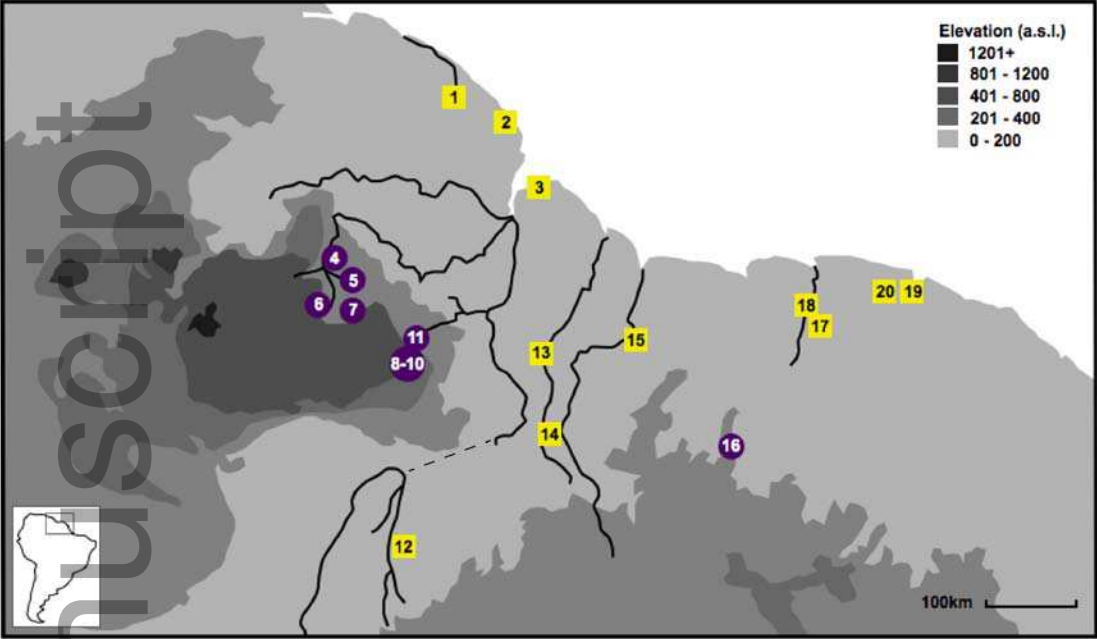
Figure 2: Reduced phylogenies of *Gymnotus carapo* lineages and closely related species, based on Bayesian analyses of two loci (complete phylogenies included in Supplementary Materials). A) Phylogeny based on mitochondrial *cyt b* gene. B) Phylogeny based on intron of nuclear *S7* gene. Upland Guianas samples are indicated in trees, all other samples are from lowlands (<300m a.s.l.).

Species names replaced by locality names for *G. carapo* samples. Numbers above branches indicate posterior probabilities followed by bootstrap values. For S7 tree, haplotypes determined by PHASE are indicated by lower case letters and only shown for Guianas individuals.

Figure 3: *BEAST phylogeny for geographically defined lineages of *G. carapo* and close relatives, generated using cytochrome *b* and nuclear S7 sequences. Taxa without species names are *G. carapo* lineages. Localities from which S7 sequences could not be obtained were excluded from this analysis. IGC = *G. carapo* inland Guianas clade; ACC = *G. carapo* Atlantic coastal clade.

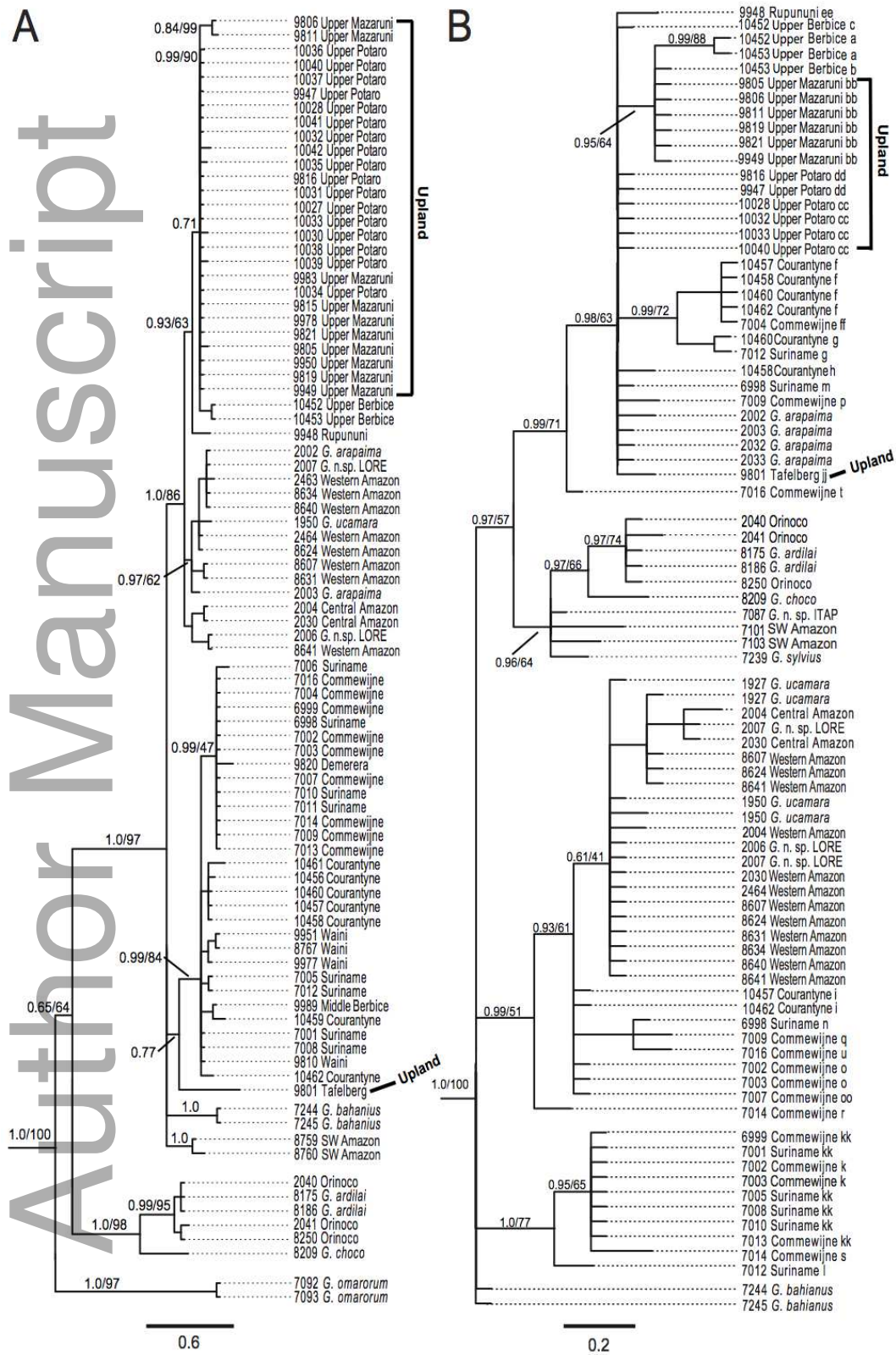
Figure 4: Distribution and phylogenetic relationships of Guianas *G. carapo* lineages. Squares denote upland localities (300-1500 m a.s.l.) and circles denote lowland localities (<300 m a.s.l.). IGC = *G. carapo* inland Guianas clade; ACC = *G. carapo* Atlantic coastal clade. Dashed line indicates seasonal connections between Rupununi and Potaro basins. Numbers indicate localities (more detailed information provided in Table 1): 1,2 Waini; 3, Demerera; 4-7, upper Mazaruni; 8-11, upper Potaro; 12, Rupununi; 13, middle Berbice; 14, upper Berbice; 15, Courantyne; 16, Tafelberg; 17-18, Suriname; 19-20, Commewijne.

609 Figure 1

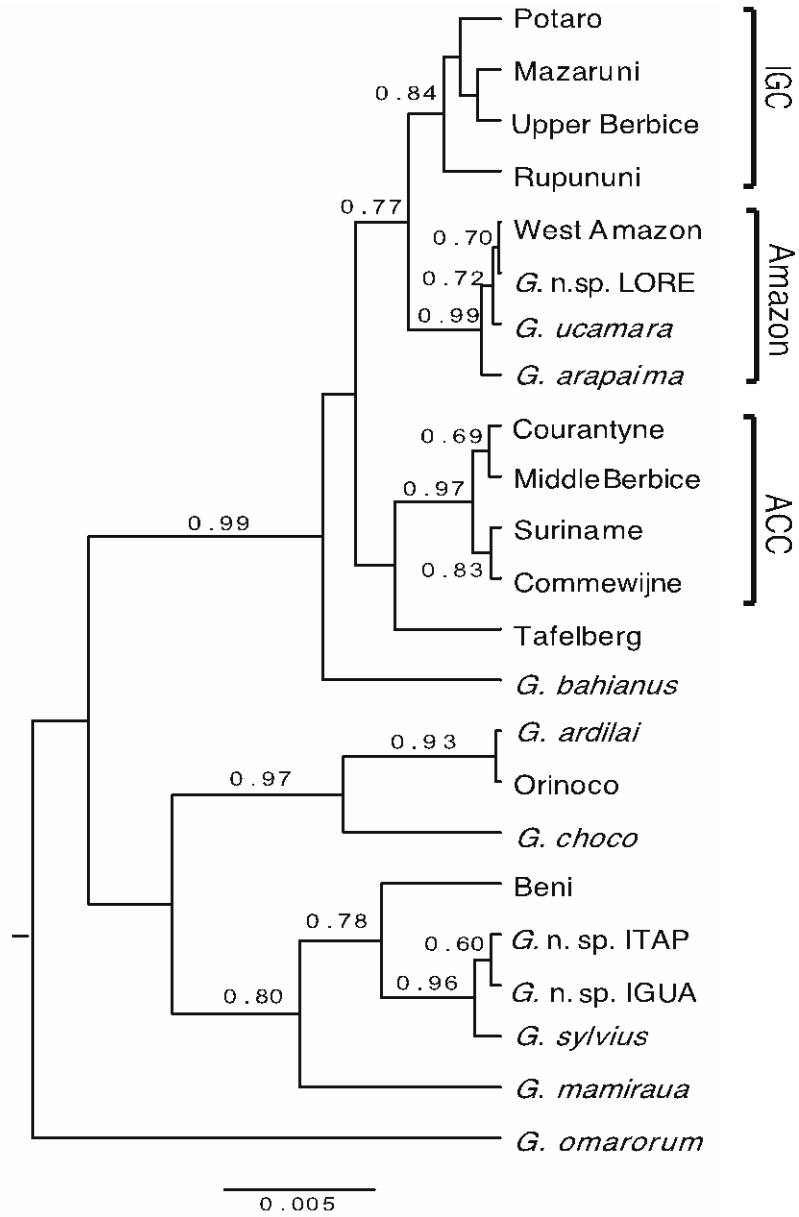


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Figure 2



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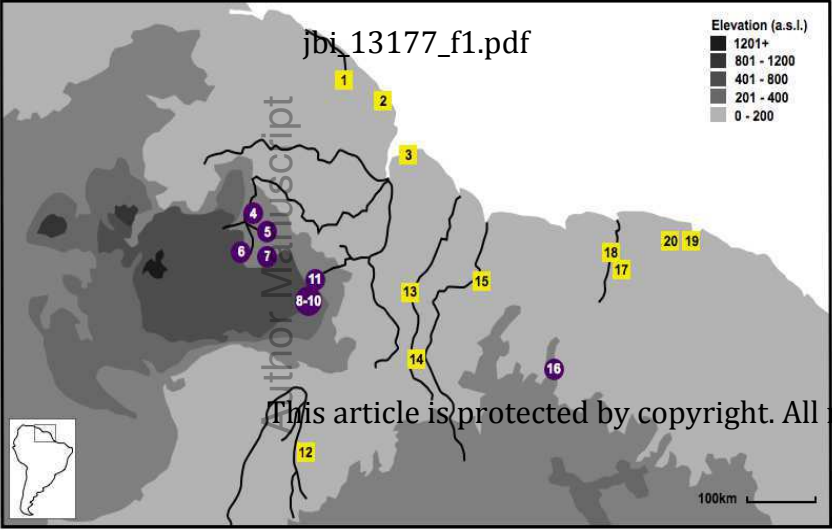
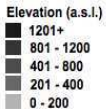
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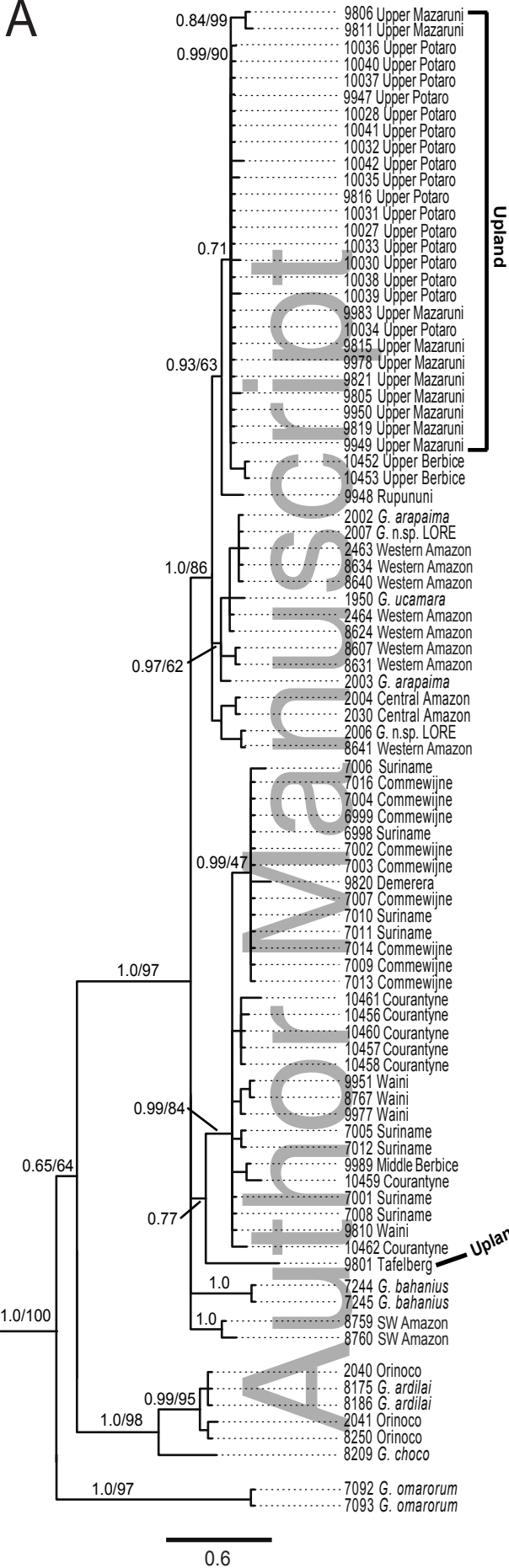
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631 Figure 4



A



B

