

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27

Article type : Special Article

**Infectious Diseases in Older Adults of Long-term Care Facilities:  
Update on Approach to Diagnosis and Management**

Robin L. P. Jump, MD, PhD (1); Christopher J. Crnich, MD, PhD (2); Lona Mody, MD, MSc (3);  
Suzanne F. Bradley, MD (4); Lindsay E. Nicolle, MD (5); and Thomas T. Yoshikawa, MD (6)

- (1) Geriatric Research, Education and Clinical Center (GRECC) and the Specialty Care Center of Innovation, Louis Stokes Cleveland Department of Veterans Affairs Medical Center; Division of Infectious Diseases and HIV Medicine, Department of Medicine and Department of Population and Quantitative Health Sciences, Case Western Reserve University, Cleveland, Ohio
- (2) University of Wisconsin School of Medicine and Public Health; William S. Middleton Veterans Affairs Medical Center; and University of Wisconsin Hospital and Clinics, Madison, Wisconsin
- (3) GRECC, Department of Veterans Affairs Ann Arbor Healthcare System; and Division of Geriatric and Palliative Medicine, University of Michigan Medical School, Ann Arbor, Michigan
- (4) Division of Infectious Diseases, Department of Veterans Affairs Ann Arbor Healthcare System and the University of Michigan Medical School, Ann Arbor, Michigan
- (5) Departments of Internal Medicine and Medical Microbiology, Health Sciences Centre, University of Manitoba, Winnipeg, Manitoba, Canada

This is the author manuscript accepted for publication and has undergone full peer review but has not been through the copyediting, typesetting, pagination and proofreading process, which may lead to differences between this version and the [Version of Record](#). Please cite this article as [doi: 10.1111/jgs.15248](https://doi.org/10.1111/jgs.15248)

This article is protected by copyright. All rights reserved

28 (6) Geriatric and Extended Care Service, GRECC, and Department of Medicine, Department  
29 of Veterans Affairs Greater Los Angeles Healthcare System; and Department of  
30 Medicine, David Geffen School of Medicine at the University of California at Los Angeles.

31

32 Correspondence to:

33 Thomas Yoshikawa, MD; VA Greater Los Angeles Healthcare System; 11300 Wilshire Blvd,  
34 Building 158/GRECC (W-11G); Los Angeles, CA 90073; Email: [toyoshikawa@cdrewu.edu](mailto:toyoshikawa@cdrewu.edu)

35

36 Key Words: Infections; infectious diseases; long-term care facilities; nursing facilities; nursing  
37 homes; geriatrics

38

39 Running Title: Infections in Long-term Care

40

41 The opinions expressed by the authors are not necessarily those of the Department of Veterans  
42 Affairs or the affiliated universities and medical centers of the authors.

43

#### 44 **ABSTRACT**

45 The diagnosis, treatment and prevention of infectious diseases in older adults in  
46 long-term care facilities (LTCFs), particularly nursing facilities, remain a challenge for all health  
47 providers who care for this population. In this review, the authors provide updated information  
48 on the currently most important issues of infectious diseases in LTCFs. With the increasing  
49 prescribing of antibiotics in older adults, particularly in LTCFs, the topic of antibiotic  
50 stewardship is presented “front and center” in this review. Following this discussion salient

51 points on the clinical relevance, clinical presentation, diagnostic approach, therapy, and  
52 prevention are discussed for skin and soft tissue infections, infectious diarrhea (*Clostridium*  
53 *difficile* and norovirus infections), bacterial pneumonia, and urinary tract infection as well as  
54 some of the newer approaches to preventive interventions in the LTCF setting.

55

56 (Abstract: 127 words)

57

---

58 **.INTRODUCTION**

59 Many of the clinical challenges and differences in epidemiology, pathogenesis,  
60 diagnostic approach, treating, and preventing infections in the older adult population have  
61 been recently described. **(1)** However, among older adults, there is a subset of individuals who  
62 add another dimension of complexity, difficulties and challenges in managing infections, i.e.,  
63 those who reside in long-term care facilities (LTCFs), or more specifically, nursing homes, which  
64 are now more commonly referred to as nursing facilities (NFs). The 15,600 NFs in the United  
65 States provide medical and residential care for 1.4 million persons on a daily basis. Each year,  
66 3.2 million persons reside in one of these facilities for some period of time. **(2,3)** Although LTCFs  
67 may also refer to rehabilitation centers, assisted living facilities and other forms of residential  
68 care, in this paper the term LTCF will be referring to NF and thus both terms may be used  
69 interchangeably. The authors will focus on providing an update on the approach to the most  
70 important infectious diseases as well as the challenges clinicians encounter in diagnosing,  
71 treating and preventing infections in older adults residing in an LTCF/NF. A brief summary on  
72 managing infection outbreaks in LTCFs can be found in a recent publication **(1)** and thus will not  
73 be discussed in this review.

74 Hospitalized patients include a wide spectrum of age groups and diseases/disorders  
75 needing acute (immediate) diagnosis and management, and generally have a short stay of less  
76 than a week. In contrast, the population in LTCFs/NFs is almost exclusively those beyond age 65  
77 (average age about 80-85 years); suffer from multiple chronic diseases/disorders (with  
78 occasional acute exacerbations), physical disability, cognitive impairment, and functional  
79 incapacity, and lengths of stay that most often are beyond 30-60 days with many remaining in

80 the LTCF/NF for the rest of their lives. Consequently, the goals of care, approach, resources,  
81 environment and staffing in an LTCF/NF may be very dissimilar to those of an acute care facility.  
82 Standard hospital care in a ward setting generally requires a registered nurse (RN) to patient  
83 ratio of 1:5; however, the LTCF: patient/resident ratio is 1:25. Acute care hospitals have  
84 physicians making daily rounds on their patients with onsite availability of laboratory and  
85 imaging studies, whereas LTCFs/NFs usually have no immediate access to such tests and  
86 physicians generally see the resident once a month (more often if the resident is not clinically  
87 well). It is also well known that infection is a major health issue in residents of LTCF/NFs and  
88 that the diagnosis of an infection may be challenging in this population, given the atypical  
89 presentation commonly seen in older adults with infection, which sometimes includes a lack of  
90 a febrile response. **(1)** With these major differences between a patient in an acute hospital  
91 setting versus a resident in an LTCF/NF, there is a substantial challenge in the approach to the  
92 clinical and laboratory diagnosis, treatment, and prevention of serious infections in this setting.

### 93 **ANTIBIOTIC STEWARDSHIP**

#### 94 **Introduction**

95 Approximately 75% of residents who stay in a NF for 6 months or longer will receive at  
96 least one course of antibiotics. **(4-6)** Over half of the antibiotic courses initiated in NFs are  
97 unnecessary and, even when necessary, the antibiotics prescribed are often excessively  
98 broad-spectrum or administered for a duration longer than necessary for treatment of the  
99 underlying infection. The overuse and misuse of antibiotics in NFs are major causes of adverse  
100 drug events and future infections such as those caused by *Clostridium difficile* and  
101 antibiotic-resistant bacteria. Once acquired by a resident, *C. difficile* and/or antibiotic-resistant  
102 bacteria may then be spread to other residents and to patients in hospitals when resident  
103 illness requires a higher level of care.

104 Improving the quality of antibiotic prescribing in healthcare settings increasingly relies  
105 on development and expansion of antibiotic stewardship programs (ASPs) which are  
106 characterized by coordinated efforts: 1) to monitor patterns of antibiotic use and  
107 antibiotic-related outcomes and 2) to oversee identification and implementation of strategies  
108 to improve these measures. **(6,7)** Implementation of ASPs in hospitals has been associated with

109 significant reductions in use of targeted antibiotics, reductions in *C. difficile* and certain types of  
110 multidrug-resistant organisms (MDROs), and significant cost savings. **(8)** Expansion of ASPs into  
111 other healthcare settings has been recommended by policy stakeholders **(9)** but their uptake in  
112 NFs remains limited. **(6,7)** Nevertheless, this situation is poised to change rapidly with the  
113 recent release of regulations that will require NFs to have ASPs in place by November of 2017.  
114 **(10)**

### 115 **Barriers to Antibiotic Stewardship**

116 ASPs in hospitals and NFs share common goals although their structure and process are  
117 quite different. **(7)** ASPs in hospitals are typically organized around a team of individuals with  
118 expertise in infectious diseases, pharmacodynamics/pharmacokinetics and informatics. **(9)**  
119 Stewardship programs in NFs are most commonly directed by the facility infection  
120 preventionists or director of nursing. The medical director and pharmacist are actively engaged  
121 in ASPs in less than 50% of NFs and involvement of individuals with formal infectious disease  
122 training is present in less than 15% of facilities. **(7)** Most hospitals employ mature and  
123 sophisticated electronic record systems that permit efficient tracking and reporting of antibiotic  
124 utilization and antibiotic-related outcomes. However, adoption of electronic health record  
125 systems has been slow in NFs, and most still rely on cumbersome manual methods to track and  
126 report process and outcome measures. The most effective antibiotic improvement methods in  
127 hospitals, including prior authorization and post-prescriptive review and feedback, can be quite  
128 effort-intensive. **(9)** While similar strategies have proven effective in NFs, **(11)** most facilities  
129 lack the resources and expertise to sustain these types of efforts. Consequently, efforts to  
130 improve the quality of antibiotic prescribing in NFs have primarily relied upon education,  
131 dissemination of guidelines and introduction of decision-support tools. **(6)**

### 132 133 **Implementing an ASP**

134 While implementing an ASP in a NF can be a daunting task, tools developed by the  
135 Centers for Disease Control and Prevention (CDC) **(12,13)** can help facilities structure their  
136 initial planning and implementation efforts **(Table 1)**. Support from facility leadership, assembly  
137 of a team, and identification of a leader with overall accountability for the program are key

138 structural resources that NFs should have in place when first embarking on development of an  
139 ASP. While it is unlikely that most NFs will have access to an ASP leader with specific antibiotic  
140 stewardship expertise, individuals with an understanding of facility clinical operations and data  
141 systems as well as experience with quality improvement activities should be accessible in most  
142 facilities. In most NFs, the infection preventionist or director of nursing are the individuals in  
143 the best position to assume this key leadership role although other individuals, such as the NF  
144 pharmacist, may also be appropriate. The medical director and director of nursing, even if they  
145 are not the designated ASP leaders, can assume a critical role in growing the facility ASP by  
146 publicly affirming its importance and supporting improvement efforts.

147 Tracking and reporting antibiotic utilization and antibiotic-related outcomes (e.g., *C.*  
148 *difficile* and MDROs) is a core activity recommended by the CDC **(12)** and will be required under  
149 new regulations. **(10)** NFs currently perform infection surveillance and tracking residents that  
150 experience a change-in-condition, particularly those receiving antibiotics, as a routine practice  
151 in NFs. **(14)** Adapting these existing processes to track antibiotic utilization and related  
152 outcomes should, therefore, be feasible in most NFs. At a minimum, facilities should  
153 periodically assess antibiotic utilization in the facility cross-sectionally (e.g., the number of  
154 residents on antibiotics during a given day, week, or month). In order to monitor the effects of  
155 improvement interventions and detect aberrant prescribing patterns, NFs should ideally track  
156 antibiotic starts and/or antibiotic days of therapy prospectively. Stratifying tracking measures  
157 by indication (e.g., urinary tract infection [UTI]) and antibiotic class (e.g., fluoroquinolones) can  
158 help facilities better ascertain conditions in need of focused attention and follow the effects of  
159 condition-specific interventions. Supplementing utilization measures with assessments of  
160 appropriateness (e.g., proportion of monthly antibiotic courses meeting explicit criteria or  
161 proportion of monthly antibiotic courses exceeding 7 days **(15)**) can provide additional insights  
162 into opportunities for improvement.

163 Once an ASP team and a system for monitoring antibiotic utilization are in place, NFs  
164 should focus on developing policies and procedures that encompass prescribing etiquette (e.g.,  
165 providing the indication, drug, dose and duration with every antibiotic order), clinical  
166 indications for diagnostic testing, and clinical indications for initiating antibiotic therapy and

167 preferred agents for treating commonly encountered infections. Education of facility staff and  
168 providers as well as resident families **(16)** is another foundational antibiotic stewardship  
169 strategy that has been shown to be effective in reducing inappropriate antibiotic use in NFs.  
170 Introduction of training and tools focused on improving resident assessments and  
171 interdisciplinary communication of resident change-in-condition have been associated with  
172 significant reductions in antibiotic utilization in several studies **(16)** and may have benefits in  
173 other areas such as reducing hospital admissions. Given the outsized role that suspected UTI  
174 plays in antibiotic prescribing in NFs, **(6,17)** implementation of protocols that restrict urine  
175 testing to residents with a high probability of having a UTI and similarly designed protocols to  
176 limit antibiotic therapy in residents without clear symptoms and signs of UTI **(18,19)** would  
177 appear to offer a good return on investment. Strategies focused on promotion of self-directed  
178 stewardship, in which prescribers are trained and/or prompted to engage in review of  
179 empirically initiated antibiotics and modify the therapeutic dose, spectrum and/or duration  
180 when appropriate (“antibiotic timeout”), has been implemented successfully in hospitals **(20)**  
181 and implementation of a checklist tool to promote this practice in NFs was associated with a  
182 significant reduction in systemic antibiotic use in intervention facilities in one study. **(21)** Other  
183 improvement strategies, such as introduction of a facility-specific antibiogram and a  
184 pharmacist-led post-prescriptive audit and feedback, can be very effective but may require  
185 expertise and resources that are not widely available in most NFs. **(6,7)**

186

### 187 **Future Directions**

188 The emerging crisis in antibiotic resistance will require a concerted effort to improve  
189 antibiotic stewardship across all healthcare settings. Considerable progress has been made in  
190 our understanding of the extent and determinants of inappropriate antibiotic use in NFs. While  
191 there is accumulating evidence that interventions focused on processes (e.g., urine testing)  
192 associated with the initial antibiotic decision can reduce unnecessary antibiotic use, there  
193 remains a critical need to identify the effectiveness of interventions that target post-prescribing  
194 decision-making (e.g., review and de-escalation) and how these interventions can be delivered  
195 in a cost-effective manner. There is also a need for more research on how to implement

196 stewardship interventions with fidelity and sustain them over time, particularly in NFs with  
197 limited quality improvement resources. Finally, there is a need for studies that evaluate the  
198 effects of stewardship interventions on facility and resident outcomes, including healthcare  
199 costs as well as rates of infections caused by *C. difficile* and multidrug-resistant bacteria.

200

## 201 **SKIN AND SOFT TISSUE INFECTION (SSTI)**

### 202 **Clinical Relevance**

203 SSTIs are the third most common infection diagnosed in LTCF residents. In surveys of  
204 European and US Veterans Affairs LTCFs, it is suggested that ~ 22% of infections are due to SSTI.  
205 **(22,23)** Routine infection surveillance in LTCF does not require the monitoring of all SSTI, so the  
206 prevalence of less severe infections may not be known. **(24)** However, in Europe, it has been  
207 estimated that bacterial infections such as cellulitis, soft tissue and wound infections account  
208 for 87.4% of SSTI. **(22)** Fungal infections (8.3%) followed by herpes simplex or herpes zoster  
209 infections (2.4%) and scabies (1.9%) account for the remainder. **(22)**

210

### 211 **Risk Factors for SSTI in Older adults**

212 Increased exposure to pathogens and conditions that promote changes in patient  
213 normal flora contribute to risk of SSTIs. Shared living space exposes residents to various  
214 pathogens. Use of antibiotics and corticosteroids contribute to the overgrowth of bacteria and  
215 fungi. Waning immunity is associated with reactivation of latent herpes infections in LTCF  
216 residents; 10,000-20,000 cases of herpes zoster occur annually. **(25,26)**

217 Primary bacterial infections are frequently due to bacteria that asymptotically  
218 colonize human skin and mucosa, such as *Staphylococcus aureus* and group A beta-hemolytic  
219 streptococci (GABHS). These bacteria can be easily spread to other residents and staff;  
220 outbreaks have been reported with high attack and fatality rates. Outbreaks of acute bacterial  
221 conjunctivitis may occur due to these pathogens as well. Epidemics of viral conjunctivitis due to  
222 adenovirus are also reported; spread is facilitated by contamination of ophthalmologic  
223 equipment and medications. **(25,26)**



224 Pre-existing wounds can become secondarily infected by bacteria transferral from other  
225 patients via the hands of healthcare personnel or from the environment. **(25,26)** Breaks in skin  
226 can occur as a consequence of thinning of skin with age, pressure due to decreased mobility,  
227 maceration associated with incontinence, ischemia due to reduced blood flow, edema, and  
228 device use. Pressure ulcer risk increases with length of stay; it is estimated that one-fifth of  
229 LTCF residents will acquire an ulcer within 2 years. Almost 6% of pressure ulcers in LTCF  
230 residents will become infected. **(25,26)** These infections are typically polymicrobial involving  
231 aerobic and anaerobic flora including *Escherichia coli*, *Proteus* species, *Pseudomonas* species,  
232 staphylococci, enterococci, anaerobic streptococci, *Bacteroides* species, and *Clostridium*  
233 species. **(25,26)**

234

### 235 **Clinical Presentation**

236 Primary bacterial SSTIs can be categorized as erythematous without purulence or with  
237 purulence (**Table 2**). Infections that involve deeper structures such as fascia occur less often  
238 and are typically more severe. **(25-27)** Non-bacterial superficial mucocutaneous infections also  
239 occur in LTCF due to *Candida* and tinea species and dermatophyte (tinea) infections (**Table 2**).  
240 Tinea unguium has been reported to occur in 10-57% and tinea pedis in 10-34% of residents.  
241 Rashes due to scabies [*Sarcoptes scabiei*], lice [*Pediculus humanus capitus*, *P. humanus corporis*,  
242 *Phthirus pubis*] and bedbugs [*Cimex lectularius*] and reactivation of herpesvirus infections  
243 [herpes simplex and herpes zoster] also occur. Scabies has been reported in 3.3% of LTCF  
244 residents with an attack rate of ~70%. **(25,26)**

245

### 246 **Diagnostic Approach**

247 Initial evaluation of possible SSTI should focus on the acuity of onset of the SSTI and  
248 whether symptoms and signs of systemic illness are present (**27,29,Table 2**). Pain out of  
249 proportion to clinical findings might suggest herpetic infection or necrotizing fasciitis.  
250 Distribution or location of skin lesions may suggest a diagnosis such as involvement of  
251 intertriginous areas (*Candida* or tinea infection), dermatomes (herpes zoster), nape of the neck  
252 (carbuncles), and webs of the fingers (scabies). Characteristics of the skin lesions such as

253 erythema, pustules, blisters, ulcerations, size, depth and rate of spread should be described.

254 If the skin lesions have a characteristic appearance, then further diagnostic testing may  
255 not be necessary. Painful or pruritic vesicles or ulcerations involving nasolabial, genital, or rectal  
256 skin and mucosa suggests herpes simplex, while a dermatomal distribution that does not cross  
257 the midline is diagnostic for herpes zoster. **(25,26)** Typical scabies presents with pruritis,  
258 intertrigenous rashes, and burrows; these features may be absent in older patients. Crusted  
259 scabies can be more typical in this population, and the diagnosis is made only when usual  
260 features are seen in visitors or healthcare workers. **(25,26)** Head and pubic lice may be found  
261 crawling in their respective hair bearing areas; their eggs (nits) may be found at the base of hair  
262 follicles. In the case of body lice, the louse or nits are found in the seams of clothing. Acquisition  
263 of bed bugs in the healthcare setting is rare as furniture in this setting is easily cleaned and  
264 disinfected. Red pruritic nodules may be noted in a linear distribution. Bed bugs are rarely  
265 found on the patient; they infest clothing, mattresses, and overstuffed furniture. When they are  
266 seen, adult bed bugs run rapidly and have a flat, red brown apple seed appearance. **(25,26,29)**

267 If the clinical appearance is atypical, the patient is severely ill, or is not responding to  
268 empirical therapy then further diagnostic studies are appropriate. Scrapings for fungal  
269 potassium hydroxide (KOH) smear, Tzanck smear and viral polymerase chain reaction (PCR) for  
270 herpesviruses, or for ectoparasites, eggs, and feces can be done. **(25-27,29)** Deep cultures of  
271 pus, aspirates, or tissue are recommended to confirm the cause of the infection and  
272 antimicrobial susceptibilities. Swabs of superficial ulcers likely reflect colonization and not the  
273 true cause of infection. MDROs frequently colonize or infect LTCF residents and can influence  
274 treatment choices. **(22)** In the US, LTCF residents, overall rates of colonization have varied for  
275 methicillin-resistant *S. aureus* (MRSA) (11-59%), 1-19% for vancomycin-resistant enterococci  
276 (VRE), and 23-51% for multidrug resistant gram-negative organisms. **(5)** Many residents are  
277 colonized with more than one organism, and new acquisitions may be frequent. **(5)**

278

## 279 **Therapy**

280 Residents with possible bacterial infections who do not have symptoms or signs of  
281 systemic illness may be managed in the LTCF. If the patient is systemically ill and advance

282 directives warrant aggressive care then transfer to hospital is appropriate for more intensive  
283 monitoring, urgent imaging and surgical intervention.

284 One important consideration for SSTI is when to begin antibacterial therapy. For SSTI,  
285 minimum criteria have been established to initiate an antibiotic including at least one of the  
286 following: 1) pus present at a wound, skin, or soft tissue site or 2) at least two of the following:  
287 fever or new or worsening redness, tenderness, warmth, or swelling at the suspected site.  
288 These criteria do not apply to non-bacterial infections or deep tissue or bone infection.  
289 Non-infectious causes such as burns, thromboembolic disease, and gout should be considered.  
290 **(29)** If a decision is made to begin treatment, the most likely underlying etiologies of the skin  
291 lesions, the clinical stability of the patient, and the route of antimicrobial administration should  
292 be considered in addition to risks for MDRO (**Table 2**).

293

#### 294 **Prevention**

295 Prevention of SSTI should focus on prevention of wounds by alleviating their underlying  
296 cause and using good technique to keep wounds clean. Screening for neuropathy and use of  
297 appropriate footwear in diabetics is essential. Patients who are immobile should have optimal  
298 pressure relief with appropriate bedding and wheelchair cushions. Macrovascular disease  
299 should be evaluated and blockages relieved when feasible. Edema should be controlled with  
300 medications and compression wraps if venous insufficiency is present. Adherence to infection  
301 control procedures, such as hand hygiene and glove use, to prevent the spread of pathogens is  
302 essential. Limiting the use unnecessary and overly broad antibiotics may limit overgrowth of  
303 *Candida* species. Vaccination may also reduce herpes zoster infection. **(25,26)**

304

#### 305 **INFECTIOUS DIARRHEA**

##### 306 **Clinical Relevance**

307 Bacteria, viruses and, occasionally, protozoa may all cause outbreaks of infectious  
308 diarrhea in LTCFs. Discussed in detail below, *C. difficile* is the most important and most common  
309 bacterial cause of nosocomial diarrhea in this setting. Other bacterial pathogens include  
310 *Shigella*, *Salmonella* and *Campylobacter* spp. as well as toxigenic enterohemorrhagic

311 *Escherichia coli*. **(29)** Additionally, ingestion of food contaminated with enterotoxins produced  
312 by *S. aureus*, *C. perfringens* and *Bacillus cereus* may also lead to outbreaks of nausea and  
313 vomiting. A wide array of viruses from the families *Caliciviridae* and *Adenoviridae* as well as  
314 enterovirus and rotavirus may cause gastroenteritis among nursing home residents. Of these,  
315 norovirus, a member of the family *Caliciviridae*, is globally the leading cause of acute  
316 gastroenteritis and merits further discussion below. Finally, protozoa such as *Giardia*,  
317 *Cryptosporidium* and *Cyclospora* may cause diarrheal outbreaks in institutional settings,  
318 including those that care for older adults.

319

### 320 ***C. difficile***

321 Older adults are at increased risk for infections caused by *C. difficile*, a gram-positive  
322 spore-forming bacillus. **(30-31)** In 2010, over 90% of deaths due to *C. difficile* infection (CDI)  
323 were in adults aged  $\geq 65$  years. Age-specific risk factors for CDI include changes to the gut  
324 microbiome and immunosenescence. Both aging and residence in an LTCF correlate with a less  
325 diverse gut microbiome at baseline. Subsequent exposure to antibiotics causes further  
326 disruption to the gut microbiome, rendering people exposed to *C. difficile* spores vulnerable to  
327 infection for up to 90 days following completion of their antibiotic. **(32)** Once ingested by a  
328 vulnerable host, *C. difficile* spores germinate in the intestine to become toxin-producing  
329 vegetative bacteria. Robust antibody production against *C. difficile* toxins correlates with a  
330 decreased risk for CDI and for recurrent disease. Older adults unable to mount a robust  
331 immune response may have diminished capacity to neutralize the effects of *C. difficile* toxins,  
332 correlating with both increased disease severity and risk of recurrent disease. Moreover, CDI  
333 among LTCF residents is more severe and associated with more recurrent infection compared  
334 to older adults living in a community setting with CDI. **(33)**

335

### 336 **Clinical Presentation**

337 CDI presents as watery diarrhea, sometimes accompanied by abdominal cramping and  
338 discomfort. While some patients may mount a fever, nausea and vomiting are not typical  
339 features of CDI. Disease manifestations may be mild to moderate, characterized by a white

340 blood count of 15,000 cells/ $\mu$ L and a creatinine level less than 1.5 fold of the premorbid level.  
341 Severe disease, with a white blood cell count of >15,000 cells/ $\mu$ L or serum creatinine 1.5 times  
342 greater than the premorbid level, is best managed in acute care settings that can offer fluid  
343 resuscitation, electrolyte replacement and, for severe cases, parental therapy and possible  
344 colectomy. **(32)** Severe disease may occasionally present with an ileus, leading to a clinical  
345 presentation of abdominal pain and distention without diarrhea. These individuals appear toxic,  
346 with hemodynamic instability.

347         Following an initial episode of CDI, 20-30% of adults develop recurrent disease, most  
348 often within 1-2 weeks of completing therapy. Recurrent CDI is not due to resistance but rather  
349 to reexposure of a vulnerable host to *C. difficile* spores. These may be the same strain causing  
350 the initial infection (relapse) or a new strain of *C. difficile* (reinfection). In 2000, one study  
351 reported that among 93 people with recurrent CDI, relapse with the same strain caused  
352 approximately 50% of cases while reinfection with new strains caused the remainder of cases.  
353 **(31)** The risk for recurrence increases with age and, not surprisingly, with antibiotic exposure.  
354 Medications that suppress gastric acid production represent a potentially modifiable risk factor  
355 for recurrent disease. **(34)** In a retrospective study of 754 hospitalized patients with CDI, the  
356 authors found a hazard ratio of 1.5 (95% confidence interval (CI), 1.1 to 2.0) for recurrent CDI  
357 among those receiving proton pump inhibitor therapy; less than 50% of those patients had an  
358 indication for taking a proton pump inhibitor. **(34)**

### 359 360 **Diagnostic Approach**

361         Clinical criteria for CDI are 3 or more unformed stools within 24 hours and a stool test  
362 positive for toxigenic *C. difficile* or demonstration of pseudomembranous colitis. **(32,35)** The  
363 approach and selection of specific tests to support a laboratory diagnosis of CDI remains an  
364 area of controversy. A guidance document from the European Society of Clinical Microbiology  
365 and Infectious Diseases recommends a 2-step algorithm as no single commercial test has a  
366 sufficient positive predicative value when the prevalence of CDI is low. **(36)** Regardless of the  
367 diagnostic tests used, only unformed stools should be sent for clinical testing. Because *C.*  
368 *difficile* colonizes up to 50% of long-term care residents, **(31)** testing stools from asymptomatic

369 individuals diminishes the specificity of diagnosing CDI. Similarly, as people may remain  
370 colonized with *C. difficile* for several weeks following resolution of clinical disease, tests of cure  
371 are not indicated. **(32,35)** Finally, for individuals who may have an ileus, clinicians may consider  
372 sending a rectal swab, recognizing this may lead to a false-negative result.

373

### 374 **Therapy**

375 In addition to supportive care, a key step to managing CDI is, whenever possible, to stop  
376 the inciting antibiotic and avoid subsequent antibiotic exposure. Metronidazole or oral  
377 vancomycin remain the mainstays of treatment for mild to moderate disease, including  
378 recurrent episodes. **(35)** For people with severe CDI, treatment with oral vancomycin  
379 significantly reduced the risk of 30-day mortality (adjusted relative risk (RR), 0.79; 95% CI, 0.65  
380 to 0.97). **(37)** Additionally, oral vancomycin is the first-line agent for people taking warfarin. The  
381 risk of recurrent disease following treatment with metronidazole and oral vancomycin is similar.  
382 **(37)** While fidaxomicin appears to reduce the risk of recurrent disease, **(31)** the cost of this  
383 agent is several fold higher than metronidazole and oral vancomycin, the latter prepared by  
384 compounding the intravenous preparation. **(35)**

385

### 386 **Prevention**

387 Reducing exposure to antibiotics and to *C. difficile* spores are the cornerstones of CDI  
388 prevention. While any antibiotic may predispose individuals to CDI, a meta-analysis found the  
389 following agents to be high-risk: clindamycin, fluoroquinolones, cephalosporins, monobactams  
390 and carbapenems. **(38)** In acute and long-term care settings, ASPs reduce the incidence of CDI.  
391 **(31)** (See also earlier section on “Antibiotic Stewardship.) Infection prevention and control  
392 measures, discussed more extensively elsewhere, **(31)** seek to reduce the contamination of  
393 healthcare providers hands and the environment with *C. difficile* spores. While symptomatic,  
394 people with CDI should remain on contact precautions, with healthcare providers removing  
395 their gown and gloves prior to exiting the room, followed by hand washing with soap and water  
396 (alcohol gel is not sufficient to kill or remove spores). **(32,35)** Following resolution of symptoms,  
397 patients continue to shed spores into their environment for several weeks, **(31)** which suggests

398 consideration of extending contact precautions. Finally, to reduce the burden of *C. difficile*  
399 spores sporicidal agents approved by the environmental protective agency (EPA) should be  
400 used to clean and disinfect the equipment and environment of people with current or recent  
401 CDI.

402 When administered concurrently with standard-of-care antibiotics, bezoltoxumab, a  
403 recently approved monoclonal antibody against *C. difficile* toxin B, reduced the rate of  
404 recurrent disease by 10% more than placebo. **(39)** Fecal microbiota transplant (FMT) has  
405 proven to be an effective and safe intervention for recurrent CDI, including among older adults.  
406 **(31,35)** While clinical trials are underway, vaccines against *C. difficile* are not yet commercially  
407 available. A systematic review of randomized controlled trials investigating probiotics found  
408 moderate quality evidence that probiotics prevent *C. difficile*-associated diarrhea (RR 0.36; 95%  
409 CI: 0.26, 0.51) but do not reduce the incidence of CDI (RR 0.89; 95% CI: 0.64, 1.24). While  
410 subgroup analysis to examine older adults or residents of LTCFs or to evaluate specific species  
411 or combinations of microorganisms was not feasible, the authors do conclude that probiotics  
412 are safe. **(40)**

413

#### 414 **Norovirus**

415 Norovirus is also a common cause of gastroenteritis among long-term care residents. A  
416 recent article reviewed this topic extensively; this section will only highlight the key issues. **(41)**  
417 The majority of norovirus outbreaks occur in LTCFs, with 90% of norovirus-associated deaths  
418 occurring in adults  $\geq 65$  years of age. **(42)** Unlike CDI, norovirus infections present with acute  
419 onset nausea, vomiting and watery diarrhea. As few as 100 virions may lead to disease. Given  
420 that infected individuals may shed billions of virions in their stool and vomitus, norovirus  
421 spreads rapidly among long-term care residents and their healthcare providers. The incubation  
422 period for norovirus is 12 to 48 hours, followed by a self-limited illness that lasts 12 to 60 hours.

423 Early recognition and prompt implementation of infection prevention and control  
424 measures are central to limiting the severity of a norovirus outbreak. Some state public health  
425 laboratories will use reverse-transcription PCR (RT-PCR) to confirm norovirus. More often,

426 however, LTCFs will recognize a norovirus outbreak when 2 or more cases fulfill the Kaplan  
427 Criteria:

- 428 (a) vomiting in more than half of affected persons;
- 429 (b) a mean (or median) incubation period of 24–48 hours;
- 430 (c) a mean (or median) duration of illness of 12–60 hours; and
- 431 (d) no bacterial pathogen is identified in stool culture.

432 In LTCFs, infection prevention and control measures must address both residents and  
433 healthcare providers. Affected residents should be placed on contact precautions for at least 48  
434 hours following symptom resolution. For norovirus, contact precautions entail gowns, gloves,  
435 hand hygiene with soap and water as well as a mask when around vomitus or fecal material as  
436 norovirus may become airborne and cause infection. Additionally, the facility should minimize  
437 resident movements, suspend group activities and consider restricting access to an affected  
438 ward. Healthcare providers with symptoms consistent with norovirus infection should be  
439 excluded from work and be encouraged to stay home for 48 hours following symptom  
440 resolution. Upon returning to work, recently ill healthcare workers should care for symptomatic  
441 residents. A general framework to group residents and staff into 3 clinical categories is the  
442 following: symptomatic; asymptomatic and potentially exposed; and asymptomatic and  
443 unexposed. This framework can help with staff assignments that avoid having asymptomatic  
444 and potentially-exposed staff interact with asymptomatic and unexposed residents.

445

## 446 **BACTERIAL PNEUMONIA**

### 447 **Clinical Relevance**

448 Infections of the lower respiratory tract, which include pneumonia and bronchitis, are  
449 leading causes of morbidity and mortality among older adults. Pneumonia, in particular, affects  
450 1.4 to 2.5% of nursing home residents in the United States and is among the most common  
451 causes for hospitalization. **(43)** Age-related changes to the respiratory system, including  
452 diminished cough and gag reflexes, impaired mucociliary clearance, reduced respiratory muscle  
453 strength, and decreased chest wall compliance and elastic recoil, all serve to impair host



454 defense mechanisms and allow pathogens to penetrate and infect the respiratory tract. This  
455 section will focus on only bacterial pneumonia.

456 Recognition, diagnosis and treatment of acute infections of the lower respiratory tract  
457 among LTCF residents present significant challenges. Co-morbid conditions including congestive  
458 heart failure and chronic respiratory diseases may confound the clinical presentation.  
459 Additionally, aspiration of oral contents into the respiratory tract may lead to chemical  
460 pneumonitis or bacterial pneumonia or both. Furthermore, while the vast majority of people  
461 with acute bronchitis have a viral infection, some of them may go on to develop secondary  
462 bacterial pneumonia. Recent evidence implicates a viral pathogen in at least 25% of older adults  
463 presenting with community-acquired pneumonia. **(44)** While the implications on the treatment  
464 of older adults with pneumonia, particularly those who are LTCF residents, are not yet known,  
465 these data help to explain the similarity in clinical predictors for pneumonia due to bacterial,  
466 viral and mixed etiologies. **(45)** Finally, the high rate of colonization with MDROs among LTCF  
467 residents in general, coupled with a paucity of microbiological data from the individual resident  
468 in whom there is a concern for bacterial pneumonia, render selection of appropriate empirical  
469 antimicrobial therapy challenging.

470

#### 471 **Clinical Presentation**

472 Clinical indicators of bacterial pneumonia include fever, pleuritic chest pain, respiratory  
473 rate of >25 breaths per minute, a decline in functional status, new or increased cough, sputum  
474 production, shortness of breath, or physical findings upon examination of the chest. A  
475 retrospective review of nearly 300 nursing home residents admitted through the emergency  
476 room with a diagnosis of pneumonia described dyspnea as the most common presenting  
477 symptom (67%), followed by mental status change (51%), cough (49%) and fever (45%). **(46)** In  
478 another study, the authors reported on an attempt to develop a consensus of characteristics  
479 for the diagnosis of pneumonia among nursing home residents. Of the pulmonologists and  
480 geriatricians queried, 57% agreed that dyspnea, fever, decline in functional status, tachypnea,  
481 and crackles or rales on auscultation were important characteristics; they further agreed that at  
482 least two of these characteristics should be present to diagnose nursing-home-acquired

483 pneumonia. For aspiration pneumonia, 80% of the clinicians reached a consensus of the  
484 following as risk indicators for aspiration pneumonia: dysphagia, choking incident, tube feeding,  
485 neurological disease and cognitive impairment. **(47)** However, with advanced age and decline in  
486 functional capacity the presence of typical pneumonia symptoms decreases. Accordingly,  
487 atypical symptoms (e.g., change in mental status, loss of appetite) or an exacerbation of chronic  
488 illnesses (e.g., congestive heart failure, chronic respiratory illness, diabetes mellitus) may be  
489 early clinical indicators of an acute infection, including pneumonia.

490

### 491 **Diagnostic Approach**

492 In addition to assessing clinical changes, the diagnostic evaluation of an LTCF resident  
493 with suspected bacterial pneumonia should include measuring pulse oximetry and obtaining a  
494 chest radiograph. Among LTCF residents, demonstration of decreased oxygen saturation using a  
495 bedside pulse oximeter may suggest pneumonia. A case-control study of residents in a  
496 veteran's nursing home found that a decrease in oxygen saturation of >3% from baseline or  
497 <94% suggested pneumonia. **(48)** Chest radiographs revealing a new infiltrate also indicate  
498 pneumonia. Obtaining a chest radiograph of sufficient quality to make this determination,  
499 however, may be challenging in LTCF settings due to the limitations of portable films, inability  
500 of an ill resident to maintain a suitable position, and interpretation including delays or lack of  
501 comparative radiographs. Interestingly, in the study of patients hospitalized for nursing  
502 home-acquired pneumonia, the authors found that fewer than 20% of chest radiographs  
503 obtained in the emergency department indicated possible pneumonia. **(46)** These data suggest  
504 during the initial phase of illness, a "negative" chest radiograph is not sufficient to exclude a  
505 lower respiratory tract infection.

506 While consistently challenging to obtain and, sometimes to interpret, sputum culture  
507 results, the findings can help direct appropriate antibiotic therapy. A study found that among  
508 56 patients hospitalized with nursing home-acquired pneumonia, microbiological culture results  
509 were available for just 12% of cases. **(49)** This unfortunate paucity of sputum cultures increases  
510 the necessity of using rapid diagnostic tests. Positive tests for *Streptococcus pneumoniae*  
511 antigen in urine or for influenza in nasopharyngeal swabs can inform both the choice of

512 therapeutic agent and length of therapy used to treat LTCF residents. Similarly, multiplex panels  
513 that test for several respiratory pathogens may help improve the diagnosis of bacterial  
514 pneumonia though their cost makes routine use of these impractical for most LTCF settings.  
515 Finally, while procalcitonin holds the potential to identify bacterial infections, further studies  
516 are needed to understand if this test has a role in the clinical evaluation of frail older adults or  
517 LTCFs residents with suspected pneumonia.

518

### 519 **Therapy**

520 The Loeb Minimum Criteria offer a concise set of recommendations for starting  
521 antibiotic treatment in LTCF residents in whom there is a concern for bacterial pneumonia. **(28)**  
522 Evidence-based recommendations for empirical agents and length of therapy are less clear.  
523 Despite the prevalence of MDROs colonizing LTCF residents, recent literature suggests that  
524 using antibiotics recommend for community-acquired pneumonia are sufficient to treat most  
525 cases of nursing-home acquired pneumonia **(Table 3)**. **(46,50)** While few data specifically  
526 address older adults or LTCF residents with bacterial pneumonia, recommendations for treating  
527 community-acquired and hospital-acquired pneumonia indicate that in most instances the  
528 length of antibiotic therapy should be 5-7 days. **(51,52)** In general, short, fixed course of  
529 antibiotics reduce adverse events related to antibiotics, including CDI, the emergence of  
530 resistant bacteria and costs, without reducing the benefits of antibiotic therapy. For residents  
531 with immunocompromising conditions, structural lung disease or a delayed response to  
532 empirical therapy, a longer course of antibiotics (i.e., 7 to 10 days) may be warranted.  
533 Hospitalization should be considered in those residents with respiratory compromise,  
534 cardiovascular instability, worsening of pre-existing non-infectious comorbidities or poor oral  
535 intake or inadequate nutrition.

536

### 537 **Prevention**

538 Vaccination against *S. pneumoniae* and influenza remain central to reducing the risk of  
539 lower respiratory tract infection among LTCF residents. While dysphagia is clearly a risk factor  
540 for developing nursing home-acquired pneumonia, efforts directed at minimizing the risk of

541 aspiration have not reduced the incidence of respiratory illness. **(53)** (See section on  
542 Preventative Interventions for a more detailed discussion.)

543

## 544 **URINARY TRACT INFECTION**

### 545 **Clinical Relevance**

546 UTI is one of the most common infections diagnosed in residents of LTCFs. **(54)** The high  
547 frequency of infection is largely attributable to comorbidities which affect normal voiding, such  
548 as urologic abnormalities and chronic neurologic diseases. A very high prevalence of  
549 asymptomatic bacteriuria, i.e., 35-50% of residents without indwelling urethral catheters, also  
550 occurs in this population. While asymptomatic bacteriuria is benign, the common finding of a  
551 positive urine culture leads to frequent overdiagnosis of symptomatic UTI. As many as 75% of  
552 prescriptions for UTI in LTCF residents are given to individuals who do not meet criteria for UTI.  
553 **(55)** This is a major contributor to inappropriate antimicrobial use in long-term care, and  
554 promotes antimicrobial resistance and CDI in residents. **(55,56)** The important clinical issues for  
555 optimizing management of UTI are the ascertainment of symptomatic infection and  
556 non-treatment of asymptomatic bacteriuria.

557 From 5-10% of residents in LTCFs have bladder emptying managed with a chronic  
558 indwelling catheter. **(57)** Bacterial biofilm formation along the internal and external catheter  
559 surfaces is universal, so polymicrobial bacteriuria is the norm for residents with chronic  
560 catheters. The presence of a catheter is associated with an increased incidence of symptomatic  
561 UTI, and catheter-associated UTI (CAUTI) is the most frequent source of bacteremia in LTCFs.

562 **(54,57)**

563

### 564 **Clinical Presentation**

565 Residents with UTI may present with typical clinical symptoms. **(54)** Bladder infection is  
566 manifested by an acute onset of lower tract irritative symptoms of frequency, urgency, slow  
567 and painful urination (stranguria), dysuria or new or increased incontinence. Upper tract  
568 (kidney) infection presents as pyelonephritis with costovertebral angle pain or tenderness,  
569 usually with fever, and variable accompanying lower tract symptoms. Ascertainment of

570 symptoms in many residents, however, is problematic because of impaired communication,  
571 functional disability, and chronic genitourinary symptoms attributed to comorbidities. **(24,54)**  
572 Residents without acute localizing genitourinary findings but with clinical deterioration and  
573 nonspecific symptoms or signs are frequently diagnosed and treated as UTI, often because a  
574 urine culture is positive. **(24,54-56)** However, evidence does not support attributing  
575 nonlocalizing and nonspecific symptoms to UTI, even with a positive urine culture. **(24,58)**  
576 Mental deterioration (e.g., delirium) **(59)** or falls **(60)**, by themselves, are generally not  
577 presentations of UTI.

578 Residents with CAUTI usually present with fever alone, although localizing symptoms  
579 including catheter obstruction, acute hematuria, or suprapubic or costovertebral tenderness  
580 may occasionally be present. **(57)** Determinants of symptomatic infection are not well  
581 described, but catheter obstruction or catheter trauma are potential antecedents of  
582 symptomatic infection.

583

#### 584 **Diagnostic Approach**

585 Guidelines for diagnosing symptomatic UTI in residents without indwelling catheters  
586 require the presence of localizing genitourinary symptoms or signs **(24,28,29,54)** **(Table 4)**. An  
587 evidence-based diagnostic approach to UTI was recommended in the 2009 Infectious Diseases  
588 Society of America (IDSA) guidelines for evaluation of fever and infection in older adult  
589 residents of LTCFs. **(29)** For residents in whom a diagnosis of UTI is considered, a urine  
590 specimen for determination of pyuria should be obtained. If a voided urine specimen cannot be  
591 collected, an in-and-out catheter specimen should be collected, whenever possible. A urine  
592 culture is requested only if the urinalysis is positive. A screening test for pyuria has a negative  
593 predictive value of over 95% for UTI, so UTI is excluded if pyuria is not present. **(29)** However,  
594 pyuria accompanies asymptomatic bacteriuria, and is also found in as many as 30% of residents  
595 without bacteriuria. Thus, pyuria, by itself, does not diagnose bacteriuria or differentiate  
596 symptomatic from asymptomatic infection. **(54)**

597 The most common clinical presentation of CAUTI is fever alone **(Table 4)**. When fever is  
598 the only sign, infection at other sites must always be considered and excluded. Replacement of

599 the catheter is recommended if it has been present for 2 weeks or more, as the biofilm  
600 contaminates a urine specimen collected through the catheter. Obtaining a urine specimen  
601 through a freshly inserted catheter provides a more valid specimen to identify bladder  
602 bacteriuria and infecting organisms and susceptibilities. **(57)** Blood cultures are indicated for  
603 patients with or without catheters who are severely ill. Residents with indwelling catheters are  
604 more likely to experience urosepsis.

605 Some residents present with a clinical syndrome consistent with severe sepsis,  
606 including one or more of the following signs: fever or hypothermia, hemodynamic instability,  
607 acute delirium, and respiratory distress. If no source for infection is apparent, these patients  
608 should be managed appropriately as sepsis syndrome, considering urinary infection as one  
609 potential site, pending results of cultures and other investigations.

610

### 611 **Therapy**

612 When the presenting symptoms are mild, initiation of antimicrobial therapy should  
613 await urine culture results. If the urine culture is subsequently positive, antimicrobial therapy  
614 should only be initiated if symptoms have persisted. When fever alone is present in residents  
615 with chronic indwelling catheters, clinical monitoring without initiation of antimicrobial therapy  
616 may also be appropriate. As many as two-thirds of febrile episodes in residents with long-term  
617 catheters are attributed to urinary infection, but most resolve in less than 24 hours without  
618 intervention. **(61)** In patients with severe symptoms including sepsis, immediate empirical  
619 therapy is indicated. Asymptomatic bacteriuria should be treated only prior to an invasive  
620 urologic procedure which is likely to be associated with mucosal bleeding. A single dose of an  
621 effective antimicrobial given immediately prior to the procedure is usually effective for  
622 prophylaxis. **(54)**

623 The choice of antimicrobial regimen, including oral or intravenous therapy and duration,  
624 is determined by consideration of the clinical presentation, resident tolerance, and known or  
625 suspected susceptibilities of the infecting organism. **(54)** Susceptibility of organisms isolated in  
626 prior urine cultures from the resident and the resistance prevalence of uropathogens in the  
627 facility should guide selection of initial empirical therapy. The specific antimicrobial choice is

628 similar to other populations with UTI and may include, nitrofurantoin (for cystitis only),  
629 trimethoprim/sulfamethoxazole, ampicillin, cephalexin and, when indicated, fluoroquinolones,  
630 oral extended-spectrum cephalosporins, or amoxicillin/clavulanic acid. **(54)** Where resistant  
631 organisms are isolated, antimicrobial selection is directed by susceptibility, and  
632 aminoglycosides, carbapenems and beta- lactam/beta-lactamase inhibitor combinations may  
633 be appropriate. For residents requiring parenteral therapy, transfer to an acute care facility  
634 may be necessary.

635

### 636 **Prevention**

637 For residents with frequent recurrent symptomatic infection, especially when the  
638 clinical presentation is severe, urologic abnormalities, which are potentially correctable, such as  
639 obstruction, should be excluded. Prophylactic antimicrobial therapy for women or men with  
640 recurrent infection should be avoided, as this promotes emergence of resistant organisms  
641 without decreasing the frequency of symptomatic infection. Cranberry products do not  
642 decrease the frequency of infection. **(62)** The most effective means of preventing CAUTI is to  
643 remove the catheter, whenever possible. When this is not possible, resident care practices to  
644 identify catheter obstruction early and to avoid trauma to the catheter should be implemented  
645 and followed.

646

## 647 **PREVENTIVE INTERVENTIONS**

### 648 **Clinical Relevance**

649 Similar to cardiovascular disease and cancer prevention is key to reducing the risk of  
650 infection, particularly in LTCFs, which have a high prevalence of MDROs. Administration of  
651 influenza vaccine to older adults as well as healthcare personnel lowers infection rates, saves  
652 lives, and reduces complications. **(63)** Recommended vaccinations in older adults include yearly  
653 influenza vaccine, 1 dose of pneumococcal conjugate vaccine (PCV13) and at least one dose of  
654 pneumococcal polysaccharide vaccine (PCV23), herpes zoster vaccine, and tetanus-diphtheria  
655 and acellular pertussis (Tdap) vaccine if there is anticipated contact with a child less than 12  
656 months of age. Tdap can be replaced by Td if there is no anticipated child contact. Optimal

657 management of chronic diseases; prevention of pressure ulcers; attention to infection  
658 prevention practices, such as hand hygiene for healthcare professionals, caregivers, patients  
659 and families; appropriate gown and glove use; and judicious antibiotic usage, are all key  
660 preventive measures to reduce infections and enhance quality of care among older adults in  
661 NFs.

## 662

### 663 **Emerging Evidence**

664 Several recent randomized controlled trials identify preventive interventions that are  
665 shown to be of benefit and help discard those that are not. Next, we provide a brief overview of  
666 some recent studies.

### 667

#### 668 **UTIs**

##### 669 *Use of Cranberry to Prevent UTIs*

670 In a recent randomized controlled study, investigators asked the question: Do two oral  
671 cranberry caps/day lead to lower bacteriuria plus pyuria among non-catheterized older women  
672 in NFs? **(62)** In a double blind, placebo-controlled randomized controlled trial focused on older  
673 long-term female residents, consenting participants were randomized to two cranberry  
674 capsules per day (equivalent to 72mg of proanthocyanidins) versus placebo for 360 days.  
675 Surrogate consent was required in 94% of the instances highlighting challenges in conducting  
676 research in these settings. Twenty-six percent of urine specimens in the treatment group and  
677 30% of urine specimens in the control group had pyuria with bacteriuria. In other words,  
678 cranberry capsules did not have any effect on the primary outcome. Furthermore, cranberry  
679 capsules had no impact on secondary outcomes. This study helped discard long-held pervasive  
680 practice of using cranberry capsules to prevent UTI. **(64)**

##### 681

##### 682 *Bundled Approach to Preventing CAUTI*

683 In a recent cluster-randomized interventional study, investigators evaluated the effect  
684 of a Targeted Infection Prevention (TIP) multi-modal intervention program in reducing MDRO  
685 prevalence and device-associated infections in a group of southeast Michigan NFs. **(65)** The



686 intervention included a structured interactive educational program for frontline healthcare  
687 personnel, hand hygiene promotion, preemptive barrier precautions when assisting with  
688 high-risk activities of daily living (e.g., bathing, dressing, grooming, toileting, feeding, and  
689 ambulation), and active surveillance for MDROs and infections with monthly data feedback.  
690 Interactive educational modules, incorporating Adult Learning Theory, were presented to  
691 healthcare personnel at intervention sites through 10 in-services on a broad range of topics,  
692 including overview of infection prevention practices, hand hygiene, barrier precautions,  
693 infection recognition, and care of indwelling devices, with content following evidence-based  
694 guidelines. This approach was shown to reduce overall MDRO prevalence by 23%, new MRSA  
695 acquisition by 22% and clinician-diagnosed CAUTIs by 31%. **(65)**

696 Lessons learned from the TIP study as well as the “Agency for Healthcare Research and  
697 Quality (AHRQ) Safety Program for Reducing Catheter-associated UTI in Hospitals” **(66)** were  
698 then implemented in nearly 500 NHs in 48 states through the “ARHQ Safety Program in  
699 Long-Term Care: HAI/CAUTI” project. **(67)** Using a combination of technical and socio-adaptive  
700 interventions, the program emphasized professional development in urinary catheter  
701 utilization, catheter care and maintenance, and antimicrobial stewardship, as well as promoting  
702 NF resident safety culture, team building, and leadership engagement. CAUTI rates decreased  
703 by 54% (incidence rate ratio (IRR), 0.46; 95% CI, 0.36-0.58;  $P < .001$ ) during the project. The  
704 number of urine cultures ordered for all residents decreased by 15%. **(67)**

705

## 706 **Respiratory Tract Infections**

### 707 *Use of High-Dose Vitamin D in Pneumonia Prevention*

708 In another recent randomized controlled study, investigators conducted a major  
709 randomized controlled trial to determine the efficacy and safety of high-dose vitamin D to  
710 prevent acute respiratory tract infections in NHs. **(68)** The study involved 25 Colorado-based  
711 NFs and residents over the age of 60. Participants were randomized to a high-dose group that  
712 received 100,000 international units (IU) of vitamin D monthly and a standard dose group that  
713 received either placebo if already on supplementation of 400-1,000 IU/d of vitamin D or 12,000  
714 IU of vitamin D if taking anything less than 400 IU/day. High-dose group experienced 0.67 acute

715 respiratory tract infections/year, standard-dose group experienced 0.6 infections/year and the  
716 difference being clinically insignificant. Furthermore, falls were more common in high-dose  
717 group at 1.47/person-year versus standard-dose group at 0.63/person-year. However, fractures  
718 were uncommon. Thus, the role of high-dose Vitamin D in preventing infections remains  
719 unclear.

720

### 721 *Chlorhexidine-based Oral Care in Aspiration Pneumonia*

722 Several preliminary studies suggest that adequate oral hygiene using mouth rinses, toothpaste,  
723 brushing along with feeding in an upright position, would mitigate the risk of pneumonias  
724 attributed to aspiration. (53) In another major cluster-randomized study involving 36 NFs in  
725 Connecticut, the study involved older NF residents with at least one of the two following  
726 modifiable risk factors: impaired oral hygiene or swallowing difficulty by clinical assessment.  
727 The intervention comprised of manual tooth/gum brushing along with a chlorhexidine rinse  
728 twice a day along with upright positioning. Primary and secondary outcomes included time to  
729 first chest radiograph confirmed pneumonia and development of first lower respiratory tract  
730 infections, respectively. However, with the adjusted hazard ratio of 1.12 (95% CI 0.84, 1.5, p  
731 0.44) for the primary outcome of time to first pneumonia, the study was terminated for futility  
732 and ineffectiveness, since this chlorhexidine-based intervention was not effective in reducing  
733 lower respiratory infections and thus questioning the utility of this particular enhanced oral  
734 care protocol in long-term populations.

### 735 **ACKNOWLEDGMENTS**

736 This work was supported in part by funds and facilities provided by the Cleveland Department  
737 of Veterans Affairs (VA), the Cleveland Geriatric Research Education and Clinical Center (GRECC)  
738 and VA Merit Review Program (PPO 16-118-1; RJ). This work was also supported in part by the  
739 Agency for Healthcare Research and Quality (AHRQ; HHSP2332015000201; RJ) and the National  
740 Institute on Aging (K24AG050685; LM). The findings and conclusions in this document are those  
741 of the authors, who are responsible for its content, and do not necessarily represent the views  
742 of the VA, AHRQ or of the United States Government.

743

744 **Conflict of Interest Checklist:**

745

Elements of Financial/Personal Conflicts	RLPJ		CJC		LM		SFB	
	Yes	No	Yes	No	Yes	No	Yes	No
Employment or Affiliation		X		X		X		X
Grants/Funds		X		X		X		X
Honoraria		X		X		X		X
Speaker Forum		X		X		X		X
Consultant		X		X		X		X
Stocks		X		X		X		X
Royalties		X		X		X		X
Expert Testimony		X		X		X		X
Board Member		X		X		X		X
Patents		X		X		X		X
Personal Relationship		X		X		X		X

746

Elements of Financial/Personal Conflicts	LEN		TTY					
	Yes	No	Yes	No	Yes	No	Yes	No

<b>Employment or Affiliation</b>		X		X				
<b>Grants/Funds</b>		X		X				
<b>Honoraria</b>		X		X				
<b>Speaker Forum</b>		X		X				
<b>Consultant</b>		X		X				
<b>Stocks</b>		X		X				
<b>Royalties</b>		X		X				
<b>Expert Testimony</b>		X		X				
<b>Board Member</b>		X		X				
<b>Patents</b>		X		X				
<b>Personal Relationship</b>		X		X				

747

748 **RLPJ** is the Principal Investigator on a research grant from Steris corporation and participated in  
749 a Pfizer advisory board.

750 **CJC** is supported by research grants the Agency for Healthcare Research and Quality  
751 (R18HS022465, R18 HS022465-01 A1) and the Veterans Health Services Research &  
752 Development (RFA# HX-16-006, CRE-12-291 (CJC) and PPO 16-118-1).

753

754 **Author Contributions:** All authors contributed equally to the concept, organization,  
755 preparation, and writing of this manuscript.

756

757 **Sponsor's Role:** None.

758 **REFERENCES**

- 759 1. Yoshikawa TT, Norman DC. Geriatric infectious diseases: Current concepts on diagnosis  
760 and management. *J Am Geriatr Soc* 2017;65:631-641.
- 761 2. Harris-Kojetin L, Sengupta M, Park-Lee E et al. Long-term care services in the United  
762 States: 2013 Overview. National health care statistics report: no. 1. Hyattsville, MD:  
763 National Center for Health Statistics. 2013.
- 764 3. Centers for Medicare and Medicaid Services. Nursing Home Data Compendium:  
765 2015. [https://www.cms.gov/Medicare/Provider-Enrollment-and-Certification/CertificationandCompliance/Downloads/nursinghomedatacompendium\\_508-2015.pdf](https://www.cms.gov/Medicare/Provider-Enrollment-and-Certification/CertificationandCompliance/Downloads/nursinghomedatacompendium_508-2015.pdf). Accessed  
766 May 15, 2017.
- 767
- 768 4. Zimmer JG, Bentley DW, Valenti WM et al. Systemic antibiotic use in nursing homes. A  
769 quality assessment. *J Am Geriatr Soc* 1986;34:703-710.
- 770 5. van Buul LW, van der Steen JT, Veenhuizen RB et al. Antibiotic use and resistance in long  
771 term care facilities. *J Am Med Dir Assoc* 2012;13:568.e1-e13.  
772 doi:10.1016/j.jamda.2012.04.004.
- 773 6. Crnich CJ, Jump R, Trautner B et al. Optimizing antibiotic stewardship in nursing homes:  
774 A narrative review and recommendations for improvement. *Drugs Aging*  
775 2015;32:699-716. doi:10.1007/s40266-015-0292-7.
- 776 7. McElligott M, Welham G, Pop-Vicas A et al. Antibiotic stewardship in nursing facilities.  
777 *Infect Dis Clin North Am*, in press.
- 778 8. Wagner B, Filice GA, Drekonja D et al. Antimicrobial stewardship programs in inpatient  
779 hospital settings: A systematic review. *Infect Control Hosp Epidemiol*  
780 2014;35:1209-1228. doi:10.1086/678057.
- 781 9. Barlam TF, Cosgrove SE, Abbo LM et al. Implementing an antibiotic stewardship  
782 program: Guidelines by the Infectious Diseases Society of America and the Society for  
783 Healthcare Epidemiology of America. *Clin Infect Dis* 2016;62:e51-e77.  
784 doi:10.1093/cid/ciw118.

- 785 10. Centers for Medicare & Medicaid Services (CMS), HHS. Reform of Requirements for  
786 Long-Term Care Facilities. Final rule. Fed Reg 2016;81:68688-68872.
- 787 11. Jump RL, Olds DM, Seifi N et al. Effective antimicrobial stewardship in a long-term care  
788 facility through an infectious disease consultation service: Keeping a LID on antibiotic  
789 use. *Infect Control Hosp Epidemiol* 2012;33:1185-1192. doi:10.1086/668429.
- 790 12. Centers for Disease Control and Prevention. The core elements of antibiotic stewardship  
791 for nursing homes. Atlanta, GA: US Department of Health and Human Services,  
792 CDC;2015:1-21.
- 793 13. Centers for Disease Control and Prevention. Checklist: The core elements of antibiotic  
794 stewardship for nursing homes.  
795 [http://www.cdc.gov/longtermcare/pdfs/core-elements-antibiotic-stewardship-checklist.](http://www.cdc.gov/longtermcare/pdfs/core-elements-antibiotic-stewardship-checklist.pdf)  
796 pdf. Published 2015. Accessed April 12, 2016.
- 797 14. Fisch J, McNamara SE, Lansing BJ et al. The 24-hour report as an effective monitoring  
798 and communication tool in infection prevention and control in nursing homes. *Am J*  
799 *Infect Control* 2014;42:1112-1114.
- 800 15. Mylotte JM. Antimicrobial stewardship in long-term care: Metrics and risk adjustment. *J*  
801 *Am Med Dir Assoc* 2016;17:672.e13-e18. doi:10.1016/j.jamda.2016.04.014.
- 802 16. Zimmerman S, Sloane PD, Bertrand R et al. Successfully reducing antibiotic prescribing in  
803 nursing homes. *J Am Geriatr Soc* 2014;62:907-912. doi:10.1111/jgs.12784.
- 804 17. Kistler CE, Zimmerman S, Scales K et al. The antibiotic prescribing pathway for presumed  
805 urinary tract infections in nursing home residents. *J Am Geriatr Soc* 2017;65:1719-1725.
- 806 18. Zabarsky TF, Sethi AK, Donskey CJ. Sustained reduction in inappropriate treatment of  
807 asymptomatic bacteriuria in a long-term care facility through an educational  
808 intervention. *Am J Infect Control* 2008;36:476-480. doi:10.1016/j.ajic.2007.11.007.
- 809 19. Trautner BW, Grigoryan L, Petersen NJ et al. Effectiveness of an antimicrobial  
810 stewardship approach for urinary catheter-associated asymptomatic bacteriuria. *JAMA*  
811 *Intern Med* 2015;175:1120-1127. doi:10.1001/jamainternmed.2015.1878.

- 812 20. Lee TC, Frenette C, Jayaraman D et al. Antibiotic self-stewardship: Trainee-led structured  
813 antibiotic time-outs to improve antimicrobial use. *Ann Intern Med* 2014;161(10  
814 Suppl):S53-S58. doi:10.7326/M13-3016.
- 815 21. Fleet E, Gopal Rao G, Patel B et al. Impact of implementation of a novel antimicrobial  
816 stewardship tool on antibiotic use in nursing homes: A prospective cluster randomized  
817 control pilot study. *J Antimicrob Chemother* 2014;69:2265-2273.  
818 doi:10.1093/jac/dku115.
- 819 22. European Centre for Disease Prevention and Control. Point prevalence survey of  
820 healthcare-associated infections and antimicrobial use in European long-term care  
821 facilities. April–May 2013. Stockholm: ECDC; 2014.
- 822 23. Tsan L, Langberg R, Davis C et al. Nursing home-associated infections in the Department  
823 of Veterans Affairs community living centers. *Am J Infect Control* 2010;38:461-466.
- 824 24. Stone ND, Ashraf MS, Calder J et al. Surveillance definitions of infections in long-term  
825 care facilities: Revisiting the McGeer criteria. *Infect Control Hosp Epidemiol*  
826 2012;33:965-977.
- 827 25. Bradley SF. Infections in the long-term care setting. In: Yoshikawa TT, Norman DC, eds.  
828 *Infectious Diseases in the Aging. A Clinical Handbook*, 2nd Edition. New York: Humana  
829 Press, 2009, pp. 387-408.
- 830 26. Bradley SF. Infectious Disease. In: Ham RJ, Sloane PD, Warshaw GA et al., eds. *Primary*  
831 *Care Geriatrics – A Case-Based Approach*, 6th Ed. Philadelphia: Saunders, 2014, pp.  
832 512-534.
- 833 27. Stevens DL, Bisno AL, Chambers HF et al. Practice guidelines for the diagnosis and  
834 management of skin and soft tissue infections: 2014 Update by the Infectious Diseases  
835 Society of America. *Clin Infect Dis* 2014;59:e10-e52.
- 836 28. Loeb M, Bentley DW, Bradley S et al: Development of minimum criteria for the initiation  
837 of antibiotics in residents of long-term-care facilities: Results of a consensus conference.  
838 *Infect Control Hosp Epidemiol* 2001;22:120-124.

- 839 29. High KP, Bradley SF, Gravenstein S et al. Clinical practice guideline for the evaluation of  
840 fever and infection in older adult residents of long-term care facilities: 2008 update by  
841 the Infectious Diseases Society of America. *Clin Infect Dis* 2009;48:149-171.
- 842 30. Jump RL. Clostridium difficile infection in older adults. *Aging Health* 2013;9:403-414.
- 843 31. Jump RL, Donskey CJ. Clostridium difficile in the long-term care facility: Prevention and  
844 management. *Curr Geriatr Rep* 2015;4:60-69.
- 845 32. Cohen SH, Gerding DN, Johnson S et al. Clinical practice guidelines for Clostridium  
846 difficile infection in adults: 2010 update by the Society for Healthcare Epidemiology of  
847 America (SHEA) and the Infectious Diseases Society of America (IDSA). *Infect Control  
848 Hosp Epidemiol* 2010;31:431-455.
- 849 33. Karanika, S, Grigoras C, Flokas ME et al. The attributable burden of Clostridium difficile  
850 infection to long-term care facilities stay: A clinical study. *J Am Geriatr Soc*  
851 2017;65:1733-1740.
- 852 34. McDonald EG, Milligan J, Frenette C et al. Continuous proton pump inhibitor therapy  
853 and the associated risk of recurrent Clostridium difficile infection. *JAMA Intern Med*  
854 2015;175:784-791.
- 855 35. Surawicz CM, Brandt LJ, Binion DG et al. Guidelines for diagnosis, treatment, and  
856 prevention of Clostridium difficile Infections. *Am J Gastroenterol* 2013;108:478-498.
- 857 36. Crobach MJ, Planche T, Eckert C et al. European Society of Clinical Microbiology and  
858 Infectious Diseases: Update of the diagnostic guidance document for Clostridium  
859 difficile infection. *Clin Microbiol Infect* 2016;22(Suppl 4):S63-S81.
- 860 37. Stevens VW, Nelson RE, Schwab-Daugherty EM et al. Comparative effectiveness of  
861 vancomycin and metronidazole for the prevention of recurrence and death in patients  
862 with Clostridium difficile Infection. *JAMA Intern Med* 2017;177:546-553; Available at:  
863 <http://jamanetwork.com/journals/jamainternalmedicine/fullarticle/2601079>. Accessed  
864 February 24, 2017.
- 865 38. Brown KA, Khanafer N, Daneman N et al. Meta-analysis of antibiotics and the risk of  
866 community-associated Clostridium difficile infection. *Antimicrob Agents Chemother*  
867 2013;57:2326-2332.



- 868 39. Wilcox MH, Gerding DN, Poxton IR et al. Bezlotoxumab for prevention of recurrent  
869 Clostridium difficile infection. *N Engl J Med* 2017;376:305-317.
- 870 40. Goldenberg JZ, Ma SS, Saxton JD et al. Probiotics for the prevention of Clostridium  
871 difficile-associated diarrhea in adults and children. *Cochrane Database Syst Rev*  
872 2013;(5):CD006095. Available at:  
873 <http://onlinelibrary.wiley.com/doi/10.1002/14651858.CD006095.pub3/abstract>.  
874 Accessed July 29, 2017.
- 875 41. Rajagopalan S, Yoshikawa TT. Norovirus infections in long-term care facilities. *J Am*  
876 *Geriatr Soc* 2016;64:1097-1103.
- 877 42. Zheng DP, Widdowson MA, Glass RI et al. Molecular epidemiology of genogroup  
878 II-genotype 4 noroviruses in the United States between 1994 and 2006. *J Clin Microbiol*  
879 2010;48:168-177.
- 880 43. Herzig CTA, Dick AW, Sorbero M et al. Infection trends in US nursing homes, 2006-2013.  
881 *J Am Med Dir Assoc* 2017;18:635.e9–635.e20.
- 882 44. Jain S, Self WH, Wunderink RG et al. Community-acquired pneumonia requiring  
883 hospitalization among U.S. Adults. *N Engl J Med* 2015;373:415-427.
- 884 45. Huijskens EG, Koopmans M, Palmen FM et al. The value of signs and symptoms in  
885 differentiating between bacterial, viral and mixed aetiology in patients with  
886 community-acquired pneumonia. *J Med Microbiol* 2014;63:441-452.
- 887 46. Ayaz SI, Haque N, Pearson C et al. Nursing home-acquired pneumonia: Course and  
888 management in the emergency department. *Int J Emerg Med* 2014;7:19.
- 889 47. Hollaar V, van der Maarel-Wierink C, van der Putten GJ et al. Defining characteristics and  
890 risk indicators for diagnosing nursing home-acquired pneumonia and aspiration  
891 pneumonia in nursing home residents, using the electronically-modified Delphi Method.  
892 *BMC Geriatr* 2016;16:60.
- 893 48. Kaye KS, Stalam M, Shershen WE et al. Utility of pulse oximetry in diagnosing  
894 pneumonia in nursing home residents. *Am J Med Sci* 2002;324:237-242.

- 895 49. Putot A, Tetu J, Perrin S et al. Impact of microbiological samples in the hospital  
896 management of community-acquired, nursing home-acquired and hospital-acquired  
897 pneumonia in older patients. *Eur J Clin Microbiol Infect Dis* 2016;35:489-495.
- 898 50. Ma HM, Wah JL, Woo J. Should nursing home-acquired pneumonia be treated as  
899 nosocomial pneumonia? *J Am Med Dir Assoc* 2012;13:727-731.
- 900 51. Kalil AC, Metersky ML, Klompas M et al. Management of adults with hospital-acquired  
901 and ventilator-associated pneumonia: 2016 Clinical Practice Guidelines by the Infectious  
902 Diseases Society of America and the American Thoracic Society. *Clin Infect Dis*  
903 2016;63:e61-e111.
- 904 52. Pugh R, Grant C, Cooke RP et al. Short-course versus prolonged-course antibiotic  
905 therapy for hospital-acquired pneumonia in critically ill adults. *Cochrane Database Syst*  
906 *Rev* 2015;(8):CD007577. Available at:  
907 <http://onlinelibrary.wiley.com/doi/10.1002/14651858.CD007577.pub3/abstract>.  
908 Accessed July 31, 2017.
- 909 53. Juthani-Mehta M, van Ness PH, McGloin J et al. A cluster-randomized controlled trial of  
910 a multicomponent intervention protocol for pneumonia prevention among nursing  
911 home elders. *Clin Infect Dis* 2015;60:849-857.
- 912 54. Nicolle LE. Urinary tract infections in the older adult. *Clin Geriatr Med* 2016;32:523-538.
- 913 55. D'Agata E, Loeb MB, Mitchell SL. Challenges in assessing nursing home residents with  
914 advanced dementia for suspected urinary tract infections. *J Am Geriatr Soc*  
915 2013;61:62-66.
- 916 56. Rotjanapan P, Dosa D, Thomas KS. Potentially inappropriate treatment of urinary tract  
917 infections in two Rhode Island nursing homes. *Arch Intern Med* 2011;171:438-443.
- 918 57. Nicolle LE. Urinary catheter-associated infections. *Infect Dis Clin North Am*  
919 2012;26:13-27.
- 920 58. Sundvall PD, Ulleryd P, Gunnarsson RK. Urine culture doubtful in determining etiology of  
921 diffuse symptoms among elderly individuals: A cross-sectional study of 32 nursing  
922 homes. *BMC Fam Pract* 2011;12:36.

- 923 59. Balogun SA, Philbrick JT. Delirium, a symptom of UTI in the elderly: Fact or fable? A  
924 systematic review. *Can Geriatr J* 2013;17:22-26.
- 925 60. Rowe T, Towle V, Van Ness PH et al. Lack of positive association between falls and  
926 bacteriuria plus pyuria in older nursing home residents. *J Am Geriatr Soc*  
927 2013;61:653-654.
- 928 61. Warren JW, Damron D, Tenney JH et al. Fever, bacteremia, and death as complications  
929 of bacteriuria in women with long-term urethral catheters. *J Infect Dis*  
930 1987;155:1151-1158.
- 931 62. Juthani-Mehta M, Van Ness PH, Bianco L et al. Effect of cranberry capsules on  
932 bacteriuria plus pyuria among older women in nursing homes: A randomized clinical  
933 trial. *JAMA* 2016;316:1879-1887.
- 934 63. Gnanasekaran G, Biedenbender R, Davidson HE et al. Vaccinations in the older adult.  
935 *Clin Geriatr Med* 2016;32:609-625.
- 936 64. Nicolle LE. Cranberry for prevention of urinary tract infection? Time to move on. *JAMA*  
937 2016;316:1873-1874.
- 938 65. Mody L, Krein SL, Saint S et al. A targeted infection prevention intervention in nursing  
939 home residents with indwelling devices: A randomized clinical trial. *JAMA Intern Med*  
940 2015;175:714-723.
- 941 66. Saint S, Greene MT, Krein SL et al. A program to prevent catheter-associated urinary  
942 tract infection in acute care. *N Engl J Med*. 2016;374:2111-2119.
- 943 67. Mody L, Greene MT, Meddings J et al. A national program to prevent  
944 catheter-associated urinary tract infection in nursing home residents. *JAMA Intern Med*  
945 2017 May 19. doi: 10.1001/jamainternmed.2017.1689.
- 946 68. Ginde AA, Blatchford P, Breese K et al. High-dose monthly vitamin-D for prevention of  
947 acute respiratory infection in older long-term care residents: A randomized clinical trial.  
948 *J Am Geriatr Soc* 2017;65:496-503.  
949

## 950 TABLES

951 **Table 1.** Core Elements of Antibiotic Stewardship in Nursing Facilities (12).

Component	Description	Comments
<b>1. Leadership Commitment</b>	Dedicate support and commitment to safe and appropriate antibiotic use in the facility.	<ul style="list-style-type: none"> <li>• Medical director and nursing leadership should provide visible support for the facility antibiotic stewardship program (ASP).</li> <li>• Leader of ASP should have dedicated time to perform their stewardship duties.</li> <li>• Structure, roles and responsibilities of facility ASP should be clearly delineated in a policy that is reviewed and approved by facility leadership.</li> <li>• The facility ASP should periodically report to the facility Quality Assurance and Performance Improvement (QAPI) committee</li> </ul>
<b>2. Accountability</b>	<p>Identify which members of the facility will be part of the stewardship team and clearly delineate their role and responsibilities.</p> <p>Assign administrative leadership of the stewardship team to</p>	<ul style="list-style-type: none"> <li>• Antibiotic stewardship is a team-based process that requires involvement and collaboration between leadership, providers, nursing staff and pharmacy.</li> <li>• While responsibility for completing various ASP tasks may be delegated to different members of the team, administrative oversight should be assigned to a single individual.</li> <li>• The ASP team leader should have a clinical background plus a demonstrated capacity to work and communicate well with stakeholders in other disciplines who operate</li> </ul>

	a single individual.	in the facility.
<b>3. Drug Expertise</b>	Ensure access to individuals with experience and/or training in antibiotic stewardship.	<ul style="list-style-type: none"> <li>• Ideally, the individual selected to lead the facility stewardship team will have prior training/expertise in infectious diseases and/or antibiotic stewardship but this will be unusual in most nursing facilities.</li> <li>• In the absence of local expertise, the facility should: <ul style="list-style-type: none"> <li>⇒ Provide support for the stewardship team to attend stewardship training opportunities and pursue formal certification, if available.</li> <li>⇒ Identify and collaborate with experts in the region (e.g., referring acute care hospital) who can help develop facility policies/guidelines and provide input on selection and implementation of different stewardship interventions.</li> </ul> </li> </ul>
<b>4. Action</b>	Implement at least one policy or practice to improve antibiotic use in the facility.	<ul style="list-style-type: none"> <li>• Specific strategies should be chosen based on facility resources and needs identified through tracking measures.</li> <li>• Strategies that focus on reducing unnecessary testing of urine samples and treatment of asymptomatic bacteriuria appear to have the greatest potential for immediate impact (see text).</li> </ul>

<p><b>5. Tracking</b></p>	<p>Monitor at least one <u>antibiotic utilization outcome</u> and one <u>clinical outcome</u> measure of antibiotic use in the facility.</p>	<ul style="list-style-type: none"> <li>• At a minimum, track facility-initiated antibiotic starts on a monthly basis (ideally, denominate by resident-days). Other utilization measures to consider include, proportion of antibiotic starts prescribed for &gt;7 days and proportion of antibiotic starts that meet appropriateness criteria.</li> <li>• Clinical outcomes that should be considered include the monthly number of residents colonized or infected with different multidrug-resistant organisms (e.g., methicillin-resistant <i>Staphylococcus aureus</i>), <i>Clostridium difficile</i>, and the facility antibiogram.</li> </ul>
<p><b>6. Reporting</b></p>	<p>Provide regular feedback of antibiotic use and antibiotic resistance to staff and providers in the facility.</p>	<ul style="list-style-type: none"> <li>• Antibiotic utilization and clinical outcomes data should be presented at least quarterly at the facility QAPI meeting.</li> <li>• Providing individual feedback to providers on their prescribing patterns relative to their peers may have a beneficial normative influence on outliers.</li> </ul>
<p><b>7. Education</b></p>	<p>Provide resources to staff, providers and patients/residents about the risks of</p>	<ul style="list-style-type: none"> <li>• Education on the importance of antibiotic stewardship and the strategies the facility is using to promote better antibiotic stewardship should be delivered at hire and</li> </ul>

	antibiotics and opportunities for improving antibiotic use.	periodically thereafter. <ul style="list-style-type: none"><li>• Education should target both nursing staff and prescribers.</li></ul>
--	---	--

952

Author Manuscript

953 **Table 2.** Empirical Treatment for Skin and Soft Tissue Infections in Long-Term Care Residents.

954

955 **PRIMARY BACTERIAL INFECTIONS**

956

957 Impetigo (non-bullous and bullous)

958

959 **Severity**                      **Route**                      **Antimicrobials**                      **Minimum Duration**                      **Typical Organisms**                      **Comments**

960

961 Mild                      oral                      dicloxacillin or                      7 days                      *Staphylococcus aureus*                      if many lesions  
 962                                           cephalixin                                                                MSSA most common                      empiric Rx

963

964                                           doxycycline or                                           MRSA                      culture known  
 965                                           clindamycin or  
 966                                           TMP/SMX

967

968                                           penicillin                                           GABHS                      culture known

969

970                      topical                      mupirocin                      5 days                      streptococci, *S. aureus*                      empirical Rx

971

972 Non-purulent infections (cellulitis, erysipelas, necrotizing infection)

973

974 Mild\*                      oral                      penicillin or                      5 days                      streptococci                      cultures; aspirates not  
 975                                           dicloxacillin or                                                                                     routinely recommended  
 976                                           cephalosporins or  
 977                                           clindamycin



978						
979	Moderate**	IV	penicillin or		streptococci	consider MSSA Rx;
980			ceftriaxone or			consider MRSA Rx
981			cefazolin or			if prior infection
982			clindamycin			
983						
984	Severe***	IV	vancomycin		GABHS	transfer to hospital;
985			piperacillin/tazobactam		polymicrobial	emergent surgery;
986						deep tissue culture
987						
988	Purulent infections (furuncle, carbuncle, abscess)					
989						
990	Mild+	N/A	none	N/A	<i>S. aureus</i>	incision & drainage;
991					MSSA, MRSA	antibiotics if fails
992						
993	Moderate++	oral	TMP/SMX or	minimum 5 days	<i>S. aureus</i>	incision & drainage;
994			doxycycline		MSSA, MRSA	culture & susceptibility
995						
996		IV/oral	glycopeptides or			
997			daptomycin or			
998			ceftaroline or			
999			linezolid			
1000						
1001	Severe+++	IV	as above	N/A	<i>S. aureus</i>	transfer to hospital;
1002					MSSA/MRSA	emergent surgery;

1003						deep tissue culture
1004	Necrotizing fasciitis/gangrene					
1005						
1006	Severe	IV	vancomycin &	N/A	polymicrobial	transfer to hospital;
1007			piperacillin/tazobactam		<i>S. pyogenes</i>	emergent surgery;
1008			vancomycin & carbapenem or		<i>S. aureus</i>	deep tissue culture
1009			vancomycin & metronidazole &			
1010			ceftriaxone			
1011	Pyomyositis					
1012						
1013	Severe	IV	vancomycin	N/A	<i>S. aureus</i>	transfer to hospital;
1014					MSSA/MRSA	emergent imaging;
1015						deep tissue & blood culture
1016						
1017	<b>SECONDARY BACTERIAL INFECTIONS</b>					
1018						
1019	Surgical Site Infection > 4 days post-operatively					
1020						
1021						
1022	Clean Site	IV	vancomycin or	N/A	<i>S. aureus</i>	erythema > 5 cm from
1023	head, neck		cefazolin		MSSA/MRSA	incision; T > 38°C;
1024	trunk, extremity					elevated WBC;
1025						begin dressing changes
1026	Perineal wound or	IV	cephalosporin &		polymicrobial	
1027	GI/GU surgery		metronidazole or			

1028		levofloxacin &		
1029		metronidazole or		
1030		carbapenem		
1031				
1032	Pressure Ulcer Infection: Stage III or IV			
1033				
1034				
1035	PO	ciprofloxacin or levofloxacin &	polymicrobial	optimize local care;
1036		metronidazole or clindamycin	aerobes &	debride necrotic tissue;
1037			anaerobes	deep tissue for culture;
1038				osteomyelitis evaluation
1039				
1040	IV	piperacillin-tazobactam or		
1041		carbapenem or		
1042		cephalosporin & metronidazole		
1043		or clindamycin		
1044		quinolone & metronidazole or		
1045		clindamycin		
1046				
1047		if MRSA suspected, add vancomycin		
1048				
1049				
1050	Superficial fungal infections			
1051				
1052	intertrigo,	topical	clotrimazole, nystatin	<i>Candida albicans</i> culture if no response;

1053	vaginitis	<u>or</u>				drug interactions are
1054	thrush	oral	fluconazole, itraconazole			common with azoles;
1055	paronychia					monitor hepatotoxicity
1056	denture					
1057	stomatitis					
1058						
1059	tinea pedis	topical	clotrimazole, terbinafine		dermatophytes	drug interactions are
1060	tinea capitis	<u>or</u>				common with azoles;
1061	tinea unguium	oral	itraconazole, terbinafine			monitor hepatotoxicity
1062	tinea cruris					
1063						
1064	Herpesviruses					
1065						
1066	Shingles	IV	acyclovir		varicella zoster virus	VZV higher doses than HSV
1067		oral	acyclovir, famciclovir		(VZV)	IV for disseminated
1068			valaciclovir			infection
1069						adjust for renal function
1070						treat VZV-related PHN
1071						
1072	Genitorectal herpes	oral	acyclovir, famciclovir		herpes simplex virus	adjust for renal function
1073			valacyclovir		(HSV 1&2)	
1074						
1075	Ectoparasites					
1076						
1077	Scabies	topical	permethrin 5%	12 hours		cover hairline to feet

1078		oral	ivermectin		crusted scabies
1079					
1080	Lice	topical	permethrin %	12 hours	retreat one week later
1081					
1082					
1083					
1084	Bedbugs	N/A	N/A	NA	contact precautions
1085					launder clothing
1086					contact isolation
1087					disinfect mattress
1088					seek expert guidance
1089					

1090

1091 Adapted from (25-27)

1092

1093 MSSA = Methicillin-susceptible *S. aureus*1094 MRSA = Methicillin-resistant *S. aureus*

1095 GABHS = group A beta-hemolytic streptococci

1096 TMP-SMX = trimethoprim-sulfamethoxazole

1097 IV = intravenous

1098 WBC = white cell count

1099 GI/GU = gastrointestinal/genitourinary

1100

1101 Systemic signs of infection = (T > 38°C, heart rate > 90 beats/minute, respiratory rate > 24 breaths per minute, WBC > 12,000 or < 400 cells/mm<sup>3</sup>).

1102

1103 Non-purulent Severity Index

1104 Mild\* typical cellulitis /erysipelas without focus of purulence

1105 Moderate\*\* typical cellulitis/erysipelas with systemic signs of infection

1106 Severe\*\*\* residents who have failed oral therapy with systemic signs of infection, who are immunocompromised, or have signs of deeper  
1107 infection such as bullae, skin sloughing, hypotension, or organ dysfunction1108 Purulent Severity Index

1109 Mild+ purulent infection

1110 Moderate++ purulent infection with systemic signs of infection

1111 Severe+++ residents who have failed incision & drainage with oral antibiotics or have systemic signs of infection, or who are  
1112 immunocompromised

1113

Author Manuscript

1114 **Table 3:** Suggested Empirical Antibiotic Therapy for Nursing Home-Acquired Bacterial  
 1115 Pneumonia.

Clinical Context	First-line	Second-Line
Mild to moderate pneumonia symptoms	- cefpodoxime or - amoxicillin/clavulanic acid (first choice if aspiration suspected)	- doxycycline or - levofloxacin
Severe pneumonia symptoms or failure to improve with appropriate empirical therapy	- ceftriaxone and azithromycin	- ertapenem or - levofloxacin
Severe pneumonia symptoms and concern for MRSA in respiratory tract	- consider adding vancomycin or doxycycline	- consider adding linezolid
Known history or strong suspicion of <i>Pseudomonas</i> or resistant Gram-negative bacteria in respiratory tract	- cefepime or - piperacillin/tazobactam	- levofloxacin or - carbapenem (other than ertapenam) or - aztreonam

MRSA, methicillin-resistant *Staphylococcus aureus*

1116

1117 **Table 4:** Guidelines Providing Criteria for the Clinical Diagnosis of Urinary Tract Infection (UTI) in Residents of Long-Term Care  
 1118 Facilities (LTCFs).  
 1119

Reference	Proposed Use	Residents without indwelling catheters	Residents with indwelling catheters
Loeb et al, (28)	Minimum criteria for the initiation of antibiotic therapy for urinary infection	Acute dysuria alone, or fever [ $> 37.9^{\circ}\text{C}$ ( $100^{\circ}\text{C}$ ) or $1.5^{\circ}\text{C}$ ( $2.4^{\circ}\text{F}$ ) above baseline] and one or more of: new or worsening urgency, frequency, suprapubic pain, gross hematuria, costovertebral angle tenderness, or urinary continence.	Presence of at least one of the following: <ul style="list-style-type: none"> <li>• Fever (<math>&gt;37.9^{\circ}\text{C}</math> or <math>1.5^{\circ}\text{C}</math> above baseline)</li> <li>• New costovertebral angle tenderness, rigors (shaking, chills) with or without identified cause,</li> <li>• New onset delirium</li> </ul>
High et al, (29)	Evaluation of fever and infection in older residents of LTCFs.	Acute onset of UTI associated symptoms and signs (e.g., fever, dysuria, gross hematuria, new or worsening urinary incontinence, and/or suspected bacteremia).	Suspected urosepsis (i.e., fever, shaking, chills, hypotension or delirium), especially in the context of recent catheter obstruction or change.
Stone et al, (24)	Surveillance definitions for infection in long term care.	At least one of the following symptoms or signs: <ol style="list-style-type: none"> <li>a) acute dysuria or acute pain, swelling or tenderness of the testes, epididymis or prostate.</li> <li>b) Fever or leukocytosis [single oral</li> </ol>	At least one of the following signs or symptoms: <ol style="list-style-type: none"> <li>a) Fever, rigors, or new onset of hypotension with no alternate source of infection</li> <li>b) Either acute change in mental status or</li> </ol>



Author Manuscript		<p>temperature &gt; 37.8°C (&gt;100°F), or repeated oral temperature &gt;37.2°C (99°F) or rectal temperatures &gt;37.5°C (99.5°F or single temperature <math>\geq</math>1.1°C (2°F) over baseline from any site]; leukocytosis, neutrophilia (&gt;14,000 leukocytes/cubic mm) or left shift (&gt;6% bands or 1,500 bands/cubic mm)] and at least one of the following localizing subcriteria:</p> <ol style="list-style-type: none"> <li>1. Acute costovertebral angle pain or tenderness;</li> <li>2. Suprapubic pain;</li> <li>3. Gross hematuria;</li> <li>4. New or marked increasing incontinence or urgency or frequency;</li> <li>5. Urgency</li> </ol> <p>c) In the absence of fever or leukocytosis, then two or more of the above localizing urinary tract sub-criteria.</p>	<p>acute functional decline, with no alternate diagnosis and leukocytosis</p> <p>c) New onset suprapubic pain or costovertebral angle pain or tenderness</p> <p>d) Purulent discharge from around the catheter or acute pain, swelling or tenderness of the testes, epididymis or prostate</p>