

# **Enhancing Perceived Safety in Human–Robot Collaborative Construction Using Immersive Virtual Environments**

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1           **Enhancing Perceived Safety in Human–Robot Collaborative Construction Using**  
2                                   **Immersive Virtual Environments**

3  
4                                   Abstract

5  
6           Advances in robotics now permit humans to work collaboratively with robots.  
7           However, humans often feel unsafe working alongside robots. Our knowledge of how to help  
8           humans overcome this issue is limited by two challenges. One, it is difficult, expensive and  
9           time-consuming to prototype robots and set up various work situations needed to conduct  
10          studies in this area. Two, we lack strong theoretical models to predict and explain perceived  
11          safety and its influence on human–robot work collaboration (HRWC). To address these  
12          issues, we introduce the Robot Acceptance Safety Model (RASM) and employ immersive  
13          virtual environments (IVEs) to examine perceived safety of working on tasks alongside a  
14          robot. Results from a between-subjects experiment done in an IVE show that separation of  
15          work areas between robots and humans increases perceived safety by promoting team  
16          identification and trust in the robot. In addition, the more participants felt it was safe to work  
17          with the robot, the more willing they were to work alongside the robot in the future.

18  
19          *Keywords:* Human–Robot Work Collaboration (HRWC); Immersive Virtual  
20          Environment (IVE); Robot Acceptance Safety Model (RASM); Masonry; Safety; Trust; Team  
21          Identification; Intention to Work with Robot.  
22

# Enhancing Perceived Safety in Human–Robot Collaborative Construction Using Immersive Virtual Environments

## 1. Introduction

Human–robot work collaboration (HRWC) can be used to describe work situations where humans and robots work side by side to complete a task. Advances in robotics now permit humans to work collaboratively with robots (Bauer, Wollherr, & Buss, 2008; You & Robert, 2017). This collaboration allows humans to off-load repetitive and tedious tasks to their robots (Sauppé & Mutlu, 2014; Sirkin, Zinser, & Rose, 2015). This is particularly beneficial because such tasks are often responsible for a class of physical injuries labeled as repetitive motion injuries (Schneider & Susi, 1994). The use of robots also frees up humans to focus on other tasks that cannot be easily performed by robots (You, Ye, & Robert, 2017). HRWC may be particularly important for construction work because many construction tasks require repetitive physical movements and the need for collaborative work.

One major challenge to leveraging HRWC is that humans often feel unsafe working around robots (Bartneck, Kulić, Croft, & Zoghbi, 2009; Tan, Duan, Zhang, Kato, & Arai, 2009). Humans are less willing to work with or alongside robots when they believe it is unsafe to do so, regardless of the actual level of safety (Atkinson & Clark, 2014). Perceived safety is the degree to which someone believes it is safe to engage in a behavior. Despite this, the existing literature on safety and robots has focused only on technical design issues (Park, Kim, Song, & Kim, 2007; Vermeulen & Wisse, 2010) and has ignored the issues associated

1 with perceived safety. Yet, our human behavior is often driven by how we perceive the world.

2         Despite the importance of perceived safety in facilitating HRWC, two challenges have  
3 limited our ability to advance our understanding of this area in the context of construction  
4 work. One, it is difficult, expensive and time-consuming to prototype robots and set up  
5 various work situations needed to conduct studies in this area. For example, one could easily  
6 imagine the need to vary the design of the robot, the task and the characteristics of the  
7 construction sites. We believe that the use of immersive virtual environments (IVEs) can help  
8 to overcome these issues. Immersive virtual environments (IVEs) are computer-generated  
9 simulated environments that represent a physical environment and allow user interactions  
10 with virtually rendered objects (Heydarian et al., 2015; Kamat & Martinez, 2005). By  
11 adapting IVEs, various types of robots, interactions, and tasks can be easily tested and  
12 evaluated to determine the best HRWC practices, without the need to build and evaluate  
13 physical prototypes (Garg & Kamat, 2013; Messner, 2006; Whisker et al., 2003).

14         Two, we lack strong theoretical models that can predict and explain perceived safety  
15 and its influence on HRWC. We believe such theoretical models should be more specific with  
16 regard to perceived safety rather than more general with regard for any outcome related to  
17 work or collaboration. To address this challenge, we introduce the Robot Acceptance Safety  
18 Model (RASM). The RASM asserts that individuals' willingness to work with a robot is  
19 relative to their perceived safety associated with the task involving the robot.

1           In this paper, we specifically examine the impact of workspace sharing between the  
2 human and robot. RASM asserts that: (1) Separation of the work area between a human and a  
3 robot increases one's willingness to work with that robot by facilitating perceived safety; (2)  
4 The separation of the work area increases perceived safety by promoting team identification  
5 and trust in the robot; and (3) The more individuals believe it is safe to work alongside a robot  
6 the more likely they will be to work alongside the robot in the future.

7           To empirically test our model, we conducted an experimental study involving 30  
8 participants. In this study, we employed IVEs in a simulation and experiment environment.  
9 We used IVEs to create two conditions of a construction task involving a robot. In one  
10 condition, participants and their robot worked side by side but each had their own work area  
11 separated by a safety fence. In the other condition, participants and their robot worked in the  
12 same work area. We found that humans felt safer working with their robot when they each  
13 had their own work area separated by the fence. Separate work areas led to higher perceptions  
14 of safety by promoting team identification with and trust in the robot. Perceived safety  
15 promoted participants' willingness to work with their robot in the future. Results of this study  
16 contribute to our understanding of how to effectively employ robots at construction sites.

17           The following sections of this paper describe the objectives, motivation, and current  
18 status of the use of IVEs in HWRC in the area of construction. Then we present the  
19 methodology of the research, followed by the study results. Finally, we discuss a summary of

1 the contributions and future research implications.

## 2 **2. HRWC and Immersive Virtual Environments**

3 Research that falls under the heading of HRWC has been conducted for more than a  
4 decade (Arai, Takubo, Hayashibara, & Tanie, 2000; Goodrich & Schultz, 2007; Ikeura &  
5 Inooka, 1995; Murphy, 2004; Reed & Peshkin, 2008). Most of this research has focused on  
6 building safer robots. Scholars have developed technologies such as advanced controls  
7 (Ikeura & Inooka, 1995; K. Kosuge, Yoshida, & Fukuda, 1993; Kazuhiro Kosuge &  
8 Kazamura, 1997; Vukobratović & Ekalo, 1996), sensor equipment (Du & Zhang, 2014; Vick,  
9 Surdilovic, & Kruger, 2013; Vogel, Walter, & Elkmann, 2013), and path-planning techniques  
10 (Thomessen, Hashimoto, Osumi, Niitsuma, & others, 2014).

11 Advances in robotics now allow robots to work collaboratively alongside human  
12 workers in an interactive, intuitive, and safe manner (Kulić & Croft, 2006; You & Robert,  
13 2017). In the past, safety fencing has been used to separate humans from robots to help to  
14 prevent injuries caused by physical contact (Vasic & Billard, 2013). However, in cellular  
15 manufacturing environments, heavy-duty industrial robots are beginning to work in closer  
16 range with humans (Buchner, Wurhofer, Weiss, & Tscheligi, 2013). An example of this is in  
17 BMW factories, in which robots work alongside human workers for automobile door  
18 assembly tasks (Knight, 2014). Although manufacturing is beginning to engage in more  
19 HRWC without safety fencing, this has not necessarily spilled over into construction work

1 (Tan et al., 2009).

2           Construction sites present their own challenges that limit the ability to adopt the  
3 systems and practices developed for manufacturing environments. Construction environments  
4 are often more dynamic, unstructured, and physically demanding than others (Feng, Xiao,  
5 Willette, McGee, & Kamat, 2015). The location of workspaces on a construction site is fluid  
6 and temporary rather than fixed and permanent like in many manufacturing plants.

7 Workspaces on a construction site are also shared and more open than those at a  
8 manufacturing plant, making it more difficult to close off from other workers (Törner &  
9 Pousette, 2009). For this reason, a safety fence is often installed between a robot and a worker  
10 to avoid potential injuries. However, this can be cumbersome and problematic in construction  
11 work, where more interactive coordination between humans and robots may be beneficial  
12 (Lee, Lee, Lee, Kim, & Han, 2007). In addition, there is no empirical evidence on whether  
13 such separation is necessary or beneficial for HRWC in construction work (B. Hayes &  
14 Scassellati, 2013).

15           Despite the potential importance and relevance of perceived safety, it has received  
16 very little attention in the context of construction environments. We found no prior research  
17 that empirically examined perceived safety in the context of construction work with robots.  
18 Researchers from other fields examining the impact of perceived safety have not focused on  
19 the work arrangement itself. Instead, this work is focused on the relationship between

1 perceived safety and the characteristics of the robot, including motion and speed (Kulić &  
2 Croft, 2006; Or, Duffy, & Cheung, 2009), behaviors such as presence of pre-warning of  
3 physical contact (Chen, King, Thomaz, & Kemp, 2011), and design (Salvini, Laschi, & Dario,  
4 2010). Considering the increases in the adoption of robots in construction work and the  
5 distinct characteristics of these sites, there is an urgent need to examine perceived safety in  
6 HRWC in the context of construction work.

7         To address this, we employed an IVE to avoid time-consuming and costly prototype  
8 building while still effectively evaluating perceived safety of the task (Weistroffer, Paljic,  
9 Callebert, & Fuchs, 2013). IVEs are proven to increase both experimental control and  
10 mundane realism, which enhances participants' engagement, thereby increasing experimental  
11 validity (Blascovich et al., 2002). For example, Heydarian et al. (2015) employed an IVE to  
12 measure the sense of presence felt by participants performing office-related activities.  
13 Weistroffer et al. (2013) used a virtual environment to evaluate the end-user's perception of a  
14 robot and its movement. Inoue et al. (2005) used virtual robots to test the effect of their  
15 movement. However, very little attention has been directed at the use of IVEs in HRWC or  
16 use of IVEs to study unstructured work environments such as construction sites. Therefore,  
17 our goal is to extend the current literature by implementing an IVE to understand how  
18 perceived safety influences HRWC in two unstructured work environments: (1) working with  
19 a robot in two work areas separated by a fence and (2) working with a robot within the same



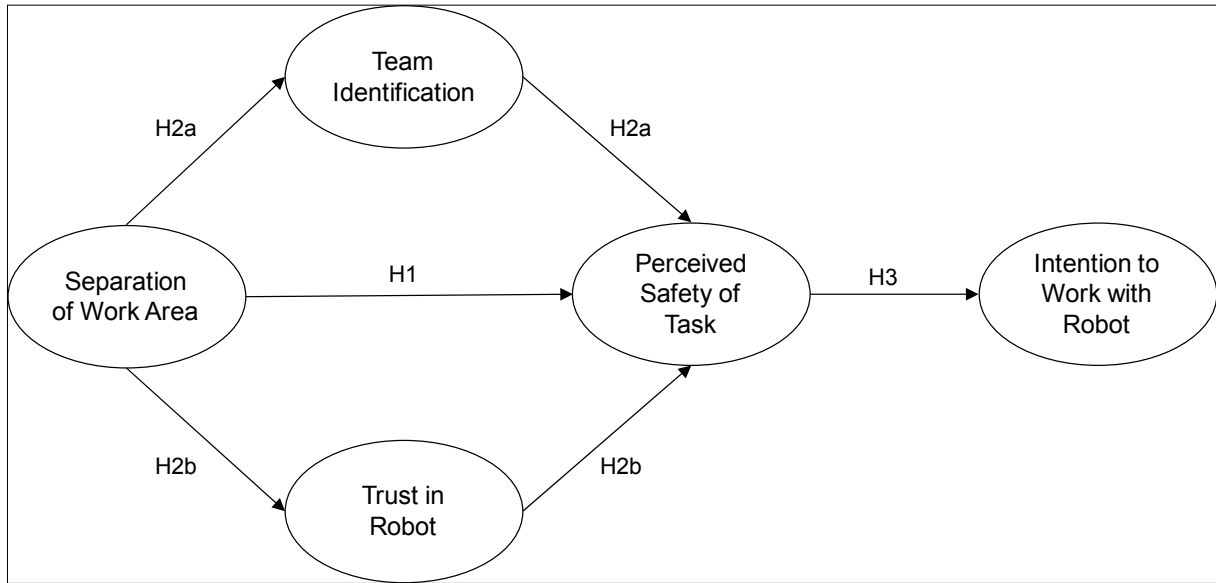
1 work area.

### 2 **3. Research Model and Hypotheses**

3 Safety is an important issue in construction work because accidents can lead to serious  
4 injuries and even fatalities (Törner & Pousette, 2009; Zou & Zhang, 2009). Heavy-duty  
5 machinery is one of the primary causes of accidents on construction sites (Sawacha, Naoum,  
6 & Fong, 1999), and the fear of being hit by such equipment is a major reason many  
7 construction workers feel unsafe (Larsson & Field, 2002). In addition, safety concerns in the  
8 form of fear and worry have been found to increase cognitive load for workers, often  
9 contributing significantly to worker burnout (Nahrgang, Morgeson, & Hofmann, 2011).

10 To examine HRWC for construction tasks, we propose the Robot Acceptance Safety  
11 Model (RASM). RASM consists of several important factors influencing perceived safety in  
12 HWRC. In general, the RASM proposes that collaborative attitudes and beliefs regarding the  
13 robot can impact the perceived safety associated with the collaborative task. In this study, the  
14 RASM specifically asserts that the separation of work areas between workers and their robot  
15 increases the individuals' perceived safety, and that the impact of work separation on  
16 perceived safety is mediated by team identification and trust in robots. RASM also posits that  
17 increases in perceived safety increase an individual's willingness to work with the robot in the  
18 future. The RASM model is depicted in Figure 1.

19



**Figure 1 RASM Model**

### 3.1 Hypotheses

The greater the separation is between humans and robots, the higher the degree of perceived safety. This assertion is supported by early research on personal space, a concept originally introduced by Hall (1966). A common definition of personal space is "an area with an invisible boundary surrounding a person's body, into which intruders may not come" (Sommer, 1969, p. 26). Personal space is important to humans because it allows us to regulate the degree of access others have to us (Strube & Werner, 1984). The ability to control the degree of access to ourselves is directly related to our emotional well-being (Dosey & Meisels, 1969; Kennedy, Gläscher, Tyszka, & Adolphs, 2009). When someone violates our personal space by moving too close to us we can feel uncomfortable and at times threatened by their presence (Dosey & Meisels, 1969; Kennedy et al., 2009; Walters et al., 2005).

Scholars studying personal space quickly identified the importance of emotional

1 closeness between two actors in understanding the degree of personal space needed (Dosey &  
2 Meisels, 1969; Hall, 1966). We need less personal space when interacting with those we are  
3 emotionally close to on a personal level (Burgoon, 1978). For example, people would need  
4 much less personal space when interacting with their spouse than with a stranger (Hall, 1966).  
5 Individuals tend to require more personal space when interacting with others they believe are  
6 a threat. According to Dosey and Meisels (1969), when we believe others are a threat,  
7 increasing our personal space or separation from them helps to increase our feelings of safety.  
8 More recent research on personal space has dug deeper into understanding the mechanism  
9 behind it by examining facial and brain activities (Kennedy et al., 2009; Lieberz et al., 2017).

10         Based on the research on personal space, we believe separating the work area with a  
11 safety fence will lead to more rather than less perceived safety, for several reasons. First, the  
12 degree of personal space is dependent on someone's relationship with the other actor (Hall,  
13 1966; Sommer, 1969). Although it is possible for humans to develop a strong personal  
14 relationship with robots (Robert & You, 2015), we believe this is unlikely to happen in the  
15 context of construction work. As we stated earlier, the fear of being hit by construction  
16 equipment is a major reason many humans feel unsafe around construction sites (Larsson &  
17 Field, 2002). This means that humans are more likely to view a robot on a construction site as  
18 a threat rather than a close personal friend (Mumm & Mutlu, 2011; Takayama & Pantofaru,  
19 2009). The more humans view something as a threat, the more personal space or separation

1 they prefer to have from it (Dosey & Meisels, 1969).

2           Second, a major determinant of perceived safety is the degree of control individuals  
3 have over the interaction between themselves and the other actor. In general, the more control  
4 someone has over the interaction with another actor, the less threatened that person is by that  
5 actor (Strube & Werner, 1984). The safety fence should increase the degree of control  
6 individuals feel they have over their interaction with the robot. Therefore, the inclusion of the  
7 safety fence itself is also likely to increase perceived safety. In sum, an individual is likely to  
8 have higher levels of perceived safety when separated from the robot by a safety fence, which  
9 leads to our first hypothesis:

10           *H1: Separation of the work area with a robot increases individuals' perceived safety*  
11           *of the task.*

12           Separation of the work area should increase perception of safety by promoting team  
13 identification and trust in the robot. Team identification is the degree to which an individual  
14 feels a connection or strong bond with other members of their team (Robert, 2013; Robert,  
15 Dennis, & Ahuja, 2008; You & Robert, 2018). Trust in a robot can be described as the degree  
16 to which an individual believes the robot is capable, credible, and reliable (Jian, Bisantz, &  
17 Drury, 2000; Yagoda & Gillan, 2012). Both should help explain the impact of separate work  
18 areas on perceived safety.

19           Separation of work areas provides the personal space needed between the individual

1 and the robot to promote team identification and trust in the robot. Individuals are less likely  
2 to bond with or trust a robot when they are constantly fearful and worried about its presence  
3 (Groom et al., 2011; Ximenes, Moreira, & Kelner, 2014). Fear and worry regarding someone  
4 or something can block the formation of a common identity and trust between two actors  
5 (Williams, 2007). Providing the personal space needed between the individual and robot  
6 should decrease fear and worry (Sardar, Joesse, Weiss, & Evers, 2012; Takayama &  
7 Pantofaru, 2009). When fear and worry are removed, it becomes possible to form a common  
8 identity and to develop trust in the robot. Research has shown that working alongside a robot  
9 toward a common goal can promote the development of the strong emotional bonds needed to  
10 facilitate team identification and trust (Groom & Nass, 2007).

11 After team identification and trust are developed, individuals should feel safer  
12 working alongside the robot. Team identification and trust have been associated with feelings  
13 of safety (Tharaldsen, Mearns, & Knudsen, 2010; Törner & Pousette, 2009). Individuals feel  
14 safer working with others they share a common identity with and trust (Tharaldsen et al.,  
15 2010; Williams, 2007). Hence the impact of separating the work areas on perceived safety  
16 should occur by increasing team identification and trust in the robot. Therefore, we  
17 hypothesize the following:

18 *H2: (a) Team identification and (b) trust in robots mediate the positive impact of*  
19 *separation of the work areas on perceived safety of the task.*

1           Last, we posit that separating the work areas of the human and robot will eventually  
2 promote the worker's intention to work with the robot in the future. We examined intention to  
3 work with the robot in this study because behavioral intention is considered to be the  
4 immediate predictor of actual behavior (Ajzen, 1991).

5           Perceived safety should increase an individual's willingness to work with the robot in  
6 the future. Theories on human behavior state that future behavior is the result of attitudes and  
7 beliefs associated with that behavior (Ajzen, 1991; Fishbein, 1979). Research has shown that  
8 the more positive someone's attitude or beliefs are regarding a behavior, the more likely that  
9 person is to perform that behavior in the future (Ajzen, 1985; Liker & Sindi, 1997). This has  
10 been shown to be particularly true when it comes to predicting future interactions with  
11 technology (Robert & Sykes, 2016). Similarly, we assert that the more someone believes  
12 performing a task alongside a robot is safe, the more likely that person is to want to work  
13 alongside that robot again. Therefore, individuals who worked in separate work areas from  
14 their robot should be more willing to work alongside the robot in future because they believe  
15 it is safe to do so. This leads to the third hypothesis:

16           *H3: Perceived safety of the task increases intention to work with the robot in the*  
17           *future.*

#### 18   **4. Experiment in an Immersive Virtual Environment**

19           To test our hypotheses, we conducted a between-subjects experiment (shared work

1 area vs. separate work areas) in a controlled lab environment. We employed an IVE to  
2 examine individuals' perceptions of their interaction with a robot on a construction site. The  
3 participants performed a human–robot collaborative masonry task during the experiment. The  
4 virtual environment simulated a realistic masonry task in which an individual performs a task  
5 alongside a robot. The masonry task was selected for several reasons. One, it has all the  
6 characteristics of the type of tasks a robot would be most useful for: it is physically  
7 demanding and labor-intensive and requires repetition. Two, construction robots that directly  
8 support masonry work are already commercially available (e.g., SAM100 developed by  
9 Construction Robotics<sup>1</sup>).

#### 10 **4.1 Participants**

11 Our experiment involved 30 participants recruited at a large national university in the  
12 United States. The mean (M) age was 25.4 years (standard deviation [SD] = 4.48 years); 11  
13 (37%) were female. Each participant was randomly assigned to one of two conditions:  
14 separate work areas or a shared work area. There were 16 participants in the separate work  
15 areas condition and 14 in the shared work area condition.

#### 16 **4.2 Design of Immersive Virtual Environments (IVEs)**

17 We created an IVE using Unity 3D<sup>2</sup> game engine. The software provided a wide  
18 array of interactive components (e.g., position and orientation, camera, light, renderer) for

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<sup>1</sup> <http://www.construction-robotics.com>

<sup>2</sup> <http://www.unity3d.com>

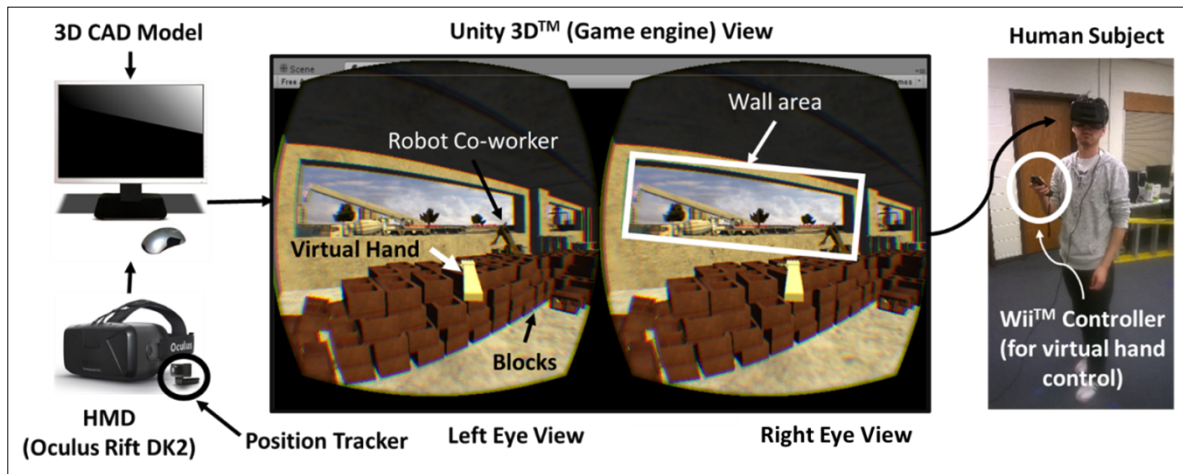
1 building the experimental setting in a virtual space. In addition, we employed Oculus Rift Dev  
2 Kit 2<sup>3</sup> Head-mounted Display (HMD) to generate an IVE during the experiment. As shown in  
3 Figure 2, Unity 3D creates an illusion of depth to a stereoscopic view by rendering two  
4 slightly different images that are shifted horizontally. The rendered images are sent to a  
5 computer that is equipped with motion sensors detecting relative positions and movements of  
6 the HMD. This combination of devices allows for the orientation of the participant's position  
7 in a virtual space. For instance, if a participant moves toward the left and rotates his or her  
8 head to the left while wearing the HMD, the avatar in the virtual environment also moves to  
9 the left and the field of view also moves according to the body movement. Oculus Rift Dev  
10 Kit 2 is capable of capturing and tracking positions up to 2–3 meters.

11 For this study, a virtual hand was rendered in the environment to represent a  
12 participant's hand movement. The virtual hand, shown in yellow in Figure 2, was able to  
13 grab, hold, and release blocks using a Nintendo Wii MotionPlus controller. We employed the  
14 motion controller because it requires only one hand to hold and press buttons, which matched  
15 our experimental task. To reduce clutter and enhance the ease of interaction, we connected the  
16 controller to the computer via Bluetooth. We limited the objects that could be picked up by  
17 the virtual hand to blocks to simplify the interaction.

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<sup>3</sup> <https://www.oculus.com/en-us/dk2/>





**Figure 2 General Settings of Immersive Virtual Environment of Masonry Work**

The experiment consisted of two conditions: working in separate work areas vs. working in a shared area with the robot. Figure 3 illustrates the experimental manipulation. In the separate work area condition, participants and the robot were separated by a partition consisting of a pile of blocks in the middle of the work area. In the shared work area condition, participants and the robot worked without a partition between them. Using two questions, including “My work area was separate from robot’s work area,” based on 5-point Likert scale, we asked participants whether the manipulation was successful at creating the perception of separation. We found a significant difference between the separate work area condition ( $M = 2.90, SD = 0.69$ ) and the shared work area condition ( $M = 2.17, SD = 0.98, t(27) = 2.18, p < 0.05$ ).



**Figure 3 Experimental Setting**

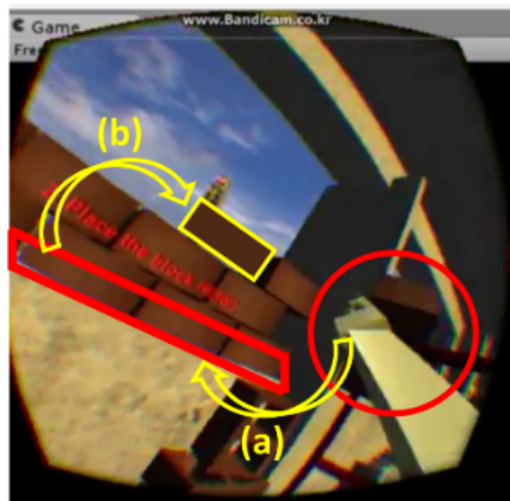
### **4.3 The Masonry Task**

Our experiment simulated a simple masonry task in an IVE. The objective of the task was to repeat the action of grabbing a concrete block and placing it on a designated area of a virtual wall that was under construction. In this setting, participants were to build the virtual wall with a robotic collaborator by controlling a virtual hand using a remote controller.

Figure 4 illustrates the virtual masonry task from participants' viewpoint using the HMD. By maneuvering a virtual hand, shown in yellow in the red circle in the image, participants grabbed one block from the pile and dropped it onto the wall. Once the block was moved close to the bottom of the wall, shown in (a) in Figure 4, it was automatically snapped into position next to the previously placed block, shown in (b) in Figure 4. This allowed the participants to perform the task without putting extra effort for precise alignment of the blocks. During the task, the robot was consistently visible to the participant in both conditions. The robot was programmed to place blocks automatically every 7 seconds, which was roughly the same speed of human participants.

The masonry task required a limited range of maneuvering to move the blocks. That

1 is, the task did not involve actions such as applying mortar between blocks. The simplification  
2 of the masonry task allowed two things. First, it could be easily performable by almost all  
3 participants recruited from a university student population. Second, reducing the complexity  
4 of the actions made the robot's actions more visible to participants, which increased their  
5 awareness of the robot's operations in the construction environment.



6  
7 **Figure 4 Simplified Masonry Task as An Experimental Setting (A View Recorded from**  
8 **the HMD)**

#### 9 **4.4 Experimental Procedure**

10 The experiment took place in a large room designated for studies using IVE. This was  
11 to ensure the participants' safety during the use of the HMD, which does not allow visibility  
12 outside the display and thus requires the use of an open area. There were two in the room: one  
13 computer kiosk was used for the experimental stimulus and the other, located on the other  
14 side of the room, was used for completing questionnaires. This study was approved by the  
15 Institutional Review Board (IRB) of the authors' institution.

1           The experiment was composed of three main parts: pre-questionnaire, interaction with  
2   the robot in the IVE, and post-questionnaire. Upon arrival, participants were greeted and  
3   given a description of the study along with a written consent form. After consenting to  
4   participate, they were guided to a laptop computer to fill out a short pre-questionnaire, which  
5   asked demographic information such as gender, age, and previous videogame experience.

6           Then participants received written instructions with images. The instructions  
7   contained detailed information regarding the experimental task, use of the HMD, and  
8   interaction using Nintendo Wii controller in the IVE. In order to ensure their clear  
9   understanding of the task in the IVE, we asked participants whether they had any questions  
10  regarding the experiment before they proceeded to the next step of the experiment.

11          Participants were then guided to the computer kiosk to use the IVE. All participants  
12  went through a calibration of the HMD and 2-minute training of performing the experimental  
13  task. Participants who reported any physical or mental discomfort during this calibration and  
14  training were dismissed immediately.

15          Next, participants completed the study in the condition they were randomly assigned  
16  to: working in the same area with the robot or a separate area from the robot. They were asked  
17  to perform a masonry task that involved picking up concrete blocks and placing them in a  
18  designated area to complete a wall. Duration of the interaction was 7 minutes. After  
19  participants finished the experimental task in the IVE, we guided them back to the laptop

1 computer to fill out the post-questionnaire. The post-questionnaire contained measures such  
2 as perceived safety, trust, team identification, and intention to work with the robot. After  
3 finishing, they were debriefed, paid, and dismissed.

## 4 **4.5 Measures**

### 5 **4.5.1 Team identification**

6 Team Identification was measured to capture the degree to which individuals  
7 identified themselves with their human–robot team. An index of five items was adapted from  
8 Brown et al. (1986) and measured team identification based on 5-point Likert scale (1 for  
9 “strongly disagree” to 5 for “strongly agree”). Example items include “I was happy with  
10 being identified as a member of this team.” The construct was reliable (Cronbach’s  $\alpha = 0.92$ ).

### 11 **4.5.2 Trust in robot**

12 Trust in the robot captured the extent to which individuals believed that the robot was  
13 trustworthy. The construct was measured using an index of four items adapted from Jian et al.  
14 (2000) based on a 5-point Likert scale (1 for “strongly disagree” to 5 for “strongly agree”).  
15 An example item included “The robot had integrity.” The construct was reliable (Cronbach’s  
16  $\alpha = 0.88$ ).

### 17 **4.5.3 Perceived task safety**

18 Participants were asked to rate the degree to which they felt physical danger in the  
19 work area when performing the task alongside the robot. The construct consisted of three

1 items adapted from Jermier, Gaines, and McIntosh (1989) and was measured using a 5-point  
2 Likert scale (1 for “strongly disagree” to 5 for “strongly agree”). The original items captured  
3 the perceived danger in the environment and were reverse-coded to capture safety. An  
4 example item was “I was directly exposed to physical harm in carrying out the task.” The  
5 construct was reliable (Cronbach’s  $\alpha = 0.959$ ).

#### 6 **4.5.4 Intention to work with robot**

7 Finally, individuals were asked to rate the degree to which they would be willing to  
8 work with the robot in the future. An index of two items, including “I can see myself working  
9 with the robot in the future,” was adapted from Venkatesh, Morris, Davis, and Davis (2003)  
10 and measured the construct based on a 5-point Likert scale (1 for “strongly disagree” to 5 for  
11 “strongly agree”). The construct was reliable (Cronbach’s  $\alpha = 0.78$ ).

### 12 **5. Analysis and Results**

13 We employed a partial least squares (PLS) approach to analyze the data. PLS is a  
14 component-based structural equation modeling technique in which measurement paths and  
15 structural paths among variables are modeled simultaneously (Chin, 1998). We employed  
16 PLS for several reasons. First, PLS allows us to test a structural model that includes latent  
17 variables. PLS has been widely adopted in various fields ranging from information systems to  
18 psychology for theory testing (Marcoulides & Saunders, 2006). Second, PLS allows for the  
19 examination of causal relationships (Chin, 1998). Third, PLS requires a much smaller sample

1 size than other latent modeling techniques. The general rule of thumb for a sample is 10 times  
2 the number of paths leading to the most complicated variable (i.e. perceived safety with three  
3 paths in our model; (Hair, Ringle, & Sarstedt, 2011; Ringle, Wende, & Will, 2005)).

4 The analysis included control variables such as age and previous videogame  
5 experience. None of the control variables yielded a statistically significant effect, so they were  
6 excluded in the final model.

## 7 **5.1 Measurement Validity**

8 PLS produces both the measurement and the structural model. The variable measuring  
9 work area sharing was binary, 0 or 1. All other variables in the model, including trust in the  
10 robot, team identification, perceived safety of the task, and intention to work with the robot,  
11 were reflective constructs.

12 In order to ensure discriminant validity among the variables, we reported factor  
13 loadings of all latent variables (Table 1). All items except one loaded at least 0.7 or greater to  
14 each of their constructs. The exception was the first item, trust in the robot, but we decided to  
15 keep this item in the analysis because it has good face validity. Overall, the results of factor  
16 analysis indicate discriminant and convergent validity (Fornell & Larcker, 1981).

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**Table 1 Factor Loadings**

Item		Component				
		1	2	3	4	5
1	Team Identification 1	<b>0.73</b>	0.26	0.45	0.13	0.06
	Team Identification 2	<b>0.80</b>	0.32	-0.02	-0.11	0.03
	Team Identification 3	<b>0.78</b>	0.29	0.37	0.25	0.08
	Team Identification 4	<b>0.81</b>	-0.02	0.30	0.29	0.02
	Team Identification 5	<b>0.87</b>	-0.13	0.20	0.19	0.20
2	Trust in Robot 1	0.18	<b>0.64</b>	0.29	0.15	-0.10
	Trust in Robot 2	0.01	<b>0.74</b>	0.26	0.34	0.32
	Trust in Robot 3	0.19	<b>0.90</b>	0.19	0.10	-0.14
	Trust in Robot 4	0.09	<b>0.81</b>	0.40	-0.01	-0.08
3	Perceived Safety of Task 1	0.35	0.33	<b>0.78</b>	0.17	0.10
	Perceived Safety of Task 2	0.27	0.31	<b>0.87</b>	0.14	0.07
	Perceived Safety of Task 3	0.29	0.39	<b>0.82</b>	0.14	0.00
4	Intention to Work with Robot 1	0.16	0.05	0.17	<b>0.83</b>	0.07
	Intention to Work with Robot 2	0.19	0.25	0.08	<b>0.87</b>	0.01
5	Previous Videogame Experience 1	0.05	-0.47	0.23	0.29	<b>0.70</b>
	Previous Videogame Experience 2	0.17	0.07	-0.03	-0.05	<b>0.93</b>

Note: Principal Component Analysis with Varimax rotation was used as an extraction method. Values in bold are items that load over 0.7 or greater.

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In addition to the factor analysis, we tested correlations among variables in the empirical model to ensure discriminant and convergent validity. Table 2 demonstrates descriptive statistics, the average variance extracted (AVE), correlations among variables, the square root of AVE, and internal composite reliability (ICR). The AVE represents the variance explained by the variable compared to the variance explained by the measurement error. As recommended by Fornell and Larcker (1981), values of the AVE of all latent variables are greater than 0.5, which indicates convergence of the variables. Further, correlations among variables are below the square root of the AVE of each variable, which indicates discriminant validity among variables in the model. Last, all variables demonstrate internal consistency by showing ICR greater than 0.7 as recommended by Fornell and Larcker



1 (1981).

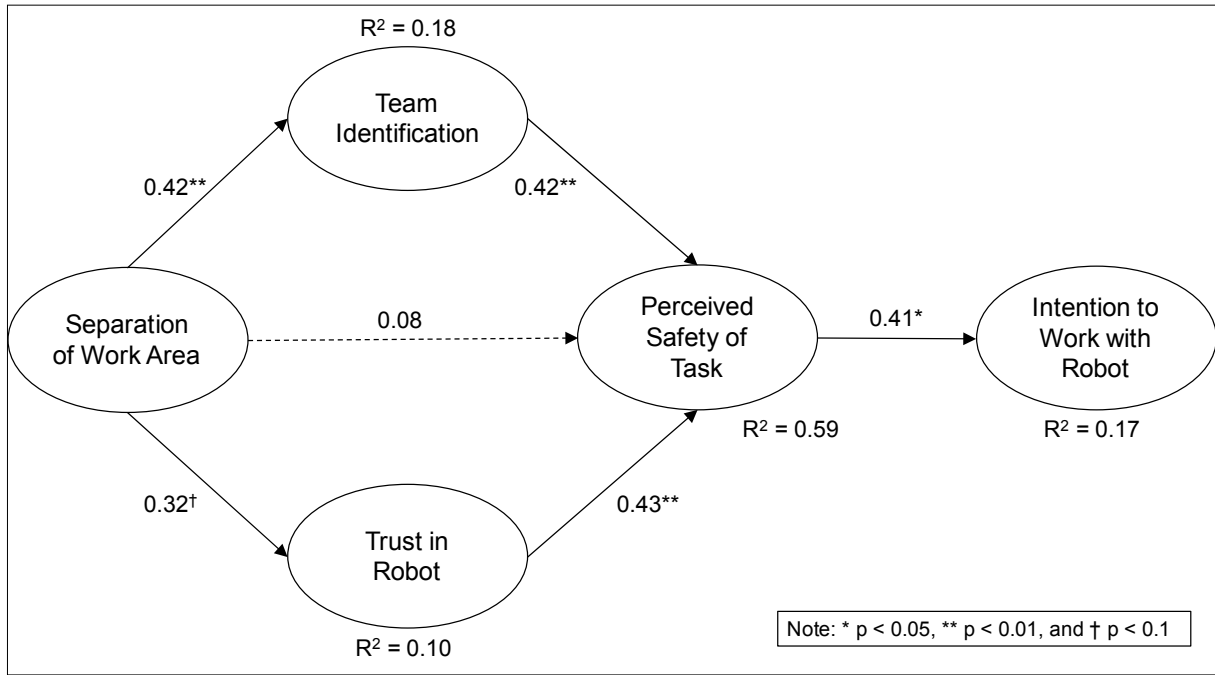
2 **Table 2 Descriptive Statistics, Average Variance Extracted (AVE), Correlations, and**  
3 **Internal Composite Reliability (ICR)**

	Variable	Mean	SD	AVE	1	2	3	4	5	6	7
1	Age	25.40	4.48	NA	NA						
2	Previous Videogame Experience	2.33	0.89	0.73	-0.27	0.86 (0.84)					
3	Work Area Sharing	0.47	0.51	NA	0.23	-0.01	NA				
4	Team Identification	3.54	0.80	0.76	-0.09	0.21	.395 <sup>†</sup>	0.87 (0.94)			
5	Trust in Robot	3.75	0.80	0.74	-0.15	-0.12	0.32	.432 <sup>†</sup>	0.86 (0.92)		
6	Perceived Safety of Task	3.98	1.15	0.93	-0.06	0.13	.391 <sup>†</sup>	.624 <sup>**</sup>	.650 <sup>**</sup>	0.96 (0.97)	
7	Intention to Work with Robot	3.50	0.80	0.82	-0.08	0.18	0.30	.416 <sup>†</sup>	.376 <sup>†</sup>	.405 <sup>†</sup>	0.91 (0.90)

Note: *N* = 30; Values on the diagonals are the square root of the AVE for each variable. Values in parentheses on the diagonals indicate internal composite reliability (ICR) of each variable. Work Area Sharing was coded using 0 and 1 (0 = control condition, 1 = treatment condition). <sup>†</sup>. *p* < 0.05. <sup>\*\*</sup>. *p* < 0.01.

## 5.2 Hypotheses Testing

6 For testing the hypotheses, we assessed the significance of path coefficients in the  
7 structural model using SmartPLS 3.2 for the partial least squares. Examining the coefficients  
8 involved the standard bootstrapping method with 1,000 subsamples. To determine  
9 multicollinearity (i.e. two or more variables in the model are highly correlated) — which  
10 could undermine our analysis — we checked the variance inflation factors (VIF). VIF scores  
11 of 10 or higher are commonly used as an indication of problems with multicollinearity. The  
12 highest VIF value in our analysis was 1.60, indicating little or no multicollinearity. Figure 5  
13 shows the results our analysis placed within the research model. The standardized path  
14 coefficients ( $\beta$ ) are placed next to their corresponding paths and the variance explained ( $R^2$ ) is  
15 placed above its corresponding variable.



**Figure 5 Results of PLS Structural Model**

H1 posited that working in separate work areas would increase perceived safety of the work with the robot. In order to test H1, a linear regression was conducted between separation of work area and perceived safety of task separately, so the results are not shown in the full model in Figure 5. Results showed a strong main effect of separation of work area on perceived safety of task ( $\beta = 0.40$ ,  $R^2 = 0.16$ ,  $p < 0.01$ ). H1 was supported.

H2a and H2b stated that the impact of work area separation on perceived safety would be mediated by team identification and trust in the robot. Conceptually, mediation means that the impact of X on Y occurs through another M variable. In the case of H2a and H2b, the impact of work separation on perceived safety occurred through increases in team identification and trust in the robot.

In order to test the mediation effects, we employed Baron and Kenny's (1986)

1 approach. This approach to testing mediation effects is conducted by comparing the direct  
2 effect of the independent variable on the dependent variable between when the mediator  
3 variables are included and when they are not included. For a mediation effect to exist, when  
4 there is a significant direct effect of the independent variable, the effect of the mediator is  
5 significant, and in this case the effect of the independent variable is reduced (Baron & Kenny,  
6 1986). Following the procedure, the results showed that both team identification and trust in  
7 the robot mediated the positive impact of separation of the work areas. In the model, all paths  
8 leading to perceived safety of the masonry task from separation of the work areas via the  
9 mediators were significant and reduced the direct effect of separation of the work areas on  
10 perceived safety of the masonry task (i.e. the direct effect of separation of work areas, as  
11 tested in H1, was no longer significant when team identification and trust in the robot were  
12 present). The mediation effects explained 59% of the variance of perceived safety of the  
13 masonry task.

14 Another approach for testing for mediation effects is using SPSS Process Macro by  
15 A. F. Hayes (2013). We used the SPSS Process Macro to determine whether the indirect  
16 effects of work area separation on perceived safety through team identification and trust in the  
17 robot were statistically significant and whether the direct effect of work area separation was  
18 insignificant (A. F. Hayes, 2013). The results indicated that the impact of work area  
19 separation became insignificant ( $B = 0.21, p < 0.52$ ) when there were significant indirect

1 effects of team identification and trust in the robot. In Table 3, both indirect effects of team  
 2 identification and trust in robot are statistically significant by not including zero between  
 3 lower level confidence interval and upper level confidence interval. Based on the results from  
 4 the two analytic methods, both H2a and H2b are supported.

5 **Table 3 Results of Mediation Analysis using SPSS Process Macro**

Indirect effects of Work Area Separation on Perceived Safety					
	Coefficient	SE	LLCI	ULCI	Significance
Total Effect	0.68	0.33	0.12	1.37	Yes
Team Identification	0.35	0.24	0.03	1.00	Yes
Trust in Robot	0.33	0.26	0.01	1.08	Yes

Note: The analysis was done using SPSS Process Macro with 5,000 bootstrap samples.  
 Coefficients are unstandardized.  
 LLCI: Lower level confidence interval; ULCI Upper level confidence interval.  
 Statistically significant paths do NOT contain zero between lower and upper level confidence intervals.

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 7 Last, H3 posited the positive effect of perceived safety of the task on an individual's  
 8 intention to work with the robot. The model indicates that the path between the perceived  
 9 safety and intention to work with the robot is statistically significant ( $\beta = 0.41$ ,  $R^2 = 0.17$ ,  $p <$   
 10  $0.05$ ). Therefore, H3 is supported. Table 4 provides a summary of the hypotheses testing.

11 **Table 4 Summary of Hypothesis Testing**

Hypotheses	Results
H1) Separation of work area increases perceived safety of the task.	Supported
H2) Team identification and trust in the robot mediate the positive impact of separation of the work area on perceived safety of the task.	Supported
H3) Perceived safety in the work area increases intention to work with the robot.	Supported

12 **6. Discussion**

13 Our goal in this study was to employ an IVE to examine perceived safety in HRWC in

1 the context of construction work. We used an IVE in this experimental study to create two  
2 virtual construction sites with varying degrees of work separation between an individual and a  
3 robot. Our results show that separation of work areas between a human and the robot  
4 increased the perceived safety associated with the task by promoting team identification and  
5 trust in the robot. The results also demonstrated that greater perceived safety enhanced an  
6 individual's intention to work with the robot again. Next, we discuss the contributions and  
7 implications of the study.

## 8 **6.1 Theory on HRWC in Construction Work**

9 This study also contributes to the theory of HRWC in two ways. The first is by  
10 proposing and testing the RASM. Results of our study show that separating work areas is  
11 important for better understanding perception of safety in human–robot collaboration. This  
12 means that characteristics of a work environment can alter humans' subjective perception  
13 toward the robot and facilitate their intention to work with robots. Our finding implies that  
14 future research should examine other aspects of work environments to promote perception of  
15 safety associated with HRWC. For instance, scholars might investigate whether temporal  
16 separation of work between a human worker and robot, as a buffer for human–robot physical  
17 contact, also contributes to the safety perceptions in collaborative construction tasks.

18 Our results also highlight that team identification and trust in the robot can account for  
19 the positive impact of work area separation. Team identification and trust have been

1 understood as important psychological factors in teamwork to predict team outcomes (Robert  
2 et al., 2008; Van Der Vegt & Bunderson, 2005). It has been shown that these constructs play  
3 significant roles in predicting outcomes of teams working with robots (de Visser &  
4 Parasuraman, 2011; Robert & You, 2015). This study provides evidence that team  
5 identification and trust associated with a robot are also important to promoting positive  
6 perceptions and attitudes toward robots in construction tasks. Specifically, it will be critical  
7 for collaborative construction teams using robots to ensure that workers establish a team  
8 membership and trusting relationship with their robots (You & Robert, 2018). Future research  
9 is necessary in this area to identify other characteristics of work environments that promote  
10 team identification and trust in robots in HRWC.

11 Two, although advances in robotics allow for the removal of safety fences, workers  
12 still may not feel safe. This gap between actual and perceived safety should not be ignored.  
13 Instead, more work is needed to understand what actions can be taken to help workers feel  
14 safer working with robots without a safety fence on construction sites. To that end, both IVE  
15 and the RASM should prove to be particularly useful in this endeavor.

## 16 **6.2 Practical Implications**

17 Findings from this study provide several implications for practice in construction  
18 work. First, work environments should be designed to enhance workers' safety perceptions.  
19 Our study shows that separation of human and robot work areas can provide the benefit of

1 higher safety. For instance, installation of safety fences between the two work areas could be  
2 effective for the separation without compromising visibility and monitoring of the robot's  
3 behavior. On real construction sites, a robot's movement flow should not overlap with a  
4 human worker's flow so that their work areas remain separate even in tasks that require  
5 mobility.

6         Second, IVEs can be implemented as a training medium for human workers before  
7 they work with a robot. In order to minimize injury and improve effectiveness of  
8 collaboration, construction teams could build a simulated environment in an IVE to  
9 familiarize employees with working with a virtual model of a robotic collaborator. In  
10 designing robots, IVEs can also be used for prototyping of robots to be deployed to various  
11 construction sites. Taken together, IVEs can allow construction teams to develop better robots  
12 for construction tasks and design a safer work environment for human workers.

### 13 **6.3 IVEs for Construction Research**

14         The contribution of this study is that IVEs can be a useful means of testing various  
15 phenomena in construction tasks. In this study, we used an IVE to create a situation where an  
16 individual performed a realistic construction task — the masonry task — at a virtual  
17 construction site. By using widely available resources including a commercial game engine  
18 (i.e. Unity 3D) and Oculus HMD, we simulated a realistic environment with relatively low  
19 financial costs and time requirements. The use of IVEs was particularly useful for this study,

1 in which the work arrangement with a robot could easily be manipulated by 3-D modeling.  
2 Based on literature that shows no difference between task performance and experience  
3 between a physical environment and benchmarked IVEs (Heydarian et al., 2015), we believe  
4 that results of our study can be transferred to real-world settings. Thus, this study is one of the  
5 first to showcase IVEs as a convenient and methodologically valid medium to test various  
6 human behaviors associated with construction tasks involving robots.

7 As robots are increasingly adopted at various construction tasks, the IVEs will become  
8 more useful for research of automation in construction. Properties of our IVEs can be  
9 extended to other contexts and types of construction collaboration. For instance, using the  
10 game engine, researchers could redesign the physical appearance of the robot or vary the  
11 intelligence of the robot or its behaviors. There is an established developer community for 3D  
12 models and behaviors that are pre-programmed, so researchers could easily build IVEs to  
13 represent various construction tasks and scenarios.

#### 14 **6.4 Limitations**

15 This experiment has several limitations. The majority of participants were recruited  
16 from among undergraduate and graduate engineering students with a high education level and  
17 a lack of construction experience. It is possible that construction workers, who would be the  
18 actual end-users, might perceive HRWC differently from these students. Also, the study  
19 employed one type of construction task — masonry work between a single robot and a human



1 worker. Future studies should test the RASM in other contexts, such as teams working with  
2 robots involving multiple workers and robots and HRWC for excavation tasks. Furthermore,  
3 some types of robots may not require separation. For instance, robotic exoskeleton renders  
4 different qualities because it can be worn by construction workers, which may elicit different  
5 perceptions on safety and trust in the robot. Lastly, it should be noted that the duration of the  
6 interaction in the IVE was brief (7 minutes). The duration was determined to be short in order  
7 to avoid participants' mental and physical discomfort such as motion sickness and weight of  
8 the HMD. Future studies should employ more advanced equipment to create better IVEs with  
9 more comfort and realistic duration of the interaction.

## 10 **7. Conclusion**

11 Despite the recent advances in robotic technology, it is still challenging to create a  
12 safe work environment when robots and humans work together in a close proximity. Research  
13 has been exploring different ways to overcome the challenge and enhance safety perception in  
14 the human-robot collaborative work space. However, the high cost of employing robots to  
15 study human safety makes it difficult for scholars to conduct research in this topic. Also, the  
16 lack of theoretical framework prevents a systemic understanding of antecedents of perception  
17 of safety and its consequences across different settings of HRWC.

18 In this paper, we proposed and tested the RASM to explain the impacts of separating  
19 humans and robots into two different work areas. To test the theoretical framework, we

1 conducted an experiment in an immersive virtual environment. Participants were invited to a  
2 lab to work with a 3D-rendered robot for a collaborative construction task using a HMD.  
3 Results from our study generally confirm the benefits of IVEs. Participants reported that the  
4 separation of work area increases perceived safety of the task and that this relationship is  
5 mediated by team identification and trust toward the robot. In addition, we also found that  
6 perceived safety in the work area increases intention to work with the robot. These results,  
7 overall, empirically validate the RASM model. Thus, the results provide support for the need  
8 to separate humans and robots by employing a safety fence. Immersive virtual environments  
9 have the potential to provide a flexible, low-cost, and rapid approach to studying HRWC. The  
10 adoption of IVEs as a research tool has the potential to help provide additional insights into  
11 HRWC in the context of construction work.

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14

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