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Dynamic miRNA activity identifies therapeutic targets in trastuzumab-resistant HER2⁺ breast cancer

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Abstract

MicroRNAs (miRNA) are implicated in numerous physiologic and pathologic processes, such as the development of resistance to chemotherapy. Determining the role of miRNAs in these processes is often accomplished through measuring miRNA abundance by PCR, sequencing, or microarrays. We have developed a system for the large-scale monitoring of dynamic miRNA activity, and have applied this system to identify the contribution miRNA activity to the development of trastuzumab resistance in a cell model of HER2⁺ breast cancer. miRNA activity measurements identified significantly different activity levels between BT474 cells (HER2⁺ breast cancer) and BT474R cells (HER2⁺ breast cancer cells selected for resistance to trastuzumab). We created a library of 32 miRNA reporter constructs, which were delivered by lentiviral transduction into cells, and miRNA activity was quantified by bioluminescence imaging. Upon treatment with

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the bioimmune therapy, trastuzumab, the activity of 11 miRNAs were significantly altered in parental BT474 cells, and 20 miRNAs had significantly altered activity in the therapy-resistant BT474R cell line. A combination of statistical, network and classification analysis was applied to the dynamic data, which identified miR-21 as a controlling factor in trastuzumab response. Our data suggested downregulation of miR-21 activity was associated with resistance, which was confirmed in an additional HER2⁺ breast cancer cell line, SKBR3. Collectively, the dynamic miRNA activity measurements and analysis provided a system to identify new potential therapeutic targets in treatment resistant cancers.

Keywords: miRNA, trastuzumab, drug resistance, breast cancer

Introduction

Targeted therapy for the treatment of breast cancer has significantly improved the expected outcomes for patients with specific disease subtypes. For example, the humanized HER2 antibody, trastuzumab, developed as a therapy for the treatment of HER2⁺ breast cancers, has led to tremendous progress in the treatment of the 20% of breast cancer patients with amplified HER2 (Cobleigh et al., 1999; Romond et al., 2005; Slamon et al., 2001). Yet despite these advances, limitations remain, namely the development of resistance to targeted therapy (Nahta, Yu, Hung, Hortobagyi, & Esteva, 2006). Many mechanisms for resistance to trastuzumab have been suggested, including PTEN activation (Nagata et al., 2004), cyclin E overexpression (Scaltriti et al., 2011), and PI3K3CA pathway activation (Berns et al., 2007), among others (Gong et al., 2011; Gottesman, 2002; Valabrega, Montemurro, & Aglietta, 2007). While progress has been made regarding new therapeutic strategies for trastuzumab-resistant breast cancer, the precise mechanisms and systems responsible for treatment failure are active and critical areas of investigation.

One increasingly studied mechanism for resistance is altered expression of microRNA in the resistant cells. microRNA (miRNA) is a class of small noncoding RNA that act as inhibitors of translation. miRNAs recognize complementary sequences in the 3' untranslated region (3' UTR) and recruit Argonaut to the mRNA, causing degradation. miRNA acts as a regulator for gene expression and can buffer against changes in endogenous promoter activity (Filipowicz, Bhattacharyya, & Sonenberg, 2008; Jonas & Izaurralde, 2015; Nilsen, 2007). miRNA can act as an oncogene due to its regulatory role, and have been associated with metastasis (Ma, Teruya-Feldstein, & Weinberg, 2007; Tavazoie et al., 2008; Yan et al., 2008) and epithelial-mesenchymal transition (Burk et al., 2008; Chang et al., 2011; Wellner et al., 2009). miRNA abundance is often altered in cancer and has therefore been suggested as a prognostic indicator (Lu et al., 2005; Volinia et al., 2006). miRNAs 21, 125, 145 and 155 were recently identified as significantly dysregulated in breast cancer and correlated with clinical outcome (lorio et al., 2005). Additionally, several studies have linked dysregulation of the abundance of miRNAs such as miR-21 (Gong et al., 2011), miR-125 (Luo et al., 2017), miR-210 (Jung et al., 2012), or miR-375 (Ye et al., 2014) to differential sensitivity to trastuzumab therapy in HER2⁺

cancer, indicating an essential role for miRNA activity in mediating the response to targeted therapy.

Currently, miRNAs associated with treatment resistance have been almost exclusively identified by their abundance relative to healthy tissues. However, tracking the abundance of miRNA may overlook critical mechanistic data regarding the effects of the differential activity of these molecules. To this end, methods to track abundance of miRNA do not always correlate with miRNA activity (Mullokandov et al., 2012), indicating that abundance based methods may not accurately delineate the impact of a particular miRNA on the physiology of the cancer cell. Furthermore, monitoring the dynamics of the miRNA may identify crucial mechanistic information or time points that is associated with miRNA function. New methods to dynamically track miRNA activity in treatmentresistant cancers could provide crucial insights currently lacking in the field of miRNA-mediated drug resistance.

In this report, we developed a system to monitor miRNA regulatory dynamics in trastuzumab-resistant HER2⁺ breast cancer, in order to identify potential therapeutic targets and mechanisms that mediate resistance. The system for monitoring miRNA activity was based on adapting a technology termed TRACER (TRanscriptional ACtivity CEII aRray), which had been used to track the dynamic activity of transcription factors (Bernabé et al., 2016; Decker et al., 2017). TRACER utilizes a parallel reporter assay to probe the dynamics of transcription factor activity during treatment. These reporters consist of a luciferase gene whose expression is driven by a transcriptional response element. Luciferase

measurements on living cells provide a dynamic measure of activity within the cells during treatment, which can be applied to a wide variety of culture systems reporter constructs. We have previously used TRACER to identify or transcriptional targets in PARP inhibitor resistant BRCA mutated breast cancer (Decker et al., 2017), as well as to determine transcriptional regulators of ErbB2mediated (HER2-mediated) oncogenesis in breast cancer (Weiss et al., 2014). Herein, we developed a library of miRNA reporter constructs, which consists of constructs encoding for the luciferase gene with a miRNA recognition sequence in the 5' region. Active miRNA can bind to the luciferase mRNA at the recognition site and lead to degradation of the luciferase mRNA, decreasing luminescence and providing a dynamic measurement of changes in miRNA activity. We characterized the miRNA activity in cells treated with trastuzumab to examine and identify the differential activity associated with resistance. A previously developed computational pipeline was employed to determine key miRNA from the dynamic multivariate data, which were then validated experimentally.

Materials and Methods

Cells and reagents

BT474 and SKBR3 cells were sourced from the American Type Cell Culture repository. BT474 cells were maintained in Dulbecco's Modified Eagle Medium supplemented with 10% fetal bovine serum. SKBR3 cells were maintained in McCoy's 5A media supplemented with 10% fetal bovine serum. Media was replaced every three days and cells were passaged after they became 80%

confluent. A trastuzumab resistant cell line (BT474R) was established by continuous culture of the parental BT474 line in 10 ug/mL trastuzumab for greater than 6 months, as previously described (Scaltriti et al., 2011).

miRNA inhibitor assay

Cell viability was analyzed by MTS assay (Sigma). Cells (1500-3000) were plated in each well of a 96-well tissue culture plate with 100 µL of medium. The next day, cells were transfected with either miR-21 Power Inhibitor (Exiqon) or random Power Inhibitor control inhibitor using JetPrime (Polyplus). After 24 hours the media was replaced with 100 µL of fresh media containing 10 µg/mL trastuzumab or vehicle control, as indicated, and the cells were grown for 3 days. Stock trastuzumab was prepared in deionized water and stock miRNA inhibitor was prepared in TE buffer. At the end of the treatment period, 10 µL of MTS solution was added to each well, the cells were incubated at 37°C for 1 hour, and absorbance was read at 490 nm. Data are presented as a percentage of the control cells cultivated under the same conditions or the absorbance of the wells. Two-way ANOVA and Tukey's test for multiple comparisons was used to statistically evaluate differences between groups.

Lentivirus

Lentivirus was produced by co-transfecting HEK-293T cells with previously described lentiviral packaging vectors (pMDL-GagPol, pRSV-Rev, pIVS-VSV-G) (Dull et al., 1998) and lentiviral vectors using JetPrime (Polyplus). After 48 hours, supernatants were collected and cell debris was spun down and removed.

Viruses were concentrated using PEG-it (Systems Biosciences) and resuspended in phosphate buffered saline (PBS). Viral titer was measured using a qPCR Lentivirus Titration Kit (ABM).

miRNA activity arrays

MicroRNA activity reporters consist of a single miRNA binding site cloned into the 3' untranslated region of a phosphoglycerate kinase (PGK) promoter driven firefly luciferase. Firefly luciferase was chosen as the reporter because of the linear relationship between luminescence and enzyme abundance over several orders of magnitude. Activity of the miRNA binding at the recognition site of a target mRNA leads to degradation and an overall reduction of signal from that reporter. miRNA sequences were sourced from miRbase (Kozomara & Griffiths-Jones, 2013), with the exact complementary sequence to the miRNA used for the reporter. Reporter sequences were cloned between the NheI and XhoI recognition sites in the pmirGlo vector from Promega. This reporter was excised from the original backbone using BgIII and XhoI and cloned between the BamHI and XhoI sites of the pCS-CG third generation lentiviral vector (Miyoshi, Blömer, Takahashi, Gage, & Verma, 1998).

Dynamic miRNA activity was measured for two cell lines. BT474 cells overexpress HER2 and are sensitive to trastuzumab. A resistant derivative of these cells (BT474R) was used as a model for acquired resistance to trastuzumab. Activity was measured for 32 different miRNAs during 48 hours of treatment with 10 µg/mL trastuzumab. Measurements acquired at several time

points (0, 2, 4, 6, 8, 24, 48 hours) allowed both initial differences between cell types as well as differences in response to trastuzumab treatment to be elucidated from the data.

All cell types were transduced with 10 MOI of each miRNA activity-reporting lentivirus. Transduced cells were then seeded on a 96-well plate with at least 3 technical replicates of each condition. All reporters were repeated with at least n=3 for biological replicates. Two days after cell seeding, luciferase activity was measured using an IVIS Spectrum (Caliper Life Sciences, Hopkinton, MA). The 2-day period is sufficient time for ensuring lentiviral gene expression. After changing media, cells were treated with 10 µg/mL of trastuzumab, and the luciferase activity was measured for 48 hours. A blank reporter with no miRNA binding site was used as a control. Thus, all other miRNA activity was normalized with respect to blank activity and represented by miRNA/blank ratio. miRNA reporters start at a high luminescence and are dimmer as the miRNA is more active and therefore degrading the luciferase mRNA. As such, less light emission correlates to higher miRNA activity.

Statistical analysis

Activity levels for different miRNAs were normalized to a blank reporter with the corresponding treatment. We performed background subtraction and loss normalization to correct for systematic noise. All normalized miRNA activity levels were log_2 transformed. Results of experiments are presented as the mean \pm standard error unless otherwise indicated. Differences in means were evaluated

by fitting an empirical hierarchical Bayesian linear model using the *limma* R package(Smyth, 2005). P-values were adjusted using the false discovery rate correction(Benjamini & Hochberg, 1995). A p-value less than 0.05 was considered to be statistically significant.

Classification analysis

Principle components analysis (PCA) and partial least squared discriminant analysis (PLS-DA) were performed to identify multivariate combinations of factors and their time course that would separate the different conditions. Both analyses were preformed using the mixOmics package in R (Rohart, Gautier, Singh, & Le Cao, 2017). Each individual 96-well plate included only a subset (10-16 of 32) of the measured miRNAs. Since both PCA and PLS-DA require the full complement of measured factors, we used randomly sampled individual biological replicates to generate 1000 simulated experiments containing one biological replicate of every miRNA for PCA and PLS-DA. Data were normalized to blank reporter control and untreated control within an experiment prior to sampling, leaving three equivalent groups: treated BT474 (HCP_BT474), treated BT474R (HCP_BT474R), and untreated BT474/BT474R (NT). Individual experiments were variance scaled to standardize all data prior to multivariate analysis.

Network Analysis

Network analysis of miRNA activity measurements was carried out using a modified version of NTRACER, which has been described previously (Bernabé et al., 2016). As with the classification analysis, biological replicate data was

sampled to create 50 sets of complete measures for each run of the network analysis. A total of 500 runs was performed. Normalized activity measurements were variance scaled and an initial network topology inferred through several different techniques: linear methods (PLSR (Mevik & Wehrens, 2007), similarity index (Siletz et al., 2013), linear ordinary differential equations based on TIGRESS (Haury, Mordelet, Vera-Licona, & Vert, 2012), and nonlinear methods (ARACNE (Margolin et al., 2006), CLR(Faith et al., 2007), MRNET(Meyer, Kontos, Lafitte, & Bontempi, 2007)). Features were selected from the top 10% of edges for each inference method at each set of time points based on the relevant score for each inference technique. Possible connections between miRNAs were assigned a score of one if they were in the top 10% at least once in the set of timepoints. The results of each inference method summed between runs, and the final 10% of summed edges for the entire experiment were calculated after the conclusion of 500 runs of the inference code. Network edges were further pared by including only edges that were inferred through multiple inference methods to ensure high-quality connections in the final model. Networks were visualized and analyzed for eigenvector centrality using the R package iGraph (Csardi & Nepusz, 2006).

Selection of relevant miRNA

We adapted a previously published method for identifying transcription factors that mediate drug resistance to this study of miRNA activity (Decker et al., 2017). Three different analysis methods were used to score miRNAs, with a total of four components leading to the score. These methods were selected to

account for univariate differences between treatments, multivariate scoring and networked connections between factors. Statistical analysis using *limma* was used to compare treated BT474R cells to 1) untreated BT474R cells and 2) treated parental BT474 cells. Reporters were scored either a one (significant) or a zero (not significant) based on this analysis. Second, the network analysis from NTRACER was used to score the reporters based on their centrality to the network. Eigenvector centrality awarded scores between 0 and 1 based on how central the reporter was to the network. Finally, variable importance in projection (VIP) scores from the PLS-DA were used to measure the importance of each reporter within the multivariate context of these experiments. The maximum VIP score for each individual timepoint was used and scaled so 1 was the maximum value. The top scoring factor using these criteria was selected for further analysis.

Results

Large scale dynamic miRNA activity measurement

We initially investigated miRNA activity dynamics in two related cell lines that overexpress HER2 yet have different responses to trastuzumab: BT474 (parental) and BT474R (resistant). A total of 32 miRNAs were selected based on their previous association with drug sensitivity in cancer. These two cell lines had significantly different miRNA activity patterns at baseline, with a trend towards a higher number of active miRNAs in the treatment sensitive cells. Two miRNAs were initially "active" in BT474R cells (miR-92 and miR-200a), which was

observed as less luminescence compared with a blank control (p<0.05), while 19 miRNAs were "active" in the parental BT474 cell line (**Figure 1A, B**). The most active of these miRNAs, in the BT474 cell line, were miR-21, miR-23b and miR-32. Among the miRNAs that were significantly active in the BT474 cells, two were significantly different from BT474R activities at baseline, miR-20 and miR-32.

We next monitored dynamic miRNA activity in response to trastuzumab treatment in both cell lines. Significant alterations in miRNA activity were observed in both cell lines over 48 hours of treatment (**Figure 2**). A total of 11/32 (34%) miRNAs were significantly altered in BT474 cells (p<0.05), with miR-100, miR-145 and miR-221 having the most substantial changes (p<0.01). With respect to the resistant cell line (BT474R), a total of 20/32 (63%) miRNAs had significantly altered activity in response to trastuzumab. A total of 15 of these miRNAs were altered beyond the p<0.01 threshold, with miR-21, miR-335, miR-200a, miR-32, miR-373 and miR-210 showing the most significant alterations (p<1e-7). Hierarchical clustering of miRNAs with similar activity between the two cell lines demonstrated six broad clusters of miRNAs with similar activity between the two cell types. The two most unique clusters contained one factor (miR-21) and two factors (miR-7 and miR-18a).

Connections between miRNAs based on their activity were subsequently inferred through implementation of a compilation of network inference tools (Bernabé et al., 2016). Two networks were inferred, one for trastuzumab response in cells that respond to the drug (BT474) (**Figure 3A**) and one for cells that were resistant to the drug (BT474R) (**Figure 3B**). These networks utilized

the aggregate connections inferred between miRNAs for each time point to produce connections and central hub nodes for each network. Hub nodes were identified as those whose eigenvector centrality score was in the top 10% of all nodes. Hub nodes were interpreted as important to the response to trastuzumab, and not necessarily as direct targets of trastuzumab treatment. Both networks had similar hub nodes at miR-20 and miR-21. Responsive cells had additional hubs at miR-92 and miR-183, while sensitive cells had hubs at miR-9 and miR-99b. These miRNAs represented hubs based on their influence at one or more times in the network, as inferred by one or more of the methods used to create the consensus network.

Identification of controlling miRNA

We utilized both unsupervised (PCA) as well as supervised (PLS-DA) classification methods to determine a combination of variables that separated BT474, BT474R and pooled normalized untreated controls. PCA was used to confirm the accuracy of separating the cells into three distinct types (**Figure 4A**). Two principle components were calculated, with PC1 explaining 20% of the sample variance and PC2 explaining 11% of the variance. Three broad groups of samples were found, which agreed with the three measurements that were used for the analysis (normalized untreated, treated BT474, treated BT474R). All conditions were normalized to the experimental control, and as such, both types of cells had similar values for the control and can be considered as one group for this analysis. A correlation circle of the top 10 variables (**Figure 4B**) had three clusters of factors and time points. miR-7, miR-18a and miR-135b formed one

cluster, while miRs 200a and 373 formed another along PC1. miRs 145, 146, 183, and 195 formed a cluster on PC2, with miR-23b forming solitary point as well. These variables were all time point specific, which can be seen in the variable name (**Figure 4b**).

PLS-DA was used to identify a multivariate signature for the time-course changes in miRNA activity in the sensitive and resistant cell lines and also to identify how these cells lines differed from untreated control cells (Figure 5). We have previously demonstrated that this method provided superior classification for dynamic transcription factor activity data (Decker et al., 2017), and as such employed this method to identify the linear combinations of miRNAs that best delineated treated and untreated sensitive and resistant cells. The time-course PLS-DA classified the cells into three groups (untreated aggregate, treated BT474, treated BT474R) with 98.9% accuracy from 10-fold cross validation using two components with 10 selected variables for each loading vector. Different factors were found to be highly loaded in LV1 (X axis) compared with LV2 (Y axis) (Figure 5B, C). LV1 delineated resistant from sensitive and untreated cells. miR-21 after 48 hours was the highest loaded factor in LV1, with BT474 cells treated with trastuzumab having the highest level of activity. LV2 also delineated treated and untreated BT474 cells, with miR-150 after four hours as the highest loaded factor. VIP scores for the selected factors were also calculated (Figure 5D). These scores correspond to the relative estimated importance of each variable in the PLS-DA model. For the PLS-DA model associated with these

experiments, the VIP scores were similar for both LV1 and LV2, with the highest score assigned to miR-21.

We subsequently tested the ability of our miRNA activity measurements to select relevant factors associated with trastuzumab resistance, using a method that combined statistical, classification and network analyses (Decker et al., 2017). We scored our miRNAs based on their statistical significance between trastuzumab-resistant cells and untreated controls and treated responsive cells, centrality in the network analysis and importance in the PLS-DA model. This scoring system had a maximum possible value of 4, with the highest score factor selected for analysis; using these criteria, miR-21 was identified as the leading factor associated with trastuzumab resistance (Figure 6A). Initial activity measurements indicated increased miR-21 activity in BT474 cells relative to BT474R cells, with both lines having a decrease in activity in response to therapy. Based on the results with this model system, we hypothesized that miR-21 is a regulator of trastuzumab sensitivity, and specifically that inhibiting miR-21 activity will decrease the sensitivity to trastuzumab. This hypothesis was tested by transfection of a miR-21 inhibitor into SKBR3 cells, which served as a distinct model of HER2⁺ breast cancer, unrelated to the study cells from which the hypothesis was derived. Transfection with a miR-21 inhibitor resulted in a 17% decrease in proliferation of SKBR3 cells relative to a random inhibitor control (Figure 6B). Both cells transfected with a miR-21 inhibitor, as well as those cells transfected with a random control, were sensitive to trastuzumab, however the effect of the drug differed significantly between treatment groups (Figure 6C).

The relative effect of adding trastuzumab to the system was significantly decreased in the presence of the miR-21 inhibitor compared to cells transfected with a random control inhibitor (17% decrease for miR-21 inhibitor condition compared with 40% in the control, p<0.001). Collectively, treatment with trastuzumab resulted in decreased proliferation; however, inhibition of miR-21 reduced the impact of trastuzumab and thus contributed to the sensitivity to trastuzumab.

Discussion

miRNA has been the focus of intense study as both a biomarker and a therapeutic target (Pereira, Rodrigues, Borralho, & Rodrigues, 2013). In this report, we have used a new method, a miRNA activity array, to identify drug resistance mechanisms associated with HER2⁺ breast cancer. We generated a library of miRNA reporters with 3' UTRs that are exact matches to endogenous miRNAs from miRbase (Kozomara & Griffiths-Jones, 2013), which provides reporting for activity with maximum sensitivity and specificity. Our miRNA activity array expands upon our previous work developing a transcription factor activity array (Bellis et al., 2011; Bernabé et al., 2016; Decker et al., 2017) to measure the dynamic regulome in living cells. Both arrays utilize activity measurements of regulatory factors to provide a unique perspective on the regulatory factors to provide a unique perspective on the regulatory factors are consistent of the cell that can be missed through other techniques. gPCR and sequencing methods, the most commonly used methods to elucidate essential miRNAs in biological processes, are both expensive and often do not correlate well to the activity level of the miRNA (Mullokandov et al., 2012). Systems to report activity, therefore,

have the potential to more effectively identify miRNAs that may serve as effective therapeutic targets. Our dynamic activity platform offered both a means to simultaneously quantify the activity of many relevant miRNAs, and implement the time-course of the dynamic activity to identify key factors controlling treatment response.

Our studies identified downregulation of miR-21 activity as associated with the intracellular response to trastuzumab and development of resistance. The identification of decreased miR-21 activity as contributing to trastuzumab resistant HER2⁺ breast cancer was performed using an updated analysis pipeline previously developed to recognize transcriptional targets of resistance to PARP inhibitors. This method combined statistical, classification and network analyses to identify factors that are consistently important in both the resistant phenotype, as well as the response to treatment in responsive and unresponsive cells. Timecourse data provided a superior platform for both classification (Antonucci, De Rosa, Giusti, & Cuzzolin, 2015; Park, Koo, Kim, Sohn, & Lee, 2008; Robinson, Glonek, Koch, Thomas, & Davies, 2015) and network analysis, (Bar-Joseph, Gitter, & Simon, 2012; Bernabé et al., 2016) when compared with static data, which allowed the multiple techniques to converge on a single factor, miR-21.

Importantly, each method of analysis offered complementary information that was not available from a single technique. Statistical analysis provided a list of differential activities induced by the resistant phenotype, as well as treatment with trastuzumab. These measurements lack the multivariate context in which the different phenotypes can be distinguished. Network analysis provided a means to

identify controlling hubs within the network and, when placed in the context of multivariate classification, to identify which factors that were essential in controlling treatment response. This report has updated the analysis pipeline to include a numerical score for each factor, thus reducing bias that may be introduced in interpreting the results and providing a solid foundation for further experimentation. This particular study found miR-21 had the highest score. The next highest scoring factors, miR-99b and miR-92, have both been implicated in cancer aggressiveness and prognosis (Nilsson et al., 2012, Si et al., 2013; Wei et al., 2013, Kang et al., 2012). Specifically, miR-92a has been suggested as a partner to miR-21 as a biomarker for primary breast cancer (Si et al., 2013), supporting that this scoring method can identify relationships between factors associated with breast cancer, yet additional studies would be required to identify the precise mechanisms by which these factors may promote resistance to trastuzumab.

The relevance of miR-21 to HER2-targeted therapy was identified by its unique dynamics, centrality in the network, and ability to delineate sensitive and resistant cells in the multivariate analysis. The identification of miR-21 as a controlling factor for therapeutic response and resistance is supported by the literature for a number of different drugs and cancer types. miR-21 activity has been implicated in the onset of drug resistance, and was reported to mediate resistance to treatments including cisplatin (Yang et al., 2013), gefitinib (Shen et al., 2014) and sorafenib (He et al., 2015). Specific to breast cancer, miR-21 abundance has been suggested as a biomarker for therapeutic response, (Müller

et al., 2014; Si et al., 2013) and may confer resistance to trastuzumab (De Mattos-Arruda et al., 2015; Gong et al., 2011). We observed an initial decrease in miR-21 activity in both study cell types upon treatment with trastuzumab, followed by divergent activity patterns: sensitive cells showed increased miR-21 activity while resistant cells maintained relatively low levels of activity (Figure 2). These results may be explained by the role of miR-21 in cell cycle regulation. Trastuzumab is considered to be cytostatic rather than cytotoxic to cells in vitro (Scaltriti et al., 2011; Vu & Claret, 2012), and as this therapy is antiproliferative (and is also why proliferation based assays, such as the MTS used in this study, are appropriate). miR-21 activity inhibition should increase expression of miR-21 target genes, such as the tumor suppressor PTEN, which would lead to a decrease in proliferation. We did indeed observe decreased proliferation in miR-21 inhibitor treated SKBR3 cells, a second trastuzumab responsive cell line, compared with vehicle treated cells, consistent with the proposed hypothesis. Furthermore, inhibiting miR-21 activity decreased the relative response to trastuzumab, which would be expected if trastuzumab acts in part through inhibition of miR-21 activity.

The regulome of the cell ultimately determines the cell fate, and disruption of the calibrated regulatory mechanisms of the cell can ultimately lead to oncogenic transformation. We advanced our previously described TRACER technology to encompass dynamic monitoring of miRNA activity and used this array to investigate the dynamics of miRNA activity in HER2⁺ breast cancer to identify potential miRNA-mediated mechanisms for resistance. Both supervised and

unsupervised classification could identify treated and untreated cells from both the resistant and responsive phenotypes, consistent with our previous observations using this method (Decker et al., 2017). Downregulation of miR-21 activity was identified through the multivariate analysis as a potential driving factor for the resistant phenotype in HER2⁺ breast cancer cells. This hypothesis was confirmed by inhibiting the activity of miR-21, results that extended to an independent model of HER2⁺ breast cancer. This tool for large-scale analysis of miRNA activity could be applied to numerous systems in order to identify the function of miRNA in cell fate determination, and these miRNAs may serve as either biomarkers or therapeutic targets.

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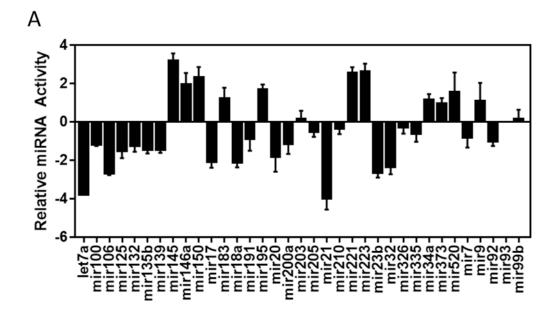
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Figures

Figure 1: Static, initial miRNA activity measurements in A) trastuzumab sensitive (BT474) and B) resistant (BT474R) cells. Data is presented as log normalized difference between miRNA reporter and a blank control. Negative numbers indicated increased miRNA activity. Error bars represent standard error.



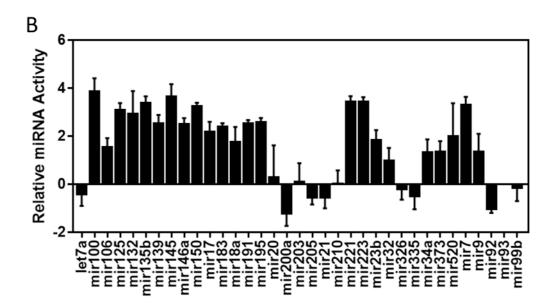


Figure 2: Dynamic miRNA activity in both BT474 (sensitive) and BT474R (resistant) cell lines during treatment with trastuzumab. Data is presented as log2 normalized difference between miRNA reporter and a blank control. Brackets indicate groups identified through hierarchical clustering.

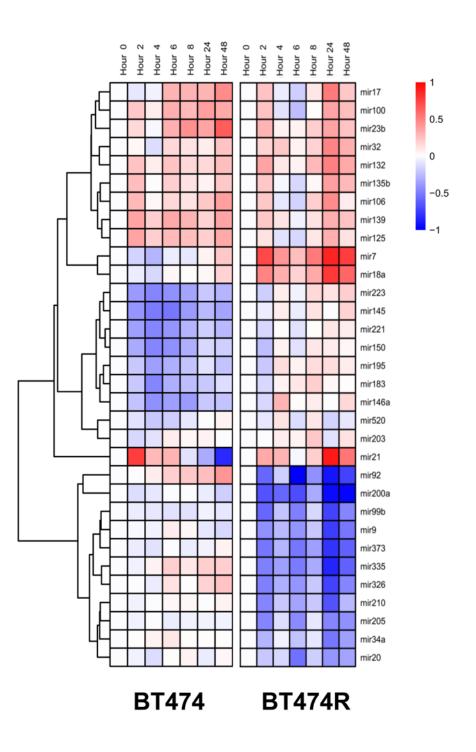


Figure 3: Network analysis for A) BT474 and B) BT474R cells over 48 hours treatment with trastuzumab. Yellow nodes are hubs identified by eigenvector centrality.

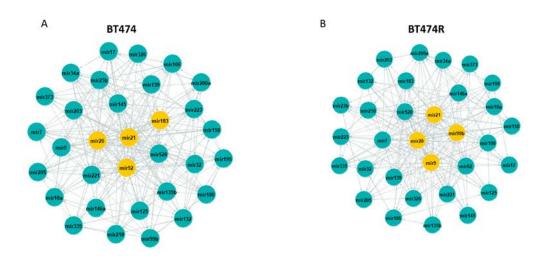


Figure 4: A) Principle components analysis of time course miRNA activity data. Data from separate partial experiments was randomly sampled to create 1000 complete "experiments" for use analysis. B) Correlation circle plot of selected activity measurements for the PCA model.

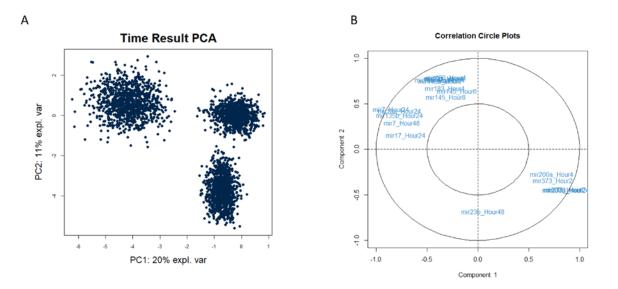
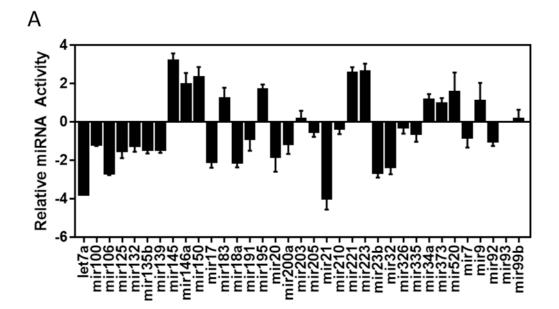


Figure 5: 1) PLS-DA plot separating bootstrapped samples from untreated, treated BT474 and BT474R cells. B) VIP scores across for model. Similar scores were measured in both LVs. C) Loadings in LV 1. D) Loadings in LV2. Colors indicate cell line/treatment with highest activity level. Ellipses in A) represent 95% confidence intervals



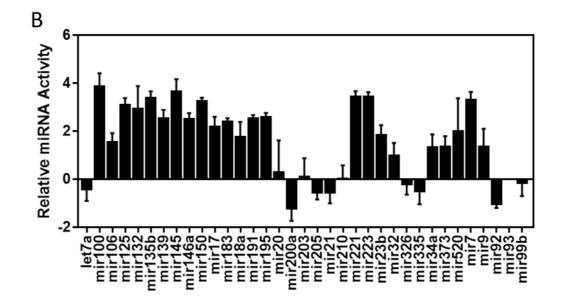


Figure 6: A) Relative importance scores from statistical, network and classification identify miR-21 as important in trastuzumab resistance. B, C) Proliferation assay for vehicle and trastuzumab treated SKBR3 cells. B) Effect of miR-21 on baseline proliferation of SKBR3 cells. C) Effect of trastuzumab on proliferation in vehicle and inhibitor treated cells. ** = p<0.01, *** = p<0.001

