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## Statistical Characteristics of Polar Cap Patches Observed by RISR-C

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### Key Points:

- An automatic algorithm was developed to identify polar cap patches observed by the Resolute Bay Incoherent Scatter Radar – Canada.
- A peak of patch occurrence is found between 14 – 19 magnetic local time at Resolute Bay.
- Typical plasma characteristics within the patches include high density, low electron temperature and downward ion fluxes.

This is the author manuscript accepted for publication and has undergone full peer review but has not been through the copyediting, typesetting, pagination and proofreading process, which may lead to differences between this version and the [Version of Record](#). Please cite this article as doi: [10.1029/2018JA025621](https://doi.org/10.1029/2018JA025621)

## Abstract

Polar cap “patches” are ~100-1000 km islands of high-density plasma at polar latitudes, which can cause scintillation to communication and navigation signals. An automatic algorithm for patch identification has been developed and applied to the observations from the Resolute Bay Incoherent Scatter Radar - Canada (RISR-C) during January to March and September to December, 2016. 437 patches have been identified and their statistical characteristics have been studied, including their occurrence rate as a function of magnetic local time (MLT) and statistical profiles of plasma parameters at different MLT sectors. About 60% of the patches are observed between 1200-2400 MLT, consistent with earlier observations near this latitude (~82° MLat) using different instruments. Superposed epoch analysis has been used to study the vertical profiles of electron density and temperature, ion temperature, vertical velocity and flux measured within the patches where the density peaks. The patch median density is higher than the sector median with a ratio of ~1.8 – 2.1 at the altitude of F-region density peak. Meanwhile, the patch electron temperature is typically lower than the sector median between ~200 - 450 km with the largest difference near noon (~380 K). In contrast, the ion temperature profile of the patches does not show obvious differences except in the noon sector, where the ion temperature is about 150 K higher than the sector median at ~360 km. Additionally, downward ion fluxes with peak exceeding  $\sim 10^{13} \text{ m}^{-2}\text{s}^{-1}$  are found in the patches between ~200 – 400 km at all MLT sectors.

## 1 Introduction

Since the polar cap patches were discovered by Weber et al. (1984) and then defined by Crowley (1996) as islands of high density ionospheric plasma surrounded by plasma of half or less density in the F-region ionosphere, their generation mechanism and evolution process, as well as their impact on modern technology has been constantly studied. The reason why understanding of this phenomenon is so important is that polar cap patches are generally viewed as the main reason for disturbances in navigation and communication signals in the polar regions (Basu et al., 1987, 1988). The sizes of the irregularities within patches scale from 100s km to 10 m and their density can reach as large as  $10^6 \text{ cm}^{-3}$ , corresponding to ~9 MHz F2 layer critical frequency (foF2). They may also disrupt trans-ionospheric radio waves at MHz-GHz frequencies, which includes radio frequency systems varying from military and governmental HF communication to satellite UHF communication and Global Navigation Satellite Systems (GNSS).

The generation of the polar cap patches requires both a reservoir of high-density plasma and a segmentation mechanism to isolate the large-scale plasma into smaller patches. One major source of the patches is the storm-enhance density (SED) in the dayside subauroral region, where those high-density plasma are produced by the solar EUV radiation (Foster, 1993). Patches can be segmented by variations of the high-latitude ionosphere convection pattern due to temporal changes in the IMF  $B_z$  component (Tsunoda, 1988; Anderson et al., 1988) and  $B_y$  component (Sojka et al., 1993, 1994), or by transient magnetopause reconnection (Lockwood & Carlson, 1992; Carlson et al., 2006). Imager and radar observations have shown that some patches

associated with localized flow channels can originate from poleward moving auroral forms (Wang et al., 2016), which are usually seen as a result of transient reconnection, and the patch structuring processes have dependence on the IMF  $B_y$  condition (Zhang et al., 2011). Soft particle precipitation near the cusp can be another source of plasma density enhancement, which can then be isolated into patches by high-speed plasma flow channels associated with IMF  $B_y$  reversals (Rodger et al., 1994). While many mechanisms have been proposed for the patch formation, it is not clear yet which produces most of the patches observed.

Statistical properties of the polar cap patches will potentially show key information regarding their formation mechanisms and propagation processes. Statistically, enhancement of electron temperature within the patch would indicate that the patch is generated by particle precipitation, while a decrease in the electron temperature would suggest that the plasma enhancement is generated on the dayside due to solar EUV radiation and then propagates to its current location. Observations have shown that the electron temperature within the dayside SED and SED plume is lower than the surrounding regions (e.g., Foster et al., 2005; Zou et al., 2013, 2014). Weber et al. (1984) observed  $\sim 7$  plasma patches with sizes of 800-1000 km drifting anti-sunward using an all-sky imaging photometer and an ionosonde. Combined with simultaneous particle measurements taken by Dynamic Explorer 2 (DE 2) satellite, they showed that these patches are not generated locally but near the dayside auroral zone because of the absence of accelerated and structured electron precipitation. However, there have not been any systematic studies on the patch plasma characteristics.

Previous statistical studies on the polar cap patches have used database from satellites (e.g., Coley & Heelis, 1995; Noja et al., 2013), meridian scanning photometers (MSP, e.g., McEwen & Harris, 1996; Moen et al., 2007) and all-sky imagers (e.g., Hosokawa et al., 2009) to study their drift motion and occurrence frequency at different universal time (UT) and local time (LT). Based on ion density measurement taken by the DE 2 spacecraft, Coley and Heelis (1995) developed an automatic technique to identify polar cap patches, followed by a statistical study of 281 patches showing a preferred patch horizontal size of  $\sim 300 - 400$  km and an occurrence frequency peak around winter solstice and between  $\sim 12 - 20$  UT in the northern hemisphere (NH). Sojka et al. (1994) used Utah State University time dependent ionospheric model (TDIM) to simulate the seasonal and UT variation of patch formation by modulating the IMF  $B_y$  component. Their results predicted an absence of patches between 8 UT and 12 UT and most intense patches between 20 UT and 24 UT at winter solstice in the NH. These model predictions are later confirmed by total electron content (TEC) observations from satellites (David et al., 2016). McEwen and Harris (1996) analyzed 523 patches observed by meridian-scanning photometer at Eureka, Canada during winters from 1990 to 1994. They found that more patches are observed during local evening hours than the morning hours, and most of the patches occurred during or  $\sim 1-2$  hours after negative IMF  $B_z$  conditions. More recently, Noja et al. (2013) analyzed GPS TEC observations from the CHAMP satellite during 2001 – 2004 and reported higher patch occurrence was found at the dayside polar cusp and dusk side at  $\sim 80^\circ$  MLat. In their results, more patches were observed between 1400 MLT – 2100 MLT near RISR

site. Spicher et al. (2017) used Swarm satellites observation during 2013 – 2016 and showed that the patch occurrence rate was enhanced during local winter and reduced during local summer for both hemispheres. They also showed that more patches were observed in the dusk (dawn) sector for negative (positive) IMF  $B_y$  condition.

Hosokawa et al. (2009) investigated the motion of 561 individual polar cap patches observed from 2005 to 2007, using all-sky airglow imagers at Resolute Bay. They demonstrated that the dawn-dusk component of the patch's drift velocity is mainly controlled by polarity of the IMF  $B_y$  component. In addition, they found that the patches tend to drift towards dusk even when IMF  $B_y$  equals 0, which is consistent with the fact that typically the dusk convection cell is bigger than the dawn cell due to the conductivity effect (Atkinson & Hutchison, 1978; Ruohoniemi & Greenwald, 1996, 2005). Moreover, Moen et al. (2007) used eight-year data from MSP at Svalbard and observed 333 patches in 43 days that exited the polar cap during nighttime. They found a nearly symmetric bell-shape distribution of patch occurrence centered around 23:25 MLT, which is likely caused by the tendency of patch motion from dawn to dusk. In a recent study by Zou et al. (2015), 97 patches were observed by an all-sky imager at Resolute Bay with simultaneous flow measurements by Super Dual Auroral Radar Network (SuperDARN) during the northern winter months from 2008 to 2012. Localized flow enhancements were found to be associated with ~67% of the patches with a typical flow speed of 600 m/s, in favor of IMF  $B_y$ -dominated conditions.

While a good understanding of patch size and occurrence distribution in terms of season and time (UT or MLT) has been developed by these previous statistical studies, more detailed statistical plasma characteristics within the patches, such as their vertical profiles of density and plasma temperature, are not well known so far. Fortunately, the newly deployed Resolute Bay Incoherent Radar provides such a capability. Perry (2015) used a patch detection algorithm on the northern face of the Resolute Bay Incoherent Scatter Radar (RISR-N) data measured during 5 days each in March and December in 2010, and reported distributions of F-region plasma density and temperatures of the patches, but the statistical profiles within the patches were not studied. In our work, based on 7-month measurements taken by the Canadian component of the RISR radars (RISR-C) (Gillies et al. 2016) in 2016, we developed an automatic algorithm to identify the polar cap patches and constructed a database of 437 patches. We then studied the MLT distribution of patch occurrence frequency and also conducted a superposed epoch analysis on altitude profiles of plasma density, temperature and ion fluxes measured within the patches.

## 2 Instrumentation and Data

### 2.1 RISR-C database

The polar cap patches have been observed for more than 30 years by several types of measurements, such as ionosonde, coherent and incoherent scatter radar (ISR), all-sky imager, ionospheric tomography and in-situ measurements from satellite and sounding

rockets. Among those instruments, the ISR stands out with its unique ability to measure the vertical profiles of electron density, electron and ion temperature and ion line-of-sight (LOS) velocity simultaneously.

Resolute Bay is currently the highest geomagnetic latitude ( $82.43^\circ$ ) ISR station ( $74.73^\circ$  N,  $94.91^\circ$  W geographic) in the world, making it an ideal place for polar cap patch observation. The RISR-C database used in this study contains measurements from January to March and from September to December in 2016, with a total observational time of  $\sim 1100$  hours. During the seven months, the radar operated for several selected days in each month. All the RISR-C data from 2016 was collected during coordinated experiments with RISR-N, only RISR-C data is used in this paper because we aim to study the patch profile along the field-aligned direction, which is within the RISR-C field of view and outside the RISR-N field of view.

In each run, the radar provides measurements of electron density, electron and ion temperature and ion LOS velocity from  $\sim 100$  km to  $\sim 700$  km in altitude, with a spatial resolution of  $\sim 24$  km (long pulse mode) and a time resolution of 1 minute. Raw electron density directly obtained from power without range integration or correction for measured electron and ion temperature ratios is also available with a spatial resolution of  $\sim 3$  km.

In addition, since RISR uses a phased array of thousands of antenna element units to enable almost simultaneous multiple-beam measurements, its beam configuration can be changed on demand for each operation. As a result, there are in total 4 different beam configurations throughout the 7-month dataset that were used in this study. In order to interpret the LOS velocity and other parameters in a straightforward and meaningful way, for each beam configuration we choose to use data obtained from the beam pointing most closely to the field-aligned direction, which is nearly vertical ( $169.09^\circ$  azimuth,  $86.41^\circ$  elevation) at Resolute Bay. The beams that have been used in this study are:  $83.0^\circ$  elevation and  $-157.0^\circ$  azimuth,  $85.0^\circ$  elevation and  $-157.0^\circ$  azimuth and  $90.0^\circ$  elevation. Projections of ISR K vectors of these three beams on the field-aligned direction are around 0.997, 0.998 and 0.999, respectively.

## 2.2 Patch Identification Technique

Using the time series data of electron density observed by RISR-C, we developed an automatic algorithm to identify the patches and constructed a database of 437 patches. In the identification algorithm, a set of criteria listed below has been applied to effectively distinguish the features of a patch from other density enhancements shown in the data.

- A patch should appear as a density peak in the F-region with a minimum prominence of  $\log_{10}(2)$  in log scale, according to the classical definition that a patch has at least double density of its surrounding plasma (Crowley, 1996).
- A patch should last at least 3 minutes but no more than 2 hours in the radar observation, given a typical patch size range of  $\sim 100 - 1000$  km (Coley & Heelis, 1995) and a patch convection velocity range of  $\sim 150 - 500$  m/s that Hosokawa et al. (2009) reported at Resolute Bay. Density enhancement with shorter duration is regarded as noise, and those with longer duration can be most likely regarded as part of the tongue of ionization.

To fulfill the term “F-region” in the first criterion, average raw electron density from 250 km to 400 km is calculated at each time record, shown in log scale as black curve in the second panel of Figure 1. Based on the second criterion, a 3-point median filter has already been applied to remove high frequency fluctuations. Then, a peak-finding algorithm is applied to only identify those peaks with minimum prominences of  $\log_{10}(2)$  within a temporal width of 2 hours. The ground level used to measure the prominence of a peak is determined in this way: find the minimum values in a 1-hour window before and after the peak position, then choose the higher one of the two minimum values to be the ground level. The half-prominence width indicates the duration between two edges of a patch moving over the radar site.

We have applied this patch identification procedure to the entire dataset, followed by a visual inspection. As a result, 437 patches have been identified, allowing us to analyze their statistical characteristics.

### 3 Statistical Results

#### 3.1 MLT dependence of patch occurrence

In Figure 2, the first panel presents the total measurement time of RISR-C at each magnetic local time (MLT) and corresponding universal time (UT). The second and third panels show the number of patches observed and patch occurrence frequency (in terms of number of patches observed per hour) at each MLT. In general, patches are observed more often in the afternoon sector between 1400-1900 MLT. About 35% of the patches are observed during this 5-hour period, and nearly 60% of them are observed between 12-24 MLT. The minimum patch occurrence frequency locates at 5 – 6 MLT with a value of one per 7.1 hours, while the maximum occurrence frequency one per 1.4 hour is found at 14 – 15 MLT, nearly 3.2 times of the frequency at 5 – 6 MLT.

#### 3.2 Superposed epoch analysis of patch plasma profiles

Figures 3-9 show the results of the superposed epoch analysis of the patch plasma profiles measured by RISR-C long pulse mode. At each altitude, the main curve represents the median value of all the corresponding measurements taken at that altitude level, while the horizontal line denotes the range from the first quartile (Q1) to the third quartile (Q3). Beams used in this study are described in section 2.1.

First, the median profiles of electron density ( $N_e$ , Figure 3), electron temperature ( $T_e$ , Figure 4), ion temperature ( $T_i$ , Figure 5), ion line-of-sight velocity (LOS  $V_i$ , Figure 6, 8) and flux ( $N_e$  times LOS  $V_i$ , Figure 7, 9) from all the available measurements are calculated and plotted as the black curves in each figure. Then we separate the data into 4 sectors: dawn (03-09 MLT), noon (09-15 MLT), dusk (15-21 MLT) and midnight (21-03 MLT). For each sector, the median profiles of those 5 parameters are calculated and plotted as the red curves in each figure. At last, the median profiles measured at the density peaks of the patches observed in each sector are plotted as blue curves in each figure. To control the data quality while maintain adequate amount of data for statistical analysis, we filtered the density and temperature data by limiting the relative error to be less than 2. The measurement uncertainties are provided in RISR-C dataset. For LOS velocity, since the relative errors are generally larger, the limit is increased to 4 to keep sufficient data, while another limit that the absolute error should be less than 400 m/s is also added to control quality of the data used in the study.

### 3.2.1 Electron density profile

As shown in Figure 3, compared with the overall median density, the sector median shows higher density in the noon and dusk sectors, and lower density in the dawn and midnight sectors, at almost all altitude levels. In all of the four sectors, the patch median plasma densities above 200 km are higher than the sector median plasma densities, as expected. The F-region density peak decreases from the dayside ( $\sim 2.0 \times 10^{11} \text{ m}^{-3}$  for the noon sector,  $\sim 3.6 \times 10^{11} \text{ m}^{-3}$  for patches) to the night side ( $\sim 1.0 \times 10^{11} \text{ m}^{-3}$  for the midnight sector,  $\sim 2.1 \times 10^{11} \text{ m}^{-3}$  for patches), in agreement with the results by Perry (2015, Figure 4.12). The ratio of F-region density peak between the patches and the sector median is  $\sim 1.8$  in the noon sector and  $\sim 2.1$  in the dawn, dusk and midnight sectors. The topside ionosphere density differences between the patch and the sector median decrease from the dayside to the night side. Therefore, patch detection using LEO satellite in situ density above 450 km may not be very sensitive on the night side. Also, it is noted that patch density is lower than the sector median density below  $\sim 200$  km except the nightside. This phenomenon has been seen in the SED event reported in Figure 8 in Zou et al. (2013) due to lifting of the storm-time F-region plasma to higher altitudes.

### 3.2.2 Electron temperature profile

As shown in Figure 4, comparing the overall median electron temperature with the sector medians, higher temperature reaching  $\sim 2000$  K can be seen in the noon sector above  $\sim 350$  km, while a lower temperature around  $1000 - 1250$  K is present in the midnight sector. In the dawn and dusk sectors, the sector median electron temperature profiles are closer to the overall profile. Judging from the length of the horizontal bars of the sector profiles, larger variations in the electron temperature above  $200$  km can be seen on the dayside than that on the night side. Below  $200$  km, the midnight sector median electron temperature shows larger variations than dayside, and the horizontal bars (from Q1 to Q3) of electron temperature mainly extend to its right, which is probably due to the heating effect of particle precipitations such as polar cap arcs on the night side.

In the noon sector, electron temperature within the patches show a clear suppression as large as  $\sim 380$  K between  $250 - 450$  km, compared with the sector median temperature. In the midnight sector, electron temperature within the patches does not show large difference with the sector median. The results by Perry (2015, Figure 4.18) also showed patch electron temperature  $\sim 1800 - 2000$  K in sunlit region and  $\sim 1000$  K in dark region. In the dawn and dusk sectors, electron temperature within the patches is slightly lower than the sector median values, but the differences are smaller comparing with that in the noon sector.

### 3.2.3 Ion temperature profile

In general, the ion temperatures increase slowly around  $1000$  K as altitude increases, which are  $\sim 200$  K higher than Perry (2015, Figure 4.17) reported based on data taken in 2010 near deep solar minimum. Thus the difference is likely due to the solar cycle effect. There is little difference shown among the three ion temperature profiles in each sector. In the noon sector, the ion temperature within the patches increases to  $\sim 1230$  K at  $\sim 390$  km, higher than the sector median by  $\sim 150$  K. This heating is likely due to stronger interaction between electrons and ions through the Coulomb collision within the patch. The Coulomb collision rate is proportional to plasma density and inversely proportional to electron temperature (Schunk & Nagy, 2009). So the colder patch electron temperature and the higher density within the patch facilitate stronger coupling between electron and ion. Combined with electron temperature measurements, the sector median  $T_e/T_i$  ratio in the noon sector can reach as large as  $\sim 1.9$  between  $\sim 290 - 430$  km, while within the patches the  $T_e/T_i$  ratios are only  $\sim 1.3 - 1.6$  at the same altitudes.

### 3.2.4 Line-of-sight ion velocity and flux profile

As mentioned in section 2.1, the data used to calculate the statistical profiles in this study are measured by 3 different beams (with  $90^\circ$ ,  $83^\circ$  and  $85^\circ$  elevation angle, respectively) throughout the 7-month period, depending on the operation mode of the radar on each



run. Specifically, the vertical beam ( $90^\circ$  elevation) has in total  $\sim 590$  hours observation time and 133 patches observed. Meanwhile, the beam with  $83^\circ$  elevation angle has operated for  $\sim 500$  hours in total with 281 patches identified. The last beam with  $85^\circ$  elevation angle only has  $\sim 20$  running hours and observed 23 patches. Although the second beam has less total operation hours than the vertical one, it has observed more patches, mainly because January 2016 has seen 122 patches (more than any other months in the data) and during the most of that month only the beam with  $83^\circ$  elevation angle was operated.

In order to study the vertical motion of the patches, at first, only data from the vertical beam are used to calculate the LOS velocity and flux profiles, shown in Figures 6 and 7. The ion flux is calculated by multiplying ion LOS velocity with electron density, assuming charge neutrality. Since only 133 patches were observed by the vertical beam, the median profiles of the patches are more fluctuating. In addition, the vertical beam of RISR-C (and RISR-N) are less sensitive than the other looking directions since they are near the grating-lobe steering limit of the phased array. For the patch profile in each MLT sector, the median values at some altitudes are not plotted if the number of available data points at that altitude level is less than 10 after applying the data quality filter.

As illustrated in Figures 6 and 7, the overall median vertical ion velocity is downward (negative) with a speed of  $\sim 60$  m/s at  $\sim 300$  km, causing downward fluxes  $\sim 1.1 \times 10^{13} \text{ m}^{-2} \text{ s}^{-1}$ . The sector median profiles of vertical ion velocity are similar to the overall velocity profile. For the noon sector, the sector median flux profile presents a downward flux  $\sim 1.3 \times 10^{13} \text{ m}^{-2} \text{ s}^{-1}$  at  $\sim 300$  km because of high F2 layer density peak at dayside. Within the patches, downward ion fluxes are found between 200 – 500 km in all sectors. In the noon sector, downward ion velocity and flux within the patches can reach as large as  $\sim 66$  m/s and  $\sim 3.1 \times 10^{13} \text{ m}^{-2} \text{ s}^{-1}$  at  $\sim 340$  km, respectively, while within the patches in the midnight sector, downward ion velocities can reach  $\sim 100$  m/s with a downward flux of  $\sim 3.3 \times 10^{13} \text{ m}^{-2} \text{ s}^{-1}$  at  $\sim 360$  km.

In addition, the beam with  $83^\circ$  elevation and  $-157^\circ$  azimuthal angle has observed 281 patches in total and therefore gives better statistical profiles of LOS ion velocity and fluxes, which are shown in Figure 8 and 9. The overall LOS ion velocity is downward and  $\sim 40$  m/s at  $\sim 300$  km. The overall ion flux is downward and  $\sim 5 \times 10^{12} \text{ m}^{-2} \text{ s}^{-1}$  at  $\sim 300$  km, where the density peaks. The noon sector has larger downward ion velocity  $\sim 50$  m/s at  $\sim 300$  km and downward ion flux  $\sim 1 \times 10^{13} \text{ m}^{-2} \text{ s}^{-1}$  at  $\sim 300$  km, while oppositely the midnight sector has upward ion velocity  $\sim 40$  m/s between 200 km – 400 km and upward ion flux  $\sim 4 \times 10^{12} \text{ m}^{-2} \text{ s}^{-1}$  at  $\sim 300$  km. Within the patches observed in the noon sector, downward ion velocity and flux as large as  $\sim 80$  m/s and  $\sim 3 \times 10^{13} \text{ m}^{-2} \text{ s}^{-1}$ , respectively, are found between 250 km – 400 km. For patches seen in the midnight sector, upward ion

velocity and flux as large as  $\sim 60$  m/s and  $\sim 1.2 \times 10^{13}$   $\text{m}^{-2}\text{s}^{-1}$ , respectively, are found between 250 km – 400 km.

#### **4 Discussion**

In Figure 2, we showed the occurrence frequency of the patches observed by RISR-C as a function of MLT and UT. Previous studies have reported a UT dependence of the polar cap patches occurrence in winter that more patches tend to appear during 1200–2400 UT (Coley & Heelis, 1995) or during 2000–0300 UT (Sojka et al., 1994; David et al., 2016). This is suggested to be a result of the mismatch between the geographic and geomagnetic poles (Sojka et al., 1979; Foster, 1993; Carlson, 2012) that the polar cap or cusp will extend to lower geographic latitude on the dayside during 1200–2400 UT in the Northern hemisphere winter; thus, more solar EUV-produced high-density plasma will be introduced into the polar cap by the ionospheric convection during this period, which is favorable to the formation of polar cap patches. In our results, more patches were observed between 2200–0200 UT, consistent with the findings of Sojka et al. (1994) and David et al. (2016).

As for the MLT dependence, McEwen and Harris (1996) observed more patches during local evening hours than the morning hours, using meridian-scanning photometer at Eureka ( $89^\circ$  MLat), close to Resolute Bay ( $82^\circ$  MLat). Noja et al. (2013) also reported higher patch occurrence on the dusk side and lower occurrence on the dawn side around 6 MLT at  $\sim 80^\circ$  MLat, based on the CHAMP TEC observation from 2001 to 2004. In our results, more patches were observed between 1400–1900 MLT, consistent with the McEwen and Harris (1996) and Noja et al., 2013 studies.

The asymmetry of the dusk-dawn convection cells is suggested to affect patch MLT occurrence. In the polar cap, anti-sunward plasma flow is typically dominant during southward IMF  $B_z$  condition, while the a positive (negative) IMF  $B_y$  condition will result in a dusk-to-dawn (dawn-to-dusk) flow direction near the dayside cusp and then a dawn-to-dusk (dusk-to-dawn) flow direction at higher latitudes. In the statistical study of patch convection velocity at Resolute Bay by Hosokawa et al. (2009), they demonstrated that the dawn-dusk direction of the patches drift velocity has a clear asymmetry: the convection velocity generally has a dawn-to-dusk direction even when the IMF  $B_y$  is 0. This dawn-to-dusk convection tendency can be explained by effect of day-night conductivity gradient (Atkinson & Hutchison, 1978), and it is also supported by empirical models (Ruohoniemi & Greenwald, 1996, 2005) and numerical simulation results (Tanaka, 2001). This statistical dawn-to-dusk convection tendency means, after the patches are generated near the dayside cusp region, more patches will move into the dusk side of the polar cap rather than the dawn side, even when IMF  $B_y$  is close to 0. Therefore, the dawn-dusk asymmetry in the patch occurrence frequency shown in Figure 2 may be due to the dawn-dusk asymmetry of high-latitude ionospheric convection pattern.

On the night side, Moen et al. (2007) found a bell-shape patch occurrence distribution centered at  $\sim 23:25$  MLT, a slight shift to the pre-midnight sector, at Svalbard ( $76^\circ$  MLat) using MSP. The authors also attributed this shift to the asymmetry of the convection pattern. It should be noted that several possible reasons could cause the difference between their patch occurrence distribution over MLTs and that in the present study. In their study, emission enhancements that

reached the poleward boundary of the auroral oval within the MSP field of view were counted as patches. Besides, the patch occurrence distribution has a strong dependence on latitude. According to Noja et al. (2013, Figure 4), the peak of the patch occurrence is in the dusk side at RISR latitude ( $\sim 82^\circ$  MLat), while for Svalbard ( $76^\circ$  MLat) the peak is in the pre-midnight sector.

Therefore, we suggest that the peak of patch occurrence rate on the dusk side can be due to both the mismatch of the geographic and the geomagnetic poles and the asymmetry of dawn-dusk ionospheric convections. However, since the instrument we used is fixed on the Earth surface, the importance of these factors cannot be resolved based on the present data set.

Zou et al. (2014) found downward ion fluxes as large as  $\sim 10^{14} \text{ m}^{-2}\text{s}^{-1}$  within the SED plume using the PFISR observation ( $65.4^\circ$  MLat). They suggested that the downward flux could be explained by enhanced ambipolar diffusion due to increase in pressure gradient and/or large poleward thermospheric wind during storm times, which can push the plasma downward along the field lines. In the dayside lower latitude regions, the magnetic field has a smaller inclination angle, so the  $E \times B$  drift velocity and the horizontal equatorward wind has a larger upward projection on vertical direction, which plays an important role in lifting and holding the plasma at high altitudes. This is also suggested to be important for the formation of high density within SED (Heelis et al., 2009; Zou et al., 2013, 2014). In our case, the elevation angle of magnetic field lines near Resolute Bay is  $\sim 86.41^\circ$ . The almost vertical field lines make the  $E \times B$  drift velocity and the horizontal thermospheric wind become ineffective in holding the high-density plasma within the patch. Given a typical patch  $E \times B$  drift velocity being  $\sim 200 - 400 \text{ m/s}$  (Hosokawa et al., 2009) and a typical anti-sunward thermospheric wind velocity being  $\sim 200 \text{ m/s}$  at Resolute (Wu et al., 2017), the anti-sunward  $E \times B$  drift contributes to  $\sim 12 - 25 \text{ m/s}$  vertically upward velocity on the dayside and downward velocity on the night side, while the anti-sunward thermospheric wind causes  $\sim 12 \text{ m/s}$  vertically downward velocity on the dayside and upward on the night side. Because the vertical projections from the anti-sunward  $E \times B$  drift and thermospheric wind have opposite signs on the day and night sides, their combined effect is likely not important in affecting the vertical motions of the patches. Given  $\sim 60 - 100 \text{ m/s}$  downward velocity measured within the patches using the vertical beam, we suggest that the high-density plasma within the patches move downward are mainly due to a combination of pressure gradient force and gravitational force.

As for the beam with  $83^\circ$  elevation and  $-157^\circ$  azimuthal angle pointing equatorward, since it has lower elevation angle than the magnetic field lines, the anti-sunward  $E \times B$  drift will have larger downward component when projected along this beam on the dayside and upward component on the night side, same direction as the contribution from the anti-sunward thermospheric wind. Given the same typical  $E \times B$  drift velocity and thermospheric wind velocity mentioned above, the  $E \times B$  drift contributes  $\sim 15 - 30 \text{ m/s}$  downward velocity on the day side and upward on the night side along this beam direction, while the thermospheric wind produces  $\sim 12 \text{ m/s}$  velocity downward on the dayside and upward on the night side. In total, they can lead to  $\sim 42 \text{ m/s}$  ion

velocity downward at dayside and upward at night side, which is comparable to the results shown in Figure 8.

In short, using only the measurements from the vertical beam, we found downward ion fluxes on a scale of  $10^{13} \text{ m}^{-2} \text{ s}^{-1}$  within the patches in all four sectors, likely caused by the pressure gradient force and gravity. Typical  $E \times B$  drift and thermospheric wind at Resolute Bay are not large enough to lift or hold the lifted high density within patches at high altitudes, because of the high elevation angle ( $86.41^\circ$ ) of the magnetic field lines. A tilted beam with  $83^\circ$  elevation angle has observed more patches and therefore provided better statistical results, which shows downward ion fluxes along the beam in dawn, noon and dusk sectors and upward ion fluxes along the beam in the midnight sector. However, the upward motion of the patches along this beam could be partially caused by the beam's inclination and projection of the  $E \times B$  drift and thermospheric wind.

## 5 Summary and Conclusion

In this study, we analyzed the ionospheric plasma state parameters measured by RISR-C in 2016 to firstly identify the polar cap patches, and then studied their statistical characteristics, including occurrence frequency in terms of magnetic local time, and the median vertical profiles of their plasma properties. The conclusions are summarized as following:

- The patches are observed more often in the afternoon sector between 1400-1900 MLT.
- The density enhancement in the patches can extend from  $\sim 200$  km up to above 500 km on dayside and up to  $\sim 450$  km on night side. The ratio of F-region density peak between the patches median and the sector median is  $\sim 1.8$  on the dayside and  $\sim 2.1$  on the night side. Below  $\sim 200$  km, the patches median density is lower than the sector median density except in the midnight sector.
- Electron temperature within the patches is lower than the sector median in the noon, dusk and dawn sectors, and the difference is as large as  $\sim 380$  K in the noon sector, which suggests that the main plasma source of the patches is solar EUV-produced plasma in the dayside subauroral region rather than soft particle precipitation. Smaller electron temperature decrease within the patches is found in the dawn and dusk sectors comparing with that in the noon sector.
- In the noon sector, ion temperature within the patches is higher than the sector median by  $\sim 175$  K. We suggest that this is due to stronger coupling between the electrons and ions within patches due to higher Coulomb collision frequency. No significant differences between the ion temperature within the patch and sector median are found in the other

sectors. The median  $T_e/T_i$  ratio in the noon sector can reach as large as  $\sim 1.9$  between  $\sim 290 - 430$  km, while within the patches the  $T_e/T_i$  ratio is  $\sim 1.3 - 1.6$  at the those altitudes.

- Downward ion fluxes on a scale of  $\sim 10^{13} \text{ m}^{-2}\text{s}^{-1}$  are observed within the patches in all the sectors, which are likely due to the combination of pressure gradient and gravitational forces.

It should be noted that the above results are based on the first year of RISR-C data. The patch identification algorithm and statistical analysis presented in this paper will be applied to a more comprehensive data set in the future as RISR-C continues to collect more data. In particular, more field-aligned beam observations have been scheduled, which will improve the field-aligned velocity and flux statistics in the future.

## Figures

**Figure 1.** An example of patch identification procedure for RISR-C measurement on January 19, 2016. The first panel shows the electron density obtained directly from power with no range integration and no correction for measured temperature. In the second panel, black curve shows average electron density from 250 km to 400 km with a 3-point median filter applied. The density peaks that fulfill the criteria mentioned in the text are marked by red triangles. Within each peak, the vertical red line and horizontal yellow line indicate its prominence and half-prominence width, respectively.

**Figure 2.** MLT and UT distribution of RISR-C measurement time, number of polar cap patches and patch occurrence frequency.

**Figure 3.** Electron density profiles measured in dawn (03-09 MLT), noon (09-15 MLT), dusk (15-21 MLT) and midnight (21-03 MLT) sector, respectively. The black curves represent the median profiles of measurements taken at all the MLTs. The red curves represent median profiles calculated using data only measured at each MLT sector. The blue curves represent median profiles measured at the density peaks of the patches observed in each sector. The horizontal bars (not shown for the black curves) indicate range from the first quartile (Q1) to the third quartile (Q3) of the corresponding data at each altitude.

**Figure 4.** Electron temperature profiles measured in dawn (03-09 MLT), noon (09-15 MLT), dusk (15-21 MLT) and midnight (21-03 MLT) sectors, respectively.

**Figure 5.** Ion temperature profiles measured in dawn (03-09 MLT), noon (09-15 MLT), dusk (15-21 MLT) and midnight (21-03 MLT) sector.

**Figure 6.** Line-of-sight ion velocity profiles measured in dawn (03-09 MLT), noon (09-15 MLT), dusk (15-21 MLT) and midnight (21-03 MLT) sector, using only the vertical beam ( $90^\circ$  elevation angle). Negative (positive) line-of-sight velocity means downward (upward). The

median profiles measured within the patches (blue curves) are fluctuating due to the small number of patches observed in each sector.

**Figure 7.** Line-of-sight ion flux profiles in dawn (03-09 MLT), noon (09-15 MLT), dusk (15-21 MLT) and midnight (21-03 MLT) sector, using only the vertical beam (90° elevation angle).

**Figure 8.** Line-of-sight ion velocity profiles measured in dawn (03-09 MLT), noon (09-15 MLT), dusk (15-21 MLT) and midnight (21-03 MLT) sector, using only the beam with 83° elevation angle and -157° azimuthal angle.

**Figure 9.** Line-of-sight ion fluxes profiles measured in dawn (03-09 MLT), noon (09-15 MLT), dusk (15-21 MLT) and midnight (21-03 MLT) sector, using only the beam with 83° elevation angle and -157° azimuthal angle.

### Acknowledgments and Data

This work is supported by NASA grant NNX14AF31G and NSF AGS 1400998. RISR-C is funded by the Canada Foundation for Innovation and led by the University of Calgary's Auroral Imaging Group (<http://aurora.phys.ucalgary.ca/resu/>), in partnership with University of Calgary Geomatic Engineering, University of Saskatchewan, Athabasca University and SRI International. RISR-C data are available at <http://data.phys.ucalgary.ca/>.

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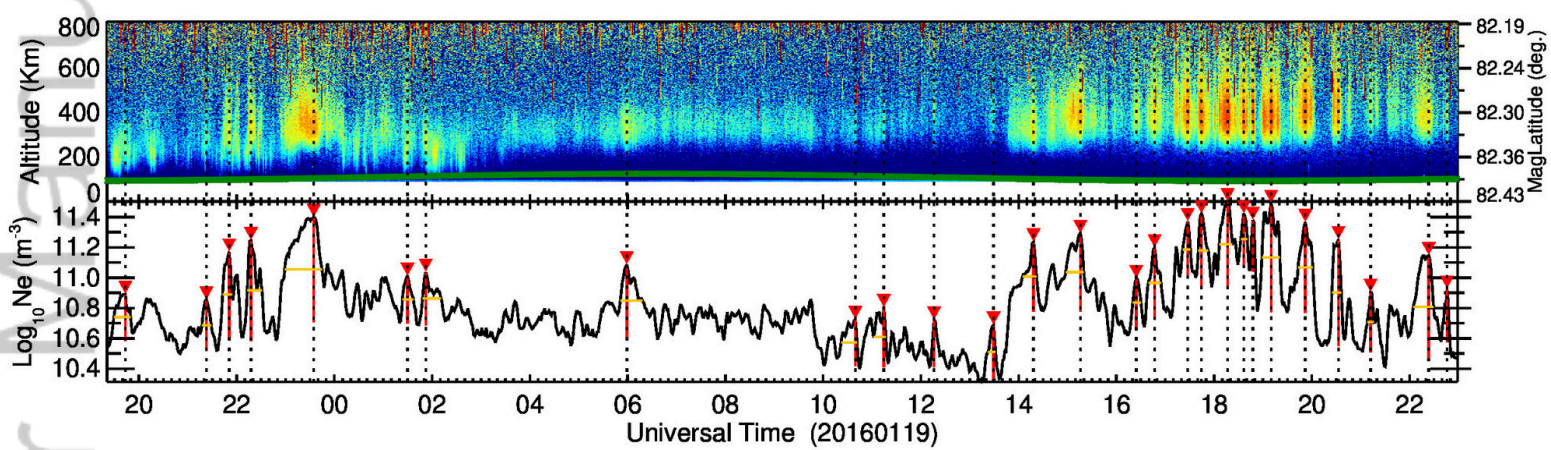
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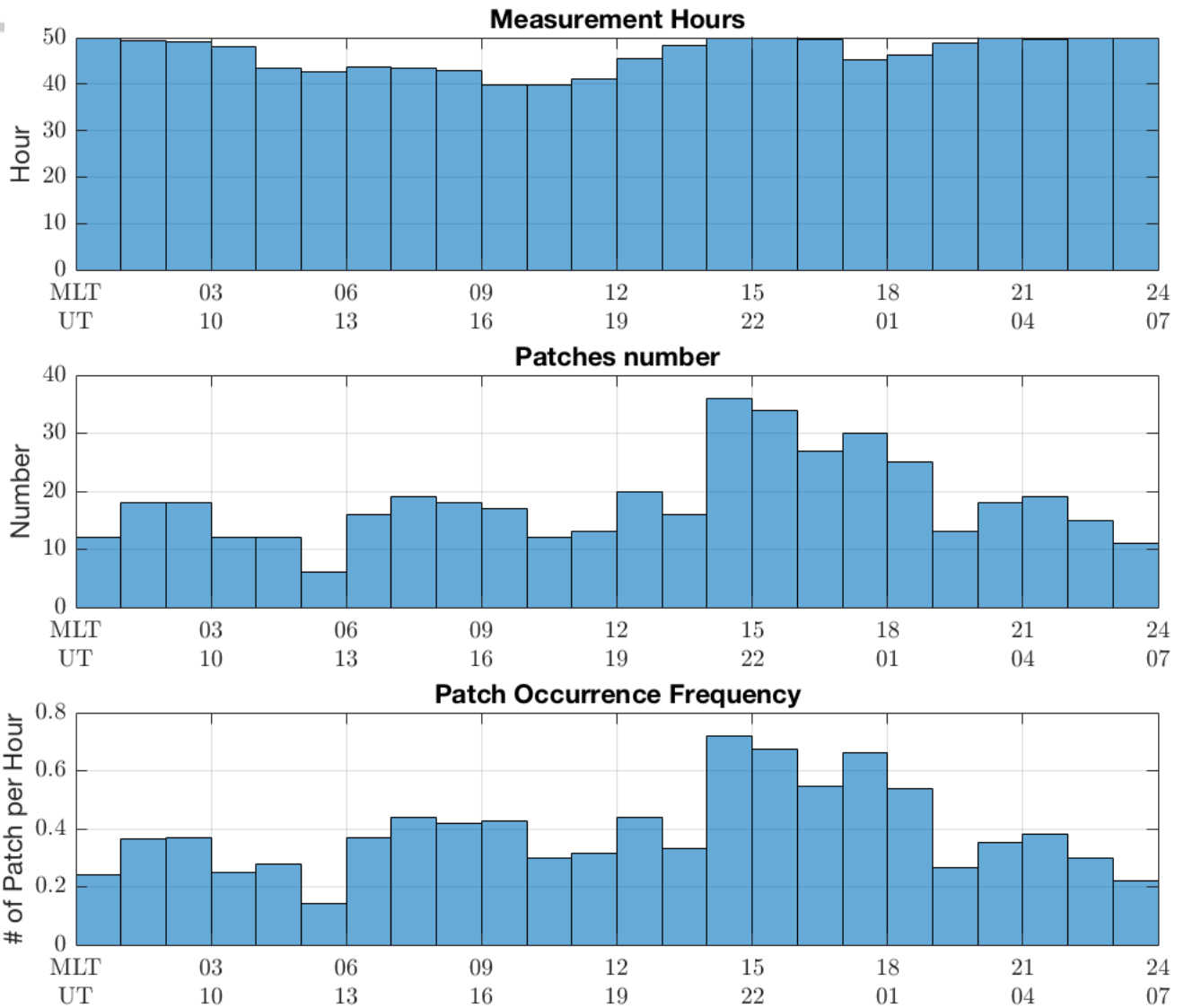
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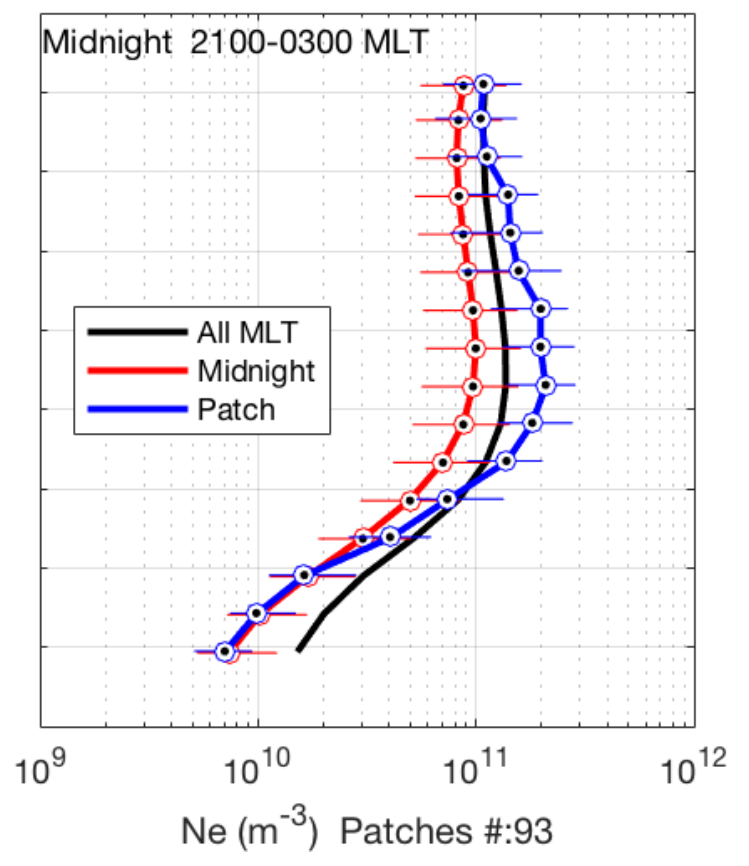
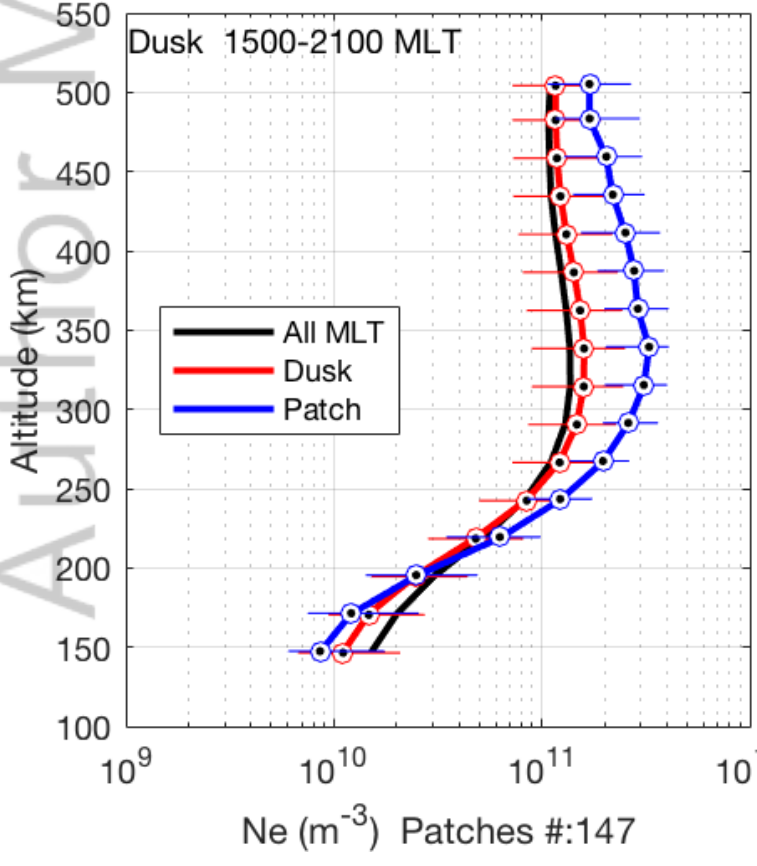
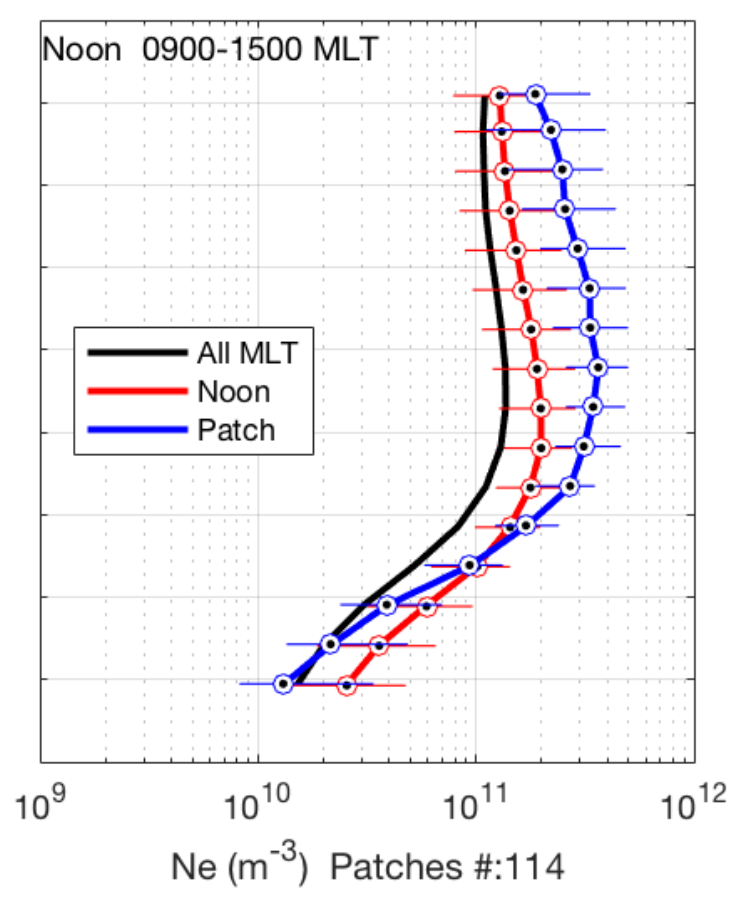
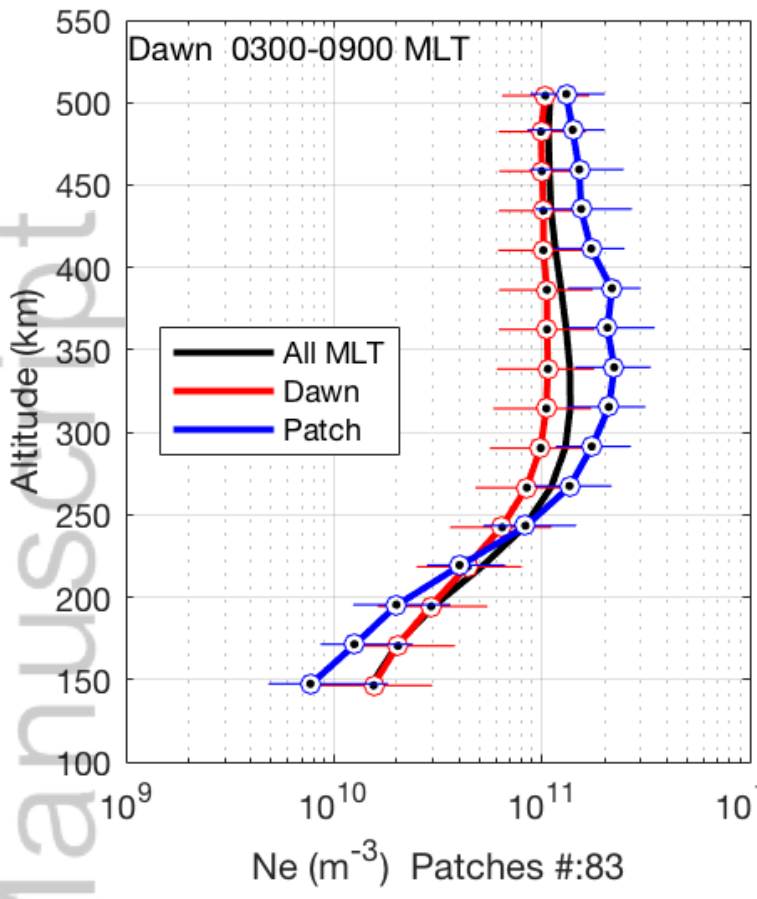


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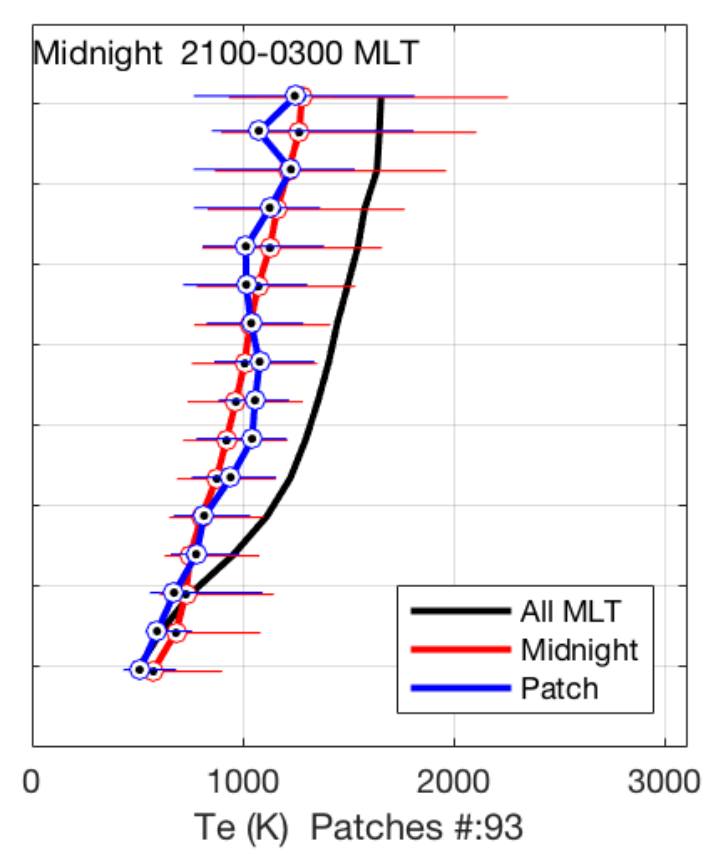
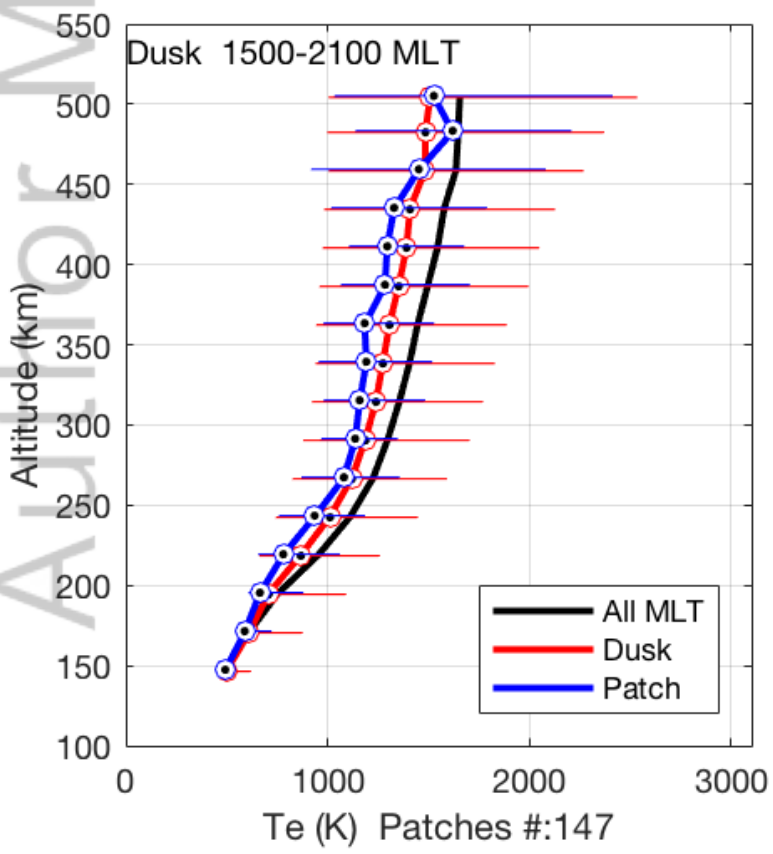
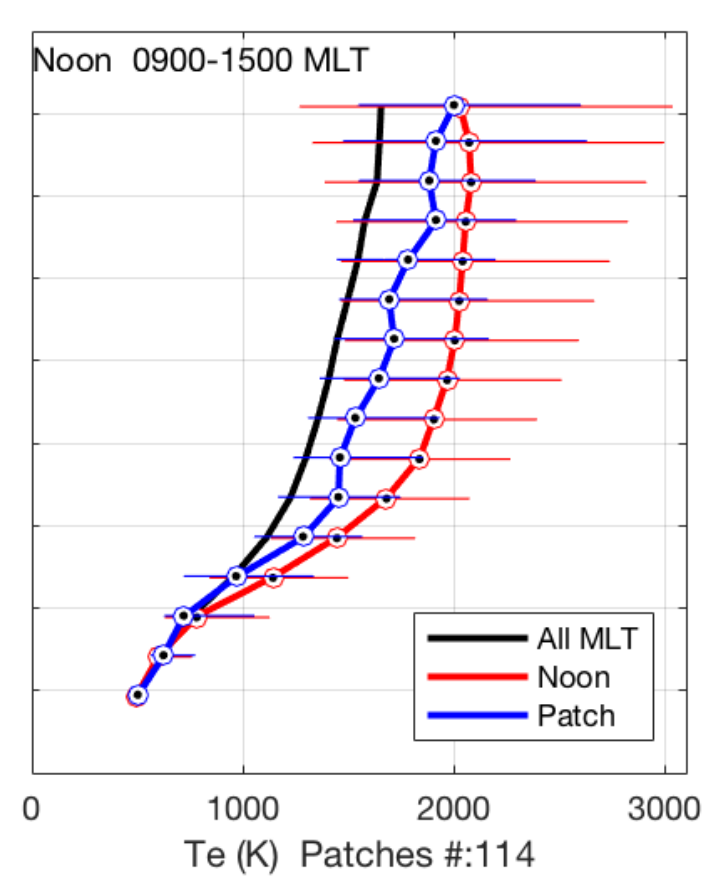
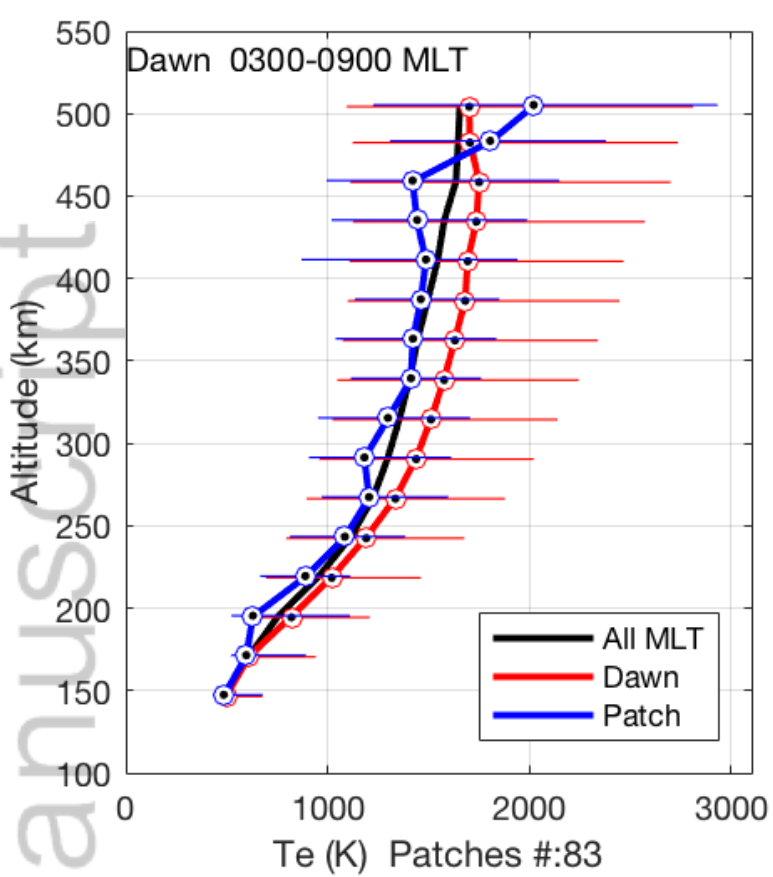


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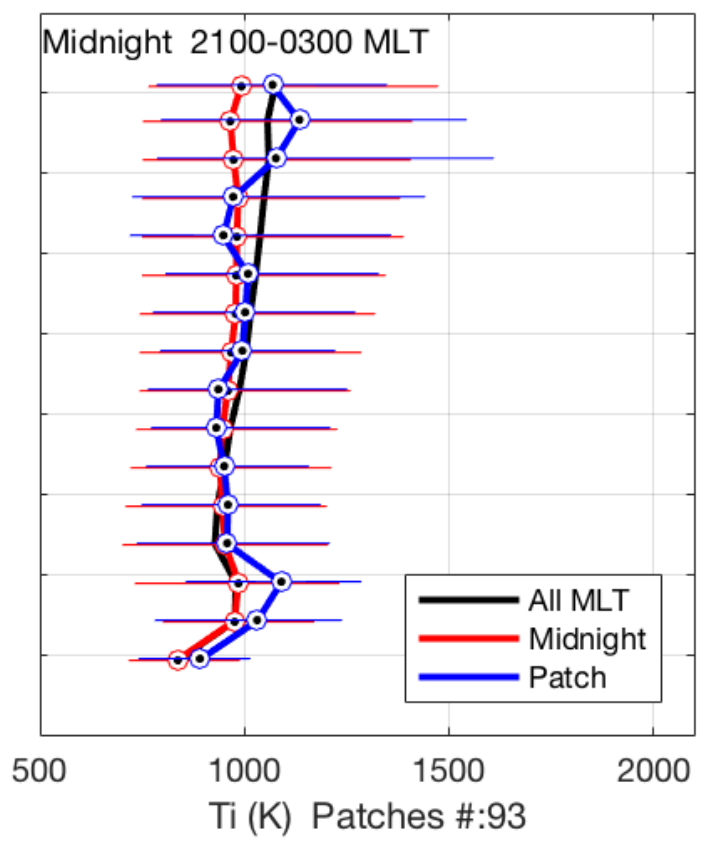
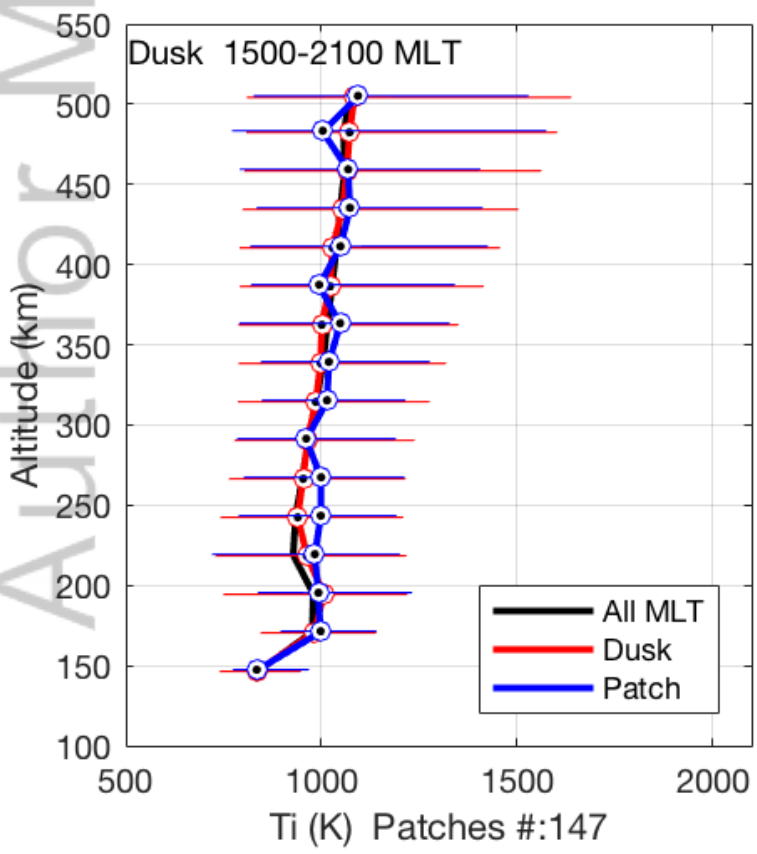
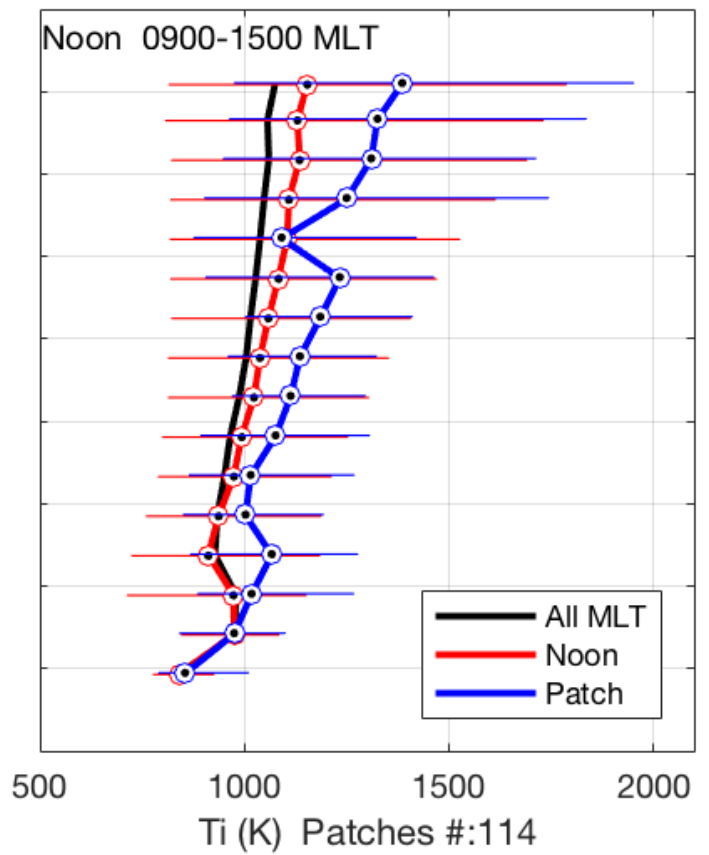
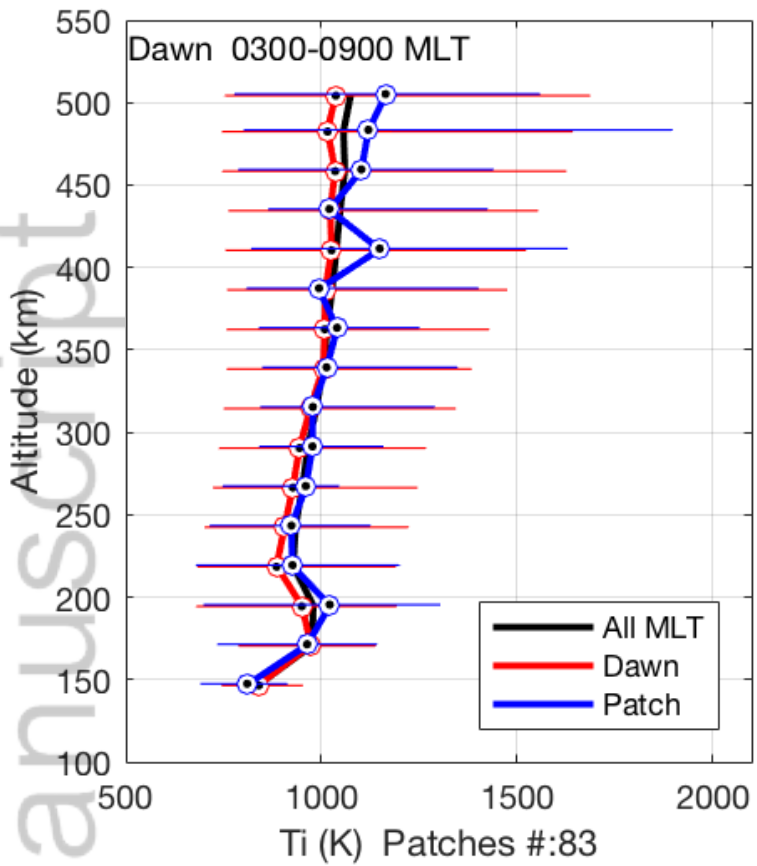
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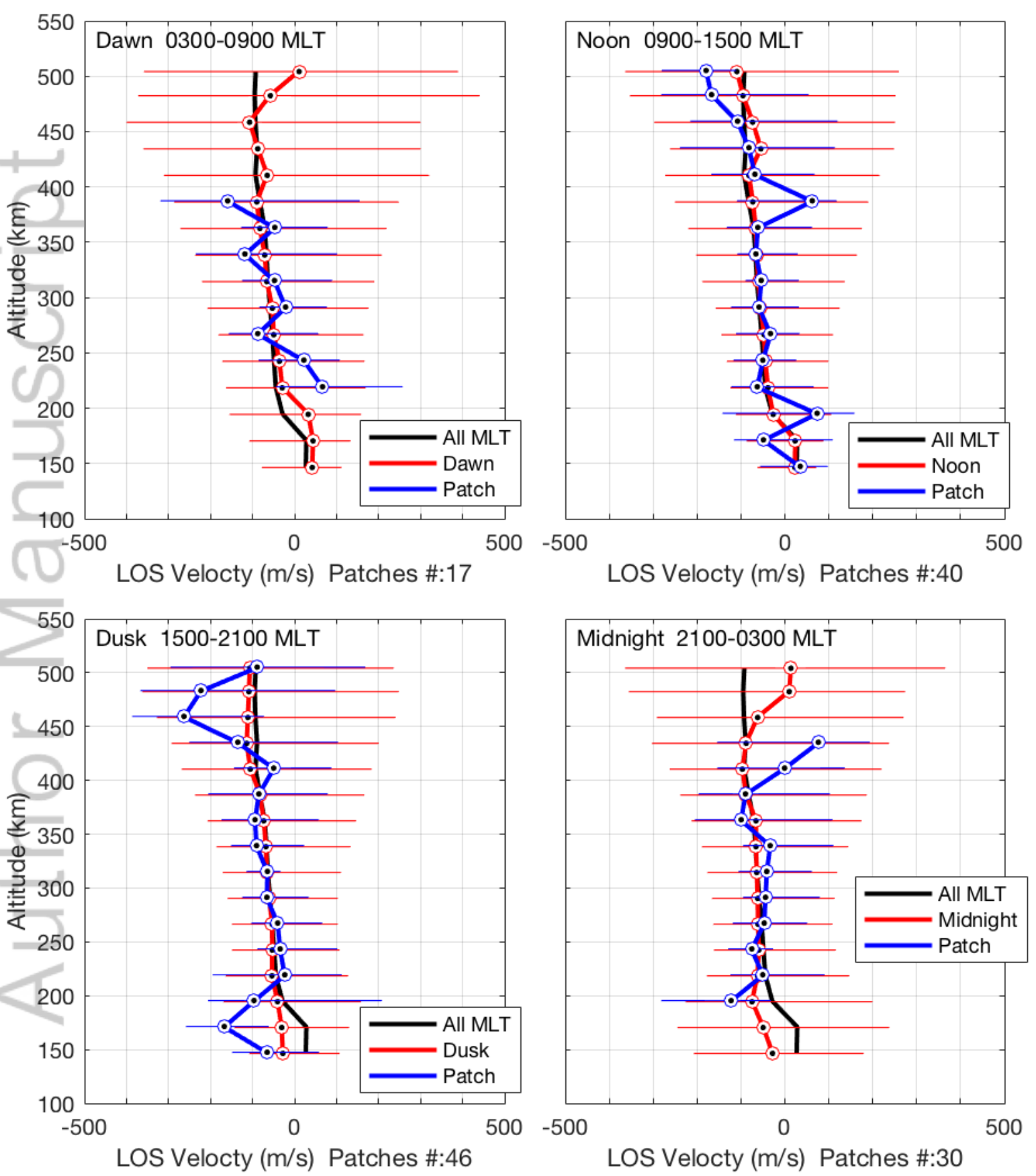
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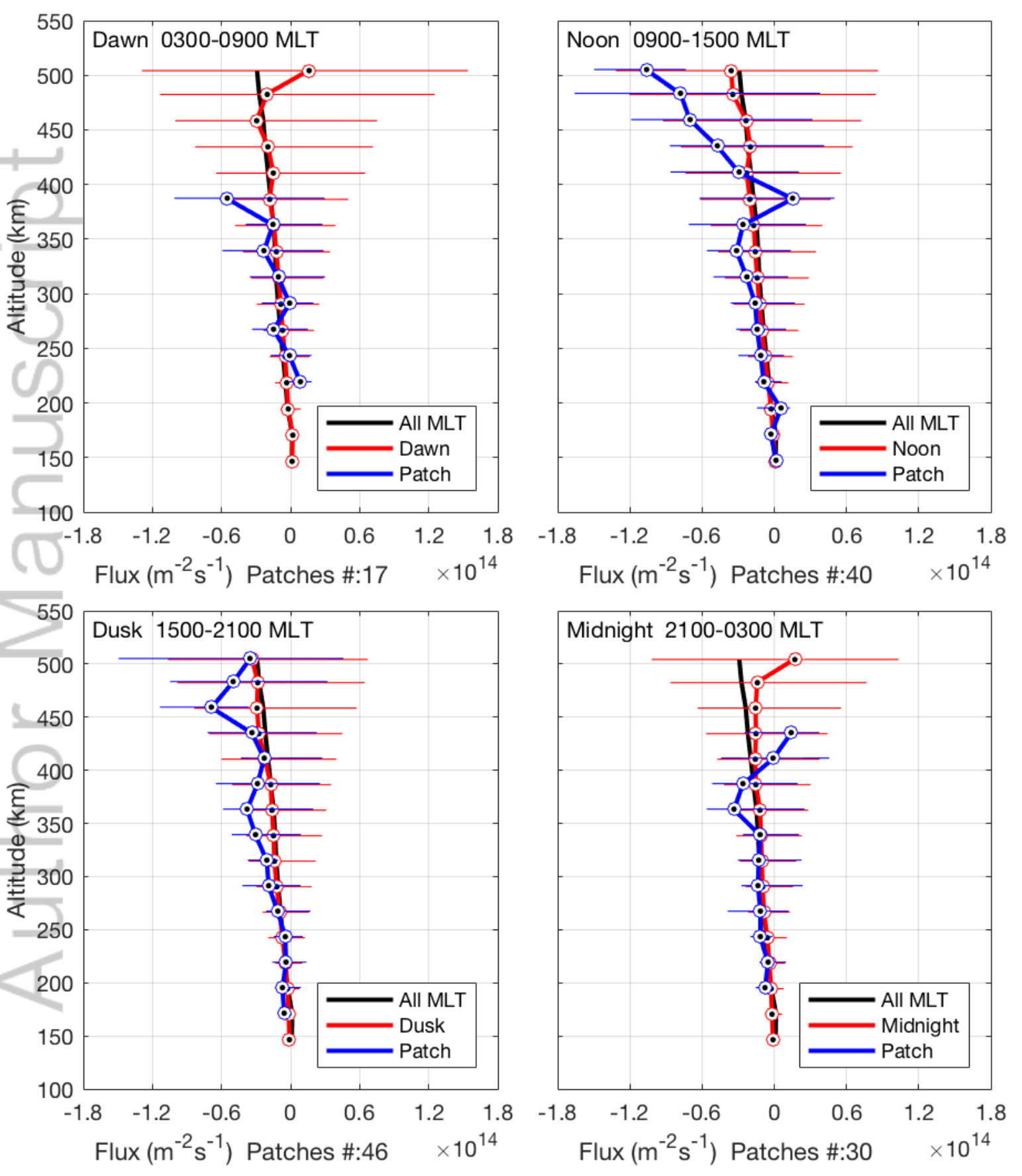


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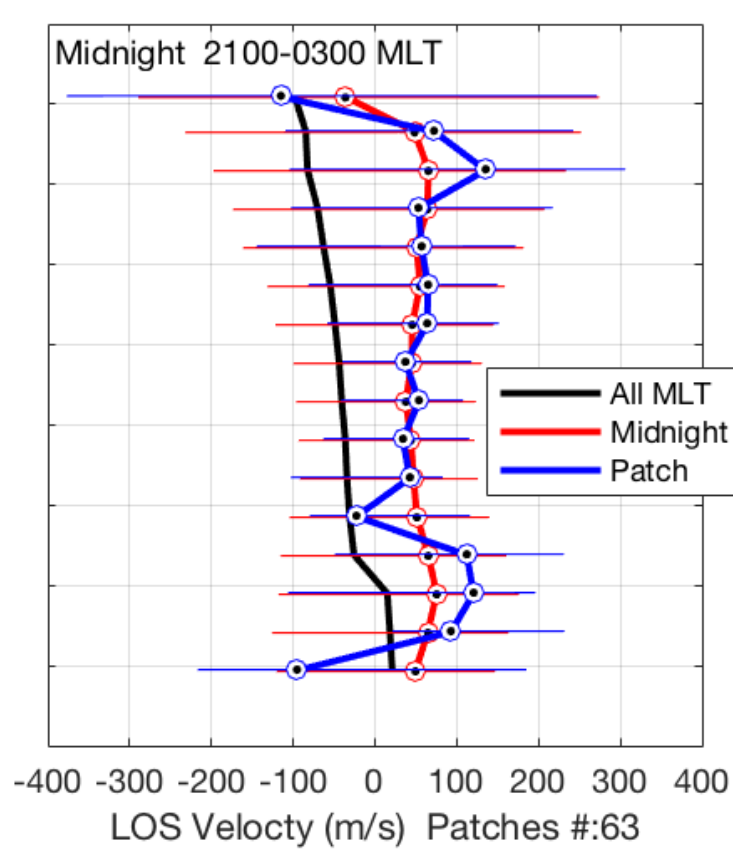
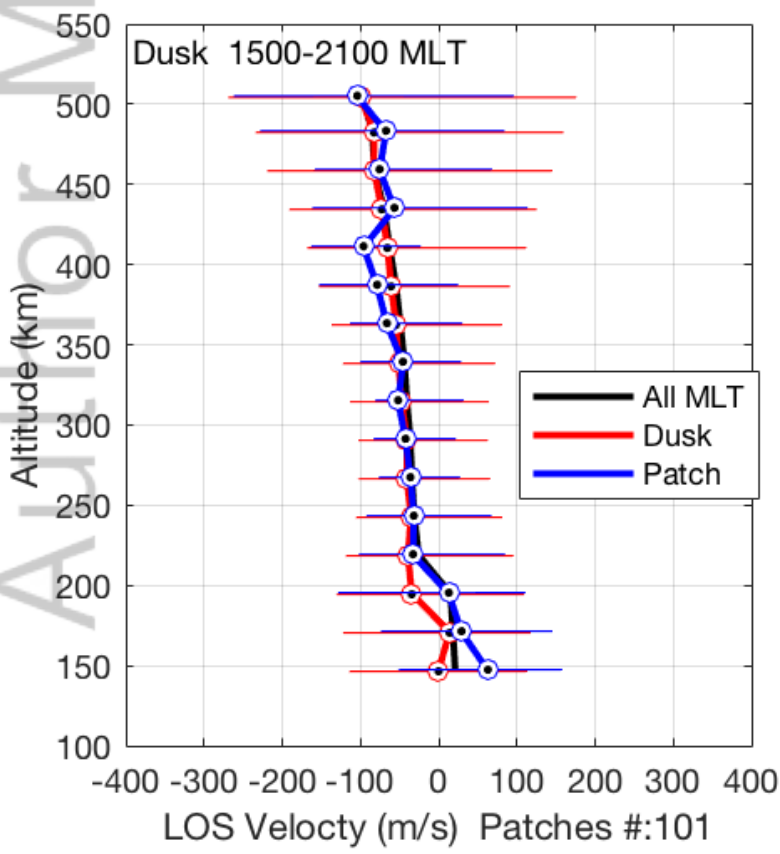
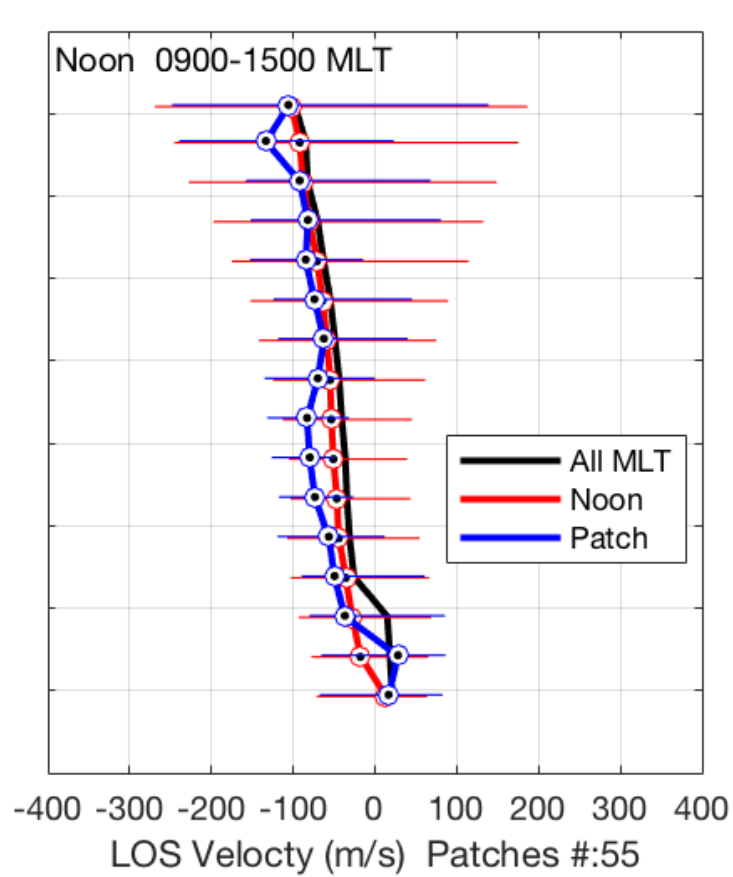
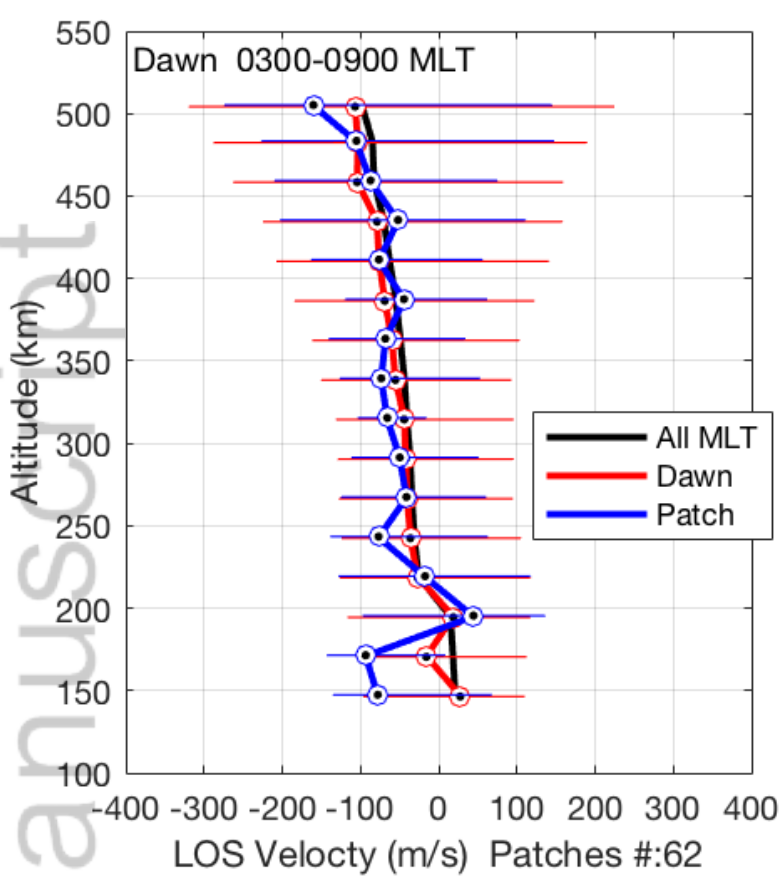


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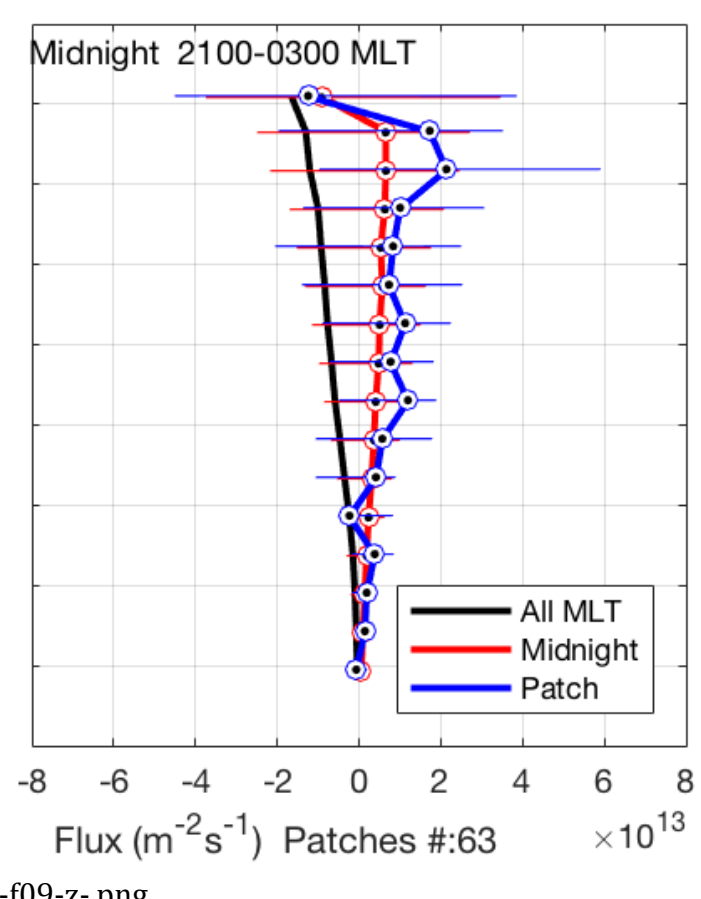
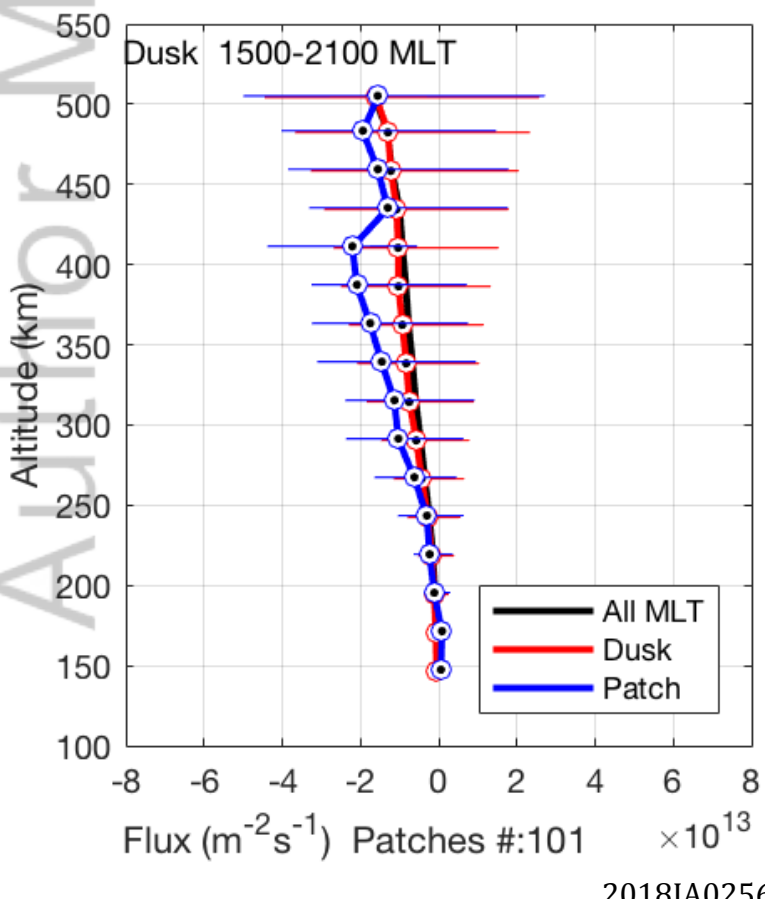
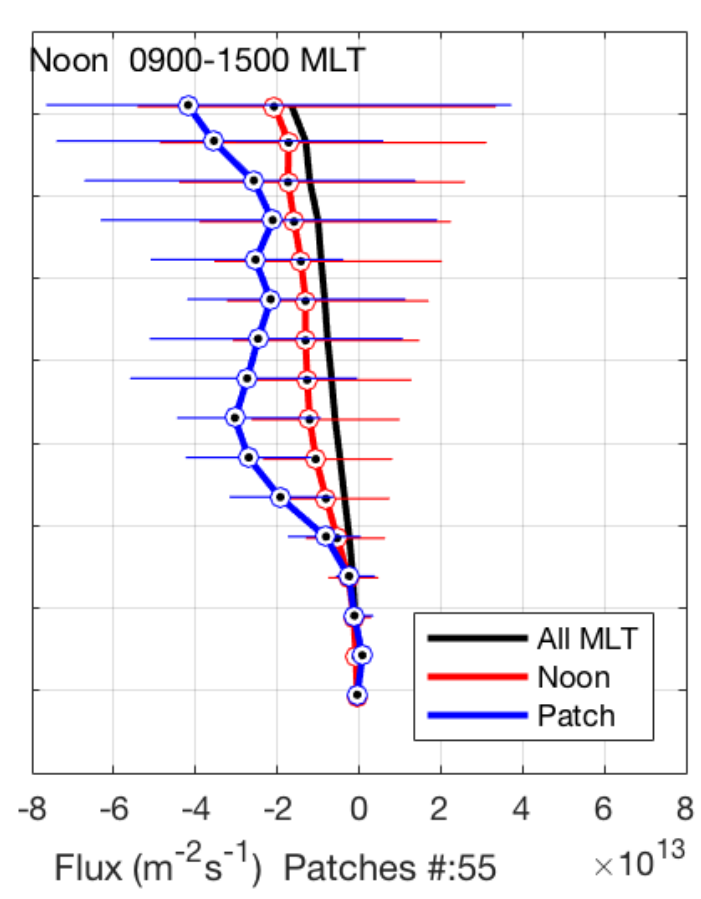
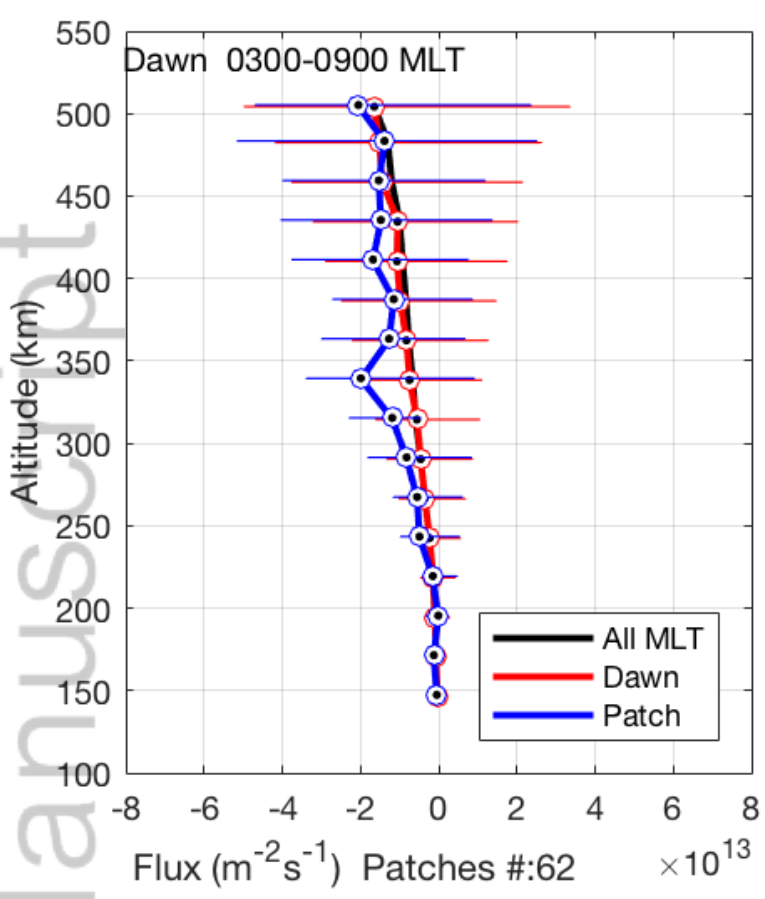




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