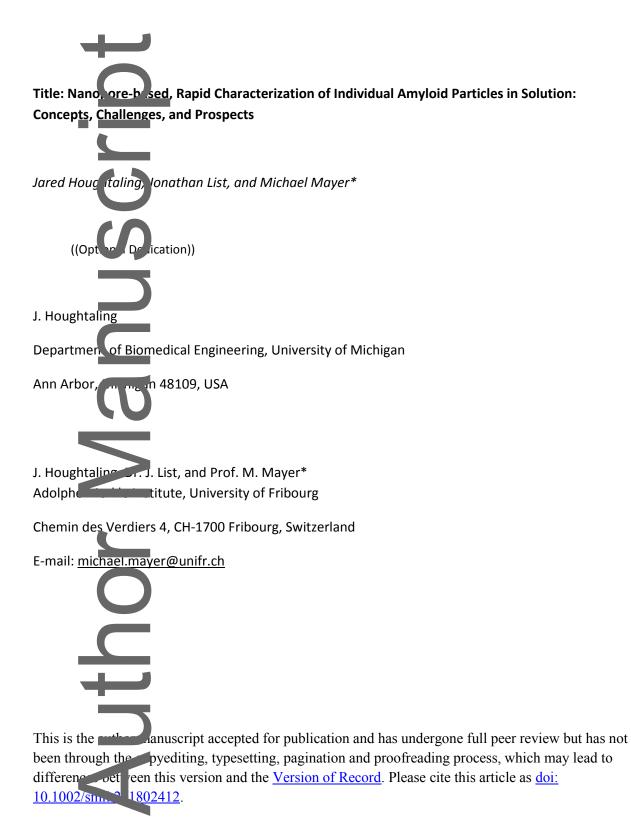
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Abstract

Aggregates of misfolded proteins are associated with several devastating neurodegenerative diseases. These so-called amyloids are therefore explored as biomarkers for the diagnosis of dementia and other disorders, as well as for monitoring disease progression and assessment of the efficacy of the apeutic interventions. Quantification and characterization of amyloids as biomarkers is particularly demanding because the same amyloid-forming protein can exist in different states of assembly, ranging from nanometer-sized monomers to micrometer-long fibrils that interchange dynamically both in vivo and in samples from body fluids ex vivo. Soluble oligomeric amyloid aggregates, in particular, are associated with neurotoxic effects, and their molecular organization, size, and shape appears to determine their toxicity. This concept article proposes that the emerging field of hanopore-based analytics on a single molecule and single aggregate level holds the potential to account for the heterogeneity of amyloid samples and to characterize these particles – rapidly, label-free, and in aqueous solution – with regard to their size, shape, and abundance. The article describes the concept of nanopore-based resistive pulse sensing, reviews previous work in amyloid analysis, and discusses limitations and challenges that will need to be overcome to realize the full potential or amyloid characterization on a single-particle level.

1. Introduction

Neurodegenerative disorders like Alzheimer's disease and Parkinson's disease, as well as other medical conditions like Type II diabetes, are often associated with the presence and activity of toxic protein aggregates known as amyloids (**Table 1**).^[1–6] The incidence, or probability of occurrence, of many of these diseases increases with age.^[5] Today, more than 30 million people worldwide suffer from dementias linked to amyloids, and the World Health Organization predicts that this figure may exceed 100 million by the year 2050 as life expectancies increase.^[7] While these conditions are devastating with regard to patient suffering and impacts on family members and caregivers, neurological amyloidoses are also responsible for more than USD 500 billion in worldwide annual costs.^[7] To **reduce** patient suffering as well as the associated economic burden, research groups across scientific disciplines have investigated strategies to better understand and interfere with the transition from soluble monomeric proteins and peptides into soluble oligomers and, eventually, into insoluble amyloid fibrils and plaques that are hallmarks of neurodegenerative disease.^[8,9]

Amyloid forming proteins often undergo an aggregation process analogous to crystal formation where the generation of a "seed" is the rate-limiting step for the assembly of a large ordered structure.^[10,11] Treatments that are currently in clinical trial target these seeds and their precursors in order to redirect or disrupt downstream aggregate formation at an early stage before irreversible nerve cell damage occurs.^[12] Additionally, there is evidence that the size, shape, and concentration of oligomeric amyloid aggregates determines their toxicity to neurons,^[13,14] and patients with certain amyloidoses have elevated counts of these oligomeric species in their cerebrospinal fluid (CSF).^[15,16] Findings like these suggest that soluble amyloid aggregates may be valuable biomarkers for predicting or monitoring disease progression and may also help to assess the efficacy of therapeutic intervention. There is thus a need for a characterization technique that

provides a comprehensive profile of the individual amyloid particles in bodily fluids like CSF, blood, tears, saliva, or urine.^[17,18]

While a range of techniques is currently being used to characterize amyloids and their aggregation processes (Table 2), amyloid samples remain extremely demanding to analyze and none of the established techniques meets all demands of an ideal analysis method as outlined in Table **3**.^[19–21] For instance, amyloid aggregates are challenging analytes to characterize because they are heterogeneous in size and structure, they rearrange, interchange dynamically and grow over time, and they adhere to various surfaces including tubing and microvials.^[22,23] Methods attempting to characterize ensembles of these heterogeneous aggregates obscure potentially important physical differences between individual macromolecular assemblies.^[24] Conversely, techniques that label or chemically modify individual amyloids vary in their sensitivity and specificity to different morphologies or chemical structures and the modification itself may alter the sample.^[25] Long and extensive sample preparation processes can bias amyloid populations toward stable species and may destroy potentially important transient complexes.^[26] Nonetheless, current approaches to characterize amyloids provide a range of relevant parameters of amyloid aggregates. For instance, methods like transmission electron microscopy (TEM), atomic force microscopy (AFM), and mass spectrometry (MS) create "macromolecular snapshots", providing structural information about proteins and aggregates at a single time point.^[21] Other techniques using fluorescent dyes, tracer molecules such as ¹⁸F-florbetapir, or fluorescently labeled antibodies track aggregation processes in vivo or in vitro to probe the dynamics and formation rates of different complexes.^[27] Some methods focus on defining and detecting amyloid biomarkers that indicate disease predisposition or progression.^[15] It is common to perform various analysis approaches in parallel in order to determine

structural and chemical characteristics of an amyloid sample, though such combinations often require significant financial and technical resources. An ideal technique for amyloid characterization would combine these functionalities to provide rich, rapid, and robust information about single amyloid particles in high-throughput and in a clinical setting without the need for expensive equipment or technical expertise (Table 3).

One emerging technique that may meet several of these demands is resistive pulse-based nanopore sensing, as it is capable of characterizing individual unlabeled particles in aqueous solution. The method was originally developed in the late 1940s for applications on the microscale such as counting and characterizing biological cells.^[28–30] Resistive pulse experiments have now made it possible to probe nanoscale analytes including small molecules,^[31,32] metal ions,^[33] polynucleotides,^[34] nanoparticles,^[35,36], proteins,^[37–40] and amyloids.^[39,41–53] The application of resistive pulse sensing to protein characterization emerged less than 15 years ago and is not as developed as the established methods listed in Table 2, but it combines attractive capabilities that make it a potentially powerful tool for studying amyloids. Some of these benefits, such as the characterization of shapes, volumes, diffusion coefficients, and electrical and mechanical properties of individual proteins and protein complexes, are appealing for fundamental biophysical studies. Other advantages including the ability to extract resistive pulses from single unlabeled molecules and to perform analyses of those resistive pulses in real time, may be clinically useful.^[54] This article focuses specifically on the application of resistive pulse sensing to amyloid-related protein analytes and discusses the concepts and challenges of this application.

2. Principles of Resistive Pulse Sensing

The concept of resistive pulse sensing traces back to the invention of the Coulter counter for blood cells.^[55] Briefly, if two electrolyte-filled reservoirs are connected by a small channel, a difference in electrical potential between the two reservoirs generates a current through the channel. This ionic current is constant at a constant potential difference, but when an insulating particle passes from one reservoir to the other through the channel (i.e. through the sensing volume), it transiently displaces conducting electrolyte and reduces the current to produce a resistive pulse.^[35,56,57]. With regard to the other macromolecules in solution, the method can also be thought of as a transient purification, as it interrogates one particle at a time from bulk solution. Due to high electric field and concomitant fast electrophoretic motion of particles in the pore, the probability of finding two macromolecules in the small sensing volume at the same time is very low, especially when the average duration between particle capture is at least 100-fold longer than the average duration of the resistive pulses. In scenarios where particle concentrations are relatively high (~1 mM or greater) and each particle dwells within the pore for a relatively long duration (~1 ms or greater) the probability of multiple-occupancy events increases and may lead to rare events that must be excluded from analysis.^[58] For protein analysis by resistive pulse sensing, the protein concentrations are, however, typically in the micromolar range or below and the dwell times are typically shorter than 1 ms. "Continuous" resistive-pulses, like those generated when long strands of nucleic acids pass end-to-end through a sensing volume, have a particular set of intricacies that are reviewed elsewhere.^[59-64] Here, we highlight the resistive pulses produced by discrete particles,

which typically have lengths shorter than the length of the nanopore, as these are relevant for the sensing and characterization of amyloid oligomers and short protofibrils.

For resistive pulse sensing at any scale, sensing volumes must be appropriately sized to their target analyte.⁶⁵¹ A particle will not produce a detectable signal if its volume is more than 1000-fold smaller than the sensing volume, and it will not translocate through a sensing volume that it cannot enter due to steric constraints. Particles that do not conform to these size restrictions can still provide indirect information about the system,^[66] but they cannot be analyzed directly within the sensing volume. If the sensing volume is sufficiently large to allow a non-spherical particle to rotate relatively freely, that particle will produce a unique resistive pulse signature that depends not only on its volume, but also on its shape and relative orientation to the electric field (Figure 1).^[38,65] Rotations during transit through the pore cause fluctuations within the resistive pulse that originate from different orientations of a three-dimensional shape in the electric field. The physical basis for this orientation dependence was first explored by Golibersuch^[65] and others^[28,67], who found that rotations of disk-shaped red blood cells passing through a cylindrical channel generated characteristic resistive pulses with distinct minima and maxima. The minimum values (ΔI_{MIN}) corresponded to the cell in its edgewise orientation relative to the channel axis, and the maximum values (ΔI_{MAX}), which were about 2-fold greater in amplitude than the minimum, corresponded to the cell in its crosswise orientation relative to the channel axis (Figure 1b). Fricke^[68] and others^[69] quantified these effects with a physical descriptor, the electrical shape factor y, which depends on the particle's ellipsoidal shape and orientation within a cylindrical sensing volume, and is directly proportional to resistive pulse amplitude. The electrical shape factor is also valid on the nanoscale, though nanometer sized proteins and particles rotate at a rate that is several orders of magnitude

faster than cells and thus require high-bandwidth recording electronics and strategies like surface anchoring^[37] to slow their rotation in order to fully resolve their characteristic fluctuations. Our group recently took advantage of these fluctuations in order to approximate the shape of an individual particle translocating through a nanopore.^[38,70] Furthermore, we used the particle's bias toward certain orientations during its transit through the strong electric field inside of the nanopore (several MV/m) to estimate the net dipole moment of the particle. The most probable speed at which the particle transitions between these orientations is proportional to its bulk rotational diffusion coefficient, and the amount of time that a particle occupies the sensing volume, also referred to as its dwell or residence time, is a function of the particle's lateral diffusion coefficient and is inversely proportional to its net charge. Measured simultaneously, these five parameters – shape, volume, charge, rotational diffusion coefficient, and dipole moment - define a high-content multidimensional "fingerprint" of an unlabeled particle that helps to characterize, and discriminate between, particles in a mixture.^[38]

Resistive pulse sensing can also probe the conformational variability of particles.^[40,71,72] When a particle is sterically constrained within a small sensing volume, the resistive pulse associated with that particle's translocation provides information about the particle's conformational variability.^[40,71,72] In the case of proteins, this information appears as fluctuations in amplitudes between multiple resistive pulses (Figure 1d) and has been attributed to differences in secondary structural composition (e.g. β -sheet to α -helix ratio).^[40,71,72] Resistive pulse experiments, in principle, may also make it possible to monitor the interactions of particles or amyloid-forming molecules with soluble species. For instance, our group used a nanopore to monitor immunoprecipitation and to determine the binding affinity of an antibody to the surface of a virus particle by relating resistive

pulse amplitudes over time to the number of binding sites occupied on the particle. In these approaches, each binding event increased the aggregate volume, and thus the magnitude of the resistive pulse, by a constant increment.^[73–75] Likewise, Si *et al.* showed that denatured proteins produce different resistive pulses than their native protein counterparts, and that the differences correspond to unfolding processes.^[38,76] Each of these applications of resistive pulse sensing provides different information about individual particles in aqueous solution and about their time-dependent changes in response to various stimuli. **Table 4** summarizes the attributes that nanopore-based analysis can bring to amyloid characterization.

3. Amyloid Characterization with Biological Nanopores

Biological nanopores range from ion channels to assemblies of pore-forming toxins^[77,78] and their biological function is to facilitate or regulate the passage of polar molecules, ions, or peptides across deli membranes.^[79] With regard to resistive pulse sensing, biological nanopores are appealing as their sensing volumes are often defined with atomic precision and they can be produced in large quantities through bacteria fermentation or cell culture followed by purification.^[80] Due to their extremely small sensing volumes in pore lumens with diameters smaller than 4 nm (**Figure 2**), biological nanopores are particularly well-suited to sensing small analytes, including proteins smaller than 30 kDa as well as single-stranded and double-stranded DNA or RNA.^[81–83] Furthermore, several of these protein based nanopores can be engineered by site-directed mutagenesis to integrate

desired properties such as specific binding sites or residues that modify the electrostatic landscape

in the pore lumen.^[31,84,85]



3.1. Resistive Pulses to Interrogate Enzymatic Cleavage of Amyloid-Forming Peptides

Two key research developments facilitated the nanoscale application of resistive pulse counting with biological nanopores: inhibiting the voltage-dependent nature of ion channel proteins,^[32,69,87] and limiting the rate at which biomolecules of interest transit the lumen of the pore.^[88,89] These developments enabled seminal work by Berzrukov and Kasionowicz, who used the pore from a toxin (*Staphylococcus aureus*) to measure protonation rates and to discriminate between protons and deuterons.^[86,87] Kasianowicz *et al.* were the first to demonstrate the detection of individual polynucleotide molecules using the pore α -hemolysin,^[90] and Berzrukov *et al.* showed that dwell times of certain polyethylene glycol (PEG) molecules were more than 1000-fold longer than values predicted by 1D diffusion because the molecules reversibly bind within the nanopore;^[88] a formal theory later proposed by Lubensky and Nelson helped to explain these polymer-pore interactions.^[91] This body of research established biological nanopores as resistive pulse sensors, and biological nanopores have since made it possible to detect not only polymers, but also small molecules,^[61] and amyloid-forming peptides.

The first report of characterizing an amyloid-related peptide with biological nanopores focused on the amyloid- β (A β) peptide segment A β_{10-20} .^[42] (Note here that A β refers to a peptide involved in Alzheimer's disease that typically contains between 37 and 43 amino acids, and we denote the particular segment of A β using subscripted numbers.) By modifying the interior of an α -

hemolysin pore to contain additional aromatic binding regions, Zhao *et al.* prolonged the residence times of $A\beta_{10-20}$ and other peptides rich in aromatic residues. They related residence times and resistive pulse amplitudes of the peptides to binding affinities within the nanopore, and demonstrated that nanopores could be used to determine the presence or absence of various small peptides in a mixture.^[41] This setup also allowed Zhao and colleagues to monitor trypsin-catalyzed cleavage of $A\beta_{10,20}$. Cleavage of proteins like amyloid precursor protein (APP) by β - and γ -secretases plays a role in downstream amyloid formation and thereby possibly influences diseases like Alzheimer's and Parkinson's.^[92] These results demonstrated that nanopores can provide information about the kinetics of enzymatic cleavage of amyloids without the need to label the amyloids.^[41]

3.2. Interactions between Amyloid-Forming Peptides and Molecules that Modulate Aggregation

Experiments with biological nanopores can investigate interactions between small molecules and amyloid forming peptides. Hai-Yan Wang *et al.* studied the influence of aggregation promotors like β -cyclodextrin and aggregation inhibitors like Congo red on the aggregation kinetics of A β . To this end, the authors followed aggregation over time by monitoring the frequencies of resistive pulses, which are related to particle concentration, and the amplitudes of resistive pulses, which are related to particle size.^[43] A similar assay revealed the influence of copper (Cu²⁺) ions on the conformation of A β_{1-16} peptide and the rate at which it formed oligomers. Human A β_{1-16} had stronger and longer lasting interactions with Cu²⁺ compared to the rat variant of A β_{1-16r} , likely because of a single amino acid difference (human HIS-13 versus rat ARG-13).^[93] Wang *et al.* proposed that HIS-13 plays a role in metal-induced aggregation and could therefore be a potential therapeutic target.^[94]

context of therapeutic approaches to inhibit aggregation, and it can reveal structure-function relationships for the full-sequence peptide. For instance, the A β_{25-35} peptide has a β -sheet structure and forms aggregates, but its inverted sequence A β_{35-25} takes on a random coil structure and does not exhibit neurotoxicity.^[95] Hu *et al.* found that A β_{25-35} produced large current blockages due to its extended β -sheets and its translocation events became less frequent with time, suggesting that it was aggregating into complexes too large to enter the sensing volume of the α -hemolysin nanopore.¹⁴⁴ Solutions of A β_{35-25} , on the other hand, generated smaller and shorter blockage events than those of A β_{25-35} , and frequencies of A β_{35-25} translocation events were consistent over time, suggesting that the β -sheet motif could be detected using a nanopore and likely plays a role in aggregation.^{149]}

3.3. Investigations of Amyloid-Forming Peptides other than $A\beta$

Most detection and characterization of amyloids with biological nanopores has focused on the A β peptide. As Table 1 shows, there are more than 30 amyloid-forming peptides or proteins that are associated with human disorders, including prion protein (PrP) in the spongiform encephalopathies, huntingtin protein in Huntington's disease, and α -synuclein (α -Syn) in Parkinson's disease.^[5] One reason that A β has been popular in research with biological nanopores thus far is its small (4.5 kDa) molecular weight; many other amyloid-forming proteins are too large in their natively folded conformation to fit through the small confines of a biological nanopore. Some proteins, like α -Syn, are natively unfolded and can pass through the nanopore as a single strand,^[46] while large globular proteins can be denatured in a solution of 5 M Guanidinium HCl and passed through the sensing volume in a mostly unfolded state.^[44] Jeremy Lee's research group employed

this denaturation strategy and reported distributions of current blockades for different prion proteins, as well as for A β and α -Syn.^[44,96–98] Despite these approaches, the large size of some amyloid-forming proteins or their aggregates remains a major challenge to their characterization with biological pores, especially when the native structure of the protein or amyloid particle is of interest. At present, no biological pore can accommodate an intact amyloid oligomer with a diameter larger than 4 nm. Some research groups have begun to engineer protein-based nanopores with larger sizes than natural pores,^[85,99,100] while others have chosen to fabricate and use synthetic nanopores in different sizes and materials.

4. Amyloid Detection and Characterization with Synthetic Nanopores

Synthetic nanopores with custom diameters in the range from 1 nm to 100 nm facilitate the detection and analysis of larger biomolecules including natively-folded proteins^[101] and double-stranded DNA.^[102-104] Typically, these sensing volumes are fabricated by generating holes with nanometer-scale diameters in thin (less than 100 nm) insulating membranes (**Figure 3**). Manufacturing techniques for nanopore formation include dielectric breakdown,^[105] TEM drilling,^[106] helium ion microscope drilling,^[107] capillary shrinking,^[108] and gold particle heating,^[109] while substrates range from silicon,^[110] silica (glass),^[111] silicon nitride,^[102] MoS₂,^[112] HfO₂,^[113] and graphene.^[114] Synthetic nanopores of all sizes and materials still suffer from two critical drawbacks:

not adhere to the substrate -- they transit the nanopore too quickly such that the majority of them cannot be detected by conventional electrical recording equipment.^[37,115]



4.1. Lipid Bilayer Coatings Allow Measurements of Amyloids with Synthetic Nanopores

In response to these problems, several research groups in the synthetic nanopore field have focused on creating anti-adhesive coatings.^[37,116] One such coating introduced by our group -- fluid lipid bilayers - prevents unwanted adhesion and slows protein transit through the nanopore by anchoring proteins to activated lipids.^[117] To this end, we coated a silicon nitride nanopore with a supported lipid bilayer in order to characterize $A\beta_{1.40}$ peptide in the first application of a synthetic nanopore to an amyloid-related protein.^[37,39] This work quantified the formation of four distinct $A\beta_{1.40}$ aggregates over the course of a 72-hour aggregation period: spherical oligomers, short protofibrils, tone protofibrils, and amyloid fibers. The event frequency of each species, which is a measure of their abundance, reflected the extent of aggregation; spherical oligomers gradually became tess frequent as they grew in size while resistive pulses from protofibrils and mature fibers increased in frequency over time.

Coatings derived from materials other than lipid bilayers can also reduce unwanted adhesion. Rui *et al.* investigated α -Syn aggregation using synthetic nanopores coated with polysorbate 20 (Tween 20). This work characterized four different oligomeric species, and investigated the impact of small unilamellar vesicles (SUVs) containing certain lipids on the rates of aggregation of α -Syn.^[52] Similarly, Giamblanco *et al.* functionalized nanopores with polyethylene

glycol (PEG-5k) chains in order to study the aggregation kinetics and fibril sizes of amyloid particles comprised of lysozyme and other model proteins.^[53]



4.2. Model Proteins that Form Fibrils Demonstrate Assembly Processes in Nanopores

Because of difficulties with the preparation or analysis of amyloid samples,^[22] several groups have chosen to investigate proteins like lysozyme or bovine serum albumin (BSA) that readily aggregate into amyloid-like fibrils but are not necessarily pathogenic to humans. In an attempt to develop a system that relates a population of resistive pulse amplitudes and durations to a concentration profile of amyloid (proto)fibrils, Martyushenko *et al.* monitored the aggregation process of lysozyme into long fibrils using glass nanocapillaries.^[48] The authors performed the experiments at pH 2.0 in order to prevent adhesion to the glass substrate and determined a distribution of aggregates that they compared with results from simulations.^[48] Balme *et al.* expanded upon this research with lysozyme fibrils and focused on the effects of protein adhesion to the surface of a silicon nitride nanopore; these authors mitigated adhesion by treating the nanopore with concentrated sulfuric acid directly before experiments. The authors then extracted distinct populations of lysozyme oligomers from the distribution of resistive pulse amplitudes and correlated those particular populations with individual monomer additions.^[50]

4.3. Investigations of Prion Protein with Synthetic Nanopores

Li *et al.* took advantage of the large volumes of synthetic nanopores to characterize and compare a range of commonly available proteins like BSA and IgG₁ antibody as well as human prion

protein (PrP).^[47] This report represented the first measurements of native PrP with a synthetic nanopore. The experiments were hampered by transient protein adhesion to the glass substrate, but nonetheless revealed differences in dwell times and pulse amplitudes between standard proteins and PrP; the authors related these differences to protein structure and aggregation processes.^[47] In general, synthetic nanopores enable direct monitoring of changes in the populations of oligomeric species and of large natively-folded monomers (**Figure 4**). Recent years indicate a shift toward synthetic nanopores for amyloid sensing (**Table 5**), and we expect this trend to continue with the development of low-noise recording setups,^[118] high-bandwidth recording equipment,^[119] and advanced surface coating technologies^[120,121] that may selectively bind amyloids. Because of their single molecule sensitivity and broad size range, synthetic nanopores may ultimately provide insight into the ways in which these amyloid-forming proteins aggregate as well as into their structure.

5. Challenges and Outlook

Given the development and commercialization success of nanopore-based DNA and RNA sequencing over the past 20 years,^[58,122-126] it is clear that resistive pulse sensing provides exciting opportunities as a bioanalytical method on the nanoscale. Applications of the technique to protein-based analytes, however, have not yet fully realized this potential. For example, one of the most compelling aspects of resistive pulse sensing – analyzing an individual resistive pulse to determine the physical characteristics of the unique particle that produced it – has yet to be fully exploited on amyloid targets. All of the work in Table 5 measured resistive pulses resulting from the

translocations of single amyloid particles but performed subsequent analyses on populations of resistive pulses. Furthermore, these studies typically reduced resistive pulses to two quantities, amplitude and dwell time, before clustering those data into groups to generate high-level comparisons about aggregation rates and distributions of aggregate sizes. These analyses produced insights into processes of amyloid aggregation and the size of aggregates, but they overlooked rich information about relevant physical properties of individual amyloid particles such as shape, dipole moment, or conformational variability. In order to take full advantage of this detailed single-amyloid information in a way that may have clinical usefulness, nanopore sensors must first overcome several challenges summarized in **Table 6**.

Diagnostic characterization of a patient's amyloid profile with nanopores requires investigation of complex biological solutions like blood or CSF. A fundamental challenge of applying label-free single molecule techniques to such samples is the ability to discriminate between a few analytes of interest and a large concentration of background molecules. Purification techniques like filtration, size exclusion, or affinity chromatography can remove most of these background molecules, but they prolong analyses and add complications that can limit usefulness. For instance, the presence of interfaces as well as changes in pH or ionic strength during these procedures may influence the amyloid aggregation state in the sample. Direct analysis of complex samples without purification is possible when employing target-specific detection labels.^[127] Amit Meller's group simultaneously monitored optical and electrical signals of a strand of fluorescently labeled DNA transiting a nanopore,^[128] and a similar approach may allow for selective resistive pulse analyses in complex protein samples. Optical methods can even replace electrical measurements to monitor ionic current through a nanopore, as has been shown with calcium-flux sensing on nanopore

arrays.^[71,129–132] The challenge is, however, to collect a sufficient number of photons during the short-lived dwell times (µs) of proteins through nanopores. Solutions may emerge from sensing volumes themselves, as they can also be engineered to interact specifically with a target analyte. Binding sites designed inside or around biological nanopores enhance detection of target molecules through transient binding,^[133] and synthetic nanopores with fluid lipid bilayer coatings can concentrate specific molecules around the surface of the pore by incorporating lipid anchors with binding sites into the coating.^[37] But even when applying techniques to improve specificity, resistive pulse sensing with a single nanopore is still inherently a serial process and profiling the individual molecules in a non-purified mixture may require long recording times. This limitation can be addressed through parallelization as shown by Oxford Nanopore Technologies[™] with their recent nanopore-based DNA sequencing devices that record data from hundreds of nanopores independently and simultaneously.^[134] Meanwhile, novel integrated CMOS current amplifiers combined with nanopore chips with low electrical capacitance will continue to improve the signal-tonoise ratio of high-bandwidth current recordings and provide more detailed and accurate insights from the translocations of single particles than the electrical setups currently available.^[119] Fast and high-fidelity data acquisition requires robust data processing, and improvements in recording equipment have prompted a trend toward applying machine learning algorithms to resistive pulsebased data, including deep learning by neural networks.^[135,136] Amyloid characterization with nanopores will also benefit from further development and optimization of sensing volumes. While biological pores are currently limited to diameters less than 4 nm, engineered protein pores^[99,100] as well as DNA origami channels^[137] might extend the range of potential analyte sizes. Novel coating strategies taking inspiration from nature^[120,138] can overcome unwanted adhesion issues for

synthetic pores, while fabrication techniques like dielectric breakdown^[105] or laser-assisted nanopore formation^[139] can quickly produce single-use pores without the need for sophisticated equipment.

Few if any, techniques can quickly identify, quantify, and characterize individual unlabeled proteins or protein aggregates in a complex aqueous sample.^[140] Because nanopores can probe multiple physical parameters of individual particles in solution, we suggest that they are compelling candidates for an analytical platform technology that makes it possible to detect and characterize amyloid aggregates. We hope that the studies summarized here represent the initial steps toward a rapid and robust amyloid characterization platform using nanopores. If solutions to the challenges above can be incorporated into a single device, we propose that nanopore-based single particle analysis has the potential to improve the diagnosis of neurodegenerative diseases. Ultimately, nanopore-based amyloid characterization may enable monitoring of neurodegenerative diseases progression using microliter volumes of patient samples in a rapid, low-cost, and broadly accessible format that can be applied routinely and longitudinally to an ever increasing aging population. The insights gained from such population-based monitoring may help to accelerate the development of new therapies against those diseases.



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grant number 350509-N016133), the Swiss National Science Foundation (M.M. grant number 20021-169304) and a Graduate Research Fellowship from the United States National Science Foundation (J.H.). The authors would like to thank Thomas B.H. Schroeder for thoughtful discussions and insight related to the article. Received: ((will be filled in by the editorial staff)) Revised: ((will be filled in by the editorial staff)) Published online: ((will be filled in by the editorial staff)) References [1] J. Hardy, D. J. Selkoe, science 2002, 297, 353. F. Chiti, C. M. Dobson, Annu. Rev. Biochem. 2006, 75, 333. [2] T. P. Knowles, M. J. Buehler, Nature nanotechnology 2011, 6, 469. [3] C. Haass, D. J. Selkoe, Nature reviews Molecular cell biology 2007, 8, 101. [4] [5] D. Eisenberg, M. Jucker, Cell 2012, 148, 1188. C. Ballard, S. Gauthier, A. Corbett, C. Brayne, D. Aarsland, E. Jones, Lancet 2011, 377, 1019. [6] [7] W. H. Organization, Dementia: A Public Health Priority., World Health Organization, 2012. J. J. Yerbury, L. Ooi, A. Dillin, D. N. Saunders, D. M. Hatters, P. M. Beart, N. R. Cashman, M. R. [8] Wilson, H. Ecroyd, Journal of neurochemistry 2016, 137, 489. [9] T. L. Spires-Jones, J. Attems, D. R. Thal, Acta neuropathologica 2017, 134, 187. [10] R. Nelson, M. R. Sawaya, M. Balbirnie, A. Ø. Madsen, C. Riekel, R. Grothe, D. Eisenberg, Nature 2005, 435, 773.

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Author

Tables and Figures

Table 1: Peptides and proteins that form amyloid aggregates in human disease. Several of these amyloids have yet to be thoroughly characterized with respect to structure.

Name of Peptide or Protein Amino Acids ^a		Secondary Structure ^b	Associated Diseases	Relative Global Prevalence ^c	Number of Biomarker Publications ^d	
Amyloid-β Peptide (Aβ)	40 or 42	Intrinsically Disordered	Alzheimer's Disease Hereditary Cerebral hemorrhage with Amyloidosis	Common	1,284	
α-Synuclein (α-Syn)	140	Intrinsically Disordered	Parkinson Disease (with/without Dementia) Dementia with Lewy Bodies Multiple System Atrophy	Common	139	
Prion Protein (PrP)	208 Disc 208 10 hel	Intrinsically Disordered (1- 102), All α-	Creutzfeldt-Jakob disease Fatal insomnia Gerstmann-Sträussler-Scheinker disease Huntington disease–like 1	Very Rare	26	
		helix, prion- like (103-208)	Spongiform encephalopathy New variant Creutzfeldt-Jakob disease Kuru Hereditary sensory and autonomic neuropathy			
Microtubule- associated Protein Tau (τ)	352-441	Intrinsically Disordered	Pick disease Progressive supranuclear palsy Corticobasal degeneration Frontotemporal dementia w parkinsonism linked to chr17 Argyrophilic grain disease Tangle predominant dementia Guam Parkinson dementia complex Frontotemporal lobar degeneration	Common	1,018	

			Chronic traumatic encephalopathy		
			Ganglioglioma		
			Meningioangiomatosis		
			Subacute sclerosing panencephalitis		
			Lead encephalopathy		
			Tuberous sclerosis		
			Hallervorden-Spatz disease		
			Lipofuscinosis		
Huntingtin Exon 1	~103-187	Intrinsically Disordered	Huntington Disease	Moderate	15
Abri Peptide	34	Intrinsically Disordered	Familial British Dementia	Very Rare	0
Adan Peptide	34	Intrinsically Disordered	Familial Danish Dementia	Very Rare	0
Fragments of Immunoglobulin Light Chains	~100	All β-sheet, Ig-like	Light-Chain Amyloidosis	Rare	3
Fragments of Immunoglobulin Heavy Chains	(-190	All β-sheet, Ig-like	Heavy-chain Amyloidosis (Renal)	Rare	0
Full or N-term Fragments of Serum Amyloid A Protein (SAA)	45-104	All-α, SAA- like four-helix bundle	AA Amyloidosis	Rare	452
Transthyretin (TTR)	127	All β-sheet, prealbumin- like	Senile Systemic Amyloiosis Familial Amyloidotic Polyneuropathy Familial Amyloid Cardiomyopathy Leptomeningeal Amyloidosis	Rare	53
β2-microglobulin (β2- m)	99	All β-sheet, Ig-like	Dialysis-related Amyloidosis Hereditary Visceral Amyloidosis	Rare	8
N-term Fragments of Apolipoprotein A-I (ApoAI)	69-100	Intrinsically Disordered	ApoAI Amyloidosis	Rare	14
C-term Extended Apolipoprotein A-II (ApoAII)	98	Unknown	ApoAll Amyloidosis (Renal)	Rare	2
N-term Fragments of Apoloipoprotein A-IV	K	Unknown	ApoAIV Amyloidosis	Rare	3
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(ApoAIV)

Apolipoprotein C-II (ApoCII)	79	All α-helix, unknown fold	ApoCII Amyloidosis (Renal)	Rare	0
Apolipoprotein C-III (ApoCIII)	79	All α-helix, unknown fold	ApoCIII Amyloidosis (Renal)	Rare	3
Fragments of Gelsolin	53 or 71	Intrinsically Disordered	Familial Amyloidosis, Finnish Type	Very Rare	6
Lysozyme (LYS)	130	α-helix + β- sheet, lysozyme fold	Lysozyme Amyloidosis (Visceral)	Rare	8
Fragments of Fibrinogen α-Chain	45-81	Unknown	Fibrinogin Amyloidosis (Renal)	Rare	27
N-term Truncated Cystatin C	110	α-helix + β- sheet, cystatin-like	Hereditary Cerebral Hemorrhage with Amyloidosis, Icelandic type	Very Rare	21
Islet Amyloid Polypeptide (IAPP)	37	Intrinsically Disordered	Type II Diabetes Insulinoma	Common	8
Calcitonin	32	Intrinsically Disordered	Medullary Carcinoma of the Thyroid	Moderate	0
Atrial Natriuretic Factor (ANF)	28	Intrinsically Disordered	Atrial Amyloidosis	Common	0
N-term Fragments of Prolactin (PRL)	34	Unknown	Pituitary Prolactinoma	Moderate	2
Insulin	(30+21)	All α-helix, insulin-like	Injection-localized amyloidosis	Very Rare	61
Medin	50	Intrinsically Disordered	Aortic Medial Amyloidosis	Rare	0
Lactotransferrin (Lactoferrin)	691	α-helix + β- sheet, periplasmic binding protein-like II	Gelatinous drop-like Corneal Dystrophy	Rare	1
Odontogenic Ameloblast- Associated Prote in (ODAM)	110-118	Unknown	Calcifying Epithelial Odontogenic Tumors	Rare	1
Pulmonary Surfactant- Associated Protein (SP-C)	35	All α-helix, transmembra ne helical fragment	Pulmonary Alveolar Proteinosis	Rare	1
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Leukocyte Cell- Derived Chemotaxin- 2	133	All β-sheet, barrel- sandwich hybrid	Renal Amyloidosis	Rare	0
Galectin 7 (Gal-7)	136	All β-sheet, concanavalin A-like lectins	Lichen Amyloidosis, Macular Amyloidosis	Rare	0
Corneodesmosin (CDSN)	167, 182, 206	Intrinsically Disordered	Hypotrichosis Simplex of the Scalp	Very Rare	0
C-term Fragments of Kerato-Epithelin (βig- h3)	50-200	Unknown	Lattice corneal dystrophy, type 1 Lattice corneal dystrophy, type 3A Lattice corneal dystrophy, Avellino type	Common	0
Semenogelin-1 (SGI)	439	Unknown	Seminal Vesicle Amyloidosis	Moderate	0
Protein S100A8/A9	92 or 113	All α-helix, EF hand-like	Prostate Cancer	Common	8
Enfuvirtide	36	Unknown	Injection-localized amyloidosis	Very Rare	0

^a The total number of amino acids corresponds to the protein or peptide species that forms amyloids.

^b Secondary structures are determined from the Structural Classification of Proteins (SCOP) database.

^c Estimates of relative global prevalence estimates are approximate and binned as follows: "Very Rare" corresponds to less than ten thousand cases globally, "Rare" corresponds to a maximum of one hundred thousand cases globally, "Moderate" represents a maximum of one million cases globally, and "Common" represents more than one million cases globally.

^d Numbers of publications discussing each protein or peptide as potential biomarkers were gathered via Web of Science using an advanced search to find articles containing the respective protein or peptide name AND the word "biomarker" AND the word "amyloid".

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 Table 2: Comparison of different characterization techniques for amyloid samples.



Type of Technique Applicable to these Species of Amyloid

Structural Resolution

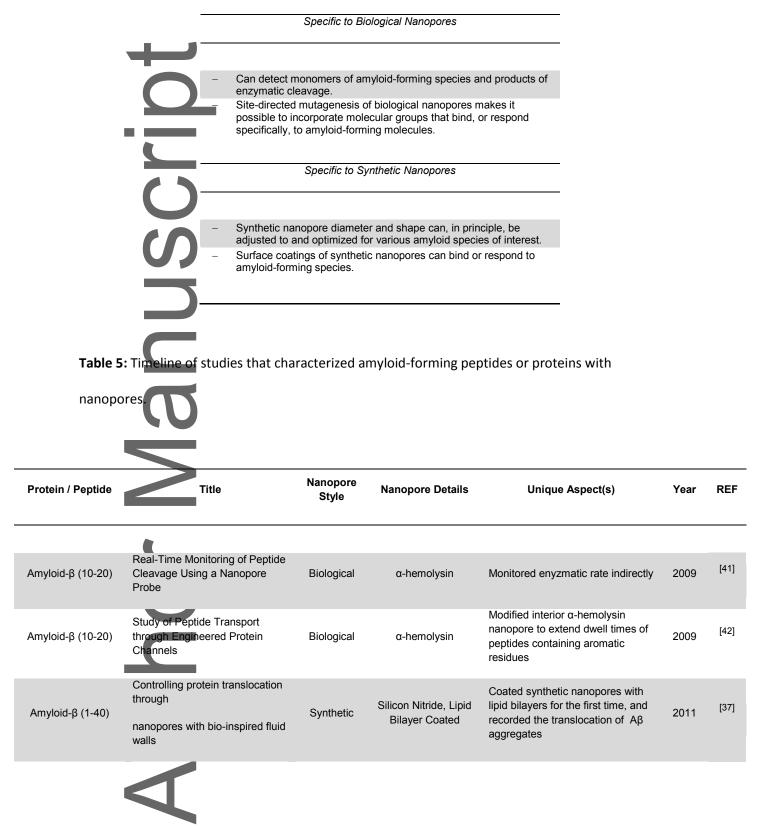
þ	Ensemble Imaging Correlation Single Mol.	Fibril Protofibril Large Oligo. Small Oligo. Monomer	Assembly Size Distrib. Morphology Tertiary and Quarternary Secondary Atomic	
Biological Nanopores	+	+ +°	+ +	* ** Y
Synthetic Nanopores	+	+ + + +	+ +	* *** Y
lon mobility spectroscopy mass spectroscopy (IMS-MS) ^[20,21,141]	+	+ + +	+	** ** N
Dynamic Light Scattering ^[21]	+	+ + + +	+	* ** Y
Fluorescence correlation spectroscopy (FCS)	+ +	+ + + +	+	** *** Y
Transmission Electron Microscopy [20,21,26,142,143]	+	+ + + +	+ +	*** *** N
Scanning Tunnelling Microscopy [21,141]	+	+ + + +	+ +	*** *** N
Atomic Force Microscopy ^{[20,21,142,143][#]}	+	+ + + +	+ +	** *** Y
Super Resolution Microscopy	+	+ + + +	+ +	** ** Y
Birefringence [21,26]	+	+	+	* * N
Fluorescent Binders ^[20,21,26,142,143]	+ +	+ +	+	* * Y
Fluorescence Spectroscopy [21,26,143]	+	+ + + + +	+ +	* * Y
Fluorescence Microscopy ^[20,21]	+	+ + + + +	+ +	* ** Y
Solution State NMR ^[20,21,141,143]	+	+ + +	+	*** *** Y
Solid State NMR ^[20,21,142,143]	+	+ +	+	*** *** N
X-Ray Absorption spectroscopy ^[21]	+	+ + + + +	+	*** *** N
Circular Dichroism Spectroscopy ^[21,143]	+	+ + + +	+	* ** Y
Fourier Transform Infrared Spectroscopy [21,26,142,143]	+	+ + + + +	+	** ** Y
Neutron Scattering ^[21,141]	+	+ +	+	*** *** N
X-Ray Fiber Diffraction ^[20,21,26,142,143]	+	+ +	+	*** *** N
Electron Spin Resonance [21]	+	+ + + + +	+	** ** N
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Hydrogen Deuterium Exchange ^[21]	+	+ +	+	+ +		+		* *	* *	Ν
Limited Proteolysis ^[21,141]	+	+ +	+	+ +		+		* *	* *	Ν
Gel Electrophoresis ^[20,21,141]	+	+ +	+				+	*	*	Ν
PICUP ^[21,141]	+	+ +	+				+	*	*	Ν
Size Exclusion Chromatography [20,21,141,143]	+	+ +	+	+			+	*	*	Y
Analytical Ultracentrifugation [21]	+	+ +	+	+			+	* *	* *	Ν
* One star corresponds to minimal resources or expertise, and three stars correspond to extensive resources or expertise. * "Y", or yes, represents continuous measurements, and "N", or no, represents a snapshot measurement. * There is potential to characterize small oligomers using a large biological pore.										
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Table 3: Characteristics of an ideal next-generation method for characterizing amyloid aggregates







Amyloid-β (1-42)	Nanopore Analysis of β-Amyloid Peptide Aggregation Transition Induced by Small Molecules	Biological	α-hemolysin	Compared aggregation kinetics of $A\beta$ in the presence of aggregation inhibitors and promotors	2011	[43]
Amyloid-β (1-40, 1- 42), α-Synuclein, Prion Protein (PrP) Human and Bovine	Nanopore analysis: An emerging technique for studying the folding and misfolding of proteins	Biological	α-hemolysin	Investigated a large group of amyloid-forming proteins with a nanopore, denatured larger proteins to fit	2012	[44]
Amyloid-β (1-40)	Single-Particle Characterization of Aβ Oligomers in Solution	Synthetic	Silicon Nitride, Lipid Bilayer Coated	Monitored the aggregation of Aβ and classified four species of aggregates	2012	[39]
Amyloid-β (1-16) , Human and Rat	Investigation of Cu2+ Binding to Human and Rat Amyloid Fragments Aβ (1–16) with a Protein Nanopore	Biological	α-hemolysin	Related interactions between peptides and metal ions to specific amino acid differences between peptide variants	2013	[45]
α-Synuclein	Analysis of a single a-synuclein fibrillation by the interaction with a protein nanopore	Biological	α-hemolysin	Analyzed α-Synuclein with a protein nanopore, quantified aggregate-promoting interactions with lipid bilayers	2013	[46]
Prion Protein (PrP)	Single Protein Molecule Detection by Glass Nanopores	Synthetic	Glass Nanocapillary	Compared size distribution and translocation times of PrP with other model proteins	2013	[47]
Lysozyme	Nanopore analysis of amyloid fibrits formed by lysozyme aggregation	Synthetic	Glass Nanocapillary, Low pH	Correlated the length of amyloid fibrils with residence times within a nanocapillary, verified results with simulations	2015	[48]
Amyloid-β (25-35, 35-25)	Single molecule study of initial structural features on the amyloidosis process	Biological	α-hemolysin	Observed differences in conformation and aggregation rate of two identically sized peptides	2016	[49]
Lysozyme, Avidin and IgG	Influence of Adsorption on Proteins and Amyloid Detection by Silicon Nitride Nanopore	Synthetic	Silicon Nitride, Acid Pre-Cleaning	In-depth analysis of the impact of adhesion on the dwell times of three amyloid-forming proteins. Correlation of blockades with monomer additions	2016	[50]
Amyloid-β (1-40)	A novel device of array nanochannels integrated electrochemical detector for detection of amyloid β aggregation and inhibitor screening	Synthetic	Nanochannel Array, IR Measurements	Used a parallel array of nanopores coated with $A\beta$ to monitor the aggregation kinetics of $A\beta$ in solution based on increased resistance	2016	[51]
α-Synuclein	Intrinsic and membrane- facilitated α-synuclein oligomerization revealed by label-free detection through solid-state nanopores	Synthetic	Silicon Nitride, Tween20 Coated	Measured the aggregation kinetics of α -Syn, determined four distinct oligomeric species, monitored aggregation in the presence of different lipid membranes	2016	[52]
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Specific to Synthetic Nanopores	Specific to Synthetic Nanopores		
Strong, non-specific interactions with the nanopore wall can lead to artifacts in the characterization of amyloids and to clogging of the pore.	-	Generate surface coatings with desired properties.	
Large current noise at high bandwidth from electrical capacitance in silicon substrates.	-	Fabricate nanopores in glass (silica) or other substrates with low capacitance.	
Limited access to high-quality nanopores with desired characteristics like dimensions, geometry, surface chemistry, and amenity to surface coating.	-	Improve fabrication and characterization methods for synthetic nanopores.	
<u> </u>			

Figure 1: Cantoons relating the passage of different particles through a sensing volume to resistive pulses for particles with different volume, shape, aggregation state or conformational flexibility. a) An electrical potential applied across the pore creates a constant ionic current, and particles passing through the sensing volume produce resistive pulses proportional to their volume.^[55] b) Translocation of spherical particles through cylindrical nanopores produces square-shaped resistive pulses, and the duration of translocation events is proportional to their electrophoretic mobility. Brownian rotation of non-spherical particles modulates the ionic current through the sensing volume depending on their orientation within the pore. The minimum (ΔI_{MIN}) and maximum (ΔI_{MAX}) blockade values can be used to estimate shape, dipole moment, and rotational diffusion coefficient of the particle.^[38] of Two or more particles bound together produce a larger resistive pulses from bound to unbound particles is related to binding affinities.^[73,75] d) Variations in particle structure may be revealed when comfined or compressed within a sensing volume depending on the conformational stability of the molecule or molecular complexes. Less-flexible particles (σ_{FUEX}).^[40]

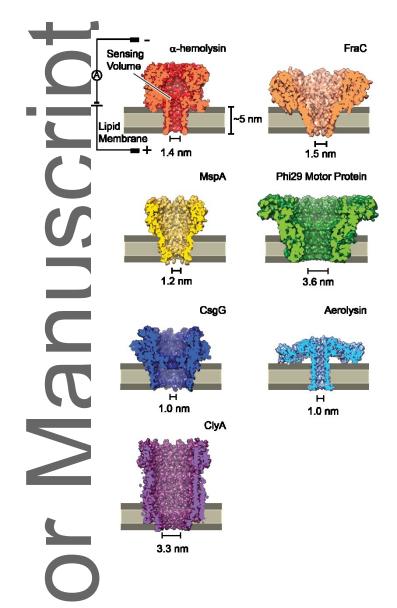


Figure 2: Cross-sections of biological nanopores used in resistive pulse sensing. These illustrations show the unique high-resolution structures and sizes of these proteins, which connect two electrolyte-filled chambers across a lipid membrane. As shown for α -hemolysin, protein pores insert into lipid bilayer membranes (grey) and conduct a constant ionic current if a constant voltage is applied across the membrane. Scale bars show the narrowest constriction of each sensing volume

and were measured from high-resolution structures using Chimera software with the following codes from the protein data bank: α -hemolysin (7AHL), FraC (4TSY), MspA (1UUN), Phi29 Motor Protein (1JNB), α -aerolysin (5JZT), CsgG (4UV3), and ClyA (2WCD).

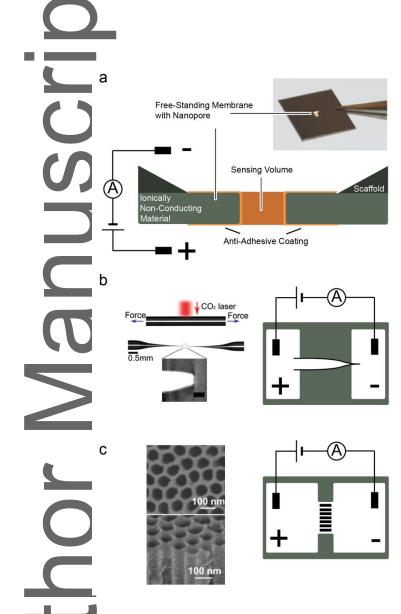
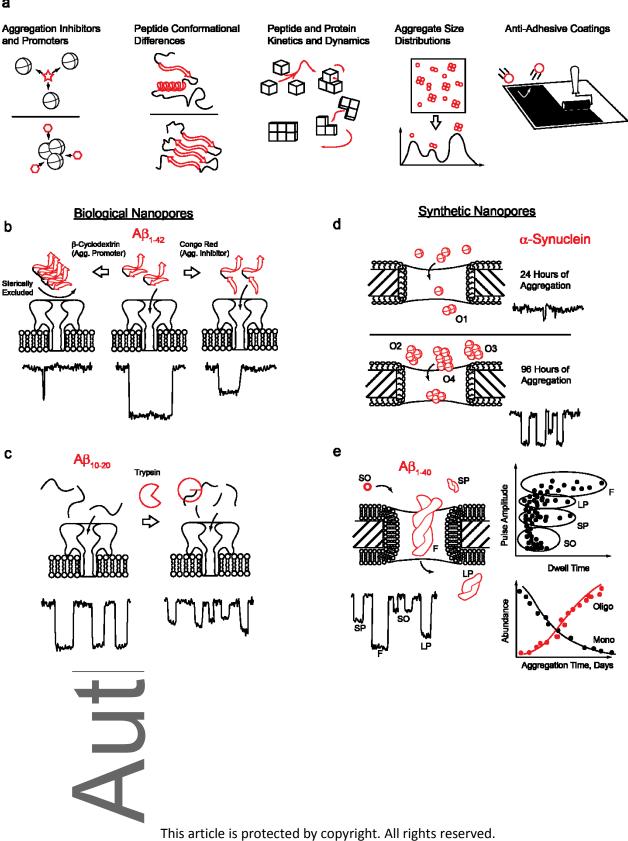


Figure 3: Schematics showing examples of synthetic nanopore designs used for amyloid

characterization. a) Image of a silicon scaffold supporting a free-standing silicon nitride membrane,

and cross section of a nanopore in a free-standing membrane with an anti-adhesive coating. b) Glass capillary tubes can be locally heated and mechanically stretched to terminate in hollow tips with nanometer diameters. The terminal tips then act as a sensing volume that connects two electrolyte-filled reservoirs. Adapted under the terms and conditions of the Creative Commons Attribution 3.0 Unported Licence^[48]. Copyright 2015, The Royal Society of Chemistry. c) Scanning electron microscopy (SEM) images of a membrane containing parallel nanochannels used to connect two reservoirs. The membrane was functionalized with amyloid-forming peptides, and the ionic current through the membrane slowly declined as peptides aggregated and occluded the channels. Adapted with permission.^[51] Copyright 2016, Elsevier.

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Figure 4: Cartoons summarizing various approaches for the analysis of amyloid-forming peptides with nanopores. a) Biological nanopores have been used to investigate interactions of amyloid forming peptides with aggregation promotors or inhibitors as well as to evaluate conformational differences between peptides. Synthetic nanopores have determined size distributions of aggregates over time, by employing a variety of anti-adhesive coatings. Both biological and synthetic pores have been used to determine aggregation rates of amyloids. b) Amyloid beta₁₋₄₂ produces only short collision events in the presence of an aggregation promoter as the protein is sterically excluded from entering the pore, and generates small, short-lived events in the presence of an aggregation inhibitor. Adapted with permission.^[43] Copyright 2011, American Chemical Society. c) As $A\beta_{10-20}$ is enzymatically cleaved apart by trypsin, it produces smaller and shorter resistive pulses that correspond to the turnover rate and the length of the A^β fragments. Adapted with permission.^[41] Copyright 2009, American Chemical Society. d) α -Synuclein aggregates over the course of 96 hours; aggregates can be grouped into four major phenotypes (O1, O2, O3, and O4). Adapted under the terms and conditions of the Creative Commons Attributions 4.0 License.^[52] Copyright 2016, Macmillan Publishers Limited. e) Amyloid beta₁₋₄₀ goes through an aggregation process where it forms small spherical oligomers (SO), short protofibrils (SP), long protofibrils (LP), and finally mature fibrils (F) over time. Each of these species can be detected, grouped and characterized using a lipid bilayer coated synthetic nanopore. Adapted with permission.^[39] Copyright 2012, American Chemical Society.

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Jonathan List received his Ph.D. in Physics in 2018 from the Technical University Munich in the group of Prof. Friedrich Simmel. He is currently working as a postdoctoral researcher in the Adolphe Merkle Institute at the University of Fribourg under the direction of Prof. Michael Mayer. His recent research focuses on applying single molecule techniques to detect and characterize amyloid species and to investigate the aggregation kinetics of amyloid particles ex vivo. He also engages in the development and design of self-assembled, DNA-based nanostructures and devices.



Michael Mayer obtained a Ph.D. in Biophysical Chemistry with Horst Vogel at the Swiss Federal Institute of Technology in Lausanne (EPFL), followed by postdoctoral research with George M. Whitesides at Harvard University. From 2004 to 2015, he was a faculty member in Biomedical Engineering at the University of Michigan. In fall of 2015 his group moved to the Adolphe Merkle Institute at the University of Fribourg, where he holds the chair of Biophysics. His research takes inspiration from nature to solve problems in biophysics ranging from detecting single protein complexes and characterizing transporter proteins to engineering biocompatible electrical power sources.

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Information about amyloid aggregation states is critical to understanding the pathological progression of many neurodegenerative diseases. Resistive pulse-based nanopore sensing is a unique single-molecule approach to studying these aggregation states because it can determine information about individual amyloids, oligomeric species, or fibrils in aqueous solution without fluorescent labels or chemical modifications.

Keyword: Amyloid Aggregates

J. Houghtaling, J. List, and M. Mayer*



Title: Nanopore-based, Rapid Characterization of Individual Amyloid Particles in Solution: Concepts, Challenges, and Prospects



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