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Schneiderian Membrane Perforation via Transcrestal Sinus Floor Elevation: A Randomized Ex Vivo Study with Endoscopic Validation

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49

50 **Abstract**

51 **Objective:** To endoscopically determine the incidence of Schneiderian membrane perforation during
52 transcrestal maxillary sinus floor elevation (SFE), in relation to the bone preparation technique, amount of
53 bone graft, membrane elevation height and different surgical steps.

54 **Materials and methods:** Seven cadaver heads corresponding to 12 maxillary sinuses were used to perform 3
55 SFE via transcrestal approach per sinus (36 elevations). Each sinus was randomly assigned to either the
56 Sinus Crestal Approach (SCA) drill kit technique (experimental group) or the conventional osteotome
57 technique (control group). During all phases of the surgery, the integrity of the sinus membrane was
58 monitored through endoscopic examination.

59 **Results:** A significant difference was found in the incidence of perforation ($P = 0.007$) and vertical elevation
60 height ($P < 0.001$) between the study groups, favoring the experimental group. A safety elevation threshold
61 of 5 mm without bone graft and implant placement was estimated. A significant correlation was observed
62 between residual ridge height and incidence of perforation ($P < 0.001$) (OR = 0.51).

63 **Conclusion:** The SCA drill kit may demonstrate superior osteotomy preparation and membrane elevation
64 capabilities to the osteotome technique, and significantly when 6 mm SFE is indicated. Residual ridge height
65 and vertical elevation height are risk determinants factors.

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67 **Key words:** Sinus floor elevation, bone substitutes, diagnosis, clinical assessment.

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77 **Introduction**

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79 Expansion of the maxillary sinus and resorption of the residual ridge, following upper molar and premolar
80 extraction, may compromise dental implant placement in the maxillary posterior area. Maxillary sinus floor
81 elevation (SFE), performed either via lateral window or transcrestal approach, is usually indicated to
82 overcome limitations in residual ridge height (RRH) (Boyne & James, 1980). The transcrestal approach
83 involves SFE with simultaneous placement of an implant (Tatum, 1986) (Summers, 1994). The original
84 procedure consists of inwardly fracturing the sinus floor by preparing the implant bed with osteotomes of
85 increasing diameters. Other techniques were later proposed, such as the balloon technique (Chan et al., 2013)
86 (Yassin Alsabbagh, Alsabbagh, Darjazini Nahas, & Rajih, 2017) and the piezotome technique; a procedure
87 of standardized sequence of designed drills, trephine and osteotomes (Trombelli, Franceschetti, Trisi, &
88 Farina, 2015) (Y. K. Kim, Lee, Park, Kim, & Oh, 2017).

89

90 The transcrestal approach is a less invasive, commonly applied technique for SFE. It's reportedly associated
91 with increased patient acceptance and reduced patient discomfort when compared to the lateral window
92 approach (Emmerich, Att, & Stappert, 2005). The former is suitable where the relative residual ridge height
93 is approximately 5 to 9 mm, exhibiting good long-term clinical outcomes and minimal complications
94 (Katranji, Fotek, & Wang, 2008) (Pjetursson & Lang, 2014) (Lundgren et al., 2017). However, membrane
95 perforation is a commonly occurring intra-operative complication, with prevalence up to 40% (Antoanela
96 Garbacea et al., 2012).

97 Schneiderian membrane perforation is often undetectable by the operator during surgical transcrestal SFE
98 procedures, and this may impact the probability of postoperative complications. Antibiotic use for
99 postoperative sinusitis, infection and bone graft failure were shown to be significantly higher in sinuses with
100 perforated membranes (Nolan, Freeman, & Kraut, 2014) (Schwarz et al., 2015). A small perforation within
101 the membrane may result in communication directly between the sinus cavity and graft material. This can
102 lead to infection and chronic sinusitis, which eventually results in loss of graft volume and/or implant failure
103 (Katranji et al., 2008).

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105 Detection of membrane perforations during and following transcrestal SFE is challenging. Therefore, efforts
106 should be directed towards identifying the tools and factors associated with prevention, ultimately decreasing
107 the likelihood of postoperative complications and improving treatment outcomes. Cone beam computed
108 tomography (CBCT) or periapical digital radiographs seem to be less precise than the endoscope for the
109 detection of Schneiderian membrane perforations in human cadaver investigations (Antoanela Garbacea et
110 al., 2012).

111

112 Hence, the primary aim of this *ex-vivo* study was to endoscopically evaluate the incidence of Schneiderian
113 membrane perforation associated with the different approaches to transcrestal SFE, namely SCA and
114 osteotome techniques. The secondary aim was to assess the association of membrane elevation height,
115 amount of bone graft, residual ridge height and the different surgical steps on the incidence of perforation
116 during a transcrestal SFE.

117

118

119 **Materials and method**

120

121 **Study design**

122 Seven, fresh cadaver heads with fully or partially edentulous maxillary arches were provided by the
123 Department of Anatomy at the University of Michigan. These specimens were frozen in a temperature of -
124 20°C, after being harvested from human donors, to prevent structural changes in the tissues. Prior to being
125 used in this study, the cadaver heads were completely thawed for a period of 4 to 5 days at room
126 temperature. The University of Michigan (U-M) Institutional Review Board approved this study as exempt
127 from oversight (HUM00138166).

128

129 The included heads corresponding to 12 maxillary sinuses (5 bilateral maxillary sinuses with fully
130 edentulous maxillary arches and 2 unilateral maxillary sinuses with partially edentulous maxillary arches)
131 were used to perform 3 elevation procedures via transcrestal approach per maxillary sinus (a total of 36
132 elevations). Each sinus was randomly assigned to receive a different elevation technique: Sinus Crestal
133 Approach (SCA) drill kit (Neobiotech, Seoul, South Korea) (experimental group) or the osteotome technique
134 (control group). The bilateral maxillary sinuses were used in a split-mouth manner, where the test was
135 randomly assigned to one side and the control to the opposing side. Meanwhile, the two unilateral maxillary
136 sinus heads were each randomly assigned to one of the two study groups. The randomization was performed
137 by a specialized software (randomized.com, Shogun Interactive Development 2006); number 1 and 2
138 indicated the right side be experimental and control, respectively.

139

140 With 18 sinus elevations per study group, 6 mesial elevations consisted of membrane elevation only (without
141 graft or implant placement) (subgroup A). Meanwhile, the remaining 12 elevations comprised 6 middle 3

142 mm (subgroup B) and 6 distal 6 mm (subgroup C) membrane elevations with bone graft insertion and
143 subsequent implant placement.

144

145 **Eligibility Criteria**

146 The inclusion criteria comprised the following:

- 147 • Totally or partially edentulous posterior maxillary arches
- 148 • Absence of sinus pathology evident in the three-dimensional radiological assessment
- 149 • Sinuses with a relatively flat floor as indicated to be performed in the clinical basis
- 150 • Maxillary sinus free from sinus septa as pre-surgically examined using CBCT

151 Specimens were excluded if:

- 152 • The posterior maxillary arch was dentate, preventing access for a transcrestal sinus lift
- 153 • The posterior maxillary arch width was < 3 mm
- 154 • The presence of a large sinus pathology was detected via the pre-procedural CBCT imaging

155

156 **CBCT data acquisition**

157 Tenting screws (Salvin Dental Specialties, Charlotte, NC, USA) were inserted bilaterally in each of the
158 maxillary canine areas. These functioned as reference points, visible in the CBCT image, for measurements
159 and identification of precise drilling sites to be made across the arch during the surgical procedure. The
160 CBCT scans were obtained by a trained operator (KS) in the Radiology Department at the University of
161 Michigan School of Dentistry. The specimens were stabilized using a head locator. Each maxillary sinus was
162 examined pre-surgically in CBCT scans (3D Accuitomo 170 Tomograph, J Morita, Kyoto, Japan) with a
163 voxel size of 0.08–0.16 mm. Operating parameters were set at 5.0–7.0 mA and 90 kV. Exposure time was
164 17.5 s. Limited FOV was selected for all images. The CBCT scans of each head were reconstructed with
165 built-in software and analyzed on a desktop computer with a specialized implant planning software (Invivo5,
166 InvivoDent, Anatomage, San Jose, CA, USA). The CBCT images were evaluated by one author an
167 experienced oral surgeon (JG) on a desktop monitor (28-inch Dell 2407, resolution 1920x1200 pixels,
168 refresh rate 59 Hz; Dell Inc., Round Rock, TX, USA) under room lighting, and at a position of
169 approximately 30 cm from the monitor. The CBCT images were reoriented to get (1) the nasal spine and
170 midline aligned in the center of the image in the axial slice, (2) the posterior maxillary segment in vertical
171 position in the coronal slices and (3) the hard palate, as well as the floor of the nose in horizontal position
172 parallel to the ground in the sagittal slices. For the evaluation of intra-examiner reliability, all measurements
173 were performed twice at different days. The mean difference between the two measurements in bone
174 parameters was 0.01 mm (range -0.059 to 0.079). For image assessment, each sample was conducted twice
175 and a mean value was obtained (Janner et al., 2011). If a ≥ 0.2 mm difference was measured at the same
176 point, a third assessment was performed (Bornstein, Lauber, Sendi, & von Arx, 2011; Froum, Khouly,
177 Favero, & Cho, 2013). Similarly, a second examiner (MT) randomly selected two cases to evaluate inter-
178 examiner reliability, where a 0.86 Interclass Correlation coefficient was obtained, indicating near absolute
179 agreement.

180

181 **Surgical procedure**

182 An experienced surgeon (JG) performed the surgical procedures taking into account the CBCT analysis and
183 measurements. A middle crestal incision and a mesial vertical releasing incision above the canine area were
184 performed to elevate a full thickness flap. The CBCT measurements made from the tenting screws to the
185 planned drill sites were extrapolated to the surgical set-up, where the same measurements were made onto
186 the exposed bone (Figure 1). Bone preparation followed according to implant size, manufacturer guidelines
187 and study group design. The depth of preparation was determined based on the RRH measured on the CBCT
188 images.

189

190 **Sinus membrane elevation**

191 The experimental group osteotomies were performed using a series of increasing-diameter SCA kit drills,
192 connected with a stopper, according to manufacturer instructions (Figure 2A). Stoppers defined the drilling
193 length according to residual bone height and membrane elevation. In the control group, bone preparation and
194 sinus membrane elevation were performed according to previous publications (Lundgren et al., 2017;
195 Pjetursson & Lang, 2014). Bone preparation to 1 mm below the sinus membrane was performed using the
196 standard implant drilling protocol (Zimmer/Biomet 3i, West Palm Beach, FL, USA), starting from the pilot-
197 drill (\varnothing 2.3 mm) to 3.4 mm of diameter, followed by passing with the osteotome to fracture the bony floor of
198 the sinus and initiating membrane elevation. Initially, an osteotome of small-diameter and a light mallet were
199 used to fracture the residual bone. Once bone preparation was complete, a second osteotome (\varnothing 3.3 mm)
200 (Hu-Friedy, Chicago, IL, USA) was used to elevated the sinus membrane with precise control of the
201 penetration length (Figure 2B).

202

203 The membrane's vertical elevation height (VEH) was measured using a calibrated gauge (Neobiotech, Seoul,
204 South Korea). Sinus membrane elevation with or without bone graft and implant placement followed,
205 according to the designed experimental workflow. The mesial elevation site was performed without bone graft
206 or implant placement, to the heights of 3, 4, 5 and 6 mm consecutively until perforation was achieved, at which
207 point the final height was recorded. The middle and distal elevation sites were treated with bone graft insertion
208 and implant placement to 3 and 6 mm, respectively. Particulate allogenic bone graft (enCore Combination
209 Allograft, particle size: 0.25-1 mm; Osteogenics Biomedical, Inc. Lubbock, Texas, USA) was packed into the
210 osteotomy site in the respective subgroups, before proceeding to implant placement. The amount of bone graft
211 was measured prior to surgery using a scientific bascule (Mettler Toledo Balance AG204, Marshall Scientific,
212 Hampton, New Hampshire, USA) to standardize the exact amount of graft material for each location:

213

1. 0.1 cc of bone graft in the middle site to 3 mm of elevation.

214

2. 0.3 cc of bone graft in the distal site to 6 mm of elevation.

215 Zimmer tapered screw vent dental implants (Zimmer/Biomet 3i, West Palm Beach, FL, USA) of 3.7 mm x
216 13 mm dimensions were placed only to the desired pre-planned height pertaining to each subgroup, when
217 membrane perforation was not detected, in the middle and distal sites after bone graft was inserted.

218

219 **Data retrieval**

220 Residual bone height and width, and sinus membrane thickness were evaluated in each maxillary sinus and
221 at each elevation site (mesial, middle and distal) in relation to the tenting screw reference pre-surgically
222 viewed in the CBCT scans. An endoscope (OTV-S5 Rhinolaryngoscope; Olympus, Center Valley, PA,
223 USA) included an optical system allowing for 90° field of view and 5–50 mm depth of field, was used by a
224 single investigator (MT) to monitor the sinus membrane perforation during the sinus elevation procedures.
225 The insertion tube was 3.2 mm in diameter and possesses a 130° up/down bending capability. A fiber light
226 projector (Richard Wolf model 5119 USA Medical Instruments Corp. Vernon Hills, Illinois, USA) was used
227 in combination with the fiberscope. Intra-surgical images were obtained and transferred to a processor that
228 displays the visual across a connected monitor. For efficiency of time, the endoscope was inserted before
229 starting the STE surgery into the sinus via an opening (10x5 mm) below the inferior orbital rim. At that
230 point, the sinus membrane was exposed and checked for complete defrosting. The endoscope images were
231 monitored by one investigator (MT) during the elevation procedure and the effect of bone graft insertion and
232 implant placement on the membrane integrity was constantly monitored (Figure 2C and Figure 2D). The
233 second investigator was instructed to adjourn the procedure when perforation was visually detected.

234

235 **Measurements**

236 The procedure was deemed successful when the membrane was elevated without perforation; otherwise, it
237 was considered a failure. The elevation was measured (in millimeters) from the alveolar crest to the topmost
238 point. The VEH was calculated as the final membrane height minus the RRH. The BPE (Bucco-palatal
239 elevation) was the measured on a cross-sectional slice of the CBCT image (Figure 3A), while MDE (Mesio-
240 distal elevation) was measured on a sagittal slice of the image (Figure 3B).

241 CBCT's before and after the surgery were obtained and a continuous endoscopy procedure, to check the
242 integrity of the sinus membrane, were monitored during the surgery. All the following
243 variables/measurements were obtained and recorded at each of the 3 elevation sites per sinus, amounting to
244 36 sites:

- 245 - Residual ridge height (RRH) (mm)
- 246 - Residual ridge width (RRW) (mm)
- 247 - Membrane Thickness (MT) (mm)
- 248 - Vertical elevation height (VEH) (mm)
- 249 - Bucco-palatal elevation (BPE) (mm)
- 250 - Mesio-distal elevation (MDE) (mm)
- 251 - The VEH to BPE ratio (VEH:BPE)
- 252 - The VEH to MDE ratio (VEH:MDE)
- 253 - Incidence of Perforation (IoP) (1-0)
- 254 - Implant Placement (IP) (1-0)
- 255 - Volume of bone graft inserted (cc)

256 - Stage of membrane perforation: bone preparation, bone grafting or implant placement

257

258 **Statistical analysis**

259 Statistical analysis was expressed using the mean, minimum and maximum values, standard deviations (SD).
260 Generalized estimating equations (GEE) methods were used to test the effect of elevation technique, MT,
261 RRH, bone graft, VEH, VEH:BPE and VEH:MDE on dependent variable IoP. Non-adjusted and adjusted
262 odds ratio (OR) and 95% confidence intervals were obtained from univariate and multivariate binary logistic
263 regressions using GEE to consider the clustered structure of data. GEE linear models were also used to study
264 differences of membrane thickness or VEH between groups.

265 The significance level was defined as $P < 0.05$, for all statistical tests. In all statistical tests involving the study
266 groups variable, the experimental and control groups were considered groups 1 and 2, respectively. All
267 analyses were conducted with a specialized software package (IBM SPSS Statistics 24, Armonk, NY, USA).

268

269 **Results**

270 **Descriptive Analysis**

271 A total of 7 unfixed, fresh cadaver heads (4 males and 3 females), with 10 bilateral and 2 unilateral maxillary
272 sinuses, qualified to be included in the study. The reason for exclusion of 2 unilateral sinuses was a result of
273 corresponding to dentate ridges, while all the included sinuses corresponded to fully or partially edentulous
274 ridges. A total of 36 transcresal SFE procedures were performed. The mean ridge width in the study sample
275 was 7.13 ± 1.56 mm. A complete descriptive analysis of the data based on study groups and subgroups is
276 summarized in table 1.

277

278 **Schneiderian Membrane Thickness**

279 The data of six sites were excluded from only the CBCT membrane measurements due to image
280 artifacts/distortion that interfere with accurate analysis at the region of interest. Of these excluded sites, 3
281 belonged to subgroup A (resultant $n = 9$), 2 belonged to subgroup B (resultant $n = 10$) and 1 belonged to
282 subgroup C (resultant $n = 11$). Thus, a total of 30 membrane thickness measurements were obtained from the
283 7 included heads. The mean membrane thickness in the total study sample was $0.93 \pm 0,66$ mm (0.39 to 2.91
284 mm), with no statistically significant difference between the subgroups ($p = 0.264$).

285

286 **Incidence of Schneiderian Membrane Perforation**

287 The percentage of IoP in the entire study sample was 50% (33% in the experimental group and 66.7% in the
288 control group) (Table 1). This difference, between the two groups, was not found to be statistically
289 significant (OR=0.25; $p = 0.138$). However, when the model was adjusted by other independent variables
290 (RRH, BG) significance was reached (OR=0.04; $p = 0.007$). Similar conclusion was obtained from the
291 adjustment by VEH and ratios (OR=0.02; $p = 0.046$).

292

293 Within the perforations seen in subgroups A, B and C, a total of 16.7%, 50%, 33.3% belonged to the
294 experimental group, respectively. Although no significant difference in IoP comparing the 3 subgroups was
295 observed, all perforations in subgroup A were at 6 mm of VEH. This demonstrates an estimated maximum
296 safety elevation threshold of 5 mm without bone graft and implant placement.

297

298 **Associated-Variables of Schneiderian Membrane Perforation**

299 The GEE model demonstrated a statistically significant negative correlation between IoP and RRH ($p < 0.001$)
300 (OR = 0.51) and a lack of correlation between IoP and amount of bone graft ($p = 0.229$) (Table 2: model n.2).
301 The mean RRH associated with perforations and non-perforations in the experimental group was $3.18 \text{ mm} \pm$
302 1.73 and $5.38 \text{ mm} \pm 2.16$, respectively. Contrarily, the mean RRH associated with perforations and non-
303 perforations in the control group was $5.89 \text{ mm} \pm 1.82$ and $8.40 \text{ mm} \pm 3.07$, respectively. RRH associated to
304 perforation was concluded as significantly lower in the test group ($p < 0.001$).

305

306 Also outlined in table 2, the regression model n.3 analyzing IoP with VEH, the ratio of VEH to BPE and the
307 ratio of VEH to MDE indicated a significant positive correlation between IoP and VEH ($p = 0.004$) (OR =
308 3.47). Correlation was also positive with VEH:BPE and negative with VEH:MDE, but statistical significance
309 was not reached ($p = 0.613$, $p = 0.525$ respectively). This indicates that with increased VEH, the probability of
310 IoP is expected to also increase.

311

312 Wald's χ^2 from GEE model determined a significant degree of variance between the VEH in the
313 experimental group *versus* the control group ($p < 0.001$). This indicates that significantly more VEH was
314 permitted in the experimental group, as opposed to the control group. However, no such significance was
315 observed in terms of implant placement ($p = 0.277$) (Table 3).

316

317 Finally, with regards to IoP relative to the stage of surgery, 15 (83.3%) of membrane perforations were found
318 to have occurred during the first (elevation) phase of surgery, while 0 and 3 (16.7%) of membrane
319 perforations occurred during the second (bone graft insertion) and third (implant placement) (Figure 2D)
320 phases of surgery, respectively. This difference was statistically significant ($P = 0.005$).

321

322 **Discussion**

323 Schneiderian membrane perforation is one of the most critical challenges of maxillary SFE and is associated
324 with a higher prevalence of postoperative sinusitis (Schwarz et al., 2015). The percentage of perforations
325 found in the present study was 50%, higher than the data reported by Garbacea *et al.* (A. Garbacea *et al.*,
326 2012) and Nolan *et al.* (Nolan *et al.*, 2014), who reported a mean IoP rate of 40% and 41% respectively.
327 These rate was considerably less than the 58.4% reported by Alsabbagh AY (Yassin Alsabbagh *et al.*, 2017),
328 or the 62.5% reported by Cho *et al.* (Cho, Wallace, Froum, & Tarnow, 2001). However, perforation during
329 transcrestal sinus membrane elevation is not always detected, indirectly impacting postoperative
330 complications and surgical outcome. If this occurs, a number of consequences may entail: the presence of

331 bone graft within the sinus antrum, acute or chronic sinus infection, the invasion of bacteria into the site, or
332 disrupted maxillary sinus physiologic function (Katranji et al., 2008) (Li & Wang, 2008). With all the
333 proposed methods of crestal SFE (Yassin Alsabbagh et al., 2017) (Y. K. Kim et al., 2017) (Chan et al.,
334 2013), MT (Wen, Lin, Yang, & Wang, 2015) RRH (Schwarz et al., 2015), amount of bone graft inserted and
335 VEH (Sonoda, Harada, Yamamichi, Monje, & Wang, 2017) are factors that influence the probability of
336 membrane perforation. In this study sample, MT was not statistically significant between the two study
337 groups, enabling a fair comparison of all other factors between test and control.

338
339 Schneiderian membrane elevation with SCA drill kit has the advantage of using a reamer to create the
340 osteotomy in a conical shape and break the bony floor avoiding damage to the sinus membrane. However, it
341 is important to note that although the SCA kit has shown to be superior to the osteotome technique in the
342 present investigation, this difference was only statistically significant in subgroup C, where elevation was
343 beyond 3 mm. Thus, it can be deduced that the two techniques are comparable when minimal elevation is
344 necessary, however, when more than 3 mm and up to 6 mm of elevation is indicated, the SCA kit maintains
345 membrane integrity significantly better. This could be explained by the greater VEH permitted by the SCA
346 *versus* osteotome approach. The positive results attributed to this kit demonstrated in this study are in
347 concordance with the results observed in the *ex-vivo* study of Yassin Alsabbagh et al., 2017, where the SCA
348 drill kit showed to be superior to the osteotome technique in osteotomy preparation and breaking the sinus
349 floor. These results were later corroborated in a clinical study by Kim et al., 2017, who did not report any
350 membrane perforations using the SCA drill kit, but reported an incident of acute maxillary sinusitis 5 months
351 after surgery. This may have been related to a possible undetected perforation during the elevation surgery.
352 On the other hand, according to a dentists' subjective satisfaction survey performed following maxillary
353 sinus membrane elevation via the crestal approach, 92.9% dentists were generally satisfied with the SCA
354 approach to elevate the membrane instead of hydraulic approach (H. Y. Kim, Yang, Chung, Kim, & Yeo,
355 2013).

356
357 The RRH has also been described as an influencing factor that impacts membrane perforation using the
358 transcrestal approach (Schwarz et al., 2015), where a minimal RRH of 5 mm is recommended (Pjetursson &
359 Lang, 2014) (Lundgren et al., 2017). This data supports our results, where the RRH has been revealed as a
360 statistically significant factor of membrane perforation. Schwarz et al., 2015 showed that RRH less than 3.5
361 mm was a main risk factor increasing the IoP, in agreement with the $3.18 \text{ mm} \pm 1.73$ mean RRH associated
362 with perforations in the experimental group observed in this study.

363
364 Most of the membrane perforations within the non-grafted subgroup (A) were obtained when the height of
365 elevation reached 6 mm, meaning that below 6 mm represents a safe zone. Therefore, our results show the
366 estimated 5 mm elevation height as a safe zone, prior to bone graft insertion and implant placement. These
367 findings come in line with Lundgren et al., 2017, who described that the elevation height in transcrestal SFE
368 should not exceed 3-4 mm.

369

370 As reported, the amount of bone graft inserted has been related to the millimeters of sinus membrane
371 vertically elevated, obtaining elevation heights of 3 mm or 6 mm when using 0.1 or 0.3 cc of bone graft
372 respectively (Sonoda et al., 2017). Although the findings of this study showed that VEH plays a determinant
373 role in maintaining sinus membrane integrity, the amount of bone graft inserted was not determinant. On the
374 other hand, the VEH:BPE and VEH:MDE displayed a different impact on membrane perforation,
375 demonstrating that mesio-distal (MD) augmentation in relation to VEH had a positive effect on membrane
376 perforation. Contrary to this, bucco-palatal (BP) augmentation in relation to VEH appeared to have a
377 negative effect on maintaining membrane integrity. These ratios describe the degree of three-dimensional
378 horizontal extension as opposed to only the vertical extension of a SFE. It is critical to address the elevation
379 procedure from all possible directions, to better understand the tension distribution to be achieved. The
380 findings in this study compliment those from Sonoda et al. (2017), who concluded that VEH:BPE and
381 VEH:MDE should be ≤ 0.8 to avoid sinus membrane perforation. From a clinical standpoint, VEH:BPE and
382 VEH:MDE may be a difficult factor to control in sinus membrane elevation via the transcrestal approach in
383 comparison to the more invasive lateral approach, where the MD and BP bone augmentation could more
384 practically be controlled. However, this effect requires further investigation.

385

386 According to Garbacea et al., 2012, Schneiderian membrane perforation during transcrestal sinus elevation
387 can occur during different treatment stages: bone preparation/breaking the bony sinus floor, membrane
388 elevation, graft insertion or implant placement. Hence, due to often being undetectable, special care is
389 recommended during the membrane elevation phase and during the implant placement phase. With regards
390 to implant placement, the implant may exert pressure on the bone graft that manages to perforate the sinus
391 membrane, providing the bone graft with an escape route into the sinus cavity. However, no perforations
392 were detected during the bone graft insertion phase in the present study, indicating that excessive
393 perforation-inducing pressure is not exerted on the sinus membrane during bone graft insertion.

394

395 Despite the associated variables that may have an impact on the success or failure of the transcrestal SFE
396 procedure, it must be noted that a sufficient amount of experience is a clear prerequisite. Both the technical
397 approaches discussed in this study equally require adequate expertise prior to being performed successfully.

398

399 They are several limitations of this study, one of them is we used the cadaver to conduct this study hence
400 the cadaver bone quality and membrane elasticity may differ from the living bone. To minimize the bias
401 from specimen quality, we chose frozen fresh cadaver heads that have the most similar tissue situation to
402 the actual human. Nonetheless, this remains a concern in the study. Additionally, since this is a cadaver
403 study, so we did not conduct the power calculation to determine the proper sample size. We only used
404 available fresh cadaver heads that qualified the study in conducting this investigation. Hence, limited
405 sample size and lack of power calculation are limitations noted in the study.

406

407 **Conclusion**

408 The SCA drill kit may demonstrate superior osteotomy preparation and SFE capabilities to the osteotome
409 technique, while both techniques require operator experience. This enhanced ability in elevation was
410 especially significant when a 6 mm elevation was indicated. Also, a maximum safety elevation threshold of 5
411 mm without bone graft and implant placement was estimated. Finally, residual ridge height and vertical
412 elevation height are important factors of membrane perforation.

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512 **Table and Figure Legend**

513

514 Table 1: Demographics of sinus elevation variables. IoP - incidence of perforation; RRH - residual ridge
515 height; VEH - vertical elevation height; BPE - bucco-palatal elevation; MDE - mesio-distal elevation.

516

517 Table 2: Univariate (model 1) and multivariate (model 2 and 3) logistic regression (GEE) models analyzing
518 association between incidence of perforation (IoP) and other variables of elevation; namely, study groups,
519 residual ridge height (RRH), bone graft (BG), vertical elevation height (VEH), VEH:BPE ratio and and
520 VEH:MDE ratio. VEH:MDE was excluded from the model 3 because lack of convergence of GEE
521 estimations.

522

523 Table 3: GEE model analyzing association between the elevation technique, implant placement (IP) and
524 vertical elevation height (VEH).

525

526 Figure 1. Bone marking measurements from the tenting screws to the planned drill sites.

527

528 Figure 2. Bone preparation: 2A: SCA drill kit (experimental group); 2B: osteotome (control group); 2C:
529 Endoscopic image of sinus membrane elevation without perforation; 2D: Endoscopic image of membrane
530 perforation and direct communication of the implant and bone graft with sinus cavity.

531

532 Figure 3. Cone-beam Computed Tomography of sinus membrane elevation with the vertical elevation height:
533 3A: bucco-palatal elevation; 3B: mesio-distal elevation.

Table 1

	IoP			RRH (mm)		VEH (mm)		VEH:BPE		VEH:MDE	
	<i>N</i>	<i>n</i>	%	<i>Mean</i>	<i>SD</i>	<i>Mean</i>	<i>SD</i>	<i>Mean</i>	<i>SD</i>	<i>Mean</i>	<i>SD</i>
Test	18	6	33%	4.65	2.24	6.32	1.00	0.77	0.15	0.76	0.26
Control	18	12	66.7%	6.72	2.53	3.71	0.86	0.45	0.12	0.50	0.10
A	12	6	50%	7.02	2.41	N/A		N/A		N/A	
B	12	6	50%	5.25	2.58	3.99	0.97	0.55	0.24	0.54	0.13
C	12	6	50%	4.79	2.38	6.63	0.85	0.72	0.14	0.77	0.29

Table 1: Demographics of sinus elevation variables. IoP - incidence of perforation; RRH - residual ridge height; VEH - vertical elevation height; BPE - bucco-palatal elevation; MDE - mesio-distal elevation.

Table 2

Univariate model 1					
Parameter	β	OR	95% Wald Confidence Interval for OR		Hypothesis Test
			Lower	Upper	<i>p</i> -value
Experimental Group	-1.39	0.25	0.04	1.56	0.138
RRH	-0.23	0.79	0.64	0.98	0.036*
BG	0.01	1.00	0.05	20.6	1.000
VEH	0.28	1.32	0.44	3.92	0.619
VEH:BPE	0.44	1.55	0.01	1277	0.898
VEH:MDE	-2.02	0.13	0.01	67.1	0.525
Multivariate model 2					
Experimental Group	-3.32	0.04	0.01	0.41	0.007**
RRH	-0.67	0.51	0.37	0.70	<0.001**
BG	-4.29	0.01	0.00	14.9	0.229
Multivariate model 3					
Experimental Group	-4.13	0.02	0.01	0.93	0.046**
VEH	1.25	3.47	1.50	8.05	0.004**
VEH:BPE	1.78	5.91	0.01	576.6	0.613

VEH:MDE	--	--	--	--	--
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Table 2: Univariate (model 1) and multivariate (model 2 and 3) logistic regression (GEE) models analyzing association between incidence of perforation (IoP) and other variables of elevation; namely, study groups, residual ridge height (RRH), bone graft (BG), vertical elevation height (VEH), VEH:bucco-palatal elevation ratio (VEH:BPE) and VEH:mesio-distal elevation ratio (VEH:MDE). VEH:MDE was excluded from the model 3 because lack of convergence of GEE estimations.

Table 3

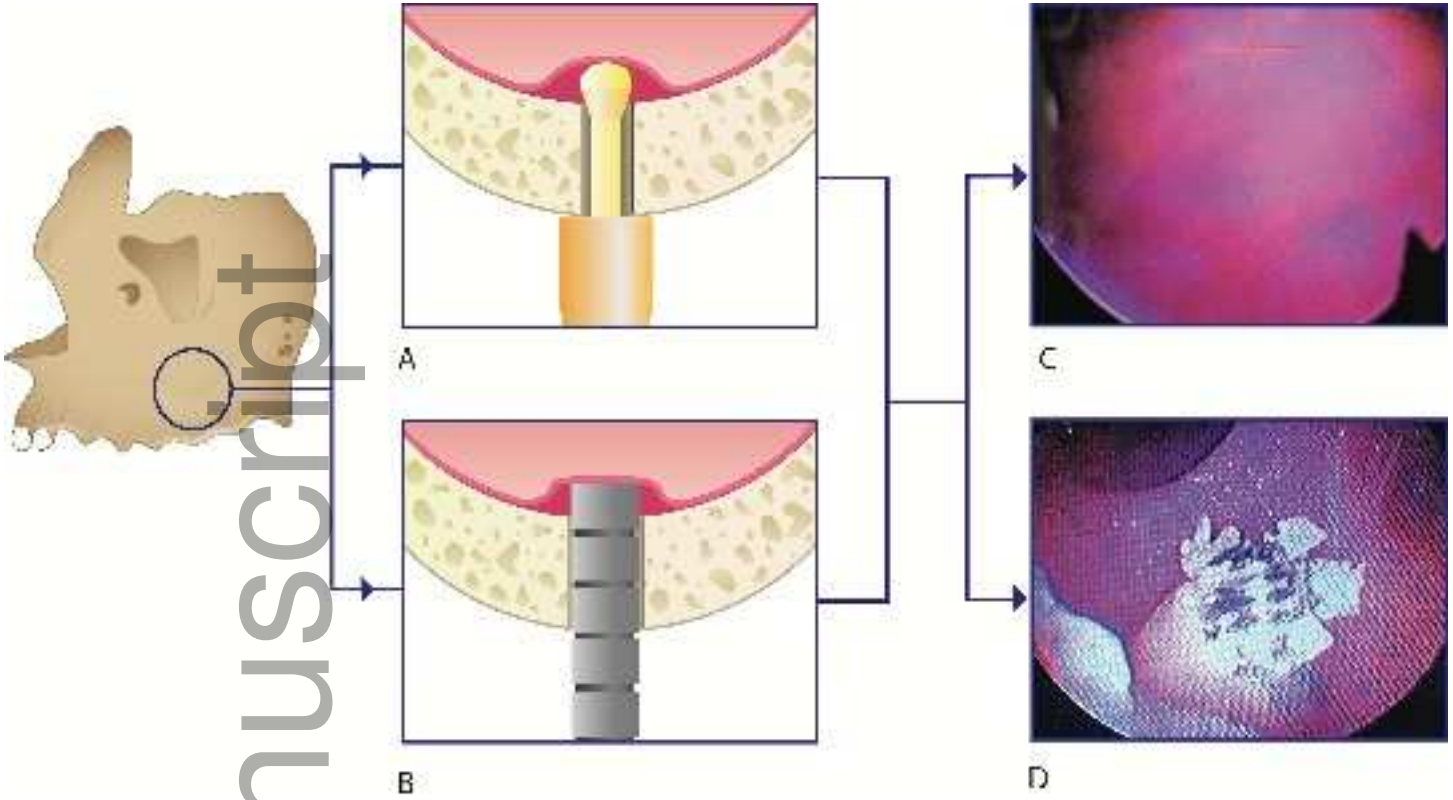
		95% Wald Confidence Interval for β			
		β	p-value	Lower	Upper
Study Groups (Experimental/Control)	VEH	2.61	<0.001***	1.91	3.31
	IP	0.80	0.277	0.53	9.44

Table 3: GEE model analyzing association between the elevation technique and implant placement (IP), as well as, vertical elevation height (VEH).

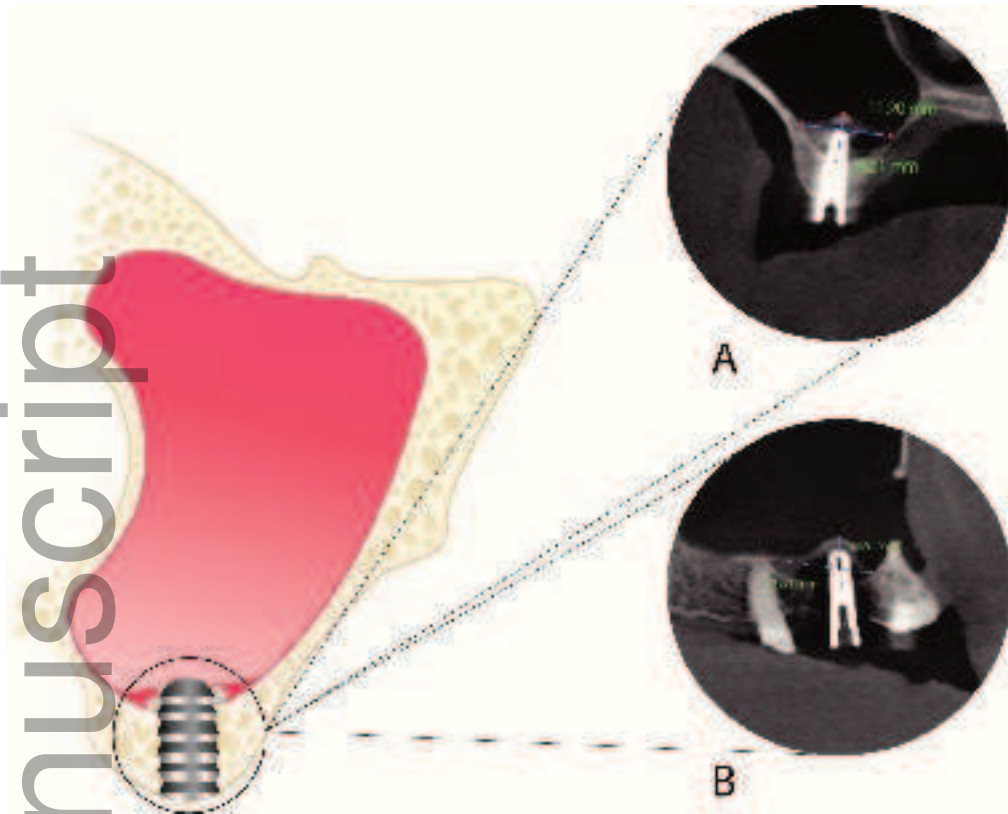


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