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10	Neurofilament is Superior to Cytokeratin 20 in Supporting Cutaneous Origin for Neuroendocrine
11	Carcinoma
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20	
21	The authors declare no relevant conflicts of interest.
22	
23	Abstract
24	
25	Aim: Primary cutaneous neuroendocrine carcinoma, or Merkel cell carcinoma (MCC), cannot be distinguished
26	morphologically from small cell neuroendocrine carcinomas (SmCC) from other sites. Immunohistochemistry is
27	required to confirm cutaneous origin, and is also used for detection of sentinel lymph node (SLN) metastases
28	of MCC. Cytokeratin 20 (CK20) expression is commonly used for these purposes, but is negative in some

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29 MCC cases, and has unclear specificity. We evaluated immunohistochemistry for neurofilament and CK20 in

30 MCC compared with SmCC from other sites.

31

Methods and Results: We evaluated neurofilament expression in 55 MCC specimens from 39 unique 32 patients, including 9 CK20-negative MCC tumors. Neurofilament expression was observed in 42/55 (76.4%) 33 MCC cases, including 7/9 (77.8%) CK20-negative MCC cases. Neurofilament was expressed in 9/12 (75%) 34 Merkel cell polyomavirus-positive tumors and 5/10 (50%) virus-negative tumors. Compared to a standard 35 immunohistochemical panel (cytokeratin cocktail and CK20), neurofilament was 87.5% sensitive for detecting 36 SLN metastases. Neurofilament and CK20 expression was also assessed in 61 extracutaneous SmCC from 60 37 unique patients, with primary sites including lung (27), bladder (18), cervix (3), gastrointestinal tract (3), 38 sinonasal tract (2), and other sites (7). The specificity of neurofilament and CK20 for MCC versus non-39 cutaneous SmCC was 96.7% and 59.0%, respectively. 40

Conclusions: Neurofilament has superior specificity to CK20 in distinguishing MCC from non-cutaneous
 SmCC. Neurofilament is frequently expressed in CK20-negative and virus-negative MCC tumors. Limitations of
 neurofilament immunohistochemistry include lower sensitivity than CK20 and subtle staining in some tumors.
 However, our findings indicate neurofilament is useful for excluding non-cutaneous SmCC.

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41



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47 Keywords

Merkel cell carcinoma, small cell carcinoma, neuroendocrine carcinoma, neurofilament, cytokeratin 20, Merkel
 cell polyomavirus, sentinel lymph node

- 50
- 51
- 52
- 53 Introduction

54 Merkel cell carcinoma (MCC) is a rare but aggressive cutaneous malignancy that arises primarily on sun-

55 damaged skin of elderly patients.¹ Metastatic disease to locoregional skin, regional lymph nodes, and distant

sites is a frequent occurrence. Estimated disease-specific mortality is 33-46%. Current evidence supports the

57 existence of two subtypes of MCC: virus-positive MCC (VP-MCC), associated with the oncogenic Merkel cell

58 polyomavirus (MCPyV); and virus-negative MCC (VN-MCC), with a high burden of UV-associated mutations.²⁻⁵

59 Standard management for MCC includes surgery, radiotherapy, and sentinel lymph node mapping.⁶ As a small

60 blue cell tumor with close morphologic resemblance to certain other tumor types, immunohistochemistry has a

critical role in diagnosis and accurate staging of MCC.

Considering the aggressive potential of MCC, accurate diagnosis is essential to timely management. MCC may 62 be mistaken for other cutaneous tumors, and can be morphologically identical to metastasis from 63 extracutaneous small cell neuroendocrine carcinoma (SmCC) such as small cell lung carcinoma (SCLC). In 64 addition, MCC may present as a metastasis of unknown primary in lymph nodes or other extracutaneous sites, 65 raising the differential diagnosis of SmCC from a range of sites. Standard diagnostic immunohistochemistry for 66 67 MCC includes cytokeratin 20 (CK20), neuroendocrine markers, and often thyroid transcription factor 1 (TTF-1). Neuroendocrine markers (including chromogranin A, synaptophysin, and/or CD56) are expressed in MCC, but 68 may also be expressed in other cutaneous carcinomas such as basal cell carcinoma,^{1, 7, 8} and do not exclude 69 extracutaneous SmCC or other poorly differentiated metastases. TTF-1 expression is relatively specific for 70 SCLC in comparison to MCC, but is not expressed in approximately 15% of SCLC, and may be expressed in 71 unusual cases of MCC.⁹⁻¹³ MCPyV has been shown to be relatively specific for MCC compared to other 72 cutaneous carcinomas and SCLC, but is less sensitive than other markers such as CK20,^{1, 14-17} and is often 73 negative in CK20-negative MCC.¹⁸ 74

75 Due to the high rates of lymph node metastasis for even small MCC tumors, sentinel lymph node biopsy is recommended by the National Comprehensive Cancer Network for all cases.⁶ As any size of metastatic 76 deposit is considered positive for staging purposes, immunohistochemistry (especially for CK20 and 77 cytokeratin cocktail) is routinely used to assist in detection of small metastases, including isolated tumor cells.^{1,} 78 ¹⁹ Cytokeratin cocktail is sensitive, although staining of background lymph node fibroblastic reticular cells may 79 present a challenge to evaluation for small metastases.^{20, 21} CK20 staining in lymph nodes is relatively specific 80 for MCC; however, sensitivity may be limited due to low or absent expression in some tumors.¹ No single 81 neuroendocrine marker is consistently expressed in MCC, limiting routine use of any given neuroendocrine 82 marker in SLN evaluation.¹ 83

Given the limitations of other markers, CK20 is considered a key marker for MCC, with roles in diagnosis and 84 sentinel lymph node evaluation. In MCC, CK20 is classically expressed in a paranuclear dot-like pattern, with 85 or without cytoplasmic staining. Although CK20 is expressed in most MCC, 9-11, 13, 19, 22-30 staining may be focal 86 in some tumors, requiring careful interpretation. Approximately 5-10% of MCC cases completely lack 87 expression of CK20, requiring more extensive immunophenotyping and clinical correlation for diagnostic 88 confirmation. CK20 is considered to be specific for MCC relative to other cutaneous carcinomas.¹ Although 89 CK20 expression has been reported to favor MCC over most extracutaneous SmCCs, a minority of SCLC may 90 express CK20,^{9, 10, 27, 30} and CK20 is frequently expressed in parotid and cervical SmCC.^{13, 30, 31} 91

Neurofilament is an intermediate filament expressed in the majority of MCC, classically in a paranuclear dot like pattern similar to cytokeratins.^{9, 23, 29, 32, 33} Limited reports suggest that neurofilament expression is specific

to MCC relative to SCLC.^{23, 32} However, neurofilament expression has not been characterized in a spectrum of extracutaneous SmCCs. Although one study of sentinel lymph node biopsies for MCC that included five lymph node metastases found neurofilament to be less sensitive than CK20,¹⁹ this finding has not been replicated in a larger cohort. In addition, studies have been limited regarding the relationship of neurofilament expression to CK20 expression and MCPvV status.³⁴

To better define the diagnostic utility of neurofilament for the diagnosis and staging of MCC, we examined
 neurofilament and CK20 immunohistochemical expression in a cohort of 116 neuroendocrine tumors, including
 MCC and SmCC from diverse anatomic sites.

102

103 Materials and Methods

104 Case Cohort

The study was conducted following a protocol approved by the Institutional Review Board at the University of 105 Michigan (HUM00045834, approval date 8/11/2016). Cases of MCC and SmCC were identified using a 106 retrospective search of the pathology database and previously assembled study sets at the University of 107 Michigan, Cases were selected on the basis of tumor adequacy for staining. Cases for the CK20-negative 108 MCC cohort were required to have complete absence of CK20 expression (confirmed by repeat CK20 109 immunohistochemistry as described below). Hematoxylin and eosin (H&E)-stained sections were reviewed by 110 P.W.H. to confirm the diagnosis. The MCC cohort consisted of 55 specimens from 39 unique patients, 111 including 9 previously characterized CK20-negative MCC tumors,^{18, 35} and a set of 16 matched primary tumor-112 sentinel lymph node metastasis CK20-positive MCC pairs. All metastases were at least 1 mm in maximal 113 dimension. For 22 MCC cases from 14 unique patients, results of MCPyV immunohistochemistry previously 114 performed for other studies were available.^{2, 35, 36} The SmCC cohort consisted of 61 tumors from 60 unique 115 patients, with primary sites including lung (27), bladder (18), cervix (3), gastrointestinal tract (3), sinonasal tract 116 (2), ovary (3), breast (1), prostate (1), thymus (1) and larynx (1). Five negative lymph nodes from non-MCC 117 cases served as negative controls. 118

119 Immunohistochemistry

Neurofilament (Cell Marque, Rocklin, CA, USA, mouse monoclonal clone 2F11, predilute) and CK20 (Cell
 Marque, Confirm[™] rabbit monoclonal antibody, 1:200 dilution) immunohistochemistry was performed using the
 Ventana automated immunostainer (Ventana, Tucson, AZ) (5-7). Neurofilament staining was performed on all
 tumors. CK20 staining was performed on all extracutaneous SmCC, all CK20-negative MCC, and a subset of

124 CK20-positive MCC. All parameters were scored independently by two dermatopathologists (P.W.H. and

125 D.R.F.) in a blinded manner, with any major discordance resolved by a third independent scorer (M.P.C.).

126 CK20 and neurofilament immunohistochemistry was scored as either negative or positive, with expression in a

127 minimum of five tumor cells necessary to consider a tumor positive. CK20 and neurofilament staining pattern

was assessed as paranuclear dot-like and/or cytoplasmic/membranous. Intensity of staining was scored (0-3).
Final intensity values were averaged from individual scores and placed in the following categories: negative
(0), weak (1-1.4), moderate (1.5-2.4) and strong (2.5 and above). Extent of staining (focal < 10%, intermediate
= 10-75%, diffuse >75%) was determined by consensus across independent scorers. Upon initial case review,
there was a substantial rate of interobserver variability in distinction of intermediate from diffuse extent, and of
moderate from strong intensity; therefore, these categories were grouped as intermediate/diffuse and
moderate/strong for purposes of statistical analysis. Specific scores for each case are shown in the

- 135 Supplementary Table.
- 136

137 Statistical analysis

To determine significant difference between variables, Fisher's exact test or analysis of variance was used for
categorical variables, and the Mann-Whitney U test or Student's t test was used for continuous variables.
Statistical significance was defined as a P-value less than 0.05. Analyses were performed using Graphpad
Prism 7 software (Graphpad Software Inc., La Jolla, CA, USA).

- 142
- 143 **Results**

144 Neurofilament expression in MCC

To evaluate the sensitivity of neurofilament expression for the diagnosis and staging of MCC, we assembled a 145 cohort of 55 MCC tumors, including primary-metastasis pairs and previously characterized CK20-negative 146 MCC tumors (Table 1. Supplementary Table)¹⁸. Neurofilament expression was observed in 42/55 (76.4%) 147 MCC cases, including 7/9 (77.8%) CK20-negative MCC cases (Figure 1A, B). Most cases displayed diffuse or 148 intermediate extent of expression across the tumor, with 7.3% of cases staining focally (Figure 1C). Intensity of 149 neurofilament staining was weak (34.5%) or moderate (41.8%). Staining pattern was consistently paranuclear 150 dot-like when present. The extent and intensity of neurofilament staining in CK20-negative cases was similar to 151 other MCC (Table 1, Figures 2, 3). In all 3 tumors (from 2 patients) in our cohort that displayed a component of 152 squamous differentiation (Supplementary Table, cases 17A, 17B, and 32), neurofilament was weakly 153 expressed at an intermediate percentage in the neuroendocrine component, and absent in the squamous 154 component. Among cases previously characterized for the presence of MCPvV, neurofilament was expressed 155 in 9/12 (75%) VP-MCC tumors and 5/10 (50%) VN-MCC tumors (Table 1, Supplementary Table). The intensity 156 of neurofilament expression was weak in most cases of VN-MCC that displayed expression (Table 1). 157

158

159 Neurofilament expression in lymph node metastases

- As compared to the gold standard (cytokeratin cocktail and CK20), neurofilament displayed a sensitivity of 87.5% and specificity of 100% for detecting lymph node metastases (Supplementary Table). The presence or absence of neurofilament expression was consistent between the primary tumors and matched metastases in 10 of 16 pairs. Of the remaining pairs, 5 were negative for neurofilament expression in the primary tumor and positive in the matched metastasis (with intermediate to diffuse expression in the metastasis), whereas 1 pair was weakly positive for neurofilament in the primary tumor and negative in the matched metastasis (Supplementary Table).
- With the exception of neural structures, no background staining of lymph node elements by neurofilament was observed. Although neurofilament expression has been reported in cutaneous melanocytic nevi ³⁷, nodal nevi lacked neurofilament expression in all cases examined (0/5). Therefore, neurofilament expression was highly specific for MCC metastases.
- 171

172 Neurofilament and CK20 staining in distinguishing MCC from non-cutaneous SmCC

- 173 CK20 and neurofilament expression was evaluated in non-cutaneous SmCC to determine which
- immunohistochemical marker is best able to distinguish MCC from non-cutaneous neuroendocrine carcinomas.
 CK20 expression was observed in 25/61 (41.0%) non-cutaneous SmCC cases, resulting in a specificity of 59%
- for distinction from MCC (Table 2, Figure 1D, Figures 2 and 3). CK20 staining was examined in one matched
- pair of SCLC tumors, in which the primary tumor was negative for CK20, and a liver metastasis displayed focal
- 178 CK20 expression.
- Our criteria for considering tumors CK20 positive were relatively permissive (expression in at least 5 cells, with 179 at least weak intensity staining), therefore we considered whether performance might be improved by more 180 stringent scoring criteria. Specificity for MCC was improved by requiring moderate/strong intensity of staining 181 (specificity 71.8%) or expression in >10% of tumor cells (specificity 85.2%); however, these more stringent 182 criteria also excluded 28.2% of MCC cases previously scored as positive by the original criteria. Requiring 183 tumors to display a component of paranuclear dot-like CK20 staining improved specificity slightly (to 65.5%) 184 and excluded relatively few MCC previously scored as positive (5.1%). Considering only tumors with positive 185 CK20 staining, expression in >10% of tumor cells was significantly associated with MCC relative to SmCC (p < 186 0.01), whereas staining intensity and the presence of paranuclear dots were not significantly associated with 187 cutaneous origin (p=0.56 and 0.21, respectively). 188
- Neurofilament was much more frequently expressed in MCC compared to non-cutaneous SmCC (p <0.0001), and was 96.7% specific for this distinction (Table 1, Figure 1A-D, Figure 3). Two non-cutaneous SmCC cases were positive for neurofilament: a CK20-negative sinonasal SmCC with focal weak expression, and a SCLC with focal expression of both neurofilament and CK20 (Supplementary Table). Both MCC and non-cutaneous SmCC displayed paranuclear dot-like staining for neurofilament when positive.

194

195 **Discussion:**

196 MCC is an aggressive cutaneous carcinoma with high frequency of recurrence and metastasis.

Immunohistochemistry is critical to confirm the diagnosis of MCC and assist in excluding the possibility of 197 extracutaneous SmCC. Metastatic MCC of unknown primary presenting in a lymph node or other 198 extracutaneous site may be especially challenging to distinguish from non-cutaneous SmCC. Several 199 diagnostic markers have been investigated for distinction of MCC from extracutaneous SmCC, including stains 200 proposed to be expressed with relative specificity in MCC (CK20, neurofilament, TdT, MCPyV large T antigen) 201 or SCLC (TTF1, MASH1 (ASCL1),^{1, 9, 11-13, 16, 17, 23, 25-27, 32, 33, 38, 39} With few exceptions, studies have not 202 evaluated expression patterns in SmCC from anatomic sites other than lung. In addition, although substantial 203 gene expression differences exist between VP-MCC and VN-MCC,⁴⁰ few studies have accounted for MCC viral 204 status when comparing staining patterns with extracutaneous SmCC. As CK20-negative MCC are often also 205 negative for MCPvV.¹⁸ studies that address useful diagnostic markers in this subset of MCC are particularly 206 necessarv. 207

CK20 is a major diagnostic marker for MCC. CK20 expression has been proposed to be specific for MCC 208 relative to extracutaneous SmCC. However, multiple reports have described CK20 expression in a minority of 209 SCLC^{9, 10, 27} and a significant percentage of parotid and cervical SmCC.^{13, 30, 31} Our findings confirm and expand 210 upon this observation, demonstrating that CK20 expression can occur in a minority of SmCC from multiple 211 anatomic sites. CK20 was frequently expressed in cervical SmCC, bladder SmCC, and a minority of SCLC. 212 The specificity of CK20 staining for MCC was only slightly improved by requiring tumors to display a 213 component of paranuclear dot-like staining. More stringent requirements for the extent and intensity of CK20 214 staining improved specificity, but also resulted in exclusion of a significant fraction of MCC cases. A limitation 215 of our study is that CK20 expression was a controlled variable in our MCC cohort, precluding determination of 216 certain statistical associations for CK20 including sensitivity. 217

In agreement with previous reports.^{9, 23, 29, 32, 33} we find that neurofilament is a sensitive and specific marker for 218 MCC. Neurofilament was expressed in a substantial fraction of cases regardless of MCPyV and CK20 status. 219 Although we observed relatively lower sensitivity for neurofilament in VN-MCC, our sample size was too small 220 for robust statistical comparison. Neurofilament expression was highly specific for MCC, with no expression 221 detected in the vast majority of extracutaneous SmCC. Of 61 SmCC tumors evaluated, only 2 displayed focal 222 neurofilament staining (one case of SCLC, and one sinonasal SmCC). Unlike a previous study,³¹ we did not 223 observe neurofilament expression in cervical SmCC. A limitation of our study is that primary parotid SmCC 224 cases were not available for study. An additional limitation is that our study cohort included few MCC tumors 225 with squamous differentiation, in which context neurofilament has been reported to be less sensitive.³⁴ 226

Given the challenge of identifying small metastatic deposits of MCC in lymph nodes, immunohistochemistry of 227 sentinel lymph node biopsies plays a critical role in accurate MCC staging. A previous report examining a small 228 number of positive sentinel lymph nodes (n=5) found that neurofilament had low sensitivity (20%) for detection 229 of sentinel lymph node metastases.¹⁹ In a larger cohort of lymph node metastases (n=16), we find that 230 neurofilament is useful for detection of metastatic MCC. Neurofilament is less sensitive than CK20, displays 231 232 less intense staining than CK20 in most cases, and may be sparsely or focally expressed, therefore our findings do not support the use of neurofilament in place of CK20 in sentinel lymph node evaluation for most 233 cases of MCC. However, for cases with focal or absent CK20 expression in the primary tumor, neurofilament 234 represents a highly specific and reasonably sensitive stain alongside cytokeratin cocktail for the evaluation of 235 sentinel lymph node biopsies. Of note, in some cases neurofilament was effective in identifying lymph node 236 metastases despite apparent lack of expression in the primary tumor. A limitation of our study is that single cell 237 metastases were not examined, therefore we cannot comment on the sensitivity of neurofilament in this 238 specific context. 239

In summary, given its superior specificity to CK20, neurofilament should be considered for suspected MCC cases in which additional confirmation of cutaneous origin is necessary. Neurofilament may be especially useful in cases of SmCC of unknown primary. However, rare cases of extracutaneous SmCC may display neurofilament expression. Neurofilament is frequently expressed in CK20-negative MCC, and is sensitive regardless of MCPyV status. Finally, neurofilament may also be useful in detection of sentinel lymph node deposits in cases of MCC with focal or absent CK20 expression.

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DRF, and LMS designed the research study; PWH, DRF, MPC, and LMS analyzed the data; LMS, PWH, and
DRF wrote the paper.

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Author Ma

Tables

Table 1. Neurofilament expression in MCC and SmCC.

			Extent	Intensity			
				Positive,			
Positive				cannot assess			
n cases	Negative	Focal	Intermediate/diffuse	extent*	Negative	Weak	Moderate/strong
55 42/55 (76.4%)	13/55 (23.6%)	4/55 (7.3%)	37/55 (67.3%)	1/55 (1.2%)	13/55 (23.6%)	19/55 (34.5%)	23/55 (41.8%)
46 35/46 (76.1%)	11/46 (23.9%)	3/46 (6.5%)	31/46 (67.4%)	1/46 (2.2%)	11/46 (23.9%)	15/46 (32.6%)	20/46 (43.5%)
9 7/9 (77.8%)	2/9 (22.2%)	1/9 (11.1%)	6/9 (66.7%)	0/9 (0%)	2/9 (22.2%)	4/9 (44.4%)	3/9 (33.3%)
12 9/12 (75%)	3/12 (25%)	0/12 (0%)	9/12 (75%)	0/12 (0%)	3/12 (25%)	1/12 (8.3%)	8/12 (66.7%)
10 5/10 (50%)	5/10 (50%)	1/10 (10%)	4/10 (40%)	0/10 (0%)	5/10 (50%)	4/10 (40%)	1/10 (10%)
\leq							
\leq							
61 2/61 (3.3%)	59/61 (96.7%)	1/61 (1.6%)	1/61 (1.6%)	0/61 (0%)	59/61 (96.7%)	1/61 (1.6%)	1/61 (1.6%)
28 1/28 (3.6%)	27/28 (96.4%)	1/28 (3.6%)	0/28 (0%)	0/28 (0%)	27/28 (96.4%)	0/28 (0%)	1/28 (3.6%)
18 0/18 (0%)	18/18 (100%)	0/18 (0%)	0/18 (0%)	0/18 (0%)	18/18 (100%)	0/18 (0%)	0/18 (0%)
3 0/3 (0%)	3/3 (100%)	0/3 (0%)	0/3 (0%)	0/3 (0%)	3/3 (100%)	0/3 (0%)	0/3 (0%)
3 0/3 (0%)	3/3 (100%)	0/3 (0%)	0/3 (0%)	0/3 (0%)	3/3 (100%)	0/3 (0%)	0/3 (0%)
2 1/2 (50%)	1/2 (50%)	1/2 (50%)	0/2 (0%)	0/2 (0%)	1/2 (50%)	1/2 (50%)	0/2 (0%)
3 0/3 (0%)	3/3 (100%)	0/3 (0%)	0/3 (0%)	0/3 (0%)	3/3 (100%)	0/3 (0%)	0/3 (0%)
1 0/1 (0%)	1/1 (100%)	0/1 (0%)	0/1 (0%)	0/1 (0%)	1/1 (100%)	0/1 (0%)	0/1 (0%)
1 0/1 (0%)	1/1 (100%)	0/1 (0%)	0/1 (0%)	0/1 (0%)	1/1 (100%)	0/1 (0%)	0/1 (0%)
0/1 (0%)	1/1 (100%)	0/1 (0%)	0/1 (0%)	0/1 (0%)	1/1 (100%)	0/1 (0%)	0/1 (0%)
1 0/1 (0%)	1/1 (100%)	0/1 (0%)	0/1 (0%)	0/1 (0%)	1/1 (100%)	0/1 (0%)	0/1 (0%)
	Positive cases 55 42/55 (76.4%) 46 35/46 (76.1%) 9 7/9 (77.8%) 12 9/12 (75%) 10 5/10 (50%) 61 2/61 (3.3%) 28 1/28 (3.6%) 18 0/18 (0%) 3 0/3 (0%) 1 0/1 (0%) 1 0/1 (0%) 1 0/1 (0%) 1 0/1 (0%)	Positive Negative 55 42/55 (76.4%) 13/55 (23.6%) 46 35/46 (76.1%) 11/46 (23.9%) 9 7/9 (77.8%) 2/9 (22.2%) 12 9/12 (75%) 3/12 (25%) 10 5/10 (50%) 5/10 (50%) 61 2/61 (3.3%) 59/61 (96.7%) 28 1/28 (3.6%) 27/28 (96.4%) 18 0/18 (0%) 18/18 (100%) 3 0/3 (0%) 3/3 (100%) 3 0/3 (0%) 3/3 (100%) 1 0/1 (0%) 1/1 (100%) 1 0/1 (0%) 1/1 (100%) 1 0/1 (0%) 1/1 (100%)	Positive Negative Focal 55 42/55 (76.4%) 13/55 (23.6%) 4/55 (7.3%) 46 35/46 (76.1%) 11/46 (23.9%) 3/46 (6.5%) 9 7/9 (77.8%) 2/9 (22.2%) 1/9 (11.1%) 12 9/12 (75%) 3/12 (25%) 0/12 (0%) 10 5/10 (50%) 5/10 (50%) 1/10 (10%) 61 2/61 (3.3%) 59/61 (96.7%) 1/61 (1.6%) 28 1/28 (3.6%) 27/28 (96.4%) 1/28 (3.6%) 18 0/18 (0%) 18/18 (100%) 0/18 (0%) 3 0/3 (0%) 3/3 (100%) 0/3 (0%) 3 0/3 (0%) 3/3 (100%) 0/3 (0%) 1 0/1 (0%) 1/1 (100%) 0/1 (0%) 1 0/1 (0%) 1/1 (100%) 0/1 (0%) 1 0/1 (0%) 1/1 (100%) 0/1 (0%) 1 0/1 (0%) 1/1 (100%) 0/1 (0%)	Positive cases Negative Focal Intermediate/diffuse 55 42/55 (76.4%) 13/55 (23.6%) 4/55 (7.3%) 37/55 (67.3%) 46 35/46 (76.1%) 11/46 (23.9%) 3/46 (6.5%) 31/46 (67.4%) 9 7/9 (77.8%) 2/9 (22.2%) 1/9 (11.1%) 6/9 (66.7%) 12 9/12 (75%) 3/12 (25%) 0/12 (0%) 9/12 (75%) 10 5/10 (50%) 5/10 (50%) 1/10 (10%) 4/10 (40%) 61 2/61 (3.3%) 59/61 (96.7%) 1/61 (1.6%) 1/61 (1.6%) 28 1/28 (3.6%) 27/28 (96.4%) 1/28 (3.6%) 0/28 (0%) 18 0/18 (0%) 18/18 (100%) 0/18 (0%) 0/18 (0%) 30 0/3 (0%) 3/3 (100%) 0/3 (0%) 0/3 (0%) 31/2 (50%) 1/2 (50%) 1/2 (50%) 0/2 (0%) 30 0/3 (0%) 3/3 (100%) 0/3 (0%) 0/3 (0%) 31/2 (50%) 1/2 (50%) 1/2 (50%) 0/2 (0%) 32 0/3 (0%) 3/3 (100%) 0/3 (0%) 0/	Extent Positive cannot assess cases Negative Focal Intermediate/diffuse extent* 55 42/55 (76.4%) 13/55 (23.6%) 4/55 (7.3%) 37/55 (67.3%) 1/55 (1.2%) 46 35/46 (76.1%) 11/46 (23.9%) 3/46 (6.5%) 31/46 (67.4%) 1/46 (2.2%) 9 7/9 (77.8%) 2/9 (22.2%) 1/9 (11.1%) 6/9 (66.7%) 0/9 (0%) 12 9/12 (75%) 3/12 (25%) 0/12 (0%) 9/12 (75%) 0/12 (0%) 10 5/10 (50%) 5/10 (50%) 1/10 (10%) 4/10 (40%) 0/10 (0%) 61 2/61 (3.3%) 59/61 (96.7%) 1/61 (1.6%) 1/61 (1.6%) 0/61 (0%) 28 1/28 (3.6%) 27/28 (96.4%) 1/28 (3.6%) 0/28 (0%) 0/28 (0%) 18 0/18 (0%) 18/18 (100%) 0/18 (0%) 0/18 (0%) 0/3 (0%) 30 0/3 (0%) 3/3 (100%) 0/3 (0%) 0/3 (0%) 0/3 (0%) 30 0/3 (0%) 3/3 (100%) 0/3 (0%) 0/3 (0%) </td <td>Extent Positive cases Negative Focal Intermediate/diffuse extent* Negative 55 42/55 (76.4%) 13/55 (23.6%) 4/55 (7.3%) 37/55 (67.3%) 1/55 1.2%) 13/55 (23.6%) 46 45/46 (76.1%) 11/46 (23.9%) 3/46 (6.5%) 31/46 (67.4%) 1/46 (23.9%) 9 7/9 (77.8%) 2/9 (22.2%) 1/9 (11.1%) 6/9 (66.7%) 0/9 (0%) 2/9 (22.2%) 9/12 (75%) 3/12 (25%) 0/12 (0%) 3/12 (25%) 10 5/10 (50%) 5/10 (50%) 1/10 (10%) 0/12 (0%) 3/12 (25%) 10 59/61 (96.7%) 0/12 (0%) 0/12 (0%) 5/16 (96.7%) 61 2/61 (3.3%) 59/61 (96.7%) 0/28 (0%) 27/28 (96.4%</td> <td>Positive Extent Positive, cannot assess result cannot assess stand Intermediate/diffuse extent* Negative Weak 55 42/55 (76.4%) 13/55 (23.6%) 4/55 (7.3%) 37/55 (67.3%) 1/55 (1.2%) 13/55 (23.6%) 19/55 (34.5%) 46 46/46 (76.1%) 11/46 (23.9%) 3/46 (6.5%) 31/46 (67.4%) 1/46 (2.2%) 11/46 (23.9%) 15/46 (32.6%) 9 7/9 (77.8%) 2/9 (22.2%) 1/9 (11.1%) 6/9 (66.7%) 0/9 (0%) 2/9 (22.2%) 4/9 (44.4%) 10 5/10 (50%) 5/10 (50%) 1/10 (10%) 9/12 (75%) 0/12 (0%) 3/12 (25%) 1/12 (8.3%) 5/10 (50%) 5/10 (50%) 1/10 (10%) 4/10 (40%) 0/10 (0%) 5/10 (50%) 4/10 (40%) 61 2/61 (3.3%) 59/61 (96.7%) 1/61 (1.6%) 0/61 (0%) 59/61 (96.7%) 1/61 (1.6%) 0/18 (0%) 18/18 (100%) 0/18 (0%) 0/18 (0%) 0/18 (0%) 0/18 (0%) 0/18 (0%) 0/3 (10%) 0/3 (10%) 0/3 (0%)</td>	Extent Positive cases Negative Focal Intermediate/diffuse extent* Negative 55 42/55 (76.4%) 13/55 (23.6%) 4/55 (7.3%) 37/55 (67.3%) 1/55 1.2%) 13/55 (23.6%) 46 45/46 (76.1%) 11/46 (23.9%) 3/46 (6.5%) 31/46 (67.4%) 1/46 (23.9%) 9 7/9 (77.8%) 2/9 (22.2%) 1/9 (11.1%) 6/9 (66.7%) 0/9 (0%) 2/9 (22.2%) 9/12 (75%) 3/12 (25%) 0/12 (0%) 3/12 (25%) 10 5/10 (50%) 5/10 (50%) 1/10 (10%) 0/12 (0%) 3/12 (25%) 10 59/61 (96.7%) 0/12 (0%) 0/12 (0%) 5/16 (96.7%) 61 2/61 (3.3%) 59/61 (96.7%) 0/28 (0%) 27/28 (96.4%	Positive Extent Positive, cannot assess result cannot assess stand Intermediate/diffuse extent* Negative Weak 55 42/55 (76.4%) 13/55 (23.6%) 4/55 (7.3%) 37/55 (67.3%) 1/55 (1.2%) 13/55 (23.6%) 19/55 (34.5%) 46 46/46 (76.1%) 11/46 (23.9%) 3/46 (6.5%) 31/46 (67.4%) 1/46 (2.2%) 11/46 (23.9%) 15/46 (32.6%) 9 7/9 (77.8%) 2/9 (22.2%) 1/9 (11.1%) 6/9 (66.7%) 0/9 (0%) 2/9 (22.2%) 4/9 (44.4%) 10 5/10 (50%) 5/10 (50%) 1/10 (10%) 9/12 (75%) 0/12 (0%) 3/12 (25%) 1/12 (8.3%) 5/10 (50%) 5/10 (50%) 1/10 (10%) 4/10 (40%) 0/10 (0%) 5/10 (50%) 4/10 (40%) 61 2/61 (3.3%) 59/61 (96.7%) 1/61 (1.6%) 0/61 (0%) 59/61 (96.7%) 1/61 (1.6%) 0/18 (0%) 18/18 (100%) 0/18 (0%) 0/18 (0%) 0/18 (0%) 0/18 (0%) 0/18 (0%) 0/3 (10%) 0/3 (10%) 0/3 (0%)

*Limited sampling of one tumor precluded definitive evaluation for extent of expression. GI: gastrointestinal.

		1.1	Extent			Intensity			Pattern		
		Positive			Intermediate/			Moderate/			
Tumor	n	cases	Negative	Focal	diffuse	Negative	Weak	strong	Dot-like	Cytoplasmic	Both
MCC											
all											
MCC,	39	37/39	2/39 (5.1%)	9/39 (23 1%)	28/39 (71.8%)	2/39 (5.1%)	9/39 (23 1%)	28/39 (71.8%)	17/39 (43.6%)	2/39 (5.1%)	18/39 (46 2%)
CK20+	00	(94.9%)	2/00 (0.170)	0/00 (20.170)	20/00 (/ 1.0 /0)	2/00 (0.170)	0/00 (20.170)	20/00 (/ 1.0 /0)	17/00 (40.070)	2,00 (0.170)	10/00 (40.270)
MCC,	9	0/9 (0%)	9/9 (100%)	0/9 (0%)	0/9 (0%)	9/9 (100%)	0/9 (0%)	0/9 (0%)	0/9 (0%)	0/9 (0%)	0/9 (0%)
CK20-	Ũ		0,0 (100,0)	0,0 (0,0)	0,0 (0,0)		0,0 (0,0)	0,0 (0,0)	0/0 (0/0)	0,0 (0,0)	0,0 (0,0)
SmCC											
all	61	25/61	36/61 (59.0%)	16/61 (26.2%)	9/61 (14.8%)	36/61 (59.0%)	8/61 (13.1%)	17/61 (27.9%)	7/61 (11.5%)	4/61 (6.6%)	14/61 (23.0%)
		(41.0%)									
lung	28	4/28	24/28 (85.7%)	3/28 (10.7%)	1/28 (3.6%)	24/28 (85.7%)	1/28 (3.6%)	3/28 (10.7%)	2/28 (7.1%)	1/28 (3.6%)	1/28 (3.6%)
		(14.3%)									
bladder	18	12/18	6/18 (33.3%)	8/18 (44.4%)	4/18 (22.2%)	6/18 (33.3%)	4/18 (22.2%)	8/18 (44.4%)	2/18 (11.1%)	2/18 (11.1%)	8/18 (44.4%)
	-	(66.7%)									
Cervix	3	2/3 (66.7%)	1/3 (33.3%)	2/3 (66.7%)	0/3 (0%)	1/3 (33.3%)	1/3 (33.3%)	1/3 (33.3%)	1/3 (33.3%)	1/3 (33.3%)	0/3 (0%)
GI	3	2/3 (66.7%)	1/3 (33.3%)	1/3 (33.3%)	1/3 (33.3%)	1/3 (33.3%)	0/3 (0%)	2/3 (66.7%)	0/3 (0%)	0/3 (0%)	2/3 (66.7%)
sinonasal	2	1/2 (50%)	1/2 (50%)	0/2 (0%)	1/2 (50%)	1/2 (50%)	0/2 (0%)	1/2 (50%)	0/2 (0%)	0/2 (0%)	1/2 (50%)
ovary	3	2/3 (66.7%)	1/3 (33.3%)	1/3 (33.3%)	1/3 (33.3%)	1/3 (33.3%)	2/3 (66.7%)	0/3 (0%)	2/3 (66.7%)	0/3 (0%)	0/3 (0%)
larynx	1	0/1 (0%)	1/1 (100%)	0/1 (0%)	0/1 (0%)	1/1 (100%)	0/1 (0%)	0/1 (0%)	0/1 (0%)	0/1 (0%)	0/1 (0%)
breast	1	1/1 (100%)	0/1 (0%)	1/1 (100%)	0/1 (0%)	0/1 (0%)	0/1 (0%)	1/1 (100%)	0/1 (0%)	0/1 (0%)	1/1 (100%)
thymus	1	0/1 (0%)	1/1 (100%)	0/1 (0%)	0/1 (0%)	1/1 (100%)	0/1 (0%)	0/1 (0%)	0/1 (0%)	0/1 (0%)	0/1 (0%)
prostate	1	1/1 (100%)	0/1 (0%)	0/1 (0%)	1/1 (100%)	0/1 (0%)	0/1 (0%)	1/1 (100%)	0/1 (0%)	0/1 (0%)	1/1 (100%)

Figures

Figure 1. Representative cases of neurofilament expression in neuroendocrine carcinomas. (A) Diffuse dot-like neurofilament expression in a CK20-positive MCC. (B) Intermediate dot-like neurofilament expression in a CK20-negative MCC. (C) Focal neurofilament expression in MCC. (D) Absence of neurofilament expression in extracutaneous SmCC. All images are at 400x.

Figure 2. Representative cases of CK20 expression in neuroendocrine carcinomas. (A) Diffuse strong CK20 expression in MCC. (B) Absence of CK20 expression in CK20-negative MCC. (C) Representative example of focal CK20 expression in an extracutaneous SmCC. (D) Representative example of diffuse CK20 expression in an extracutaneous SmCC. All images are at 400x.

Figure 3. Extent, intensity, and pattern of immunohistochemical expression of neurofilament and CK20 in Merkel cell carcinoma (MCC) and non-cutaneous small cell carcinoma (SmCC) tumors. Figures include cases for which the given parameter could be scored.

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