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Mechanisms for the metabolic success of bariatric surgery

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Abstract

Bariatric surgery is our most effective strategy, to date, for the treatment of obesity and its comorbidities. However, given the enormity of the obesity epidemic, and sometimes **This is the author manuscript accepted for publication and has undergone full peer review but has not been through the copyediting, typesetting, pagination and proofreading process, which may lead to differences between this version and the [Version of Record](#). Please cite this article as [doi: 10.1111/JNE.12708](https://doi.org/10.1111/JNE.12708)**

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variable results, it is not a feasible strategy for treatment for all obese patients. A simple Pubmed search for “bariatric surgery” reveals over 28,000 papers that have been published since the 1940’s when the first bariatric surgeries were performed. However, there is still an incomplete understanding of the mechanisms for the weight loss and metabolic success of surgery. Understanding the mechanisms is important as it may lead to greater understanding of the pathophysiology of obesity and could lead to surgery-alternative strategies for treatment of all obese patients. In this review, the potential mechanisms that underlie the success of surgery with a focus on potential endocrine, neural, and other circulatory factors (eg. bile acids) that have been proposed to play a role are discussed.

Introduction

Bariatric surgery is currently our most effective strategy for sustained weight loss and improvements in the metabolic co-morbidities of obesity. Weight loss averages $\geq 30\%$ over 10 years and leads to a 40% remission of type 2 diabetes mellitus (T2DM)^{1,2}, often allowing complete discontinuation of T2DM-directed medications. No other treatment can claim this kind of remission of T2DM. The most popular bariatric surgeries worldwide are vertical sleeve gastrectomy (VSG) and roux-en-Y gastric bypass (RYGB)³. After RYGB, ingested food bypasses $\sim 95\%$ of the stomach, the entire duodenum, and a short portion of the jejunum. VSG, a distinct surgery in which 80% of the stomach along the greater curvature is removed with no intestinal rearrangement, is the most common bariatric procedure performed in the United States (58 vs. 19% of total surgeries for VSG vs. RYGB, respectively⁴; see Figure 1 for schematic of each surgery). Regardless of the success of bariatric surgery, the invasiveness and the infrastructure required to perform surgery continues to drive the need to find alternative strategies to treat obesity and T2DM.

The most simplistic hypothesis for the benefits of VSG is that a smaller stomach physically restricts meal size leading to weight loss and improved metabolic endpoints secondary to weight loss. However, data in humans and rodents both demonstrate changes in feeding behavior that go beyond mechanical restriction of meal size. Indeed, we have generated considerable data in our rodent model of VSG that challenge this hypothesis. We find sustained weight loss and decreased body fat but preserved lean

mass with VSG alongside an early post-operative reduction in food intake⁶. While the reduction in food intake might suggest a restrictive mechanism, food intake returns to the level of sham animals ~2-weeks postoperatively. Further, if exposed to a period of food-restricted weight loss, VSG animals become as hyperphagic as sham animals when returned to ad lib access to food⁶. In another physiological model of hyperphagia, lactating female rodents who have had VSG increase feeding to the same extent as sham surgery females⁷. These latter two studies demonstrate that any hypothesized physical restriction does not prevent hyperphagia when the physiology demands it. Lastly, VSG animals consistently avoid calorically-dense high-fat foods indicating that they are not attempting to overcome physical restriction by choosing more calorically-dense foods^{8,9}. In humans^{10,11} and rodents¹², nutrients rapidly empty from the pouch or sleeve from RYGB and VSG surgeries, respectively, further supporting that mechanical restriction does not play a role in surgery-induced reductions in feeding. Thus, the data suggest that the mechanisms that drive the success of bariatric surgery are physiological in nature. This review will discuss the potential mechanisms that underlie the success of surgery with a focus on what has been learned from rodent models.

Comparisons of clinical and pre-clinical outcomes

Preclinical work offers the ability to study cellular and molecular mechanisms that drive the success of surgery. However, there are always important issues to consider when comparing rodent to clinical data. Despite this, the outcomes of surgery are qualitatively very similar between rodents and humans.

Physiologically, both humans and rodents have sustained reductions in body mass, changes in feeding behavior including meal patterning, food reward, and macronutrient preference, rapid nutrient entry into the intestine, large postprandial increases in gut peptides including GLP-1, increases in circulating bile acids, and changes in the microbiome (see below for details). That being said, there are potential critical differences. Most simplistically and not suprisingly, the timing of changes in body weight and food intake differ. The body mass and food intake nadir is typically 2-3 weeks in rodents and 6-12 months in humans. The majority of this weight loss in rodents is fat, rather than lean mass¹³; whereas humans lose both lean and fat mass¹⁴. In regards to food intake, humans seem to have sustained reductions in food intake that

are reported up to 10y post-operatively¹⁵, whereas rodents return to ingesting similar caloric loads as sham surgery animals 2-3 weeks after surgery⁶. However, the persistently lower food intake in humans may actually reflect the intake that is appropriate to maintain energy balance for the new lower body mass. It is also worth mentioning that assessment of food intake in humans is confounded by experimental error given that dietary recall consistently results in under-reporting but also by the fact that patients undergo pre- and post-surgical feeding behavior counseling. Thus, in humans, the ability to understand the biological impact of surgery on food intake is limited.

Regardless, if we assume that food intake is persistently reduced in humans, then another species difference is highlighted by the fact that recent work in mice suggests that the maintenance of weight loss in mice after RYGB may be largely due to increased energy expenditure rather than reduced food intake¹⁶. In contrast, changes (either increases or decreases) in energy expenditure are not consistently reported after VSG in rodents^{6,17}. This, again, is in contrast to humans who have reported reductions in energy expenditure after both RYGB¹⁸ and VSG¹⁹. However, this reduction in energy expenditure could be in response to the reduced caloric intake or it may reflect surgery-induced changes in body composition rather than a direct effect of surgery on energy expenditure¹⁹⁻²¹. That being said, assessment and interpretation of changes in energy expenditure are constantly being debated in both humans and rodents. Regardless of the challenges, there is value in using animal models to understand the biological impact of surgery on these endpoints and in generating targets for the mechanisms underlying the success of surgery.

Mechanisms for metabolic success

The role of gut-secreted peptides

That a change in GI anatomy could cause such a rapid and sustained weight loss with the associated improvements in co-morbidities underscores the tremendous impact of the GI system in regulating homeostasis. However, the exact mechanism(s) driving the weight loss and metabolic improvements still remain elusive. One hypothesis that persists is that bariatric surgery increases nutrient-induced secretions of GI-tract

peptides that have been shown to play a role in regulating appetite, energy expenditure, and blood glucose homeostasis.

Satiety-regulating peptides

Specialized enteroendocrine cells secrete peptides in response to changes in nutrient status. Glucose-dependent insulinotropic peptide (GIP) and glucagon like peptide-1 (GLP-1) secreted from predominantly the upper and lower small intestine, respectively, are thought to be important for regulation of glucose homeostasis while cholecystokinin (CCK), GLP-1, glucagon like peptide-2 (GLP-2), oxyntomodulin, and peptide YY (PYY) also function as satiety signals²². GIP is secreted from enteroendocrine K-cells located within the proximal gut and is critical for regulation of insulin and gastric secretion and motility. Although traditionally these enteroendocrine cells were thought to be differentiated by the peptides they secrete, it is most likely that the differentiation is regional^{23–25}. An example of regional distinction is that GLP-1-secreting cells in the distal jejunum and ileum co-express PYY²⁶, while proximal GLP-1-secreting cells co-express CCK, GIP, neurotensin, or secretin²⁷. Although traditionally these enteroendocrine cells were differentiated by the peptides they secrete, recent research suggests that there is heterogenous co-expression of different peptides in these cells, and that these patterns of co-expression differ between regions of the gastrointestinal tract²⁸. This process may enable enteroendocrine cells to respond to specific local nutritional stimuli²⁹. Bariatric surgeries change both the GI anatomy but also the rate at which nutrients enter the intestine. Thus, it is not surprising that many of these gut peptides are also altered by bariatric surgery.

Ghrelin is secreted from gastric and duodenal enteroendocrine cells; it is one of the few GI tract-secreted peptides for which circulating levels decrease postprandially. Increased circulating ghrelin levels are associated with increased, rather than reduced, drive to eat³⁰. With RYGB, ghrelin levels are maintained in many studies while with VSG they are consistently decreased^{31,32} suggesting that although the stomach and duodenum are no longer receiving luminal nutrient stimuli with RYGB, the blood flow to the tissue is enough to stimulate the release and maintain plasma ghrelin levels. To determine whether the decrease in ghrelin with VSG is necessary for the success of surgery, VSG was performed in mice genetically devoid of ghrelin³³. However, these

mice lost body weight and improved their glucose tolerance as much as sham surgery controls. Together with the slightly superior improvements in body weight and glucose homeostasis with RYGB vs. VSG, these data suggest that a reduction of ghrelin, in and of itself, is not necessary for metabolic improvements after bariatric surgery.

Whether cholecystokinin (CCK), an anorectic peptide secreted from the upper GI tract, increases after bariatric surgery is not clear. Although CCK has been found to be increased in RYGB, this increase seems to be greater after VSG in humans^{34,35}. However, Otsuka Long-Evans Tokushima Fatty (OLETF) rats lacking CCK-1 receptors, are able to lose weight and improve glucose homeostasis in response to RYGB³⁶ suggesting that CCK signaling is also not necessary for the metabolic success of bariatric surgery, or at least in response to RYGB.

Peptide YY (PYY) and GLP-1 are secreted from distal L-cells and both peptides increase postprandially after VSG and RYGB in humans and rodents^{10,37-41}. While consistent increases in PYY are seen after surgery, its mechanistic role in the weight loss associated with bariatric surgery has not been studied as extensively as GLP-1. One study has shown that PYY KO mice lost less weight acutely after RYGB (assessment at 10d postoperatively)⁴². Unfortunately, these mice were not assessed further for changes in feeding behavior.

Both total and active levels of GLP-1 are increased after surgery. Postprandial GLP-1 levels are strikingly (~10-fold) increased after both RYGB and VSG and this increase is seen within 2 d, and is maintained for at least 2 y after surgery^{10,35,43-45}. Importantly, weight loss through caloric restriction does not lead to an increase in postprandial GLP-1 levels like VSG and RYGB⁴⁵ highlighting the physiological effect of these surgeries. Proglucagon is the gene that produces GLP-1 but it also produces other peptides and based on post-translational processing this occurs in a tissue-specific fashion. In the intestine and CNS, expression of prohormone convertase 1/3 processes proglucagon peptides to produce GLP-1 and oxyntomodulin which are both thought to regulate satiety and glucose homeostasis, and GLP-2 which regulates intestinal growth and morphology. Circulating levels of all of these peptides are increased by bariatric surgery^{38,46,47}. Preclinical studies in rats demonstrate that the increase in GLP-2 occurs in parallel with intestinal hypertrophy after RYGB⁴⁸. However,

mice null for the GLP-2 receptor lose weight and improve glucose tolerance similar to WT animals in response to VSG⁴⁹. Given its link to glucose sensing and absorption in the gut⁵⁰, the increase in GLP-2 with RYGB could also blunt some of the macronutrient malabsorption that would be expected to increase with intestinal rearrangement, an effect minimized with VSG. Thus, these apparent differences could reflect the varied impact of the specific surgeries or it could simply be that the increase in GLP-2 and consequent increase in hypertrophy that occurs with RYGB is a marker, but not a mechanism of the success of surgery.

PYY is activated by a cleavage enzyme, dipeptidyl peptidase-4 (DPP4). This same peptide degrades and inactivates GLP-1. To determine the role of these two anorectic peptides in regulating feeding after RYGB, one study administered, saline, a DPP4 inhibitor, a GLP-1 receptor antagonist, or a combination of the DPP4 inhibitor plus the GLP-1 receptor antagonist to patients 3 months after RYGB⁵¹. Only the combined drugs significantly increased the amount of food ingested during the standardized meal, suggesting that both PYY and GLP-1 receptor signaling are necessary to regulate acute meal ingestion after surgery. Altogether, these data suggest that the combined impact of these anorectic peptides is more important than the impact of any one peptide alone.

In the pancreas, predominant expression of proconvertase 2 leads to proglucagon processing to produce glucagon. While some studies have reported an increase in postprandial glucagon after RYGB⁵²⁻⁵⁵, a later study suggested that this work was confounded by the fact that RYGB causes large increases glicentin, another proglucagon peptide that has increasing cross-reactivity with standard glucagon ELISAs with increasing plasma concentrations⁵⁶. Thus, more research is needed from independent groups utilizing sensitive and specific assays to determine whether glucagon is increased with surgery or not. Regardless, if glucagon does go up, it may not be critical in the success of surgery as genetic deficiency of both the glucagon receptor and the GLP-2 receptor does not blunt the metabolic benefits of VSG⁴⁹.

Oral glucose drives a much greater insulin response compared to when the same glucose load is administered intravenously⁵⁷. This incretin effect is attributed to GLP-1 and glucose-dependent insulinotropic peptide (GIP)^{58,59}. In healthy and T2DM subjects,

GLP-1 and GIP contribute nearly equally to the incretin effect stimulating the majority of postprandial insulin release⁵⁸. Instead, the defect with obesity and T2DM seems to be in an overall reduction in the incretin effect⁶⁰. Both RYGB and VSG correct and even enhance the incretin effect. Both GLP-1 and GIP are also rapidly degraded by DPP4, the same cleavage peptide that activates PYY. DPP4 inhibitors increase GIP and GLP-1 two-fold. When administered with or without exendin 9-39, DPP4 inhibitors improve glucose tolerance and insulin secretion in non-surgical T2DM patients⁶¹. In mice, DPP4 inhibitors retain their glucose improvement efficacy when either GLP-1R or GIPR are genetically deficient but not in double GLP-1R/GIPR KO mice⁶². Together these data suggest that either GLP-1 or GIP receptor signaling is sufficient for the ability of DPP4 inhibitors to improve glucose tolerance. However, in T2DM patients that have had RYGB a DPP4 inhibitor failed to improve glucose tolerance or β -cell function while GLP-1 receptor signaling was blocked⁶³ suggesting that RYGB shifts the balance of the incretin effect toward GLP-1 and away from GIP. Interestingly, GIP does not show consistent increases after RYGB^{45,64} and even has demonstrated decreases 1 year after both RYGB⁶⁵ and VSG³². Together these data suggest that postprandial increases in GLP-1 are more important than GIP in regulating the changes in postprandial insulin and consequently glucose after bariatric surgery.

Despite the indication that GLP-1 is important for postprandial changes in glucose homeostasis, whether the increase in GLP-1 is necessary for weight loss or T2DM resolution remains to be seen. One of the complications of determining the mechanistic role of GLP-1 in mediating T2DM resolution is that the duration of disease and consequently the degree of impairment of β -cell function prior to surgery, may be more critical in determining whether those β -cells can recover sufficiently to resolve T2DM⁶⁶. While one study found a predictive role of the degree of increase in GLP-1 and in the remission of T2DM after RYGB⁴¹, another study found no such relationship after VSG⁶⁷. Still, administration of the GLP-1 receptor antagonist, exendin 9-39, impaired the insulin response to an oral glucose load in both humans and rodents after bariatric surgery^{43,68-70} suggesting a role for GLP-1 in postprandial insulin secretion. However, in dietary-induced obese mouse models genetically deficient in GLP-1 receptors, both VSG and RYGB retain their ability to induce weight loss and improve

glucose⁷¹⁻⁷³. Lastly, inducible knockdown of the β -cell GLP-1 receptor in adult mice using the Cre-loxP system prevented improvements in glucose tolerance and glucose-stimulated insulin secretion, but not weight loss⁷⁴ in one study, but there was no impact of a similar genetic disruption on VSG results in another⁷⁵. A recent study with data from lean post-gastrectomy patients with postprandial hypoglycemia and a lean VSG mouse model confirms previous studies that pharmacological blockade of GLP-1 receptor signaling increases glucose and reduces postprandial insulin responses⁷⁶. While it is true that impaired insulin resistance could confound the ability to detect a role of GLP-1 in surgical success in mice, there are several problems with extrapolating these recent data to suggest that GLP-1 is critical for T2DM resolution. First, the extent to which the altered glucose responses to a meal after surgery are responsible for T2DM is not clear. In fact, some argue that an increase in glucose variability as is seen with bariatric surgery has detrimental effects including increased cardiovascular risk⁷⁷. The other issue is the interpretation of pharmacological data. Blockade of GLP-1 receptor signaling increases the glucose curve in both sham and surgery animals or control vs. RYGB patients. In one clinical study where RYGB patients were treated with Ex9 during a meal, the glucose area under the curve values of the Ex9-treated patients were expressed relative to vehicle and the impairment was not statistically different between control and RYGB patients⁷⁸. Thus, the interpretation of these pharmacological studies are complicated and leave an open question as to whether GLP-1R signaling matters specifically for T2DM resolution after surgery, or whether it just generally matters for insulin regulation whether the patients have had surgery or not.

Although surprising that the 10-fold increase in plasma GLP-1 with surgery might not play a critical mechanistic role in the success of surgery, it is possible that this increase reflects a defensive response of the intestine to the rapid nutrient entry induced by both surgeries. Clearly, the changes in GI anatomy with surgery greatly alter the cocktail of postprandial gut peptides. Although these changes, in particular with GLP-1, have been found to be associated with greater weight loss, association does not mean causation. Alternatively, it may be that changes in the whole cocktail of gut peptides is necessary for the response to bariatric surgery explaining why genetic

removal of signaling for one gut peptide at a time has minimal effect. More work will be needed to understand whether the changes in these gut peptides are a marker or a mechanism for the success of surgery.

The role of the nervous system:

Feeding behavior is carefully regulated by the CNS and given the clear changes in feeding behavior with surgery, it would follow that the CNS is mediating these changes. Feeding patterns are clearly and persistently altered by bariatric surgery with both humans and rodents ingesting smaller more frequent meals post-operatively^{79–85}. In addition, bariatric surgery alters taste sensitivity, food reward and macronutrient preference in rodents^{8,9,86,87}. In regards to the latter, lean and obese rats and mice will overwhelmingly ingest fat when given a choice between fat, carbohydrate, and protein macronutrients^{6,9}. However, bariatric surgery shifts this preference towards carbohydrate and away from fat^{6,9,87,88}. In humans similar shifts in food preference are observed⁸⁹. An interesting possibility is that the reduced appetite or shift in macronutrient preference seen with bariatric surgery is not because some foods are found to be more favorable than others but because ingestion of certain foods leads to aversive side-effects. Many patients report feelings of food-induced sickness after either RYGB or VSG^{90,91}. In fact, greater weight loss is correlated with reports of greater food-induced aversion⁹¹. Similarly, rats have a particular aversion to oil after both RYGB⁹² and VSG⁹.

In addition to changes in feeding behavior, there are multiple points of data that indicate that the brain is more highly activated after a meal following bariatric surgery. For example, we have found that FOS-like immunoreactivity, a marker for neuronal activation, within a specific area of the hindbrain, the nucleus of the solitary tract (NTS) and the area postrema (AP), increases after a sucrose or an equi-caloric lipid gavage to a greater extent in male rats that have had VSG vs. rats that had sham surgery and were either ad lib or pair-fed (PF) to the VSG animals⁸. The NTS and AP, are critical junctures between the vagus and blood stream, respectively. In fact, data suggest that it is not just the signaling to this region that is altered but that the electrical properties of neurons within the NTS that are altered by high fat diet and this effect is reversed by RYGB⁹³. In patients that have received RYGB, the hypothalamus, pituitary, and medial

orbital cortex were all more highly activated and the right dorsolateral frontal cortex were more deactivated after a meal⁹⁴.

A critical question is what are the key signals that drive this increase in CNS activation with surgery? The increase in circulating hormones/gut peptides (many of which have receptors throughout the CNS) and/or nutrients could be acting directly within the CNS to initiate these responses. However, at this time there is limited data to support that direct hormone and/or nutrient action drives greater CNS activation. While GLP-1 receptor expression within the CNS has been shown to be important for regulation of body mass, central nervous system administration of exendin 9-39, a potent GLP-1 receptor antagonist, in rats does not block the impact of RYGB on weight loss⁷³ suggesting that CNS GLP-1 receptor signaling is not critical for surgery-induced weight loss. However, it is possible that peripheral nerve GLP-1 receptor signaling overrides the CNS antagonism and/or that GLP-1 receptor signaling works in concert with other gut peptides (e.g. PYY) in order to regulate feeding. In addition, due to increased “gastric” or sleeve emptying rate, nutrients enter the intestine much more rapidly. This clearly changes the pattern of nutrient responses to a meal. For example, continuous glucose monitoring in patients after RYGB shows a greater dynamic range in glucose levels with larger peaks but rapid returns to baseline after a carbohydrate-rich meal⁹⁵. Whether these greater peaks could contribute to greater postprandial CNS activation remains to be determined.

Another possibility is that the nutrient levels themselves or the concomitant rise in gut peptides increase vagal afferent firing which then feeds back to higher brain centers. Additionally, the increased gastric pressure, that drives the increase in emptying rate with VSG¹² could provide greater mechanical feedback via the vagus to the CNS. A standard approach to examining the neuronal component of the gut-brain axis is to surgically ablate the vagus. Neither hepatic branch⁹⁶ or subdiaphragmatic⁹⁷ vagotomy impact surgical weight loss. However, subdiaphragmatic vagotomy did blunt surgery-induced shifts in taste preference, and the mechanism is thought to be due to alterations of dopamine signaling within the CNS⁹⁷. In addition, when the vagus is ligated at the stomach, RYGB is less effective than when the nerve is left intact⁹⁸. Lastly, ablation of the vagal branch that innervates the intestine (celiac branch

vagotomy) also blunts surgery-induced weight loss and suppression of feeding in response to RYGB⁹⁹. Altogether, these data support a specific role for intestinal rather than hepatic vagal innervation in the success of surgery. Like distinct nuclei within the CNS, the vagus is a heterogeneous population of neurons^{100–102} allowing individual neurons to respond to distinct stimuli. Indeed, activation of specific neurons within the nodose ganglia, the cell body of the vagus, have been found to differentially regulate GI functions. For example, optogenetic activation of vagal neurons that express GLP-1 receptors regulate gastric stretch, while activation of neurons expressing a specific nutrient sensing G-coupled protein receptor, GPR65, regulate intestinal nutrient sensing¹⁰². The application of this technology to surgery will be an important to move towards a better understanding of the role of the vagus in mediating the various physiological responses to bariatric surgery.

The role of Intestinal morphology

The intestine forms a critical barrier from the external to internal environment. Perhaps because of this critical function, there is a very high turnover (every 4th day) of the epithelial cells that make up this barrier. These cells line the villi (absorptive region) and crypts (the region where the stem cells, the precursors for intestinal epithelial cells, are located). Nutritional state and intestinal diseases both impact intestinal morphology (villi length and/or crypt depth); for example, obesity has been found to increase both of these variables¹⁰³. However, RYGB has also been found to increase overall intestinal thickness, both villi length and width, crypt depth, and mucosa volume within the roux and common but not in the biliopancreatic limb^{48,104–106}. The lack of proliferation in the biliopancreatic limb suggests a role of nutrient exposure (or lack thereof) in directing these regional differences. Interestingly, data suggest that after RYGB, the intestine directs glucose towards the hexosamine biosynthesis pathway, a metabolic pathway critical in tissue growth¹⁰⁷.

The impact of VSG on intestinal morphology is less clear. One paper reported no impact of VSG on intestinal morphology¹⁰⁴ and others demonstrate an increase in villus length but not crypt depth^{108–110}. Also unlike RYGB, VSG increases the number of GLP-1 positive cells within the jejunum and ileum^{108,110}. This would suggest that VSG drives an increase in production of GLP-1 positive cells. Given that the plasma levels of other

gut peptides (CCK, GIP) are also increased by VSG, these data suggest that there is an overall increase in enteroendocrine cell production. However, more work is needed to differentiate the impact of changes in the response of these enteroendocrine cells to nutrients vs. the increase in cell number and their respective contribution to the overall increase in gut peptide levels and of course whether these differences matter to the overall success of surgery.

The role of changes in bile acids

Bile acids (BA) are synthesized by the liver and travel from the liver to the gall bladder, bile duct, intestine, blood, and finally are transported back into the liver. Primary BA produced by the liver can be conjugated with either glycine or taurine. Once in the lumen of the intestine, the intestinal flora modifies primary BA to form secondary BA. Changes in BA have been linked to changes in glucose homeostasis. For example, fasting plasma levels and specifically increased levels of cholic acid, deoxycholic acid, and their conjugated forms are found in insulin resistant patients¹¹¹ and elevated fasting levels of total BA with preferential increases in more hydrophobic and conjugated BA are found in T2DM patients^{111–113}. Interestingly, RYGB patients who have profound improvements in glucose homeostasis also have been found to have a >3-fold increase in plasma BA as compared with weight-matched nonsurgical controls¹¹⁴. Specifically, RYGB in humans increases cholic acid (CA), chenodeoxycholic acid (CDCA) (primary BA), and deoxycholic acid (DCA; a secondary BA)^{115–119}. The difference in BA between bariatric surgery and impaired glucose metabolism may reside in differences in the ratio of the various BA species. For example, one study demonstrated that a higher proportion of CDCA relative to total bile acids (CDCA%) and a shorter duration of diabetes was predictive of surgery-induced remission of T2DM in Chinese patients¹²⁰. Similar effects on BA increases are observed after bariatric surgery in animal models^{109,121,122}. In mice, VSG also results in a change in the composition of BA also towards CA, but there is also an increase in tauroursodeoxycholic acid (TUDCA)⁹⁵, a particular BA that has been found to have potent metabolic effects in a diabetic mouse model¹²³. Interestingly, ursodeoxycholic acid, a hydrophilic secondary BA utilized pharmacologically to treat cholestasis, has no additional impact on gut peptide or glucose levels when administered to RYGB patients¹²⁴. Thus, the differences in the

impact of obesity and bariatric surgery are important as different types of BA have differing metabolic properties and differing affinities (including antagonistic properties) for the two receptors thought to be critical for BA signaling.

In addition to their emulsifying properties, BA also act as hormones with two different identified receptors; a cell surface membrane-bound G protein-coupled receptor (TGR5)¹²⁵, and a nuclear transcription factor, farnesoid X receptor (FXR)^{126,127}. TGR5 activation within the intestine increases secretion of GLP-1 from intestinal L-cells¹²⁸, and within the muscle and brown adipose tissue it increases energy expenditure¹²⁹. FXR, which is highly expressed in the liver, intestine, kidney, and adrenal glands, has been found to be a crucial upstream regulator of lipid and glucose metabolism, and of BA synthesis^{130–132}.

Intestinal activation of FXR results in the upregulation of fibroblast growth factor 19 (FGF19; FGF15 is the mouse ortholog) synthesis and secretion. In turn, circulating FGF19/15 regulates hepatic BA synthesis and stimulates gall bladder filling. FGF19/15 improves glucose homeostasis specifically by inhibiting hepatic gluconeogenesis¹³³, enhancing hepatic mitochondrial activity and glycogenolysis^{134,135}, and increasing insulin-independent glucose uptake¹³³ and does so by activating multiple fibroblast growth factor receptors in multiple target organs including the liver, pancreas, adipose, and brain¹³⁶. Demonstrating translational relevance of these findings, patients with T2DM have reduced FGF19 levels¹¹³ and RYGB increases FGF19, an effect that has been linked to the surgery-induced T2DM remission^{118,137,138}.

Of course association does not mean causation so preclinical studies have been carried out to determine whether BA signaling is necessary for the success of surgery. With TGR5, the data are conflicting. One study found that TGR5-KO mice demonstrated similar weight loss compared to sham surgery animals but the degree of surgery-induced improvements in both glucose tolerance and hepatic triglycerides was blunted¹³⁹. These mice also retained the postprandial increase in GLP-1. While another paper also found that TGR5-KO mice had blunted improvements in glucose tolerance and hepatic triglycerides, they also found that these mice did not lose weight, had blunted energy expenditure and postprandial increases in GLP-1¹⁴⁰. It is unknown what factors contribute to these differences. Both papers used mice that were generated by

Merck¹⁴¹ although mice were purchased from Taconic for one paper¹³⁹ and were received directly from Merck¹⁴⁰ in the other. There are methodological considerations as well. The age of the animals when placed on the high fat diet (HFD), the type and amount of time the animals were on HFD, and the amount of time the animals were studied after surgery differed between the studies. This latter point might be important as both studies report early weight loss after VSG regardless of genotype but the TGR5KO animals that had VSG regained body weight in the paper by Ding et al.¹⁴⁰ at a time point that was later than the time point for which the animals were sacrificed in the paper by McGavigan et al.¹³⁹. Lastly, although it is difficult to tell based on the reported methodology, differences in surgical technique could also contribute. Thus, clearly more work is needed to determine the role, if any, of TGR5 in the metabolic success of surgery.

The specific role of FXR in the metabolic success of surgery has also been explored. FXR-KO mice lost less weight, did not improve glucose tolerance, or shift their macronutrient preference to carbohydrates from fat in response to VSG¹⁴². Interestingly, the FXR KO mice preserved their postprandial GLP-1 response to surgery suggesting that FXR does not regulate GLP-1 secretion but also that GLP-1 secretion alone, cannot overcome the impact of loss of FXR on surgical outcome.

Downstream of FXR signaling within the liver is the small heterodimer partner (SHP) pathway. Using a viral knock-down of this pathway, Myronovych et al.¹⁰⁹ found that while VSG induced weight loss, there was a proinflammatory phenotype in these animals suggesting that VSG-induced improvements in hepatic lipid levels and inflammation is dependent upon SHP. Given the wide impact and multi-target organ impact of FXR and FGF15/19 signaling on metabolism, more mechanistic preclinical work is needed to understand the full impact of this system on the success of bariatric surgery.

The role of the microbiome

A potential critical factor in integrating BA processing and FXR signaling is the microbiome. FXR indirectly regulates the microbiome by regulating BA synthesis. Conversely, as discussed above, the microbiome regulates the conversion of primary BA to secondary BA within the lumen of the intestine. However, recent work suggests

that FXR also directly regulates the composition of the microbiome¹⁴³. In turn, the microbiome regulates hepatic cholesterol 7 alpha-hydroxylase (CYP7A1; the rate limiting enzyme in BA synthesis) and FGF15 in the ileum; an effect that is dependent upon FXR signaling¹⁴⁴. Clearly these data highlight the very close symbiotic relationship between FXR signaling, the microbiome, and BA.

In both WT and FXR-KO mice, the expected shift in bacteroides genus to the firmicutes genus in the microbiome was seen after VSG suggesting that these flora change in an FXR-independent manner¹⁴². However, the improvement in glucose homeostasis with VSG was associated with increases in a specific genus, roseburia, a butyrate producing bacterium, and this effect was not seen in FXR-KO mice. Still whether the changes in the microbiome with bariatric surgery drive the metabolic impact of surgery remains to be seen. A recent manuscript by Aron-Wisniewski et al.¹⁴⁵ found that severe obesity is associated with low microbial gene richness. However, RYGB patients retained this low microbial gene richness despite weight loss and cardiometabolic improvements; even in a cohort that was studied 5 years after RYGB. These data dissociate microbial dysbiosis to metabolism and also underscore that we are only at the beginning of our understanding of the impact of the microbiome on physiological regulation of body mass and certainly in the metabolic impact of bariatric surgery.

Conclusions

A simple Pubmed search for “bariatric surgery” reveals over 28,000 papers that have been published since the 1940’s when the first bariatric surgeries were performed. Most of what has been learned from this extensive literature is that bariatric surgery has widespread physiological impact. This particular review has summarized some of the work that has explored the role of the CNS, the gut, and the gut-brain axis on the responses to bariatric surgery (summarized in Figure 1). However, there is still lack an understanding of the mechanisms that underlie the success of surgery. The most promising link in mice seems to be between BA and/or FXR signaling, yet clearly more work is needed to understand the link between BA signaling and the metabolic success of surgery in humans.

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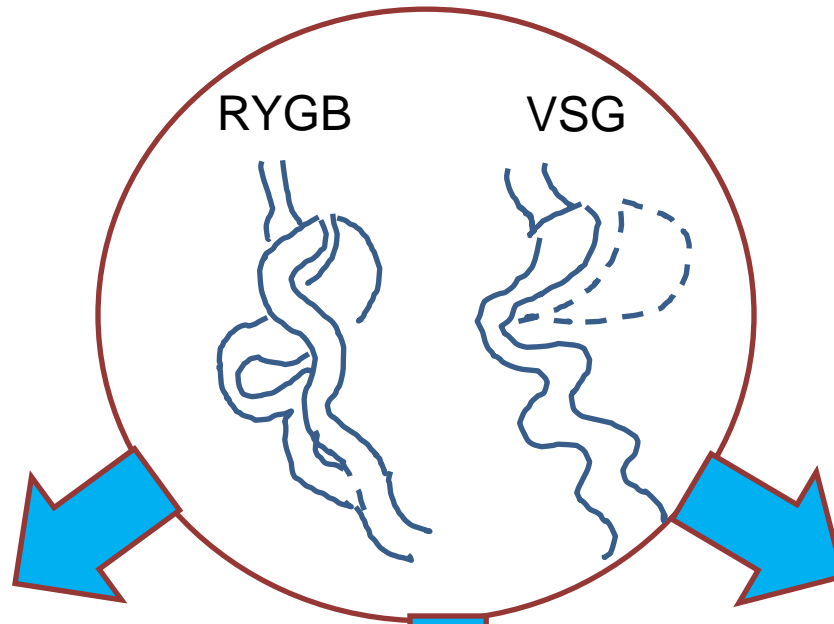
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Figure 1. The impact of VSG and RYGB on CNS, gut, and the gut-brain axis. The CNS is more highly activated by surgery and induces changes in feeding patterns and macronutrient preference. The gut responds with increases postprandial peptide secretions, increases in plasma bile acids (BA) and changes in the microbiome. The gut-brain axis may integrate these two systems as celiac gangliectomy blunts weight loss responses to RYGB.



RYGB

VSG

CNS adaptations

↑ CNS nutrient-induced activation
Altered macronutrient preference
Altered feeding patterns

Intestinal adaptations

↑ postprandial gut peptides
↑ bile acids
Change in the microbiome

Gut-brain axis integration

Celiac ganglion necessary for surgery-induced weight loss