Characterization of macrophage polarization in periodontal disease

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Abstract
Aim: To explore the M1/M2 status of macrophage polarization from healthy, gingivitis, and periodontitis patient samples.

Materials and methods: Gingival biopsies were collected from 42 individuals (14 gingivitis, 18 periodontitis, and 10 healthy samples) receiving periodontal therapy. Histomorphology analysis was performed with haematoxylin and eosin staining. Immunofluorescence was performed using a combination of CD68 (macrophages), iNOS (M1), and CD206 (M2) in order to acquire changes in macrophage polarization at a single-cell resolution. Macrophages were quantified under microscopy using narrow wavelength filters to detect Alexa 488, Alexa 568, Alexa 633 fluorophores, and Hoechst 33342 to identify cellular DNA content.

Results: Gingivitis and periodontitis samples showed higher levels of macrophages compared with healthy samples. Unexpectedly, periodontitis samples displayed lower levels of macrophages dispersed in the stromal tissues compared with gingivitis samples; however, it remained higher than healthy tissues. The polarization of macrophages appears to be reduced in periodontitis and showed similar levels to those observed in healthy tissues.

Conclusions: Our study found that gingivitis and periodontitis differ from each other by the levels of macrophage infiltrate, but not by changes in macrophage polarization.

KEYWORDS
allergy and immunology, gingivitis, immunohistochemistry, immunologic factors, inflammation, periodontitis
INTRODUCTION

Periodontal diseases are infectious and inflammatory conditions that disrupt the periodontium homeostasis, collectively affecting the gingiva, alveolar bone, periodontal ligament, and cementum. Periodontitis is characterized by a spread of inflammatory infiltrate progressively into the periodontal tissues, resulting in loss of attachment and alveolar bone together with the apical migration of the junctional epithelium (Kinane, Bouchard, & Group E of European Workshop on Periodontology, 2008; Page & Schroeder, 1976). A high prevalence of periodontitis was confirmed from a recent National Health and Nutrition Examination Survey (NHANES) study reporting that nearly 50% of the United States population is affected (Eke et al., 2015). The more severe form of periodontitis affects about 10% of all patients (Kassebaum et al., 2014) suggesting the existence of contributing factors such as gene polymorphisms (Divaris et al., 2013) and increased host susceptibility for disease progression (Eke et al., 2015, 2016; Loe, Anerud, Boysen, & Morrison, 1986).

Over the past decades, different disease progression models for periodontitis have been described addressing bacterial biofilm as the primary aetiology (Jeffcoat & Reddy, 1991; Socransky, Haffajee, Goodson, & Lindhe, 1984; Teles et al., 2016). However, limited evidence on the transition from established to advanced stages of periodontitis is available. Experimental human models have provided valuable information on the key role of biofilm and patterns of adaptive- innate immune responses (Loe, Theilade, & Jensen, 1965; Seymour, Powell, & Aitken, 1983). Conversely, a particular group of individuals does not display signs of progressive attachment and/or bone loss despite the presence of biofilm and gingival inflammation (Hugoson, Sjödin, & Norderyd, 2008). In other instances, long-standing gingivitis lesions exhibit different cellular composition to periodontitis lesions (Thorbert-Mros, Larsson, & Berglundh, 2015). The hypothesis of immunological mechanisms down-regulating the destructive nature could explain why some established lesions might not progress into advanced forms.

Several mechanisms may influence disease progression of periodontitis like epigenetic deregulation of the periodontium homeostasis resulting in dysfunctional host response to local microbiota and the development of an endotoxin-tolerant phenotype elicited by chronic exposure of periodontal tissues to bacterial endotoxins (Martins et al., 2016; Seeley & Ghosh, 2017; Zhang et al., 2013). In fact, activation of the endotoxin tolerance mechanism constitutes an emerging area of interest in chronic inflammatory diseases and may hold the promise to better understand disease progression of patients at high risk for the development of periodontitis.

The proportional distribution of inflammatory cells in periodontitis lesion has been described in reviews on studies reporting on histological evaluations of human samples (Berglundh & Donati, 2005; Berglundh, Zitzmann, & Donati, 2011). Thus, B cells and plasma cells together occupy about 60%, T helper and T-cytotoxic cells 17%, while macrophages and neutrophils represent 5%–7% of the inflammatory cell population. Similar results were reported in recent assessments of human periodontitis lesions (Carcuc & Berglundh, 2014; Thorbert-Mros et al., 2015). Although occurring in relatively small proportions; macrophages exhibit essential defensive and regulatory functions. The phagocytic abilities of macrophages as resident cells or monocyte-derived cells recruited upon inflammation are key players in the development of acquired immunity (Martinez & Gordon, 2014). Macrophages are endowed with high cellular plasticity capable of responding to distinct environmental signals. Upon activation, macrophages can differentiate into M1 (classical) or M2 (alternative) phenotypes, with M1 being pro-inflammatory and involved in bacterial killing and promoting inflammation by an increase in production of interleukin-6 (IL-6), tumour necrosis factor-alpha (TNF-α), and inducible nitric oxide synthase (iNOS).

In contrast, the M2 phenotype plays a role in the resolution of inflammation and tissue repair being characterized by the production of IL-10 and a decreased expression of in IL-6 (Das et al., 2015; Garlet & Giannobile, 2018; Yu et al., 2016). Furthermore, macrophage polarization to an M2 phenotype has been associated with the presence of chronic infections (Mills & Ley, 2014). Animal models for experimental periodontitis have shown high levels of TGF-β, CD80, and TNF-α mRNA expression during early inflammatory process of ligature-induced periodontitis (M1), while high CD206 expression level was found during tissue healing (M2) (Viniegra et al., 2018). Despite the importance of M1/M2 polarization in inflammatory diseases, little is known about the macrophage polarization status of periodontitis and gingivitis in humans. In this investigation, we explored the macrophage content and polarization of periodontitis compared with gingivitis and healthy gingiva tissues to better understand macrophage polarization in vivo.
2 | MATERIALS AND METHODS

2.1 | Subject selection

Forty-two patients (38.08% females and 61.89% males) provided a total of 10 healthy, 14 gingivitis, and 18 periodontitis biopsies. Overall, the patients’ mean age was 56.75 years (Range: 19–75 years old). Six patients (14.28%) reported a history of smoking (>1 year). Analysis of the effects of smoking, age, or gender was not accessed in this study. Based on the periodontal clinical and radiographic parameters, demographic data of all included subjects were subdivided into three groups according to the previously described disease categories as depicted in Table 1.

Research subjects were recruited from patients seeking dental treatment or receiving active/supportive therapy at the Graduate Periodontics Clinic from the University of Michigan School of Dentistry (n = 32, 10 healthy, 10 gingivitis, and 12 periodontitis) and the Clinic of Periodontics, Public Dental Service, and Clinic for undergraduate training, Institute of Odontology, Sahlgrenska Academy, University of Gothenburg (n = 10, four gingivitis and six periodontitis). The study protocols were reviewed and approved by the University of Michigan Health Science Institutional Review Board (HUM00097548) and the local Human Review Board at University of Gothenburg (Dnr 677-05) to ensure participants’ rights were protected. This study was performed in accordance with the Helsinki Declaration of 1964, as revised in 2013.

To be eligible for this study, patients possessing 20 or more teeth received a complete oral examination. The inclusion criteria combined periodontal probing depths (PD) (<4 mm for gingivitis and ≥4 mm for periodontitis), clinical attachment level (CAL) (<3 mm for gingivitis and ≥3 mm for periodontitis), radiographic marginal bone loss (MBL) (≥50% for periodontitis), and bleeding on probing (BOP) assessed at six sites per tooth. Gingivitis samples were used here as controls for periodontitis; therefore, we paid special attention to the selection of the biopsy site. Tissue harvesting was exclusively removed from areas presenting no clinical history of periodontitis, along radiograph data with lack of bone loss. All inclusion criteria were accessed at six sites per tooth for gingivitis patients and 4 sites per tooth for periodontitis patients. Other measurements included furcation involvement (FI), gingival bleeding index (GBI), bleeding with exudate (BE), mobility, fremitus, and zone of keratinized gingiva.

Patients were excluded if they possessed any of the following conditions: (a) uncontrolled systemic disease or condition is known to alter bone metabolism (e.g. osteoporosis, osteopenia, hyperparathyroidism, Paget’s disease); (b) pregnancy; (c) history of oral cancer, sepsis or adverse outcomes to oral procedures; (d) long-term use of antibiotics (>2 weeks in the past 2 months); and (e) patients taking medications known to modify bone metabolism (e.g. bisphosphonates, corticosteroids, hormone replacement therapy), past (<1 year) and current smokers. Before enrolment, all subjects received information about the study and signed informed consent. Patients requiring at least one surgical procedure within the periodontium whereby a gingival biopsy could be harvested were identified.

2.2 | Sample collection and processing

Samples were obtained from a single site around common dental and periodontal procedures displaying the most evident clinical signs of chronic inflammation (deepest PD site, oedema, red/purple-coloured gingiva, and profuse bleeding). Gingivectomies after orthodontic treatment and aesthetic crown lengthening procedures were employed for control subjects for aesthetic purposes. Patients affected with gingivitis; clinical crown lengthening was used to reflect chronic gingival inflammation for restorative and biologic purposes. Ultimately, open flap debridement and/or respective approaches were selected for periodontitis-affected patients. Removal of these tissue biopsies did not interfere with regular (internal bevelled) incisions or procedures as presented in the initial treatment plan or influence upon the expected clinical outcomes. After collection, biopsies were fixed in a 4% formalin solution for 24 hr of fixation, dehydrated, and embedded in paraffin. The samples were stored as coded specimens not to reveal patient-related information.

<table>
<thead>
<tr>
<th>Characteristic (total)</th>
<th>Healthy</th>
<th>Gingivitis</th>
<th>Periodontitis</th>
</tr>
</thead>
<tbody>
<tr>
<td>Inclusion criteria</td>
<td>No CAL</td>
<td>CAL &lt; 3mm</td>
<td>CAL ≥ 3mm</td>
</tr>
<tr>
<td>PD ≤ 4mm</td>
<td>No BOP</td>
<td>PD ≤ 4mm</td>
<td>PD ≥ 4mm</td>
</tr>
<tr>
<td>(GBI ≤ 1)</td>
<td>No MBL</td>
<td>BOP (GBI ≥ 2)</td>
<td>BOP (GBI ≥ 2)</td>
</tr>
<tr>
<td></td>
<td></td>
<td>No MBL</td>
<td>MBL (≥50%)</td>
</tr>
<tr>
<td>Subjects (n = 42)</td>
<td>10</td>
<td>14</td>
<td>18</td>
</tr>
<tr>
<td>Males (61.89%)</td>
<td>14.28%</td>
<td>21.42%</td>
<td>26.19%</td>
</tr>
<tr>
<td>Females (38.08%)</td>
<td>9.52%</td>
<td>11.90%</td>
<td>16.66%</td>
</tr>
<tr>
<td>Past smokers (n = 6)</td>
<td>4.76%</td>
<td>0%</td>
<td>9.52%</td>
</tr>
<tr>
<td>Mean age (years)</td>
<td>49.10 (19–72)</td>
<td>61.50 (39–64)</td>
<td>59.16 (30–75)</td>
</tr>
<tr>
<td>(56.75 years old)</td>
<td></td>
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</tbody>
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Abbreviations: BOP, bleeding on probing; CAL, clinical attachment level; GBI, gingival bleeding index; MBL, marginal bone loss; PD, probing depths.

TABLE 1 Demographic characteristics of study participants
2.3 | Identification of single-cell macrophage polarization using immunofluorescence

Sections of approximately 4 μm in thickness were dewaxed, hydrated, and incubated in antigen retrieval solution of 10 mM/L sodium citrate buffer (pH 6). The sections were incubated with 3% bovine serum albumin (BSA) in phosphate buffered saline for blocking of unspecific binding followed by incubation overnight at 4°C with the following primary antibodies (Dilution 1:50): anti-CD68 (rabbit monoclonal antibody; Thermo Scientific), anti-iNOS (MAB9502; R&D System), and anti-CD206 (goat AF2534; R&D System). The sections were then incubated with Alexa-conjugated secondary antibodies: Alexa-488 anti-goat (dilution 1:200), Alexa-568 anti-rabbit (dilution 1:200), and Alexa-633 anti-mouse (dilution 1:50) for 1 hr at room temperature. The sections were counterstained with Hoechst 33342 (Sigma-Aldrich Corp.) to visualize DNA content. The omission of the primary antibody was used as negative controls. Images were taken using a QImaging® Exi Aqua™ monochrome digital camera attached to a Nikon Eclipse 80i Microscope (Nikon) and visualized with QCapturePro software.

2.4 | Quantification of M1 (CD68/iNOS) and M2 (CD68/CD206) macrophage polarization

Representative areas of each coded sample were photographed (10 independent fields per sample) including the area of the subepithelial/functional epithelium and at the inflamed/infiltrated connective tissue (ICT) (40× objective). Images from each sample were extracted using fluorophore channels correspondent to CD68 (total macrophages), CD68/iNOS (M1 polarization), or CD68/CD206 (M2 polarization). Only cells presenting double staining for CD68 and iNOS or CD68 and CD206 were counted to avoid the quantification of non-macrophages cells expressing iNOS or CD206. All pictures were further analysed as monochromatic images using the NIH ImageJ software [https://imagej.nih.gov/ij/] split-channel mode and further quantified using the cell counting plugin app. The results were expressed as a percentage of positively double-stained cells among the total number of CD68-positive cells. The samples were evaluated by two independent trained and calibrated examiners (LL and CGP).

2.5 | Statistical analysis

Statistical analyses were performed by Student t-test using GraphPad Prism (GraphPad Software). Spearman’s rank correlation test was used to measure the strength of the correlation between variables (CD68/iNOS and CD68/CD206). p value ≤0.05 was considered statistically significant, and differences were noted by asterisks (*) or p values (•••• p ≤ 0.0001, not significant). One-way analysis of variance (ANOVA) followed by Tukey’s multiple comparison tests was also used for each group (healthy, periodontitis, and gingivitis).

3 | RESULTS

3.1 | Single-cell resolution of macrophage polarization in healthy tissues

Here, we explored the macrophage content of the gingival connective tissue localized juxta-epithelium from healthy samples as a baseline for the analysis of tissues from gingivitis or periodontitis patients. In order to establish a normal baseline of macrophage content and subsequently polarization, we evaluated healthy gingival tissues for CD68, iNOS (M1), and CD206 (M2) expression levels. We found that normal tissues negative for BOP exhibit a density of CD68-positive macrophages at a mean concentration of 5.38 cells per microscopic field at a magnification of 200× (Figure 1a). During the polarization analysis, macrophages demonstrated co-expression of iNOS signifying M1 polarization, with a mean concentration of 0.93 cells per field. Healthy tissues also presented macrophages undergoing M2 polarization (CD68/CD206-positive staining) at significantly higher levels (mean of 3.4 cells/field) when compared with macrophages under M1 polarization (Figure 1a, p ≤ 0.0001). Such differences became more evident when gingivitis and periodontitis samples were baseline corrected versus healthy tissues.

FIGURE 1 Macrophage polarization profile of healthy gingival tissues. (a) Graphic display total number of macrophages positive for CD68, CD206, and iNOS observed per histological field. Note statistically relevant accumulation of macrophages undergoing M2 compared with M1 polarization (**p < 0.001, SE of difference 0.3375). (b) Graphical representation of M1 and M2 polarization adjusted for the total number of CD68-positive cells (macrophages) displayed as a percentage of total cells. Note higher levels of M2 polarization in healthy tissues compared with M1 polarization.
circumstances, macrophages undergoing M1 and M2 polarization represented a mean of 22.14% and 72.24% of the total number of tissue macrophages, respectively (Figure 1b).

3.2 | Gingivitis contains a higher number of macrophages when compared with periodontitis tissues

Similar to normal healthy tissues, gingivitis and periodontitis tissues are characterized by the presence of CD68-positive macrophages expressing iNOS or CD206 (Figure 2a-d), and in some instances, the co-expression of all three markers was observed (Figure 2b, box #4). Such diversity of the expression pattern of macrophages is well observed in Figure 2b,d that illustrates macrophages exclusively expressing the surface marker CD68 and thereby demonstrating M0 polarization (Figure 2b,d, box #1), the presence of M1 polarization (Figure 2b,d, box #2), the presence of M2 polarization (Figure 2b,d, box #3), and the presence of macrophages co-expressing markers for M1 and M2 polarization shown here exclusively in the gingivitis samples (Figure 2b box #4).
FIGURE 3  Overall polarization of macrophages from gingivitis and periodontitis samples. (a and b). Quantification of total number of macrophages from gingivitis and periodontitis samples presenting exclusive staining for CD68 (red), or double staining for CD68 and iNOS (blue), or CD68 and CD206 (green) per field. Note reduced levels of M1 and M2 polarization along with low levels of total macrophages in periodontitis disease tissues compared with gingivitis. (c and d) Expression of the baseline corrected percentage of total number of macrophages presenting M1 and M2 polarization from gingivitis and periodontitis samples. Macrophages found in gingivitis (mean of 45.84%, SEM 2.19) and periodontitis (mean of 48.34%, SEM 1.68) tissues show higher numbers of M2 polarization. (e) Representation of CD68⁩⁺⁩ macrophage distribution presenting M1 polarization (iNOS) (18.24% for gingivitis and 20.02% for periodontitis), and M2 polarization (CD206) (45.84% for gingivitis and 48% for periodontitis), along with macrophage cells expressing concomitant M1 and M2 polarization (16.01% for gingivitis and 14.87% for periodontitis)
Overall, tissue samples from gingivitis and periodontitis showed high levels of M2 polarization as represented by the heat map distribution containing all quantified histological fields per condition (Figure 2e,f).

Interestingly, however, gingivitis tissues differ from periodontitis concerning the total number of macrophages distributed within the connective tissues. Gingivitis tissues showed nearly doubled number of macrophages presented per field over periodontitis samples.
Based on the concept of host-modulation, macrophages play important roles as mediators and effector in the immune response mediated by Th1 and Th2 cells (Mills, Kincaid, Alt, Heilman, & Hill, 2000). The role of M1/M2 macrophage polarization in the pathogenesis of periodontal disease has proven difficult to define. Much of the complications of the field rely on the individual analysis of M1 or M2 polarization of macrophages using immunohistochemistry techniques, while only a few studies explore the presence of both phenotypes, especially at a single-cell resolution. For example, M1 focused studies have noted an M1-polarization phenotype in periodontitis (Gheren, Cortelli, Rodrigues, Holzhausen, & Saad, 2008; Gorska et al., 2003; Gullu, Ozmeric, Tokman, Elgun, & Balos, 2005; Holden et al., 2014; Hussain, McKay, Gonzales-Marín, & Allaker, 2016; Lam et al., 2014; Lappin, Kjeldsen, Sander, & Kinane, 2000; Navarrete et al., 2014; Ozmeric, Elgun, & Uraz, 2000), while other studies have shown enhanced accumulation of an M2 phenotype (Gheren et al., 2008; Navarrete et al., 2014). Adding to the M1/M2 paradigm complexity, a reduction in M2 polarization found in periodontitis lesions has also been demonstrated (Gullu et al., 2005; Lam et al., 2014).

To address this shortcoming in the literature, we designed a well-controlled experiment using a representative cohort of patients ranging from 19 to 75 years old presenting no clinical history of uncontrolled systemic disease or use of drugs that could have an impact over the bone metabolism. We also decided to carefully exclude patients with a history of oral cancer, sepsis, use of antibiotics, and smokers. As our study design aims to explore a more accurate picture from a pathogenesis standpoint and minimize potential environmental factors (e.g., smoking), we focused on a cohort of patients presenting stable periodontal disease progression.

Some other methodological improvements were included in this study aiming at reducing the bias of using a single antibody per histological sections. By implementing four different fluorescent channels, we gain single-cell resolution of macrophages undergoing polarization, along with reduced bias in quantifying non-macrophage cells expressing M1 and M2 polarization markers and gaining in the ability to identify macrophages undergoing an M1-M2 transition. At last, we decided to evaluate the global macrophage polarization throughout the gingival tissue samples by accounting for the total number of macrophages located at the ICT and distributed at the subjacent mucosa.

Our strategy demonstrated that macrophages are more frequently found in gingivitis samples compared with periodontitis. Furthermore, the ratio of macrophages under M1 or M2 cellular polarization followed similar patterns of displaying larger numbers in gingivitis compared with periodontitis. From a polarization perspective, macrophages were often observed in M2 polarization for both conditions, gingivitis, and periodontitis. Much of these findings suggest an overall presence of a chronic phase of inflammation in both inflammatory conditions compared with an acute process represented by an M1 polarization. This observation may elute to the observed overall reduction in the total number of macrophages observed in periodontitis samples and suggests a reset of macrophages influx to levels observed in normal tissues. Down-regulation of macrophage influx may, to some degree, be a weak level of local

3.3 | The polarization of macrophages in periodontitis share similarities with healthy tissues

The screening of all histological fields from health, gingivitis, and periodontitis patients revealed a common trend on M2 macrophage polarization (Figure 4a,b). Immunofluorescence analysis also revealed that gingivitis tissues presented the highest total numbers of macrophages as well as highest M1 and M2 polarization (Figure 3c). Similarly, periodontitis macrophages present 48% of macrophages undergoing M2 polarization and 20% of all macrophages undergoing M1 polarization (Figure 3d). Like M1 or M2 polarization, we also found macrophages presenting a transition between M1 and M2 polarization identified by the co-labelling of CD68, iNOS, and CD206. These macrophages are relatively common within soft tissues of gingivitis and periodontitis representing 16% of all stained macrophages in gingivitis and 14.8% in periodontitis samples (Figure 3e).

All in all, our study found that gingivitis and periodontitis differ from each other by the levels of macrophage infiltrate, but not by changes in macrophage polarization.

4 | DISCUSSION

Based on the concept of host-modulation, macrophages play important roles as mediators and effector in the immune response...
immunosuppression at the chronic inflammatory anatomical site in an attempt to mitigate tissue destruction. Although unexpected, our findings align with the observations from Chapple and colleagues that observed an apparent failure of recruitment and activation of macrophages in destructive periodontitis (Chapple, Srivastava, & Hunter, 1998). Another study from Lins and collaborators also demonstrated the presence of a higher density of macrophages in gingivitis samples compared with chronic periodontitis (Lins et al., 2008). Conversely, Thorbert-Mros et al. (2015) showed evidence on the accumulation of macrophages within the composition of the inflammatory infiltrate from severe generalized periodontitis compared with long-standing gingivitis. All studies present robust methodologies but differ on the approach and patient cohort composition.

In the context of cellular phenotype, the polarization of macrophages might be part of both inductive and resolving mechanisms of tissue inflammation (Das et al., 2015; Viniegra et al., 2018). Yu and co-workers described a phenotypic switch from M2 to M1 from bone marrow-derived macrophages after P. gingivalis lipopolysaccharide (LPS) stimulation in a ligature-induced periodontitis murine model (Yu et al., 2016). We also observed the presence of a cohort of macrophages presenting a combined polarization phenotype simultaneously expressing M1 and M2 markers. Such finding was consistently observed in gingivitis (16.01%) and periodontitis (14.87%) samples, suggesting greater plasticity of macrophages capable of transition between M1 and M2 polarization.

Macrophage polarization-modulating agents might be considered in the future as immune regulation drugs for the prevention, treatment, and reduction in patient susceptibility for periodontal diseases (Di Paola et al., 2006; Hassumi et al., 2009; Sima & Glogauer, 2013). Recently, rosiglitazone induction of pro-resolving macrophages has shown to reduce bone resorption and increase bone formation during healing in experimental ligature-induced periodontitis (Viniegra et al., 2018). Advancements in immunology and a complete understanding of the role of macrophages in the transition of established to advanced stages of periodontal disease could open new fields for the development of diagnostics and therapeutic tools in periodontics.

In summary, our ability to identify the polarization of macrophages at a single-cell resolution provides a unique landscape of healthy tissues, gingivitis, and periodontitis. Our study found that gingivitis and periodontitis differ from each other by the levels of macrophage infiltrate, but not by changes in macrophage polarization.

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CONFLICT OF INTEREST

None of the authors have any conflict of interest in the article.

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