

1 **MESSENGER Observations of Disappearing Dayside Magnetosphere Events**  
2 **at Mercury**

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**Key Points:**

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- The dayside magnetosphere of Mercury is observed to disappear at MESSENGER's orbit during some coronal mass ejection impacts

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- The cause appears to be extreme solar wind compression and/or reconnection-driven erosion of Mercury's dayside magnetic field

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- The low altitude of the bow shock during these events strongly suggests that Mercury's dayside surface experiences direct solar wind impact

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## 29 **Abstract**

30 MESSENGER measurements taken during passes over Mercury's dayside hemisphere indicate  
31 that on 4 occasions the spacecraft remained in the magnetosheath even though it reached  
32 altitudes below 300 km. During these disappearing dayside magnetosphere (DDM) events,  
33 MESSENGER did not encounter the magnetopause until it was at very high magnetic latitudes, ~  
34 66 to 80°. These DDM events stand-out with respect to their extremely high solar wind dynamic  
35 pressures,  $P_{sw} \sim 140$  to 290 nPa, and intense southward magnetic fields,  $B_z \sim -100$  to -400 nT,  
36 measured in the magnetosheath. In addition, the bow shock was observed very close to the  
37 surface during these events with a subsolar altitude of ~1200 km. It is suggested that DDM  
38 events, which are closely associated with coronal mass ejections, are due to solar wind  
39 compression and/or reconnection-driven erosion of the dayside magnetosphere. The very low  
40 altitude of the bow shock during these events strongly suggests that the solar wind impacts much  
41 of Mercury's sunlit hemisphere during these events. More study of these disappearing dayside  
42 events is required, but it is likely that solar wind sputtering of neutrals from the surface into the  
43 exosphere maximizes during these intervals.

## 44 45 **1.0 Introduction**

46 Since the initial Mariner 10 discovery of its weak, rotation-axis-aligned dipolar magnetic  
47 field [Ness et al., 1974; Anderson et al., 2011], there has been great interest in this, the smallest  
48 of the Solar System's six planetary magnetospheres. The mean distance from the internal dipole  
49 to the nose of Mercury's magnetopause is only ~1.5  $R_M$  [Slavin et al., 2009; Winslow et al.,  
50 2013; Zhong et al., 2015a]. As originally found by Mariner 10, the structure of Mercury's

51 magnetosphere is quite similar in many ways to that of the Earth [e.g. Alexeev et al, 2010; Slavin  
52 et al., 2012]. However, the features of the Earth's magnetosphere that map to the interior of  
53 Mercury due to its large size relative to its magnetosphere, such as a radiation belts and Region 2  
54 currents, are not present [Ogilvie et al., 1976; Slavin et al., 1997]. Mercury's magnetic dipole is  
55 offset to the north by  $0.2 R_M$  ( $1 R_M = 2440$  km) [Alexeev et al., 2010; Anderson et al., 2011],  
56 which introduces significant north/south asymmetries in the polar magnetic fields, the size of the  
57 polar caps, the loss cones and other phenomena [see review by Korth et al., 2019]. Numerical  
58 simulations of the solar wind interaction with Mercury's magnetic field have reproduced much  
59 of the magnetospheric structure observed by Mariner 10 and MESSENGER [Kabin et al., 2000;  
60 Janhunen and Kallio, 2004; Kidder et al., 2008; Trávníček et al., 2009, 2010; Benna et al., 2010;  
61 Wang et al., 2010; Mueller et al., 2012; Varela et al., 2015; Jia et al., 2015; Dong et al., 2019].

62 Despite the small dimensions of Mercury's dayside magnetosphere and the high solar  
63 wind pressure in the inner Solar System, numerous studies have found that simple Earth-like  
64 compression of the forward magnetopause will place the magnetopause within a few proton  
65 gyroradii (where 1 gyroradius  $\sim 50$  km for solar wind protons) no more than a few per cent of the  
66 time [e.g., Siscoe and Christopher, 1975; Slavin et al., 1979; Winslow et al., 2013; Zhong et al.,  
67 2015b; Johnson et al., 2016]. This conclusion was bolstered by the work of Hood and Schubert  
68 (1979) and Suess and Goldstein (1979) who first modeled the effects of induction currents driven  
69 within Mercury's interior by solar wind pressure enhancements.

70 These currents are expected to flow on the surface of Mercury's  $\sim 2000$  km radius iron  
71 core [Smith et al., 2012] as shown in Figure 1a [from Slavin et al., 2014]. Their results indicated  
72 that induction would "stiffen" the planetary magnetic field to the point where solar wind ram  
73 pressures of  $\sim 200$  to  $250$  nPa would be required to compress the magnetopause to the surface.



74 The cause of this stiffening are induction currents driven on the surface of the iron core  
75 [Glassmeier et al., 2009] which, when added to the deep dynamo currents, changes Mercury's  
76 total magnetic moment [Slavin et al., 2014; Jia et al., 2019; Dong et al., 2019]. In response to  
77 compression (rarefaction) of the planetary magnetic field the induction currents act to increase  
78 (decrease) the total magnetic moment.

79 Figure 1a depicts this effect by coloring the closed dipolar magnetic fields in the dayside  
80 magnetosphere according to their source. The dipolar magnetic fields due to the core dynamo are  
81 yellow while the magnetic fields due to induction are green. Slavin et al. (2014) first  
82 demonstrated the effects of these induction currents on the stand-off distance of the  
83 magnetopause using MESSENGER data collected during coronal mass ejections (CMEs) and  
84 high-speed streams (HSSs). These interplanetary events typically bring with them sudden solar  
85 wind pressure increases of  $\sim 3$  to 5 times the mean observed at Mercury. The effects of more  
86 modest changes in the solar wind pressure on induction currents as Mercury moves between its  
87 perihelion and aphelion have been well modeled by Zhong et al. (2015b) and Johnson et al.  
88 (2016).

89 Reconnection at the dayside magnetopause transfers magnetic flux from the closed-field-  
90 line region in the forward magnetosphere into the open-field-line lobe regions of the magnetotail  
91 as illustrated in Figure 1b. The return circulation is driven by reconnection in the cross-tail  
92 current sheet. The complete circulation of magnetic flux and plasma to the tail and back again is  
93 termed the "Dungey cycle" [Dungey, 1961]. The speed of the internal plasma convection and  
94 the scale of the system determine the length of the Dungey cycle, which is 1 – 3 hrs at Earth  
95 [e.g., Borovsky et al., 1993; Tanskanen et al., 2009]. At Mercury, however, the time required for  
96 this cycle is only  $\sim 3$  minutes [Siscoe et al., 1975; Slavin et al., 2010a; Imber and Slavin, 2017].

97 For this reason, Mercury's magnetosphere can move from quiescent to disturbed states on time  
98 scales of only a few minutes. This is much faster than the 30 – 60 min that MESSENGER spent  
99 inside Mercury's magnetosphere each orbit [see Slavin et al., 2019].

100 At Earth a large magnetic shear, greater than  $90^\circ$ , across the magnetopause is generally  
101 necessary before intense magnetic reconnection can occur [Paschmann et al., 1986; Sonnerup et  
102 al., 1990; Webster et al., 2018]. These larger shears are present whenever the upstream IMF has  
103 a southward component and they increase as  $B_z$  becomes increasingly negative. Mercury's  
104 response to IMF has been found to be somewhat different with the effects of reconnection  
105 usually being present whenever there is even small magnetic shear across the magnetopause  
106 [Slavin et al., 2009; DiBraccio et al., 2013]. Gershman et al. (2013) examined the intense draped  
107 magnetic fields in the dayside magnetosheath and argued that they are due to the low solar wind  
108 Alfvénic Mach number ( $M_A$ ) conditions in the inner Solar System. They found, in agreement  
109 with the theoretical arguments of Zwan and Wolf (1976), that the MESSENGER observations  
110 were consistent with the low solar wind  $M_A$  reducing the plasma heating at the bow shock and  
111 producing a low- $\beta$  magnetosheath, where  $\beta$  is the ratio of plasma thermal pressure to magnetic  
112 pressure. Under these conditions, the stress exerted on the subsolar magnetosheath by the solar  
113 wind is increasingly supported by the draped IMF. This, and the weak plasma heating at the low  
114 Mach number bow shock, contributes to the formation of the thick, low- $\beta$  plasma depletion layer  
115 (PDL) adjacent to the magnetopause that is unique Mercury's interaction with the solar wind  
116 [Zwan and Wolf, 1976; Gershman et al., 2013].

117 An important aspect of the thick PDL at Mercury is that magnetopause reconnection  
118 usually involves magnetic fields that are comparable in magnitude on both sides of the current  
119 sheet, a situation that is termed "symmetric" reconnection. In contrast "asymmetric"

120 reconnection is the typical for the magnetopause boundary at all of the other intrinsic  
121 magnetospheres because they are located farther from the Sun where the solar wind  $M_A$  is much  
122 higher. This is an important distinction because, for comparable magnetic shear angles,  
123 symmetric reconnection is always faster than the asymmetric case due to the high Alfvén speed  
124 on both sides of the reconnecting current sheet [e.g. Sonnerup, 1974]. In fact, Slavin and Holzer  
125 (1979) argued that, on average, the rate of reconnection at Mercury would be found to be greater  
126 than at Earth due to the high Alfvénic speed and low  $M_A$  conditions in the inner Solar System.  
127 Further, they used the observed effects of reconnection at Earth and scaling arguments to predict  
128 that the magnetopause at Mercury would often be eroded to very low altitudes where solar wind  
129 impact could take place. However, the impact of induction in the interior of the planet was not  
130 considered in this early analysis.

131 Dayside reconnection at Mercury has broad impacts on this planet's environment because  
132 of the role of solar wind precipitation on surface sputtering and volatile desorption into its  
133 neutral exosphere [Killen et al., 2019] and the transfer of solar wind energy and plasma into this  
134 small, but extremely dynamic magnetosphere [Slavin et al., 2019]. The newly opened Mercury  
135 field lines carried tailward by the solar wind all map to the north or south magnetospheric cusps  
136 and pivot about the cusps as the high-altitude end is incorporated into the tail lobes. These tail  
137 magnetic fields that have recently been added to the outer layers of the tail lobes then convect  
138 equatorward toward the cross-tail current sheet. Solar wind particles generally have a significant  
139 component of their velocity parallel to the interplanetary magnetic field. Following reconnection  
140 between the IMF and the planetary magnetic field, many of these solar wind particles are  
141 channeled inward toward the planetary surface where they will impact unless mirroring takes  
142 place first [Raines et al., 2014; Jasinski et al., 2017]. Particles within magnetic flux tubes known

143 as cusp plasma filaments [Slavin et al., 2014]. Detailed analyses by Poh et al. (2016) have shown  
144 that it is very likely that these filaments are the low altitude extensions of flux transfer events.  
145 They have been shown to magnetically map down to the surface foot print of the cusp where  
146 they contribute significantly to particle precipitation in Mercury’s cusp region [Poh et al., 2016].  
147 For these reasons, Mercury’s magnetospheric cusp is believed to be primary sites for solar wind  
148 impact on Mercury’s surface with the southern cusp being larger in area due to the northward  
149 offset of the planetary dipole [Masseti et al., 2003; Sarantos et al., 2007] with the southern cusp  
150 being the larger of the two due to the northern offset of the cusp [Korth et al., 2019]. The direct  
151 impact of solar wind protons not only sputters neutral atoms into Mercury’s exosphere [e.g.,  
152 Pfleger et al., 2015], but it also sputters ions into the high-latitude magnetotail where they mix  
153 with solar wind that mirrored at low altitudes to form the plasma mantle [DiBraccio et al., 2015;  
154 Jasinski et al., 2017]. This impact of solar wind plasma beneath the cusps also contributes to  
155 space weathering of the surface [Domingue et al., 2014; Pfleger et al., 2015].

156 In this study, we examine 4 low-altitude MESSENGER passes over the dayside  
157 hemisphere of Mercury for which *no dayside magnetosphere, only magnetosheath plasma was*  
158 *observed*. Such events, or ones similar to them, have been noted previously by Raines et al.  
159 (2015), Zhong et al. (2015a), Slavin et al. (2019) and Winslow et al. (2019), but not analyzed in  
160 detail beyond noting their presence in the MESSENGER data set. We term these intervals  
161 “disappearing dayside magnetosphere” (DDM) events. On these occasions magnetosheath  
162 plasma was observed as MESSENGER moved either poleward (3 cases) or equatorward (1 case)  
163 over the dayside hemisphere of Mercury with the magnetosphere not being entered and the  
164 magnetopause not being crossed until the spacecraft was at very high-latitudes just forward of  
165 the terminator plane [Raines et al., 2014; Zong et al., 2015a; Slavin et al., 2019]. Here we will

166 show that the DDM intervals stand-out with respect to their extremely high solar wind pressures,  
167 ~ 140 to 290 nPa, which were inferred from the analysis of the high-latitude magnetopause  
168 crossings. These very high solar wind pressures were supported by CCMC ENLIL-WSA + Cone  
169 model runs based upon CME observations by other spacecraft. We also find that intense  
170 southward magnetic fields,  $B_z \sim -100$  to  $-400$  nT are present in the magnetosheath and very high  
171 frequent encounters with flux transfer events (FTEs) are recorded by MESSENGER with the  
172 peak frequency occurring over the northern cusp. During these DDM events the forward bow  
173 shock was also observed to be located unusually close to the planet, ~ 1200 km, or slightly less  
174 than half of its mean altitude. It is suggested that these DDM events, which are all associated  
175 with CME impacts, are due to extreme solar wind compression and/or reconnection-driven  
176 erosion of the dayside magnetosphere. It is likely that the solar wind directly interacts with the  
177 surface over much of Mercury's sunlit hemisphere during DDM intervals. For this reason it is  
178 likely that the maximum contribution of solar wind surface sputtering to Mercury's neutral  
179 exosphere takes place during these events.

180

## 181 **2.0 MESSENGER Mission**

182 The MErcury Surface, Space ENvironment, GEochemistry, and Ranging (MESSENGER)  
183 spacecraft was inserted into a ~ 12 h period,  $82^\circ$  inclination, high eccentricity ( $\sim 200 \times 15,000$  km  
184 altitude) orbit about Mercury on 18 March 2011 [Solomon et al., 2007]. The period of the orbit  
185 was reduced on 16 April 2012 to ~ 8 hr by lowering the apoapsis altitude to ~10,000 km. This  
186 change in apoapsis part way through the mission resulted in rather complete sampling of  
187 Mercury's magnetosphere and its principal current layers from the nose region and ~ 3 to 4  $R_M$   
188 downstream of the center of the planet. The trajectory of the spacecraft over its ~ 4 years in orbit

189 is plotted in Figure 2. Average bow shock and magnetopause surfaces [Winslow et al., 2013] are  
190 shown for comparison. The coordinates utilized are solar wind aberrated cylindrical Mercury  
191 Solar Orbital (MSO) coordinates wherein the  $X_{\text{MSO}}$  axis points from Mercury's center toward the  
192 Sun, the  $Y_{\text{MSO}}$  axis lies in Mercury's orbital plane about the Sun and it is positive in the direction  
193 opposite to planetary motion and  $Z_{\text{MSO}}$  completes the right-handed orthogonal system. The solar  
194 wind aberration is indicated by the primes,  $X'_{\text{MSO}}$ ,  $Y'_{\text{MSO}}$  and  $Z'_{\text{MSO}}$ . The aberration has been  
195 carried out using Mercury's azimuthal speed about the Sun and rotating the MSO X and Y axes  
196 until  $X'_{\text{MSO}}$  is opposite to an assumed mean 400 km/s radial solar wind. After depleting the last  
197 of its fuel, the MESSENGER spacecraft impacted Mercury's surface on 30 April 2015 following  
198 a series of controlled low-altitude orbits.

199

### 200 **3.0 Disappearing Dayside Magnetosphere Events**

201 The strong northward magnetic field just inside the dayside magnetopause at Mercury  
202 during coronal mass ejections is due to both the deep dynamo and compression-driven currents  
203 on the surface of its large iron core [Hood and Schubert, 1979; Slavin et al., 2014; Jia et al, 2015;  
204 Dong et al., 2019]. The net effect of these induction currents is to temporarily increase the  
205 magnetic moment of Mercury from smaller amounts,  $\sim 5\%$ , as it moves from lower mean solar  
206 wind pressures at aphelion to higher at perihelion [Zhong et al., 2015b; Johnson et al., 2016]. Jia  
207 et al. (2019) surveyed MESSENGER's four-year data set and identified 8 passes through  
208 Mercury's dayside magnetosphere for which the magnetic field just inside of the magnetopause  
209 exceeded 300 nT. The upstream solar wind ram pressures were estimated to range from  $\sim 40$  to  
210 90 nPa. For these pressures the magnetopause at the subsolar point would be expected to  
211 approach very close to the planetary surface if it were not for the effect of the inductions

212 currents. Indeed, Jia et al. found that the induction currents increased the planetary magnetic  
213 moment by up to ~ 25% during these most likely CME-related solar wind events [Jia et al.,  
214 2019].

215 However, as illustrated in Figure 1b, magnetic reconnection at the dayside magnetopause  
216 transfers magnetic flux to the nightside magnetosphere and reduces the distance from the center  
217 of the nose of magnetosphere where the solar wind is “stood-off” by the planetary magnetic  
218 field. In fact, Slavin and Holzer (1979) scaled Earth observations of the reduction in the radius of  
219 the dayside magnetosphere due to reconnection to Mercury. This study, which predated the  
220 induction analysis of Hood and Schubert (1979), predicted that the dayside magnetopause might  
221 be frequently eroded to the surface when the IMF was southward and reconnection rate  
222 maximized. Consistent with the predictions of both of these earlier studies, the IMF for 7 of the 8  
223 highly compressed dayside magnetospheres examined by Jia et al. (2019) occurred during  
224 intervals of northward IMF when erosion would be expected to be minimal.

225 Several previous studies of MESSENGER’s magnetic field data have noted passes over  
226 the dayside hemisphere for which no low latitude magnetopause was detected [Raines et al.,  
227 2015a; Zhong et al., 2015a; Slavin et al., 2019], but no systematic or detail analysis was  
228 conducted. The reason for the dayside magnetosphere being absent, or “disappearing,” on such  
229 occasions could be enhanced solar wind dynamic pressure that compressed the closed magnetic  
230 flux down below MESSENGER’s orbit or even into the low conductivity crust overlaying the its  
231 highly conducting interior [see Jia et al., 2015; Dong et al., 2019]. Alternatively, reconnection-  
232 driven erosion of the dayside magnetosphere could be responsible [Slavin and Holzer, 1979;  
233 Slavin et al., 2009; 2010]. However, the high intensity solar wind and interplanetary magnetic  
234 field (IMF) required to compress the dayside magnetic flux down into the low electrically

235 conducting crust [e.g., Hood and Schubert, 1979; Suess et al., 1979] and/or transfer the magnetic  
236 flux into the magnetotail through reconnection [Slavin and Holzer, 1979] would have to be much  
237 greater than the CMEs and HSS events observed early in the MESSENGER mission and  
238 analyzed by Slavin et al. (2014).

239 Here we have surveyed all four years of the MESSENGER magnetometer (MAG)  
240 (sample rate  $20 \text{ s}^{-1}$ ) [Anderson et al., 2007] and the Fast Imaging Plasma Sensor (FIPS)  
241 (complete energy per charge and composition scan of ions up to 13 keV/e in 10 s) data [Andrews  
242 et al., 2007]. Due to the placement of FIPS on the MESSENGER spacecraft, full plasma  
243 moments can only be derived under certain sets of assumptions [Raines et al., 2011; Gershman et  
244 al., 2012; 2013; Dewey et al., 2018]. Because those assumptions are not typically met in the  
245 magnetosheath plasma analyzed here, we report only energy spectra and observed densities, the  
246 latter being derived from observations directly, without correction for any plasma outside the  
247 field of view [Raines et al., 2013]. Heavy ions are collected into two groups by mass per charge  
248 ( $m/q$ ),  $\text{O}^+$ -group ( $m/q$  16-20) and  $\text{Na}^+$ -group ( $m/q$  21-30) [Raines et al., 2013]. All dayside passes  
249 over the northern hemisphere for which the low-pass filtered  $B_z$  component of the magnetic field  
250 never became positive and FIPS observed  $\text{H}^+$  energy spectra typical of the shocked solar wind

251 making up the magnetosheath have been identified. Although there are other passes for  
252 which FIPS indicates that MESSENGER did not enter the magnetosphere, here we consider only  
253 the 4 pristine disappearing dayside magnetosphere events for which no magnetic fields consistent  
254 with the closed dayside magnetosphere were observed in the MAG data. They occurred on 15  
255 October, 2011 (or day of year (DOY) 288, 2011), 1 December (DOY 335) 2013, 13 February  
256 (DOY 044) 2014, 25 March (DOY 084) 2015. Again, there are other events for which there is at  
257 most only very brief encounters with closed magnetic field regions, i.e.  $B_z > 0$  and FIPS



258 measurements of the low flux, higher energy  $H^+$  typical of the dayside magnetosphere [Raines et  
259 al., 2014; Korth et al., 2019].

260 An overview of the magnetic field measurements taken by MESSENGER on 15 October  
261 2011 is displayed in Mercury Solar Magnetospheric (MSM) coordinates in Figure 3. In this  
262 system the  $X_{MSM}$  axis points from the offset location of Mercury's dipole toward the Sun, the  
263  $Y_{MSM}$  axis lies in Mercury's equatorial plane and it is positive in the direction opposite to  
264 planetary motion and  $Z_{MSM}$  completes the right-handed orthogonal system. The solar wind  
265 aberrated frame is indicated by the primes,  $X'_{MSM}$ ,  $Y'_{MSM}$  and  $Z'_{MSM}$ . Aberration is carried out  
266 using Mercury's azimuthal speed about the Sun and rotating the MSM X and Y axes until  $X'_{MSM}$   
267 is opposite to an assumed mean 400 km/s radial solar wind. in the magnetic equatorial plane,  
268 which is offset along Mercury's spin axis by  $0.2 R_M$  toward the north,  $X_{MSM}$  is directed toward  
269 the Sun, and  $Z_{MSM}$  complete the right-handed orthogonal system. At this stage of the mission the  
270 spacecraft was still in its 12-hr orbit and two periapsis passes (at  $\sim 60^\circ$  north latitude) occurred  
271 each Earth-day. These periapses are apparent by the large amplitude maxima in the total  
272 magnetic field as MESSENGER passed near the north magnetic pole in Figure 3. On this day  
273 MESSENGER moved from lower to higher latitudes over the mid-morning time sector as it  
274 approached its periapses. The IMF intensity at the beginning of the day was only  $\sim 10$  to  $20$  nT  
275 and the magnetic field was relatively structureless. As indicated in Figure 3 an interplanetary  
276 shock (IP SH) arrived at Mercury at  $\sim 08:30$  UTC and the IMF increased to  $\sim 50$  nT. About 3 hrs  
277 later the IMF suddenly increased again to  $\sim 90$  nT and the level of fluctuations in the magnetic  
278 field decreased signaling the arrival of the CME. A broader study of CME signatures in the  
279 MESSENGER MAG data at Mercury, including this event, can be found in Winslow et al.  
280 (2015).

281 The highly disturbed nature of Mercury's magnetosphere during the second pass is  
282 readily apparent by comparison with the first. The periapses for these two passes occurred at  
283 similar altitudes, i.e. 330 vs. 320 km, respectively. Given the mean radius of Mercury, 2440 km,  
284 the change in the total magnetic field due to this small difference in periapsis altitude between  
285 the two passes would be only ~ 1% as compared with the observed increase from the first to the  
286 second periapsis of 15%. In addition, the magnetic field variance behind the bow shock is very  
287 high for the second pass and the magnetic field intensity drops to very low levels just before  
288 large amplitude magnetic field fluctuations appear. The magnetic field then becomes steady and  
289 directed into the northern polar cap where a peak field of ~ 470 nT was measured. After the  
290 outbound bow shock, the IMF is observed to be quite steady, but very intense with a magnitude  
291 of ~ 70 nT.

292 All four of the DDM events examined here took place during coronal mass ejection that  
293 were determined using the WSA-ENLIL+ Cone algorithm for these intervals on CCMC as was  
294 shown earlier for the first DDM event [Odstroil et al., 2004; Toth and Odstroil, 1996; Baker et  
295 al., 2009; 2013; Dewey et al., 2015]. Our focus is on the magnetospheric measurements made by  
296 MESSENGER, but, for context, we display at the top of Figure 4 equatorial the solar wind radial  
297 velocity,  $V_r$  (panel a), and the density,  $n$  (panel b) for this first event. This simulation uses CME  
298 input parameters from Community Coordinated Modeling Center's (CCMC) Space Weather  
299 Database Of Notifications, Knowledge, Information (DONKI; <http://ccmc.gsfc.nasa.gov/donki>).  
300 The predicted time of arrival of the CME at Mercury is ~ 15:00 UTC or a little over 7 hrs after  
301 the arrival of the IP SH. The observed arrival time of the IP SH and CME in the MESSENGER  
302 data at 08:30 and 11:15 UTC are reasonably consistent with the WSA-ENLIL + Cone predictions  
303 (e.g. see Dewey et al., 2015). The solar wind density dial depicts the expected compression

304 signatures as the higher-speed solar wind overtakes the slower solar wind. Please note that the  
305 plasma density has been multiplied by the square of radial distance (in units of AU) from the  
306 center of the Sun to de-trend for the decrease in density with increasing distance.

307 A zoomed-in view of the magnetic field measurements during the second periapsis pass  
308 on 15 October 2011, just after the passage of the IP SH and the arrival of the CME, is shown in  
309 the bottom panels of Figure 4. The three components and the total magnetic field intensity are  
310 shown in panels c – f. The IMF upstream of the bow shock had an intensity of  $\sim 70$  nT with  $B_z \sim$   
311  $-45$  nT. Around 14:21:22 UTC the spacecraft crossed the bow shock and entered the  
312 magnetosheath at an altitude of 1770 km, a magnetic latitude of  $25.4^\circ$  and a local time (LT) of  
313 08:10. The average intensity of the magnetic field in the magnetosheath, indicated by the  
314 smoothed red trace, was  $\sim 200$  to  $220$  nT,  $B_z \sim -120$  to  $-140$  nT and the level of fluctuations was  
315 significantly elevated over the earlier solar wind interval. The smoothed magnetic field data  
316 shown with the red traces were created by performing a boxcar averaging on the magnetic field  
317 data using a 40s window. Further, the fluctuations grow in amplitude as MESSENGER moved  
318 toward lower altitudes and periapsis. What is most interesting about these magnetic field  
319 measurements for the purpose of this study is that the mean  $B_z$  component of the magnetic field  
320 did not become northward anywhere over the dayside hemisphere of Mercury during this pass.  
321 The appearance of northward magnetic fields as soon as the dayside magnetopause is crossed is  
322 the most fundamental and clearest signature of the dayside magnetosphere at Mercury (and  
323 Earth). However, in Figure 4 the usual inbound magnetopause crossing at an altitude of  $\sim 1000$   
324 to  $1500$  km is not present. Rather, the magnetopause is not encountered until MESSENGER was  
325 at  $80^\circ$  northern magnetic latitude just sunward of the terminator. Following this magnetopause

326 crossing the magnetic field became much steadier and stronger as the high-latitude magnetotail  
327 was entered.

328 In Figure 5 the full resolution and smoothed magnetic field  $B_z$  and B-total traces for the  
329 dayside passes during the 15 October 2011 and 01 December 2013 DDM events are compared  
330 and contrasted. The solar wind conditions for the 2013 event were similar to those already  
331 described for the 2011 event; an IP SH followed by a CME impact producing intense and very  
332 disturbed planetary magnetic fields consistent with the ENLIL simulation (see Figure 4). In the  
333 bottom panels of Figure 5 the similarity between these two dayside passes is quite evident. The  
334 upstream IMF in both events is strong,  $\sim 50$  to  $100$  nT, due to the arrival of the CME, but for the  
335 2013 pass the  $B_z$  component upstream is slightly positive, but it then turns strongly southward  
336 about 5 min after the bow shock is crossed to produce a magnetosheath field of  $B_z \sim -200$  nT. As  
337 shown, the smoothed magnetic field was southward throughout the rest of the dayside pass until  
338 the magnetopause is crossed at very high latitudes, i.e. at  $80^\circ$  north magnetic latitude. Again,  
339 very large amplitude fluctuations are observed in the magnetic field until the magnetopause is  
340 crossed, the magnetic field intensifies and weakens as the magnetic field becomes steadily  
341 southward over the northern polar cap.

342 The other two DDM events were recorded by MESSENGER on 13 February 2014 and 25  
343 March 2015 and the MAG measurements are displayed in Figure 6. Both passes were preceded  
344 by IP SH's and likely CMEs, based upon the magnetic field observations and ENLIL – WSA +  
345 Cone simulations (not shown). The only differences between the events in Figures 5 and 6 are: 1)  
346 the somewhat more intense upstream IMF intensities for the 2014 and 2015 events that  
347 approached  $150$  nT; 2) the stronger southward  $B_z$  in the magnetosheath for these latter events,  $\sim -$   
348  $300$  to  $-400$  nT; and 3) these magnetopause crossings occurred at somewhat lower magnetic

349 latitudes of  $66^\circ$  and  $76^\circ$ , respectively. Note, these lower latitude magnetopause encounters,  
350 presumably due to the more intense negative upstream  $B_z$ , also occurred at lower altitudes of ~  
351 276 and 254 km, respectively, due to MESSENGER's orbit.

352

353

354

#### 355 **4.0 DDM Magnetosheath Plasma Observations**

356 The FIPS plasma measurements for the first 3 of the DDM dayside passes are displayed  
357 in Figure 7. No FIPS measurements are available for the final 25 March 2015 pass due to noise  
358 generated within the instrument by penetrating solar energetic particle radiation that  
359 accompanied the CME that produced this DDM event. The top panels show the FIPS  $H^+$  energy  
360 spectra over a 20-min interval behind the bow shock on 15 October 2011 while MESSENGER  
361 was moving northward over the mid-morning dayside hemisphere (see the trajectory trace  
362 labeled 288 in Figure 8). Under more typical conditions the spacecraft would have crossed the  
363 magnetopause in the ~ 1200 – 1500 km altitude region. However, the  $H^+$  E/q spectra in the top  
364 panel and the  $H^+$  density in the second panel show that MESSENGER remained in the  
365 magnetosheath until the magnetopause was crossed at 14:40 UTC and the spacecraft entered the  
366 high latitude magnetosphere at an altitude of 410 km and a local time of 13:00. At ~ 14:35 UTC  
367 the  $H^+$  density and temperature, and the  $He^{++}$  density in the third panel, increase just as the  
368 amplitude and the frequency of the short duration spikes in B-total increase in panel d. It is  
369 expected that the  $He^{++}$  density tracks with that of the  $H^+$  since they are both of solar wind origin.  
370 While  $He^+$  is thought to also originate from Mercury [Raines et al., 2013], those ions have only a

371 very low probability of being doubly ionized so that  $\text{He}^{++}$  originating from the planet is expected  
372 to be negligible. After 14:35 UTC the amplitude and frequency of occurrence of these discrete  
373 magnetic structures increase as the mean magnetic field intensity increased from  $\sim 200$  to  $\sim 250$   
374 nT and  $B_z$  decreased from  $\sim -100$  nT to  $\sim -250$  nT. Again, for a typical pass this is the region  
375 where the spacecraft would be expected to transition from the closed dayside magnetosphere into  
376 the northern cusp [e.g. Winslow et al., 2012; Raines et al., 2014; Poh et al., 2016]. Further, it is  
377 in this region that  $\text{Na}^+$ -group ions are first observed during this dayside pass as displayed in the  
378 panel c. This is where the cusp would usually be located and the observed  $0.1\text{-}0.2\text{ cm}^{-3}$  densities  
379 are typical of those observed in the cusp. The  $\text{H}^+$  density and temperature begin to decrease after  
380 the spacecraft passes through the magnetopause near 81 deg north magnetic latitude very near  
381 where the high-latitude “back-wall” of the cusp is usually located. After MESSENGER enters  
382 the high-latitude nightside magnetosphere the  $\text{Na}^+$  density decreases and the suggestion of an  
383 energy dispersion in panel (a) with the higher energy  $\text{H}^+$  becoming depleted as the spacecraft  
384 moves to lower latitudes deeper in the northern tail lobe. These observations are all consistent  
385 with MESSENGER entering the plasma mantle that makes up the outer high-latitude layer of the  
386 magnetotail [DiBraccio et al., 2015; Jasinski et al., 2017].

387         The FIPS measurements for the 01 December 2013 DDM pass are shown in Figure 7e-h.  
388 Overall, the plasma measurements are remarkably similar to the 2011 event. Again, the  
389 magnetosheath interval ends at very high latitudes with the  $\text{H}^+$  density and temperature  
390 increasing again just before passing through the magnetopause and entering the high-latitude  
391 nightside magnetosphere.  $\text{Na}^+$ -group and other heavy planetary ions are observed throughout the  
392 magnetosheath pass. This is observed frequently during periods of high magnetospheric activity  
393 [Raines et al., 2014]. The observed densities of  $\text{Na}^+$ -group ions are typical of those passes,

394 though the  $O^+$ -group ion density is higher than typically observed. Finally, plasma mantle  
395 density decreases and energy dispersion is observed again as MESSENGER passes more deeply  
396 into the northern lobe of the magnetotail. The plasma measurements for the 13 February 2014  
397 DDM magnetosheath pass are displayed in Figure 7i-l. Again, they are remarkably similar to the  
398 two earlier DDM passes in almost every aspect (see the trajectory trace labelled 044 in Figure 8).

399 The trajectories of MESSENGER for each of the 4 DDM event passes are plotted in  
400 Figure 8. The portion of each of the 4 DDM periapsis passes with MESSENGER outside of  
401 Mercury's magnetosphere are plotted in red while the magnetospheric parts are in blue. The  
402 orbits, plus Mercury and its magnetosphere, are then projected into the aberrated MSO  $Z' - X'$ ,  
403  $Y' - X'$  and  $Z' - Y'$  planes. As shown, the 4 DDM periapsis pass would certainly have detected  
404 the dayside magnetosphere, if it had been present during MESSENGER's periapsis passes over  
405 the northern half of the dayside hemisphere. . Further, there is general agreement as the point  
406 where the high-latitude nightside magnetosphere was entered just sunward of the north magnetic  
407 pole.

408

## 409 **5.0 DDM Flux Transfer Events**

410 When MESSENGER crossed the bow shock and entered the magnetosheath and moved  
411 northward over Mercury's dayside on 15 October 2011 (see Figure 8) it not only failed to  
412 encounter the usual low-to-mid-latitude magnetopause and closed dayside magnetosphere, but  
413 also the steady, compressed draped IMF that makes up the PDL [Gershman et al., 2013].  
414 Inspection of the high-resolution measurements of B-total for this DDM pass in Figure 9b  
415 reveals that large amplitude compressive fluctuations began to increase in frequency of

416 occurrence and amplitude at ~ 14:30 UTC as the mean magnetic field intensity increased. These  
417 fluctuations grew in amplitude as MESSENGER approached cusp latitudes similar to those seen  
418 in the other 3 DDM passes in Figures 9d, f, and h. However, what stands out most are the brief,  
419 several-second-long increases in magnetic field intensity that coincide with large amplitude +/-  
420  $B_z$ . These large-amplitude several second-long structures are flux transfer event (FTE) – type  
421 magnetic flux ropes [Slavin et al., 2012; Imber et al., 2014].

422         The reconnection process involves the formation of multiple x-lines which fragment the  
423 current sheet into flux ropes [Lee and Fu, 1985]. These very small, initially sub-ion scale flux  
424 ropes then appear to grow rapidly due to both continuous reconnection at the x-lines and the  
425 coalescence of adjacent flux ropes into larger flux ropes [e.g., see Akhavan-Tafti et al., 2018b].  
426 FTEs have a helical topology that winds magnetic flux about an axial magnetic field near the  
427 center of the structure. The axial “core” field in these FTE-type flux ropes is compressed by the  
428 tension in those outer layers [Russell and Elphic, 1978; Rijnbeek et al., 1984; Zong et al., 2005;  
429 Raeder, 2006; Fear et al., 2007; Hasegawa et al., 2010; Trenchi et al., 2011]. For this reason, the  
430 magnetic field intensity maximizes at the center of the structure unless strong gradients in the  
431 plasma pressure are present. Indeed, Gershman et al. (2016) used observations of solar energetic  
432 electrons detected by FIPS while inside of Mercury’s magnetosphere to confirm that FTE  
433 magnetic fields are connected to the IMF. After formation, the FTEs are then carried tailward by  
434 flow in the magnetosheath and pivot about the end of the magnetic fields that map to one of the  
435 magnetospheric cusps as the other is transported into the magnetotail [see Cooling et al., 2001]

436         For each of the 4 DDM passes the magnetic field has been examined for the presence of  
437 FTEs. They were detected based on their bipolar signature in the maximum variance component  
438 of the local magnetic field ( $B_{\max}$ ) coinciding with a peak in both the intermediate variance



439 component of magnetic field ( $B_{int}$ ) and  $|B|$ . Furthermore, we have used the Lundquist-Burlaga  
440 constant- $\alpha$  force-free model to test that the candidate FTE's had a flux rope magnetic topology.  
441 Specifically, fitting of this cylindrical force-free flux rope model to a candidate FTE with a chi-  
442 square error that is less than a specified maximum is taken to indicate a successful FTE  
443 identification. Further, the fitting method determines the impact parameter (i.e. closest approach  
444 distance of the spacecraft trajectory to the central axis of the flux rope), the radius of individual  
445 FTE-type flux rope and their axial magnetic flux [e.g., Slavin et al., 2003; DiBraccio et al., 2015;  
446 Akhavan-Tafti et al., 2018]. With the assumption that the thermal pressure gradient is negligible  
447 across the structure, force-free FTEs are modeled as a cylinder which contains magnetic flux that  
448 is proportional to its size and core magnetic field strength. In addition, the use of the force-free  
449 model enables a non-biased investigation of magnetic structures at the magnetopause. Only  
450 structures that satisfy the following criteria are included in the FTE statistics for the DDM  
451 events: i) Impact parameter  $< 0.5$ , ii) Chi-squared value  $< 0.1$  (see Akhavan-Tafti et al., 2018 and  
452 iii) FTE radius  $< 2500$  km.

453 For each of the 4 DDM passes in Figure 9 there are two panels: one that graphs the  
454 magnetic field intensity from before the bow shock to a little after the magnetopause crossing to  
455 the nightside high-latitude magnetosphere and a second that plots the number of FTEs identified  
456 per 30 sec accumulation intervals. The trajectories for the DDM passes in Figure 8 show that  
457 MESSENGER's orbit is providing latitude scans of the frequency of occurrence for the FTEs.  
458 Indeed, for these DDM intervals MESSENGER remained in the magnetosheath from near-  
459 equatorial latitudes up to  $\sim 80^\circ$ . The frequency of occurrence panels in Figure 9 show that the  
460 number of FTEs increase from a few per 30 sec bin in the high-altitude magnetosheath just inside  
461 the bow shock at low latitudes to a highly reproducible peak of  $\sim 15$  per 30 sec, or 30 FTEs/min,

462 at cusp latitudes. A maximum near the cusp is expected because one end of each of these FTE-  
463 type flux ropes must map to the north magnetic pole and pivot about the cusp as they are carried  
464 tailward by the flow of shocked solar wind in the magnetosheath. And, the highest rate of FTE  
465 occurrence is indeed at cusp latitudes during these DDM passes.

466 The physical properties of the 438 FTE-type flux ropes identified in the DDM passes are  
467 displayed as histograms in Figure 10 and have been fit with exponentials. The mean radius for  
468 these FTEs is 203 km, which is  $\sim 10$  times the estimated solar wind  $\sim 1$  keV  $H^+$  gyro-radius just  
469 inside high-pressure magnetopause crossings [Slavin et al., 2014; Jia et al., 2019] and their mean  
470 magnetic flux content is 32 kWb. With these FTEs being swept into the magnetotail at a rate of  
471  $0.5 \text{ sec}^{-1}$  their mean contribution to Mercury's cross-magnetospheric potential drop is 16 kV.  
472 This value is about half of the total potential drops estimated from the Dungey cycle loading-  
473 unloading of the tail with magnetic flux [Slavin et al, 2010; Imber and Slavin, 2017] and the  
474 energy dispersion in the plasma mantle ions [DiBraccio et al., 2015; Jasinski et al., 2018].  
475 Further, this FTE flux transfer rate is comparable to that estimated for the non-FTE  $B_n$  magnetic  
476 flux being transferred to the magnetotail [Slavin et al., 2009; DiBraccio et al., 2013; Imber et al.,  
477 2014]. Alternatively, if we take half of the typical Dungey cycle,  $\sim 90$  sec [Imber and Slavin,  
478 2017], to be the time it takes to cycle all of the FTEs at the dayside magnetopause into the tail,  
479 then the total magnetic flux contained in all dayside FTEs at a given moment is  $\sim 90 \text{ sec} \times 16$   
480 kWb/sec or  $\sim 1.4$  MWb. This compares with typical tail lobe magnetic flux contents of  $\sim 2.5$  to 3  
481 MWb [Slavin et al., 2012; Johnson et al., 2012] and a total planetary magnetic flux closing  
482 exterior to Mercury of  $2\pi M_o/R_M$  or 7 – 9 MWb depending upon the Mercury magnetic moment  
483 value assumed [Slavin et al., 2009; 2010].

484

## 485 **6.0 DDM Magnetopause Analysis**

486 The MESSENGER magnetic field data for the DDM magnetopause crossings are  
487 displayed in more detail in Figures 11 and 12. In each instance the crossing interval is marked by  
488 two blue vertical dashed lines. Given the presence of large amplitude FTEs and cusp plasma  
489 filaments [Poh et al., 2016], the red trace graphs the magnetic field smoothing using 40 sec  
490 boxcar averages. The magnetic field measurements from 14:37 to 14:43 UTC during the first  
491 DDM pass on 15 October 2011 are displayed in Figure 11 a - d. The magnetosheath data on the  
492 left hand-side has frequent, large amplitude fluctuations, many of which are flux transfer events  
493 that were analyzed and discussed in the previous section. As expected for a CME-impact interval  
494 the magnetic field intensities outside and inside of the magnetopause are of similar intensities at  
495  $\sim 300$  and  $400$  nT [see Slavin et al., 2014], respectively, presumably due to low upstream  
496 Alfvénic Mach numbers [Gershman et al., 2013]. A number of magnetopause current sheet  
497 crossings are present between  $\sim 14:39$  and  $14:41$  UTC and set off with vertical dashed lines.

498 Minimum variance analysis (MVA) was performed on the 1 sec resolution smoothed  
499 MAG data shown in Figure 11. The minimum, intermediate and maximum variance  
500 Eigenvectors are well defined with intermediate to minimum and maximum and intermediate  
501 Eigenvalue ratios of 16 and 80, respectively. The Eigenvectors in solar wind aberrated MSM  
502 coordinates are shown in Figure 11 and the ratio of the magnetic field component normal to the  
503 magnetopause to the magnetic field just inside the current layer,  $\alpha = B_N/B_{MP}$ , which is a measure  
504 of the reconnection rate, is 0.12, are displayed in Table 1. This value is comparable to the ratios  
505 determined for the dayside magnetopause at Mercury in previous studies [Slavin et al., 2008;  
506 2009; DiBraccio et al., 2013; Liljeblad et al., 2016; Jia et al., 2019; Dong et al., 2019].

507 The normal component of the magnetic field to the magnetopause,  $B_N$ , the dimensionless  
508 reconnection rate,  $\alpha$ , the dynamic pressure,  $P_{sw}$ , and the subsolar standoff distance of the  
509 magnetopause,  $R_{ss}$ , are summarized in Table 1. They were determined using the same methods  
510 and expressions as used in earlier studies of the highly compressed magnetosphere (HCM)  
511 events in the MESSENGER data [Slavin et al., 2014; Jia et al., 2019]. Upstream solar wind  
512 speeds are generally not measured by MESSENGER, but the locations of the magnetopause  
513 crossings in MSM have been aberrated assuming typical solar wind speeds of 400 km/sec and  
514 Mercury azimuthal orbital speeds as a function of distance from the Sun. Average magnetopause  
515 position for each of these events is given in Table 1 using this aberrated system ( $X'_{MSM}$ ,  $Y'_{MSM}$ ,  
516  $Z'_{MSM}$ ) in the fifth column from the left.

517 Figure 11e-h shows the magnetic field measurements of the magnetopause crossing from  
518 01:35 to 01:39 UTC on 01 December 2013. Unlike the magnetopause crossing on 15 October  
519 2011, the magnetic field magnitude difference between the magnetosheath and dayside  
520 magnetosphere magnetic field is greater, by  $\sim 200$  nT, which suggests a higher Alfvénic Mach  
521 number than the 2011 event. MVA is also performed on the smoothed magnetic field  
522 measurements (red line) of the magnetopause crossing interval delimited by dashed blue lines.  
523 The eigenvectors are well-defined with intermediate to minimum and maximum and  
524 intermediate eigenvalue ratios of  $\sim 5$  and 303, respectively, and a slightly higher reconnection  
525 rate of  $\sim 0.16$ .

526 The magnetic field observations for the 13 February 2014 and 25 March 2015  
527 magnetopause crossings are displayed in Figure 12. Overall, these events are quite similar  
528 despite differences in the CMEs and the altitudes at which the transitions from the  
529 magnetosheath to the magnetosphere occurred (e.g. the 25 March 2015 measurements were taken

530 at an altitude of just  $\sim 50$  km). The magnetic field intensities in the magnetosheath for these two  
531 events were  $\sim 50$  to  $70\%$  of the total magnetic field just inside of the magnetosphere. Frequent  
532 large amplitude fluctuations are evident in the magnetosheath, but short duration, deep decreases  
533 in the magnetic field intensity, which Slavin et al. (2014) and Poh et al. (2016) termed cusp  
534 filaments are present immediately after MESSENGER entered the magnetosphere. As with the  
535 2011 and 2013 magnetopause crossings, the Eigenvectors are well defined for both the 2014 and  
536 2015 events. In these cases, the intermediate to minimum and maximum and intermediate  
537 Eigenvalue ratios were  $\sim 10$  and  $23$  and  $\sim 9$  and  $69$ , respectively. Similarly, the ratios of the  
538 magnetic field component normal to the magnetopause to the magnetic field just inside the  
539 current layer are  $0.17$  and  $0.29$  for the 2014 and 2015 events, respectively.

540 The upstream solar wind pressure,  $P_{sw}$ , must be derived from the state of compression of  
541 planetary magnetic field [Slavin et al., 2014] because of the FIPS field-of-view limitations  
542 imposed by MESSENGER's thermal sun shade. The WSA-ENLIL + Cone simulation [Odstrcil  
543 et al., 2004; Toth and Odstrcil, 1996; Zhao et al., 2002] was used to provide valuable context  
544 regarding the presence of high-speed streams and coronal mass ejections, but the uncertainties in  
545 the predictions themselves and the typical timing errors surrounding arrival times [e.g. Dewey,  
546 2015] are too large to support the sorts of quantitative studies conducted here. For this reason,  
547 we, again, follow the methodology of Slavin et al. (2014) and Jia et al. (2019) and assume a  
548 Newtonian pressure balance across the magnetopause, the MVA magnetopause normals, and the  
549 assumption, based upon the FIPS data, that the plasma pressure in the outer dayside  
550 magnetosphere is very small compared to the magnetic pressure [Raines et al., 2015]. In this  
551 case the solar wind pressure computed from the pressure balance condition becomes [Spreiter et  
552 al., 1966]:

553 
$$P_{sw} = (B^2/2\mu_0)/(0.88\cos^2\vartheta) \quad (1)$$

554 where  $\vartheta$  is the angle between the magnetopause unit normal and the upstream solar wind  
555 velocity vector, which is assumed to be radially outward from the Sun, and 0.88 is effectively a  
556 drag coefficient determined from numerical gas dynamic numerical modeling for blunt obstacles  
557 [Spreiter et al., 1966]. Methods similar to these have been used previously for Earth [e.g. Holzer  
558 and Slavin, 1978] and other planets [Slavin et al., 1979; 1985; Crider et al., 2003]. The upstream  
559 solar wind pressures inferred for the DDM passes in this manner as listed in Table 1 were 142,  
560 182, 289 and 233 nPa for the 2011, 2013, 2014 and 2015 events. Similarly, the dimensionless  
561 reconnection rates,  $B_N/B_{MP}$ , for these events were 0.12, 0.16, 0.17 and 0.29, respectively. The  
562 mean is 0.19, which is somewhat greater than the mean of rate of 0.13 determined from a larger  
563 ensemble of magnetopause crossings by DiBraccio et al. (2013).

564

## 565 **7.0 Discussion**

566 The mean bow shock and magnetopause nose distances determined from the complete set  
567 of MESSENGER boundary crossings are  $\sim 1.9 R_M$  and  $1.45 R_M$ , respectively [Winslow et al.,  
568 2013]. In Figure 13 the DDM event bow shock and magnetopause crossings have been plotted in  
569 solar wind aberrated cylindrical MSM coordinates. The best fits obtained by Winslow et al.  
570 (2013) to the complete MESSENGER bow shock data set and our fits to the 8 DDM shock  
571 crossings are shown in gray and red, respectively. For both data sets the Mercury bow shock  
572 crossings have been fit using the offset conic surface method of Slavin et al. (1981). The shock  
573 surfaces for the total MESSENGER data set and the DDM events do not differ greatly in shape,  
574 but the nose, or stand-off distance, for the DDM bow shock is much closer at 1196 km, or  $\sim 0.5$

575  $R_M$ . Global magnetohydrodynamic or hybrid modeling of the DDM intervals is planned as part  
576 of a future study, but the large planetward shift of the bow shock location during these DDM  
577 intervals strongly suggests that the solar wind streamlines in the subsolar region intersect the  
578 surface. If this is correct, then solar wind impact will take place over some or much of the  
579 dayside surface during these DDM events, especially given the low Alfvénic Mach numbers  
580 expected during CME impacts [see Slavin et al., 1980; 1981].

581 The 8 DDM magnetopause crossings have been fit to the Shue model surface [Shue et al.,  
582 1997]:

$$583 \quad r = R_{SS} [2/(1 + \cos\theta)]^b \quad (2)$$

584 where  $\theta$  is the solar zenith angle from the subsolar point, the magnetopause shape parameter,  $b$ ,  
585 and the solar wind stand-off distance  $R_{SS}$  from the center of the obstacle. The mean DDM bow  
586 shock surface model for all of the MESSENGER magnetopause crossings was determined to  $b =$   
587  $0.5$  and  $R_{SS} = 1.4 R_M$  [Winslow et al., 2013]. Our best fit of the DDM magnetopause crossings to  
588 the Shue surface is shown in solid blue in Figure 13. This surface is quite different from the  
589 mean determined for all conditions (see gray solid line in Figure 13) with  $b = 0.7$  and  $R_{SS} = 0.65$   
590  $R_M$ . Not only is the DDM magnetopause more flared, but it passes below the surface of Mercury  
591 forward of the terminator plane in the northern hemisphere and just tailward in the southern  
592 hemisphere. Note also in Figure 13 that the magnetopause normal determined using MVA  
593 analysis (see Figures 11 and 12) are also displayed as arrows in Figure 13 at the point where the  
594 crossings occurred. As shown these MVA magnetopause normal vectors are very close to  
595 expectations based upon the DDM magnetopause model.

596 Our analyses of the 4 disappearing dayside magnetosphere events that we identified in  
597 the MESSENGER four-year data set are summarized in Figure 14. The solar wind dynamic  
598 pressure is shown on the vertical axis and the distance to the nose of the magnetopause,  $R_{SS}$ , is on  
599 the horizontal axis. In addition to the DDM events, the 8 highly compressed magnetosphere  
600 events from Jia et al. (2019) are displayed for comparison. Here the  $P_{sw}$  and  $R_{SS}$  values for all 12  
601 events are plotted in same manner as in Jia et al. (2019).

602 We note, first, that the HCM event solar wind pressures and  $R_{SS}$  values range from  $\sim 44$   
603 nPa to  $\sim 93$  nPa and  $1.25$  to  $1.03 R_M$ , respectively [Jia et al., 2019]. In contrast, the solar wind  
604 pressures for the DDM events are much larger at  $\sim 142$  to  $\sim 289$  nPa (see Table 1). The  
605 Chapman-Ferraro (C-F) sixth-root of  $P_{sw}$  relationship [Chapman and Ferraro, 1932] with solar  
606 wind stand-off distance for a  $\sim 200$  nT-  $R_M^3$  magnetic moment is displayed as a thin dashed gray  
607 line. Note that this relationship should hold not only in the absence of reconnection at the  
608 magnetopause or induction inside of Mercury, but also if these effects counter-balance each other  
609 [Slavin et al., 2014]. The  $P_{sw} - R_{SS}$  relationship derived by Glassmeier et al. (2007), which  
610 includes the effects of induction but not reconnection in Mercury's interior, is shown as a bold  
611 dashed line to the right to the right of the C-F curve. The Chapman-Ferraro model predicts that  
612 the nose of the magnetopause should be compressed down to Mercury's surface for a solar wind  
613 dynamic pressures of  $\sim 90$  nPa. This pressure increases to  $\sim 200$  to  $250$  nPa when the effects of  
614 induction currents on the surface of Mercury's iron core are taken into account [Hood and  
615 Schubert, 1979; Glassmeier et al., 2007]. By comparison, the typical solar wind stand-off  
616 distance for the nose of Mercury's magnetopause is only  $\sim 1.4$  to  $1.5 R_M$  from the center of the  
617 planet for an average solar wind pressure of  $\sim 10 - 15$  nPa [Winslow et al., 2013].



618 The size of the boxes in Figure 14 represent the individual magnetopause crossings and  
619 they are proportional to the dimensionless reconnection rate,  $\alpha = B_N/B_{MP}$ , determined for each  
620 magnetopause crossing from the MVA analysis as described in DiBraccio et al. (2013). As  
621 shown, the dimensionless reconnection rates ranged from 0.01 to 0.29 with the lower rates  
622 corresponding mostly to the HCM events and the highest rates to the DDM events. This result is  
623 likely due to reconnection at the DDM magnetopause crossings being more symmetric, i.e. the  
624 ratio of the magnetosheath to the magnetospheric magnetic field intensity being closer to unity  
625 during the larger, more impactful CME producing DDMs.

626 The magnetopause crossings in Figure 14 with the highest reconnection rates, i.e. the  
627 mostly larger boxes, are associated with the DDM events and these events are located to the left  
628 of the C-F curve and they have extrapolated subsolar points below the surface, i.e.  $R_{SS} < 1$  (see  
629 Figure 13). In contrast the HCM events with the highest magnetopause reconnection rates are  
630 located closer to the Chapman-Ferraro curve and the crossings with the smallest dimensionless  
631 reconnection rates are located closest to the Glassmeier et al. curve on the right-hand side that  
632 includes the effects of induction. Overall these results suggest that reconnection-driven dayside  
633 magnetic flux transfer to the magnetotail and the addition of closed magnetic flux to the dayside  
634 magnetosphere due to induction currents are in approximate balance for these highly compressed  
635 dayside magnetosphere events. For this reason, the HCM events do not appear to expose the  
636 dayside to direct solar wind impact, i.e. their  $R_{SS}$  values are greater than 1, for the HCM events.  
637 These conclusions are strongly supported by the global MHD simulations of the solar wind  
638 interaction with Mercury's magnetic field and conducting iron core by Jia et al. (2019).

639 In contrast to the HCM events, the DDM events have  $R_{SS}$  values a between 0.61 and 0.66  
640 (see Table 1) indicating that most of dayside hemisphere experiences direct solar wind impact. If

641 these events were primarily due to compression of the closed magnetic flux down into the crust,  
642 then a clustering near  $R_{ss} \sim 1$  would have been expected in Figure 14 because the solar wind  
643 cannot exert pressure below the surface due to its absorption and neutralization upon contact  
644 with the regolith. For this situation the bow shock would be expected to be located closer to the  
645 planet than would be the case if all of the solar wind were deflected by a magnetopause. The  
646 reason is simply that some or many of the solar wind flow stream lines would intersect and  
647 terminate in Mercury's surface as opposed to requiring that a more distant bow shock and thicker  
648 magnetosheath would allow all of them to be deflected about the magnetopause (e.g. see Spreiter  
649 et al., 1966; Slavin et al., 1980).

650        Though heavy ions were present in each of the three events for which plasma data was  
651 available, substantially more  $\text{Na}^+$ -group ions were observed throughout the magnetosheath  
652 passage on DOY 335 2013. Raines et al. (2014) hypothesized that the  $>500$  eV heavy ions  
653 typically observed in Mercury's northern cusp are energized from  $< 1$  eV photo-ions as part of  
654 the reconnection process on the dayside magnetopause. As this event is also the one with the  
655 highest FTE rate observed throughout the magnetosheath, it is consistent with that hypothesis.  
656 The enhanced heavy ion content on DOY 335 2013 is not likely due to seasonal effects (local  
657 time). Those effects showed maximum heavy ions in orbits that passed through the dayside  
658 close to local times 0900 and 1500, whereas this event passes through at nearly 1200.

659        The relative contributions of solar wind compression and reconnection-driven erosion to  
660 the disappearance of the dayside magnetosphere at the  $\sim 250$  to 400 km altitudes of the  
661 MESSENGER passes studied here is difficult to determine. The very high solar wind pressures  
662 inferred from our analyses of the DDM high-latitude magnetopauses are near those required to  
663 compress the closed dayside magnetic fields until it is trapped between the incident solar wind

664 and the highly electrically conducting iron core (see Figures 1). However, the southward IMF in  
665 the dayside magnetosheath are equally impressive with  $B_z \sim -100$  to  $-400$  nT and very high rates  
666 of FTE-type flux ropes are observed in the magnetosheath as discussed earlier. If the closed  
667 field lines on the dayside are eroded by reconnection, i.e. opened and transferred to the lobes of  
668 the tail, then the high-latitude magnetopause crossings might be expected to move equatorward,  
669 enhanced flaring of the tail magnetopause would take place and the lobe magnetic flux content  
670 would be enhanced. As described earlier, there is evidence for each of these effects, but the  
671 results are not so clear as those for the extreme solar wind pressure enhancements. Planned  
672 studies beyond the scope of the analyses presented here will expand beyond the very pristine  
673 disappearing dayside magnetosphere events considered here to include events with a wider range  
674 of IMF orientations and intervals when closed magnetospheric intervals on the dayside are  
675 briefly observed in between intervals of low-altitude magnetosheath.

676

## 677 **9.0 Conclusions**

678 The primary features of the disappearing dayside magnetosphere events are illustrated in  
679 Figure 15. The magnetic field lines due to the core dynamo and the induction currents on the  
680 core surface, are shown in yellow and green, respectively. During the disappearing dayside  
681 magnetosphere examined here MESSENGER remained in the magnetosheath even at altitudes as  
682 low as  $\sim 250 - 300$  km at latitudes below  $\sim 80^\circ$ . We cannot rule out the existence of some closed  
683 dayside magnetic flux above the surface during these DDM intervals. However, the extremely  
684 high solar wind dynamic pressures, more than 2 orders of magnitude above typical levels, the  $\sim -$   
685  $100$  to  $-400$  nT southward magnetic fields in the magnetosheath and the large amount of

686 magnetic flux contained in FTE-type flux ropes at any given moment, comparable to half of the  
687 total open flux in the tail lobes, all argue against a reservoir of closed magnetic flux below the ~  
688 400 to 250 km altitudes of MESSENGER during these DDM dayside passes. Further, as  
689 illustrated in Figure 15, the extreme closeness of the bow shock especially in the subsolar region  
690 suggests continued direct solar wind impact and absorption on the surface, as opposed to  
691 complete diversion about Mercury. Indeed, it is interesting to speculate that terrestrial-type  
692 exoplanets orbiting close to low-mass stars, e.g. “hot-Earths”, may often have the ability of their  
693 planetary magnetic fields to shield atmospheric neutrals seriously compromised by the same  
694 combination of extreme stellar wind ram pressure and interplanetary magnetic intensity as found  
695 here at Earth during DDM events [e.g. Dong et al, 2017].

696 There are many important questions regarding these DDM intervals that remain to be  
697 understood. The relative amounts of magnetic flux created by induction currents flowing on the  
698 surface of the planet’s iron core, compressed into the crust by the high solar wind pressure,  
699 sequestered in the large numbers of FTE-type flux ropes and/or transferred to the magnetotail by  
700 reconnection-driven erosion of the dayside magnetosphere. Further, the reasons for the very high  
701 reconnection rates determined for the DDM high-latitude magnetopause crossings, i.e. by a  
702 factor of nearly 50% higher than the mean for the highly compressed magnetosphere intervals  
703 analyzed by Jia et al. (2019) are not known. Indeed, the region(s) where the large numbers of  
704 FTE-type flux ropes formed during these DDMs, e.g. very low altitude/low latitude closed  
705 magnetic fields or at higher latitudes closer to the polar or flank terminator plane, is not  
706 understood at all. If direct solar wind impact is as extensive as suggested by Figure 15, then the  
707 contribution of solar wind-driven sputtering to Mercury’s exosphere should maximize during  
708 DDM events. Indeed future studies of these DDM events, and others that are more complex (e.g.

709 time dependent or shorter duration) and more frequent than the pristine examples examined here,  
710 using global numerical magnetospheric simulations will be necessary before the impact of DDM  
711 events on the short- and longer-term solar wind flux reaching Mercury's surface and their role in  
712 supporting the neutral exosphere and magnetospheric heavy ion populations through sputtering  
713 can be assessed [Killen et al., 2019; Korth et al., 2019]. The joint ESA – JAXA – NASA  
714 BepiColombo mission is scheduled to arrive at Mercury in late 2025. The dual-spacecraft  
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717

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727

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1035

**Table 1.** Magnetopause Analysis Results

Year	DOY	UTC	Type <sup>a</sup>	$X'_{\text{MSM}}$	$Y'_{\text{MSM}}$	$Z'_{\text{MSM}}$ ( $R_M$ )	$B_N/ B_N $	$B_N$ (nT)	$\alpha^b$	$P_{\text{SW}}$ (nPa)	$R_{\text{SS}}$ ( $R_M$ )
2011	288	14:39:00	DDM	(0.13, 0.05, 0.96)	(0.80, 0.38, 0.46)	51.87	0.12	142.4	0.66		
2013	335	01:34:30	DDM	(0.13, 0.08, 0.93)	(0.68, 0.01, 0.74)	68.60	0.16	181.9	0.64		
2014	44	10:00:30	DDM	(0.09, 0.24, 0.88)	(0.63, -0.20, 0.75)	83.65	0.17	288.5	0.61		
2015	84	00:41:45	DDM	(0.17, -0.03, 0.89)	(0.78, 0.08, 0.63)	165.19	0.29	233.0	0.66		

<sup>a</sup>Disappearing Dayside Magnetosphere (DDM)

<sup>b</sup>Dimensional reconnection rate  $\alpha = B_N/B_{\text{MP}}$

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1038 Figure 1. The (a) Increases in solar wind pressure drive induction currents (green loops) at the  
1039 top of Mercury's large iron core. The sense of these currents is to oppose the compression of the  
1040 intrinsic magnetic field (yellow) by generating additional magnetic flux (green field lines) that,  
1041 when added to the intrinsic flux, acts to balance the increased solar wind pressure. (b) Magnetic  
1042 reconnection between the interplanetary magnetic field and the intrinsic planetary magnetic field  
1043 opposes the effectiveness of induction by removing magnetic flux from the dayside  
1044 magnetosphere and transporting it to the tail (adapted from Slavin et al., 2014).

1045  
1046 Figure 2. Every other MESSENGER orbit for its four-year mission have been plotted in solar  
1047 wind aberrated, cylindrical MSO coordinates. The mean bow shock and magnetopause from the  
1048 axisymmetric conic surfaces determined by Winslow et al. (2013) are displayed. Note the clear  
1049 signature of the change in apoapsis altitude early in the mission and the dense coverage of the  
1050 near-Mercury magnetosheath and magnetosphere (see discussion in Slavin et al., 2007; 2019)

1051  
1052 Figure 3. Full resolution (20/sec) MAG measurements in MSM coordinates for 15 October 2011.  
1053 Probable identifications for the arrival of an interplanetary shock and a coronal mass ejection are  
1054 indicated. The peak magnetic fields for the two periapsis passes are displayed along with the  
1055 altitudes where they were recorded.

1056  
1057 Figure 4. ENLIL-WSA+Cone models of (a) solar wind radial speed ( $V_r$ ) and (b) the product of  
1058 solar wind density ( $n$ ) and the square of distance ( $r$ ) from the Sun in AU for 15 October 2011 at

1059 21:00 UTC. Coronal mass ejections identified in the SOHO and STEREO observations are  
1060 enclosed by solid black lines. The center of a coronal mass ejection impacted Mercury and its  
1061 magnetosphere (yellow circle). The locations of Earth, Venus, and Mercury are all indicated by  
1062 small colored dots. The inner domain of the model (where WSA is utilized) is denoted by the  
1063 central white circle. (c–d) Magnetic field  $B_z$  and total intensity ( $B$ ) measurements (sampled at a  
1064 rate of 20 sec<sup>-1</sup>). The smoothed magnetic field data shown with the red traces.

1065

1066 Figure 5. MESSENGER measurements of  $B_z$  and  $B$  magnitude (20 s<sup>-1</sup>) in in MSM coordinates  
1067 on 15 October 2011 and 1 December 2013. The interval presented covers the upstream  
1068 interplanetary medium, the dayside pass from the low-latitude bow shock (BS) to the high  
1069 latitude magnetopause (MP) crossings and, finally, the near-tail. Large amplitude, high  
1070 frequency fluctuations are observed from the bow shock through to the magnetopause where  
1071 they quickly decrease in amplitude.

1072 Figure 6. MESSENGER measurements of  $B_z$  and  $B$  magnitude (20 sec<sup>-1</sup>) in in MSO  
1073 coordinates on 13 February 2014 and 25 March 2015. The interval presented covers the upstream  
1074 interplanetary medium, the dayside pass from the low-latitude bow shock (BS) to the high  
1075 latitude magnetopause (MP) crossings and, finally, the near-tail. Note that the final DDM event  
1076 in the bottom panels is the only descending pass wherein MESSENGER passed from the high-  
1077 latitude tail, through the magnetopause and then ended the dayside pass by exiting through the  
1078 bow shock at low latitudes. As with the other DDM events large amplitude, high frequency  
1079 fluctuations are observed throughout these dayside passes.

1080

1081 **Figure 7.** Close-up views of the FIPS plasma measurements for each of the 3 DDM dayside  
1082 passes. The FIPS H<sup>+</sup> E/Q spectra ( $\text{cm}^{-2} \text{s}^{-1} \text{sr}^{-1} \text{keV}^{-1}$ ), H<sup>+</sup> observed density ( $\text{cm}^{-3}$ ), He<sup>+</sup>, He<sup>++</sup>, O<sup>+</sup>  
1083 and Na<sup>+</sup> observed densities ( $\text{cm}^{-3}$ ), and B-total (nT) are shown in panels a-d for the 15 October  
1084 2011 events, in panels e – h for 1 December 2013, and in panels i – l for 13 February 2014.

1085 Figure 8. Projections of MESSENGER's trajectory into the aberrated MSO a) Z'-X', b) Y'-X'  
1086 and c) Z'-X' planes. The background magnetospheric magnetic field lines are from an MHD  
1087 simulation [Jia et al., 2015]. The intervals over which the spacecraft was in the magnetosheath is  
1088 shown in red while the magnetospheric traversals are shown in blue. Note: arrows indicate the  
1089 direction of MESSENGER's motion.

1090

1091 Figure 9. The rates at which FTEs are observed for each of the DDM passes are displayed in  
1092 panels a, c, e and g in bins of 30 sec duration. For each of the DDM passes red arrows mark the  
1093 occurrence of individual FTEs against a plot of B-total in panels b, d, f, and h.

1094

1095 **Figure 10.** Histograms of a) FTE radius and b) FTE flux content for total of 438 FTEs identified  
1096 and modeled by fitting to a force-free flux rope model (see Akhavan-Tafti et al, 2018).

1097

1098 Figure 11. MESSENGER MAG measurements ( $20 \text{ sec}^{-1}$ ) in MSM coordinates are displayed  
1099 across the high-latitude magnetopause crossings for the 15 October 2011 and 01 December 2013  
1100 disappearing dayside magnetosphere events. The smoothed magnetic field data shown with the

1101 red traces were created by performing a boxcar averaging on the magnetic field data using a 40  
1102 sec window and used for minimum variance analysis. Vertical dashed lines mark the intervals  
1103 used for MVA analysis and the minimum, intermediate and maximum Eigenvectors are listed in  
1104 panels d and h.

1105 Figure 12. MESSENGER MAG measurements (20 sec<sup>-1</sup>) in MSM coordinates are displayed  
1106 across the high-latitude magnetopause crossings for the 13 February 2014 and 25 March 2015  
1107 disappearing dayside magnetosphere events. The smoothed magnetic field data shown with the  
1108 red traces were created by performing a boxcar averaging on the magnetic field data using a 40  
1109 sec window and used for minimum variance analysis. Vertical dashed lines mark the intervals  
1110 used for MVA analysis and the minimum, intermediate and maximum Eigenvectors are listed in  
1111 panels d and h.

1112  
1113 Figure 13. The The locations of the bow shock (red marks) and magnetopause (blue marks)  
1114 observed during the DDM passes are plotted in cylindrical solar wind aberrated MSM  
1115 coordinates. Shue et al. (1997) boundary surfaces have been fit to the bow shock and  
1116 magnetopause crossings and displayed in red and blue, respectively. For comparison the surface  
1117 of Mercury and the much higher altitude mean surface determined by Winslow et al. (2013) are  
1118 also displayed in gray.

1119  
1120 Figure 14. The extrapolated stand-off distance of the nose of the magnetopause,  $R_{SS}$ , is plotted  
1121 against the upstream solar wind dynamic pressure,  $P_{sw}$ , for each of the 8 highly compressed  
1122 dayside magnetosphere (HCM) and 4 disappearing dayside magnetosphere (DDM) intervals..



1123 The individual magnetopause crossings are displayed as square symbols with the size of box  
1124 proportional to the dimensionless reconnection rate. A Chapman-Ferraro (CF) sixth-root of  $P_{sw}$   
1125 curve intersecting the mean 10 - 15 nPa stand-off distance determined by Winslow et al. (2013)  
1126 is the lower dashed line. The upper dashed line (black) is a theoretical model of the compression  
1127 of Mercury's dayside magnetosphere by Glassmeier et al. (2007) that includes the effects of  
1128 induction in Mercury's interior.

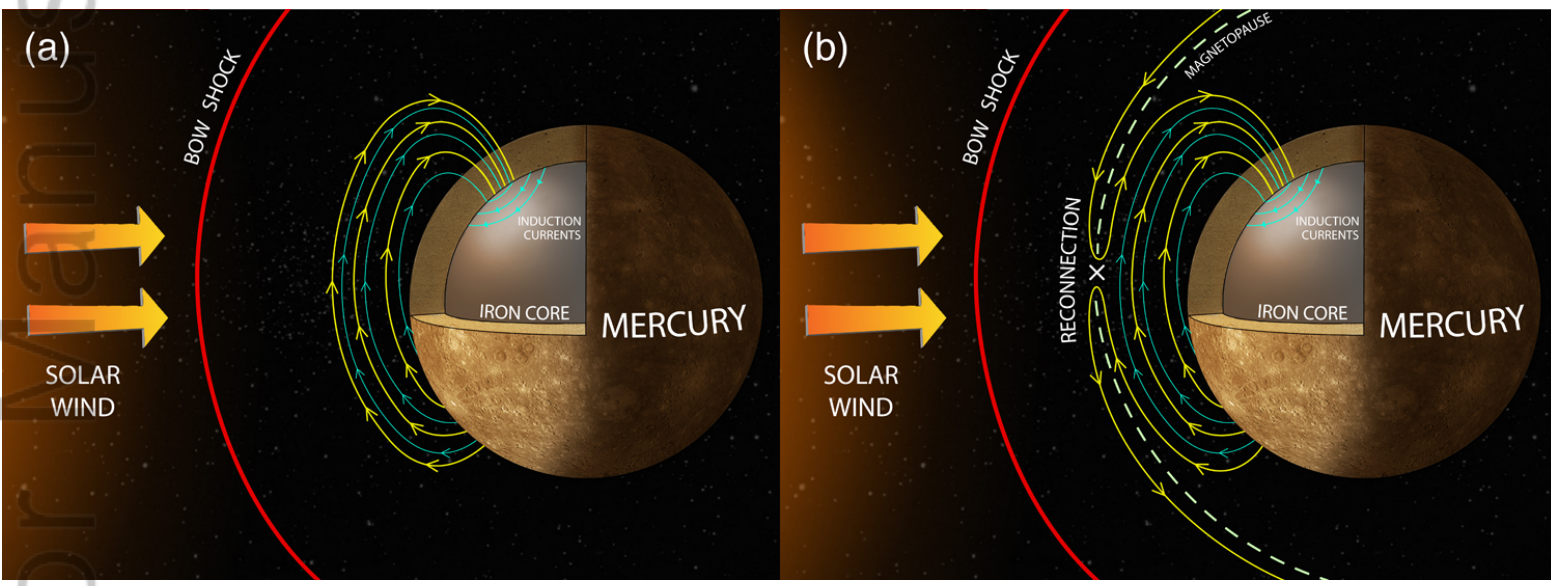
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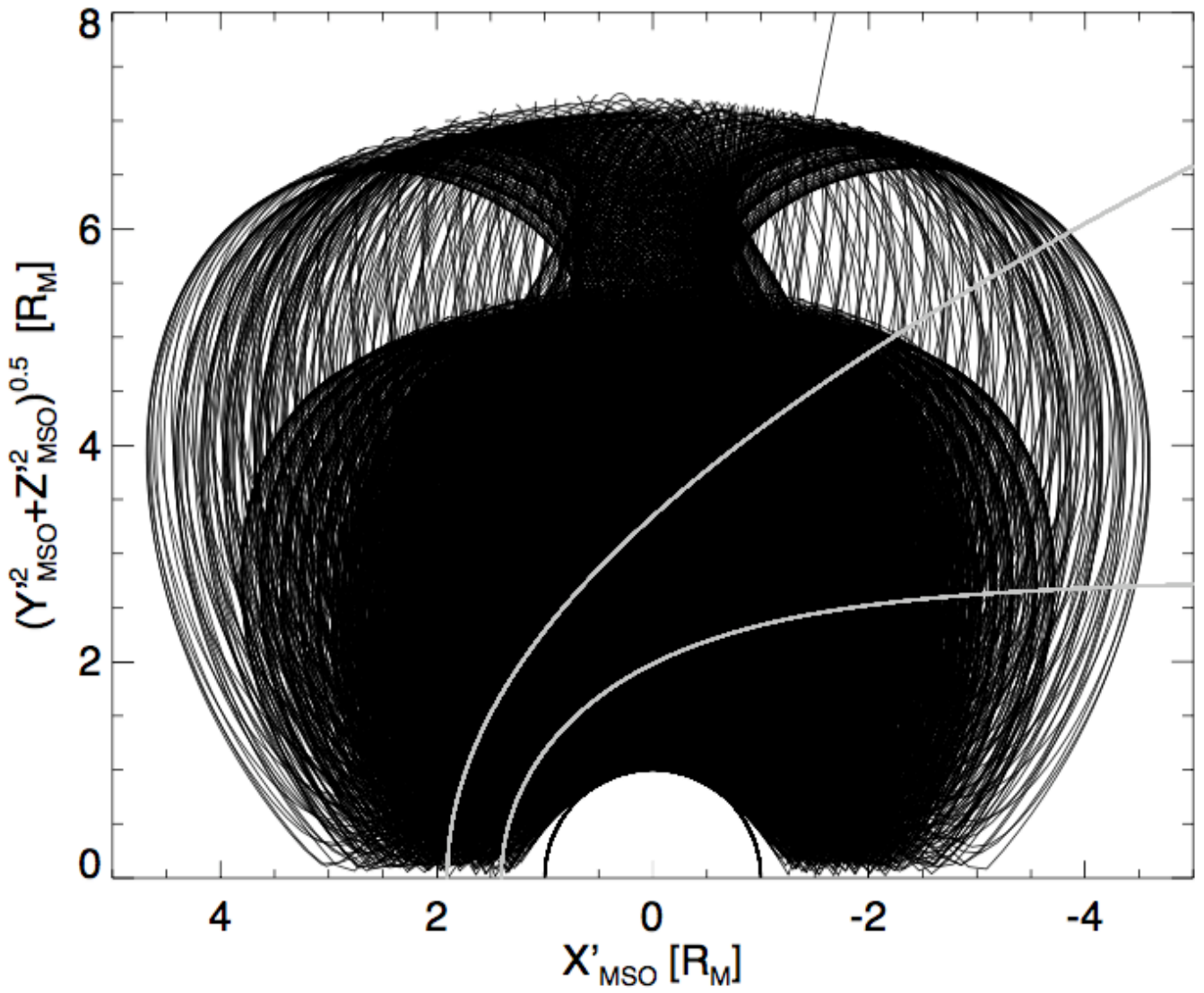
1130 Figure 15. The primary features of the disappearing dayside magnetosphere events are illustrated  
1131 with the magnetic field lines due to the core dynamo and the induction currents on the core  
1132 surface, are shown in yellow and green, respectively. The bow shock is very close to the planet  
1133 presumably due to solar wind impact and absorption. Large numbers of FTE-type flux ropes are  
1134 observed and an unknown fraction of closed field lines are compressed into the crust by the high  
1135 solar wind pressure.

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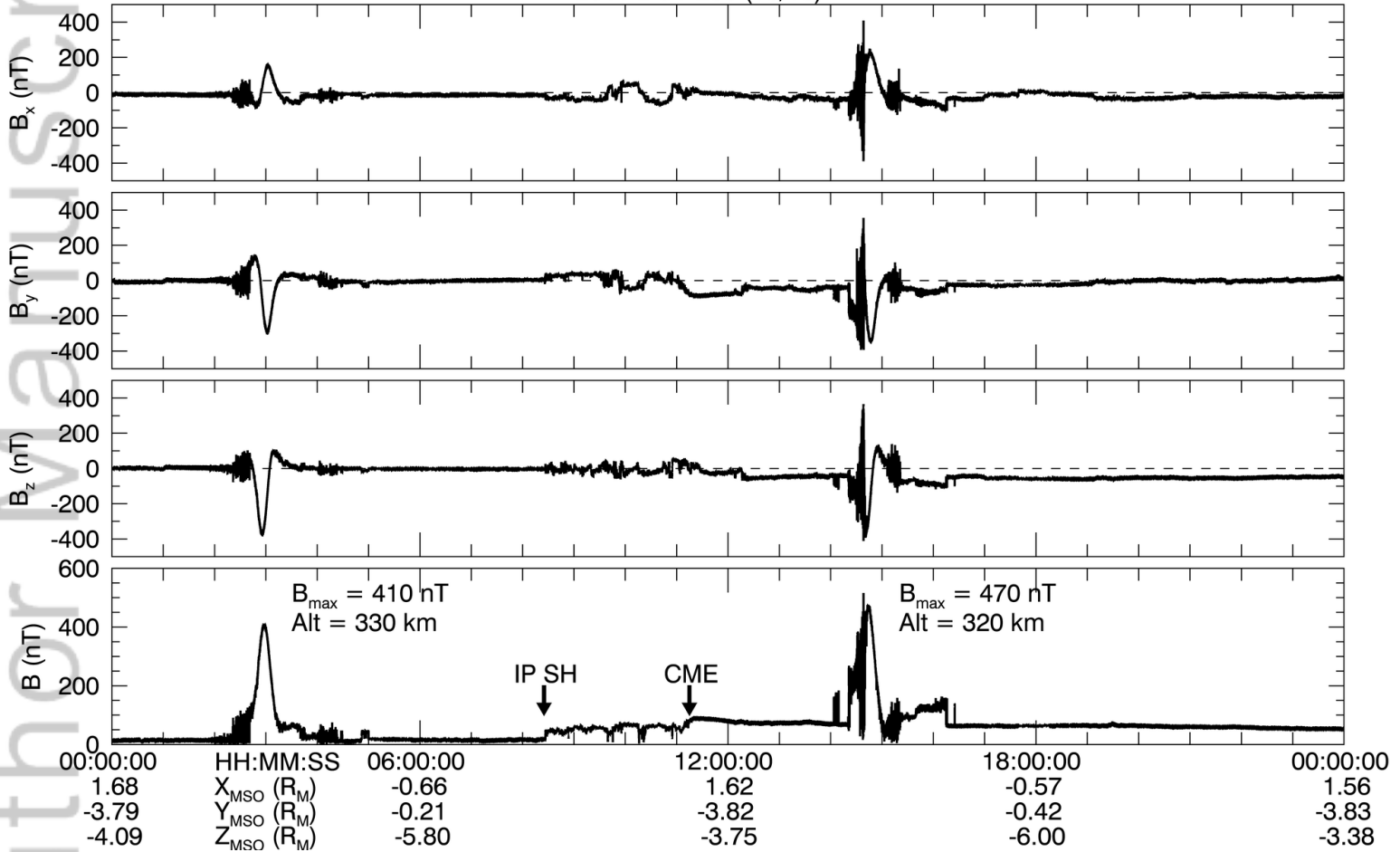


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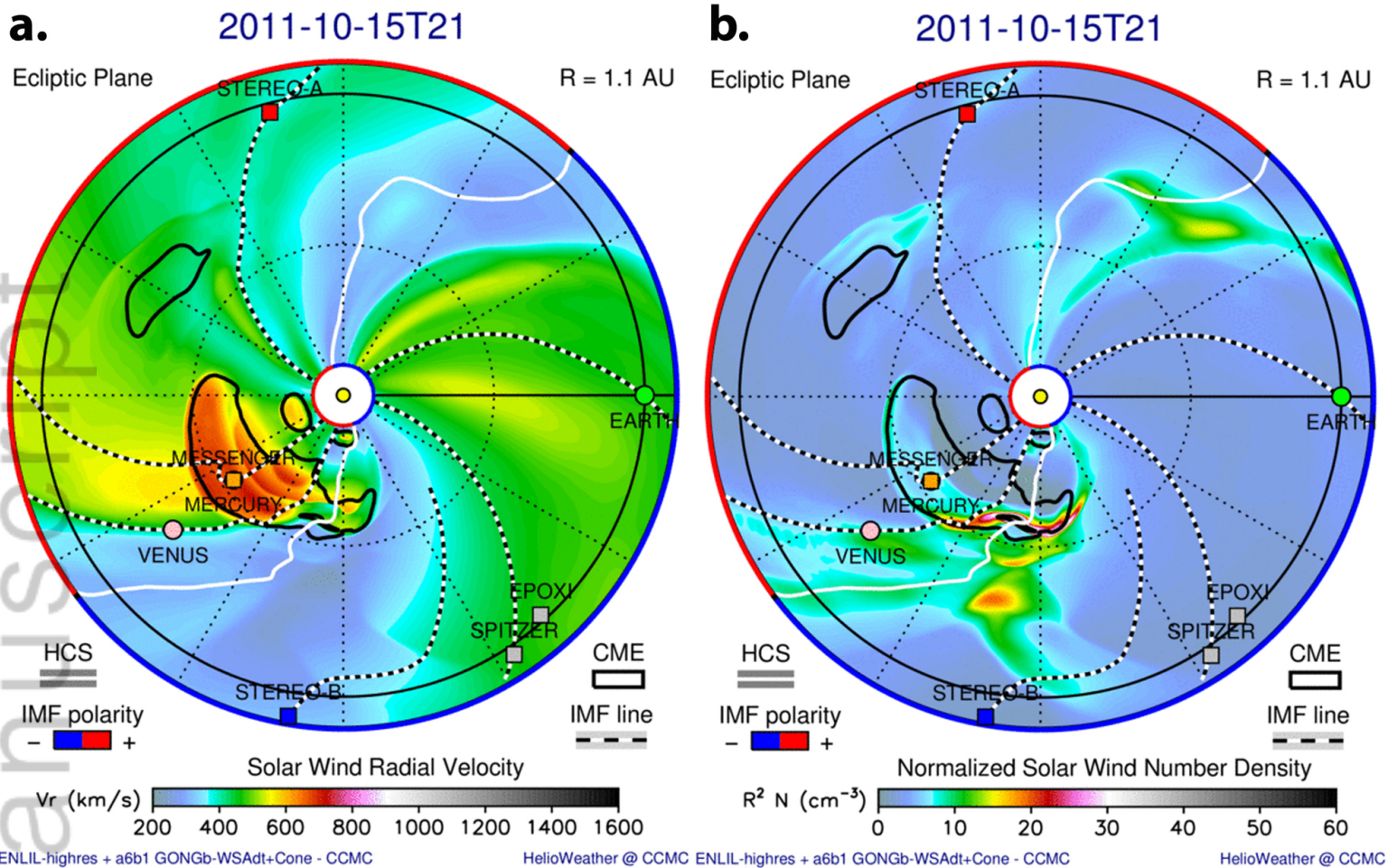
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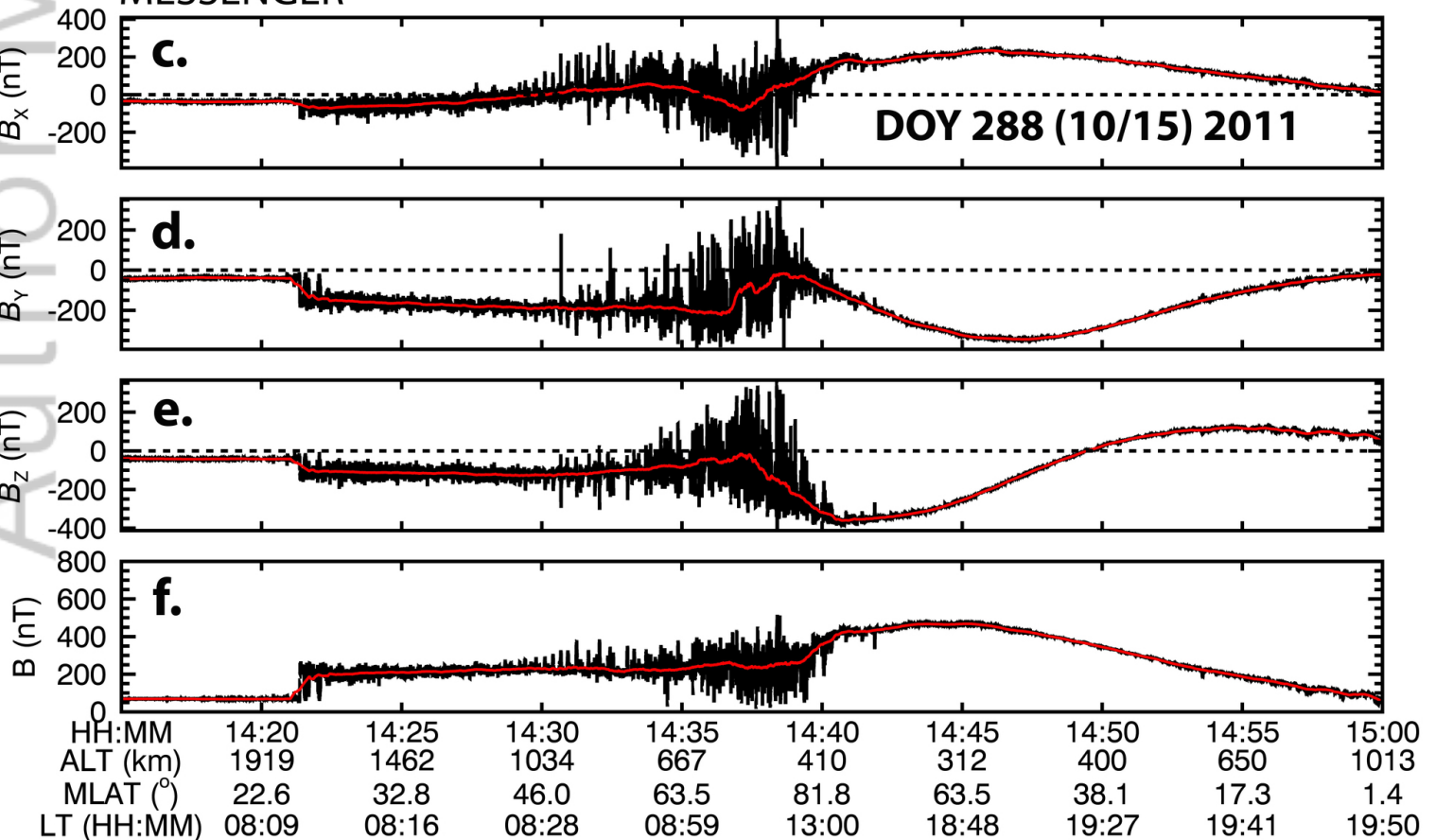


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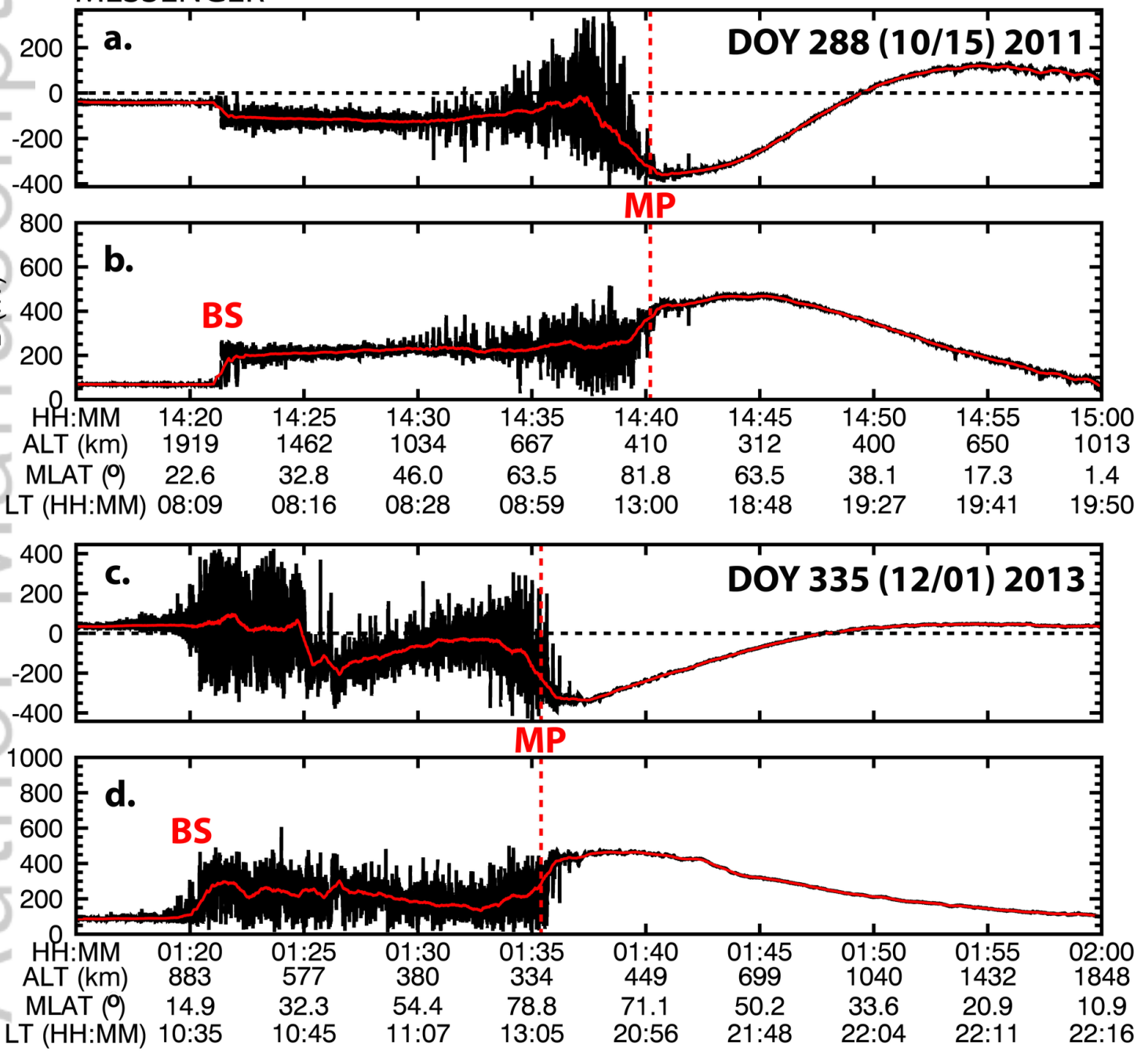
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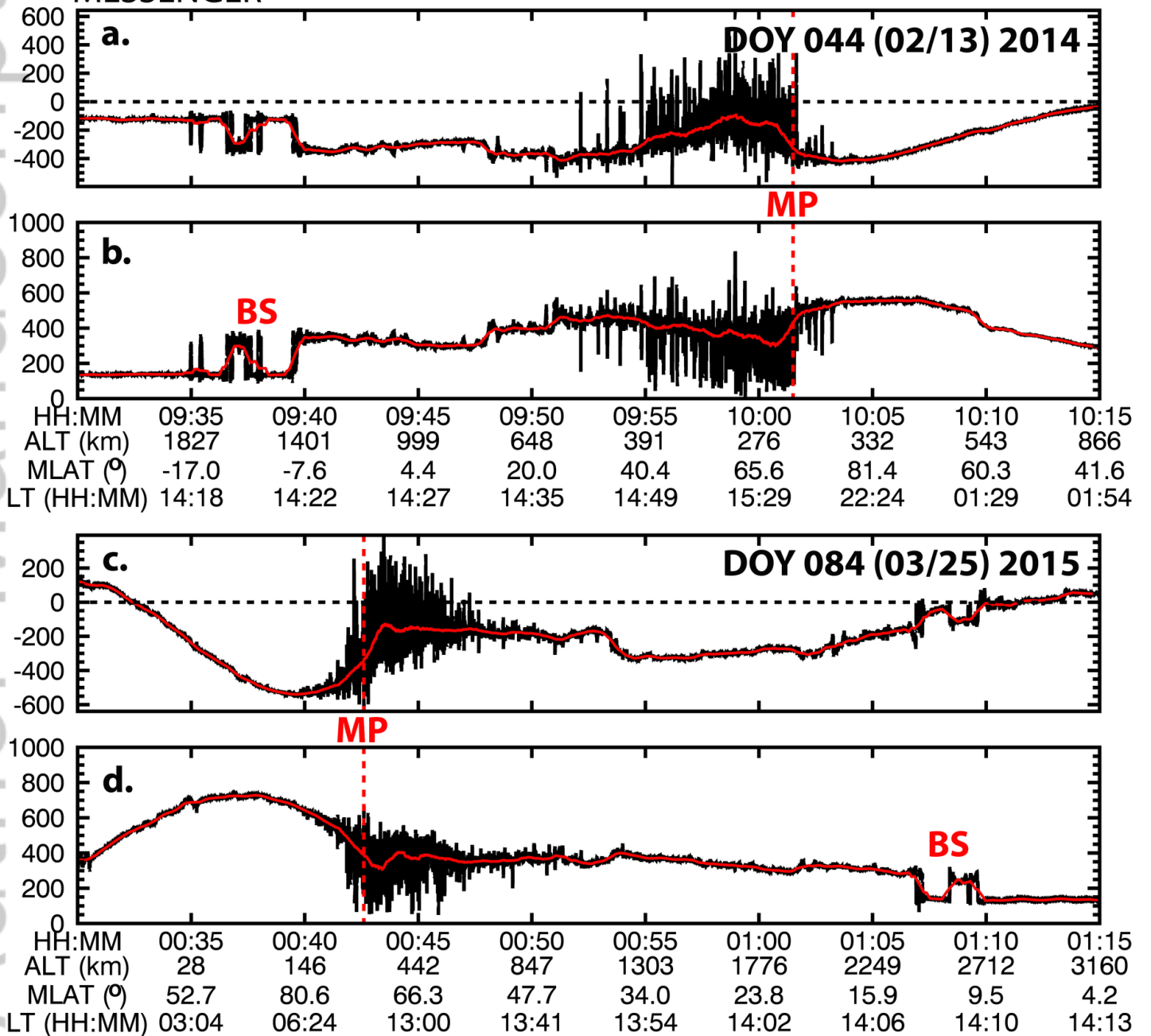
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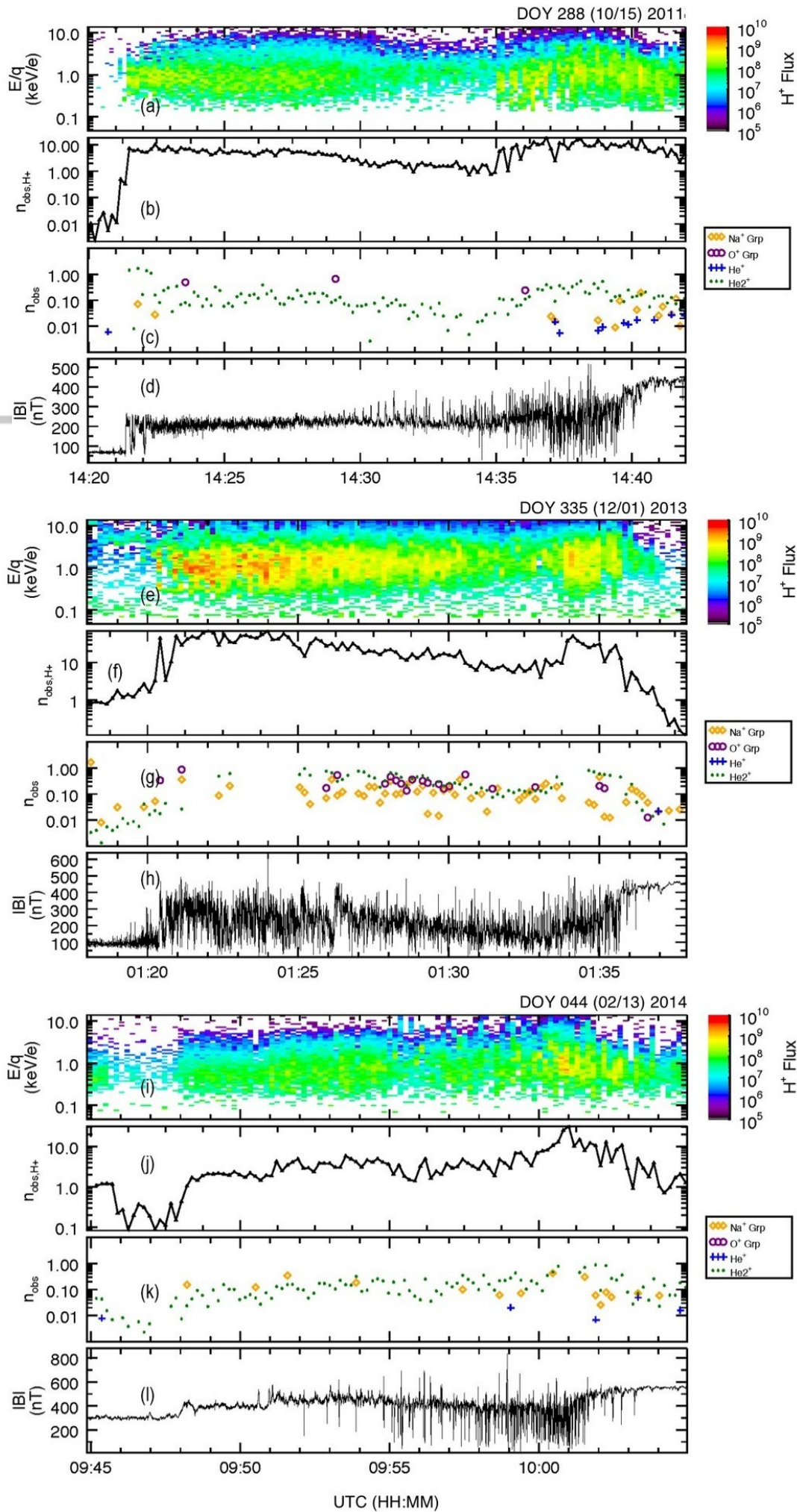
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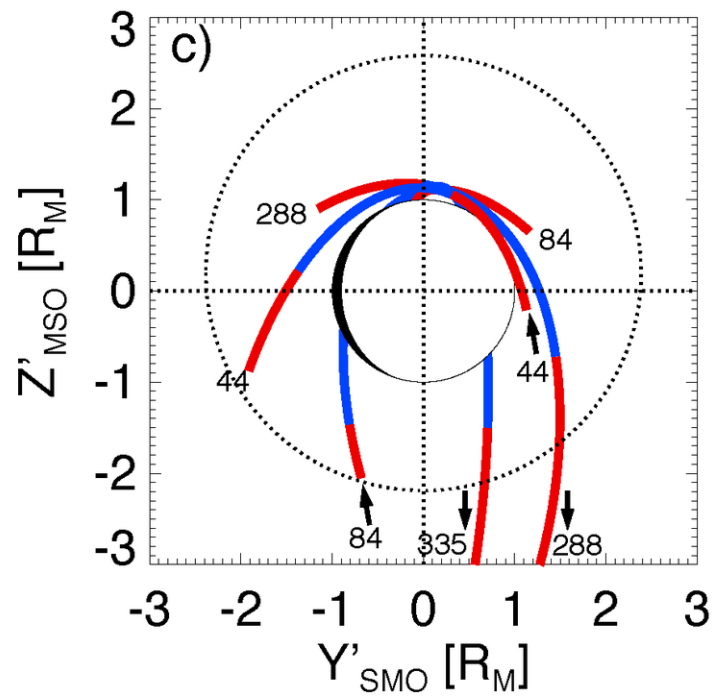
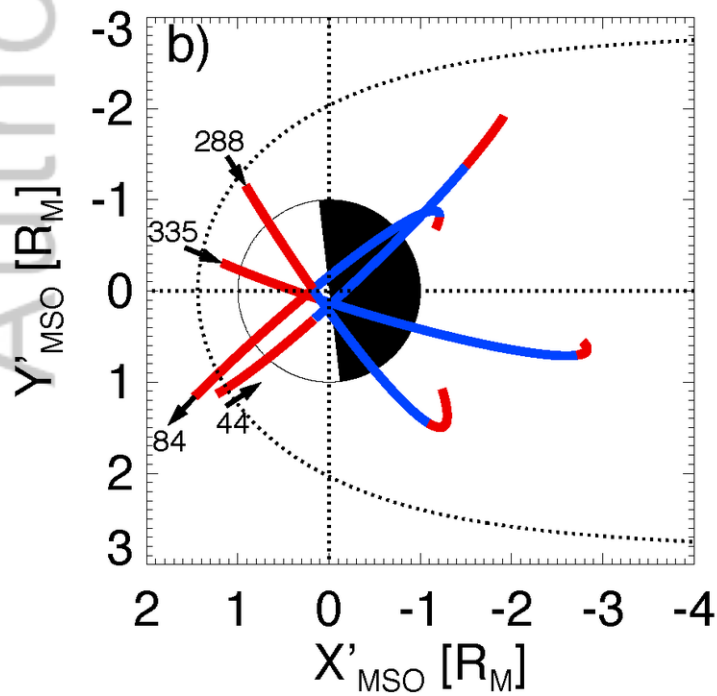
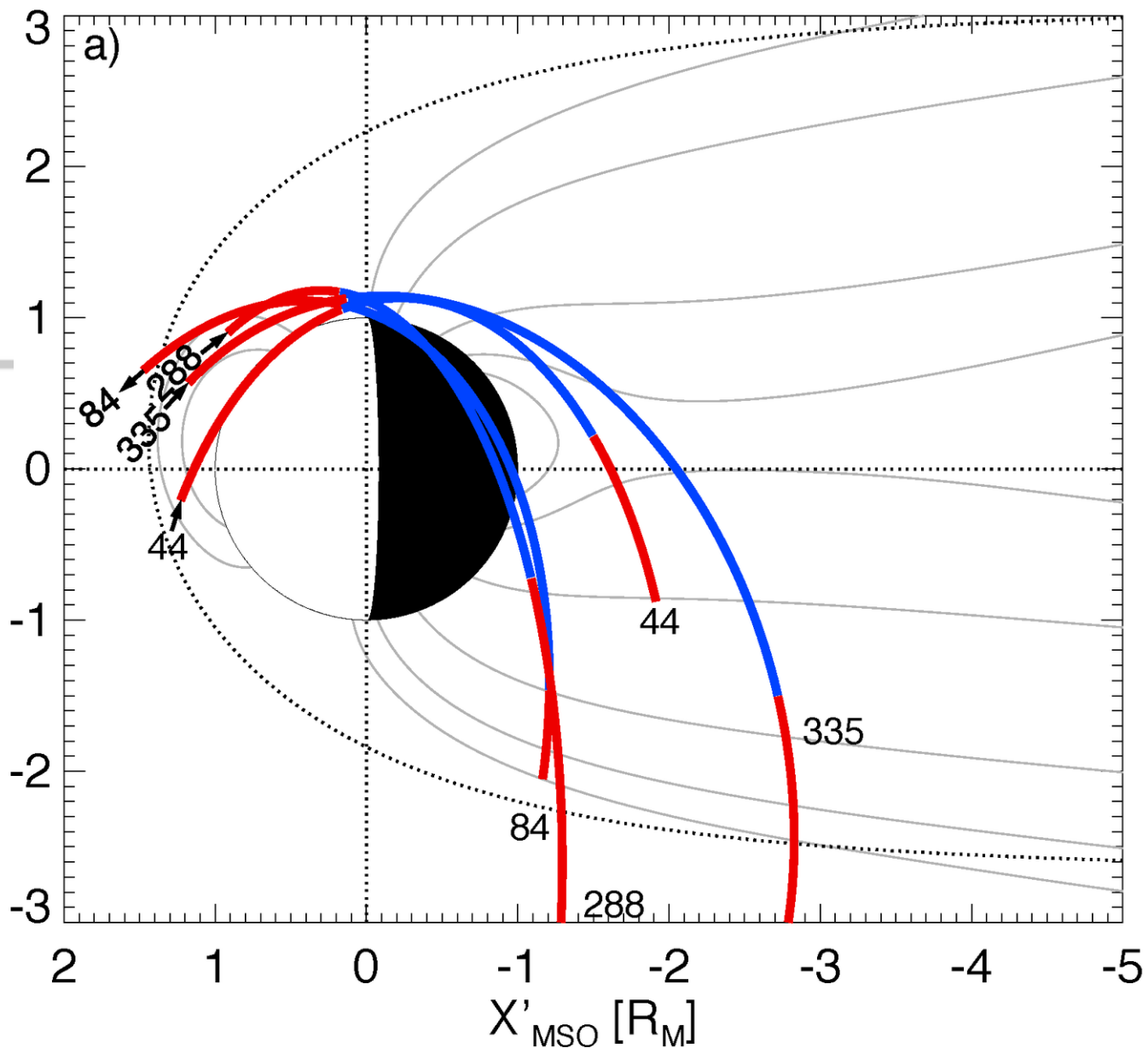
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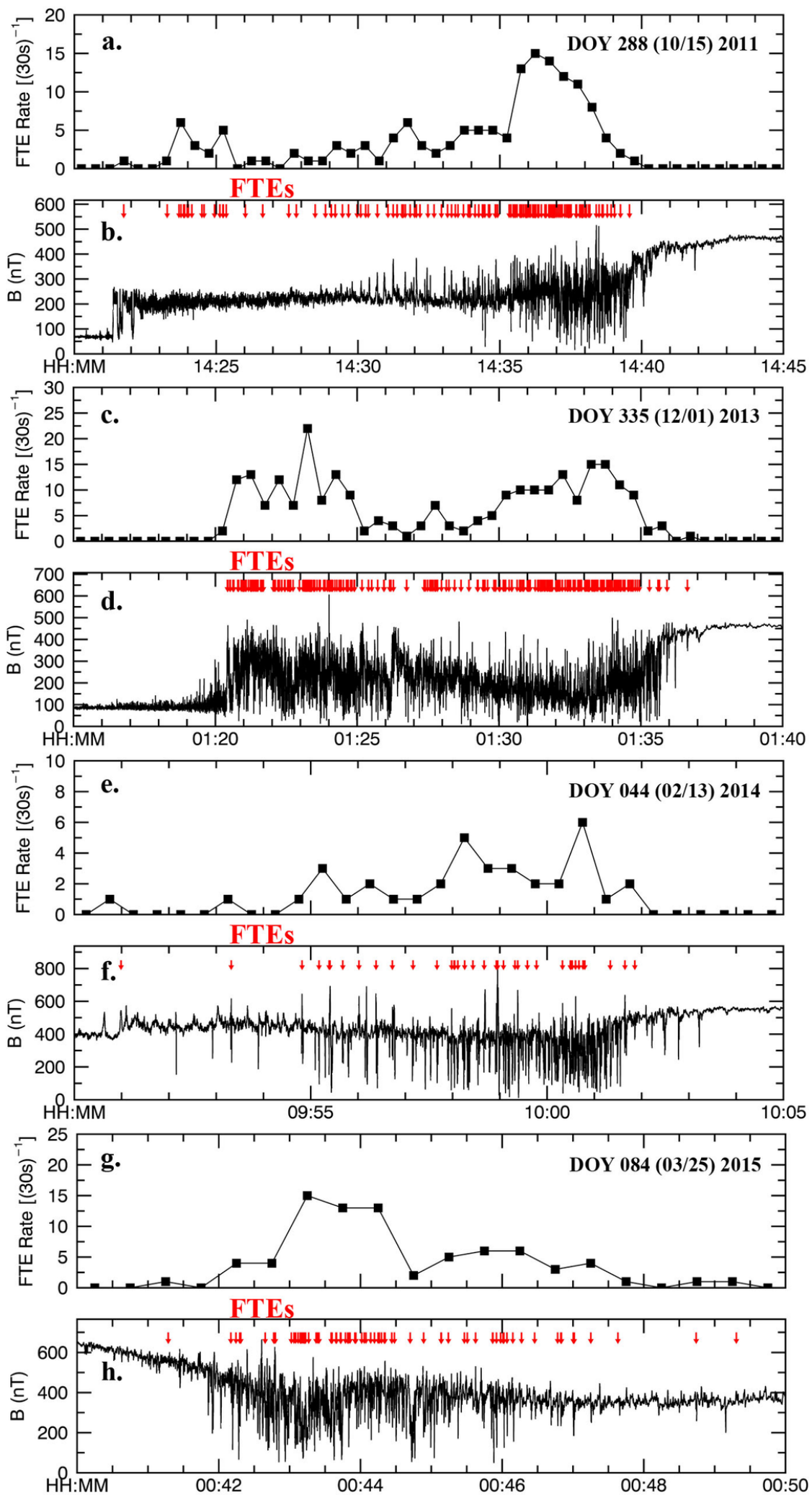
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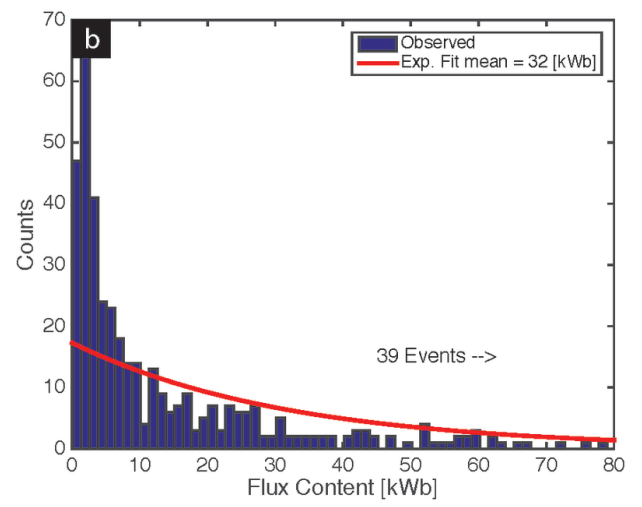
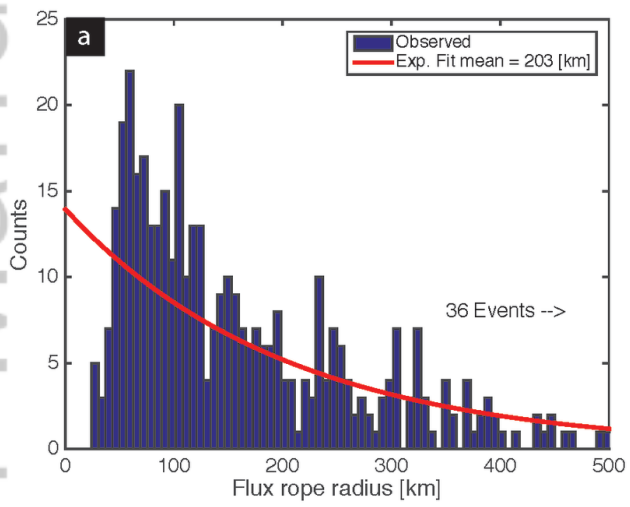




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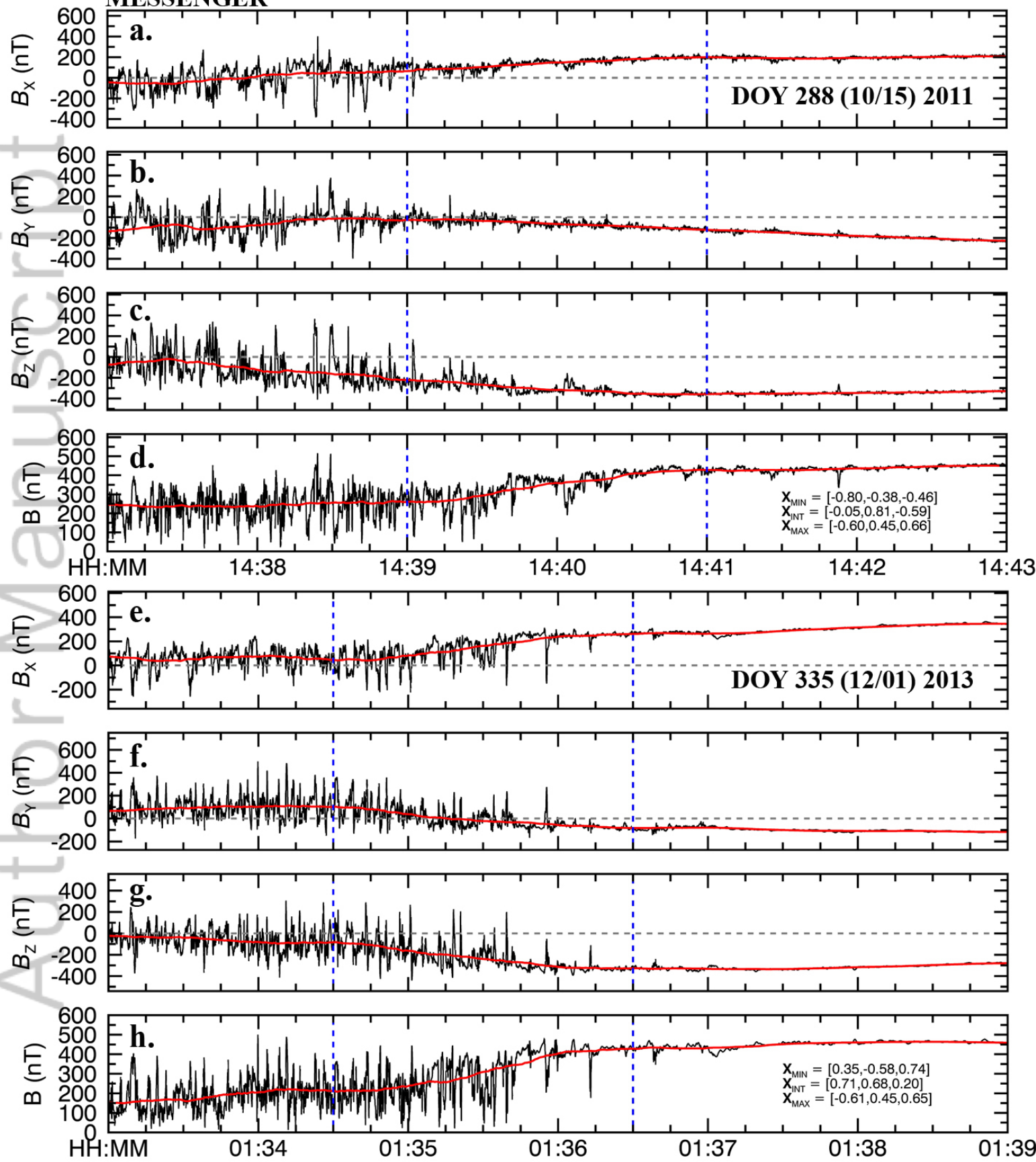
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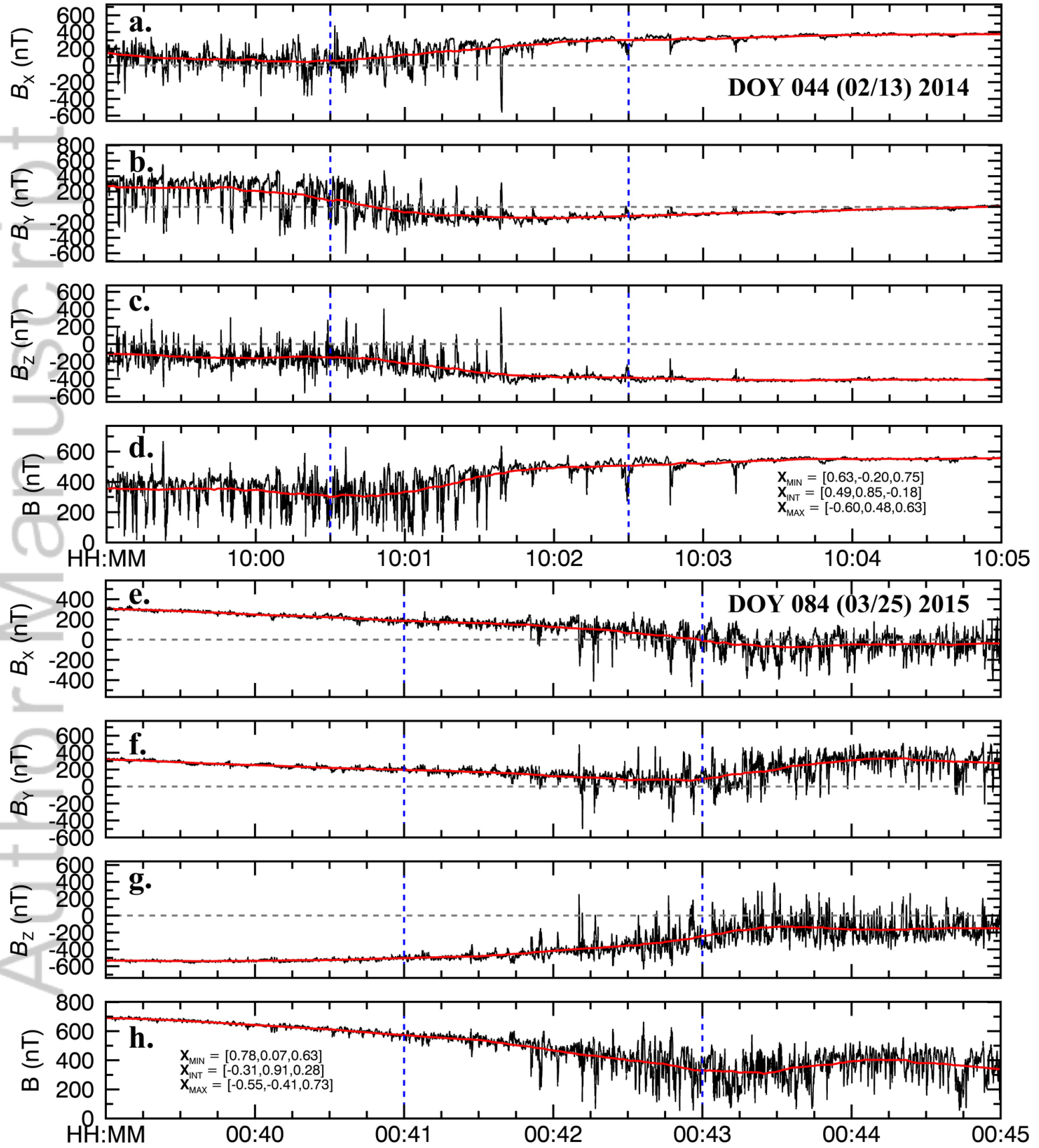


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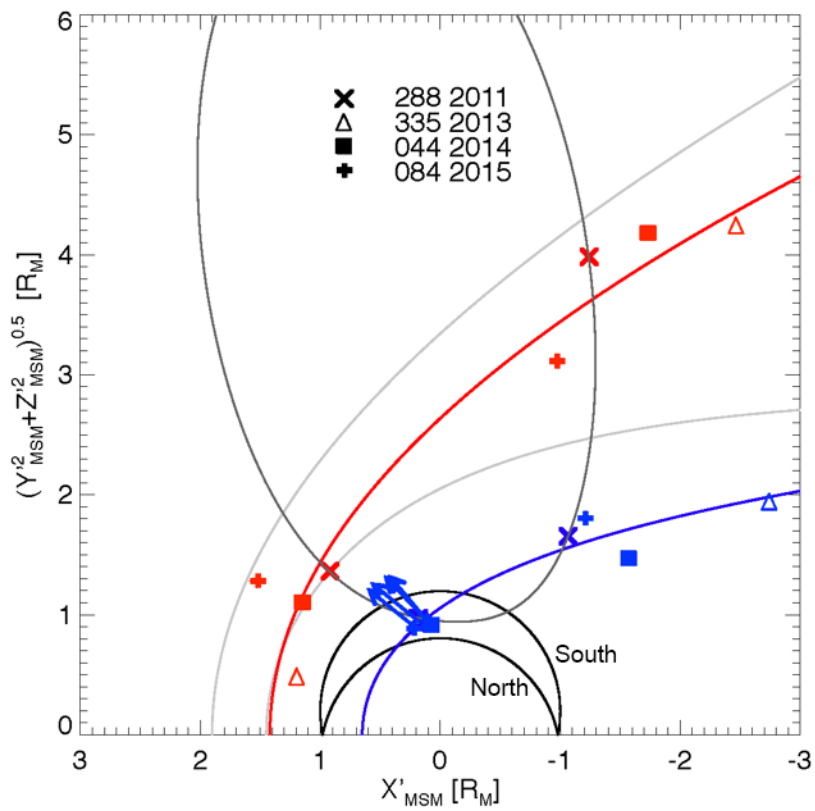


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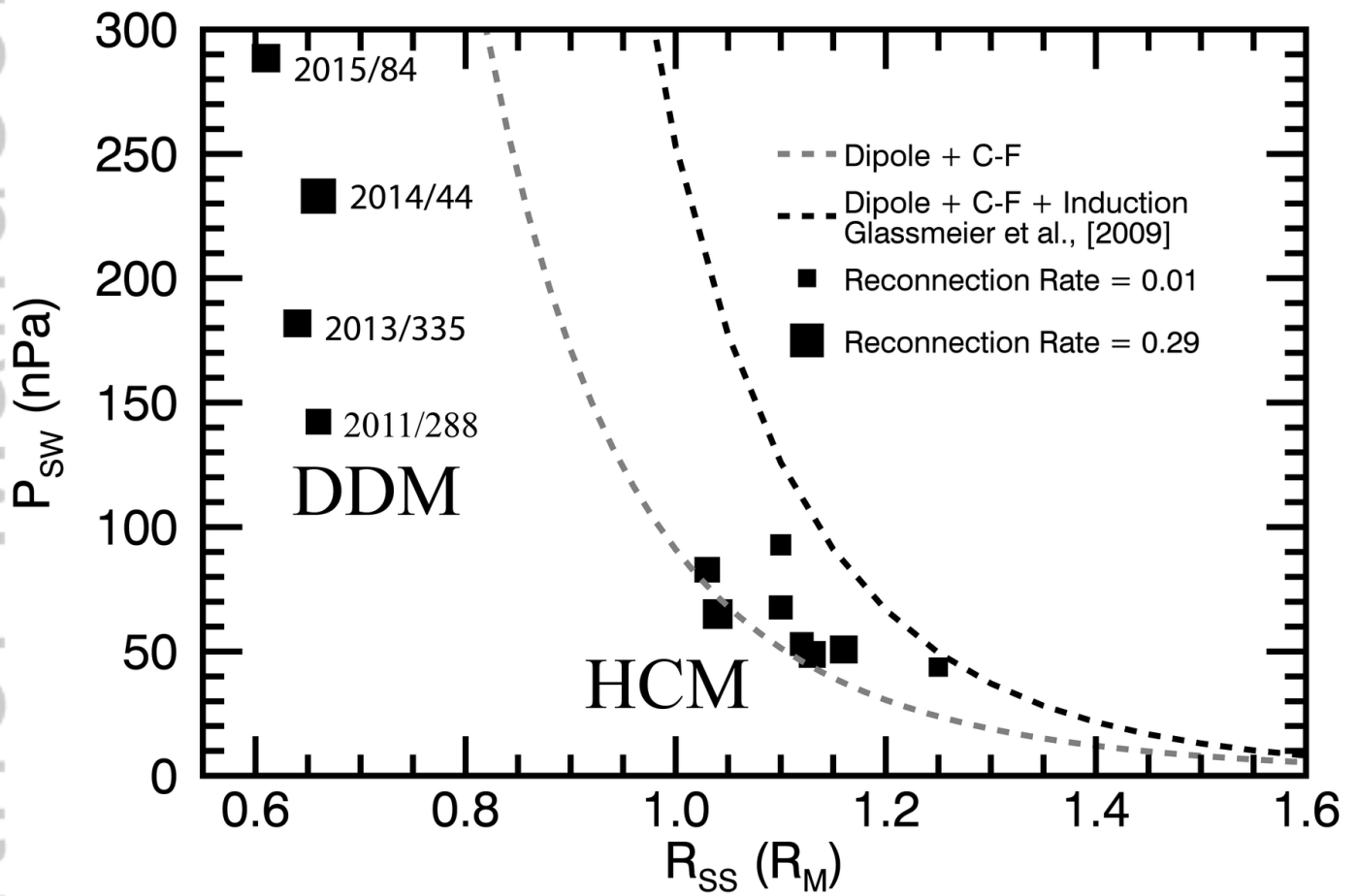
# MESSENGER



2019JA026892-f12-z-jpg

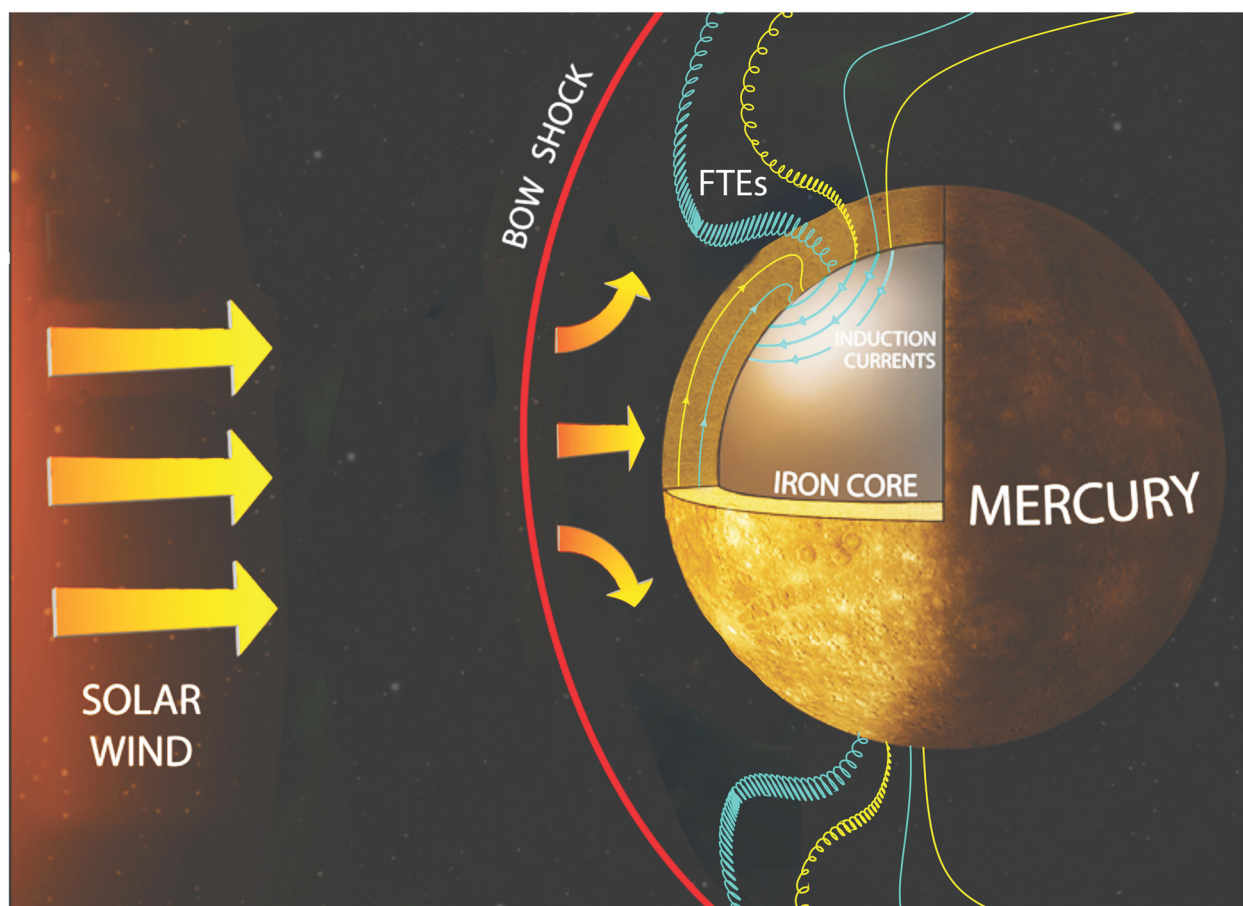


2019JA026892-f13-z-.tif



2019JA026892-f14-z.tif





2019JA026892-f15-z-.tif