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7	Modeling Flow, Nutrient, and Sediment Delivery from a Large International
8	Watershed Using a Field-Scale SWAT Model
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20	Research Impact Statement: A well-calibrated and validated field-scale flow and water quality
21	model was used to assess nutrient load, concentration, yield, and distribution for a large
22	international watershed.
23	
24	Abstract: A large international watershed, the St. Clair-Detroit River System, containing both
25	extensive urban and agricultural areas, was modeled using the Soil and Water Assessment Tool
26	(SWAT) model. The watershed, located in southeastern Michigan, US, and southwestern
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1 Ontario, Canada, encompasses the St. Clair, Clinton, Detroit, Sydenham, Upper, and Lower 2 Thames sub-watersheds. The SWAT input data and model resolution (*i.e.*, Hydrologic Response 3 Units, HRUs), were established to mimic farm boundaries, the first time this has been done for a 4 watershed of this size. The model was calibrated (2007-2015) and validated (2001-2006) with a 5 mix of manual and automatic methods at six locations for flow and water quality at various time 6 scales. The model was evaluated using Nash-Sutcliffe efficiency and percent bias and was used 7 to explore major water quality issues. We showed the importance of allowing key parameters to 8 vary among sub-watersheds to improve goodness of fit, and the resulting parameters were consistent with sub-watershed characteristics. Agricultural sources in the Thames and Sydenham 9 10 sub-watersheds and point sources from Detroit sub-watershed were major contributors of 11 phosphorus. Spatial distribution of phosphorus yields at HRU and subbasin levels identified 12 locations for potential management targeting for both point and non-point sources and revealed 13 that in some sub-watersheds non-point sources are dominated by urban sources. 14

15 (Keywords: SWAT; watershed modeling; international watershed; field-scale; flow and water
 16 quality.)

17

18

INTRODUCTION

19 Watersheds are widely accepted units of analysis for water resources planning and 20 management (McKinney et al., 1999; IJC, 2009; Sheelanere et al., 2013), and have been the 21 focus for guiding water resource and management decisions for decades. However, their natural 22 and anthropogenic processes and activities are often too complex and variable, both spatially and 23 temporally, to be captured thoroughly through monitoring alone (Mirchi et al., 2009). Therefore, 24 watershed modeling tools, especially flow and water quality models, have been used increasingly 25 to simulate watershed processes and human use to help guide those decisions at local, national 26 and international scales (Daniel et al., 2011; Singh and Frevert, 2010; Madani and Marino, 27 2009). These modeling tools are particularly valuable for developing a common understanding 28 and framework for setting goals among nations with shared watersheds (IJC, 2009). 29 One of the most widely used watershed models is the Soil and Water Assessment Tool 30 (SWAT) (Arnold et al., 1998); a semi-distributed, physically based flow and water quality model

1 that has been used in watersheds around the world with widely varying characteristics in size and 2 composition (Gassman et al., 2007; 2014). It is designed to capture information ranging from 3 very coarse to fine spatial scales by dividing the watershed into subbasins based on topography, 4 and then dividing the subbasins into smaller Hydrologic Response Units (HRUs) based on 5 unique land use, soil type, slope, and/or management combinations. While these HRUs can be at 6 very fine scales, this increased resolution and complexity improves results only when there is an 7 equivalent level of input information (Johnston and Smakhtin, 2014; Jakeman et al., 2006). 8 Fortunately, in recent years, extensive data sets, such as land-use data generated from remote sensing and tile drainage systems characteristics collected by government and non-government 9 10 organizations, enable relatively detailed watershed models.

11 However, even with detailed input data, SWAT still has a large number of parameters 12 that cannot be measured directly and therefore need to be estimated through model calibration 13 (Lie et al., 2010). The most frequently used calibration practice is to evaluate simulation 14 performance at a single downstream location (Shi et al., 2013), which ignores spatial 15 heterogeneity. This is particularly problematic for large systems where parameters estimated for 16 some parts of the watershed may be unrealistic for other parts. For example, Leta et al. (2017) 17 assessed the impact of calibrating at a single site, at multiple sites with constant parameter 18 values, and at multiple sites with varying parameter values for a 1,162 km² watershed in 19 Belgium. Their results indicated using different parameter values among different regions 20 improved calibration results. In their study for a 239 km² watershed in Idaho, Zhang et al. (2008) 21 also showed the importance of calibrating at multiple monitoring sites for better representations 22 of regional conditions and goodness-of-fit. Hence, for large and/or spatially heterogeneous 23 watersheds, calibration/validation processes at multiple locations is crucial to ensure accurate 24 representations of local and regional flow, sediment, and nutrient simulations (Bai et al., 2017; 25 Leta et al., 2017; Wang et al., 2012; Zhang et al., 2008). 26 A water quality agreement between the United States and Canada (GLWQA, 2016), 27 crafted in response to Lake Erie's re-eutrophication (Scavia et al., 2014), has led to new 28 phosphorous loading targets. Attention has logically been placed on loads from the Detroit and

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the Maumee rivers because they contribute about 90% of total phosphorus (TP) load to the

30 western basin of the lake (Scavia et al., 2016). While there have been several assessments for the

31 Maumee watershed (e.g., Scavia et al., 2017; Muenich et al., 2016; Kalcic et al., 2016), there has

been no similar assessment for the nearly 20,000 km² international watershed that drains into
 Lake Erie from the Detroit River. This study was designed to begin filling that gap with a robust
 watershed model to allow assessing potential nutrient load reduction strategies.

The goal of this study is to calibrate the SWAT model for this very large, complex international watershed at multiple locations and investigate the spatial distribution of nutrient sources and loads. In pursuit of this goal we first assembled and harmonized into seamless model input US and Canadian data that have their own characteristics, developed with different methodologies and interpretations, and with their own formatting and naming conventions (IJC, 2015).

10

STUDY AREA

The St. Clair-Detroit River system (SCDRS) drains a 19,040 km² watershed area from 11 12 parts of southeastern Michigan in the US (40% of watershed area) and southwestern Ontario in 13 Canada (60% of watershed area) and contributes its load to Lake Erie through the Detroit River 14 (Figure 1). It is composed of about 50% cropland, 20% urban area, 12% forest, 8% grassland, 15 and 7% water bodies. The US portion of the watershed is dominated by the Detroit Metropolitan 16 area, whereas the Canadian portion is dominated by tile-drained croplands growing corn, soybeans, and winter wheat. Over the 15 years study period (2001-2015), total annual 17 18 precipitation and annual average temperatures vary between 740 and 1200 mm, and 7.5 and 19 11.0°C, respectively, averaging at 908 mm and 9.3°C. Elevation ranges from 422 m above sea 20 level at the watershed boundary to 145m at the outlet, with mostly flat slopes. 21 The US portion drains three HUC8 watersheds (St. Clair [SC], Clinton [CL], and Detroit 22 [DT] sub-watersheds) drained primarily by the Black River (BR), Clinton River (CR), and 23 Rouge River (RR), respectively. The Canadian portion drains three tertiary watersheds (Upper 24 Thames [UT], and Lower Thames [LT] and Sydenham [SY] sub-watersheds) through the 25 Thames River (TR) and Sydenham River (SR). For this study, the TR includes both Upper 26 (UTR) and Lower Thames River (LTR) segments. The watershed includes two smaller sub-27 watersheds, Essex in Canada and Lake St. Clair in the US. While calibration and validation were 28 performed at the outlet of the six major rivers (BR, CR, RR, SR, UTR and LTR), most load 29 assessments were made for the entirety of each sub-watershed (SC, CL, DT, SY, UT and LT) 30 that the major rivers drain. Hence, it is important to note the difference in names between the

sub-watershed and river, especially for the Detroit and St. Clair sub-watersheds that are drained
 through the Rouge and Black rivers.

3 Overall, 79% of the watershed's agricultural land is in Canada and 83% of the urban land 4 is in the US. The CL and DT sub-watersheds are heavily urbanized (about 56% and 89% of each 5 as urban, respectively), and the SC, SY, UT, and LT sub-watersheds are dominated by 6 agriculture (63%, 89%, and 87% agricultural, respectively). This spatial variation in land 7 use/land cover (LULC) provides both challenges and opportunities for investigating model 8 performance. Moreover, five of the six HUC8 (tertiary) sub-watersheds drain into the 1100 km² 9 Lake St. Clair (Figure 1) that retained an average 13% of its TP input over the 1998-2016, and 10 21% over the 2013-2015 time period (Bocaniov and Scavia, 2018; Scavia et al., 2019).

11

DATA

12 Basic inputs

13 With the exception of data on elevation and weather, all model input was obtained 14 separately for the US and Canada and then merged. DEM data with 30m x 30m resolution from the US Geological Survey-The National Map (USGS, 2016) were used for the entire watershed 15 16 for elevation, slope, and subbasin delineation. Daily precipitation and maximum and minimum 17 temperatures were obtained from the National Oceanic and Atmospheric Administration's 18 Global Historical Climatology Network (NOAA-GHCN, 2016) for 16 US stations and 15 19 Canadian stations for 1999-2015 (Figure 1). LULC layers for 2011-2015 with 30m x 30m grid 20 cells were from the US Department of Agriculture National Agricultural Statistics Service 21 (USDA-NASS, 2016) Cropland Data Layer and the Agriculture and Agri-Food Canada Annual 22 Crop Inventory (AAFC, 2016). The 2015 LULC data layer was used to setup the SWAT model 23 and the 5-year data set was used to generate crop rotations. Soil data layers were from the USDA 24 Natural Resources Conservation Service Soil Survey Geographic Database (SSURGO) (USDA-25 NRCS, 2017) and from the AAFC's Soil Landscapes of Canada (version 3.2) (AAFC, 2016). 26 Road network data was from U.S. Census Bureau (U.S. Census Bureau, 2016. TIGER/Line. 27 Accessed November 2016, https://www.census.gov/cgi-28 bin/geo/shapefiles/index.php?year=2015&layergroup=Roads) and Ontario Ministry of Natural 29 Resources and Forestry (Ontario Ministry of Natural Resources and Forestry, 2016. OMNRF.

30 Accessed November 2016,

https://www.javacoeapp.lrc.gov.on.ca/geonetwork/srv/en/main.home?uuid=290bfd40-0c8b 46d0-9a6c-0c648d096515).

3 Flow and water quality

The USGS National Water Information System (USGS-NWIS, 2016) and the Canadian National Water Data Archive hydrometric data (HYDAT, 2016) were used to obtain daily flow data for the most downstream gauging stations in each sub-watershed (Figure 1, Table S2). Any data gap of 60 days or more was filled using either the stage discharge relationship, if stage data were available, or with the unit area method using data from a nearby station along the same or adjacent stream. If a gap was less than 60 days, it was filled using structural time series (Ryberg and Vecchia, 2017).

11 Total suspended sediment (TSS), total nitrogen (TN), nitrate (NO3), total phosphorus 12 (TP) and dissolved reactive phosphorus (DRP) concentration data for the US were obtained from 13 the Water Quality Portal (WQP, 2016). Canadian data were from the Provincial Stream Water 14 Quality Monitoring Network (PWQMN, 2016) and Environment and Climate Change Canada 15 (ECCC, D. Burniston and A. Dove, personal communication, 2017). Average sampling 16 frequency ranged from 3 to 17 samples per year for the US and 7 to 21 for Canada. 17 Because flow and water quality data were often measured at different locations (Figure 1), calibration points were generally at the most downstream water quality stations to avoid 18 19 extensive interpolation of water quality concentrations and to account for most of the sub-20 watershed areas. Daily flow data at the calibration locations were estimated using the drainage-21 area method (Hirsch, 1979) from the upstream flow stations. Monthly and annual nutrient load 22 estimates for calibration at these locations were made using the weighted regression on time, 23 discharge and season (WRTDS) method (Hirsch et al., 2010) based on sample concentration 24 values and daily flow.

25 Management data layers

Management data layers include cropping systems, fertilizer and manure application rates and placement, tillage practices, and tile drainage. County level fertilizer sales data were from the International Plant Nutrition Institute (IPNI, 2016) for the US and provincial level fertilizer sale data were from Statistics Canada (STATCAN, 2016). Unique application rates for individual

1 crops were based on regional N and P fertilizer application rate information from USDA 2 Economic Research Service (USDA-ERS, 2016) and Canadian Field Print Initiative (Canadian 3 Field Print Initiative, 2017. Accessed March 2017, http://fieldprint.ca/fertilizer-use-survey/). 4 Manure amounts were based on livestock (dairy, beef, swine, sheep, goat, chicken and turkey) 5 counts in each county from USDA-NASS (USDA-NASS, 2016) and from the Ontario Ministry 6 of Agriculture, Food and Rural Affairs (OMAFRA, 2016). Spatial distribution of manure 7 application in Canada was provided by OMAFRA (K. McKague, personal communication, 8 2017) as locations (points) of animal farms and field areas that receive manure from each animal 9 farm without explicit indication of which field (s).

10 Tillage practices for sub-watersheds in the US and county/sub-county level for Canada 11 were obtained from USGS and STATCAN, respectively. The latest US tillage data were from 12 2004, but it detailed practices for each crop type. Canadian data were from 2011, but they did not 13 distinguish among crop types. Data on the distribution of subsurface (tile) drainage systems in 14 Canada were from OMAFRA (2016). Tile drainage information is not available for the US, so 15 we assumed all cropland with poorly drained soils employed tiles (Kalcic et al., 2015). Tile 16 drainage installation depth and spacing specification for the Canadian side of the watershed were recommended to vary by soil type (K. McKague, personal communication, 2017). As such, tile 17 18 depths were set at 650 mm, 750 mm and 950 mm for clayey, silty, and sandy soils, respectively, 19 with corresponding spacing at 8 m, 12 m, and 15 m, respectively. For the US side, a uniform 20 1000 mm depth and 20m spacing were used.

21 Three reservoirs in the upper Thames region (Fanshawe, Wildwood, and Pittock) with 22 surface-area (ha)/volume (ha-m) controls of 262/1235, 192/796, and 142/266, respectively, were 23 included in the model. Information about the physical features of the reservoirs, daily outflow 24 data, and water quality samples were obtained from the Upper Thames River Conservation 25 Authority website (UTCA, 2017) and M. Helsten (personal communication, 2017). Monthly 26 industrial and municipal point source (Figure 2) data were collected from EPA Enforcement and 27 Compliance History (U.S. Environmental Protection Agency, 2017. ECHO. Accessed May 2017, 28 https://echo.epa.gov/resources/general-info/loading-tool-modernization;) and the Great Lakes 29 Water Authority – Water Resources Recovery Facility (GLWA-WRRF) (M. Khan, C. Willey, 30 personal communication, 2018) for the US, and from OMECC's (Ontario Ministry of

- 1 Environment and Climate Change) Effluent Monitoring and Effluent Limits (EMEL)
- 2 Regulations (<u>https://www.ontario.ca/data/industrial-wastewater-discharges</u>) for Canada.

METHODOLOGY

4 Data Assimilation

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5 Because this was a binational watershed study, it was essential to ensure data from the 6 two countries were harmonized. The US and Canadian LULC data have the same resolution but 7 different land use type names and identification codes. Because SWAT is based on US data 8 types, Canadian LULC type names and identification codes were converted to the US format 9 (Figure 1). Canadian soil data required additional calculations and unit conversions to conform to 10 US-based SWAT parameters (Table 1). Though there is some anecdotal evidence Canadian 11 manure production per animal may be different from the US, we used US values for both.

12 Model setup

13 Using an area threshold based on the DEM and identification of additional outlet 14 locations to accommodate future comparison and/or spatial verification from smaller sub-15 watersheds models and/or evolving monitoring efforts, the watershed was divided into 800 16 subbasins (Figure 2) with an average area of 24 km². Smaller subbasins were created in 17 predominantly urban areas to capture their higher variation in drainage and land use types, and to 18 potentially test urban management scenarios in future work at finer spatial scales. Each subbasin 19 was further divided into HRUs using predefined field boundaries as discussed below. The ArcGIS interface, ArcSWAT, version 2012.10 3.18 was used for setup and SWAT2012 rev635, 20 21 as modified by Kalcic et al. (2016), was used for simulations.

22 Field boundaries and data processing

LULC, road network, and subbasins were used to define field boundaries using a combination of the methods described by Kalcic et al. (2015) and Teshager et al. (2016). Following Teshager et al. (2016), LULC and road network data were used as the primary sources to identify field boundaries. As such, the watershed was divided into 27,751 "fields" with an average area of about 69 ha, of which 15,219 (54.8%) are cropland. These fields were assigned unique soil type identifiers (Kalcic et al., 2015), and an ArcGIS shapefile that contains the soil identifiers and LULC for each field was created. The shapefile was then used to define HRUs in
 the ArcSWAT model setup with 0% thresholds for LULC, soil, and slope, and the 27,751 fields
 thus became the SWAT HRUs (Figure 2).

4 A key advantage of using field boundaries to generate HRUs is that management 5 practices can be assigned at a more detailed spatial scale than in more traditional SWAT models. 6 Crop rotations for each HRU were estimated by overlaying the 2011-2015 LULC data layers and 7 extracting the major cropping systems in each cropland fields. The most dominant crop rotations 8 involved corn, soybeans, and winter wheat. In order to maintain a manageable number of rotations, crop rotations were limited to a maximum of three years. Tile drainage data and field 9 10 boundaries were overlaid to determine fields with tile drainage systems. If the majority of a field 11 was covered by the tile drainage layer, the field was considered to have tiles. Canadian fields 12 (HRUs) that receive manure were determined based on proximity to animal farm location and 13 total field area receiving manure from the animal farm.

14 The field boundaries were also used to distribute the county level conventional (Cv), conservation (Cs), and no-till (NT) tillage practices. The type of tillage practices assigned for a 15 16 crop field in a county depended on the proportions of practices (Cv:Cs:NT) in that county and 17 the cropping system (crop rotation) in the field. Conventional tillage practices were assigned 18 more in fields with intensive corn, single crop, or non-alternate rotations (e.g., continuous corn). 19 On the other hand, more conservative tillage practices (Cs and NT) were assigned more in fields 20 with alternate rotations (e.g., corn-Soybeans-Winter wheat). Given this information on field-21 scale crop rotations and regional application rates of mineral N and P for different crops, a 22 similar approach was used to allocate county/provincial level fertilizer applications across 23 agricultural HRUs. Corn fields generally received N and P fertilizer at higher application rates 24 than winter wheat or soybeans. Corn in continuous-corn rotation received more mineral fertilizer 25 than corn in any other alternate rotations (Table S1). 26 The field boundaries were also designed for analysis and display of input and output

information (e.g., distribution of fertilizer/manure application, flow, phosphorus load, etc.), and
to model infield best management practices (BMPs) (e.g., filter strips, grassed waterways,
drainage management, etc.) at finer scales.

1 Calibration and validation

2 Calibration and validation were performed at the outlets of the three US sub-watersheds 3 and the three Canadian sub-watersheds (Figure 1). The model simulated 1999-2015, using the 4 first two years as the warm-up period. Flow was calibrated for 2007-2015 and validated for 5 2001-2006 at daily, monthly, and annual time scales. Upon successful flow calibration, the 6 model was calibrated for total suspended sediment loads, followed by nutrients (TN, NO3, TP, 7 and DRP) at daily time steps. Since monthly and annual scales were more relevant for 8 management application and policy advice, water quality parameters were further adjusted to also match WRTDS's monthly and annual water quality loads. 9

10 The significant variation in LULC and land management across such large watershed was 11 expected to result in different controlling dynamics, especially physical drivers. Therefore, 12 during calibration, certain subbasin and HRU parameters were allowed to vary across the six 13 major sub-watersheds (Table S3, S4). We used both manual calibration and SWATCUP's SUFI2 14 (Abbaspour, 2015) auto-calibration procedures. Watershed level parameters were initially 15 adjusted manually based on experience and information about local conditions. For example, 16 parameters that control snow cover were estimated based on comparisons of observed and 17 simulated snowfall frequency and snow depth values for the area. Then, SUFI2 was used to 18 estimate HRU and subbasin parameter values and to understand their general direction of change 19 in each major sub-watershed. Finally, manual calibration was used for all parameters to improve 20 fit. 2

Model performance was evaluated by comparing observed and simulated values using
 three commonly used statistics for watershed modeling: coefficient of determination (R²), Nash Sutcliffe efficiency coefficient (NSe), and percent bias (PBs).

24 The NSe is used to assess how good simulated values fit observations. The NSe values 25 range from 1 to $-\infty$ with 1 being a perfect 1:1 fit between simulated and observed values. PBs 26 provides insights on the tendency of simulations in under- or over-estimating values, and ranges 27 from $-\infty$ to $+\infty$. A PBs value of 0.0% indicates a perfect match between average simulated and 28 observed values, and negative and positive values show under- and over-estimation, respectively. 29 The R^2 values examine how well simulated values are correlated with observations, i.e., follow 30 similar trends; 0.0 indicates no correlation and 1.0 a perfect correlation. According to Moriasi et al. (2007), monthly simulations with NSe > 0.75 are considered "very good", > 0.65 and ≤ 0.75 31

are "good", > 0.50 and ≤ 0.65 are "satisfactory", and values ≤ 0.50 are "unsatisfactory" for
watershed models. Similarly, values of |PBs| < 10%, 10% - 15%, 15% - 25%, and ≥ 25% fall into
those same categories for flow simulations. The same categories apply for sediment if |PBs| <
15%, 15% - 30%, 30% - 55%, and ≥ 55% and for nutrients |PBs| < 25%, 25% - 40%, 40% - 70%,
and ≥ 70%.
Finally, to evaluate the significance of allowing parameters to vary among subwatersheds, the final calibrated flow parameter set for each sub-watershed was assigned

- 8 uniformly across the entire watershed and NSe and PBs were compared to those for the varying
- 9 parameter case. As a result, six sets of statistics for each sub-watershed were compared.

10 **RESULTS AND DISCUSSION**

11 Input Characterization

12 Using the spatial allocation scheme (HRU boundaries), we distributed crop rotations, 13 fertilizer/manure applications, tile drainage, and tillage practices for each HRU explicitly (Figure 14 3) to better represent actual conditions. With respect to cropping systems, three-year rotations 15 involving corn (C), soybeans (S), and winter wheat (W) covered about 43% of the cropland area. 16 Distribution of crop rotation types was similar within each country, with CSW dominating, 17 followed by CS and then SS (Table 2). However, corn-only or soybeans-only cropping systems 18 were more abundant in Canada than the US (Figure 3), and 40% of the Canadian soybean 19 intensive fields were in the Essex region. Crop rotations for each county and HUC8/tertiary sub-20 watershed are detailed in Figure S1 and S2.

21 Allocation of conventional (Cv), conservation (Cs), and no-till (NT) tillage practices 22 (Figure 3) resulted in about 70% of cropland receiving alternating practices with either two or 23 three tillage types (Figure 4). The most dominant tillage practice was Cs-NT (39.4%) and was 24 mainly in Canada. US croplands were dominated by Cv-Cs tillage. While cropping systems that 25 alternate corn-soybeans-winter wheat in a three-year rotation received all three tillage practices, 26 most of the continuous conventional tillage practices were assigned for single crop rotations (Figure 5). 27 28 Tile drainage was denser in Essex region, lower parts of SY and LT, and upper parts of

SC and UT sub-watersheds (Figure 3). About 67% of Canadian and 55% of US agricultural areas
 were considered tiled (Table 3). Most of the UT and upper parts of SY agricultural fields receive

1 manure generated in their respective counties while few fields in LT and Essex area received

2 manure. In the US, manure was assumed to be distributed across all agricultural fields, and

3 because of this and fewer livestock, solid manure application rates in the US were lower (85-670

4 kg/ha for dairy, 8-50 kg/ha for Beef and 1-35 kg/ha for swine) than in Canada (345-1082 kg/ha

5 for dairy, 261-695 kg/ha for Beef and 667-1556 kg/ha for swine).

6 Calibration and Validation

Flow. The model reproduced observed flow hydrographs fairly well (Figure 6). Using
Moriasi et al. (2007) performance criteria, the monthly flow calibration NSe (Table 4) were
judged "very good" for the ULT, LTR, and SR sub-watersheds; "good" for BR and RR; and
"satisfactory" for CR. PBs during calibration and both NSe and PBs during validation for all six
locations were rated as "very good". The model also performed well at daily (NSe > 0.5 except
BR, and |PBs|<10%) and annual (NSe >0.65 and |PBs| < 10%) time scales (Table S5).
As expected, allowing parameters to vary among sub-watersheds provided a better

14 representation of regional conditions and improved model performance (Tables S2 and S3).

15 During calibration, some flow parameter values varied substantially across the watershed,

16 especially between agricultural- and urban-dominated sub-watersheds (Tables S4). Flow was

17 particularly affected by changes in parameters for main channel average width (CH_W2) and/or

18 depth (CH_D) and average slope (CH_S2) in both of the highly urbanized streams (CR and RR).

19 This adjustment for urban streams is consistent with the fact urbanization not only increases

20 runoff but also alters routing of flow downstream through changes in channel dimensions

21 (Booth, 1990; Baker et al., 2008).

The calibration also resulted in substantially lower soil water capacity parameter values (SOL_AWC) in urbanized areas, consistent with the fact urbanization reduces soil permeability, infiltration, and water holding capacity through soil disturbance, displacement, pore space reduction, low organic matter, and high surface traffic (Craul, 1985; Jim, 1998; Yang and Zhang, 2015; Wiesner et al. 2016). For example, the European Commission Bio Intelligence Serve (2014) reported changing forest land to urban land could decrease the maximum soil water content by up to 25%.

Differences in other parameter values, such as increasing the runoff curve number from
the SWAT default value for moisture condition II (CNII) for the UT by 10% and the LT by 4%

1 reflected the differences in slopes between the two regions ($\sim 0.12\%$ and $\sim 0.03\%$, respectively, 2 along the main stream course). These two regions also have different soil drainage class 3 distributions. While the UT has more well drained soils, the LT is dominated by poorly drained 4 soils. As such, SOL AWC was increased by 10% above the default value and the soil 5 evaporation compensation factor (ESCO) was set at 0.90 for the LT, compared to an ESCO value 6 of 0.30, and the default value for SOL AWC for the UT. The increase in SOL AWC for the LT 7 reflected the higher water holding capacity of the poorly drained soils. Moreover, the higher 8 ESCO value for the UT was consistent with its higher water holding capacity of the soil that compensated for evaporation. 9

10 Overall, comparison of the final flow calibration statistics (Table 4) against statistics 11 from uniform parameters across the entire watershed (Table S6) showed the strength of varying parameter values. If, for example, parameters which were best for UTR flow conditions were 12 13 used across the watershed, the NSe values for CR, BR and RR would have dropped by 62%, 14 11% and 6%, respectively, and the |PBs| values for CR, BR and SR would have increased by 34.3%, 29.2%, and 12.7%, respectively. Similarly, if best parameter sets for CR flow conditions 15 16 were used across the watershed, |PBs| values would have increased by 25.4%, 19.6%, 13.6%, 17 12.5%, and 11.9%, for RR, BR, LTR, UTR and SR, respectively, and the NSe values for RR and BR would have dropped by 34% and 14%. 18

A closer look at the effects of parameter values from one sub-watershed applied to another indicated that even exchanging parameter sets between urbanized sub-watershed (CR, RR) reduced fit. For example, using the CR optimal parameter values for the RR reduced its NSe and increased its PBs values by 34.3% and 25.4%, respectively. The RR parameter values had similar effects for the CR. Interestingly, while parameter values from the agricultural subwatershed (SY) reduced fit for the urbanized river (CR), the urbanized sub-watershed (CL) parameters had less impact on the agricultural one (SR).

Water quality. Measured nutrients and sediment dynamics were also replicated sufficiently (Figure 7, Table 5, Figure S4-S7). Monthly water quality calibration and validation statistics were better for TP than DRP and better for TN than NO3. All calibrations and validations were rated as "good" or better for PBs. Most calibration and validation NSe values were rated as "good" or "satisfactory". However, the phosphorus-related NSe values for UTR calibration were unsatisfactory, as was the RR validation, and both calibration and validation for the BR. Similar to flow, ratings for the major rivers in agricultural sub-watersheds (SR, LTR
and UTR) were better than river in urbanized sub-watersheds (CR and RR).

3 Similar to flow, some water quality parameters vary considerably across sub-watersheds 4 (Table S4). For example, values of initial nitrate concentration in the soil layer (SOL NO3) were 5 set to 100 mg N/kg-soil for UT and SY, whereas values for CL and DT were 25 and 0 mg N/kg-6 soil, respectively, perhaps reflecting differences in soil fertility. The rate constant for in-stream 7 mineralization of organic phosphorus to dissolved phosphorus (BC4) was higher for Canadian rivers (0.28 day⁻¹, 0.25 day⁻¹ and 0.16 day⁻¹ for SR, UTR and LTR, respectively) than for US 8 rivers (0.018 day¹ for all BR, CR, RR), suggesting potentially higher concentrations of DRP in 9 10 Canadian streams. There are also distinct differences in parameter values between UT and LT 11 sub-watersheds. Almost all nutrient parameter values were higher for UT than LT, implying 12 higher initial soil nutrient content and increased nutrient yields in the UT compared to LT.

13 Nutrient load assessments

Because phosphorus is the primary driver of interest in Lake Erie (Scavia et al., 2014;
2016), we focus primarily on phosphorus loading.

16 Annual average loads. The DT and the Thames (UT and LT) sub-watershed loads were 17 similar and together contribute >60% of the TP and >70% of the DRP loads on an average 18 annual basis (Table 6). However, about 90% of TP and DRP load from the DT sub-watershed 19 came from point sources, mainly one waste water treatment plant, whereas about 90% of the load 20 from the Thames comes from agriculture. Despite being mainly urban, the CL sub-watershed 21 load came primarily from non-point source runoff, with combined urban and agricultural non-22 point sources accounting for 83% and 68% of Clinton's TP and DRP loads, respectively. 23 Moreover, urban non-point source accounts for about 68% and 75% of CL's total non-point 24 source TP and DRP loads, respectively. Phosphorus loads from the SY, the most agriculturally 25 intense sub-watershed, accounted for 13% of the overall watershed's TP and DRP loads. Among 26 the six sub-watersheds, the SC delivered the lowest loads (10% and 5% of TP and DRP, 27 respectively). The smaller sub-watersheds (Essex and Lake St. Clair; Figure 1) contributed 4.4% 28 and 0.8% of TP, and 2.5% and 0.5% of DRP loads, respectively. Even though the Essex region 29 sub-watershed area was about twice that of the Lake St. Clair sub-watershed, it delivered about 30 five times the phosphorus load due to extensive agriculture and densely tiled soils.

DRP represented 42% of the TP load overall; however, it was 52% of the point sources and 37% of the non-point source TP load. While this variation in the DRP/TP ratio did not seem to be correlated with the composition of LULC, there were clear differences among different sources. The DRP fraction from US non-point sources was much lower than from Canadian non-point sources, likely due to extensive tile drainage in the Canadian portion. In contrast, US point sources had higher DRP fractions.

Our annual average TP load estimates were similar to the WRTDS-based averages reported by Scavia et al. (2019) because our model was calibrated to WRTDS estimates (Figure 8). Our estimates were also similar to Maccoux et al. (2016) for the CR and BR, somewhat higher for the SR and TR, but considerably lower for the RR. Maccoux et al. (2016) and we used the same water quality monitoring station for the Rouge River (Figure 1), but Maccoux et al. considered the drainage area for the station to be 565 km² whereas the actual drainage area for the station was 1,200 km² (USGS,

14 https://waterdata.usgs.gov/nwis/nwismap/?site_no=04168550&agency_cd=USGS). Hence

15 Maccoux et al.'s TP estimations for RR were overestimated because they overestimated

16 unmonitored loads. Our annual average DRP load estimates showed similar discrepancies with

17 Maccoux et al. (2016). Our estimate was much lower for the RR and much higher for the TR

18 (Figure 11). Other discrepancies among the three studies could be due to the lack of more

19 frequent water quality sample data, inherent differences in structure and assumptions of different

20 estimation techniques, and span of years considered for the studies. For example, Maccoux et al.

21 (2016) estimates for 2003-2013 used the Stratified Beale's Ratio Estimator (Beale, 1962; Dolan

et al., 1981), Scavia et al (2019) estimates for 1998-2016 used WRTDS, and our estimates for

23 2001-2015 used SWAT.

24 In our analysis, annual TP loads increased slightly for all but CR between 2001 and 2009 25 and then decreased through 2015, with the trends more obvious for rivers in the agriculture 26 dominated areas: SR, TR, and BR (Figure S3). On average between 2001 and 2009, TP increased by 24.7 MTA, 14.8 MTA, 4.1 MTA, and 1.6 MTA for TR, SR, Black, and RR, respectively. The 27 28 decreases in TP between 2010 and 2015 were of 42.2 MTA, 23.7 MTA, 8.9 MTA, and 4.0 MTA, 29 respectively. DRP followed similar trends, especially for the three rivers in agricultural sub-30 watersheds, but to a lesser degree than TP, with DRP increases of 8.6 MTA, 4.4 MTA, 1.1 MTA 31 and 0.8 MTA, and decreases of 20.0 MTA, 9.7 MTA, 2.5 MTA, and 1.1 MTA for the same time

1 intervals and river orders. Similar trends have been reported for the Maumee River (Baker et al. 2 2014), another major P contributor to Lake Erie. In most cases, these trends were reflecting 3 changes in flow (Figure S3) but flow alone could not explain the trend for the TR and SR where 4 flow was relatively constant between 2001 and 2005. It appears, in those cases, agricultural 5 practices that provide access to more nutrient (e.g., high fertilizer applications) and facilitate 6 nutrient movement into streams (e.g., tile drainage systems) are also responsible for these trends. 7 Spatial distribution of yields - Sub-watershed scale. Examining sub-watershed and 8 HRU yields provide information potentially useful for targeting management actions to the 9 highest source areas. While the average annual TP loads from the DT and Thames sub-10 watersheds were similar (Table 6), TP yields (3.43 kg /ha and 0.90 kg /ha, respectively) and DRP 11 yields (1.80 kg /ha and 0.43 kg /ha, respectively) differ considerably due to the difference in 12 drainage areas. In addition, the Thames delivered much more phosphorus from non-point sources 13 (0.81 kg TP/ha and 0.38 kg DRP/ha) than the DT sub-watershed (0.35 kg TP/ha and 0.19 kg 14 DRP/ha) (Figure 9). The Thames and CL sub-watersheds had similar overall TP yields; however, 15 DRP vield was higher for the Thames. The SY and SC sub-watersheds had comparable TP vields 16 but the SY produces much higher DRP per hectare. Overall, the TP yield from the US was about 17 60% higher than that from Canada. However, Canadian non-point source TP and DRP yields

were 40% and 140% higher than the US, and the US point source yields were 9 times and 10
times higher than Canada for TP and DRP, respectively.

These sub-watershed-specific yields of total, point, and non-point sources (Figure 9) can be useful for developing load reduction strategies. For example, while the overall TP yield from DT sub-watershed was about four times that of Thames; most of the yield from the DT subwatershed was from point sources. Comparing non-point source yields, on the other hand, showed the Thames sub-watershed yield was about twice that of the DT. Thus, in exploring management options at this scale, more attention should be placed on point sources in the DT sub-watershed and non-point source for agricultural areas of Thames sub-watershed.

Spatial distribution of non-point source yields – sub-basin and HRU scales. While
evaluating yields at the sub-watershed scale was useful for higher-level strategies, assessments at
sub-basin (24 km²) and HRU (field) scales enabled the potential targeting of management
practices. Average HRU-level TP yields were 1.38, 1.10, 0.78, 0.53, 0.96, and 0.63 kg/ha for UT,
LT, SY, DT, CL and SC sub-watersheds respectively. Average DRP yields are 0.69, 0.50, 0.33,

0.36, 0.32, and 0.12 kg/ha, respectively. The median HRU-level yields for TP and DRP were
lower than the average values (Figure 10). This indicated regional average values were skewed
by very high yielding areas across the watershed which in turn implied the presence of a good
opportunity to focus management practices on certain areas to reduce the majority of nutrient
loading from the watershed.

6 Spatial patterns of non-point P yields at the HRU (field) and subbasin levels (Figure 11) 7 provided further insight into potential areas of focus for non-point source reduction. High non-8 point source DRP yields spread relatively evenly across the Canadian watershed; whereas some of the highest TP yields were found in the upper parts of SY and Thames sub-watersheds. DRP 9 10 vields from the US sub-watersheds were distinctly lower than the Canadian counterparts; 11 however, certain non-agricultural areas in the US (lower parts of SC, upper parts of CL and some 12 places in Detroit sub-watershed) appeared to have high yields as well. The higher DRP yields 13 from Canadian sub-watersheds could be attributed to higher tile drainage density, higher 14 proportion of cropland, and higher fertilizer application rates. For example, inorganic P 15 application rates ranged from 22.8 to 44.8 kg/ha, 7.8 to 24.4 kg/ha, and 7.4 to 13.7 kg/ha for 16 corn, winter wheat and soybeans, respectively, in Canada. These values were 5.9 to 10.9 kg/ha, 17 5.7 to 10.1 kg/ha, and 4.8 to 7.8 kg/ha in the US. Similarly, manure application rates were higher in Canadian agricultural areas (see "Input Characterization" section). The Canadian tile drainage 18 19 system was also about twice as dense as in the US (see "Management data layers" section). As a 20 result, Canadian portions of the watershed had higher sources of DRP (inorganic fertilizer or 21 manure) and a system that facilitates its movement (denser drainage tile system).

22 The distribution of P yields suggested US agricultural areas had relatively low TP and 23 DRP yields. For example, while the northern part of the CL sub-watershed was agricultural, the higher P yields from that sub-watershed were actually from non-agricultural areas in the central 24 25 and west portions of the sub-watershed. Similarly, yields from the agricultural areas in the 26 northern part of the SC sub-watershed were smaller than those from the non-agricultural areas. 27 Most of the high phosphorus yielding areas in CL, for example, were urban areas located in a 28 relatively higher slope region of the sub-watershed. Moreover, the major point source 29 contribution of the watershed came from the DT sub-watershed (Table 6). These underscored the 30 need to focus on Canadian agricultural runoff reduction strategies and both US point source 31 **33** management and urban runoff reduction strategies.

1

CONCLUSION

2 We integrated and harmonized US and Canadian datasets, including crop rotations, 3 fertilizer/manure applications, tillage practices, and tile drainage systems; structured a SWAT 4 model at finer resolution (field-scale) than ever done before for a 19,000 km² watershed; and 5 calibrated and validated it at daily, monthly, and yearly time scales at six locations. While some 6 input data (e.g., crop rotations) were constructed from a 30mx30m grid cell data, others (e.g., 7 fertilizer application, tillage practice, manure generated, etc.) were available at county or 8 provincial level. Hence, a great deal effort was invested in allocating model inputs from the 9 lower spatial resolution to the field scale. Such distribution of model inputs not only improved 10 model estimates at stream mouths but also provided more confidence in assessing flow and nutrient estimates at field level. 11

In most cases, a very good fit to flow measurements and good fit to water quality load estimates were achieved using manual and automatic calibration techniques at monthly time scales. It was evident from the calibration and validation processes that allowing some key parameters to vary across sub-watersheds improved model performance and the variations were consistent with different sub-watershed characteristics.

Annual phosphorus loads increased between 2001 and 2009 and decreased afterwards, with the trend strongest in agricultural areas. Phosphorus yields were highest in Canadian agricultural areas and the US watershed was dominated by point sources, primarily from Great Lakes Water Authority treatment facility (Table 6 and Figure 8). Field-scale analysis used to identify areas within the Canadian agricultural and US urban landscapes with relatively high P yield from non-point sources point to where agricultural and urban management practices should be focused.

The main limitations of this study are the lack of some input data at the modeled scale and the relatively low number of water quality observations for calibration and validation. These limitations increased uncertainties in water quality calibration and validation results, and outputs at the field scale. More spatially explicit input data for nutrient inputs (fertilizer and manure application rates, soil nutrient content, etc.), agricultural practices (tillage, tile drainage, cover crop, filter strip in agricultural fields), and water quality observations would increase confidence of representations of nutrient and sediment estimates at both the field scale and stream mouths. 1

SUPPORTING INFORMATION

Additional supporting information may be found online under the Supporting Information tab for this article: Tables and Figures showing detail model input characterizations, parameter estimations and result evaluations.

5

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- 4
- 5

TABLES

5 Table 1: Relationship between Canadian versus SWAT major soil parameter names and units,
6 and the changes made.

SWAT	r Soil	Canadian Soil		Comments	Faustions		
Parameter Unit		Parameter	Unit	Comments	Equations		
SOL_ZMX	mm	max(LDEPTH)	cm	converted	Unit conversions		
SOL_Z	mm	LDEPTH	cm	converted	Clift conversions		
SOL_AW	mmH2O/	NA	N۸	Calculated	SOL_AWC =		
С	mm soil	1111	1111	Culcululeu	KP1500-KP33		
SOL_K	mm/hr	KSAT	cm/hr	Converted			
C	% total		% by	converted	Unit conversions		
ROCK	weight	COFRAG	volu				
			me				
					SOL_ALB =		
SOL_ALB	fraction	LB fraction	LB fraction NA	NA	NA Calc	Calculated	0.4/(0.688*SOL_CB
					N)		
	0.013				Equation from SWAT		
USLE K	$(t m^2 hr)/$	r NA	NA	Calculated	I/O documentation		
	$(m_{2}^{2} t cm)$	1111	11/1		(Arnold et al. 2012		
+					Page 307)		

7 Notes: NA = parameter not available, SOL_ZMX=max(LDEPTH)= maximum rooting depth of soil,

8 SOL_Z=LDEPTH=depth from soil surface, SOL_AWC=available water capacity of soil, SOL_K=KSAT=saturated

9 hydraulic conductivity, ROCK=COFRAG=rock fragment content, SOL_ALB=moist soil albedo, USLE_K=soil

12

¹⁰ equation erodibility factor, SOL_CBN=organic carbon content of soil, KP1500=water retention at 1500 kP, KP33=

¹¹ water retention at 33 kP

1 **Table 2**: Percentages of cropland area covered with the different types of crop rotations divided

Crop	% cropland area					
rotation	Canada	US	Overall			
CC	8.4	1.6	7.1			
CS*	25.4	35.5	27.3			
SS 🗖	13.5	13.1	13.4			
CSW**	42.8	45.4	43.3			
SW	0.4	0.3	0.4			
SSW	9.5	4.1	8.5			
Total	100.0	100.0	100.0			

2 between US and Canada (C=corn, S=soybeans, W=winter wheat).

- 3 *Includes both CS and SC rotations
- 4 **Includes CSW or SWC or WCS rotations
- 5
- 6 **Table 3**: Percentages of agricultural area with tile drainage systems divided between US and
- 7 Canada at sub-watershed level.

	HUC8/Tortiary name	Tiled area			
	noco/retuary name	% total area	% agricultural area		
	St. Clair (SC)	37	59		
	Clinton (CL)	8	46		
	Detroit (DR)	1	16		
Y	Lake St. Clair	5	29		
	U.S. total	18	55		
-	Upper Thames (UT)	54	62		
	Lower Thames (LT)	49	55		
_	Thames total	51	59		
	Sydenham (SY)	69	77		
	Essex	58	72		
	Canada total	58	67		
	Watershed total	42	64		

- 1 **Table 4**: Monthly flow estimation performance statistics for calibration (2007-2015) and
- 2 validation (2001-2006) years (R^2 = coefficient of determination, NSe = Nash-Sutcliffe
- 3 efficiency, PBs = percent bias).

		Monthly statis	tics for flow ca	libration(valia	lation) period	
Statistics	Upper Thames River (UTR)	Black River (BR)	Sydenham River (SR)	Clinton River (CR)	Lower Thames River (LTR)	Rouge Rover (RR)
R ²	0.84(0.93)	0.72(0.76)	0.85(0.87)	0.63(0.80)	0.87(0.92)	0.71(0.78)
NSe	0.84(0.93)	0.72(0.76)	0.85(0.86)	0.53(0.75)	0.87(0.91)	0.70(0.75)
PBs	0.1(3.2)	9.2(-2.9)	-1.2(8.4)	-2.7(1.9)	-2.7(5.4)	-1.1(-8.5)

4

5 **Table 5**: Monthly water quality model performance statistics for calibration (2007-2015) and

6	validation	(2001-2006)	years.	PBs and	NSe rating	gs: bold = '	"unsatisfactory	<i>r</i> ".
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		Monthly statistics for water quality calibration(validation)								
	Statistic	Upper Thames	Black	Sydenham	Clinton	Lower Thames	Rouge			
	R ²	0.54(0.63)	0.54(0.59)	0.75(0.68)	0.64(0.55)	0.62(0.75)	0.73(0.42)			
ТР	NSe	0.48 (0.59)	0.29(0.25)	0.73(0.62)	0.64(0.54)	0.59(0.70)	0.71(0.10)			
	PBs	22.6(9.7)	-25.6(-29.1)	5.9(6.3)	5.6(4.8)	18.0(9.6)	-5.0(-4.8)			
	R ²	0.44(0.59)	0.48(0.50)	0.64(0.57)	0.57(0.51)	0.55(0.65)	0.71(0.49)			
DRP	NSe	0.42 (0.52)	0.26(0.21)	0.53(0.52)	0.51(0.46)	0.52(0.58)	0.70(0.05)			
	PBs	27.8(12.1)	-28.7(-35.2)	-6.3(-8.2)	9.6(7.8)	21.5(10.9)	25.1(14.8)			
	R ²	0.61(0.65)	0.52(0.55)	0.72(0.65)	0.55(0.54)	0.59(0.66)	0.64(0.53)			
TN	NSe	0.54(0.57)	0.27(0.32)	0.70(0.61)	0.54(0.52)	0.57(0.62)	0.61(0.40)			
	PBs	7.8(13.9)	36.4(42.9)	17.9(23.4)	-15.8(-14.6)	-8.0(8.6)	-5.2(-11.4)			
	R ²	0.55(0.52)	0.49(0.47)	0.56(0.52)	0.48(0.48)	0.58(0.66)	0.63(0.42)			
NO ₃	NSe	0.53(0.49)	0.25(0.27)	0.54(0.47)	0.44(0.42)	0.53(0.55)	0.44(0.21)			
	PBs	15.6(14.2)	-24.7(-31.1)	5.9(6.3)	-27.3(-23.4)	-3.0(13.6)	-15.1(-24.8)			
	R ²	0.66(0.77)	0.61(0.62)	0.73(0.67)	0.57(0.63)	0.67(0.70)	0.61(0.68)			
TSS	NSe	0.59(0.62)	0.49 (0.52)	0.57(0.55)	0.47 (0.57)	0.60(0.65)	0.58(0.60)			
	PBs	-7.5(-2.9)	-15.6(-9.9)	14.3(11.6)	-16.5(-12.4)	-12.0(-7.9)	-14.0(-18.4)			

1Note: TP = total phosphorus, DRP = dissolved reactive phosphorus, TN = total nitrogen, $NO_3 = nitrate$, $TSS = total2suspended sediment, <math>R^2 = coefficient of determination$, NSe = Nash-Sutcliffe efficiency, PBs = percent bias)

- 3
- 4 **Table 6**: Average annual total phosphorus (TP) and dissolved reactive phosphorus (DRP) loads
- 5 in MTA (metric ton per annum) from both point sources (PS) and non-point sources (NPS) for
- 6 each sub-watershed.

HHC8/Tertiary	Total PS		Total NPS		Total Load		Drainage
motowhad nome							Area
watersned name	ТР	DRP	ТР	DRP	ТР	DRP	(km ²)
St. Clair	28	15	150	21	177	36	3025
Clinton	33	18	158	39	191	57	1969
Detroit	492	257	55	30	547	287	1594
Lake St. Clair	5	3	9	1	14	4	575
U.S. Total	558	293	372	91	929	384	7163
Sydenham	26	12	201	83	227	95	3508
Thames	51	24	472	224	523	248	5827
Essex	6	3	71	16	77	19	1098
Canada Total	83	39	744	323	827	362	10433
Watershed Total*	641	332	1116	414	1756	746	17596

*This does not include Lake St. Clair and other small unaccounted areas along St. Clair and Detroit connecting
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