MR. AMANDEEP R MAHAL (Orcid ID : 0000-0002-2631-1902) MR. SANTINO S. BUTLER (Orcid ID : 0000-0003-4157-9437) DR. ROBERT TIMOTHY DESS (Orcid ID : 0000-0003-2331-3758) DR. DANIEL E SPRATT (Orcid ID : 0000-0002-5973-4741) DR. JAMES BYUNGHOON YU (Orcid ID : 0000-0002-3119-3226) DR. BRANDON A MAHAL (Orcid ID : 0000-0003-3036-334X)

Article type : Original Article

Conservative Management of Low-Risk Prostate Cancer among Young versus Older Men in the United States: Trends and Outcomes from a Novel National Database

Running Title: Surveillance of Low-Risk Prostate Cancer

Amandeep R. Mahal, BS¹; Santino Butler, BA²; Idalid Franco, MD MPH²; Vinayak Muralidhar, MD MSc²; Dalia Larios, BS³; Luke R.G. Pike, MD DPhil²; Shuang G. Zhao, MD⁴; Nina N. Sanford, MD⁵; Robert T. Dess, MD⁴; Felix Y. Feng, MD⁶; Anthony V. D'Amico, MD, PHD²; Daniel E. Spratt, MD⁴; James B. Yu, MD MHS¹; Paul L. Nguyen, MD²; Timothy R. Rebbeck, PhD^{2,7}; Brandon A. Mahal, MD²

This is the author manuscript accepted for publication and has undergone full peer review but has not been through the copyediting, typesetting, pagination and proofreading process, which may lead to differences between this version and the <u>Version of Record</u>. Please cite this article as <u>doi: 10.1002/CNCR.32332</u>

¹Department of Therapeutic Radiology, Yale School of Medicine, New Haven, CT ²Department of Radiation Oncology, Dana-Farber Cancer Institute and Brigham and Women's Hospital, Harvard Medical School, Boston, MA ³Harvard Medical School, Boston, MA ⁴University of Michigan, Ann Arbor, MI, USA ⁵University of Texas Southwestern Medical Center, Department of Radiation Oncology, Dallas, TX ⁶Helen Diller Family Comprehensive Cancer Center, University of California at San Francisco, San Francisco, CA ⁷Harvard TH Chan School of Public Health, Boston, MA

Corresponding Author: Brandon A. Mahal, MD 75 Francis St. Boston, MA 02115 Phone: +1.617.335.0087 Email: <u>brandon_mahal@dfci.harvard.edu</u>

Funding: B.A.M. is funded by the Prostate Cancer Foundation-American Society for Radiation Oncology Award to End Prostate Cancer. T.R.R. is funded by HHS grant CA184734.

Disclosures: JBY has research funding from 21st century oncology. PLN has consulted for Ferring, Genome DX, Medivation, and Nanobiotix, and has research funding from Janssen and Astellas. FYF reports consulting for Dendreon, Genzyme, Ferring Pharmaceuticals, Janssen Biotech, EMD Serono, Bayer Healthcare, Sanofi-Aventis, GenomeDx Biosciences, and Medivation/Astellas, as well as compensation for speaking for Clovis Oncology, cofounder of PFS Genomics, and a patent with the University of Michigan titled "Compositions and Methods for the analysis of radiosensitivity" (Patent publication number: EP3047037). DES reports being on an advisory board for Janssen and Blue Earth. LRGP reports consulting for Third Rock Ventures. SGZ has a patent on gene signatures

in prostate cancer with the University of Michigan and GenomeDx Biosciences. The other authors report no conflicts of interest, financial or other.

All authors listed have contributed sufficiently to the project to be included as authors, and all those who are qualified to be authors are listed in the author byline. All authors have read and approved the manuscript. The authors of this study have not published or submitted any related papers from this study. This manuscript is not under consideration elsewhere.

Precis: Debate exists regarding active surveillance/watchful waiting (AS/WW) for low-risk prostate cancer, particularly in younger men. We demonstrate that there is increasing acceptance of conservative management with AS/WW for both younger and older patients in the U.S. with favorable short-term outcomes.

Keywords: Conservative Treatment, Watchful Waiting, Prostatic Neoplasms

Total Pages: 25

Tables: 3

Figures: 2

Supporting Files: 3

Background: Management for men age \leq 55 with low-risk prostate cancer (LRPC) is debated given quality of life implications with definitive treatment versus potential missed opportunity for cure with conservative management. We sought to define rates of conservative management for LRPC and associated short-term outcomes in young versus older men in the United States (U.S.). **Methods:** The non-public Surveillance, Epidemiology, and End Results Prostate with Active Surveillance/Watchful Waiting (AS/WW) Database identified 50,302 men diagnosed with LRPC from 2010-2015. AS/WW rates in the U.S. were stratified by age

(\leq 55 versus \geq 56). Prostate cancer-specific mortality (PCSM) and overall mortality were defined by initial management type (AS/WW versus definitive treatment [referent]) and age.

Results: AS/WW utilization increased from 8.61% (2010) to 34.56% (2015) among men \leq 55 (P_{trend}<0.001) and from 15.99% to 43.81% among men \geq 56 (P_{trend}<0.001). Among patients with \leq 2 positive biopsy cores, AS/WW rates increased from 12.90% to 48.78% for men \leq 55 and from 21.85% to 58.01% for men \geq 56. Among patients with \geq 3 positive biopsy cores, AS/WW rates increased from 3.89% to 22.45% for men \leq 55 and from 10.05% to 28.49% for men \geq 56 (all P_{trend}<0.001). Five-year PCSM rates were below 0.30% across age and initial management type subgroups.

Conclusion: AS/WW rates quadrupled for patients age \leq 55 from 2010-2015, with favorable short-term outcomes. These findings demonstrate the short-term safety and increasing acceptance of AS/WW for both younger and older patients. However, there are still higher absolute rates of AS/WW in older patients (P<0.001), suggesting some national ambivalence toward AS/WW in younger patients.

Background

Historically, the standard of care for localized prostate cancer has been definitive radical prostatectomy (RP) or radiation therapy (RT).¹ However, conservative management of low-risk prostate cancer (LRPC) with active surveillance or watchful waiting (AS/WW) has been shown to be an efficacious alternative to definitive treatment and is now a National Comprehensive Cancer Network (NCCN) guideline-approved standard of care.^{2–5} Though AS/WW can reduce over-treatment of indolent disease,^{3,5–7} concern about a greater risk of development of metastatic disease has led to reexamination of AS/WW for LRPC.⁸ AS/WW is particularly controversial for younger patients with LRPC given the small number of younger patients in conservative management trials for whom the risk to benefit ratio is not well-elucidated.^{7,9–11}

The management dilemma for younger patients with low-risk disease stems from their longer projected life expectancy and thus may be at greater risk of prostate cancer death, yet they also have better baseline sexual, genitourinary, and gastrointestinal

function and may be at greater risk of adverse quality-of-life outcomes with definitive treatment.^{6,12,13} In an effort to reduce potential over-treatment and over-detection of indolent disease in younger patients, the US Preventative Services Task Force (USPSTF) recommends against PSA screening in men age 55 years and younger.^{14,15} Nevertheless, nearly 20% of men age \leq 55 still undergo PSA-screening and this group comprises approximately 10% of patients with low-risk disease.¹⁶ As such, appropriate management of younger men with LRPC remains an area of debate with little data to inform practice and policy.

We therefore sought to define the national rates of conservative management for LRPC and associated short-term populationbased outcomes in young (age \leq 55) versus older (age \geq 56) men using the largest currently available cohort of young patients managed conservatively.

Methods

Study Cohort

We identified 50,302 men diagnosed with LRPC (clinical AJCC 7th Edition Tumor Stage T1-T2a, clinical/biopsy Gleason 6, and Prostate-Specific Antigen [PSA] <10 ng/mL)² and known initial management/treatment status between January 2010 and December 2015 using the U.S. Surveillance, Epidemiology, and End Results (SEER) Program Prostate with Active Surveillance/Watchful Waiting Database, which represents approximately 28% of the U.S. population.¹⁷ The study period was determined by the inclusion of the novel AS/WW variable into the custom dataset in which all SEER Prostate with AS/WW data was available. This data is unique from the publicly available SEER database, requiring a proposal and approval of analyses by SEER before release.

Patients with unknown T stage, Gleason score, PSA, or initial management approach were excluded. If patients were conservatively managed¹⁸ they were identified as "Active Surveillance/Watchful Waiting" by treating facilities. This variable was quality-assured by SEER and collected as a North American Association of Central Cancer Registries (NAACCR) metric.

The SEER Prostate with AS/WW database captures initial management approach, defined as AS/WW (as defined by SEER) and definitive treatment (SEER defined RP or RT, including any type of brachytherapy or external beam radiotherapy). SEER also

provides data on number of positive cores; however, percentage by volume of core cancer involvement is not reported. This data evaluated socioeconomic status (SES) using the validated Yost index (higher Yost Index scores correspond with higher SES).¹⁹ Insurance status was classified as non-Medicaid insurance, Medicaid, uninsured, and unknown insurance.

Statistical Analyses

Baseline Characteristics

Baseline characteristics were summarized through descriptive statistics, stratified by age and initial management. Median and interquartile range were reported across subgroup analyses for continuous variables. For categorical variables, column percentages were calculated with the denominator being the total number of patients within age and initial management subgroups. To compare the distribution of continuous and categorical covariates, we used the Wilcoxon rank sum test and Fisher Exact test, respectively.

Active Surveillance / Watchful Waiting (AS/WW) trends and associations by Age

The primary endpoint was U.S. rate of AS/WW utilization for LRPC stratified by age \leq 55 versus age \geq 56, over time. Utilization rates were defined as the sum of patients managed with AS/WW divided by the sum of patients with LRPC. Similarly, AS/WW rates were stratified by number of positive cores (very low-risk disease [\leq 2 positive biopsy cores] versus standard low-risk disease [\geq 3 positive biopsy cores]) in addition to age.² There were N=39,020 patients with known number of cores.

Multivariable logistic regression for AS/WW was used to characterize the association between AS/WW utilization rates and patient characteristics—variables of age (\leq 55 [referent] versus \geq 56), number of positive cores (\leq 2 versus \geq 3 [referent]), year of diagnosis (2013-2015 versus 2010-2012 [referent], based on recommendations against PSA screening in 2012),²⁰ age at diagnosis (per year increase), insurance status (Uninsured status or Medicaid insured [referent], Unknown insurance status, and Non-Medicaid insurance), and Yost Index (per unit increase). Adjusted odds ratios (AOR), 95% confidence intervals (CI's) and P-values were

calculated for each covariate in the regression model. For subgroup analyses, the above multivariable logistic regression was repeated after stratification by age \leq 55 versus age \geq 56.

Estimates of Prostate Cancer-Specific Mortality (PCSM) and Overall Mortality (OM) with AS/WW by Age

Multivariable Fine-Gray competing risks regression and Cox regression were used to analyze prostate cancer-specific mortality (PCSM) and overall mortality (OM), respectively, for patients with at least 1-month follow-up (N=49,770 patients) from date of diagnosis. Analyses were stratified by age (\leq 55 versus \geq 56) and initial management type (AS/WW versus RP or RT). Adjustments were made for the aforementioned variables in the above logistic regression models. As an exploratory analysis, we evaluated the potential for an interaction between age and initial management type with respect to OM via an age x initial management type interaction term.

We also examined subgroup analyses stratified by number of positive biopsy cores (≤ 2 versus ≥ 3) among 38,573 patients with at least 1-month follow-up from date of diagnosis and known number of positive biopsy cores. Cumulative incidence plots were generated using the PCSM multivariable regression models described above, and survival curves were generated by the Kaplan-Meier method.

Statistical Tests

- James M

For regression analyses, adjusted hazard ratios (AHR) and AOR with 95% CIs and P-values were calculated. All analyses were performed with two-sided level of significance set at P=0.05. Statistical analyses were performed with STATA/SE 15.1 (StataCorp, College Station, TX, USA). Permission for study was granted by The Dana-Farber/Harvard Cancer Center Institutional Review Board. **Results**

Baseline Characteristics

With a total of 50,302 patients diagnosed with LRPC between 2010-2015, 19.8% were age \leq 55 (N=9,973). Across the study period, among patients age \leq 55, 19.6% (N=1,957) were managed with AS/WW, 60.6% (N=6,041) with definitive RP and 19.8%

(N=1,975) with definitive RT. Among patients \geq 56 (N=40,329), 28.6% (N=11,527), 35.9% (N=14,471) and 35.5% (N=14,331) were managed with AS/WW, definitive RP, and definitive RT, respectively. The median PSA (ng/mL) was 4.8 (IQR 3.9-6) among men age \leq 55 versus 5.5 (IQR 4.4-6.9) among men age \geq 56 (P<0.001) Baseline characteristics stratified by age and initial management type are shown in Table 1. Initial management type was further stratified by RP and RT in Supplemental Tables A and B.

Active Surveillance / Watchful Waiting (AS/WW) trends and associations by Age

AS/WW utilization for LRPC increased from 8.61% in 2010 to 34.56% in 2015 among men \leq 55, and similarly increased from 15.99% to 43.81% among men \geq 56 (P_{trend} for both <0.001; Figure 1a-b). Rates of definitive RP and RT decreased from 69.71% and 21.68% to 48.38% and 17.06% from 2010 to 2015, respectively, among men \leq 55 (P_{trend}<0.001, Figure 1a-b). Similarly, among men \geq 56, rates of definitive RP and RT decreased from 41.95% and 42.06% to 27.5% and 28.64%, respectively, from 2010 to 2015 (P_{trend}<0.001, Figure 1a-b). Among patients with \leq 2 positive biopsy cores, rates of AS/WW utilization increased from 12.90% to 48.78% for men \leq 55 and from 21.85% to 58.01% for men \geq 56 (Figure 1c-d). Among patients with \geq 3 positive biopsy cores, rates increased from 3.89% to 22.45% for men \leq 55 and from 10.05% to 28.49% for men \geq 56; P_{trend} was <0.001 for all groups examined (Figure 1e-f). Notably, factors associated with AS/WW utilization included \leq 2 positive cores, higher SES, age \geq 56, and diagnosis after 2012 (Table 2).

Estimates of Prostate Cancer-Specific Mortality (PCSM) and Overall Mortality (OM) with AS/WW by Age

Median follow-up time was 41 months (maximum follow-up was 71 months). Median follow-times among patients age \leq 55 versus \geq 56 were 42 and 41 months, respectively. There were 9 prostate cancer deaths among men age \leq 55 (N=9 among men managed with definitive treatment versus N=0 among men with AS/WW) and 64 among men age \geq 56 (N=53 among men managed with definitive treatment versus N=11 among men with AS/WW).

No difference in PCSM by initial management existed (P=0.40; Table 3); however, for patients managed with AS/WW there was a higher risk of OM (AHR 1.24, 95%CI 1.05-1.47, P=0.01). Additionally, no difference in PCSM (P=0.78) or OM (P=0.11) existed among men age \leq 55 compared to men \geq 56 (Table 3).

Five-year PCSM rates were below 0.30% across age and initial management type subgroups (Figure 2a). Specifically the 5year PCSM rates were 0% (no events), 0.14% (95%CI 0.06-0.27%), 0.22% (95%CI 0.10-0.44%), and 0.30% (95%CI 0.22-0.39%) for patients age \leq 55 managed with AS/WW, patients age \leq 55 managed with RP or RT, patients age \geq 56 managed with AS/WW, and patients age \leq 56 managed with RP or RT, respectively (P-value for overall comparison <0.001 in the setting of no events in patients age \leq 55 managed with AS/WW). Furthermore, the 5-year Kaplan-Meier estimates of overall survival were 98.8% (95%CI 97.5-99.5%), 98.9% (95%CI 98.6-99.2%), 96.1% (95%CI 95.4-96.7%), and 97.1% (95%CI 96.8-97.4%) for patients age \leq 55 managed with AS/WW, patients age \leq 55 managed with RP or RT, patients age \geq 56 managed with AS/WW, and patients age \leq 55 managed with RP or RT, patients age \geq 56 managed with AS/WW, patients age \leq 55 managed with RP or RT, patients age \geq 56 managed with AS/WW, patients age \leq 55 managed with RP or RT, patients age \geq 56 managed with AS/WW, patients age \leq 55 managed with RP or RT, patients age \geq 56 managed with AS/WW, and patients age \leq 56 managed with RP or RT, respectively (P_{overall comparison}=0.53; Figure 2b). On exploratory Cox regression analysis for OM, there was no interaction between age and initial management approach (P_{interaction}=0.88).

Conclusions

Between 2010-2015, AS/WW utilization rates in the U.S. have more than quadrupled for patients 55 and younger with LRPC. Similarly, AS/WW rates have nearly tripled for low-risk patients 56 and older. Though there was a greater rate of uptake of AS/WW in younger patients with low-risk disease over time, the absolute utilization of AS/WW remained lower in younger patients than in older patients (34.56% versus 43.81% by 2015, respectively). Furthermore, RP remained the favored initial management strategy among younger men with a 48.38% utilization rate by the end of the study period, while AS/WW transitioned from least to most common initial management approach for older patients (43.81%). Among patients with very low-risk disease (\leq 2 positive biopsy cores), AS/WW became the most common initial management approach by 2015 regardless of age for patients with standard-risk features (\geq 3 positive biopsy cores) despite significant uptake of AS/WW.

Controversy surrounding the use of AS/WW as initial management for younger patients is rooted in the dilemma of longevity: potentially greater quality-of-life implications with definitive treatment versus potentially greater opportunity for disease progression and missed opportunity for cure without treatment.^{21,22} Based on the results of this study, it appears that clinicians and patients feel AS/WW is a reasonable alternative to definitive treatment for low-risk disease, resulting in an increasing preference toward AS/WW across age groups. Furthermore, 5-year PCSM rates were below 0.30% across age and initial management type subgroups (similar to 5-year rates reported in randomized clinical trials^{5,7,23}), suggesting that AS/WW may afford acceptable outcomes in younger men—though studies with a median follow-up of at least 10 years are needed to make a better determination.⁹

Increasing AS/WW utilization for younger patients is likely a result of efforts to avoid overtreatment of indolent disease,^{14,20} especially given that younger patients may be at an increased risk of sexual and urinary dysfunction with definitive treatment.^{10,12} Thus, the study trends suggest that preservation of quality-of-life by avoiding or delaying treatment-related complications may be increasingly a predominant driver in treatment decisions for both younger and older patients. Importantly, initial AS/WW does not appear to hinder the ability to perform curative treatment with surgery or radiation at a later time,⁴ which might also explain the observed uptrend in AS/WW as the initial management approach. Moreover, as multiparametric magnetic resonance imaging and genomic risk stratification become increasingly integrated with active surveillance protocols, initial definitive treatment will likely be more readily delayed.²⁴

On the other hand, our evidence also supports potential clinician and patient ambivalence toward missed opportunity for cure. This is evident from poor AS/WW utilization rates among both young and older men with \geq 3 positive biopsy cores (a standard low-risk feature). Furthermore, lower absolute rates of AS/WW utilization in younger patients suggests that there is more ambivalence and uncertainty toward managing younger patients than older patients with conservative management. This uncertainty likely arises from a theoretical threat of disease progression.^{4,25} When uncertainty arises, it may be beneficial to further evaluate with advanced MRI targeted biopsy²⁶ or the addition of genomic tests that assess disease risk.³

Our study comes at a critical time in the current debate regarding optimal treatment management for patients with LRPC, especially in younger men.^{12,27,28} For the majority of men age 55 years and younger diagnosed with low-risk disease, there has been insufficient evidence to demonstrate efficacy for conservative management compared with definitive treatment given that young men are underrepresented in trials and retrospective studies_due to their lower likelihood of prostate cancer diagnosis. For example, our study has a higher total number and proportion of patients \leq 55 as compared to recent large RCTs in prostate cancer. Specifically, the ProtecT trial included 11% (N=58), 12%(N=69), and 11%(N=62) of men age 54 and younger receiving active monitoring, RP, and RT, respectively,²⁹ whereas our study included 14.5%(N=1,957), 29.5%(N=6,041), 12.1%(N=1,975) of men age 55 and younger who received AS/WW, RP, and RT, respectively. Moreover, other large U.S. national database studies have used a proxy for AS/WW to compare conservative management with other treatment, rather than a validated variable for AS/WW.³⁰ To address these limitations, the present study represents the largest inclusion of young patients with a quality-assured AS/WW in LRPC across age groups.

A number of limitations exist. First, our analyses lack quality-of-life measures to determine if choice for AS/WW were driven by baseline quality-of-life or if younger patients had better preserved sexual and urinary function.¹² Second, SEER does not indicate comorbidity status—which could drive management decisions for or against AS/WW. Third, though SEER provides number of cores involved by tumor, SEER does not collect information on percentage of biopsy core involved by tumor and therefore our analyses for "standard" and "very low" -risk disease represent proxies for those risk groups. Fourth, though this custom database includes information on AS/WW as initial management choice, it does not include information on adherence to AS/WW. Fifth, given the retrospective nature of the study design, potential confounding factors other than age could have contributed to the study findings. Lastly, our secondary exploratory survival analyses were limited by short follow-up—with a maximum follow-up of 71-months. Future studies with longer follow-up will be needed to determine if the long-term outcomes of AS/WW presented in this study persist.

Despite potential limitations, our present study demonstrates that there has been a rapid uptake of AS/WW as initial management and AS/WW may be a reasonable approach for both younger and older patients with low-risk disease. Despite a more

rapid uptake of AS/WW in younger patients, there are still higher absolute rates of AS/WW in older patients and RP remains the favored initial management approach for younger patients. Overall, these findings demonstrate the early safety and increasing acceptance of AS/WW for both younger and older patients with LRPC.

REFERENCES:

- Cooperberg MR, Carroll PR. Trends in Management for Patients With Localized Prostate Cancer, 1990-2013. JAMA. 2015;314(1):80-82. doi:10.1001/jama.2015.6036.
- NCCN Clinical Practice Guidelines in Oncology (NCCN Guidelines): Prostate Cancer, version 2.2018. National Comprehensive Cancer Network website. www.nccn.org/professionals/physician_gls/pdf/prostate.pdf. Published March 8, 2018. Accessed July 11, 2018.
- Carroll PH, Mohler JL. NCCN Guidelines Updates: Prostate Cancer and Prostate Cancer Early Detection. J Natl Compr Canc Netw. 2018;16(5S):620-623. doi:10.6004/jnccn.2018.0036.
- Cooperberg MR, Carroll PR, Klotz L. Active surveillance for prostate cancer: progress and promise. *J Clin Oncol*. 2011;29(27):3669-3676. doi:10.1200/JCO.2011.34.9738.
- 5. Klotz L, Vesprini D, Sethukavalan P, et al. Long-term follow-up of a large active surveillance cohort of patients with prostate cancer. *J Clin Oncol*. 2015;33(3):272-277. doi:10.1200/JCO.2014.55.1192.
- 6. Parker C, Gillessen S, Heidenreich A, Horwich A. Cancer of the prostate: ESMO Clinical Practice Guidelines for diagnosis, treatment and follow-up. *Ann Oncol.* 2015;26(suppl 5):v69-v77. doi:10.1093/annonc/mdv222.
- Hamdy FC, Donovan JL, Lane JA, et al. 10-Year Outcomes after Monitoring, Surgery, or Radiotherapy for Localized Prostate Cancer. N Engl J Med. 2016;375(15):1415-1424. doi:10.1056/NEJMoa1606220.
- 8. D'Amico A V. Active Surveillance Versus Treatment of Prostate Cancer: Should Metastasis Be the Primary End Point? *J Clin Oncol*. 2017;35(15):1638-1640. doi:10.1200/JCO.2016.70.9527.
- 9. Wilt TJ, Brawer MK, Jones KM, et al. Radical Prostatectomy versus Observation for Localized Prostate Cancer. *N Engl J Med.*

2012;367(3):203-213. doi:10.1056/NEJMoa1113162.

- Wilt TJ, Jones KM, Barry MJ, et al. Follow-up of Prostatectomy versus Observation for Early Prostate Cancer. N Engl J Med. 2017;377(2):132-142. doi:10.1056/NEJMoa1615869.
- Bill-Axelson A, Holmberg L, Garmo H, et al. Radical prostatectomy or watchful waiting in early prostate cancer. N Engl J Med. 2014;370(10):932-942. doi:10.1056/NEJMoa1311593.
- Leapman MS, Cowan JE, Nguyen HG, et al. Active Surveillance in Younger Men With Prostate Cancer. J Clin Oncol. 2017;35(17):1898-1904. doi:10.1200/JCO.2016.68.0058.
- D'Amico A V. Treatment or Monitoring for Early Prostate Cancer. N Engl J Med. 2016;375(15):1482-1483. doi:10.1056/NEJMe1610395.
- Moyer VA. Screening for Prostate Cancer: U.S. Preventive Services Task Force Recommendation Statement. *Ann Intern Med.* 2012;157(2):120. doi:10.7326/0003-4819-157-2-201207170-00459.
- Grossman DC, Curry SJ, Owens DK, et al. Screening for Prostate Cancer. JAMA. 2018;319(18):1901. doi:10.1001/jama.2018.3710.
- Sammon JD, Abdollah F, Choueiri TK, et al. Prostate-Specific Antigen Screening After 2012 US Preventive Services Task Force Recommendations. *JAMA*. 2015;314(19):2077-2079. doi:10.1001/jama.2015.7273.
- Surveillance, Epidemiology, and End Results Program Overview. 2018. https://seer.cancer.gov/about/overview.html. Accessed July 1, 2018.
- Surveillance, Epidemiology, and End Results Program Prostate with Active Surveillance/Watchful Waiting Database. 2018. https://seer.cancer.gov/seerstat/databases/prostate-ww/index.html. Accessed July 1, 2018.
- 19. Yost K, Perkins C, Cohen R, Morris C, Wright W. Socioeconomic status and breast cancer incidence in California for different race/ethnic groups. *Cancer Causes Control*. 2001;12(8):703-711. http://www.ncbi.nlm.nih.gov/pubmed/11562110.
- 20. Pinsky PF, Prorok PC, Kramer BS. Prostate Cancer Screening A Perspective on the Current State of the Evidence. N Engl J

Med. 2017;376(13):1285-1289. doi:10.1056/NEJMsb1616281.

- 21. Maurice MJ, Abouassaly R, Kim SP, Zhu H. Contemporary Nationwide Patterns of Active Surveillance Use for Prostate Cancer. *JAMA Intern Med.* 2015;175(9):1569. doi:10.1001/jamainternmed.2015.2835.
- Wong AT, Safdieh JJ, Rineer J, Weiner J, Schwartz D, Schreiber D. A population-based analysis of contemporary patterns of care in younger men (<60 years old) with localized prostate cancer. *Int Urol Nephrol.* 2015;47(10):1629-1634. doi:10.1007/s11255-015-1096-8.
- 23. Klotz L, Zhang L, Lam A, Nam R, Mamedov A, Loblaw A. Clinical results of long-term follow-up of a large, active surveillance cohort with localized prostate cancer. *J Clin Oncol*. 2010;28(1):126-131. doi:10.1200/JCO.2009.24.2180.
- 24. Mehralivand S, Shih JH, Rais-Bahrami S, et al. A Magnetic Resonance Imaging-Based Prediction Model for Prostate Biopsy Risk Stratification. *JAMA Oncol.* 2018;4(5):678-685. doi:10.1001/jamaoncol.2017.5667.
- 25. Dinh KT, Mahal BA, Ziehr DR, et al. Incidence and Predictors of Upgrading and Up Staging among 10,000 Contemporary Patients with Low Risk Prostate Cancer. *J Urol.* 2015;194(2):343-349. doi:10.1016/j.juro.2015.02.015.
- 26. Moore CM, Robertson NL, Arsanious N, et al. Image-guided prostate biopsy using magnetic resonance imaging-derived targets: a systematic review. *Eur Urol*. 2013;63(1):125-140. doi:10.1016/j.eururo.2012.06.004.
- Garzotto M. Is Low-Risk Prostate Cancer More Indolent in Younger Patients? J Clin Oncol. 2017;35(17):1870-1871. doi:10.1200/JCO.2017.72.3684.
- 28. Aragon-Ching JB. Active surveillance for prostate cancer: has the time finally come? *J Clin Oncol*. 2010;28(16):e265-6; author reply e267. doi:10.1200/JCO.2010.28.1584.
- Lane JA, Donovan JL, Davis M, et al. Active monitoring, radical prostatectomy, or radiotherapy for localised prostate cancer: study design and diagnostic and baseline results of the ProtecT randomised phase 3 trial. *Lancet Oncol.* 2014;15(10):1109-1118. doi:10.1016/S1470-2045(14)70361-4.
- 30. Loeb S, Byrne N, Makarov D V., Lepor H, Walter D. Use of Conservative Management for Low-Risk Prostate Cancer in the

Veterans Affairs Integrated Health Care System From 2005-2015. JAMA. 2018;319(21):2231. doi:10.1001/jama.2018.5616.

Figure 1. Initial management rates (active surveillance/watchful waiting [AS/WW] versus initial definitive radical prostatectomy or radiation therapy) for NCCN low-risk prostate cancer diagnosed in the United States from 2010-2015 (N= 50,302*), among (A) all men age \leq 55 with low-risk prostate cancer (N= 9,973), (B) all men age \geq 56 with low-risk prostate cancer (N=40,329), (C) men age \leq 55 with very low-risk disease / \leq 2 positive cores (N= 4,451), (D) men age \geq 56 with very low-risk disease / \leq 2 positive cores (N=4,451), (D) men age \geq 56 with very low-risk disease / \leq 2 positive cores (N=18,806), (E) men age \leq 55 with standard low-risk disease / \geq 3 positive cores (N=3367), and (F) men age \geq 56 with standard low-risk disease / \geq 3 positive cores (N=12,396). *N=39,020 patients had known number of positive cores. P_{trend}<0.001 for all subgroups (A-F).

Figure 2. Cumulative incidence of prostate cancer-specific mortality (**A**) and Kaplan-Meier curves for overall survival (**B**) by age (Age \leq 55 versus Age \geq 56) and initial management approach (active surveillance/watchful waiting [AS/WW] versus initial definitive radical prostatectomy or radiation therapy [RP/RT]) among patients diagnosed with low-risk prostate cancer in the United States from 2010-2015 (N=49,770*). **Patients had to have at least one month of follow-up to be included in survival analyses (N=49,770 out of 50,302 patients)*.

Author Man

anusc uthor N

Table 1. Distribution of baseline characteristics by age (Age \leq 55 years versus Age \geq 56 years) and initial management type (AS/WW versus Definitive Treatment with radical prostatectomy or radiation therapy) among 50,302 patients in the U.S. diagnosed with NCCN low-risk prostate cancer, from 2010-2015.

—				
0	Age <u><</u> 55, AS/WW	Age ≤55, RP or RT	Age <u>></u> 56, AS/WW	Age <u>></u> 56, RP or RT
Characteristic	(N=1,957)	(N= 8,016)	(N=11,527)	(N= 28 ,802)
Age (median with IQR, years)	53 (50-54)	52 (49-54)	65 (61-69)	64 (60-68)
PSA (median with IQR, ng/mL)	4.8 (4-6)	4.8 (3.9-6)	5.5 (4.5-6.9)	5.5 (4.4-6.9)
Number Positive Cores				
2	1,310 (66.9)	3,141 (39.2)	7526(65.3)	11,280(39.2)
<u>≥</u> 3	411 (21.0)	2956 (36.9)	2273 (19.7)	10,123 (35.1)
Unknown	236 (12.1)	1919 (23.9)	1,728 (15.0)	7399 (25.7)
Year of Diagnosis, N (%)				
2010-2012	800 (40.9)	5345 (66.7)	4,974 (43.2)	18,887 (65.6)
2013-2015	1,157 (59.1)	2671 (33.3)	6,551 (56.8)	9915 (34.4)
Insurance Status				
Non-Medicaid Insured	1,764 (90.1)	7275 (90.8)	10,384 (90.1)	25,902(89.9)
Medicaid	67 (3.4)	286 (3.6)	304 (2.6)	965(3.3)
Uninsured	23 (1.2)	114 (1.4)	136 (1.2)	234 (0.8)
Unknown	103(5.3)	341 (4.2)	701 (6.1)	1701(5.9)
Yost Index (median with IQR)	11,363 (10,815-11,628)	11,105 (10,581 - 11,567)	11,340 (10,815-11,598)	11,070 (10,537 – 11,559)

Abbreviations: AS/WW, Active Surveillance/Watchful Waiting; RP, radical prostatectomy; RT, radiation therapy; IQR interquartile range; N, number; %, percentage within categorical variable among column-stratified group; PSA, prostate-specific antigen; ng/mL, nanograms per milliliter; SEER, Surveillance, Epidemiology, and End Results.

This article is protected by copyright. All rights reserved

Table 2. Multivariable-adjusted odds of receiving AS/WW by age (Age \leq 55 years versus Age \geq 56 years) among 50,302 patients in the U.S, diagnosed withNCCN low-risk prostate cancer, from 2010-2015.

Characteristic	AS/WW, All (N= 50,302)		AS/WW, Age <u><</u> 55 (N= 9,973)		AS/WW, Age ≥56 (N= 40,329)	
	<u>AOR (95% CI)</u>	<u>P</u>	<u>AOR (95% CI)</u>	<u>P</u>	<u>AOR (95% CI)</u>	<u>P</u>
Age		1		1		1
Age ≤55	1.0 (Ref)		-	-	-	-
Age≥56	1.63 (1.54-1.72)	< 0.001	-	-	-	-
Age at Diagnosis (per year increase)	-	-	1.02(1.01 - 1.04)	0.003	1.04 (1.03-1.05)	< 0.001
PSA (ng/mL increase)	1.02 (1.01-1.03)	< 0.001	1.01 (0.98 - 1.04)	0.60	1.01 (0.99-1.02)	0.35
Number of Positive Cores		1		1		1
≥3	1.0 (Ref)		1.0 (Ref)		1.0 (Ref)	
≤2	3.15 (3.00-3.32)	< 0.001	3.26 (2.87 - 3.70)	< 0.001	3.15 (2.98-3.33)	< 0.001
Unknown	1.20 (1.13-1.29)	< 0.001	1.08(0.91 - 1.29)	0.36	1.21 (1.13-1.30)	< 0.001
Year of Diagnosis		I		1		1
2010-2012	1.0 (Ref)		1.0 (Ref)		1.0 (Ref)	
2013-2015	2.65 (2.54-2.76)	< 0.001	3.10 (2.78 - 3.45)	< 0.001	2.61 (2.49-2.74)	< 0.001
Insurance Status		1		1		1
Uninsured or Medicaid Insured	1.0 (Ref)		1.0 (Ref)		1.0 (Ref)	
Non-Medicaid Insured	1.02 (0.92-1.14)	0.67	0.99 (0.77 – 1.27)	0.95	1.00 (0.89–1.13)	0.96
Unknown	0.91 (0.79-1.04)	0.18	1.06 (0.76 – 1.50)	0.71	0.81 (0.70-0.95)	0.007
Yost Index (for socioeconomic status)	1.00 (1.00 – 1.00)	< 0.001	1.00 (1.00 - 1.00)	< 0.001	1.00 (1.00-1.00)	< 0.001

Abbreviations: AS/WW, Active Surveillance/Watchful Waiting; AOR, Adjusted Odds Ratio; CI, Confidence Interval; N, number; P, P-value; %, percentage within categorical variable among column-stratified group; PSA, prostate-specific antigen; ng/mL, nanograms per milliliter; Ref, Referent

Table 3. Distribution of PCSM-deaths by age (Age \leq 55 years versus Age \geq 56 years) and multivariable-adjusted hazard ratio for PCSM and OM among 49,770 patients in the U.S. diagnosed with NCCN low-risk prostate cancer, from 2010-2015. Patients had at least 1 month of follow-up.

Characteristic	(Age <u><</u> 55)	(Age <u>≥</u> 56)	Prostate Cancer-Specific Mortality		Overall Mortality	
	No. Men /	No. Men /	<u>AHR (95% CI)</u>	<u>P</u>	<u>AHR (95% CI)</u>	<u>P</u>
	No. PCa Deaths / No.	No. PCa Deaths / No.				
$\overline{\mathbf{O}}$	Competing Deaths	Competing Deaths				
Age <u><</u> 55					11	
(by Treatment Strategy) [†]						
Definitive Treatment [‡]	7966 / 9 / 50	-		1.0 (Re	ef)	
AS/WW	1,909 / 0 / 10	-	No events	-	1.14 (0.57-2.30)	0.71 [§]
Age <u>></u> 56					11	
(by Treatment Strategy) [†]						
Definitive Treatment [‡]	-	28,610 / 53 / 465	1.0 (Ref)			
AS/WW	-	11,285 / 11 / 178	0.77 (0.39 – 1.53)	0.46	1.24 (1.05-1.49)	0.01 [§]
Age					11	
<u>≥</u> 56	-	39,895 / 64 / 643	1.0 (Ref)			
≤55	9,875 / 9 / 60	-	1.14 (0.45-2.91)	0.78	1.29 (0.95 – 1.75)	0.11
Freatment Strategy					11	
Definitive Treatment [‡]	7966 / 9 / 50	28,610 / 53 / 465	1.0 (Ref)			
AS/WW	1,909 / 0 / 10	11,285 / 11 / 178	0.68 (0.35 - 1.33)	0.40	1.24 (1.05 – 1.47)	0.01
Age at Diagnosis (per year increase)	9,875 / 9 / 60	39,895 / 64 / 643	1.05 (1.01 - 1.10)	0.01	1.08 (1.07 – 1.10)	< 0.001
PSA (ng/mL increase)	9,875 / 9 / 60	39,895 / 64 / 643	1.09 (0.96 - 1.23)	0.18	1.05 (1.01 – 1.09)	0.02
Number of Positive Cores		1	1	1	11	
≥3	3335/2/22	12,260/23/198	1.0 (Ref)			
≤2	4401/4/22	18,577/23/264	0.77 (0.44 – 1.32)	0.34	0.80(0.68 - 0.95)	0.01

2139/3/16	9058/18/181	0.96(0.54 - 1.73)	0.91	1.00 (0.83 – 1.20)	0.96
6142/8/53	23,852/57/551	1.0 (Ref)			
3733/1/7	16,043 / 7 / 92	0.68 (0.30 - 1.54)	0.36	0.99 (0.79 – 1.24)	0.93
				1 1	
8960/8/49	35,918/57/548	1.0 (Ref)			
345/1/8	1257/3/38	1.52 (0.53-4.32)	0.43	2.00 (1.50 - 2.67)	< 0.001
	6142/8/53 3733/1/7 8960/8/49	6142/8/53 23,852/57/551 3733/1/7 16,043 / 7 / 92 8960/8/49 35,918/57/548	6142/8/53 23,852/57/551 3733/1/7 16,043 / 7 / 92 0.68 (0.30 - 1.54) 8960/8/49 35,918/57/548	6142/8/53 23,852/57/551 1.0 (R 3733/1/7 16,043 / 7 / 92 0.68 (0.30 - 1.54) 0.36 8960/8/49 35,918/57/548 1.0 (R	6142/8/53 23,852/57/551 1.0 (Ref) 3733/1/7 16,043 / 7 / 92 0.68 (0.30 - 1.54) 0.36 0.99 (0.79 - 1.24) 8960/8/49 35,918/57/548 1.0 (Ref)

Table 3 Continued.

Uninsured	136/0/1	364/0/5	0.00 (0.00-0.00)	< 0.001	0.91(0.41 - 2.04)	0.82
Unknown	434/0/2	2356/4/52	1.04 (0.38 – 2.90)	0.93	1.47 (1.12 – 1.93)	0.005
Yost Index	9,875 / 9 / 60	39,895 / 64 / 643	0.99 (0.99-1.00)	0.22	0.99 (0.99-1.00)	< 0.001

Abbreviations: AS/WW, Active Surveillance/Watchful Waiting; AHR, adjusted hazard ratio; CI, Confidence Interval; No., number; NR, not reported; OM,

overall mortality; PCSM, prostate cancer-specific mortality; PCa, prostate cancer; P, P-value; %, percentage within categorical variable among column-stratified

group; PSA, prostate-specific antigen; ng/mL, nanograms per milliliter; Ref, Referent; SEER, Surveillance, Epidemiology, and End Results

[†] Analyses stratified age (\leq 55 versus \geq 56) and initial management type (AS/WW versus Definitive management[‡])

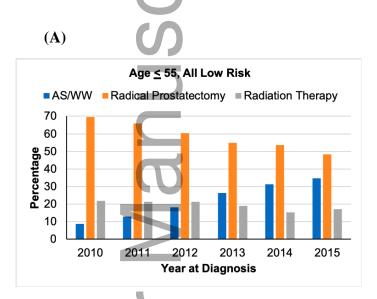
^{*} Definitive management: initial management with either radical prostatectomy or radiation therapy

 $^{\$}$ P_{interaction}=0.88, where the interaction term was defined as Age (\leq 55 versus \geq 56) * Initial management type (AS/WW versus Definitive management[‡])

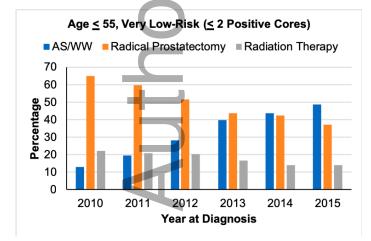
Aut

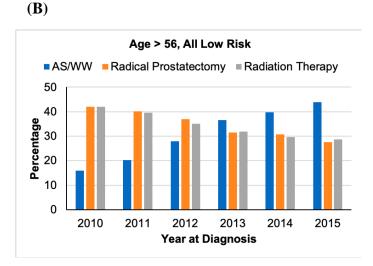
cncr_32332_f1.docx

Figure 1. Initial management rates (active surveillance/watchful waiting [AS/WW] versus initial definitive radical prostatectomy or radiation therapy) for NCCN low-risk prostate cancer diagnosed in the United States from 2010-2015 (N= 50,302*), among (**A**) all men age \leq 55 with low-risk prostate cancer (N=9,973), (**B**) all men age \geq 56 with low-risk prostate cancer (N=40,329), (**C**) men age \leq 55 with very low-risk disease / \leq 2 positive cores (N=4,451), (**D**) men age \geq 56 with very low-risk disease / \leq 2 positive cores (N=4,451), (**D**) men age \geq 56 with very low-risk disease / \leq 2 positive cores (N=18,806), (**E**) men age \leq 55 with standard low-risk disease / \geq 3 positive cores (N=3367), and (**F**) men age \geq 56 with standard low-risk disease / \geq 3 positive cores (N=12,396). *N=39,020 patients had known number of positive cores. P_{trend}<0.001 for all subgroups (A-F).



(C)





(D)

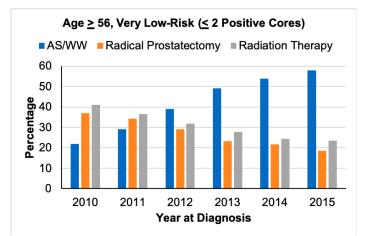
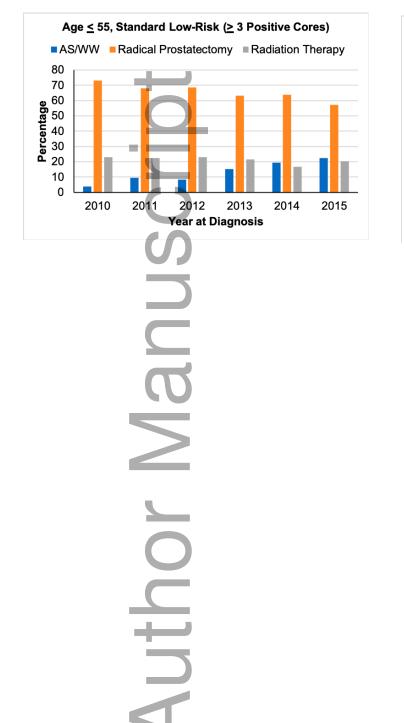
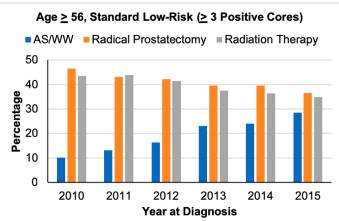


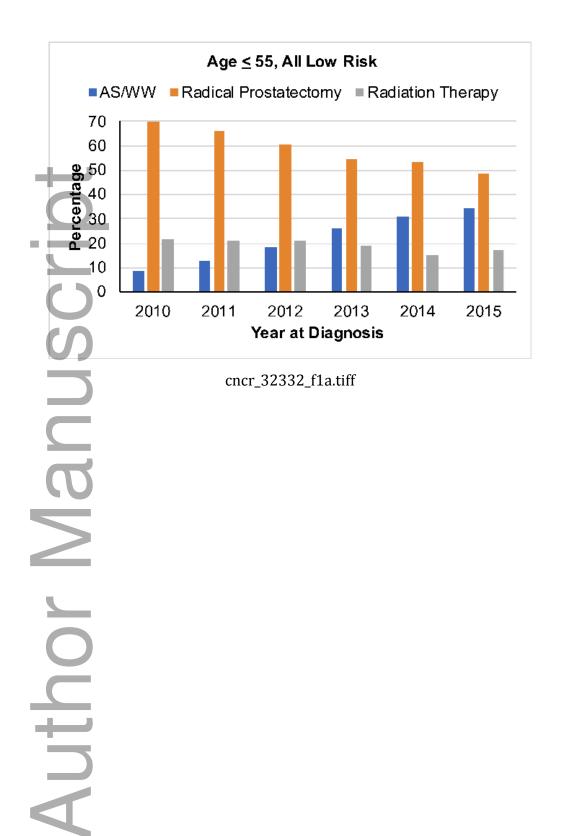
Figure 1 Continued.

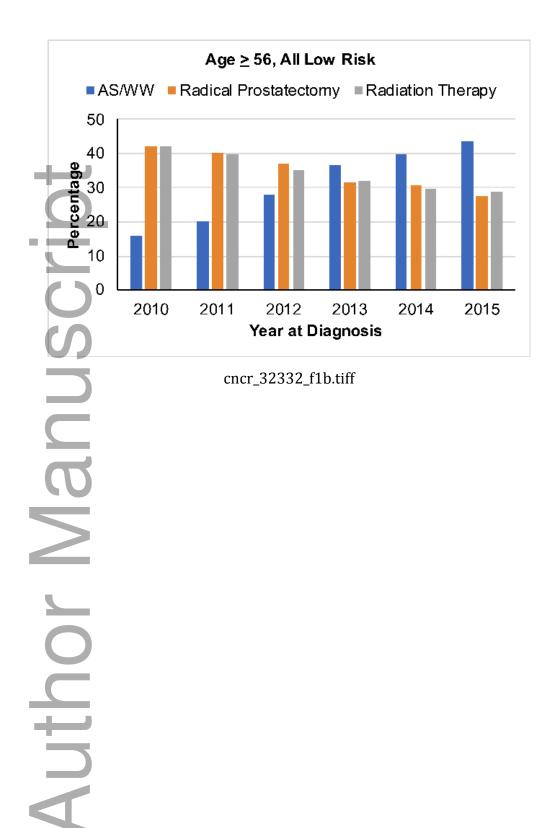
(E)

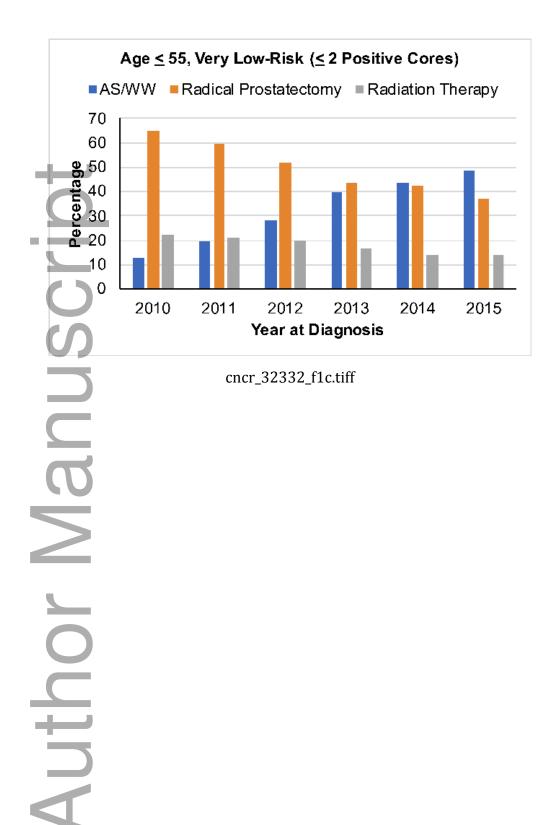


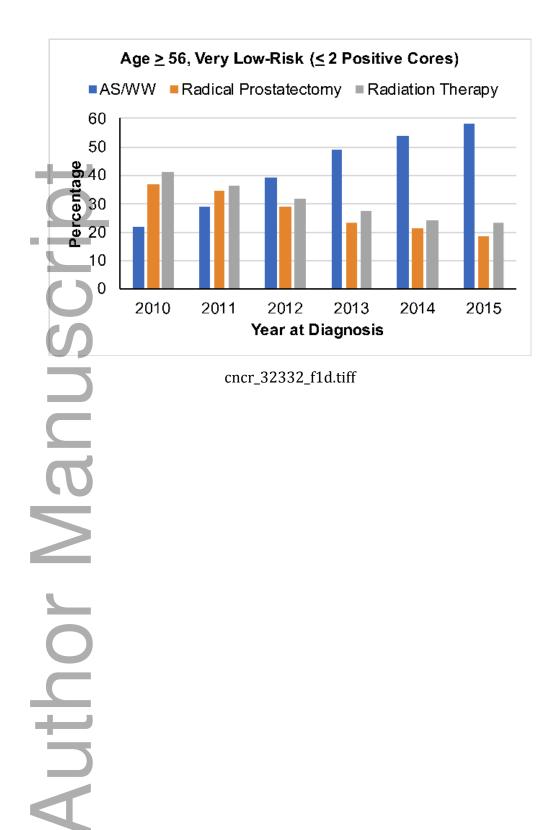
(F)

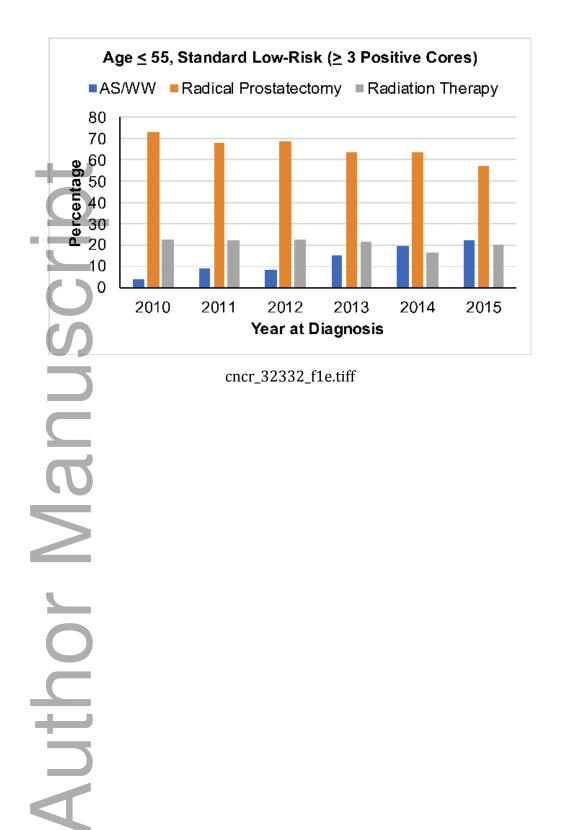


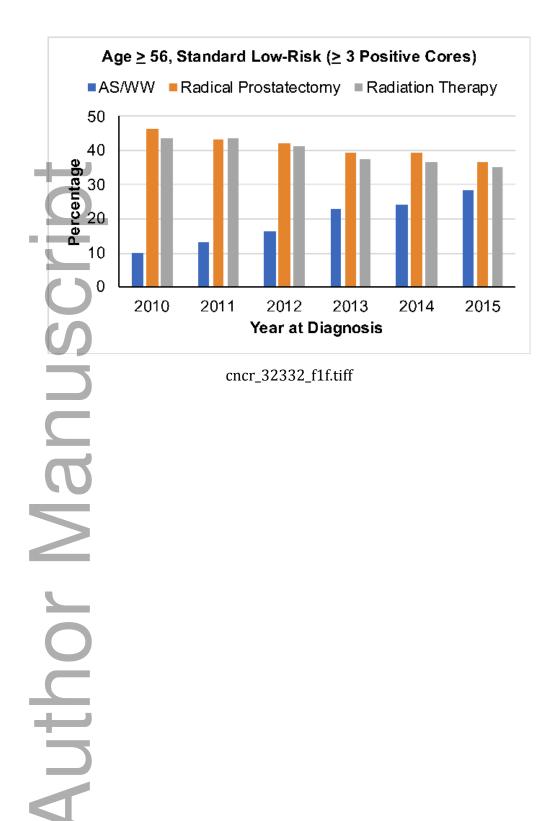












cncr_32332_f2.docx

Figure 2. Cumulative incidence of prostate cancer-specific mortality (**A**) and Kaplan-Meier curves for overall survival (**B**) by age (Age \leq 55 versus Age \geq 56) and initial management approach (active surveillance/watchful waiting [AS/WW] versus initial definitive radical prostatectomy or radiation therapy [RP/RT]) among patients diagnosed with low-risk prostate cancer in the United States from 2010-2015 (N=49,770*). **Patients had to have at least one month of follow-up to be included in survival analyses (N=49,770 out of 50,302 patients)*.

