

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28

DR ROBERT FONTANA (Orcid ID : 0000-0001-9161-5892)

Article type : Original Scientific Paper

Corresponding author mail-id:[rfontana@umich.edu](mailto:rfontana@umich.edu)

**Liver injury is most commonly due to hepatic metastases rather than drug hepatotoxicity during pembrolizumab immunotherapy**

Liver injury during pembrolizumab treatment

Irene Tsung, MD<sup>1</sup>, Russell Dolan, MD<sup>1</sup>, Christopher D. Lao, MD<sup>2</sup>, Leslie Fecher, MD<sup>2</sup>, Kane Riggerbach, MS, Amoah Yeboah-Korang, MD<sup>3</sup>, and Robert J. Fontana, MD<sup>3</sup>

1. Department of Internal Medicine, University of Michigan, Ann Arbor, MI.
2. Division of Hematology and Oncology, University of Michigan, Ann Arbor, MI.
3. Division of Gastroenterology and Hepatology, University of Michigan, Ann Arbor, MI.

**Author contributions**

I Tsung, study design, data collection, analysis, manuscript drafting; R Dolan, study design, data collection, analysis, manuscript drafting; C Lao, analysis, manuscript drafting; L Fecher, analysis, manuscript drafting; K Riggerbach, data analysis; A Yeboah-Korang, analysis, manuscript drafting; R Fontana, study design, data collection, analysis, manuscript drafting.

**Conflict of Interest Statement:**

IT, RD, KR AY, and CL have no disclosures.

This is the author manuscript accepted for publication and has undergone full peer review but has not been through the copyediting, typesetting, pagination and proofreading process, which may lead to differences between this version and the [Version of Record](#). Please cite this article as [doi: 10.1111/APT.15413](https://doi.org/10.1111/APT.15413)

This article is protected by copyright. All rights reserved

29 RJF receives grant support from Abbvie, BMS, and Gilead and does consulting for Sanofi.  
30 LF receives grant support from Merck, Incyte, BMS, EMD serono/ Pfizer and dose consulting for  
31 Via Oncology/ Elsevier and Hoosier Cancer Research Network.

32

33 **Word Count:** 3,331

#### 34 **Summary**

35 **Background:** Pembrolizumab immunotherapy has been associated with hepatotoxicity in 1 to  
36 10% of oncology patients treated in clinical trials.

37

38 **Aim:** To describe the incidence, phenotypes, and outcomes of liver injury in a large cohort of  
39 solid organ tumor patients receiving pembrolizumab.

40

41 **Methods:** Liver injury was defined by serum alanine aminotransferase, alkaline phosphatase,  
42 and/or total bilirubin levels exceeding threshold values. The likelihood of drug induced liver  
43 injury was adjudicated by expert opinion.

44

45 **Results:** Seventy (14.3%) of the 491 pembrolizumab treated patients developed liver injury at a  
46 median of 62 days (6-478) and 71.4% had a cholestatic injury profile at onset. The median age,  
47 gender, and tumor types of liver injury patients were similar to those without, but hepatic  
48 metastases (53% vs. 21%,  $p < 0.01$ ) and prior systemic and liver-directed therapy (71% vs. 53%,  
49  $p < 0.01$ ) were more commonly observed in liver injury patients. During follow-up, liver injury  
50 patients were less likely to experience tumor remission (10% vs. 40.4%) and had a higher  
51 mortality (67.1% vs. 33.7%). There were only 20 (28.6%) liver injury cases adjudicated as  
52 probable drug-induced hepatotoxicity and these patients were significantly more likely to  
53 present with an hepatocellular/mixed injury pattern (65% vs. 12%), receive corticosteroids (55%  
54 vs. 12%), and have a lower mortality (45% vs. 76%) during follow-up.

55

56 **Conclusions:** Oncology patients treated with pembrolizumab that develop liver injury  
57 experience poorer outcomes during follow-up. The low incidence of confirmed drug

58 hepatotoxicity highlights the need for thorough medical evaluation prior to initiating  
59 corticosteroids to optimize patient care.

60

61 **Keywords:** Drug induced liver injury, checkpoint inhibitors, jaundice, hepatotoxicity

62

63 Words =249

64

## 65 INTRODUCTION

66

67 Treatment of advanced solid organs tumors has rapidly evolved in the past 10 years with the  
68 approval of over 60 new agents<sup>1</sup>. Immune checkpoint inhibitors (ICI) that target the cell surface  
69 receptors of the programmed-death receptor ligand-1 (PD-1) and CTLA-4 invoke a regulated T-  
70 cell response against tumor cells by inhibiting internal T-cell checkpoints<sup>2-5</sup>. In addition to  
71 improved tumor responses, use of these monoclonal antibodies has been associated with a  
72 systemic form of drug toxicity that has prominent autoimmune features commonly referred to  
73 as immune-related adverse events (irAE's) which can afflict many internal organs<sup>6-8</sup>, including  
74 the liver in 1-10% of patients treated in clinical trials<sup>2,9,10</sup>. Although the definitions used to  
75 determine the incidence and severity of hepatotoxicity have been variable, most studies have  
76 demonstrated a higher incidence of liver injury in patients treated with high dose anti-CTLA-4  
77 agents and with combination regimens of anti-CTLA-4 and anti-PD1/ anti—PDL1 therapy. But  
78 the incidence, phenotype, and risk factors for liver injury in patients receiving these drugs in  
79 clinical practice are not well described.

80

81 Pembrolizumab is an ICI administered as an intravenous infusion every 3 weeks that was  
82 initially approved for patients with advanced melanoma in 2014<sup>11</sup>. Pembrolizumab is most  
83 commonly used in late-stage cancer patients that likely harbor known or occult metastases.  
84 Oncology practice guidelines recommend that corticosteroids and other immunosuppressants  
85 be rapidly initiated in any patient receiving an ICI with an ALT  $\geq$  3x upper limit of normal (ULN)<sup>9</sup>.  
86 However, the etiology of these liver biochemistry abnormalities and the impact of developing

87 liver injury on clinical outcomes is not well described. The aim of this study is to determine the  
88 incidence, etiologies and outcomes of consecutive cancer patients with varying tumor types  
89 who developed liver injury during pembrolizumab immunotherapy at a large tertiary care  
90 cancer center.

## 91 **METHODS**

### 92 **Data Collection**

93 This retrospective study was approved by the Institutional Review Board of Michigan Medicine.  
94 Consecutive patients receiving pembrolizumab immunotherapy between January 1, 2014  
95 through January 1, 2018 were identified from the Michigan Medicine Cancer Registry database.  
96 One patient was excluded due to enrollment in a double-blind clinical trial yielding a total of  
97 491 evaluable patients. Using DataDirect, a self-serve electronic medical record (EMR) search  
98 tool, patient health data were extracted including birthdate, sex, race, ethnicity, body mass  
99 index (BMI), and the dose and frequency of pembrolizumab infusions administered. In  
100 addition, serial serum aspartate aminotransferase (AST), alanine aminotransferase (ALT),  
101 alkaline phosphatase (ALP), total bilirubin, and international normalized ratio (INR) levels prior  
102 to, during, and after immunotherapy were reviewed. Available diagnostic test results in  
103 patients with liver injury including serum antinuclear antibody (ANA), smooth muscle antibody  
104 (SMA), hepatitis A, B, and C serologies, and results of liver imaging were extracted. All  
105 pembrolizumab doses were verified through chart review. Manual EMR review was used to  
106 record additional data including prior treatment with other immunotherapy or liver directed  
107 therapy within the prior year, tumor type, pre-treatment liver imaging, liver biopsy reports,  
108 management of adverse events including use of steroids, tumor response, and date and cause  
109 of death.

### 110 **Definition of Liver injury and Adjudication of Etiology**

111  
112 Baseline liver biochemistries of serum AST, ALT, ALP, and total bilirubin were defined as those  
113 results obtained immediately prior to the first pembrolizumab dose. Liver injury on treatment  
114  
115

116 was defined using the Drug Induced Liver Injury Network (DILIN) study criteria<sup>12</sup> as any of the  
117 following within 90 days of the last pembrolizumab infusion:

118

- 119 • Serum ALT  $\geq$  5x upper limit of normal (or baseline if baseline > ULN)
- 120 • Serum ALP  $\geq$  2x ULN (or baseline if baseline > ULN)
- 121 • Total bilirubin  $\geq$  2.5 mg/dl (or > 2x baseline if baseline >ULN)

122

123 The peak liver biochemistry test results were recorded as the maximum values after meeting  
124 liver injury criteria; normalization of liver biochemistries was determined when the abnormal  
125 lab test returned to less than ULN. Four physician reviewers (IT, RD, RJF, AYK) adjudicated each  
126 liver injury case to determine the likelihood of drug induced hepatotoxicity versus an  
127 alternative etiology and assigned each case an expert opinion DILIN causality score ranging  
128 from 1 = definite, 2= highly likely, 3= probable, 4= possible, and 5= Unlikely<sup>12</sup>. In addition, R-  
129 values were calculated using the equation  $R = (\text{serum ALT/ULN}) / (\text{ALP/ULN})$  and classified as  
130 hepatocellular ( $R > 5$ ), mixed ( $R = 2-5$ ), or cholestatic ( $R < 2$ ). The Roussel Uclaf Causality  
131 Assessment Method (RUCAM)<sup>13</sup> was calculated for each of the liver injury cases and compared  
132 with the expert opinion adjudication score. Total RUCAM scores vary from -9 to +14 and are  
133 categorized as  $\leq 0$  = drug excluded as a cause; 1 to 2 = unlikely; 3 to 5 = possible; 6 to 8 =  
134 probably;  $> 8$  = highly probable.

135

### 136 **Statistical Analysis**

137 Descriptive statistics were calculated using mean (standard deviation) or median (range) for  
138 normally and non-normally distributed data, respectively. Kaplan-Meier survival curves were  
139 calculated from the time of pembrolizumab infusion to death or last available follow-up in  
140 patients with and without liver injury. Baseline features associated with new onset liver injury  
141 were determined using univariate and multivariate models. Statistical analyses were performed  
142 using the chi-squared, Mann-Whitney U, and Kruskal-Wallis tests. Statistical significance was  
143 defined as P-value < 0.05. Analyses were completed in RStudio statistical software (Boston,  
144 MA).

145

## 146 **RESULTS**

### 147 **Patient characteristics**

148 A total of 491 patients received pembrolizumab treatment between January 2014 and January  
149 2018. During a median follow-up of 211 days, 70 (14%) patients met the predefined laboratory  
150 criteria for liver injury (**Figure 1**). The liver injury was predominantly cholestatic (71.4%) at  
151 onset with only 17.1% having a total bilirubin > 2.5 mg/dl. The specific lab criteria that were  
152 met included serum AST or ALT elevations in 12 (17.1%), ALP elevations in 42 (60%), total  
153 bilirubin elevations in 8 (11.4%), ALP and ALT elevations in 4 (5.7%), ALP and total bilirubin in 2  
154 (2.8%), and all 3 criteria in 2 (2.8%). Most of the liver injury cases (61%) occurred within the first  
155 3 months of treatment and 77% occurred within the first 6 months of treatment. The median  
156 number of pembrolizumab infusions received in those with and without liver injury was  
157 significantly lower (3 vs. 5,  $p < 0.01$ ). The proportion of pembrolizumab treated patients that  
158 developed liver injury did not substantially change over time (data not shown).

159

160 The median age, race, and BMI of the 70 patients with liver injury was similar to the 421  
161 without liver injury (**Table 1**). The types and stage of solid organ tumors were also similar in the  
162 two groups with 65% of the cohort having either metastatic melanoma, lung, or urothelial  
163 cancer. However, the patients with liver injury were significantly more likely to have received  
164 prior chemotherapy or liver directed locoregional therapy in the year prior to pembrolizumab  
165 (71.3% vs. 53%,  $p < 0.01$ ) and to have known hepatic metastases prior to treatment (52.9% vs.  
166 21.4%,  $p < 0.001$ ). Interestingly, the presence of hepatic steatosis on imaging and the  
167 pretreatment serum ALT and total bilirubin levels were similar in patients with and without liver  
168 injury but the pretreatment serum AST and ALP levels were both significantly higher in the liver  
169 injury group. During follow-up, a significantly lower rate of tumor remission (10% vs. 40.4%)  
170 was observed in those with liver injury as well as a significantly lower actuarial patient survival  
171 (33.7% vs 67.1%) compared to the 421 patients without liver injury (**Figure 2**). Finally, the  
172 frequency of non-hepatic irAE was similar in those with and without liver injury (15% vs. 21%)  
173 suggesting that the risk of developing liver injury was independent of other adverse events.

174

175 **Factors associated with development of liver injury**

176 Variables which demonstrated a p-value of < 0.10 (**Table 1**) were entered into univariate and  
177 multivariate logistic regression models of new onset liver injury. After adjusting for subject  
178 gender, pre-treatment AST and ALP, and prior liver directed therapy, only the presence of  
179 hepatic metastases was an independent predictor of liver injury (Odds ratio: 3.58, 95% CI: 2.03-  
180 6.31,  $P < 0.01$ ). (**See Supplemental Table 1.**)

181

182 **Expert adjudication of the 70 liver injury cases**

183 All of the available laboratory, radiological, and clinical data of the 70 patients with liver injury  
184 were reviewed and scored by the investigators using the DILIN expert opinion scale of 1  
185 (definite) to 5 (unlikely)<sup>12</sup>. There was 1 definite, 6 highly likely, and 13 probable drug induced  
186 hepatotoxicity cases while the remaining 50 cases were adjudicated as possible (21) or unlikely  
187 (29) cases of drug hepatotoxicity (**Table 2**). The 20 (28.6%) patients with a causality score of 1,  
188 2, or 3 represented 4.1% of all of the 491 patients treated with pembrolizumab. However, 3 of  
189 these high causality cases were attributed to a drug other than pembrolizumab (ipilimumab,  
190 vemurafenib, and dabrafenib) but were analyzed with the pembrolizumab hepatotoxicity cases.

191

192 The median number of pembrolizumab infusions prior to liver injury onset was similar in the  
193 high and low causality cases (3 vs. 3,  $p = 0.63$ ), and the time to liver injury onset was also similar  
194 (66 vs. 62 days,  $p = 0.56$ ). In addition, the baseline patient demographic features and  
195 pretreatment liver biochemistries were similar in the high versus low causality liver injury cases.  
196 However, the 20 patients with high causality scores were more likely to have an acute  
197 hepatocellular or mixed liver injury at onset compared to the 50 low causality patients (65% vs.  
198 12%,  $p < 0.01$ ). In addition, the high causality cases had significantly higher peak serum ALT  
199 levels but the proportion with jaundice was similar (**Table 2**). The most commonly identified  
200 alternative cause of liver injury among the 50 possible/unlikely cases was progressive hepatic  
201 tumor metastases (56%), while other etiologies included malignant biliary obstruction (4%),  
202 non-hepatic disease (9%), and other biliary obstruction or unknown (2%).

203

#### 204 **RUCAM scoring of the 70 liver injury cases**

205 The RUCAM scores were significantly higher in the 20 high causality cases compared to the low  
206 causality cases (5 vs. 2). The overall level of concordance between the RUCAM and DILIN expert  
207 opinion scales was relatively good with a Pearson correlation coefficient of -0.57 (**Supplemental**  
208 **Figure 1**).

209

#### 210 **Clinical outcomes in the 70 patients with liver injury**

211 The median duration of follow-up in the low causality cases was significantly shorter (122 vs.  
212 333 days,  $p < 0.01$ ) largely due to the higher observed mortality during follow-up in the low  
213 causality cases (76% vs. 45%,  $p = 0.01$ ) (**Figure 3**). The adjudicated causes of death were tumor  
214 progression in 7 of the 9 high causality patients that died and tumor progression in 31 of the 38  
215 low causality patients that died. None of the 9 deaths in the high causality group were directly  
216 attributed to pembrolizumab drug hepatotoxicity. The higher mortality in the low causality  
217 group may have, in part, been due to the higher incidence of hepatic metastases on  
218 pretreatment imaging (66% vs. 20%) as well as the lower rate of objective tumor  
219 stabilization/remission during follow-up (4% vs. 25%).

220

221 The high causality patients were significantly more likely to receive corticosteroids after liver  
222 injury onset (**Table 2**). They also experienced more frequent normalization of liver biochemistry  
223 abnormalities during follow-up. Of note, none of the high causality case patients were re-  
224 challenged with pembrolizumab. A review of the available liver pathology in 4 of the high  
225 causality patients who underwent biopsy at a median of 14 days after liver injury onset  
226 demonstrated a variety of histological findings (**Supplemental Table 2**). However, none of these  
227 patients had eosinophils, granulomas, or plasma cells noted on biopsy nor detectable serum  
228 autoantibodies.

229

#### 230 **Analysis of 70 liver injury cases stratified by liver injury pattern**



231 The 70 liver injury cases were stratified by the biochemical pattern of liver injury at onset (i.e.  
232 hepatocellular, cholestatic, mixed) as defined by the R ratio (**Supplemental Table 3**). There  
233 were 11 patients that presented with an acute hepatocellular injury profile, 51 with a  
234 cholestatic profile, and 8 with a mixed profile. Variables with significant differences between  
235 groups are similar to the results obtained when stratifying the 70 liver injury patients by DILIN  
236 expert opinion score. There were significant differences in the frequency of baseline hepatic  
237 metastases, hepatic steatosis, and pre-treatment serum AST and ALT levels. In addition, those  
238 with an acute hepatocellular or mixed injury were more likely to receive corticosteroids and  
239 normalize their liver biochemical abnormalities during follow-up. Finally, subjects with a  
240 cholestatic liver injury profile also had a poorer prognosis during follow-up than those  
241 presenting with an hepatocellular or mixed laboratory profile.

242

## 243 **DISCUSSION**

244 The onset of liver biochemical abnormalities at 3 to 6 months after starting treatment is a well-  
245 recognized side effect of checkpoint inhibitor immunotherapy<sup>2</sup>. Grading schemas largely based  
246 upon the level of serum ALT elevation have been developed and incorporated into clinical  
247 practice guidelines as well as recommendations for the evaluation of other potential causes<sup>14</sup>.  
248 However, the risk factors and outcomes in patients who develop any form of biochemical liver  
249 injury during checkpoint inhibitor immunotherapy remain poorly understood. In this study of  
250 491 consecutive patients receiving pembrolizumab, liver injury occurred in 70 (14%) patients. In  
251 general, liver injury was predominantly cholestatic (R ratio < 2.0) and mild in severity at onset  
252 and the baseline demographic and laboratory features of the patients with liver injury versus  
253 those without liver injury were similar (**Table 1**). However, patients who experienced liver injury  
254 had a significantly lower tumor response and a higher mortality during follow-up (**Figure 2**). The  
255 poorer outcomes in the liver injury group may relate to the larger proportion with baseline  
256 hepatic metastases and other recent treatments prior to pembrolizumab that is consistent with  
257 more advanced and refractory cancer. On multivariate analysis, pretreatment hepatic  
258 metastases were the only independent baseline factor associated with the development of liver  
259 injury (**Supplemental Table 1**).

260

261 Only a minority of the liver injury cases were attributed to pembrolizumab hepatotoxicity (29%)  
262 while cancerous replacement of the liver accounted for most of the other patients with benign  
263 or malignant biliary obstruction identified in 5.7%. (**Table 2**). These data are important since  
264 most prior publications on this topic have simply described the incidence of liver injury during  
265 treatment but not adjudicated the cause<sup>8, 15</sup>. Furthermore, current treatment guidelines  
266 recommend the rapid institution of corticosteroids and withholding of further treatment  
267 whenever the serum ALT exceeds 3x ULN or ALP increases to more than 2x ULN<sup>9</sup>. However, our  
268 data indicate that careful clinical assessment of the cause of liver injury is critical including  
269 contrast enhanced cross sectional imaging of the liver to assess for tumor progression and to  
270 help ensure that the appropriate actions are undertaken<sup>16</sup>. If other prospective studies confirm  
271 our observations, less frequent use of immunosuppression may be indicated and additional  
272 cancer treatments could be offered to improve patient outcomes. In addition, prospectively  
273 obtained liver biopsies in patients with elevated liver biochemistries may prove informative to  
274 help guide management. Our data demonstrate that patients who present with an acute  
275 hepatocellular or mixed injury pattern are more likely to be experiencing immune mediated  
276 liver injury due to pembrolizumab but there was no particular serum ALT, ALP, or bilirubin level  
277 that reliably differentiated patients with DILI from other causes of liver injury (**Supplemental**  
278 **Table 3**).

279

280 The 20 patients with drug hepatotoxicity (DILIN score of 1-3) were more likely to have an  
281 hepatocellular or mixed injury at presentation compared to the 50 with low causality scores  
282 (65% vs. 12%). In addition, these patients had higher peak serum ALT levels and were more  
283 likely to receive corticosteroids and experience liver biochemistry normalization during follow-  
284 up suggesting that their liver injury was indeed immune mediated and steroid responsive (**Table**  
285 **2**). Unfortunately, results of serum autoantibodies and quantitative immunoglobulin levels  
286 were available in only 4 of the 20 high causality cases. This may be due to the fact that only a  
287 small proportion of the liver injury patients (14%) were seen by a GI or liver specialist and even  
288 fewer (7%) underwent a liver biopsy. Interestingly, the 20 high causality drug hepatotoxicity

289 patients had significantly better short-term survival compared to the 50 patients with other  
290 causes of liver injury (**Figure 3**). This is likely due to the fact that the high causality cases were  
291 more likely to experience an objective tumor response while the majority of low causality cases  
292 had hepatic metastases that progressed during follow-up. Analysis of our data based upon the  
293 R-value at liver injury onset showed similar results with hepatocellular and mixed injury  
294 patients being more likely to have a higher causality score, receive corticosteroids, and  
295 normalize their labs during follow-up compared to those with a cholestatic profile  
296 (**Supplemental Table 3**).

297  
298 Information regarding the liver histology in patients with immunotherapy hepatotoxicity is not  
299 well described. Kleiner et al. originally described 10 patients with moderately severe acute  
300 hepatocellular injury wherein many had pericentral necrosis or plasma cell mediated hepatitis  
301 <sup>17</sup>. In a more recent multicenter French study, fibrin ring granulomas, hepatic steatosis, and  
302 periportal hepatitis were more commonly identified than plasma cell hepatitis particularly in  
303 patients receiving anti-CTLA-4 therapy <sup>18</sup>. In the current study, 4 high causality patients  
304 underwent a liver biopsy at a median of 13 days (range 1 -45) after DILI onset but only mild  
305 apoptosis and hepatic steatosis was observed (**Supplemental Table 2**). Therefore, our data is  
306 consistent prior reports demonstrating a spectrum of liver histopathological abnormalities in  
307 patients with pembrolizumab hepatotoxicity <sup>19</sup>. Whether the pattern or severity of liver  
308 pathology findings correlates with response to corticosteroids or has independent prognostic  
309 value requires further study in a larger number of patients undergoing biopsy.

310  
311 Since expert opinion is not widely available, standardized RUCAM scores were also calculated  
312 for each of the 70 cases. Contrary to our expectations, there was a high degree of concordance  
313 between the RUCAM and expert opinion causality scores (**Supplemental Figure 1**)<sup>20</sup>.  
314 Interestingly, very few of the cases achieved a very high RUCAM score (> 8) (8 cases) since most  
315 patients did not undergo testing for competing causes of liver injury.

316

317 Strengths of our study include the large number of patients (i.e. 491) that received  
318 pembrolizumab treatment at a single center over a 4-year period. Furthermore, these  
319 therapies were given by a limited number of oncologists in a standardized manner. In general,  
320 the dose of pembrolizumab was 2 mg/kg or 200 mg flat dose given every 3 weeks with  
321 laboratory monitoring obtained prior to each infusion. However, the criteria to withhold an  
322 infusion of pembrolizumab due to hepatotoxicity and when and how much corticosteroids to  
323 use was variable. Additionally, our EMR database did not allow us to determine the cumulative  
324 corticosteroid dose administered. However, all of the liver injury cases were vetted for causality  
325 using an established expert opinion method as well as the RUCAM. The high degree of  
326 concordance in these 2 scales suggests that the causality assessment used in this study was  
327 robust and reproducible. A final limitation of our study was its retrospective nature and the lack  
328 of diagnostic serologies for competing causes of liver injury in many of the liver injury cases.  
329 However, nearly 80% of the patients did undergo contrast enhanced liver imaging after liver  
330 injury onset. Going forward, future prospective studies should include a more comprehensive  
331 assessment for competing etiologies of liver injury in patients receiving checkpoint inhibitors  
332 with hepatotoxicity. However, the value of routine assessment of baseline and on treatment  
333 autoantibodies remains controversial since prior studies have demonstrated a low incidence of  
334 discriminating high titer autoantibodies in patients with bonafide hepatotoxicity<sup>2, 17, 21</sup>.

335  
336 In conclusion, the overall incidence of liver injury observed in our study of 14% is somewhat  
337 higher than that reported in licensing trials and other series<sup>2, 22</sup>. However, the liver injury  
338 patients had a significantly poorer short-term survival (**Figure 2**). Since the majority of deaths  
339 observed were not due to drug-induced hepatotoxicity, our data suggests that the poorer  
340 prognosis is likely due to the presence of more advanced cancer and liver metastases prior to  
341 treatment rather than hepatotoxicity per se from pembrolizumab therapy. (**Figure 3**). Going  
342 forward, pembrolizumab treated patients that develop liver biochemical abnormalities should  
343 undergo thorough evaluation for competing causes of liver injury and liver biopsy, whenever  
344 feasible, to assist with diagnosis and guide the appropriate use of immunosuppressive therapy  
345 rather than empiric therapy in all patients.

346 **REFERENCES**

- 347 1. Beaver JA, Howie LJ, Pelosof L, et al. A 25-Year Experience of US Food and Drug  
348 Administration Accelerated Approval of Malignant Hematology and Oncology Drugs and  
349 Biologics: A Review. *JAMA Oncol.* 2018;4(6):849-856.
- 350 2. Suzman DL, Pelosof L, Rosenberg A, Avigan MI. Hepatotoxicity of immune checkpoint  
351 inhibitors: An evolving picture of risk associated with a vital class of immunotherapy  
352 agents. *Liver Int* 2018;38(6):976-987.
- 353 3. Sharma P, Allison, JP. The future of immune checkpoint therapy. *Science*  
354 2015;348(6230):7.
- 355 4. Leach DR KM, Allison JP. Enhancement of antitumor immunity by CTLA-4 blockade.  
356 *Science* 1996;271(5256):1734-1736.
- 357 5. Sharma P, Wagner K, Wolchok JD, Allison JP. Novel cancer immunotherapy agents with  
358 survival benefit: recent successes and next steps. *Nat Rev Cancer* 2011;11(11):805-812.
- 359 6. Hassel JC, Heinzerling L, Aberle J, et al. Combined immune checkpoint blockade (anti-PD-  
360 1/anti-CTLA-4): Evaluation and management of adverse drug reactions. *Cancer Treat Rev*  
361 2017;57:36-49.
- 362 7. Wang DY, Salem JE, Cohen JV, et al. Fatal Toxic Effects Associated With Immune  
363 Checkpoint Inhibitors: A Systematic Review and Meta-analysis. *JAMA Oncol* 2018.
- 364 8. Postow MA, Sidlow R, Hellmann MD. Immune-Related Adverse Events Associated with  
365 Immune Checkpoint Blockade. *N Engl J Med* 2018;378(2):158-168.
- 366 9. Haanen J, Carbone F, Robert C, et al. Management of toxicities from immunotherapy:  
367 ESMO Clinical Practice Guidelines for diagnosis, treatment and follow-up. *Ann Oncol*  
368 2017;28(suppl\_4):iv119-iv142.
- 369 10. Wang W, Lie P, Guo M, He J. Risk of hepatotoxicity in cancer patients treated with  
370 immune checkpoint inhibitors: A systematic review and meta-analysis of published data.  
371 *Int J Cancer* 2017;141(5):1018-1028.
- 372 11. Chuk MK, Chang JT, Theoret MR, et al. FDA Approval Summary: Accelerated Approval of  
373 Pembrolizumab for Second-Line Treatment of Metastatic Melanoma. *Clin Cancer Res*  
374 2017;23(19):5666-5670.

- 375 12. Fontana RJ, Watkins PB, Bonkovsky HL, Chalasani N, Davern T, Serrano J, Rochon J. Drug  
376 Induced Liver Injury Network Prospective Study. *Drug Safety* 2009;32(1):55-68.
- 377 13. Benichou C DGaF, A. An Original Model for Validation of Drug Causality Assessment  
378 Methods: Case Reports with Positive Rechallenge. *J Clinical Epidemiology*  
379 1993;46(11):1331-1336.
- 380 14. Brahmer J, Lacchetti, C, Schneider, BJ, et al. Management of Immune-Related Adverse  
381 Events in Patients Treated with Immune Checkpoint Inhibitor Therapy: American Society  
382 of Clinical Oncology Practice Guideline. *Journal of Clinical Oncology* 2018;36:1714-1768.
- 383 15. Huffman BM, Kottschade LA, Kamath PS, Markovic SN. Hepatotoxicity after Immune  
384 Checkpoint inhibitor therapy in Melanoma. Natural progression and Management. *A J*  
385 *Clin Oncol* 2017;
- 386 16. Friedman CF, Proverbs-Singh TA, Postow MA. Treatment of the Immune-Related  
387 Adverse Effects of Immune Checkpoint Inhibitors: A Review. *JAMA Oncol*  
388 2016;2(10):1346-1353.
- 389 17. Kleiner DE, Berman D. Pathologic changes in Ipilimumab-related hepatitis in patients  
390 with metastatic melanoma. *Dig Dis Sci* 2012; 57:2233-2240.
- 391 18. DeMartin E, Michot JM, Papouin B, Champiat S, Mateus C, et al. Characterization of  
392 liver injury induced by cancer immunotherapy using immune checkpoint inhibitors. *J*  
393 *Hepatology* 2018;68:81-90.
- 394 19. Zen Y, Yeh MM. Hepatotoxicity of immune checkpoint inhibitors: a histology study of  
395 seven cases in comparison with autoimmune hepatitis and idiosyncratic drug induced  
396 liver injury. *Modern Pathology* 2017;31:965-973.
- 397 20. Rockey DC, Seeff LB, Rochon J, et al. Causality assessment in drug-induced liver injury  
398 using a structured expert opinion process: comparison to the Roussel-Uclaf Assessment  
399 method. *Hepatology* 2010; 51:2117-2126.
- 400 21. Johncilla M, Misdraji J, Pratt DS, Agoston AT, Lauwers GY, Srivastava A, et al.  
401 Ipilimumab-associated hepatitis: clinicopathologic characterization in a series of 11  
402 cases. *Am J Surg Pathol* 2015;39:1075-84.

403 22. Wang PF, Chen Y, Song SY, et al. Immune-related adverse events associated with anti-  
 404 PD-1/ PD-L1 treatment for malignancies: a meta-analysis. Front Pharmacol 2017;8:730-  
 405 740.

406  
 407 **TABLES**  
 408  
 409 **Table 1. Clinical characteristics of the study population**

	<b>Liver injury N=70</b>	<b>No liver injury N=421</b>	<b>P value</b>
Age (yr)	64 [16-89]	65 [16-97]	0.71
Male	52 (74.3)	267 (63.4)	0.08
BMI (kg/m <sup>2</sup> )	28.4 [14.6-44.7]	27.2 [14.8-49.7]	0.57
Race			
Caucasian	63 (90.0)	399 (94.8)	0.12
African-American	3 (4.3)	10 (2.4)	
Asian	2 (2.9)	3 (0.7)	
Native Hawaiian/Other Pacific	0 (0.0)	2 (0.5)	
American Indian or Alaska Native	0 (0.0)	1 (0.2)	
Unknown	2 (2.9)	6 (1.4)	
Ethnicity			
Non-hispanic/Latino	67 (95.7)	409 (97.1)	0.52
Hispanic/Latino	3 (4.3)	4 (1.0)	
Unknown	0 (0.0)	8 (1.9)	
Prior immunotherapy (ipilimumab, nivolumab)	11 (15.7)	67 (15.8)	0.97
Total # pembrolizumab infusions	3 [1-20]	5 [1-43]	<b>0.01</b>
Duration of follow-up (days)	142.4 [2.3-1146.3]	230.4 (2.4-1159.4)	0.12
Cancer type			
Melanoma	30 (42.9)	182 (43.2)	0.95

Lung	5 (7.1)	60 (14.3)	
Urothelial	11 (15.7)	35 (8.2)	
Other	24 (34.3)	144 (34.2)	
Baseline Hepatic metastases	37 (52.9)	90 (21.4)	<b>&lt;0.01</b>
Prior chemotherapy, XRT, TACE, radioembolization	50 (71.3)	223 (53.0)	<b>&lt;0.01</b>
Baseline Hepatic Steatosis	16 (22.9)	89 (21.1)	0.75
Other non-hepatic irAE's	11 (15.7)	87 (20.7)	0.34
Pre-treatment labs			
AST (IU/L)	28 [12-213]	24 [11-179]	<b>0.02</b>
ALT (IU/L)	22 [8-83]	21 [8-173]	0.72
Alkaline phosphatase (IU/L)	108 [43-523]	90 [26-1147]	<b>&lt;0.01</b>
Total bilirubin (IU/L)	0.5 [0.1-1.1]	0.4 [0.1-8.3]	0.72
Tumor outcome through 1/1/18			
Progression	16 (22.9)	109 (25.9)	<b>&lt; 0.01</b>
Stable/ remission	7 (10)	170 (40.4)	
Death	47 (67.1)	142 (33.7)	

410 †Data presented as median [range] or n (%)

411 ‡Abbreviations: BMI, body mass index; XRT, radiotherapy; TACE, transarterial

412 chemoembolization; irAE, immune related adverse event; AST; aspartate aminotransferase; ALT,

413 alanine aminotransferase.

414

415 **Table 2. Liver injury cases stratified by DILIN causality score**

	<b>DILIN score 1-3 n=20</b>	<b>DILIN score 4-5 n=50</b>	<b>P value</b>
Age (yr)	64.5 [32-85]	64 [16-89]	0.93
Male	14 (70)	38 (76)	0.60
BMI (kg/m <sup>2</sup> )	28.8 [21.1-39.5]	28.4 [14.6-44.7]	0.80
Race			



Caucasian	19 (95)	44 (88)	0.38
African-American	0 (0.0)	3 (6.0)	
Asian	0 (0.0)	2 (4.0)	
Unknown	1 (5.0)	1 (2.0)	
Ethnicity			
Non-hispanic/Latino	20 (100.0)	47 (94.0)	0.26
Hispanic/Latino	0 (0.0)	3 (6.0)	
Unknown	0 (0.0)	0 (0.0)	
Prior ipilimumab, nivolumab, or both	5 (25.0)	6 (12.0)	0.18
Total # pembrolizumab infusions	3 [1-18]	3 [1-20]	0.72
Duration of follow-up (days)	333 [54-1146]	121 [2-785]	<b>&lt;0.01</b>
Cancer type			
Melanoma	10 (50.0)	20 (40.0)	0.45
Lung	3 (15.0)	2 (4.0)	
Urothelial	2 (10.0)	9 (18.0)	
Other	5 (25.0)	19 (38.0)	
Baseline Hepatic metastases	4 (20.0)	33 (66.0)	<b>&lt;0.01</b>
Prior chemo, XRT, TACE, radioembolization	11 (55.0)	39 (78.0)	0.05
Baseline hepatic steatosis	5 (25.0)	11 (22.0)	0.79
Pre-treatment labs			
AST (IU/L)	20 [12-49]	30 [14-213]	<b>0.01</b>
ALT (IU/L)	20 [8-59]	23 [9-83]	0.12
Alkaline phosphatase (IU/L)	83 [43-220]	124 [55-523]	<b>&lt;0.01</b>
Total bilirubin (IU/L)	0.45 [0.1-1.1]	0.5 [0.2-1]	0.92
Other non-hepatic irAE's	4 (20.0)	7 (14.0)	
Referral to hepatology	5 (25.0)	5 (10.0)	0.11

Pembrolizumab start to liver injury (days)	66 [6-478]	62 [9-467]	0.56
# infusions prior to liver injury	3 [1-18]	3 [1-18]	0.63
Patients with LFT normalization during follow-up	13 (65.0)	7 (14.0)	<b>&lt;0.01</b>
Time to LFT normalization (days)	27 [13-224]	26 [1-139]	0.72
Peak Liver biochemistries			
Alkaline phosphatase (IU/L)	324 [54-1842]	568.5 [99-2735]	<b>0.02</b>
ALT (IU/L)	294.5 [10-674]	101.5 [8-775]	<b>&lt;0.01</b>
AST (IU/L)	188.5 [15-366]	141.5 [20-853]	0.86
Total bilirubin (IU/L)	1.1 [0.2-6.6]	1.6 [0.3-19.2]	0.28
Patients with total bilirubin > 2.5	5 (25.0)	19 (38.0)	0.30
R ratio at injury onset	2.5 [0.1-16.0]	0.6 [0.1-7.5]	
Hepatocellular R > 5.0	8 (40.0)	3 (6.0)	<b>&lt;0.01</b>
Cholestatic R < 2.0	7 (35.0)	44 (88.0)	<b>&lt;0.01</b>
Mixed R = 2.0 - 5.0	5 (25.0)	3 (6.0)	<b>0.02</b>
RUCAM	5 [1-9]	2 [0-9]	<b>&lt;0.01</b>
Steroids for liver injury	11 (55.0)	6 (12.0)	<b>&lt;0.01</b>
Patients with pembrolizumab discontinued after liver injury	17 (85.0)	39 (78.0)	0.51
Tumor outcome through 1/1/18			
Progression	6 (30.0)	10 (20.0)	<b>&lt; 0.01</b>
Stable/Remission	5 (25.0)	2 (4.0)	
Death	9 (45.0)	38 (76.0)	

416 †Data presented as median [range] or n (%).

417 ‡Abbreviations: BMI, body mass index; XRT, radiotherapy; TACE, transarterial

418 chemoembolization; irAE, immune related adverse event; AST, aspartate aminotransferase; ALT,

419 alanine aminotransferase; LFT, liver function test; RUCAM, Roussel Uclaf Causality Assessment.

420

421 **FIGURE LEGENDS**

422

423 **Figure 1. Patient population and flowchart.** There were 491 patients who received  
424 pembrolizumab treatment for cancer. During treatment, 70 patients met laboratory criteria for  
425 liver injury while the remaining 421 patients did not. Following expert opinion adjudication,  
426 only 20 (28%) of the liver injury cases were attributed to a medication.

427

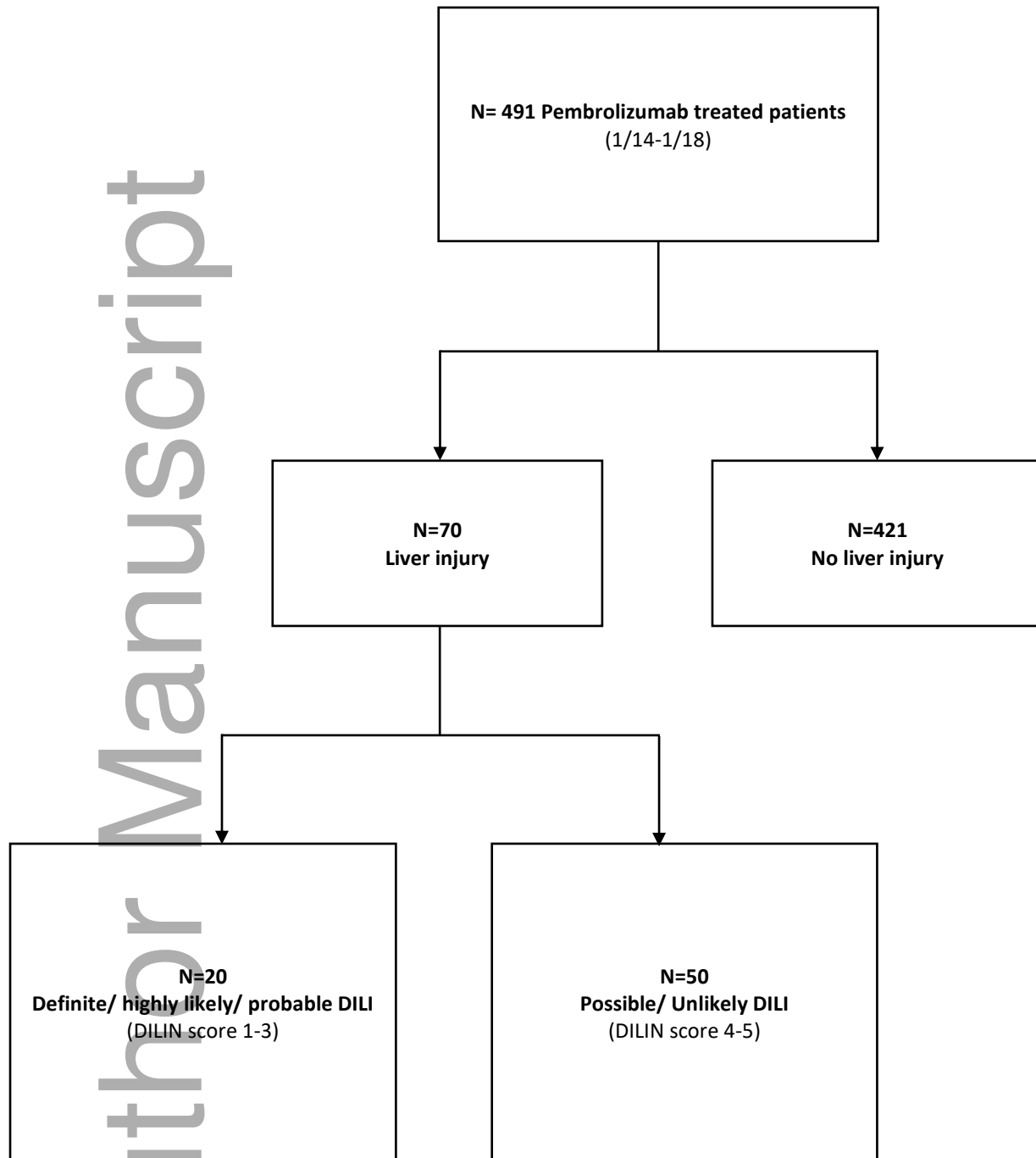
428 **Figure 2. Actuarial patient survival after starting pembrolizumab treatment.** The overall  
429 patient survival in the 70 patients who developed liver injury was significantly lower compared  
430 to the 421 without liver injury during follow-up ( $p < 0.0001$  using Kaplan-Meier statistics).

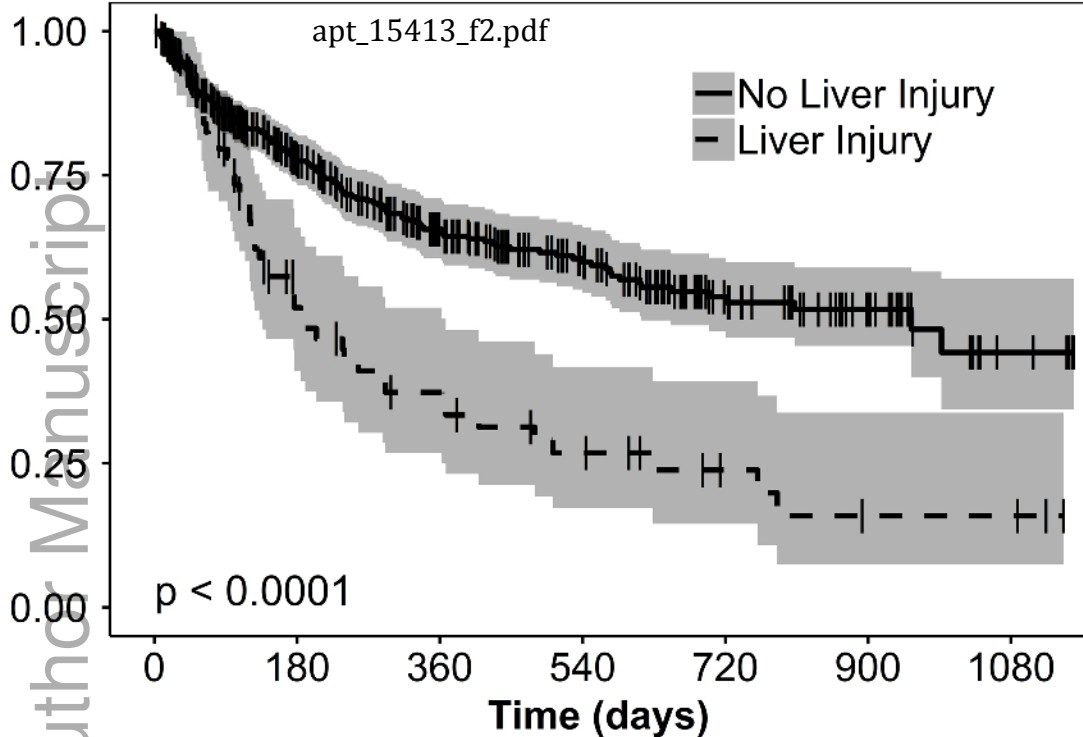
431

432 **Figure 3. Actuarial patient survival in 70 liver injury patients.** The 20 patients with probable  
433 drug induced hepatotoxicity (DILIN score of 1,2,3) had a significantly better survival compared  
434 to the 50 patients with other causes of liver injury (DILIN score 4,5) ( $p < 0.0005$  using Kaplan-  
435 Meier statistics)

436

437 **Supplemental Figure 1. Relationship of RUCAM and DILIN Expert opinion scores.** There was a  
438 significant correlation between the two scoring systems (Pearson correlation coefficient = -  
439 0.57).





Number at risk

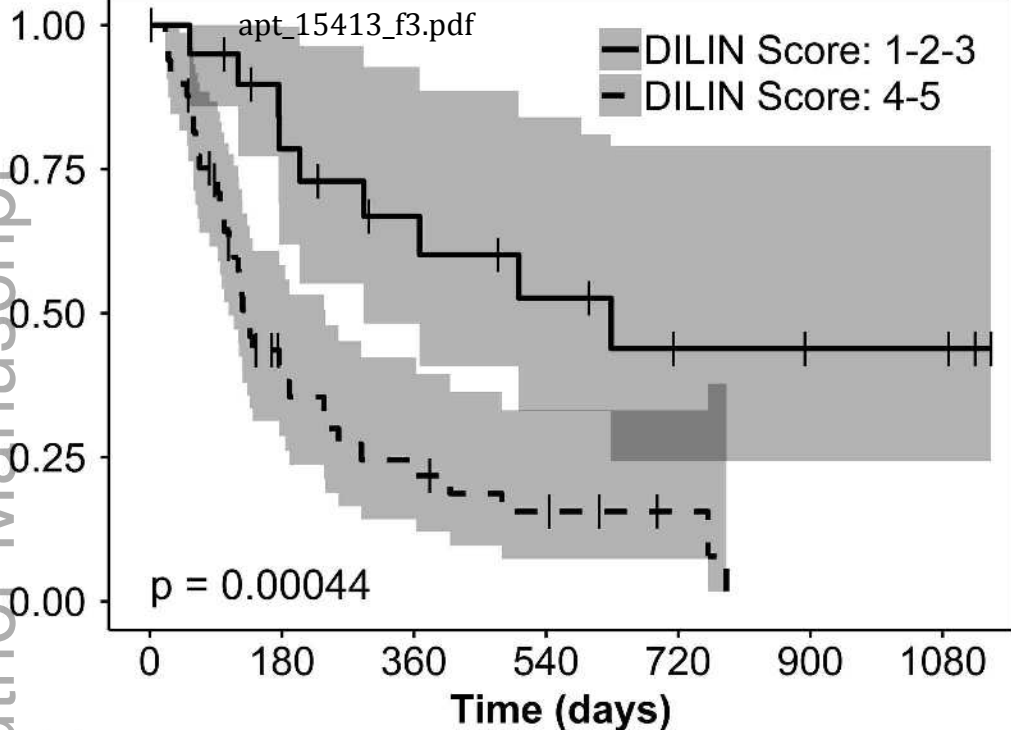
No Liver Injury

421	238	161	105	55	30	5
-----	-----	-----	-----	----	----	---

Liver Injury

70	29	19	12	6	3	3
----	----	----	----	---	---	---

This article is protected by copyright. All rights reserved



Number at risk

DILIN Score: 1-2-3

20	14	10	7	4	3	3
----	----	----	---	---	---	---

DILIN Score: 4-5

50	15	9	5	2	0	0
----	----	---	---	---	---	---

This article is protected by copyright. All rights reserved