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**A case of immune reconstitution syndrome complicating progressive multifocal leukoencephalopathy after kidney transplant: clinical, pathological, and radiographic features.**

Running Title: PML-IRIS after kidney transplant

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### 36 **Abstract**

37 Progressive multifocal leukoencephalopathy (PML) is a life-threatening central nervous  
38 system (CNS) disorder, most commonly described in patients infected with the human  
39 immunodeficiency virus (HIV). Limited data exist on its natural history and treatment in solid  
40 organ transplant (SOT) recipients. A complication of PML is the immune reconstitution  
41 inflammatory syndrome (IRIS), which develops after T-cell reconstitution and can have severe  
42 consequences when it occurs in the CNS. While well-described in HIV-infected individuals, its  
43 clinical features, diagnosis, and treatment after SOT are largely unknown. We report a case of  
44 a kidney transplant recipient who was diagnosed with PML and developed significant worsening  
45 of her symptoms upon reduction of immunosuppression. Thallium SPECT showed avid uptake  
46 suggestive of lymphoma, but the diagnosis of PML-IRIS was ultimately established by brain  
47 biopsy. She survived with nearly complete restoration of her functional status after a prolonged  
48 steroid taper.

### 51 **Keywords**

52 Progressive multifocal leukoencephalopathy (PML), Immune reconstitution inflammatory  
53 syndrome (IRIS), Renal transplant, JC virus, Thallium SPECT scan, solid organ transplant

### 55 **Introduction**

56 Progressive multifocal leukoencephalopathy (PML) is a demyelinating central nervous  
57 system (CNS) disorder caused by reactivation of the John Cunningham (JC) virus in the setting  
58 of immune suppression. PML was first described in patients with hematological malignancies<sup>1</sup>  
59 but became better recognized in the 1980s during the acquired immunodeficiency syndrome  
60 (AIDS) epidemic. Iatrogenic immunosuppression (particularly the monoclonal antibody  
61 natalizumab), solid-organ transplantation (SOT), and hematopoietic cell transplantation (HCT)  
62 are also established risk factors<sup>2-4</sup>. Reversal of the patient's underlying immune deficiency can

63 lead to a paradoxical clinical worsening called the immune reconstitution inflammatory  
64 syndrome (IRIS), which is characterized by severe inflammation and marked CNS infiltration  
65 with cytotoxic T cells<sup>4-6</sup>. PML-IRIS can conceivably occur in SOT recipients whose  
66 immunosuppression is reduced, but has been scarcely reported. Additionally, data on  
67 outcomes and management of PML-IRIS among SOT recipients are rare<sup>2</sup>. Herein, we present a  
68 case of a patient with PML after kidney transplant who developed severe IRIS during her  
69 treatment course. We highlight the diagnostic workup obtained, including a thallium SPECT  
70 scan and brain biopsy, and review the literature on PML and PML-IRIS, their diagnosis, and  
71 their treatments in SOT. We also comment on the utility of SPECT scans in distinguishing PML  
72 and PML-IRIS from CNS malignancies.

73

#### 74 **Case Presentation**

75 The patient was a 60-year-old woman with a history of deceased donor renal transplant  
76 performed for IgA nephropathy nine years prior to presentation. She received alemtuzumab  
77 induction. She had no infectious complications or recent immunosuppression augmentation for  
78 rejection. Her transplant-related medications at the time of evaluation were tacrolimus,  
79 mycophenolate mofetil, and trimethoprim-sulfamethoxazole.

80 She presented with two months of progressively worsening word-finding difficulty,  
81 specifically with the inability to name familiar places and objects (Figure 1). She denied any  
82 effect on other cognitive domains. However, on bedside evaluation she had compromised  
83 executive functioning (unable to perform trails assessment), attention (could repeat numbers  
84 forward but not backward), language (unable to repeat sentences, difficulty with naming), and  
85 delayed recall (named zero of five objects at five minutes). At that time, her strength, sensation,  
86 and coordination were preserved. Serum creatinine was 2.3 mg/dL, around her baseline. She  
87 had mild leukopenia and lymphopenia with a white blood cell count of 2900 cells/L and an  
88 absolute lymphocyte count of around 600 cells/L, both at her baseline. The rest of her laboratory  
89 tests were unremarkable.

90 Non-contrasted magnetic resonance imaging (MRI) of the brain identified multifocal T2  
91 signal hyperintensities in the left posterior temporal and parietal lobes, cingulate gyrus, and  
92 splenium of the corpus callosum (Figure 2). There was minimal associated mass effect and no  
93 areas of restricted diffusion. She subsequently had cerebrospinal fluid (CSF) analysis, which  
94 was notable for one white blood cell per high power field, zero red blood cells, glucose of 91  
95 mg/dL (serum 68 mg/dL), and protein of 46 gm/dL. CSF JC virus polymerase chain reaction

96 (PCR) was positive, but at less than ten copies/mL. CSF cytology was negative for malignant  
97 cells. Human immunodeficiency virus (HIV) and Epstein Barr virus (EBV) testing were negative.

98 She was diagnosed with PML and was initially managed by discontinuation of  
99 mycophenolate alone (Figure 1). After further discussion with the patient and her family, the  
100 decision was made to slowly wean tacrolimus as a potentially life-saving measure, knowing that  
101 this would likely lead to rejection of her allograft. Approximately five weeks later, tacrolimus was  
102 discontinued entirely. She was started on mirtazapine 15 mg daily, based on limited case  
103 reports of its possible utility among patients with PML, including our own experience with a lung  
104 transplant recipient from our institution who received this drug and improved<sup>7,8</sup>.

105 Around this time, she developed significant worsening of her language skills and had  
106 new symptoms of motor weakness and unsteady gait (Figure 1). She deteriorated further, with  
107 right hemiparesis, inability to walk, unintelligible speech, and progressive cognitive deficits  
108 including an inability to follow simple commands. Mirtazapine was initially decreased to 7.5 mg  
109 due to sedation and then ultimately stopped about one month after its initiation. A brain MRI  
110 without contrast showed progression of T2 white matter signal change in the existing areas and  
111 new areas involving the right temporal lobe, right insula, and left subthalamic nucleus (Figure 3).  
112 Contrast imaging was not obtained due to the risk of nephrogenic systemic fibrosis, at the  
113 recommendation of the transplant nephrology team. Differential diagnosis at the time included  
114 worsening of PML, development of the immune reconstitution inflammatory syndrome (IRIS), or  
115 an alternative diagnosis such as CNS post-transplant lymphoproliferative disorder (PTLD).

116 Based on literature demonstrating the potential utility of thallium imaging in differentiating  
117 PML from CNS lymphoma among HIV-infected patients<sup>9</sup>, a thallium-SPECT scan was  
118 performed. This revealed increased thallium uptake in her known white matter lesions,  
119 suggesting the presence neoplastic process such as PTLD rather than PML (Figure 4).  
120 However, her subsequent CSF had a higher JC virus copy count (29 copies/mL). Ultimately, a  
121 biopsy of the left frontal lesion was performed and showed foci of demyelination,  
122 oligodendrocytes with enlarged nuclei containing viral inclusions, and reactive, bizarre-  
123 appearing astrocytes. The viral inclusions were positive for the JC virus by in situ hybridization  
124 (ISH), confirming the diagnosis of PML (Figure 5). Additionally, histology revealed a T cell and  
125 plasma cell inflammatory response, consistent with IRIS (Figure 6). She was treated with a  
126 prolonged oral steroid taper for IRIS, starting with 4 mg every 6 hours of dexamethasone (2  
127 days), followed by an 11-week prednisone taper as follows: 60 mg daily for one week, followed  
128 by 55 mg daily for 1 week, 50 mg daily for 1 week, 45 mg daily for 1 week, 35 mg daily for 3  
129 weeks, 25 mg daily for 2 weeks, and finally 15 mg daily for 2 weeks. After this, her prednisone

130 dose was reduced to 5 mg daily, on which she remains in order to avoid allograft inflammation  
131 (Figure 1). Three months later, she was able to walk independently and had regained much of  
132 her language fluency. Interval MRI showed improvement in the white matter abnormalities. She  
133 resumed hemodialysis around one year after her initial diagnosis with PML. As of the writing of  
134 this manuscript, it has been nearly 2 years since she first presented. She is currently doing well  
135 and is nearly neurologically intact, with minimal residual speech impairment.

## 136 Discussion

137 PML is uncommon in transplant recipients but is associated with a poor prognosis. The  
138 largest study of PML after transplantation was a 2011 multicenter review of 69 patients, of  
139 whom 64% (44/69) were SOT recipients<sup>2</sup>. Kidney transplants were the most common (n = 22),  
140 followed by liver (n = 10), lung (n = 6), and heart transplants (n = 6). All patients were diagnosed  
141 either by brain biopsy, CSF JC virus PCR, or autopsy. The median time to development of PML  
142 symptoms following transplantation was 17 months but ranged widely from <1 month to more  
143 than 20 years. Interestingly however, the hazard ratio of developing PML after SOT was  
144 greatest in the immediate post-transplant period, suggesting that heightened  
145 immunosuppression from recent antibody induction and generally higher troughs of calcineurin  
146 inhibitors and high doses of antiproliferative agents may confer an increased risk of reactivation  
147 early after transplant<sup>2</sup>. Nonetheless, later cases can also occur even without any  
148 immunosuppression augmentation, such as the case with our patient. The median time from  
149 symptom onset to death after SOT was 6.4 months after SOT (range < 1 month to > 37  
150 months), and the overall fatality rate was 84%<sup>2</sup>. As is the case with HIV-infected individuals, the  
151 most common presenting symptoms were cognitive deficits, followed by weakness, visual  
152 disturbances, gait disorders, personality changes, aphasia, and seizures.

153 Elimination of iatrogenic immunosuppression remains the mainstay of treatment after  
154 transplantation, though this may lead to graft loss. While graft failure is fatal in patients with life-  
155 sustaining organ transplants such as lungs, livers, and hearts, kidney transplant recipients have  
156 the option of resuming dialysis. Nonetheless, data on the management of PML after SOT are  
157 largely derived from case series and case reports. In the aforementioned study<sup>2</sup>, 55% (24/44) of  
158 SOT recipients received some form of treatment for PML. Of these 24 patients, 79% (19/24) had  
159 their immunosuppression reduced, either as the sole intervention (n = 9), or in combination with  
160 adjunctive medical therapy (n = 10). Medications given to SOT recipients with PML included  
161 cytarabine (n = 8), mirtazapine (n = 4), cidofovir (n = 2), mefloquine (n = 2), interferon-alpha (n  
162 = 1), ganciclovir (n = 1), and the antiviral agent tilorone (n = 1), either alone or in combination.  
163 Despite these efforts, no intervention had any clear impact on prognosis or survival. In more

164 contemporary case reports, reduction of immunosuppression continues to be the most common  
165 treatment modality<sup>10-12</sup>, though mirtazapine was successfully used in one case of a lung  
166 transplant recipient<sup>8</sup>.

167 Mirtazapine, which inhibits JC virus cell entry by blocking the JC virus serotonin receptor  
168 5HT<sub>2a</sub>, was successfully used in 4 HIV-infected individuals with PML<sup>7</sup>, though its contribution to  
169 their clinical improvement is unclear as all 4 patients were also receiving antiretroviral therapy  
170 (ART). In addition, while a lung transplant recipient with JC virus encephalopathy improved with  
171 mirtazapine<sup>8</sup>, her MMF was also discontinued, and mirtazapine was eventually stopped due to  
172 drowsiness. Despite these potentially promising case reports, a 2016 meta-analysis of 5 cohort  
173 studies and 74 case reports (with only 7 SOT recipients identified) found that mirtazapine had  
174 no effect on clinical outcome, which was largely driven by the presence of immunosuppression,  
175 hematological malignancy, or transplant<sup>13</sup>. Nonetheless, the dismal prognosis of PML and the  
176 generally benign safety profile of mirtazapine prompted us to administer it to our patient as  
177 adjunctive treatment, though it did not lead to any clinical improvement and in fact may have  
178 contributed to increased somnolence when she developed IRIS.

179 Due to the absence of any effective antiviral agent to treat JC virus infections, there has  
180 been immense interest in the use of immunomodulatory therapy to treat PML. For instance,  
181 interleukin-7 in combination with a JC virus capsid vaccine resulted in CSF JC viral load  
182 clearance, MRI improvement, and clinical stabilization of 2 patients with primary or acquired  
183 CD4 lymphopenia, though neither of these agents are readily available, and their benefit  
184 remains speculative<sup>14</sup>. One potential drug target is programmed cell death protein 1 (PD-1),  
185 which is a negative regulator of the immune response that is upregulated on CD4<sup>+</sup> and CD8<sup>+</sup>  
186 cells in patients with PML, and which may contribute to impaired JC viral clearance<sup>15</sup>. In a  
187 recent study of 8 patients with PML (4 with hematological malignancies, 2 with HIV, and 2 with  
188 idiopathic lymphopenia) treated with the PD-1 inhibitor pembrolizumab, 5 patients had varying  
189 degrees of clinical, virological, and radiographic improvement or stabilization, which correlated  
190 with the emergence of a strong anti-JC virus cell-mediated immune responses<sup>15</sup>. One patient  
191 had already improved prior to receiving pembrolizumab, and 2 (one with idiopathic lymphopenia  
192 and one with non-Hodgkin's lymphoma) deteriorated despite receiving it. No patient in this  
193 cohort developed IRIS. Larger randomized trials are required to determine the effect of  
194 pembrolizumab on the natural history and prognosis of PML.

195 Allogeneic adoptive JC virus-specific T-cell transfer is another emerging treatment  
196 modality. In a recent small study, investigators administered third-party, closely HLA-matched  
197 BK-virus-specific T cells to 3 patients with PML (1 with a cord blood transplant, 1 with

198 myeloproliferative disorder, and 1 with advanced HIV)<sup>16</sup>. Results were mixed, with 1 patient  
199 achieving complete clinical, radiographic, and virological remission, and 2 only achieving a  
200 partial response. In addition, one of these patients had virological improvement but clinical  
201 deterioration and was thus transitioned to hospice. The latter 2 patients developed IRIS, which  
202 was thought to have been directly mediated by the virus-specific T cells. Larger studies are  
203 clearly needed to confirm the efficacy of this approach and to ascertain the true incidence and  
204 consequences of IRIS with adoptive T-cell transfer. In addition, certain challenges related to the  
205 development of adoptive T cell therapies (e.g., donor availability, HLA matching, and product  
206 generation time) must be overcome for such a treatment modality to become commonplace<sup>17</sup>. A  
207 clinical trial of adoptive T-cell therapy for PML is underway (NCT02694783).

208 Diagnostic evaluation of PML includes neuroimaging and CSF analysis for the detection  
209 of JC virus DNA, though large studies of the performance of the JC virus CSF PCR among SOT  
210 recipients have not been performed. Studies of HIV-infected individuals with PML have shown  
211 that PCR has a sensitivity and specificity of 72-92% and 92-100%, respectively<sup>18-23</sup>. However,  
212 PCR is less sensitive in patients receiving ART vs those who are ART naïve (59% vs 90%  
213 sensitivity, respectively), which is thought to be a marker of heightened immune reconstitution  
214 among persons on ART, resulting in reduced JC viral replication<sup>24</sup>. Our patient had an extremely  
215 low initial CSF JC virus copy count of less than 10 copies/mL. Indeed, the CSF viral load can  
216 vary widely, from 10<sup>2</sup> copies/mL to greater than 10<sup>7</sup> copies/mL<sup>24</sup>, though more pronounced  
217 extremes have also been described. For instance, in one study of 28 patients with natalizumab-  
218 associated PML, 50% of patients had CSF JC virus viral loads of less than 500 copies/mL when  
219 performed by a commercial assay<sup>25</sup>, with a median of 62.5 copies/mL (range 0 – 500  
220 copies/mL). Furthermore, CSF JC viral loads were markedly different when performed using a  
221 more sensitive assay at the National Institutes of Health research laboratory. Inter-lab variability  
222 in JC virus PCR performance is expected to vary, due to differences in the platforms, primers,  
223 and amplified DNA regions used. Thus, as is the case with our patient, low and even negative  
224 JC virus CSF viral loads may be misleading but do not rule out PML, and false negative results  
225 do rarely occur<sup>18</sup>. In such situations in which CSF testing is negative or equivocal, brain biopsy  
226 can be used to definitively prove the diagnosis<sup>18</sup>. However, despite the low-positive initial CSF  
227 JC virus PCR in our patient, the clinical and radiographic findings were all compatible with PML,  
228 obviating the need for a brain biopsy during the initial stage of her illness. False positive JC  
229 viral loads have been rarely described among patients with multiple sclerosis, occurring at rates  
230 of 1-5%<sup>26,27</sup>.

231 IRIS is a severe complication of PML and is characterized by infiltration of the brain with  
232 activated T cells, resulting in brain edema, mass effect, herniation, and death<sup>4,6</sup>. PML-IRIS is  
233 well-described in HIV-infected individuals receiving ART<sup>5</sup>, as well as in patients with  
234 natalizumab-related PML after the discontinuation of natalizumab and initiation of  
235 plasmapheresis<sup>28</sup>. In contrast, PML-IRIS after SOT has been infrequently reported<sup>2</sup> and as  
236 demonstrated by our case can be precipitated by even a slow taper of immunosuppression.  
237 Data on management are sparse, and while steroids have been used in HIV-related PML-IRIS,  
238 their benefit is unproven<sup>28</sup>. We were only able to identify two other cases evaluating the  
239 treatment of PML-IRIS after SOT. In a report of a liver transplant recipient, the diagnosis was  
240 made based on paradoxical worsening of the patient's MRI findings after reduction of  
241 immunosuppression, and the patient survived after a steroid taper<sup>29</sup>. The other patient was a  
242 lung transplant recipient whose CD4 count increased and MRI findings worsened after reduction  
243 of immunosuppression. She was treated with mefloquine without steroids, and she did not  
244 survive<sup>2</sup>. Our patient had a favorable outcome after an 11-week steroid taper.

245 The diagnosis of PML-IRIS remains challenging. Gadolinium-enhanced brain MRIs can  
246 show enlarged white matter lesions, commonly with contrast enhancement due to local  
247 inflammation and breakdown of the blood-brain barrier<sup>3,4</sup>. We avoided gadolinium in our patient  
248 due to her progressively worsening renal disease and risk of nephrogenic systemic fibrosis,  
249 although contemporary data suggest that this risk is low with newer gadolinium agents<sup>30</sup>. Brain  
250 biopsy can reveal characteristic pathological findings for both PML and PML-IRIS<sup>4</sup>. Given the  
251 inherent risks of a brain biopsy and our reluctance to pursue a contrast enhanced MRI due to  
252 poor renal function, we performed a thallium-SPECT to attempt to differentiate PML from PML-IRIS  
253 or another neoplastic process. Indeed, the 2013 American Academy of Neurology  
254 (AAN) consensus recommendations on PML diagnostic criteria acknowledge that the presence  
255 of increased thallium uptake is typically seen in CNS lymphomas but not usually in PML<sup>31</sup>. Their  
256 recommendations are based on a single-center study of 8 patients with advanced HIV, 6 of  
257 whom had PML and 2 of whom had primary CNS lymphoma, wherein SPECT studies showed  
258 lack of uptake among all patients with PML but intense uptake among both patients with  
259 lymphoma<sup>9</sup>. However, the AAN considers its utility to be limited, given the widespread use of  
260 contrasted MRI imaging and the presence of a few false positive results in the literature<sup>32</sup>. Our  
261 patient's thallium-SPECT showed avid thallium uptake, which may have been confounded by  
262 the concomitant presence of IRIS. To our knowledge, this is the first report of a thallium SPECT  
263 scan in a patient with PML-IRIS. Indeed, the literature on thallium scans has focused mainly on  
264 differentiating PML and not PML-IRIS from malignancy, and the performance of thallium-SPECT



265 in an inflammatory state like PML-IRIS is unknown. We hypothesize that the reason for the  
266 positive SPECT was because at the time it was obtained, the patient had PML-IRIS and not  
267 simply PML, with lesions in the former expected to be inflamed and hyper-metabolic, in contrast  
268 with lesions in the latter. More research is needed to determine the accuracy of thallium-  
269 SPECT as a diagnostic tool for both PML and PML-IRIS, particularly to reduce the need of brain  
270 biopsies.

271 In conclusion, PML remains a rare yet nearly universally fatal disorder in SOT.  
272 Contemporary data are required to define its current epidemiology, prognosis and the role of  
273 medical therapy, be it reduction of immunosuppression or hitherto unproven interventions.  
274 Large-scale studies of innovative agents such as PD-1 inhibitors and adoptive T cell therapy  
275 should also include transplant recipients. A high index of suspicion for PML-IRIS should be  
276 maintained in transplant recipient recipients with paradoxical worsening of their PML after  
277 reduction in immunosuppression. Characteristic MRI changes are suggestive of the diagnosis,  
278 while the performance of thallium-SPECT is largely unknown. A brain biopsy is usually  
279 diagnostic. Steroids may be lifesaving in cases of CNS IRIS, though data to support their use  
280 are limited.

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282

### 283 **Disclosures:**

284 The authors of this report have no conflicts of interest to disclose.

285

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**385 Author Contribution Statement:**

386 -EJ and GH were responsible for writing the initial drafts of the manuscript. EJ, AO, and GH  
387 responded to the reviewers' comments and contributed to the revisions. GH supervised all steps  
388 of manuscript preparation.

389 -NS, HC, AO, JD, AS, TB, and FPS were responsible for reviewing the article, suggesting  
390 modifications to the text, and for final approval of the manuscript.

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Figure 1: Timeline of events; PML, progressive multifocal leukoencephalopathy; IRIS, immune reconstitution inflammatory syndrome; MMF, mycophenolate mofetil.

Figure 2: Initial brain MRI without contrast. Sequences shown are T2 FLAIR images, demonstrating hyperintensities in the left temporal and parietal lobes and in the splenium of the corpus callosum.

420 Figure 3: Follow up brain MRI without contrast after clinical worsening. Sequences shows are  
421 T2 FLAIR, demonstrating interval progression of hyperintensities now with mass effect and  
422 spread to new brain regions.

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424 Figure 4: Thallium-SPECT showing increased thallium uptake in regions corresponding to  
425 abnormalities on prior MRI.

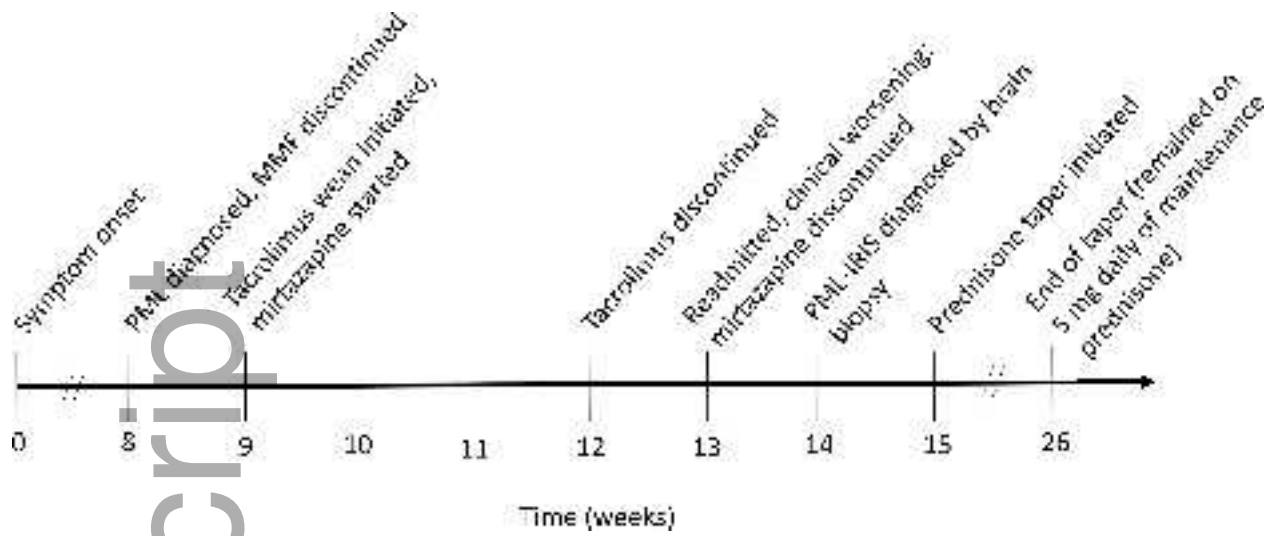
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427 Figure 5: Hematoxylin and eosin (H&E) stained section shows an oligodendrocyte with an  
428 enlarged nucleus containing a viral inclusion (arrow) in a demyelinated area containing reactive  
429 astrocytes (original magnification x400). A JC virus in situ hybridization (ISH) study shows  
430 scattered positive nuclei (original magnification x400).

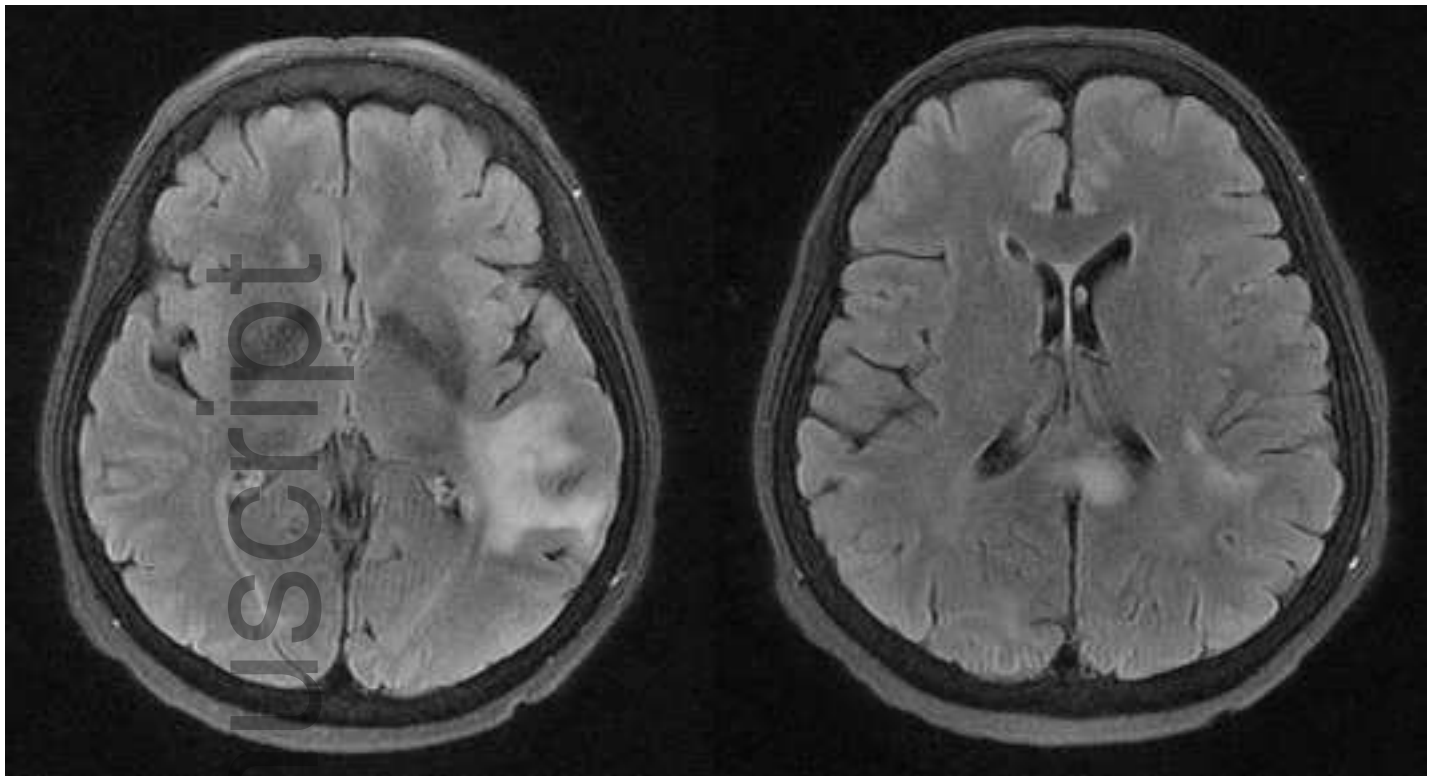
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432 Figure 6: H&E stained section shows a dense inflammatory infiltrate composed of T cells  
433 (CD3+) and plasma cells (CD138+) with only rare B cells (CD20+); original magnification x400.

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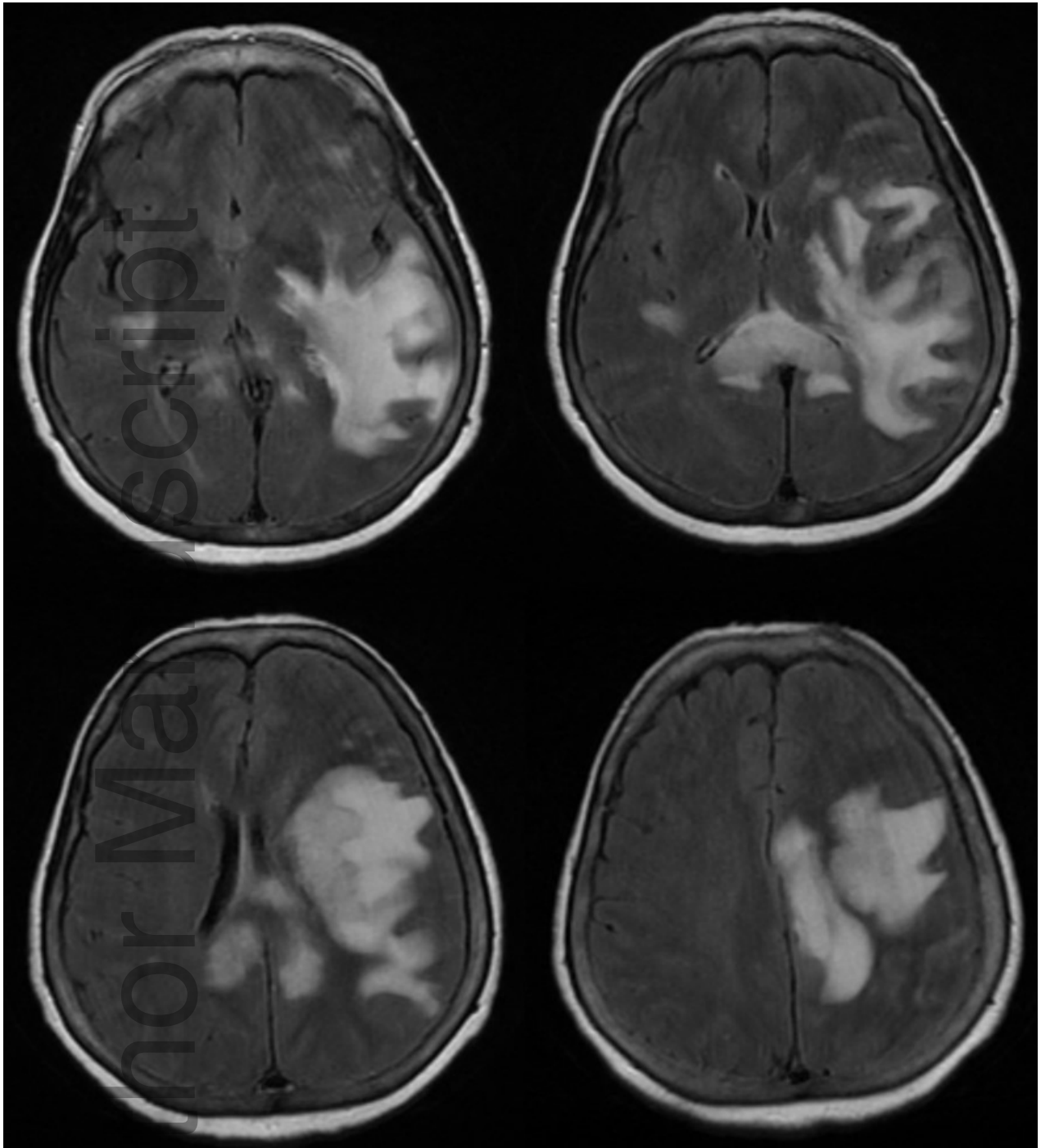
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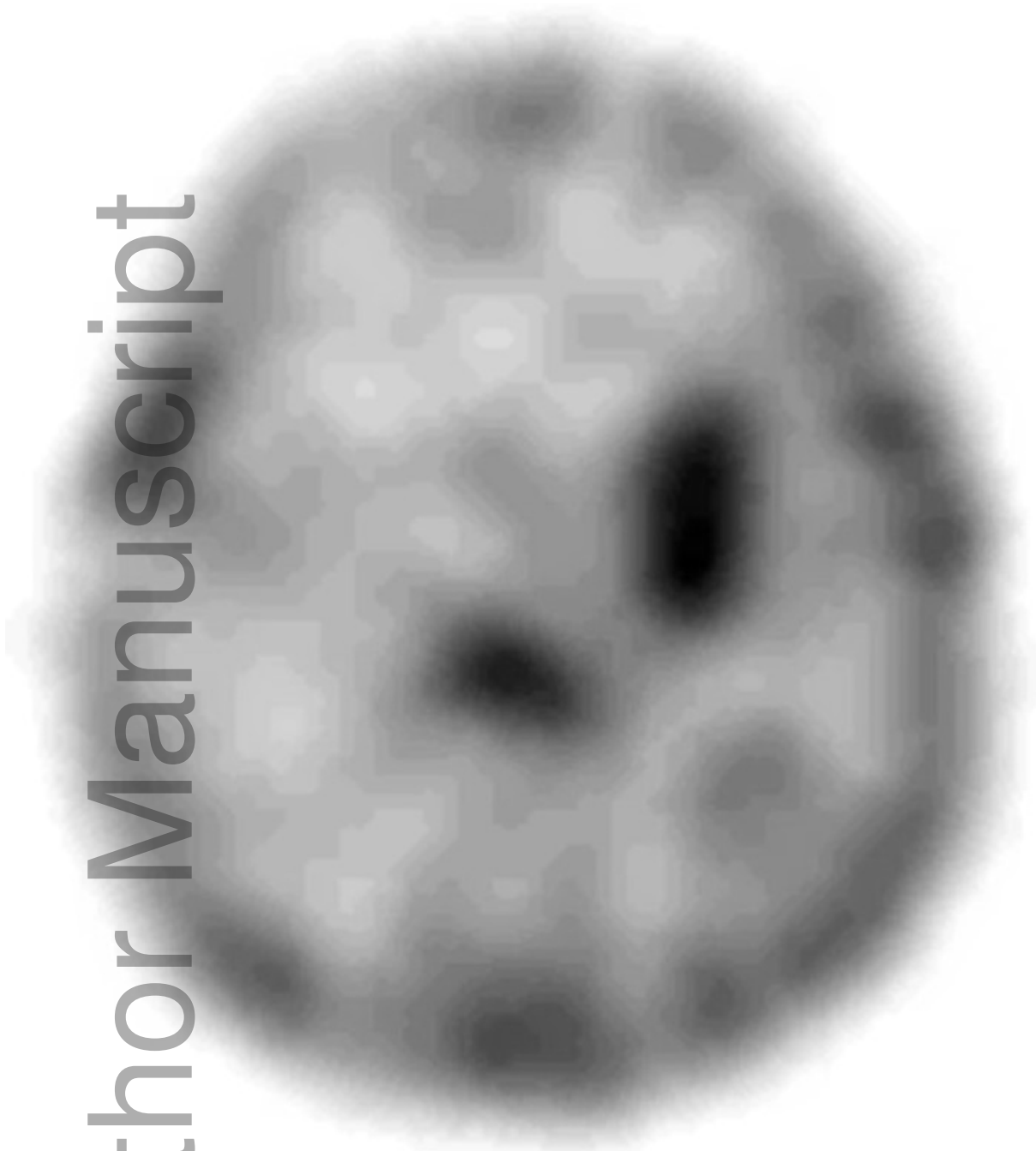
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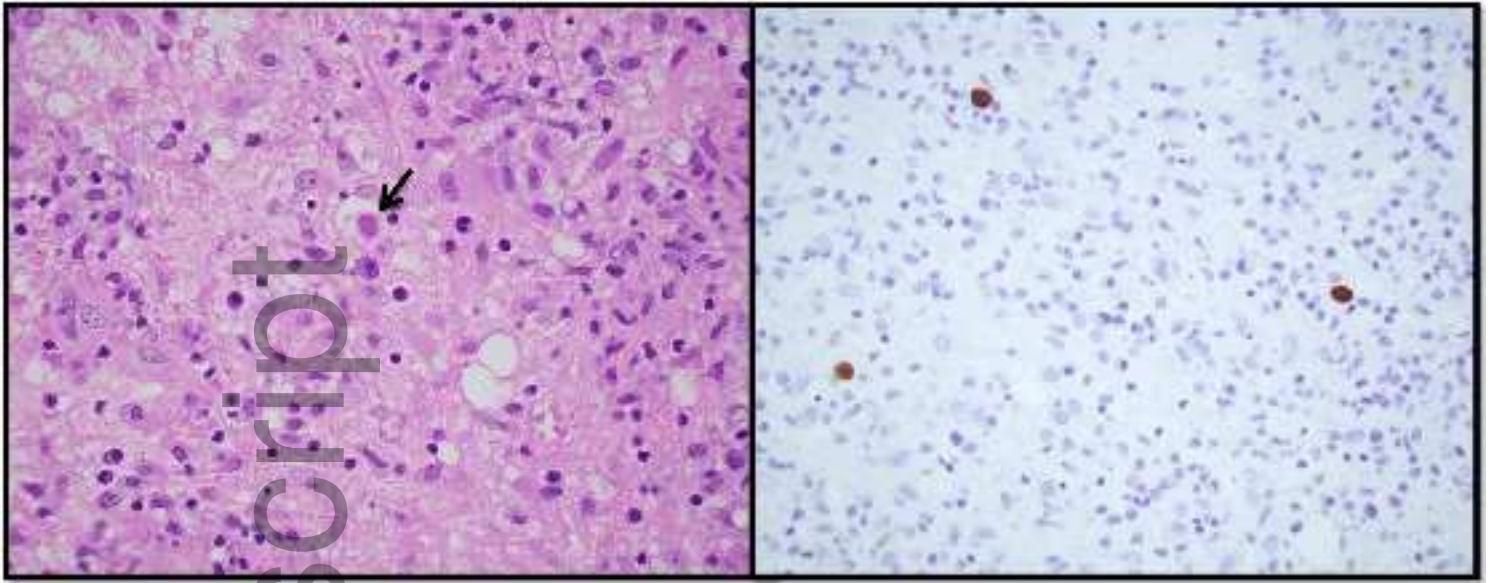


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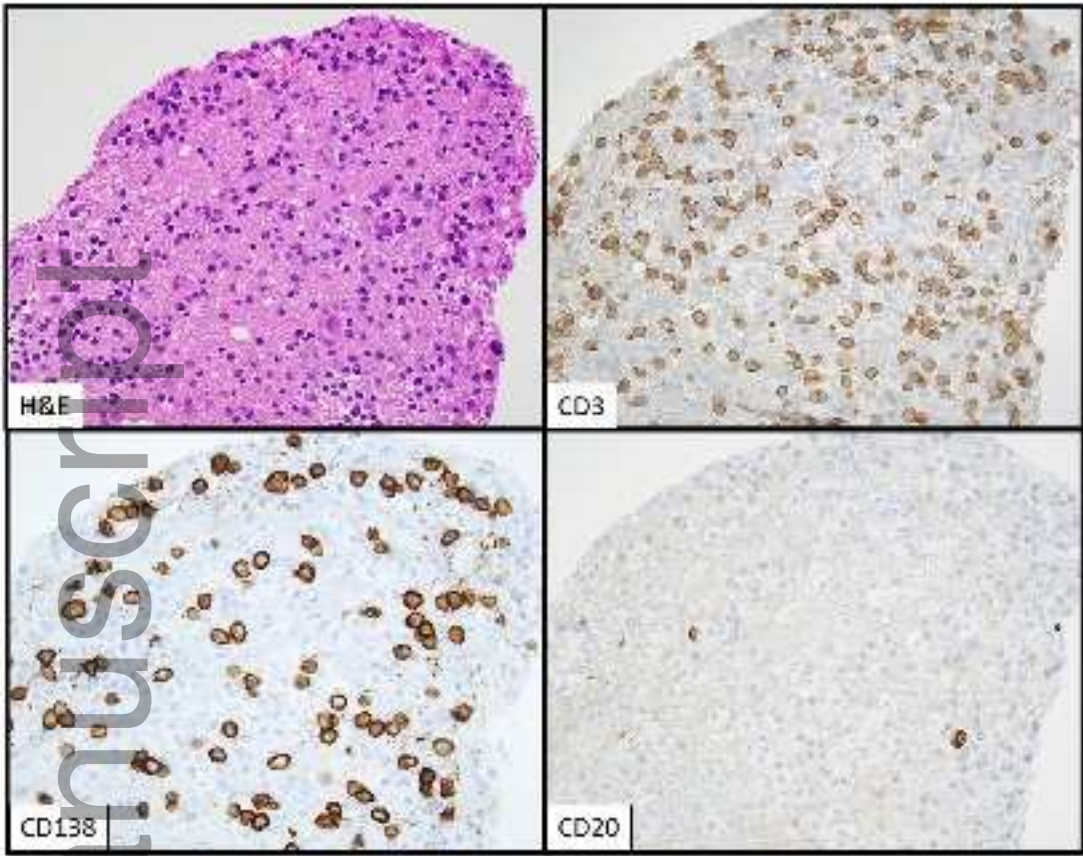


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