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Evaluating functional diversity conservation for freshwater fishes resulting from terrestrial protected areas

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Abstract

1. Protected areas are one of the hammers in conservation toolkits, yet few protected areas exist that were designed to protect freshwater ecosystems. This is problematic as freshwater ecosystems are among the most threatened systems on earth. Nonetheless, terrestrial protected areas (TPAs) may afford spillover benefits to freshwater ecosystems

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27 included within their boundaries, but evaluations of these potential benefits for the
28 protection of freshwater fish diversity are lacking.

29 2. Using fish community data from 175 lakes inside, outside, or bordering TPAs in Ontario,
30 Canada, we sought to determine if TPAs preserve fish functional diversity. We focused
31 on functional diversity because previous work indicated no taxonomic differences
32 between these lakes, but a difference in normalized-length size-spectra slopes inside
33 versus outside TPAs (indicator of unique predator-prey ratios and trophic energy
34 transfer). We expected that communities inside TPAs would show greater functional
35 diversity (i.e., functional dispersion and functional richness) and have more extreme trait
36 combinations (i.e., functional divergence) than communities outside or bordering TPAs.
37 As well, we tested for differences in the rarity of species-specific functional traits
38 between fish communities inside, outside, or bordering TPAs, between thermal guilds,
39 and across average body size and overall prevalence of the species.

40 3. Our results indicated no significant differences in functional diversity among lake fish
41 communities inside, outside, or bordering TPAs. However, fish communities inside TPAs
42 had more extreme trait combinations than outside TPAs because abundant species in lake
43 communities outside TPAs had more ubiquitous trait combinations than abundant fishes
44 inside TPAs.

45 4. Small-bodied species showed greater functional rarity than large-bodied species
46 indicating that small-bodied fishes fill functionally unique roles while the most prevalent,
47 large-bodied species possess a more generalist set of traits.

48 5. Overall, the similarity of functional diversity metrics for lake fish communities inside,
49 outside, or bordering TPAs in Ontario suggests that TPAs capture the functional diversity
50 of Ontario's lake fish communities. However, we encourage similar evaluations in
51 regions where environmental conditions and stressors are more distinct across TPA
52 boundaries than they are in Ontario, as these types of evaluations will inform guidelines
53 for the design of freshwater protected areas and monitoring of their effectiveness in the
54 future.

55 **1. Introduction**

56 Freshwater ecosystems provide society with many ecosystem services such as safe
57 drinking water, food, and places for recreation (Aylward et al., 2005); however, they are also

58 among the most threatened on our planet (Dudgeon et al., 2006; Carpenter et al., 2011; Dudgeon,
59 2014; Reid et al., 2018), risking the delivery of these services to society. Examples of the
60 disturbances impacting freshwater ecosystems include physical and chemical habitat degradation
61 (Smith et al., 1999; Arthington et al., 2016; Dugan et al., 2017), invasive species (Dextrase &
62 Mandrak, 2006), microplastics (Eerkes-Medrano et al., 2015), and global climate change (Poesch
63 et al., 2016; Myers et al., 2017). These disturbances can interact and their effects can accumulate
64 in downstream systems (Schindler, 2001; Jackson et al., 2016; Nöges et al., 2016). As a result,
65 extinction rates of freshwater biota are estimated to be 112 to 855 times higher than rates for
66 terrestrial species (Collen et al., 2014; Tedesco et al., 2017). For example, between 1896 and
67 2006, 57 North American freshwater fish species went extinct (Burkhead, 2012) and in Canada,
68 71 of the 207 native fish species are considered at risk by the Committee on the Status of
69 Endangered Wildlife in Canada (Dextrase & Mandrak, 2006; Lamothe et al., 2019). As such,
70 improvements to the protection of freshwater ecosystems and continued restoration is needed to
71 sustain future freshwater ecosystem services provisioning.

72 The need to protect and conserve freshwater ecosystems is particularly relevant in
73 Canada, which contains approximately seven percent of all the renewable liquid freshwater (i.e.,
74 lakes and streams) on Earth (Environment and Climate Change Canada, 2017a). Protection of
75 this resource is primarily achieved through federal legislation related to environmental protection
76 (e.g., *Canada Water Act*; Government of Canada, 1985a), fisheries (e.g., *Fisheries Act*;
77 Government of Canada, 1985b), and protection of species at risk of extinction (*Species at Risk*
78 *Act*; Government of Canada, 2002). Additionally, terrestrial protected areas (TPAs), or areas
79 where the land and water are protected for the purpose of conserving nature (Environment and
80 Climate Change Canada, 2017b), can provide protection for freshwater ecosystems (Dudley,
81 2008). For example, areas within TPAs have been shown to have more species than areas outside
82 of TPAs (Gray et al., 2016) and their designation can lead to fewer anthropogenic disturbances in
83 an area (Bruner et al., 2001). However, due to the connectivity of freshwater ecosystems,
84 occurrence of freshwater species within TPAs does not necessarily imply protection (Pimm et
85 al., 2014; Harrison et al., 2016).

86 Compared to terrestrial organisms, there have been relatively few studies investigating
87 the degree of protection TPAs may provide freshwater fishes. Chu et al. (2018) recently
88 demonstrated no statistically significant differences in species-level diversity (i.e., Shannon's

89 index) or catch-per-unit effort (CPUE) between fish communities inside, bordering, or outside
90 TPAs in Ontario, Canada. However, CPUE and species diversity was generally higher inside
91 TPAs than outside. In addition, the normalized-length size-spectra slopes, an indicator of
92 predator-prey ratios and trophic-energy transfer of fish communities, were significantly steeper
93 in fish communities outside TPAs compared to communities situated inside TPAs. This
94 difference in size spectra slope was attributed to a greater abundance (albeit statistically
95 insignificant) of small-bodied, pollution and turbidity tolerant species in lakes outside TPAs and
96 differences in turbidity and angling pressure in lakes outside versus inside TPAs. Chu et al.
97 (2018) concluded that taxonomic indicators may not be the most useful indicators for evaluating
98 the effectiveness of TPAs for protecting freshwater ecosystems.

99 In some cases, traditional taxonomic-based diversity metrics fail to respond to changes in
100 community structure resulting from environmental disturbances, for example, in the case of
101 species invasions (e.g., Sagouis et al., 2016). As such, incorporating multiple diversity metrics
102 into conservation strategies can provide a more robust and systematic framework for
103 conservation resource allocation (Strecker et al., 2011). Functional diversity metrics, for
104 example, relate the characteristics of individuals or species to the structures and functions of
105 ecosystems and have been used to identify mechanisms of biodiversity loss (Cardinale et al.,
106 2012) and vulnerability of biotic communities to future disturbance (Mouillot et al., 2014;
107 Lamothe et al., 2018a). Recent research suggests that although extinction rates for riverine fishes
108 are on the rise, functional diversity at the river-basin scale has increased by approximately 150%
109 because of the introduction of non-native species and their unique functional characteristics that
110 were historically absent, particularly in low-diversity systems (Toussaint et al., 2018).

111 Few studies have explored the effectiveness of conservation programs for protecting
112 functional diversity and the relative degree of protection that TPAs provide to freshwater fish
113 species. Britton et al. (2017) found that cichlid communities in water adjacent to TPAs had more
114 herbivorous and specialist species, and more similar fish assemblages than areas farther from the
115 TPAs. Similarly, Wilkinson et al. (2018) found greater local fish functional richness in
116 headwater streams surrounded by protected areas compared to streams coursing through logged
117 forests or oil-palm plantations. As such, our objectives were to determine if TPAs preserve
118 functional diversity of lake fish communities by comparing community-level and species-
119 specific functional diversity metrics between fish communities in lakes inside, outside, and

120 bordering TPAs. We expected that functional diversity (i.e., functional dispersion and functional
121 richness) may be more sensitive than taxonomic diversity (examined by Chu et al., 2018). Given
122 sufficient time since protection and stress outside of protected areas, we expected fish
123 communities inside TPAs to show greater functional diversity than communities outside or
124 bordering TPAs. Further, given the recent findings of Toussaint et al. (2018), we expected higher
125 functional divergence (i.e., more extreme trait combinations) outside TPAs compared to lake
126 communities inside or bordering TPAs.

127

128 **2. Methods**

129 *2.1 Study lakes*

130 Ontario, Canada spans a large geographic area, covering more than 100 million ha with
131 approximately 250,000 freshwater lakes (Lester et al., 2003). Four freshwater ecoregions are
132 represented in Ontario (Figure 1; St. Lawrence, Laurentian Great Lakes, Southern Hudson Bay,
133 and English-Winnipeg Lakes; Abell et al., 2008) with the Laurentian Great Lakes and St.
134 Lawrence ecoregions having the highest freshwater fish species richness in Canada (Chu et al.,
135 2015). Fish species richness in Ontario lakes ranges from 113 species in southern lakes to fewer
136 than 10 species in northern lakes (OMNRF, 2015). As with other north temperate regions of the
137 world, species richness and productivity are influenced by postglacial recolonization, climate,
138 lake morphometry, and water chemistry (Mandrak, 1995). Anthropogenic disturbances are
139 greatest along the shorelines of the Great Lakes and decrease at higher latitudes with relatively
140 pristine conditions in the central and northern regions of the province (Chu et al., 2015). There
141 are currently 661 federal or provincial TPAs in Ontario that cover approximately 10% of the
142 province (IUCN, UNEP-WCMC, 2016).

143 To control for the impacts of lake and regional environmental factors, which were not the
144 focus of this study but are known to impact fish diversity and abundance (e.g., Chu et al., 2016),
145 we paired lakes inside, bordering, and outside TPAs with similar abiotic characteristics. We used
146 the database of paired lakes and their respective fish communities from Chu et al. (2018) to
147 investigate differences in functional diversity characteristics inside, bordering, or outside TPAs
148 (Figure 1). ‘Inside’ lakes are completely contained inside a TPA, ‘outside’ lakes are completely
149 outside TPAs, and ‘border’ lakes are those with part, but not all, of their shoreline inside a TPA.
150 Lakes were paired using single-linkage nearest-neighbour cluster analysis based on total

151 precipitation, growing-degree days above 5°C, lake surface area, and mean depth (Table 1),
152 followed by three tests of robustness to confirm pairing decisions (Chu et al., 2018). The
153 selection process generated 40 pairs of lakes for inside-outside comparisons, 15 pairs of lakes for
154 the inside-border comparisons, and 42 pairs of lakes for border-outside comparisons for a total of
155 194 lakes. However, 16 lakes were paired twice in either inside-outside, inside-border, or border-
156 outside group, two were paired twice within the border-outside group, and one was paired twice
157 in the border-inside group. Therefore, the number of unique lakes was 175. Lake characteristics
158 varied widely across the 175 study lakes, with total annual precipitation ranging from 679 – 1135
159 mm, growing degree days above 5°C from 1234 – 2218, lake area from 33 to 17,402 ha, and
160 mean depths from 1.1 – 40.1 m.

161

162 2.2 Fish sampling

163 Fishes were sampled using the Ontario Ministry of Natural Resources and Forestry's
164 Broad-scale Monitoring for Inland Lakes protocol, which outlines standardized methods for
165 sampling fishes, invertebrates, water quality, and angler activities across lakes (see Sandstrom et
166 al., 2011). North American standard large-mesh multi-panel gill nets and Ontario standard small-
167 mesh multi-panel gill nets were used to catch large-bodied (e.g., Lake Trout *Salvelinus*
168 *namaycush* Salmonidae and Walleye *Sander vitreus* Percidae) and small-bodied fishes (e.g.,
169 Fathead Minnow *Pimephales promelas* Leuciscidae or juveniles of large-bodied species),
170 respectively. Gill nets were set following a randomized, depth-stratified design for
171 approximately 18-hour time intervals. Each sampled fish was identified to species, counted, and
172 total lengths were measured.

173

174 2.3 Functional trait space

175 Fish trait variables ($n = 17$) were gathered from various sources (Coker et al., 2001;
176 Frimpong & Angermeier, 2009; Holm et al., 2009; Eakins, 2017) to characterize the ecological
177 niche of each species (Givan et al., 2017; Lamothe et al., 2018a) including components of the
178 species' diet, modes of reproduction, substrate associations, and habitat-depth relationships
179 (Table 1). Diet traits were sourced from the literature and included binary variables representing
180 a preference for algae, phytoplankton, or filamentous algae, macrophytes and vascular plants,
181 detritus or unidentifiable vegetative matter, fish, crayfish, crabs, or frogs, and eggs of fish or

182 other organisms (Frimpong & Angermeier, 2009; Table 1). Mode of reproduction for each
183 species was characterized by two binary variables based on whether fishes guard their brood and
184 spawn on open substrate or hide or create nests for their brood (Table 1). Substrate associations
185 included seven binary variables indicative of preferences for muck substrate, clay or silt
186 substrate, sand substrate, gravel substrate, cobble substrate, boulder substrate, and bedrock
187 (Table 1). Habitat-depth relationships were measured in the field and represent the depth at
188 which species were caught including median depth, maximum depth, and minimum depth (Table
189 1). Finally, we calculated the average maximum total lengths (mm) of the top 5% of individual
190 fish captured per species after removing the top 2% (to remove any true or false giants that may
191 skew the distribution), and we retrieved data on the thermal preference of species (cold-water,
192 cold/cool-water, cool-water, cool/warm-water, and warm-water; Coker et al., 2001) for post-hoc
193 comparisons.

194 To build the functional trait space, we first performed four PCAs to combine traits from
195 within each of the four trait type categories (diet, substrate, habitat, and reproduction – Table 1)
196 into respective trait dimensions (Lamothe et al., 2018a). By combining the traits into their
197 respective trait dimensions, we place similar weights on the various trait types when building the
198 functional trait space. Hellinger transformations were performed on the trait data (Ochiai, 1957;
199 Hubálek, 1982; Legendre & Gallagher, 2001) and nontrivial axes were determined through
200 permutation analysis (Peres-Neto et al., 2003, 2005) where we permuted each column of the
201 Hellinger-transformed species composition matrix and performed subsequent PCAs 9,999 times
202 (Lamothe et al., 2018b). Axes were retained if the proportion of variance explained in the
203 empirical data exceeded 95% of the permuted PCAs for that component. In total, one axis was
204 extracted from each of the reproduction, diet, substrate, and habitat analyses, totaling four
205 dimensions for each species. We then performed a principal coordinates analysis (PCoA) on the
206 Euclidean distances of the four trait dimensions to define the functional trait space (Laliberté et
207 al., 2010; Winemiller et al., 2015).

208

209 *2.4 Functional diversity metrics*

210 We calculated three functional diversity metrics for each fish community: functional
211 dispersion (Anderson, 2006; Laliberté & Legendre, 2010), functional richness (Mason et al.,
212 2005; Villéger et al., 2008), and functional divergence (Mason et al., 2005; Villéger et al., 2008).

213 Functional dispersion is the average distance of each species in functional trait space to the
214 centroid of all species in a community (Laliberté & Legendre, 2010); greater functional
215 dispersion indicates a more functionally diverse community. Functional dispersion was
216 calculated using all available PCoA axes and were weighted by the relative CPUE of each
217 species. Functional richness was calculated as the convex hull area of each community (Villéger
218 et al., 2008), where greater functional richness indicates greater functional diversity. We
219 calculated a convex hull area using the first two axes of the PCoA because one of the lakes in the
220 analysis contained only three species, and therefore two axes is the maximum number of axes
221 that allows for the number of species to be greater than the number of traits (Villéger et al.,
222 2008). Finally, functional divergence describes the position of species relative to the border of
223 the functional trait space (Villéger et al., 2008) and provides a measure of how the abundance of
224 a community is distributed toward the extremities of occupied trait space (Mouchet et al., 2010;
225 Mason et al., 2012). Functional divergence approaches zero when abundant species are close to
226 the centre of functional trait space and it approaches one when abundant species are distant from
227 the centre of functional trait space (Mouillot et al., 2011). Functional divergence should increase
228 when niche complementarity enhances species' relative abundances (Mason et al., 2012).

229 We also calculated two species-specific measures of functional rarity from the Euclidean
230 distance trait matrix: functional distinctiveness and functional uniqueness (Violle et al., 2017).
231 Functional rarity describes the degree to which particular species possess traits that are rare or
232 unique to the assemblage. Functional distinctiveness (D) is calculated as the average distance of
233 each species to all other species within a local community, whereas functional uniqueness (U)
234 describes the distance of each species to the nearest neighbour within the regional species pool
235 (Buisson et al., 2013; Mouillot et al., 2013; Violle et al., 2017).

236

237 2.5 Statistical analyses

238 We used paired t -tests to test for differences in functional dispersion, functional richness,
239 and functional divergence between lakes inside versus outside TPAs, lakes inside versus
240 bordering TPAs, and lakes bordering versus outside TPAs. Significance was assessed at $\alpha = 0.01$
241 to account for multiple comparisons. Functional diversity can increase with species richness and
242 saturation in this relationship has been used as an indicator of functional redundancy (Micheli &
243 Halpern, 2005; Lamothe et al., 2018a). Therefore, we built generalized additive models (GAMs)

244 to investigate the relationship between functional dispersion, functional richness, and functional
245 divergence with species richness for lake communities inside, bordering, and outside TPAs
246 following the procedures of Rose et al. (2012). We fit interaction models of functional diversity
247 as:

$$248 \quad y_{ij} = \alpha_0 + \alpha_{1j} \text{Category}_{ij} + f_j(R_i) + \varepsilon_i, \varepsilon_i \sim N(0, \sigma^2)$$

250
251 where α_0 is the model intercept, α_1 is the difference between the mean response for the j th lake
252 category (communities inside, bordering, or outside TPAs) and $\alpha_0, f_j()$ are centered, smooth
253 functions of species richness (R) for the j th lake category, and ε are the Gaussian distributed
254 residuals with mean 0 and variance σ^2 . We compared the fitted smooth functions between lake
255 categories by first building a prediction matrix \mathbf{X}_p related to the fitted values of functional
256 diversity (\hat{y}_p) for a set of new data points \mathbf{p} . The rows of \mathbf{X}_p were then subtracted from one
257 another for pairwise comparisons between lakes inside, outside, or bordering TPAs.

258 Approximate 95% confidence intervals for the differences between pairs of smooth functions
259 were then generated and plotted (Rose et al., 2012); areas where the confidence interval overlaps
260 zero indicates no pairwise difference in smooth functions between lake categories.

261 We used the ‘FD’ (Laliberté & Legendre, 2010; Laliberté et al., 2014), ‘funrar’ (Grenié et
262 al., 2016; Grenié et al., 2017), ‘ggplot2’ (Wickham, 2009), mgcv (Wood, 2004, 2006), and
263 ‘vegan’ (Oksanen et al., 2018) packages in the R Statistical Software (R Core Team, 2018) for
264 graphing and analyses.

265 266 **3. Results**

267 *3.1 Taxonomic diversity*

268 A total of 71 species were sampled from the study lakes (Table 2). Fishes of the
269 Leuciscidae family (formerly Cyprinidae; Tan & Armbruster, 2018) were the most prevalent
270 family among the studied lakes with 19 species represented. On average, each lake contained
271 11.88 ± 3.98 SD species, with a minimum of three species per lake and a maximum of 24 species
272 (median: 12 species). Total CPUE per lake ranged from 11.72 to 1,478.52.

273 274 *3.2 Functional diversity*

275 Single axes were extracted from each of the reproduction, diet, substrate, and habitat
276 PCAs, explaining 80.0%, 40.9%, 32.4%, and 91.2% of the variation, respectively. To build our
277 multidimensional functional trait space, two axes were extracted from a PCoA of the four PCA
278 trait axes providing a moderate reduced-space quality (59.0% of the total variation; Figure 2).
279 Removing the single lake with three species (and its paired lake) from the analysis and using
280 three PCoA axes to build trait space did not change our results but produced a higher quality
281 functional trait space. We chose to include the three species lake community in the analysis
282 because three and four species lakes are common in the region. Fitting the PCA vectors to the
283 PCoA biplot indicated that the first component was primarily related to habitat depth and
284 reproduction traits while the second component was influenced by substrate and diet preferences
285 (Figure 2).

286 There were no significant differences in functional dispersion or functional richness
287 across pairwise comparisons of fish communities inside versus outside TPAs, inside versus
288 bordering TPAs, or bordering versus outside TPAs (Table 3). However, fish communities inside
289 TPAs had substantially higher functional divergence than fish communities outside TPAs,
290 indicating that fish communities inside TPAs may show greater niche complementarity than
291 communities outside TPAs.

292 No significant differences were found in the relationship between functional richness and
293 species richness or functional divergence and species richness across pairwise comparisons of
294 lakes that border TPAs, are inside TPAs, or are outside TPAs (Figures S1, S2). In contrast,
295 significant differences were observed in the relationships between functional dispersion and
296 species richness (Figure 3A); lake communities outside TPAs showed significantly greater
297 functional dispersion than lake communities bordering TPAs at species richness levels less than
298 12, and significantly lower functional dispersion at species richness greater than 12 (Figure 3C).
299 There were no differences in the functional dispersion and species richness relationship between
300 lake communities inside versus outside TPAs (Figure 3B) or bordering versus inside TPAs
301 (Figure 3D).

302 Species that were captured in the greatest number of lakes had the least distinct ($\beta = -0.55$
303 ± 0.10 SE; $t = -5.51$, $p < 0.001$; Figure 4A) and least unique ($\beta = -0.14 \pm 0.05$ SE; $t = -2.65$, $p =$
304 0.01 ; Figure 4D) ecological niches. Similarly, large-bodied species were less distinct ($\beta = -1.13 \pm$
305 0.30 SE; $t = -3.74$, $p < 0.001$; Figure 4B) than small-bodied species, but there was no significant

306 pattern observed between uniqueness and body size ($\beta = -0.09 \pm 0.16$ SE; $t = -0.59$, $p = 0.56$;
307 Figure 4E). Bridle Shiner *Notropis bifrenatus* (Leuciscidae), Threespine Stickleback
308 *Gasterosteus aculeatus* (Gasterosteidae), and Green Sunfish *Lepomis cyanellus* (Centrarchidae)
309 showed the highest average functional rarity across rarity measures (i.e., uniqueness and
310 distinctiveness; Figure 5) and were clustered on the negative end of the first functional trait space
311 axis (Figure 2). Bridle Shiner and Green Sunfish were only sampled inside TPAs and were rare
312 (average CPUE: 0.003 and 0.076, respectively), whereas Threespine Stickleback was only
313 sampled in a single lake bordering TPAs (CPUE: 0.250). In contrast, Trout-Perch *Percopsis*
314 *omiscomaycus* (Percopsidae), Cisco *Coregonus artedi* (Salmonidae), and Lake Whitefish
315 *Coregonus clupeaformis* (Salmonidae) showed the lowest functional rarity (Figure 5) and were
316 clustered on the positive side of the first component, near the origin (Figure 2). Trout-perch,
317 Cisco, and Lake Whitefish were among the most abundant species and occurred across all lake
318 types. There were no significant differences in uniqueness or distinctiveness across thermal
319 preference groups (distinctiveness: $F_{4,66} = 0.90$, $p = 0.47$; uniqueness: $F_{4,66} = 0.20$, $p = 0.94$;
320 Figure 4C, F).

321

322 4. Discussion

323 Similar to results based on taxonomic indices (Chu et al., 2018), we found few
324 differences in functional diversity of lake fish communities inside, bordering, or outside TPAs.
325 Of the differences we did find, our results indicated that lake fish communities inside TPAs had
326 greater functional divergence than communities outside TPAs, regardless of species richness.
327 This result indicates that, on average, abundant species in fish communities inside TPAs had
328 more extreme (i.e., divergent) trait values than abundant species outside TPAs. According to the
329 principle of limiting similarity (MacArthur & Levins, 1967), species with limited functional
330 overlap with the rest of an assemblage should increase in abundance within TPAs because they
331 are less limited by competition than species with common functions. For example, Mouillot et al.
332 (2008) observed increases in the abundance of functionally original fishes after protection of a
333 reserve in the Mediterranean Sea. In our study, the abundance distribution of species was more
334 heavily skewed in lakes outside TPAs compared to lakes inside TPAs and fish communities
335 inside TPAs also had greater Bray-Curtis dissimilarity than communities outside TPAs (by
336 pairwise permutational analysis of variance, not shown), suggesting greater differences in

337 community composition among lakes inside TPAs than outside. The greater abundances of
338 generalist species in lakes outside TPAs is similar to Britton et al. (2017) who found more
339 generalist species in areas of Lake Tanganyika that were farther from protected areas.

340 We also found differences in functional dispersion between lake communities bordering
341 versus outside TPAs, where in the most speciose lakes (>12 species), functional dispersion was
342 higher among fish communities in lakes bordering TPAs compared to those outside TPAs. Lakes
343 bordering TPAs had a similar taxonomic composition to lakes outside TPAs but had a higher
344 CPUE (Chu et al., 2018). As well, although not statistically significant, fish communities in lakes
345 bordering TPAs that contained 12 or more species had a greater mean convex hull volume (8.23
346 ± 0.08 SE) than fish communities in lakes outside of TPAs (7.79 ± 0.07 SE), indicating greater
347 spread across functional trait space in lakes bordering TPAs. It seems possible that species with
348 divergent trait values, which are abundant inside TPAs are spilling over (e.g., from marine
349 reserves; Roberts et al., 2001) in to hydrologically-connected lakes bordering TPAs leading to
350 these patterns, but this hypothesis has yet to be tested directly.

351 From a species-specific perspective, our results indicate that smaller-bodied species are
352 characterized by the greatest functional distinctiveness, that is, they fill functionally unique roles
353 in our study lakes. This makes intuitive sense given that smaller-bodied species are inherently
354 restricted by gape limitations and swimming capacity due to size alone. This result is in contrast
355 with Lamothe et al. (2018a), who found no differences in distinctiveness with average total body
356 length across fishes in Ontario lakes; however, the habitat traits used in our study, namely depth
357 and body size, are based on empirical measures from the field whereas Lamothe et al. (2018a)
358 used data based on literature sources, making comparisons difficult. Finally, our results
359 confirmed expectations that the most prevalent species across the studied lakes had the lowest
360 average functional distinctiveness and uniqueness, suggesting that common species possess a
361 more generalist set of traits, forming an average ecological niche.

362 There are several potential reasons why we found few differences in functional diversity
363 of lake fish communities inside, bordering, and outside TPAs. First, many of the lakes paired for
364 the inside and outside TPA comparisons are in areas of low disturbance and where
365 environmental conditions and anthropogenic stresses are similar across TPA boundaries (Chu et
366 al., 2015, 2018). This homogeneity makes the benefits of protections implemented in most TPAs
367 (see OMNR, 2011) less noticeable or only obvious if and when stresses (e.g., forestry or

368 industrial development) outside TPAs increase. Second, differences in functional diversity may
369 not be detectable as a result of our study design. Pairing lakes based on ecologically relevant
370 abiotic characteristics allowed us to examine the potential impact of TPAs while controlling for
371 other factors that are known to impact functional diversity, but also limited our sample size.
372 Third, functional diversity measures are influenced by which traits are included in their
373 calculation (Cadotte et al., 2011). Here, we chose to include traits related to diet, substrate, depth,
374 and reproduction to broadly encompass the ecological niche of fishes. Significant differences
375 may have been observed had we defined trait space differently. Finally, sampling bias may have
376 played a role in our inability to detect differences between lake communities. We found that the
377 smallest species were the most functionally distinct, but these species are also least likely to be
378 captured in the gill nets. Given their body sizes, they are not as likely as large-bodied species to
379 encounter the nets (Minns, 1995) and they are susceptible to only the smallest mesh sizes (13 and
380 19 mm stretch mesh) used in the BsM protocol. The effects of size-based sampling could be
381 magnified in abundance-weighted diversity metrics, but running our analysis with presence-
382 absence data did not change our results.

383 Functional similarity of fish communities inside and outside TPAs suggests that lakes
384 within designated TPAs capture representative samples of lake fish diversity and the services
385 these fishes provide. We suggest that functional diversity should be considered in future
386 monitoring of these lake communities as a means to assess the effectiveness of protection for fish
387 diversity. As fish species distributions and changes in relative abundance have already been
388 observed with climate change, it will be particularly interesting to document how increasing lake
389 temperatures impact functional diversity (Chu et al., 2005; Alofs et al., 2014; Hansen et al.,
390 2017). Based on our results, and those of Lamothe et al. (2018a), there seems to be little
391 relationship between functional rarity and thermal preference in Ontario lake fishes. However,
392 we found small-bodied species showed the greatest functional rarity and large, common species
393 showed the most average functional niches. Of the 21 species in Ontario listed for protection
394 under the federal Canadian *Species at Risk Act* (Government of Canada 2002) as *Special*
395 *Concern*, *Threatened*, *Endangered*, or *Extirpated*, nine (~43%) have an average total length of
396 less than 10 cm (Holm et al., 2009); this includes Bridle Shiner and Deepwater Sculpin
397 *Myoxocephalus thompsonii* (Cottidae) which were sampled in the studied lakes. Taken together,

398 conservation of small-bodied species should be prioritized to maintain the functional diversity of
399 Ontario lakes.

400 Almost 15% of the terrestrial landscape is protected (World Bank Group, 2019), yet few
401 explicitly freshwater protected areas have been established worldwide (Saunders et al., 2002).
402 Although we detected few differences in functional diversity, we encourage similar evaluations
403 in high contrast areas where environmental conditions and stressors are distinct across TPA
404 boundaries and in different types of freshwater ecosystems. For example, Wilkinson et al. (2018)
405 found greater local species richness, greater functional richness, and no difference in functional
406 divergence in protected streams in Borneo. This was driven by the presence of several endemic,
407 specialist species in streams within protected areas, which is not consistent with our findings for
408 lakes. More evaluations of the effectiveness of existing protected areas for freshwater
409 ecosystems will improve our understanding of the benefits and shortcomings of them and inform
410 the development of guidelines for freshwater protected area designs and for monitoring their
411 ecosystem services and success in the future.

412

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418

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420

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694 **Figure Captions**

695 **Figure 1.** Location of the 175 study lakes sampled to compare the functional diversity of fish
696 assemblages in Ontario, Canada. Dark borders within Ontario demarcate the four freshwater
697 ecoregions represented in the province.

698 **Figure 2.** Functional trait space generated from a principal coordinates analysis of species traits.
699 Species codes are presented in Table 2.

700 **Figure 3.** A) Fitted generalized additive model for functional dispersion and species richness for
 701 lakes bordering, outside, and inside of terrestrial protected areas (TPAs). B) Difference between
 702 fitted smooth functions (difference in trends; solid line) and approximate 95% pointwise
 703 confidence intervals (shaded region) on this difference for functional dispersion and species
 704 richness between lake communities inside and outside of TPAs, C) bordering and outside of
 705 TPAs, and D) bordering and inside of TPAs.

706 **Figure 4.** Functional distinctiveness (A-C) and uniqueness (D-F) across log-transformed species
 707 prevalence, log-transformed average maximum total length (cm), and thermal preference groups.

708 **Figure 5.** Functional rarity measures for 71 observed species. Species are ordered based on the
 709 average of the scaled and centered functional rarity measures (i.e., uniqueness, distinctiveness)
 710 across lake communities.

Table 1. Lake characteristics and fish traits descriptions.

<i>Variable</i>	<i>Units/Variable type</i>	<i>Description</i>
Lake characteristics		
Surface area	ha	Surface area of lake
Mean depth	m	Mean depth of lake
Total precipitation	mm	Total precipitation based on 1981-2010 climate normals
Growing degree days	Continuous	Growing degree days above 5°C based on 1981-2010 climate normals
TDS	mg/L	Concentration of total dissolved solids
Elevation	m	Mean elevation of lake
Depth		
Mean depth	m	Mean depth of species in water column
Maximum depth	m	Maximum depth of species in water column
Minimum depth	m	Minimum depth of species in water column
Diet		
Algae	Binary	Feeds on algae, phytoplankton, or filamentous algae
Macrophyte	Binary	Feeds on macrophytes and vascular plants
Detritus	Binary	Feeds on detritus or unidentifiable vegetation
Fish	Binary	Feeds on larger fish, crayfish, frogs

Eggs Binary Feeds on eggs of fish, frogs, etc.

Substrate

Muck Binary Associates with muck substrate
 Clay/silt Binary Associates with clay or silt substrates
 Sand Binary Associates with sand substrate
 Gravel Binary Associates with gravel substrate
 Cobble Binary Associates with cobble substrate
 Boulder Binary Associates with boulder substrate
 Bedrock Binary Associates with bedrock substrate

Reproduction

Guarder Binary Guards or does not guard brood
 Spawning substrate Binary Open substrate spawners vs hiding or nesting

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Table 2. Species captured across study lakes and their thermal preference group (Coker et al., 2001) and average maximum total lengths (TL; cm) of the top 5% of individual fish captured per species after removing the top 2%. Temperatures defining the thermal groups are; cold (<19 °C), cool (19-25 °C), and warm (>25 °C) with cold/cool and cool/warm species having thermal preferences that straddle the boundaries.

Genus	Species	Common name	Spp. code	Thermal group	TL
<i>Acipenser</i>	<i>fulvescens</i>	Lake Sturgeon	LaStu	cold/cool	90.8
<i>Alosa</i>	<i>pseudoharengus</i>	Alewife	Ale	cold	19.7
<i>Ambloplites</i>	<i>rupestris</i>	Rock Bass	RoBa	cool	21.4
<i>Ameiurus</i>	<i>natalis</i>	Yellow Bullhead	YeBul	warm	32.9
<i>Ameiurus</i>	<i>nebulosus</i>	Brown Bullhead	BrBul	warm	31.2
<i>Amia</i>	<i>calva</i>	Bowfin	Bow	warm	63.4
<i>Catostomus</i>	<i>catostomus</i>	Longnose Sucker	LnSuc	cold	46.5
<i>Catostomus</i>	<i>commersonii</i>	White Sucker	WhSuc	cool	53.5
<i>Chrosomus</i>	<i>eos</i>	Northern Redbelly Dace	NoRD	cool/warm	7.9
<i>Coregonus</i>	<i>artedi</i>	Cisco	Cisco	cold	34.1
<i>Coregonus</i>	<i>clupeaformis</i>	Lake Whitefish	LaWhi	cold	54.5
<i>Cottus</i>	<i>bairdii</i>	Mottled Sculpin	MoScu	cold	7.5

<i>Cottus</i>	<i>cognatus</i>	Slimy Sculpin	SlScu	cold	7.4
<i>Cottus</i>	<i>ricei</i>	Spoonhead Sculpin	SpScu	cold	8.1
<i>Couesius</i>	<i>plumbeus</i>	Lake Chub	LaCh	cold	12.0
<i>Culaea</i>	<i>inconstans</i>	Brook Stickleback	BrSt	cool	4.7
<i>Cyprinus</i>	<i>carpio</i>	Common Carp	CoCar	warm	72.3
<i>Dorosoma</i>	<i>cepedianum</i>	Gizzard Shad	GiSh	cool	16.5
<i>Esox</i>	<i>lucius</i>	Northern Pike	NoPik	cool	82.8
<i>Esox</i>	<i>masquinongy</i>	Muskellunge	Musk	warm	86.3
<i>Etheostoma</i>	<i>exile</i>	Iowa Darter	IoDar	cool	6.0
<i>Etheostoma</i>	<i>nigrum</i>	Johnny Darter	JoDar	cool	6.2
<i>Fundulus</i>	<i>diaphanus</i>	Banded Killifish	BaKil	cool	7.2
<i>Gasterosteus</i>	<i>aculeatus</i>	Threespine Stickleback	ThrSti	cold	5.5
<i>Hiodon</i>	<i>alosoides</i>	Goldeye	Gold	warm	44.6
<i>Hiodon</i>	<i>tergisus</i>	Mooneye	Moon	cool/warm	28.6
<i>Ictalurus</i>	<i>punctatus</i>	Channel Catfish	ChCat	warm	72.8
<i>Labidesthes</i>	<i>sicculus</i>	Brook Silverside	BrSil	cool/warm	7.3
<i>Lepisosteus</i>	<i>osseus</i>	Longnose Gar	LnGar	warm	109.5
<i>Lepomis</i>	<i>cyanellus</i>	Green Sunfish	GrSun	warm	13.6
<i>Lepomis</i>	<i>gibbosus</i>	Pumpkinseed	Pump	warm	17.6
<i>Lepomis</i>	<i>macrochirus</i>	Bluegill	Blue	warm	18.1
<i>Lepomis</i>	<i>peltastes</i>	Northern Sunfish	NoSun	warm	13.6
<i>Lota</i>	<i>lota</i>	Burbot	Burb	cold/cool	55.4
<i>Luxilus</i>	<i>cornutus</i>	Common Shiner	CoShi	cool	13.1
<i>Margariscus</i>	<i>nachtriebi</i>	Northern Pearl Dace	NoPD	cold/cool	8.5
<i>Micropterus</i>	<i>dolomieu</i>	Smallmouth Bass	SMB	warm	44.0
<i>Micropterus</i>	<i>salmoides</i>	Largemouth Bass	LMB	warm	33.6
<i>Moxostoma</i>	<i>anisurum</i>	Silver Redhorse	SiRed	cool	57.2
<i>Moxostoma</i>	<i>macrolepidotum</i>	Shorthead Redhorse	ShRed	warm	50.2
<i>Moxostoma</i>	<i>valenciennesi</i>	Greater Redhorse	GrRed	cool/warm	51.0
<i>Myoxocephalus</i>	<i>thompsonii</i>	Deepwater Sculpin	DeScu	cold	9.5
<i>Notemigonus</i>	<i>crysoleucas</i>	Golden Shiner	GoShi	cool	14.5
<i>Notropis</i>	<i>atherinoides</i>	Emerald Shiner	EmShi	cool	8.9
<i>Notropis</i>	<i>bifrenatus</i>	Bridle Shiner	BrShi	cool	5.8
<i>Notropis</i>	<i>heterodon</i>	Blackchin Shiner	BcShi	cool/warm	6.5

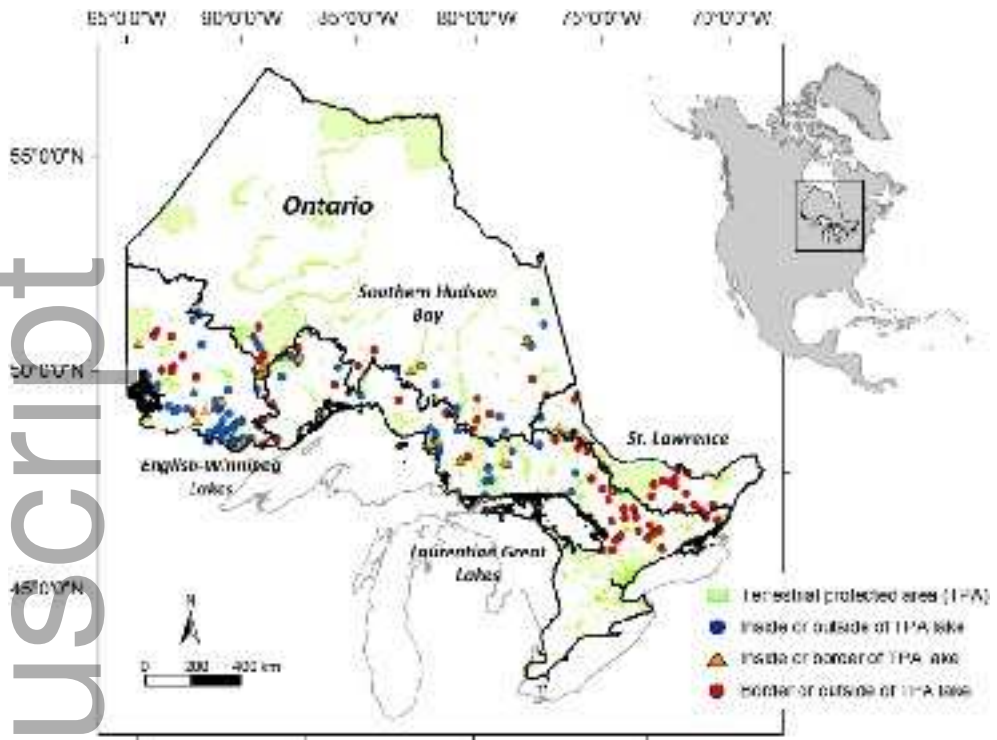
<i>Notropis</i>	<i>heterolepis</i>	Blacknose Shiner	BnShi	cool/warm	6.7
<i>Notropis</i>	<i>hudsonius</i>	Spottail Shiner	SpShi	cold/cool	9.4
<i>Notropis</i>	<i>rubellus</i>	Rosyface Shiner	RoShi	warm	6.6
<i>Notropis</i>	<i>stramineus</i>	Sand Shiner	SaShi	warm	8.8
<i>Notropis</i>	<i>volucellus</i>	Mimic Shiner	MiShi	warm	6.4
<i>Oncorhynchus</i>	<i>mykiss</i>	Rainbow Trout	RaTro	cold	38.5
<i>Oncorhynchus</i>	<i>tshawytscha</i>	Chinook Salmon	ChSal	cold	82.7
<i>Osmerus</i>	<i>mordax</i>	Rainbow Smelt	RaSm	cold	14.9
<i>Perca</i>	<i>flavescens</i>	Yellow Perch	YePer	cool	22.9
<i>Percina</i>	<i>caprodes</i>	Logperch	Log	cool/warm	8.1
<i>Percopsis</i>	<i>omiscomaycus</i>	Trout-Perch	TrPer	cold	9.2
<i>Pimephales</i>	<i>notatus</i>	Bluntnose Minnow	BnMin	warm	7.3
<i>Pimephales</i>	<i>promelas</i>	Fathead Minnow	FaMin	warm	7.7
<i>Pomoxis</i>	<i>nigromaculatus</i>	Black Crappie	BlCra	cool	24.7
<i>Prosopium</i>	<i>cylindraceum</i>	Round Whitefish	RoWh	cold	36.7
<i>Pungitius</i>	<i>pungitius</i>	Ninespine Stickleback	NiSti	cold	6.0
<i>Rhinichthys</i>	<i>atratus</i>	Blacknose Dace	BnDac	cool	4.7
<i>Rhinichthys</i>	<i>cataractae</i>	Longnose Dace	LnDac	cool	7.3
<i>Salvelinus</i>	<i>fontinalis</i>	Brook Trout	BrTro	cold	43.3
<i>Salvelinus</i>	<i>namaycush</i>	Lake Trout	LaTro	cold	72.5
<i>Sander</i>	<i>canadensis</i>	Sauger	Saug	cool	37.9
<i>Sander</i>	<i>vitreus</i>	Walleye	Wall	cool	64.2
<i>Semotilus</i>	<i>atromaculatus</i>	Creek Chub	CrCh	cool	17.7
<i>Semotilus</i>	<i>corporalis</i>	Fallfish	Fall	cool	42.4
<i>Umbra</i>	<i>limi</i>	Central Mudminnow	CeMud	cool/warm	9.1

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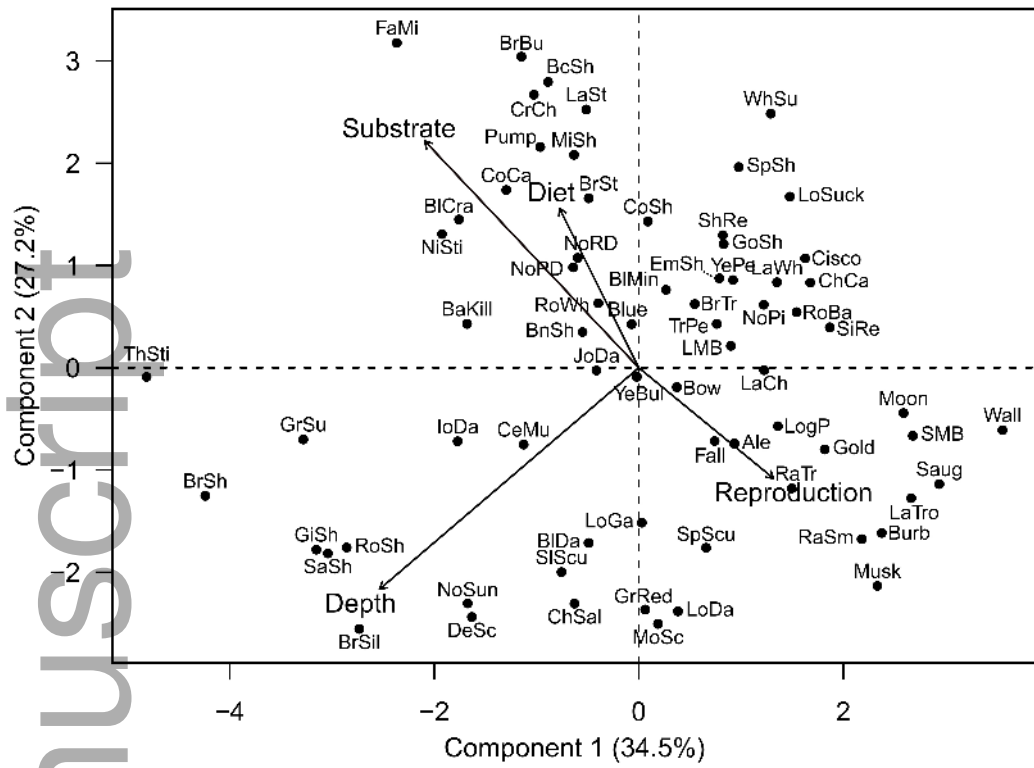
Table 3. Summary statistics and paired *t*-test results for functional dispersion, functional evenness, and functional richness for lakes inside versus outside of TPAs, inside versus bordering TPAs, and bordering versus outside of TPAs.

	<i>Functional dispersion</i>	<i>Functional divergence</i>	<i>Functional richness</i>
Inside versus outside (<i>n</i> = 40)			
Mean inside (SD)	1.07 (0.27)	0.66 (0.17)	5.13 (2.62)

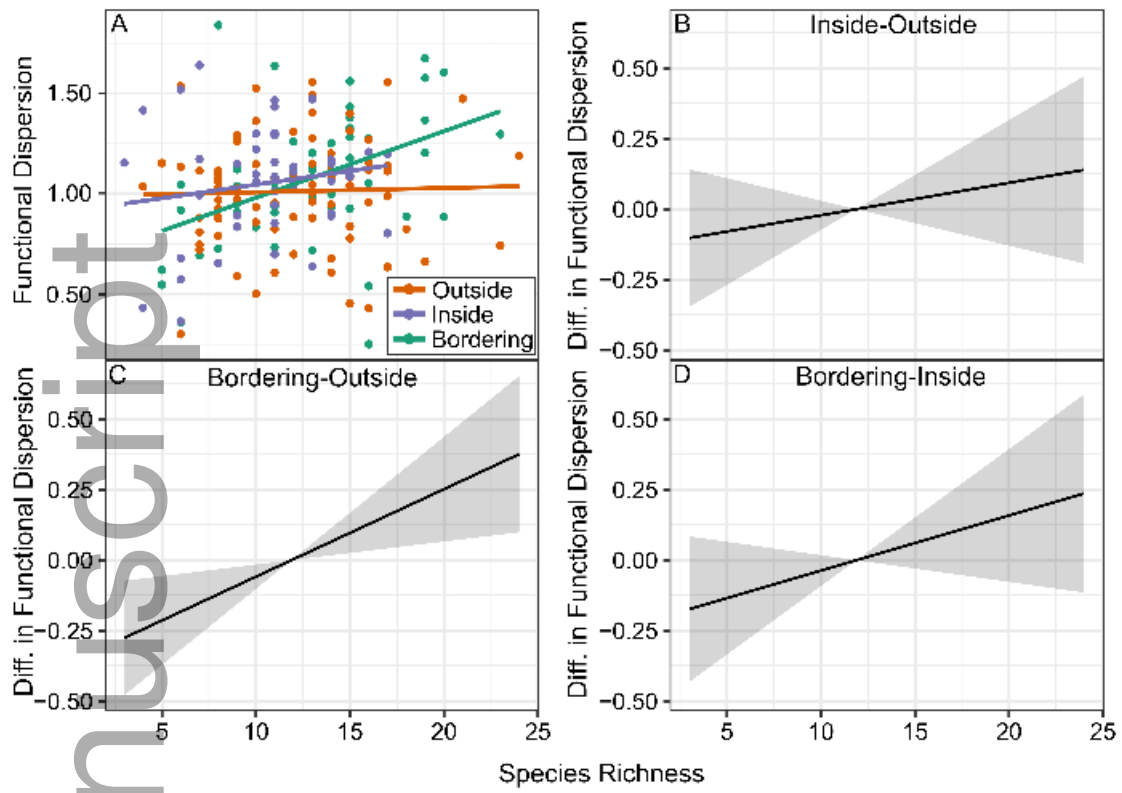
Mean outside (SD)	0.96 (0.26)	0.60 (0.15)	5.59 (2.97)
Mean difference	0.10	0.06	0.46
<i>t</i>	1.68	2.03	0.86
<i>p</i> -value	0.10	0.05	0.40
Inside versus bordering (<i>n</i> = 15)			
Mean inside (SD)	1.02 (0.27)	0.66 (0.16)	4.82 (1.97)
Mean bordering (SD)	1.06 (0.27)	0.67 (0.10)	5.43 (2.41)
Mean difference	0.04	0.01	0.62
<i>t</i>	0.43	0.21	1.41
<i>p</i> -value	0.67	0.84	0.18
Bordering versus outside (<i>n</i> = 42)			
Mean bordering (SD)	1.07 (0.34)	0.60 (0.13)	6.59 (2.68)
Mean outside (SD)	1.06 (0.28)	0.63 (0.14)	6.72 (2.73)
Mean difference	0.01	0.03	0.13
<i>t</i>	0.23	1.02	0.30
<i>p</i> -value	0.82	0.31	0.77



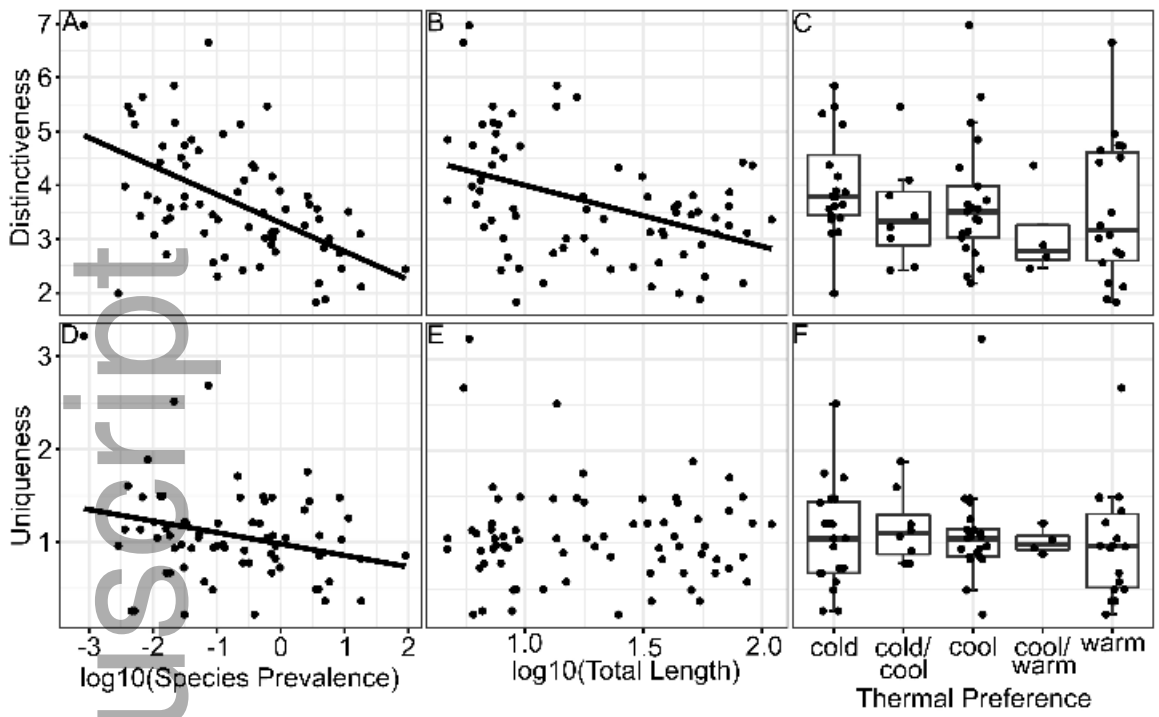
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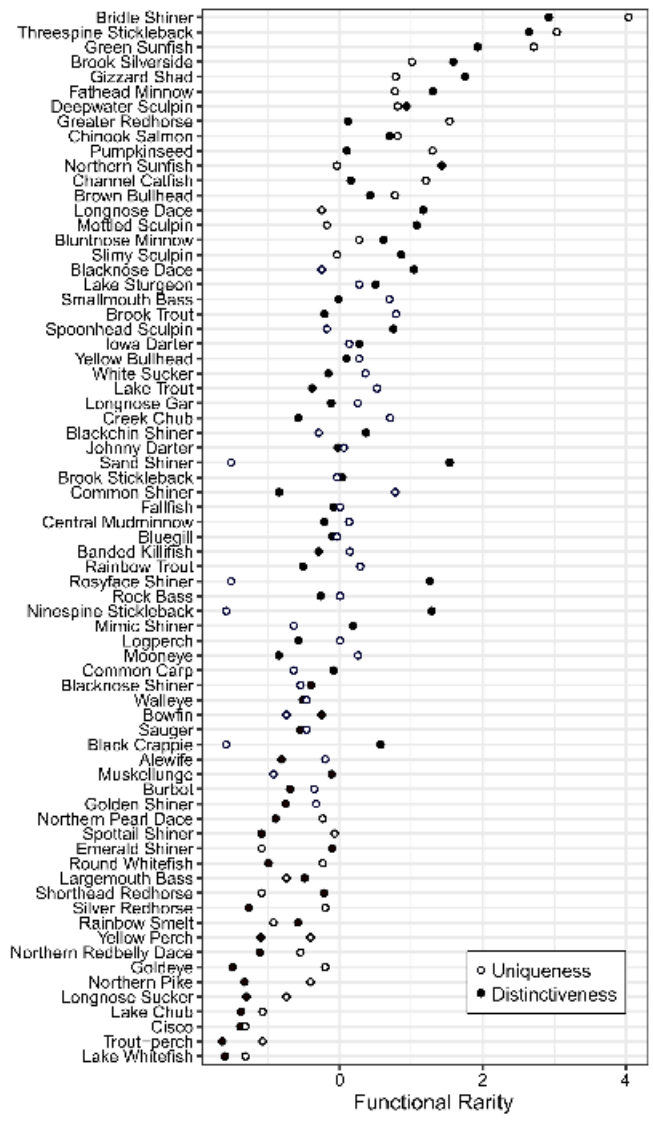
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