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35 Short title: Microbiota enhances oral vaccination via Nod2 and IL-1β

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This manuscript includes total 7 figures and 3 supplementary figures. Summary

37 The role of symbiotic bacteria in the development of antigen-specific immunity remains poorly understood. Previous studies showed that sensing of symbiotic bacteria by 38 39 nucleotide-binding oligomerization domain containing protein 2 (Nod2) regulates antibody 40 responses in response to nasal immunization with antigen and cholera toxin (CT). In this 41 study, we examined the role of the microbiota in the adjuvant activity of CT induced after oral immunization with antigen. Germ-free mice showed impaired production of antibody 42 43 responses and T cell-specific cytokines after oral immunization when compared to that 44 observed in conventionally raised mice. Similar to germ-free mice, Nod2-deficient mice 45 showed reduced humoral responses upon oral immunization with antigen and CT. 46 Treatment with CT enhanced the production of IL-1 $\beta$ , but not TNF- $\alpha$  or IL-12p40, induced

47 by stimulation of dendritic cells with muramyl dipeptide, the Nod2 ligand. Mechanistically,

48 the enhanced production of IL-1 $\beta$  induced by MDP and CT stimulation required Nod2 and

49 was mediated by both increased syntheses of pro-IL-1 $\beta$  and caspase-1 activation.

50 Furthermore, antigen-specific antibody and cytokine responses induced by CT were

51 impaired in orally immunized IL-1 $\beta$ -deficient mice. Collectively, our results indicate that

52 Nod2 stimulation by symbiotic bacteria contributes to optimal CT-mediated antigen-

53 specific oral vaccination through the induction of IL-1 $\beta$  production.

54

55 **Keywords:** Microbiota; Symbiotic bacteria; Cholera toxin; Adjuvant; Nod2; IL-1β

#### 56 Introduction

Cholera toxin (CT), an enterotoxin secreted by the bacterium Vibrio cholerae, is 57 responsible for the massive diarrhea characteristic of cholera infection.<sup>1</sup> The CT protein 58 59 complex is composed of one A subunit and five B subunits. The A subunit exhibits ADP-60 ribosyltransferase activity that activates the guanine-nucleotide binding protein Gs $\alpha$  and, in 61 turn, adenvlate cyclase, thereby increasing intracellular levels of cyclic adenosine 62 monophosphate (cAMP). In contrast, the B subunit plays a role in the binding of the toxin to GM1 ganglioside receptors found on the host cell surfaces.<sup>1-4</sup> CT is known to exhibit a 63 64 potent adjuvant activity, mainly linked to the activity of its monomeric A subunit.<sup>5</sup> 65 However, CT holotoxin is too toxic for human use.<sup>1</sup> Despite extensive studies on the 66 mechanism underlying the adjuvant activity of CT, the lack of sufficient understanding has 67 hampered the development of nontoxic, but effective adjuvants based on this toxin. CT is known to promote mucosal and systemic immune responses. Treatment with 68 CT drives naïve T cells toward  $T_{H2}$  type responses.<sup>6, 7</sup> However, other reports have shown 69 that the toxin also promotes  $T_H1$  and  $T_H17$  differentiation through a cAMP-dependent 70 71 pathway, which might be required for its adjuvant activity.<sup>8,9</sup> CT activates T cells by

inducing the production of cytokines from antigen-presenting cells (APCs) and

- 73 costimulatory molecules on the cell surface.<sup>10</sup> In addition, the toxin enhances the antigen
- 74 presentation capacity of APCs, an initial step in the adaptive immune response, through a

cAMP-dependent pathway.<sup>11, 12</sup> Collectively these studies show that the effect of CT on
APCs might be critical to its ability to promote adaptive immunity.

77 The microbiota plays an important role in the induction of immune cell populations, 78 development of gut-associated lymphoid tissues and protection against viral and bacterial infections.<sup>13, 14</sup> Moreover, the microbiota enhances immune responses induced by 79 80 unadjuvanted and inactivated influenza vaccines through stimulation of the Toll-like receptor (TLR)5 receptor.<sup>15</sup> In addition, symbiotic bacteria located in the nasal cavity 81 enhance antibody responses induced by nasal immunization with antigen and CT.<sup>16</sup> 82 83 Although the gastrointestinal tract is where most symbiotic bacteria reside, the contribution of the gut microbiota to oral vaccination remains unclear. 84

85 Members of the nucleotide-binding oligomerization domain (Nod)-like receptor 86 (NLR) family function as intracellular pattern recognition receptors (PRRs) to activate host 87 immunity in response to microbial products and damage-associated signals.<sup>17</sup> The NLR 88 family member Nod2 recognizes the muramyl dipeptide (MDP) motif which is conserved 89 in peptidoglycan produced by both Gram-negative and Gram-positive bacteria.<sup>18-20</sup> Upon stimulation, Nod2 activates nuclear factor (NF)-kB and mitogen activated protein kinases 90 91 (MAPKs) to induce expression of pro-inflammatory and antimicrobial molecules.<sup>18-21</sup> MDP 92 exhibits adjuvant activity and induces predominant  $T_{H2}$  responses and, in combination with TLR agonists, promotes the production of a high level of antigen-specific antibody in 93 mice.<sup>22, 23</sup> In addition, Nod2-mediated recognition of the microbiota plays a critical role in 94 95 the mucosal adjuvant activity of CT induced via the nasal route.<sup>16</sup> Here, we examined the 96 role of the microbiota and Nod2 in antibody responses induced by oral immunization with 97 antigen and CT.

98 Material and methods

99 Mice

Wild-type (WT), *Nod2<sup>-/-</sup>*, and *Ilb1<sup>-/-</sup>* mice on a C57BL/6 background were bred
and maintained under specific pathogen free (SPF) conditions at the University of Michigan
Animal Facility. C57BL/6 mice purchased from Orientbio Inc. (Seongnam, Korea) were

103 held under SPF conditions in an animal facility at Wide River Institute of Immunology of

104 Seoul National University College of Medicine. *Nod2<sup>-/-</sup>* mice were bred and held under

105 SPF conditions in an animal facility at Wide River Institute of Immunology of Seoul

106 National University College of Medicine. Germ-free (GF) C57BL/6 mice were bred and

107 maintained at the Germ-Free Animal Core Facility of the University of Michigan. Mice

108 were allocated randomly into experimental groups. We performed all experimental

109 procedures in accordance with protocols approved by the University Committee on Use and

110 Care of Animals at the University of Michigan and by the Institutional Animal Care and

- 111 Use Committee (IACUC) at the Seoul National University Hospital.
- 112
- 113 Reagents

CT (List Biological Laboratories), human serum albumin (HSA; Talecris 114 Biotherapeutics or Sigma), MDP (Bachem), Ultrapure LPS (InvivoGen), Pam3 115 116 (Pam3CSK4, InvivoGen), LTA (lipoteichoic acid, InvivoGen), PolyI:C (PolyI:C HMW, 117 InvivoGen), CpG (ODN 1826, InvivoGen), CT A subunit (List Biological Laboratories), 8-118 Br-cAMP (Sigma), N6-benzoyl-cAMP (BioLog Life Science Institute), 8-CPT-2'-O-Me-119 cAMP (Enzo life sciences), and H-89 (InvivoGen) were purchased from the indicated 120 commercial sources. IL-1ß antibody was purchased from R&D Systems and antibodies for 121 glyceraldehyde 3-phosphate dehydrogenase (GAPDH) and β-actin were obtained from 122 Santa Cruz Biotechnology; antibody against caspase-1 was generated in our laboratory.

123

# 124 Immunization protocol

We orogastrically immunized 7–10-week-old age- and sex-matched mice with 200 µl of distilled water containing 10 mg of HSA and 10 µg of CT by gavage. Mice were euthanized and then blood and spleen were obtained for analysis at 2 weeks after immunization or at the indicated time points. For the GF experiments, all reagents were autoclaved or passed through 0.2-µm filters (Corning) before immunization. No animals or samples used in the mouse experiments were excluded from the analyses.

#### 132 Measurement of HSA-specific antibodies

Mouse blood samples were collected in plasma separator tubes with lithium heparin (BD Biosciences) and plasma were separated by centrifugation. Mouse feces were suspended in PBS (100 mg/ml) by vigorous vortexing. ELISA plates were coated with HSA and the levels of HSA-specific total IgG, IgA, IgG<sub>1</sub>, IgG<sub>2b</sub>, and IgM were measured using a indirect ELISA method based on the manufacturer's instructions (SBA Clonotyping<sup>TM</sup> System/AP; SouthernBiotech).

139

#### 140 *Re-stimulation of splenocytes*

141 Splenocytes were isolated from spleens on day 14 after immunization as previously 142 described.<sup>24</sup> Briefly, spleens were mashed through a cell strainer (Falcon), and then the 143 cells were spun down and resuspended in RBC lysis buffer (eBioscience). After 5 minutes, 144 the cells were rinsed with complete RPMI medium containing 10% heat-inactivated fetal 145 bovine serum, 2-β-mercaptoethanol (50 µM), 1-glutamine (2 mM), sodium pyruvate (1 146 mM), MEM non-essential amino acids, and penicillin-streptomycin (Gibco) and pushed 147 through the cell strainer. Isolated splenocytes ( $2 \times 10^6$  cells in 200 µl inoculated into each 148 well in a 48-well plate) were resuspended in complete RPMI medium and re-stimulated 149 with 500 µg/ml of HSA. After 4 days, culture supernatants were harvested and analyzed for 150 cytokines by ELISA.

151

#### 152 BMDC culture condition and in vitro stimulation

BMDCs (bone marrow derived dendritic cells) were generated by differentiating bone marrow progenitors isolated from femurs and tibiae of a mouse in RPMI medium containing 10% heat-inactivated FBS, 2-mercaptoethanol (50 μM), penicillin–streptomycin and 20 ng/ml of granulocyte–macrophage colony-stimulating factor (GM-CSF; PeproTech) and were supplemented with fresh medium on day 3 and day 5. On day 7 after bone

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158 marrow isolation, non-adherent cells were collected as differentiated BMDCs by vigorous

- aspiration. BMDCs ( $4 \times 10^5$  cells in 200 µl inoculated into each well in a 48-well plate)
- 160 were stimulated with MDP (10  $\mu$ g/ml) or LPS (100 ng/ml), and, 30 minutes later, CT (500
- 161 ng/ml), CT A subunit (1 μg/ml), and cAMP derivatives (8-Br-cAMP (100 μM), 6-Bnz-
- 162 cAMP (50  $\mu$ M), and 8-CPT-2'-O-Me-cAMP (50  $\mu$ M)) were added. For selective inhibition
- 163 of the protein kinase A (PKA) signaling pathway, BMDCs were pretreated with H-89 (10
- 164  $\mu$ M) before being treated with MDP for 30 minutes. Culture supernatants were harvested
- 165 for ELISA and immunoblotting and cells lysates were collected for immunoblotting and
- 166 real-time quantitative PCR (qPCR).
- 167
- 168 *Cytokines measurements*
- 169 Cytokines were measured using ELISA kits according to the manufacturer's170 instructions (R&D Systems).
- 171

#### 172 Immunoblotting

Cells were lysed in RIPA buffer supplemented with complete protease inhibitor 173 174 cocktail (Roche). Supernatants were mixed with loading buffer and then separated by SDS-175 PAGE and transferred to PVDF membranes (Millipore). Membranes were incubated with 176 antibodies against all forms of IL-1 $\beta$  or caspase-1 (1:1000 dilution). Protein bands were 177 detected using an ECL kit (Thermo Scientific). Membranes were removed using restore 178 stripping buffer (Thermo Scientific) and re-probed with antibody against GAPDH (1:5000) 179 or  $\beta$ -actin (1:5000) as a loading control. The intensities of blots were measured by using ImageJ program (NIH). Intensities of all samples were normalized by those of their loading 180 181 control and then the final numerical values were calculated as relative values against the 182 mean value of Nod2 deficient samples.

- 183
- 184 *Real-time qPCR*

- 185 RNA was extracted using an E.Z.N.A. Total RNA Kit I (Omega bio-tek) and cDNA
- 186 was then generated from the isolated RNA using a High capacity RNA-to-cDNA Kit
- 187 (Applied Biosystems). The cDNA was used for real-time qPCR with specific primer sets
- and SYBR Green PCR Master Mix (Applied Biosystems) according to the manufacturer's
- 189 instruction for StepOnePlus Real-Time PCR systems (Applied Biosystems). The real-time
- 190 qPCR primers (Invitrogen) were as follows: IL-1 $\beta$  (5'-
- 191 CAACCAACAAGTGATATTCTCCATG-3' and 5'-GATCCACACTCTCCAGCTGCA-3')
- 192 and GAPDH (5'-TGCGACTTCAACAGCAACTC-3' and 5'-

193 GCCTCTCTTGCTCAGTGTCC-3'). The real-time qPCR conditions for mRNA

194 quantification were 95 °C for 10 minutes, followed by 40 cycles of denaturation at 95 °C

195 for 15 seconds and annealing and extension at 60 °C for 1 minute. The cycle threshold (Ct)

196 values of respective samples were normalized internally using the average Ct value of

- 197 GAPDH.
- 198

## 199 Statistical analyses

Statistical analyses were performed using GraphPad Prism software (GraphPad Software). For cytokine comparisons, linear regression with a 95% confidence interval, and unpaired, two-tailed Student's *t*-test were used. We examined differences in the results between groups of individual animals using the non-parametric Mann-Whitney test. No samples or animals were excluded from the analyses. Differences with p < 0.05 were considered statistically significant.

206 Results

# Symbiotic bacteria contribute to the efficacy of oral immunization with antigen and cholera toxin

We previously showed that antibiotic treatment reduces the efficacy of oral
 immunization with the model antigen, HSA, and CT as an adjuvant.<sup>16</sup> Because treatment
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with antibiotics does not completely deplete bacteria in the gastrointestinal tract.<sup>25</sup> we 211 212 compared immune responses between SPF and GF mice after oral immunization with HSA and CT. Consistent with previous results following antibiotic treatment.<sup>12, 16</sup> the amounts of 213 HSA-specific immunoglobulin G (IgG) in the plasma of GF mice were reduced when 214 compared to those observed in conventionally raised SPF mice (Fig. 1a). In addition, when 215 216 mice were administrated with HSA or CT alone failed to produce HSA-specific IgG in SPF 217 mice (see Supplementary material, Fig. S1). These data confirm that commensal bacteria 218 play an important role in CT-mediated adjuvant effect on orally injected antigen. Moreover, ex vivo re-stimulation of splenocytes derived from SPF mice with HSA showed increased 219 220 production of the  $T_{\rm H}1$  cytokine interferon (IFN)- $\gamma$ , the  $T_{\rm H}2$  cytokine IL-5, and the  $T_{\rm H}17$ cytokine IL-17 in splenocytes, whereas the induction of T cell cytokines was significantly 221 222 suppressed in splenocytes from GF mice (Fig. 1b). These results indicate that symbiotic 223 bacteria play an important role in promoting the adjuvant activity of CT after oral 224 immunization.

225

## 226 Nod2 receptor contributes to the adjuvant activity of cholera toxin

To investigate the contribution of Nod2 to oral immunization with antigen and CT, 227 WT and *Nod2<sup>-/-</sup>* mice were orogastrically immunized with HSA and CT. The analysis 228 showed impaired antigen-specific antibody production in plasma from  $Nod2^{-/-}$  mice when 229 230 compared with that in WT mice (Fig. 2a and see Supplementary material, Fig. S2). In addition, the amounts of antigen-specific IgA in the feces of  $Nod2^{-/-}$  mice were less than 231 that in the feces of WT mice (Fig. 2b). In line with these antibody responses, the production 232 of IL-5 and IL-17, induced by antigen re-stimulation, were lower in splenocytes from *Nod2*-233 234  $^{-}$  mice than in splenocytes from WT mice (Fig. 2c). The amount of IFN- $\gamma$  produced after 235 antigen re-stimulation was also reduced in Nod2-deficient splenocytes when compared with in WT splenocytes, although the difference was not statistically significant (Fig. 2c). These 236 237 data suggest that Nod2 recognition of the symbiotic bacteria is important for the adjuvant 238 activity induced by oral immunization with CT.

#### 240 Nod2 ligand and cholera toxin act synergistically to enhance IL-1ß production in DCs

241 Because Nod2 in CD11c<sup>+</sup> cells plays an important role in the adjuvant activity of CT administered via the nasal route,<sup>16</sup> we examined the regulation of cytokine production 242 by the Nod2 ligand MDP and CT in *in vitro* experiments using bone marrow derived 243 244 dendritic cells (DCs). To mimic conditions in the intestinal tract after oral immunization, 245 we pretreated DCs cells with microbe-associated molecular patterns (MAMPs) for 30 min 246 and then stimulated the cells with CT. Sequential treatment with MDP and CT led to a 247 synergetic induction of IL-1 $\beta$  secretion, but not of TNF- $\alpha$  (Fig. 3a). Unlike IL-1 $\beta$  which was not induced by treatment of MDP alone, IL12p40 was induced by single treatment with 248 249 both MDP and CT. Increased secretion of IL12p40 from cells sequentially treated with 250 MDP and CT can be ascribed to cumulative stimulation by MDP and CT, rather than 251 synergistic enhancement (Fig. 3a). In contrast to MDP, the ability of multiple TLR agonists 252 including those for TLR1/2, TLR2/6, TLR3, TLR4, and TLR9 to stimulate the production 253 of IL-1B was minimally or no enhanced by CT (see Supplementary material, Fig. S3a and 254 S3b). To examine the kinetics and Nod2 dependence of IL-1 $\beta$  production by MDP and CT stimulation, we pretreated DCs from WT and Nod2-/- mice with MDP or medium and then 255 256 treated the cells with CT or control. Treatment with MDP and CT induced IL-1B production 257 in a synergic manner compared to treatment with MDP or CT alone in WT DCs (Fig. 3b). 258 In contrast, the enhancement of IL-1ß production by MDP and CT was not observed in 259 DCs from Nod2-/- mice (Fig. 3b).

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# 261 CT enhances IL-1β production by increasing pro-IL-1β expression and caspase-1 262 activation

To understand the mechanism by which CT enhances the secretion of IL-1 $\beta$  in MDP-stimulated DCs, we first assessed the production of pro-IL-1 $\beta$  in DCs pre-stimulated with MDP or LPS followed by treatment with CT by immunoblotting. In the absence CT stimulation, there was little or no induction of pro-IL-1 $\beta$  by MDP while LPS induced pro-

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267 IL-1β (Fig. 4a). In contrast, CT treatment induced robust production of pro-IL-1β in DCs 268 pretreated with MDP compared with DCs treated with CT alone (Fig. 4a). Importantly, the enhancement of pro-IL-1β production in MDP-stimulated DCs by CT was not observed in 269 the absence of Nod2 (Fig. 4a), indicating that the synergism between MDP and CT requires 270 the expression of Nod2 in DCs. In contrast to MDP, treatment with CT did not enhance the 271 272 production of pro-IL-1ß induced by LPS (Fig. 4a), consistent with previous results (Fig. 273 S2). To determine whether CT enhances the expression of *ll1b* mRNA, we pretreated DCs 274 from WT and *Nod2<sup>-/-</sup>* mice with MDP or medium and then treated the cells with CT or not. Sequential treatment with MDP and CT induced *Il1b* mRNA in a synergic manner 275 276 compared to treatment with MDP or CT alone in WT DCs (Fig. 4b) which parallels that observed with IL-1ß protein release (Fig. 3b). As expected, the enhancement of *Il1b* mRNA 277 production by MDP and CT was not observed in DCs from *Nod2<sup>-/-</sup>* mice (Fig. 4b). 278

The secretion of mature IL-1 $\beta$  is regulated via two-step process that includes the 279 induction of pro-IL-1ß and the proteolytic activation of caspase-1 that cleaves pro-IL-1ß.<sup>26</sup> 280 281 To determine whether MDP and CT also regulate the activation of caspase-1, we assessed 282 the processing of caspase-1 and production of pro-IL-1 $\beta$  in DCs in the presence and 283 absence of MDP and/or CT stimulation by immunoblotting. Consistent with results shown in Fig. 4a, CT enhanced the production of pro-IL-1ß in DCs pre-stimulated with MDP (Fig. 284 4c). Importantly, treatment with CT induced the proteolytic activation of caspase-1 in 285 286 untreated and MDP-treated DCs (Fig. 4c). These results indicate that CT regulates the 287 release of IL-1 $\beta$  by enhancing both the production of pro-IL-1 $\beta$  and inducing the activation of caspase-1 in MDP-stimulated DCs. 288

289

#### 290 CT enhances the production of IL-1β via cAMP/PKA signaling

291 CT stimulates adenylate cyclase to increase the intracellular cAMP concentration
292 which has been linked to its adjuvant activity.<sup>1,4</sup> Elevated intracellular cAMP in turn PKA
293 or Rap guanine nucleotide exchange factors (also known as exchange protein directly
294 activated by cAMP (Epac) 1 and 2), which regulate specific cellular functions.<sup>9, 27</sup> To

295 determine whether cAMP, PKA, and/or Epac are involved in MDP and CT-mediated IL-1ß 296 induction, we treated DCs with cell permeable cAMP analog (8-bromo-cAMP), PKA activator (N<sup>6</sup>-benzovl-cAMP), or Epac activator (8-CPT-2'-O-Me-cAMP) after 297 298 pretreatment with MDP. Like CT, treatment with 8-bromo-cAMP or N6-benzoyl-cAMP enhanced the ability of MDP to induce the secretion of IL-1 $\beta$  (Fig. 5a). In contrast, 299 300 treatment with 8-CPT-2'-O-Me-cAMP did not enhance the secretion of IL-1β (Fig. 5b). 301 These results suggest that CT enhances pro-IL-1ß production via cAMP and PKA, but not 302 Epac activation. Consistent with these observations, treatment with 8-bromo-cAMP or N<sup>6</sup>benzoyl-cAMP, but not 8-CPT-2'-O-Me-cAMP, enhanced the ability of MDP to induce the 303 304 production of pro-IL-1B (Fig. 5b). To confirm the role of the PKA signaling pathway in IL-1β induction by MDP and CT, DCs were treated with a selective inhibitor of PKA, H-89, 305 306 before sequential stimulation with MDP and CT. Consistent with results obtained with the 307 use of an activator of PKA, the ability of CT to synergize with MDP in the production of 308 IL-1 $\beta$  in DCs was suppressed by pretreatment with H-89 (Fig. 5c). Collectively, these 309 results indicate that CT enhances the production of IL-1ß in MDP-stimulated DCs via 310 cAMP/PKA signaling.

311

# Nod2 is required for IL-1β induction after oral immunization with antigen and cholera toxin.

314 To determine whether the production of IL-1 $\beta$  is induced in response to oral 315 immunization in vivo, we orogastrically immunized mice with HSA and CT, and then the spleens were isolated at the indicated time point to examine the levels of *Il1b* mRNA. The 316 317 amounts of *IIIb* mRNA in the spleens increased by 3 days following oral immunization and 318 declined to basal levels by 9 days following oral immunization (Fig. 6a). To examine 319 whether Nod2 is involved in the induction of IL-1 $\beta$ , the expression of pro-IL-1 $\beta$  was examined in the spleens from WT and  $Nod2^{-/-}$  mice on day 3 after oral immunization with 320 321 antigen and CT. As shown in Fig. 6b, pro-IL-1 $\beta$  expression was markedly reduced in the 322 spleen of Nod2-/- mice compared to that of control WT mice. Likewise, when we investigated Peyer's patches (PPs) isolated from ileum on day 1 after oral immunization, 323

deficiency of Nod2 reduced the pro-IL-1β expression as compared to that of control PPs
(Fig. 6c). These results suggest that oral immunization with antigen and CT increases IL-1β
expression in the spleen and PPs via Nod2.

327

## 328 IL-1β is important for the adjuvant activity of cholera toxin.

To determine whether the role of IL-1 $\beta$  in the adjuvant activity of CT, we 329 orogastrically immunized WT and  $II1b^{-/-}$  mice with HSA and CT. Similar to that observed 330 in  $Nod2^{-/-}$  mice, the production of antigen-specific IgG was impaired in  $II1b^{-/-}$  mice 331 332 compared to that detected in WT mice (Fig. 7a). Moreover, the production of T cell cytokines, IFN- $\gamma$ , IL-5, and IL-17, which was increased by *ex vivo* antigen re-stimulation 333 334 was markedly reduced in splenocytes from IL-1β-deficient mice when compared to 335 splenocytes from WT mice (Fig. 7b). These results indicate that IL-1B plays an important 336 role in the adjuvant activity of CT administered orally. Discussion

The efficacy of mucosal vaccines differs in various geographical regions.<sup>28, 29</sup> There 337 have been several hypotheses to explain the variation in immune responses after mucosal 338 339 vaccination. For example, malnutrition may contribute to oral vaccination in developing countries.<sup>30</sup> In addition, an unsanitary environment in developing countries could lead to 340 persistent exposure of people to various enteric pathogens, which may be associated with 341 reduced efficacy of mucosal vaccines.<sup>31</sup> A major finding of this study is that the microbiota 342 343 plays a crucial role in oral immunization with antigen and CT. Thus, our results raise the possibility that differences in the composition of the microbiota among individuals may 344 345 affect the efficacy of oral vaccination. The composition of the gut microbiota varies widely among individuals and can be affected by various environmental factors, including dietary 346 347 habits, pathogen infections and geographical location.<sup>32</sup> Thus, well-designed 348 epidemiological studies are needed to examine the effects of the microbiota on vaccination 349 efficacy and if the microbiota plays a role, it will be important to design appropriate 350 vaccine protocols to overcome any limitations to elicit protective immune responses due to 351 specific microbiota profile.

352 Successful vaccination is the result of orchestrated innate and adaptive immune responses.<sup>33</sup> Given that innate immune signals modulate the magnitude, quality, and 353 duration of adaptive responses.<sup>33</sup> many MAMPs, which promote innate immune signals 354 through host PRRs.<sup>34</sup> have been studied as adjuvant candidates and, among them, a TLR 355 356 ligand adjuvant has been approved for the clinical use with a human papillomavirus vaccine,<sup>33, 35</sup> MDP, a Nod2 ligand, was originally identified as the active component in the 357 adjuvanticity of complete Freund's adjuvant (CFA) and several studies have reported its 358 359 potential for use as a non-mucosal adjuvant.<sup>22, 36</sup> We recently showed that, upon immunization via the nasal route, MDP enhanced the adjuvant activity of CT, even though 360 361 MDP itself has no mucosal adjuvant activity.<sup>16</sup> Likewise, the significance of the Nod2 receptor in the adjuvant activity of CT via the oral route was confirmed in this study, which 362 363 suggests that MDP delivered in concert with CT may exhibit potent mucosal adjuvant 364 activity. Thus, the development of less pyrogenic and more potent adjuvants based on derivatives of CT and MDP should be considered. For example, like CTA1-DD, a fusion 365 protein of CT A subunit and the cell-binding domain of *Staphylococcus aureus*,<sup>37</sup> a 366 molecule that combines the CT A subunit and MDP derivatives might be effective in 367 providing enhanced adjuvant activity. 368

369 DCs and macrophages are important mediators of the adjuvant activity of CT.<sup>38, 39</sup> 370 Consistently, expression of Nod2 receptor in CD11c<sup>+</sup> cells, including DCs, is required for 371 optimal elicitation of an adaptive immune response by nasal immunization with antigen and CT.<sup>16</sup> In the current work, we show that Nod2 is also important for the induction of 372 373 antibody responses in response to oral immunization with antigen and CT. Furthermore, we 374 found that IL-1 $\beta$  is a critical mediator of the adjuvant activity of CT induced via the oral 375 route. Mechanistically, the production of IL-1 $\beta$  was induced synergistically by stimulation of DCs with the Nod2 agonist and CT via induction of pro-IL-1ß and caspase-1 activation. 376 377 These observations suggest that CT induces its adjuvant activity, at least part, by enhancing 378 the Nod2-stimulatory activity of the microbiota to induce IL-1 $\beta$  in intestinal DCs. IL-1 $\beta$  is a 379 pleiotropic cytokine that has a pivotal role in the onset and development of immune responses.<sup>40</sup> In line with our observations, administration of recombinant IL-1ß induces 380

adjuvant activity in both mucosal and systemic immunization protocols.<sup>41-43</sup> Even 381 382 recombinant Lactobacillus casei, which was engineered to produce biologically active IL-1B. can function as an adjuvant in oral immunization.<sup>44</sup> However, the use of IL-1B as an 383 384 adjuvant has never been pursued because of its overwhelming inflammatory effects.<sup>42</sup> CT induced IL-1 $\beta$  production at least in part by enhancing the expression of pro-IL-1 $\beta$  in 385 386 MDP-stimulated DCs via a cAMP-PKA dependent pathway. Many other adjuvants 387 originating from bacterial toxins, such as heat-labile toxin from *Escherichia coli* and 388 pertussis toxin and adenylate cyclase toxin from *Bordetella pertussis*, either directly or indirectly increase the concentration of intracellular cAMP.<sup>5, 45-47</sup> Although correlation 389 between the increased cAMP and their adjuvanticity is controversial,<sup>46, 47</sup> our observations 390 with CT suggest that Nod2 ligand or bacteria having high Nod2 activity could also improve 391 392 the adjuvant activity of others toxins through induction of IL-1 $\beta$  production.

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DK and GN conceived this study. DK performed most of the experiments. YMK 394 and SUS helped with the experiments. WUK and JHP helped in the design of several 395 396 experiments and provided critical advice. DK, SUS and GN wrote the manuscript, with 397 contributions from all of the authors. This work was supported by National Institutes of 398 Health grants (Grant R01AI063331 and R01DK091191 to GN), National Research 399 Foundation of Korea grants funded by the Ministry of Science, ICT and Future Planning 400 (Grant 2015R1A3A2032927 to WUK and 2016R1C1B2008089 to SUS), a National 401 Research Foundation of Korea grant funded by the Ministry of Education (Grant 402 2017R1D1A1B04033009 to DK), and Creative-Pioneering Researchers Program through Seoul National University (DK). We thank Lisa Haynes and Jong-Hyoek Jung for animal 403 404 husbandry and the University of Michigan Germ-Free Animal Core Facility and Host 405 Microbiome Initiative for support.

406

#### 407 Disclosures

408 The authors have no conflicting interests to declare.

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# 524 Supporting Information

525 Additional Supporting Information may be found in the online version of this article.

526 Figure. S1. Production of antigen-specific IgG is induced by oral immunization with both

527 HSA and cholera toxin, but not CT or HSA alone or mock control. The relative amount of

528 HSA-specific IgG was determined in plasma of SPF mice on day 14 after oral

529 immunization with or without HSA and/or CT. Data are shown as means  $\pm$  SEM. \*\*p <

530 0.01 by Mann-Whitney test.

**Figure. S2.** Productions of antigen-specific IgG<sub>1</sub>, IgG<sub>2b</sub>, and IgM induced by oral

532 immunization with HSA and cholera toxin are suppressed in Nod2-deficient mice. The

relative amounts of HSA-specific IgG<sub>1</sub>, IgG<sub>2b</sub>, and IgM were determined in plasma of WT

534 (n = 5) and  $Nod2^{-/-}$  (n = 4) mice on day 14 after oral immunization with HSA and CT. The

results are representative of two independent experiments. Data are shown as means  $\pm$  SEM.

- 536 \*p < 0.05 by Mann-Whitney test.
- 537 **Figure. S3.** Nod1 or TLR agonists have no synergetic effect with cholera toxin on IL-1 $\beta$
- 538 production in dendritic cells. BMDCs were first treated with Pam3 (1 µg/ml), LTA (10
- 539 μg/ml), PolyI:C (50 μg/ml), LPS (0.1 μg/ml), and CpG (10 μg/ml) or left untreated (a), and
- 540 indicated concentration of Pam3, LPS, CpG (b). After 30 minutes, the cells were either

stimulated with CT (0.5  $\mu$ g/ml) or left unstimulated for 24 hours. IL-1 $\beta$  in triplicate

- 542 supernatant samples was measured using ELISA. Data are shown as means  $\pm$  SD.
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- 544

#### 545 Figure legends

546 Figure. 1. Symbiotic bacteria are critical for the oral adjuvant activity of cholera toxin. (a) 547 The levels of HSA-specific IgG were analyzed in plasma obtained from GF (n = 7) and 548 conventionally raised (specific pathogen-free; SPF) (n = 5) mice on day 14 post oral 549 immunization with 10 mg of HSA and 10 µg of CT. The left panel shows the relative 550 amounts of antigen-specific IgG in the serially diluted plasma as means  $\pm$  SEM of the 551 optical density at 405 nm (OD405nm). The right panel displays HSA-specific IgG in the 552 plasma diluted 62.5 fold. Each dot in the right panel represents an individual mouse and the means are displayed as a line. (b) Splenocytes isolated from immunized GF and SPF mice 553 554 on day 14 post immunization were re-stimulated with 500 µg/ml of HSA. IL-5, IL-17, and 555 IFN- $\gamma$  were measured in triplicate supernatant samples on day 4 after stimulation; values 556 represent means  $\pm$  SD. The results are representative of two independent experiments. \*p <0.05, \*\*p < 0.01, and \*\*\*p < 0.001 by Mann-Whitney test (a) and two-tailed *t*-test (b). ND, 557 not detected. 558

559

560 **Figure. 2.** Nod2 plays a crucial role in promoting the adjuvant activity of cholera toxin. (a. b) WT (n = 5 or 7) and  $Nod2^{-/-}$  (n = 4) mice were orogastrically immunized with 10 mg of 561 562 HSA and 10 µg of CT, and plasma and feces were collected on day 14 post oral 563 immunization. The relative amounts of antigen-specific IgG (a) and IgA (b) were measured 564 in serially diluted plasma and feces (100 mg/ml in PBS), respectively, and the results are 565 displayed in the left panels. Right panels show HSA-specific IgG (a) and IgA (b) in the 566 plasma diluted 62.5 fold and 5 fold, respectively. Each dot in the right panels represents an 567 individual mouse, and the means are displayed as a line. (c) Splenocytes were isolated from WT and  $Nod2^{-/-}$  mice on day 14 post immunization and then re-stimulated with or without 568

- 569 HSA for 4 days. The amounts of IL-5, IL-17, and IFN- $\gamma$  in triplicate supernatant samples
- 570 were measured by ELISA. The results are representative of two (b) or three (a, c)
- 571 independent experiments. Data are shown as means  $\pm$  SEM (a, b) or  $\pm$  SD (c). \*p < 0.05,
- 572 \*\*p < 0.01, and \*\*\*p < 0.001 by Mann-Whitney test (a, b) and by two-tailed *t*-test (c). ND,
- 573 not detected. NS, not significant.
- 574
  - /4

**Figure. 3.** Nod2 ligand and cholera toxin act synergistically to enhance IL-1 $\beta$  production in

576 DCs. (a, b) BMDCs from WT (a, b) and  $Nod2^{-/-}$  (b) mice were first treated with MDP (10 577 µg/ml) or left untreated, and, 30 minutes later, the cells were either stimulated with CT (0.5

578 µg/ml) or left unstimulated. The supernatants were collected at 24 hours (a) or at the

579 indicated time points (b) after CT addition. The indicated cytokines in triplicate supernatant

samples were measured by ELISA. Results are representative of two (b) or three (a)

independent experiments. Data are shown as means  $\pm$  SD. \*p < 0.05, \*\*p < 0.01, and \*\*\*p

582 < 0.001 by two-tailed *t*-test for comparisons between all samples (a) and between sequential

treatment with MDP and CT and treatment with CT alone (b). ND, not detected.

584

**Figure. 4.** CT enhances IL-1ß production by increasing pro-IL-1ß expression and caspase-1 585 activation. (a-c). BMDCs from WT (a-c) and  $Nod2^{-/-}$  (a, b) mice were treated with MDP 586 587 (10 µg/ml), LPS (100 ng/ml) or left untreated, and, 30 minutes later, the cells were either 588 stimulated with CT (0.5  $\mu$ g/ml) or left unstimulated. Samples were collected at 18 hours (a) 589 or at the indicated time points (b, c) after CT addition. (a, c) Pro-IL-1B and GAPDH were 590 detected in cell lysates and pro-caspase-1 and p20 (the active form of caspase-1) were analyzed in supernatants by immunoblotting. GAPDH was used as a loading control. (b) 591 592 The amount of *ll1b* mRNA was determined by real-time qPCR and normalized relative to 593 *Gapdh* expression. Data are shown as means  $\pm$  SD. Results are representative of two 594 independent experiments.

595

596 Figure. 5. CT enhances the production of IL-1ß in MDP-stimulated DCs via cAMP/PKA 597 signaling. (a-c) BMDCs were treated with MDP (10 µg/ml) or left untreated, and, 30 598 minutes later, the cells were either stimulated with CT (0.5 µg/ml). CT A subunit (CTA: 1 ug/ml). 8-Br-cAMP (cAMP; 100 uM), 6-Bnz-cAMP (Bnz; 50 uM), 8-CPT-2'-O-Me-cAMP 599 (CPT; 50 uM) or left unstimulated. (c) BMDCs were pretreated with the PKA inhibitor H-600 89 (10 uM) at 30 minutes before sequential addition of MDP and CT. Cell lysates and 601 602 supernatants were collected at 18 hours (b) or 24 hours (a, c) after CT addition, respectively. 603 (a, c) IL-1 $\beta$  in triplicate supernatant samples was measured by ELISA. (b) Pro-IL-1 $\beta$  and GAPDH were detected in cell lysates by immunoblotting. GAPDH was used as a loading 604 control. (a, c) Data are shown as means  $\pm$  SD. ND, not detected. \*p < 0.05, \*\*p < 0.01, and 605 \*\*\*p < 0.001 by two-tailed *t*-test for comparisons between sequential treatment with MDP 606 607 and CT and treatment with CT alone or between sequential treatments with MDP and CT.

608

**Figure. 6.** IL-1ß expression induced by oral immunization with antigen and cholera toxin 609 610 depends on Nod2. (a, b, c) WT (n = 5, 3, or 2) (b, c) and Nod2<sup>-/-</sup> (n = 3 or 2) mice were orogastrically immunized with HSA and CT, and spleens were collected at the indicated 611 612 time points (a) and on day 3 (b) post oral immunization. (c) Peyer's patches were isolated 613 from ileum on day 1 post oral immunization. (a) *Il1b* mRNA expression was analyzed by real-time qPCR. Gapdh expression was used to normalize data. (b, c) Pro-IL-1β protein was 614 615 detected by immunoblotting, with  $\beta$ -actin as an internal control. Each lane represents an 616 individual mouse. The relative intensities of pro-IL-1ß blots indicated below each lane were 617 normalized by their  $\beta$ -actin amounts and then were displayed as relative values against the 618 mean value of Nod2 deficient samples. The results are representative of two independent 619 experiments.

620

Figure. 7. IL-1β plays a crucial role in promoting the adjuvant activity of cholera toxin. (a) The relative amounts of HSA-specific IgG were measured in the plasma of WT (n = 6) and  $ll1b^{-/-}$  (n = 5) mice on day 14 after oral immunization with HSA and CT. The left panel

- 624 shows the relative amounts of antigen-specific IgG in the serially diluted plasma as means
- 625 ± SEM. The right panel displays antigen-specific IgG in the plasma diluted 62.5 fold. Each
- 626 dot in the right panel represents an individual mouse, and the means are displayed as a line.
- 627 (b) Splenocytes were isolated from WT and  $II1b^{-/-}$  mice on day 14 post immunization and
- 628 then re-stimulated with HSA or left unstimulated for 4 days. IL-5, IL-17, and IFN- $\gamma$  in
- triplicate supernatant samples were measured by ELISA. Data are shown as means  $\pm$  SD.
- 630 The results are representative of three independent experiments. \*p < 0.05, \*\*p < 0.01, and
- 631 \*\*\*p < 0.001 by Mann-Whitney test (a) and by two-tailed *t*-test (b). ND, not detected.

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