Sadjadi Reza (Orcid ID: 0000-0003-3829-6030)

Clinical Myopathy in Patients with Nephropathic Cystinosis **Title Page** 

### **Clinical Myopathy in Patients with Nephropathic Cystinosis**

### Authors

Reza Sadjadi, MD

Center for Rare Neurological Diseases

Department of Neurology, Massachusetts General Hospital

55 Fruit St, Boston, MA 02114

Stacey Sullivan, SLP

Massachusetts General Hospital

55 Fruit St, Boston, MA 02114

Natalie Grant, BA

Center for Rare Neurological Diseases

Massachusetts General Hospital

55 Fruit St, Boston, MA 02114

Susan E. Thomas, MD

Division of Pediatric Nephrology, Department of Pediatrics and Communicable Diseases

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University of Michigan School of Medicine Ann Arbor, MI 48109

Maya Doyle, MSW, PhD, LCSW Department of Social Work, School of Health Sciences Quinnipiac University 275 Mt Carmel Ave, Hamden, CT 06518

Colleen Hammond, RN

Cystinosis Adult Care Excellence Initiative

14 Kingston St. Reading MA 01867

Rachel Duong, BA

Center for Rare Neurological Diseases Department of Neurology, Massachusetts General Hospital 55 Fruit St, Boston, MA 02114

Camille Corre, BS/BA

Center for Rare Neurological Diseases

Department of Neurology, Massachusetts General Hospital

55 Fruit St, Boston, MA 02114

William David, MD, PhD

Department of Neurology, Massachusetts General Hospital

55 Fruit St, Boston, MA 02114

Florian Eichler, MD

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Center for Rare Neurological Diseases Department of Neurology, Massachusetts General Hospital 55 Fruit St, Boston, MA 02114

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# Title

Clinical Myopathy in Patients with Nephropathic Cystinosis

### Abstract

*Introduction:* Nephropathic cystinosis is a lysosomal storage disorder. Patient survival years after renal transplantation has revealed systemic complications including distal myopathy and dysphagia.

*Methods:* We evaluated 20 adult patients with nephropathic cystinosis using patient-reported and clinical outcome measures. Standard motor measures, video swallow studies (VSS), and tests of respiratory function were performed. We also used Rasch analysis of an initial survey to design a 16-item survey focused on upper and lower extremity function, which was completed by 31 additional patients.

*Results:* Distal myopathy and dysphagia were common in patients with nephropathic cystinosis. Muscle weakness ranges from mild involvement of intrinsic hand muscles to prominent distal greater than proximal weakness and contractures.

*Discussion:* In addition to further characterization of underlying dysphagia and muscle weakness, we propose a new psychometrically devised, disease specific, functional outcome measures for distal myopathy in patients with nephropathic cystinosis.

**Keywords:** Nephropathic Cystinosis, Distal myopathy, Dysphagia, Outcome measures, Psychometrics

# Introduction

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Nephropathic cystinosis is a rare autosomal recessive lysosomal storage disorder, due to mutations in the cystinosin gene (CTNS) located on chromosome 17p13<sup>1-3</sup>. The basic defect is the impairment of the lysosomal membrane transport complex<sup>4,5</sup> and free cystine accumulation in different tissues including kidney and muscles. The pathologic hallmark is deposition of cystine crystals and presence of autophagic, acid phosphatase positive vacuoles in muscle tissues. Patients present with early failure to thrive<sup>6</sup> and Fanconi syndrome<sup>7,8</sup>. Systemic manifestations of cystinosis, such as myopathy, were not widely known before renal transplantation<sup>9,10</sup> and introduction of beta-mercaptoethylamine (cysteamine) therapy<sup>11,12</sup>. Cysteamine facilitates cystine transportation from lysosome through a different (intact) lysine transporter<sup>13</sup>. Lower muscle and liver cystine levels were demonstrated in patients on long term cysteamine therapy<sup>6,14,15,16,17,18</sup>. Nevertheless, unremitting accumulation of cystine, has led to more significant non-renal morbidity and mortality. Many patients succumb to muscle weakness<sup>19-21</sup>, swallowing difficulties<sup>22</sup> and aspiration<sup>23</sup>.

Unlike other lysosomal storage disorders, little is known about the pathophysiology of myopathy associated with nephropathic cystinosis. Muscle biopsy is often notable for abundant vacuoles and cystine crystals within perimyseal collagen fibrils<sup>5</sup>. It has been speculated that distal myopathy is common in patients with cystinosis, even in the absence of clinically overt muscle weakness<sup>21,20,24,16</sup>. Swallowing muscles are often involved and dysphagia is relatively common in

patients with nephropathic cystinosis<sup>22,18,25</sup>. Respiratory muscles are subsequently affected and respiratory muscle weakness can be life threatening<sup>26</sup>.

In 2016, an online survey was undertaken by the Adult Care Excellence (ACE) Initiative<sup>27</sup>, an advocacy group of individuals with cystinosis, their parents, clinicians, and researchers<sup>28-30</sup>. The survey included multiple choice and associated open-ended questions related to physical health, medical care, treatment, psychological issues, social wellness, and financial burden. It was distributed online in English in two phases and received responses from adults with cystinosis from around the world. Through collaboration with the members of the ACE Initiative and access to the results of this surveys, we determined that swallowing difficulties and muscle weakness were pressing concerns that greatly affect the adult patient population.

A major obstacle in implementing clinical trials is the lack of information on evolution of symptoms and robust outcome measures and biomarkers that are sensitive and "responsive" enough to detect meaningful changes in disease status. In the current study, we sought to characterize muscle weakness and dysphagia in patients with nephropathic cystinosis and propose new disease-specific functional clinical outcome measures to better quantify disease progression in this patient population.

### Methods

The study was approved by the research ethics committee, Partners Institutional Review Board at Massachusetts General Hospital. Informed consent was obtained in accordance with Institutional Review Board procedures.

We evaluated 20 adult patients with confirmed diagnosis of nephropathic cystinosis based on elevated leukocyte cystine levels, the presence of crystals in the cornea, or genetic mutation testing. We did not perform electrophysiologic evaluation or muscle biopsy to confirm presence of myopathic changes in our patient population. We felt evidence from prior pathology and electrodiagnostic studies were sufficient to assume that the weakness was a primarily myopathic process<sup>5,16,20,24</sup>. Subjects were recruited by the Cystinosis Research Foundation and traveled to Massachusetts General Hospital in Boston for evaluation.

## *Clinical study*

Patients had neuromuscular examination, including manual muscle testing of proximal and distal upper and lower extremities, video fluoroscopic swallowing evaluation and pulmonary function testing. Patients completed patient-reported measures (The M. D. Anderson Dysphagia Inventory<sup>32</sup> (MDADI) and The 10-item Eating Assessment Tool<sup>33</sup> (EAT-10)) and clinical outcome measures (9-Hole Peg Test (9-HPT), Timed 25-Foot walk (25-FW), Timed Up and Go Test (TUG), and grip dynamometry).

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# Development of Distal Myopathy Function Scale (DMFS)

A preliminary distal myopathy function scale (DMFS) focused on upper and lower extremity function was designed<sup>31</sup>. Based on the feedback from Cystinosis Adult Care Excellence Initiative, and prior experiences with phenotypically similar conditions such as inclusion body myositis<sup>31</sup> and other distal myopathies<sup>32,33,35</sup> we developed an item pool from recurring themes. The initial 22-item paper survey was administered during the clinical visit. We designed a 16item survey based on results of the Rasch analysis of the initial survey. Thirty-one patients who did not participate in the study were independently contacted through the Cystinosis Research Foundation and completed the revised survey electronically using a REDCap survey tool.

## Patient reported outcomes

The MDADI is a self-administered twenty-item questionnaire designed to evaluate the impact of dysphagia on the quality of life (QOL) of patients with head and neck cancer, and adopted for this study of patients with nephropathic cystinosis. MDADI scores range between 20 and 100 with a higher score representing better day-to-day functional and better QOL.

The EAT-10 is a self-administered, symptom-specific outcome instrument for dysphagia. The EAT-10 scores range between 0-40 with higher scores representing more severe dysphagia. Normative data suggest that an EAT-10 score of 3 or higher is considered abnormal.

Clinical outcomes

All patients were assessed by neurologists (the first and last authors) who performed neurological evaluations including manual muscle testing (eye closure, mouth closure, neck flexion/extension, shoulder abduction/external rotation, elbow flexion/extension, wrist flexion/extension, finger extension/abduction, thumb abduction/flexion, deep finger flexors(II-IV), hip flexion/abduction/adduction/extension, knee flexion/extension, ankle dorsiflexion, plantar flexion, toe extension, deep toe flexion) and hand grip strength using a calibrated Jamar Hydraulic Hand Dynamometer. The Timed Up and Go Test was used to assess each patient's mobility, static and dynamic balance, walking ability, and fall risk. The Timed 25-Foot Walk was administered to assess quantitative mobility and leg function. The 9-Hole Peg Test was used to measure finger dexterity.

A standardized video fluoroscopy swallow study (VFSS) was performed using three food textures of barium contrast (thin, nectar, and pudding). Each patient's VFSS was evaluated using the validated Penetration-Aspiration Scale (PAS)<sup>36</sup>, an 8-point ordinal scale of airway safety that describes the degree of airway invasion, the participant's response, and whether the invasive material is successfully ejected from the airway. We measured Maximum Expiratory Pressure (MEP) and Peak Airflow (PF) for evaluation of respiratory function.

**Statistical Analysis** 

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We used R statistics software (version 3.2.2) for conventional analyses and Rasch partial credit model (RPCM) was performed using Winsteps software (3.92.1). We tabulated summary statistics for the clinical and patient reported outcomes (Table.1). Non-parametric Wilcoxon-Rank Sum and Chi-Square Trend tests were used to compare continuous and ordinal categorical outcomes between groups. Spearman rank correlations were used to assess the association between responses. Cohen's suggested correlation co-efficient (r values) of less than 0.3 for mild, between 0.3-0.5 for medium and greater than 0.5 for large correlations were used to define magnitude of association<sup>37</sup>. P-value of less than 0.05 was considered significant.

RCPM was used to explore data for item-person targeting, item fitting, dependency, dimensionality and category response functioning<sup>38</sup>. Rasch analysis compares the logarithmic probability of survey items (defined as item difficulty) to the total score by patients (defined as person ability). Comparing item difficulty range to person ability allows measuring relative sensitivity of items and exploring ceiling and floor effects (Item-person targeting). In this model, items homogenously contribute to the total score to form a linear outcome measure. Rasch analysis estimates the homogeneity or "fitness" by comparing items (and individual respond thresholds) to an ideal linear model (fit statistics and dimensionality)<sup>39,40</sup>. Items with significant variability from the ideal linear model are examined to look for any similar patterns or trends suggestive of a dimensionality, or a concept beyond what the outcome measure is intended to

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capture as whole. Dimensionality is explored by principal component analysis of fit residuals from fit statistics<sup>38</sup>.

# Results

A total of 20 patients, 7 male and 13 female, ages 20-64 years (median 29, IQR [27:39]) participated in the study. Eighteen of 20 (90%) of patients had renal transplant. Sixteen of 20 (80%) of patients took a form of oral cysteamine. In the initial survey, 12 of 20 (60%) of patients reported some degree of difficulty swallowing, mainly slow eating and occasional choking and 4 patients with frequent near choking episodes. Seventeen of 20 (85%) of patients reported some degree of (limb) muscle weakness, primarily difficulty using hands, dropping objects and buttoning.

On clinical examination, patients had weakness in neck flexion, shoulder abduction, elbow flexion and extension, wrist flexion and extension, hip flexion, ankle dorsiflexion, and intrinsic hand and foot muscles. The degree of muscle weakness ranged from none to mild involvement of intrinsic hand muscles to prominent distal upper and lower extremity weakness, muscle atrophy and contractures. The most severely affected proximal muscle weakness was in neck flexion, shoulder abduction, and hip flexion. The most severely affected distal muscles were predominantly the thenar and hypothenar muscles. Seventeen of 20 of the patients had distal upper extremity atrophy and weakness and two of these patients also had distal lower extremity Author Manuscript

weakness. Three of 20 of the patients had contractures in hand muscle. There were 8/20 patients with proximal upper extremity weakness and 4 of these patients also had proximal weakness in their lower extremities. All patients with proximal weakness also had distal weakness. One patient did not report any muscle weakness in the survey but was found to have mild objective hip and shoulder weakness. One patient reported mild upper and lower extremity weakness in the survey but had full strength in the clinical examination.

Video fluoroscopic swallow evaluation showed evidence of dysphagia in 6/20 (30%) of the patients. There was no entry of material into trachea or larynx in 17 patients. The Penetration-Aspiration Scale (PAS) scores ranged from 1 to 8, with a score of 1 indicating that no material enters into the airway and a score of 8 indicating that material enters the airway, passes the level of the vocal cords and no effort is made to eject. Material contacted the vocal cord without clearing the larynx (PAS 5) in one patient and material entered the trachea without attempt to clear (PAS 8) in two patients. MEP ranged from 12-156 cmH20 and cough airflow ranged from 98-400 Liters/minute (Table.1). The 9-HPT scores ranged from 14.3 to 46.9 seconds, with higher scores reflecting more severe dysfunction. Patients with dysphagia in VSS examination did not have significantly different strength compared to patients with normal swallowing function, measured by Time Up and Go (TUG), Timed 25-Foot Walk, grip dynamometry, EAT-10 or MEP (p>0.05). MDADI score was significantly lower in patients with dysphagia in VSS examination (mean difference 20.4, 95%CI(16.3;24.5), p = 0.03).

There were significant large correlations between MEP and 25-FW, grip dynamometry and 25-FW, TUG and 25-FW, TUG and MEP, TUG and PF max, and MDADI and EAT-10 (Table.2).

## Rasch analysis

Overall, the initial 22-item survey met most expectations of Rasch partial credit model. Considering face validity of items, misfitting and psychometrically redundant items were removed and a more practical 16-item survey was developed (Table.3). Thirty-one patients were independently contacted and completed the revised survey. We repeated Rasch analysis on 31 surveys (Fig.1). All items fit the Rasch model (Fig.1, horizontal axis), with fit mean square between 0.7 and 1.6. Items "breathing" and "standing from low seat" were more frequently scored higher in patients with higher total survey score, suggesting more sensitivity in more symptomatic patients. Items "cutlery" and "buttoning" were scored more often in patients with less total survey score and were likely more sensitive to changes in mildly affected patients. Items pertaining to distal upper extremity function, "cutlery" and "buttoning" formed a minor subdimension (eigen value 3.7743, 11.8% of total unexplained variance) which did not violate the overall uni-dimensionality of the outcome. Sample size was limited for further analysis of between group differences and differential item functioning (i.e. to explore response pattern in patients not taking cysteamine or with different mutations).

# Discussion

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Muscle weakness and dysphagia are common in adult patients with nephropathic cvstinosis<sup>18,22,21</sup>. Most of our participants had distal upper extremity weakness measured by manual muscle testing and grip dynamometry. Some participants had more significant weakness, distal muscle atrophy and contractures. Proximal muscle weakness was primarily limited to neck flexion and shoulder weakness and two patients had facial muscle weakness. This is in line with previous electrodiagnostic studies of patients with cystinosis where possible myopathic changes, (increased insertional activity in the form of fibrillation potentials, positive sharp waves, full interference pattern with a low to normal amplitude and pathological motor unit action potentials with a reduced amplitude, brief duration, or polyphasic potentials) were noted in patients with no apparent weakness<sup>21</sup>. While most participants endorsed a certain degree of difficulty swallowing, the more objective swallow testing picked up dysphagia only in three patients. This may suggest that the traditional scoring of more objective testing lacks the sensitivity to capture dysphagia in patients with nephropathic cystinosis<sup>36</sup>. Similar to other myopathies, a more detailed examination of VFSS studies for kinematic, temporal, and functional measures of swallowing could help further characterize underlying pathophysiology of dysphagia in this patient population<sup>41</sup>.

Despite cysteamine treatment, our patients had weakness and dysphagia. This may suggest that pathophysiology is multifactorial and not solely due to cystine accumulation. While nonadherence and issues related to drug absorption and metabolism may play some role, it is also

possible that there may be a secondary metabolic process affecting muscle tissue<sup>42-45</sup>. In addition, patients on immunosuppressive regiments following renal transplant may develop secondary toxic myopathy and neuropathy<sup>46,47</sup>. The other less likely possibility is that the muscle tissue may lose its regenerating properties following initial degeneration due to cystine deposition<sup>48</sup>. Further studies may aid in better characterizing underlying pathophysiology and identifying potential interventional paths.

While exact pathophysiology and dynamics of dysphagia are not entirely well characterized in patients with nephropathic cystinosis, dysphagia has been speculated to be primarily due to underlying myopathic process<sup>22,21</sup>. Longitudinal evaluations may help better characterize disease progression and identify disease predictors and patients at higher risk.

An important unmet gap in the field of slowly progressive neurodegenerative disorders such as nephropathic cystinosis is the lack of robust biomarkers or patient reported outcome measures sensitive to capture meaningful changes in disease severity, affecting required sample size and length of treatment trials. Clinical outcomes and biomarkers often lack granularity to capture meaningful changes in disease severity in similar neuromuscular conditions<sup>49</sup>. For example, a minor change in elbow flexion strength may lead to significant decline in patients' ability to feed themselves independently and have major functional and quality of life impact while less granular manual muscle testing lacks sensitivity to capture such changes in strength. On the other

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hand, physiological and imaging biomarkers may have higher noise to signal ratio and less reliability and construct validity<sup>50</sup>. Learning from our experience with a phenotypically similar condition, inclusion body myositis (IBM)<sup>31</sup>, where a disease specific functional rating scale (IBM-FRS) served as a more sensitive and responsive outcome measure, we developed a disease specific functional outcome measure and showed better psychometric properties in evaluation of changes in disease severity. Despite some limitations with item targeting (likely reflective of a relatively small sample size), we were able to demonstrate relatively good internal construct validity in terms of reliability, sensitivity, stability and uni-dimensionality. Depending on clinical trial design, the DMFS may serve as a reliable primary or secondary patient reported outcome measure in evaluation of patients with nephropathic cystinosis.

This data must be interpreted in the context of the study design. Similar to other rare neurodegenerative studies, the major limitation of our study was a very low sample size for both conventional and psychometric analysis. Despite otherwise acceptable psychometric properties, DMFS has a significant ceiling effect (40%) likely reflective of a very small sample size (Fig.1, vertical axis). Amalgamating and collapsing scales may help improve item targeting and ceiling effect but may also hinder outcome's responsiveness. Longitudinal evaluation in a larger patient population would help further evaluate its psychometric characteristics and "responsiveness".

## Abbreviations:

Adult Care Excellence (ACE) Initiative

Distal Myopathy Function Scale (DMFS) The M. D. Anderson Dysphagia Inventory (MDADI) quality of life (QOL) The 10-item Eating Assessment Tool (EAT-10) Timed Up and Go Test (TUG) Timed 25-Foot Walk (25-FW) The 9-Hole Peg Test (9-HPT) Video Fluoroscopy Swallow Study (VFSS) Penetration-Aspiration Scale (PAS) Maximum Expiratory Pressure (MEP) Peak Airflow (PF) Rasch partial credit model (RPCM) Inclusion body myositis (IBM) IBM-functional rating scale (IBM-FRS)

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Table.1 Clinical and patient reported outcome measures in patients, mean (SD)

Table.2 Distal Myopathy Functional Scale (DMFS)

Table.3 correlation table

Fig.1 Pathway graph maps out items (circles) and patients (dots) on a common logarithmic scale. Item difficulty – Person ability is mapped on the vertical axis with items more appropriate items for more severe disease near the top and easier items near the bottom of the graph. This allows visualizing which items are most useful in discriminating severity at different levels of overall disease severity. The horizontal axis maps how well the items fit under one construct. The item's proximity to the midline on the horizontal axis represents how well the item contributes to what the construct intends to measure.

Table.1 clinometric and patient reported outcome measures in patients

	Units	Mean(95%CI)	Median(IQR)
MEP	cmH2O	67.9(48.8;87.0)	68.5(31.0;97.0)
Peak Airflow	Liters/minute	247.3(190.8;303.7)	270.0(133.0;328.5)
Grip dynamometry	KgF	23.1(14.7;28.9)	20.3(16.8;26.5)
9-Hole Peg Test	Seconds	23.3(20.5;26.2)	21.7(20.3;25.2)
Timed Up and Go	Seconds	7.0(6.3;7.8)	7.3(5.8;8.2)
25-Foot Walk	Seconds	4.9(4.5;5.4)	5.1(3.9;5.6)
MDADI	-	82.0(76.5;87.5)	84.5(72.5;92.0)
EAT-10	-	6.7(2.7;10.7)	2.5(0.5;15.0)

*Note. MDADI*= The M. D. Anderson Dysphagia Inventory; *EAT-10*= The 10-item Eating Assessment Tool; *MEP*= Maximum Expiratory Pressure.

## Table.2

	Age	Grip	9-HPT	TUG	25-FW	MDADI	EAT-10	MEP
 Grip	0.04	-						
9-HPT	-0.18	-0.33	-					
TUG	-0.11	-0.42	0.47*	-				
25-FW	0.01	-0.54*	0.38	0.85**	-			
MDADI	-0.05	0.31	-0.21	-0.27	-0.29	-		
EAT-10	0.10	-0.23	-0.01	0.07	0.17	-0.82**	-	
MEP	0.27	0.55*	-0.24	-0.61**	-0.53*	0.20	-0.12	-
PF	0.10	0.37	-0.45	-0.57*	-0.35	0.27	-0.01	0.37

Spearman correlation test, correlation coefficients

\*p<.05

\*\*p<.01

*Note.* Grip= grip dynamometry; 9-HPT= 9-Hole Peg Test; TUG= Timed Up and Go Test; 25-FW= Timed 25-Foot Walk; MDADI= The M. D. Anderson Dysphagia Inventory; EAT-10= The 10-item Eating Assessment Tool; MEP= Maximum Expiratory Pressure; PF= Peak Airflow.

# Table.3 Distal Myopathy Functional Scale (DMFS)

# How much difficulty do you experience with any of the following activities?

		No difficulty	Some difficulty	A lot of difficulty
1	Reaching above head			
2	Carrying grocery bags			
3	Using cutlery			
4	Tying shoelaces			
5	Brushing teeth			
6	Clipping nails			
7	Buttoning shirts			
8	Tearing paper			
9	Typing			
10	Writing			
11	Standing from low, seated position			
12	Walking on uneven surface			
13	Climbing stairs			
14	Eating at a normal pace			
15	Swallowing (i.e. choking)			
16	Breathing			

How many years have you had difficulty swallowing?

How many years have you experienced weakness?

uthor Manuscrip

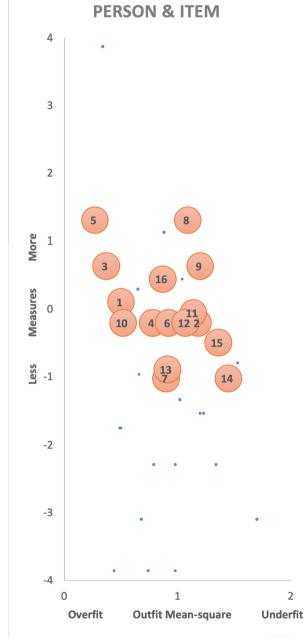


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