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Treatment of allergic disease with nanoemulsion adjuvant vaccines

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32 *Description of the invention*

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34 The majority of allergic diseases, including asthma, atopic dermatitis and food allergy,
35 are caused by allergen-specific IgE production and Th2-polarized immune responses. Allergic
36 sensitization is caused by DC activation of naïve T cells to generate and expand Th2-biased
37 cellular immune responses, resulting in the production of cytokines such as IL-4, IL-5 and IL-13.
38 Th2 cytokines induce the generation and expansion of allergen-specific IgE by B cells as well as
39 accumulation of mast cells in tissues (Figure 1). Because of the central role Th2 immune
40 responses play in the genesis of allergic disease, it is important to focus on therapeutic
41 approaches capable of suppressing or redirecting these Th2 immune responses.

42 The patent described herein (PCT/US2015/054943) covers the use of nanoemulsion
43 compositions for the stimulation of immune responses capable of suppressing Th2-polarized
44 immunity and reducing allergic disease.¹ Nanoemulsions (NE) are nanoscale oil-in-water
45 emulsions composed of a combination of surfactants, oil, ethanol and water. Our group has
46 developed NE adjuvant formulations consisting of soybean oil and a combination of cationic and
47 nonionic surfactants with an average droplet size of 400-500 nm (Figure 1A).² These
48 formulations provide adjuvant activity by enhancing the delivery of antigens as well as activating
49 innate immune responses to boost immunity. We have previously reported that NE adjuvants can
50 be formulated with a wide variety of antigen types and induce potent Th1 and Th17-polarized
51 mucosal, humoral and cellular immune responses after intranasal administration in both
52 animal models and humans.^{3,4}

53 The NE-based vaccine adjuvants covered in this patent are capable of suppressing and
54 redirecting established Th2-polarized immunity. Supporting evidence for this patent application
55 was found in murine models of food allergy where we discovered that therapeutic immunization
56 of peanut-sensitized mice with NE and peanut led to protection from allergic reactivity upon

57 exposure to peanut. Based on these observations, we claimed the use of NE compositions for the
58 suppression of allergic disease through the suppression of Th2-polarized immune responses.

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60 *Path leading to the invention and recent developments*

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62 Vaccines have made significant impacts in global health and although vaccines typically
63 aim to generate or boost immune responses, recent work has demonstrated the ability of
64 adjuvants to modulate pre-existing immunity or even suppress detrimental immune responses.
65 The NE adjuvants described here were initially developed at the University of Michigan as
66 broad-spectrum antimicrobial agents, but NEs proved to be effective mucosal adjuvants. A wide
67 variety of antigen types (recombinant proteins, virus-like particles, whole virus or bacteria) have
68 been formulated into NE droplets through simple mixing, resulting in association of antigen
69 materials with the lipid phase of the droplets. This incorporation increases stability of the
70 immunogen, as antigens are protected from denaturation and degradation.^{5,6}

71 MF59 and AS03 are other emulsion adjuvants currently used in human vaccines. These
72 adjuvant formulations are composed of squalene and are neutral or negatively charged, in
73 contrast to NE adjuvant which contains soybean oil and is positively charged due to cationic
74 surfactants. These physiochemical differences may drive immune activation via distinct
75 mechanisms of action for these emulsion adjuvants.

76 While characterizing a NE-adjuvanted hepatitis B (HepB) vaccine we fortuitously
77 discovered that in mice with pre-existing Th2 HepB immunity from an intramuscular alum-
78 adjuvanted HepB vaccine, a single i.n. immunization with NE-adjuvanted HepB induced Th1-
79 associated responses while reducing Th2 responses. The immunization with NE modulated the
80 magnitude and kinetics of the antibody and cellular response indicating that NE was able to
81 redirect established Th2 polarized immunity towards Th1. This led to the hypothesis that
82 immunization with NE and allergens could also be used to suppress the aberrant Th2 immune
83 responses associated with allergic hypersensitivity. This redirection of the allergen-specific
84 immune response away from the harmful Th2-polarized response would be enhanced by
85 induction of cellular responses associated with protection from allergic disease, including IFN- γ
86 and IL-10. Our hypothesis is supported by studies from other investigators that have
87 demonstrated that allergen immunotherapy in grass pollen allergic individuals increases allergen-

88 specific IFN- γ producing cells, correlating with reduced symptoms.⁷ Additionally, proliferating
89 allergen-specific T cells have been found in healthy non-allergic controls, suggesting that some
90 non-allergic individuals may be protected from allergic manifestations due to the presence of
91 Th1 producing allergen-specific cells.⁸ Together, this suggests that the induction of allergen-
92 specific Th1-polarized immune responses along with the suppression of Th2 responses may
93 induce long-term protective immunity against clinical allergies.

94 Next, we evaluated the ability of NE to modulate the Th2 and IgE immune responses
95 responsible for allergic diseases in mouse models of food allergy. NE, when formulated with
96 specific food allergens and administered intranasally three times at monthly intervals, was
97 effective to suppress allergic responses on oral or systemic allergen challenge, providing
98 protection from anaphylaxis in multiple murine models, as reflected by reduction in clinical
99 symptoms, mast cell degranulation, and temperature change upon challenge (Figure 2). This is
100 associated with reduction of Th2 and IgE allergen-specific responses and activation of Th1, Th17
101 and regulatory T cells as well as IL-10-producing cells (Figure 1 and 2).⁹ Of interest, the NE
102 significantly enhances mucosal immunity, including IgA antibodies that may neutralize allergen.
103 NE-mediated suppression of Th2 allergic responses and protection against anaphylaxis could be
104 partially blocked by anti-IL10, suggesting a role for IL-10 associated regulatory cells.

105 Comprehensive evaluation of acute and chronic effects of NE formulations have been
106 performed to assess the safety of the intranasal route of delivery of NE adjuvants. No
107 inflammation has been observed in the nasal cavity in safety studies in mice, rats, guinea pigs
108 and dogs.⁶ The association of antigen with the oil core of the NE droplets which are taken up by
109 mucosal epithelial and dendritic cells should limit interaction between allergen and mast cells to
110 improve the safety of the intranasal route of allergen immunotherapy.

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112

113 **Conclusion**

114 Allergic disease has become a significant burden on global public health. Until recently,
115 most drugs used to treat allergies simply managed symptoms. New advances in allergen
116 immunotherapy and biological therapies have demonstrated the ability to suppress IgE and the
117 allergic response. Unfortunately, to this point, only a few approaches have demonstrated the
118 persistent modulation of the Th2-polarized immune responses that drive allergic disease,

119 suggesting an absence of immunological memory for the tolerant state. This is especially true
120 for food allergies where allergic reactivity typically returns just weeks after cessation of therapy.
121 In contrast to these studies, we have demonstrated in multiple vaccine models that immunization
122 with NE adjuvant induces long-term immunological memory responses, that could be able to
123 more permanently redirect the immune system away from Th2 immunity, thereby preventing
124 allergic hypersensitivity. Additionally, adjuvant-driven immune modulation could offer a less
125 complex therapeutic regimen compared to current immunotherapies that require daily
126 administration over extended periods of time. As the supporting data for this patent were
127 generated in mouse models, future clinical studies will be necessary to determine if these same
128 effects occur in humans. Because the NE vaccine platform can be combined with virtually any
129 antigen, it provides a platform to investigate any allergic disease associated with aberrant Th2
130 immune responses. It also could provide an approach to clinical investigations of suppression of
131 allergic disease as the NE adjuvant has successfully completed safety studies in humans.³ The
132 technology described here will advance the understanding of mechanisms to modulate
133 established Th2 immunity and may provide significant therapeutic benefit in alleviating food
134 allergic patients and their families of the burdens of disease through long-lasting suppression of
135 allergy.

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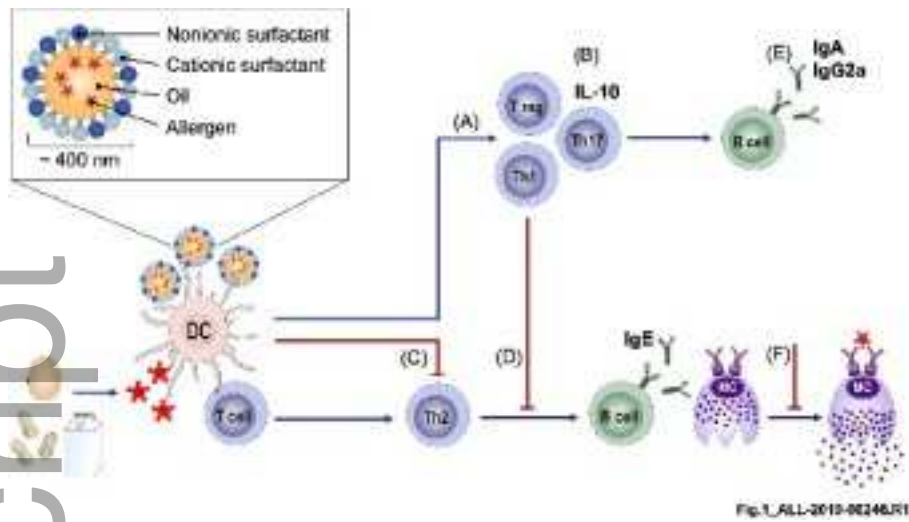
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177 **Figure Legends**

178 **Figure 1.**
179 **Modulation of allergic immunity by NE adjuvant.** Allergic sensitization results in the
180 differentiation of Th2 cells as well as IgE-producing B cells. Immunization with NE (A) induces
181 Th1 and Th17 cells and (B) Tregs and IL-10-producing cells and (C) inhibits Th2 cells. NE
182 immunization (D) reduces production of allergen-specific IgE while (E) increasing allergen-
183 specific IgA and IgG2a antibodies. Modulation of the allergic immune responses by NE results
184 in protection from reaction upon allergen exposure through (F) decreases in mast cell infiltration
185 into tissues as well as prevention of mast cell degranulation upon allergen exposure. (Inset),
186 Structure of oil-in-water nanoemulsion droplet.

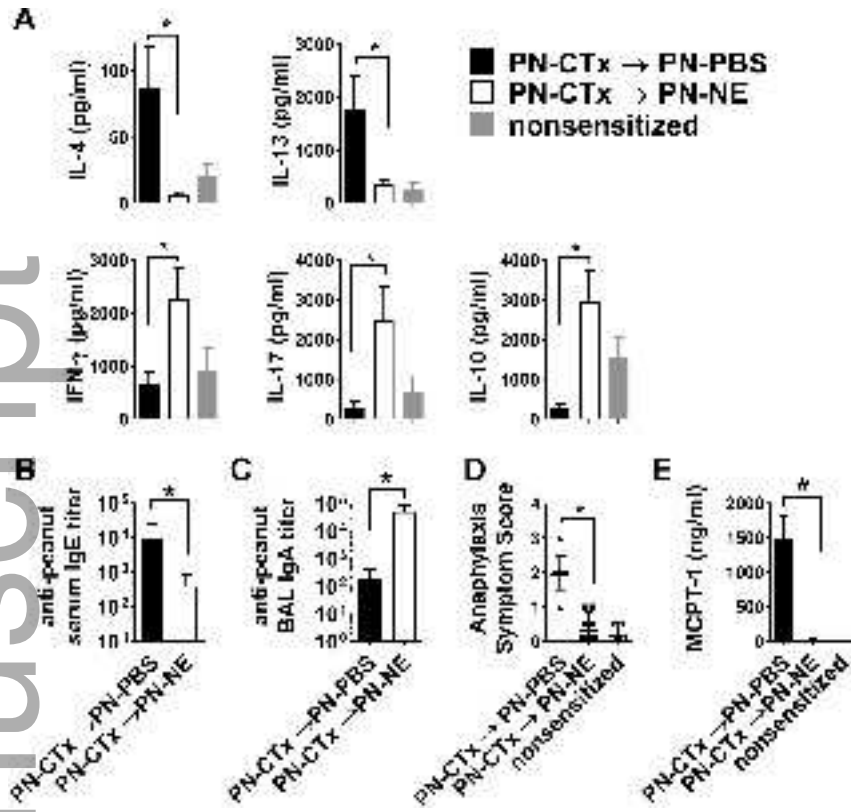
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189 **Figure 2.**
190 **Therapeutic immunization with NE modulates allergic immune responses and protects**
191 **from allergen challenge.**⁹ Mice were sensitized orally with peanut and cholera toxin (PN-CTx).
192 (A) Cytokine secretion by mesenteric lymph node cells and (B) peanut-specific IgE and IgA were
193 determined after 3 immunizations with with peanut in NE (PN-NE) or peanut in PBS (PN-PBS).
194 Reactivity to oral challenge was determined by (D) scoring of clinical symptoms and (E)
195 quantification of mast cell degranulation as measured by release of MCPT-1 into serum.



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