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Article type : Original Articles

**Handling editor: Luca Valenti**

**Title Page**

**Title:** Genetic variants that associate with liver cirrhosis have pleiotropic effects on human traits  
(93 characters)

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This is the author manuscript accepted for publication and has undergone full peer review but has not been through the copyediting, typesetting, pagination and proofreading process, which may lead to differences between this version and the [Version of Record](#). Please cite this article as [doi: 10.1111/LIV.14321](https://doi.org/10.1111/LIV.14321)

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30

31 **Word count:** 3714 words

32

33 **Figures:** 3

34 **Tables:** 2

35

36 **Abbreviations:**

37 ALT, alanine aminotransferase. AP, alkaline phosphatase. APRI, AST-to-platelet ratio index. ICD, International  
38 Classification of Diseases. GWAS, genome-wide association study. MGI, Michigan Genomics Initiative. NAFLD,  
39 nonalcoholic fatty liver disease. PheWAS, phenome-wise association study. SNP, single nucleotide  
40 polymorphism.

41

42

43 **Disclosures and conflicts of interest:**

44 Vincent Chen, Yanhua Chen, Xiaomeng Du, Samuel Handelman, Elizabeth Speliotes: no financial conflicts of  
45 interest to disclose

46

47 **Acknowledgements:** V.L.C. was supported in part by a University of Michigan Training in  
48 Basic and Translational Digestive Sciences T32 grant (NIDDK 5T32DK094775) and The University of Michigan  
49 Department of Internal Medicine. EKS, SKH, XD, and YC are supported in part by R01 DK106621 (to EKS),  
50 R01 DK107904 (to EKS), and The University of Michigan Department of Internal Medicine. The authors  
51 acknowledge the University of Michigan Medical School Central Biorepository/Michigan Genomics Initiative for  
52 providing biospecimen storage, management, and distribution services in support of the research reported in this  
53 publication. Analyses in the UK BioBank were done under approved project 18120 (EKS).

54

55 **Writing assistance:** None

56

57 **Author's contributions:**

58 Guarantor of article: Dr. Elizabeth Speliotes

59 Vincent Chen: study design, data analysis and interpretation, and drafting of the manuscript

60 Yanhua Chen: data analysis and interpretation, and critical review of the manuscript

61 Xiaomeng Du: data analysis and interpretation, and critical review of the manuscript

62 Samuel Handelman: data analysis and interpretation, and critical review of the manuscript

63 Elizabeth Speliotes: concept development, study design, data analysis and interpretation, and critical revision of  
64 the manuscript.

65

66 All authors identified above have critically reviewed the paper and approve the final version of this paper,  
67 including the authorship statement.

68

69 **Abstract:**

70 Background and aims: Cirrhosis is characterized by extensive fibrosis of the liver and is a major cause of liver-  
71 related mortality. Cirrhosis is partially heritable but genetic contributions to cirrhosis have not been systemically  
72 explored. Here, we carry out association analyses with cirrhosis in two large biobanks and determine the effects of  
73 cirrhosis associated variants on multiple human disease/traits. Methods: We carried out a genome-wide  
74 association analysis of cirrhosis as a diagnosis in UK BioBank (UKBB; 1,088 cases vs. 407,873 controls) and  
75 then tested top-associating loci for replication with cirrhosis in a hospital-based cohort from the Michigan  
76 Genomics Initiative (MGI; 875 cases of cirrhosis vs. 30,346 controls). For replicating variants or variants  
77 previously associated with cirrhosis that also affected cirrhosis in UKBB or MGI we determined SNP effects on  
78 all other diagnoses in UKBB (PheWAS), common metabolic traits/diseases, and serum/plasma metabolites.  
79 Results: Unbiased genome-wide association study identified variants in/near *PNPLA3* and *HFE*, and candidate  
80 variant analysis identified variants in/near *TM6SF2*, *MBOAT7*, *SERPINA1*, *HSD17B13*, *STAT4*, and *IFNL4* that  
81 reproducibly affected cirrhosis. Most affected liver enzyme concentrations and/or aspartate transaminase-to-  
82 platelet ratio index. PheWAS, metabolic trait, and serum/plasma metabolite association analyses revealed effects  
83 of these variants on lipid, inflammatory, and other processes including new effects on many human diseases and  
84 traits. Conclusions: We identified eight loci that reproducibly associate with population-based cirrhosis and define  
85 their diverse effects on human diseases and traits.

86

87 228 words

88

89

90 **Keywords:** genetics, SNP, phenotype, fibrosis

91

92 **Lay summary**

93 Some genes cause cirrhosis, which is scarring of the liver that occurs after prolonged injury, but the genetics of  
94 cirrhosis have not been previously studied in an unbiased manner. We identified two genetic mutations that cause  
95 cirrhosis at genome wide significance and validated six other mutations previously suggested to affect cirrhosis in

96 small studies now in large biobanks. We show that these variants affect multiple human disease and traits which  
97 give us new insights into the diverse causes of cirrhosis.

98

## 99 **Introduction**

100 Cirrhosis is characterized by extensive scarring of the liver and can develop after many liver diseases. Cirrhosis is  
101 associated with medical complications including ascites, variceal bleeding, and hepatocellular carcinoma.<sup>1</sup>

102 Cirrhosis accounts for nearly \$10 billion in healthcare costs in the United States alone and over one million deaths  
103 worldwide in 2015.<sup>1,2</sup> While the most common causes of cirrhosis—viral hepatitis, non-alcoholic fatty liver  
104 disease (NAFLD), and alcoholic liver disease—are environmentally influenced, there is significant variation in  
105 whether people develop cirrhosis from these diseases.<sup>3</sup> Genetics contributes to development of cirrhosis with the  
106 heritability of liver fibrosis and cirrhosis estimated to be about 50%,<sup>4</sup> but the genetic determinants of disease have  
107 not been systematically explored.

108

109 The genetics of cirrhosis has so far been studied within small liver disease-specific populations. *PNPLA3* and  
110 *TM6SF2* variants associate with increased fibrosis in individuals with NAFLD, alcoholic liver disease, and  
111 hepatitis C virus infection.<sup>5-7</sup> Variants near *MBOAT7* associate with alcoholic cirrhosis.<sup>6</sup> Variants in or near  
112 *GCKR*, *LYPLAL1*, and *HSD17B13* associate with fibrosis in NAFLD patients.<sup>8-11</sup> In hepatitis C virus-infected  
113 patients, rs910049 (in the major histocompatibility complex region) and SNPs in *MERTK* and *TULP1* associated  
114 with liver fibrosis progression,<sup>12,13</sup> as does a SNP near *PCSK7* in individuals with hereditary hemochromatosis.<sup>14</sup>  
115 Finally, two monogenic liver diseases are caused by relatively common variants: hereditary hemochromatosis,  
116 which in 80-90% of cases is caused by rs1800562-A (*HFE* C282Y), and alpha-1 antitrypsin deficiency, which is  
117 caused by mutations in *SERPINA1*, the most common severely deleterious of which is rs28929474-T (E342K).<sup>15,16</sup>  
118 However, penetrance is incomplete for all these variants, including those in *HFE* and *SERPINA1*, and whether  
119 these variants lead to cirrhosis in the general population remains uncertain.

120

121 Here we aim to evaluate the genetics of all-cause cirrhosis in the population. We performed a GWAS for all  
122 cause cirrhosis (1,088 cases vs. 407,873 controls) in approximately 410,000 individuals of British-Caucasian  
123 descent from the UK BioBank (UKBB).<sup>17</sup> We test top associating variants and previously reported cirrhosis  
124 variants for replication with cirrhosis (875 cases vs. 30,346 controls) in the Michigan Genomics Initiative  
125 (MGI).<sup>18</sup> We determined the effects of all replicating variants on human disease/traits, on metabolic diseases from  
126 publically available GWAS analyses, and on serum metabolites.

127

## 128 **Methods**

129

130 *Ethics statement*

131 All research in this study was approved by the Institutional Review Board of the University of Michigan (Ann  
132 Arbor, MI). UKBB protocols were approved by the National Research Ethics Service Committee and all  
133 participants provided written informed consent. Analyses in this project were conducted under UKBB Resource  
134 Project 18120. All MGI participants provided written informed consent approved by the University of Michigan  
135 Institutional Review Board (Ann Arbor, MI).

136  
137 *Cohorts*

138 The UKBB includes genotypic, clinical, and demographic information of over 400,000 individuals aged 40-69 at  
139 time of recruitment. Genotyping and data collection were previously described.<sup>19</sup> In brief, participants were  
140 genotyped on one of two custom arrays: UK BiLEVE Axiom Array (n = 50,520) or UK BioBank Axiom Array (n  
141 = 438,692) with >95% overlap. SNPs were imputed using the Haplotype Reference Consortium. For imputed  
142 SNPs, only SNPs with an imputation quality cutoff of 0.85 were used in analyses. SNPs with minor allele count <  
143 20 were excluded. After quality control, 18,530,078 SNPs in 408,961 white-British individuals were included in  
144 analysis.

145  
146 MGI is a prospective cohort with ongoing enrollment and at time of analysis included 35,888 subjects. All  
147 patients undergoing elective surgery at Michigan Medicine (Ann Arbor, MI) are potentially eligible for  
148 enrollment in this cohort. Participants underwent genotyping of peripheral blood on the Illumina  
149 HumanCoreExome v.12.1 array, a GWAS and exome array consisting of >500,000 SNPs.<sup>18</sup> In addition, full  
150 laboratory information and diagnosis codes are available. Imputation was performed as previously described.<sup>20</sup> In  
151 brief, samples were imputed to Haplotype Reference Consortium (release 1 for chromosomes 1-22 and 1.1 for X);  
152 SNPs were excluded if imputation quality was low ( $r^2 < 0.3$ ) or minor allele count was < 4. After quality control,  
153 30,751,457 imputed SNPs were available.

154  
155 *GWAS*

156 This study had three stages (Fig. 1). We limited analyses to individuals of European ancestry as there were not  
157 enough individuals from other ancestries to carry out powered analyses. Stage 1 was a GWAS for cirrhosis as  
158 defined by International Classification of Diseases (ICD)-10 code K70.2-4, K71.7, or K74.X in UKBB. SNPs  
159 within 500 kilobases of a lead SNP with lower  $p$  value were removed to identify independent signals. In stage 2,  
160 independent SNPs with  $p < 5 \times 10^{-6}$  in UKBB were tested for association with cirrhosis in MGI as defined by the  
161 presence of an ICD-9 code for cirrhosis (571.5, 571.2, and 571.6), ICD-10 code for cirrhosis (K74.X, K70.2-4,  
162 and K71.7), or for cirrhosis being present in pathology or radiology reports using text searching. Text search of

163 radiology and pathology reports was performed for the character “cirrho,” and subjects with that character were  
164 included as having cirrhosis with the following exceptions: (1) if the word “without” or “no” appeared in the same  
165 sentence as “cirrho,” subjects were considered controls; (2) if the words “primary biliary cirrhosis” appeared in a  
166 sentence, that sentence was ignored for text search purposes to avoid falsely identifying individuals with primary  
167 biliary cholangitis as having cirrhosis; and (3) if the words “evaluate,” “assess,” or “rule out” appeared in a  
168 sentence with “cirrho,” that sentence was ignored for text search purposes. All subjects without ICD-9/-10  
169 diagnosis code or positive text search were included as controls. A gastroenterologist (V.L.C.) manually reviewed  
170 200 randomly-selected text strings and identified no false positive cirrhosis diagnoses. Only SNPs with a minor  
171 allele count >6 in MGI for cirrhosis were tested for replication as the others were underpowered to see an effect.  
172 Only lead SNPs were included for replication.

173

174 Association analyses in stage 1 and 2 were performed using Scalable and Accurate Implementation of  
175 GEneralized mixed model, which substantially reduces p value inflation otherwise seen in GWAS for rare traits,<sup>21</sup>  
176 with a logistic mixed model and the saddlepoint approximation. Covariates included in this analysis were age,  
177 age<sup>2</sup>, sex, and principal components 1-10 to account for ethnic background. We used only a minimal number of  
178 covariates to maximize power and to avoid adjusting for potential mediators such as body mass index or diabetes  
179 mellitus.

180

181 Stage 3 analysis included replicating SNPs as well as SNPs that have been previously reported to associate with  
182 cirrhosis in subpopulations, as described in the introduction (Supp. Table 1).<sup>5,8,9,12-16,22-32</sup> As above, we included  
183 only SNPs with minor allele count > 6 in the European populations of UKBB and MGI, which corresponded to  
184 minor allele frequency > 0.006. For stage 3 SNPs, a cutoff of  $p < 0.05$  in either UKBB or MGI was used because  
185 there was prior knowledge of their role in liver disease and fibrosis.

186

187 All SNP coordinates were reported based on GRCh38.p12 or, if updated during the most recent patch, p13.

188

189 C statistics for prediction of cirrhosis were calculated first using a minimal model that included only age, age<sup>2</sup>, sex,  
190 and principal components 1-10, then adding cirrhosis-increasing SNPs. These were computed separately in MGI  
191 and UKBB.

192

193 *Phenotype-wide association study (PheWAS)*

194 To assess the effects of SNPs on other human diagnoses and diseases, we carried out PheWAS on all SNPs  
195 identified in stage 1-3 analysis based on published data on 778 traits (<http://geneatlas.roslin.ed.ac.uk/phewas/>).<sup>17</sup>  
196 For statistical significance of individual traits, a Bonferroni-corrected p value cutoff of 0.05 corrected for eight

197 SNPs and 778 traits was used ( $p = 9.2 \times 10^{-6}$ ;  $Z = 4.46$ ). Significant diagnoses with associated ICD-10 codes or  
198 blood cell traits are shown. Hierarchical clustering on SNPs (but not traits) was performed using the *gplot*  
199 package using default settings. We conducted sensitivity analysis where we adjusted for cirrhosis status the  
200 associations between each significant SNP-trait pair. We constructed our analyses to replicate those of the original  
201 PheWAS.<sup>17</sup> We conducted among unrelated Caucasian individuals in UKBB logistic regression (for binary traits)  
202 or linear regression (for quantitative traits) with each trait as the primary dependent variable and each SNP as the  
203 primary independent variable. Covariates were age, age<sup>2</sup>, sex, principal components 1-20, genotyping batch, and  
204 assessment center, with or without cirrhosis status. A Z score change of  $>1.96$  (corresponding to  $P < 0.05$  for a  
205 difference between the associations) between the cirrhosis-adjusted and -unadjusted analyses was used as a cutoff  
206 for significant effect modification by cirrhosis.

207

208 We also tested the effect of all stage 1-3 SNPs on mean alanine aminotransferase (ALT), alkaline phosphatase  
209 (AP), and AST to platelet ratio index (APRI) in MGI. ALT, AP, and APRI were inverse-normally transformed  
210 and linear regression was performed for SNP effects, adjusted for age, age<sup>2</sup>, sex, and principal components 1-10.  
211 As above, we used a minimal set of covariates to avoid including mediators and to maximize statistical power.

212

### 213 *Metabolomic analysis*

214 All SNPs identified in stage 1-3 analysis were tested for association with serum metabolites from previously-  
215 published data.<sup>33</sup> In brief, the authors performed genotyping and high-throughput nuclear magnetic resonance  
216 serum/plasma metabolomics on 14 datasets from ten European cohorts. Data on 123 metabolites in up to 24,925  
217 individuals were included. Because the traits were highly cross-correlated (Supp. Fig. 1), data are only shown for  
218 certain representative metabolites. A Bonferroni-corrected significance cutoff of 0.05 corrected for eight SNPs  
219 and 123 metabolites was used ( $p = 2.5 \times 10^{-5}$ ;  $Z = 4.05$ ).

220

### 221 *Cross-trait analyses*

222 All SNPs identified in stage 1-3 analysis were tested for association with metabolic traits using publicly-available  
223 data from nine GWAS. These studies were: CARDIoGRAM-CAD<sup>34</sup> (coronary artery disease; <http://www.cardiogramplusc4d.org/data-downloads>),  
224 DIAGRAM<sup>35</sup> (type 2 diabetes; [http://diagram-](http://diagram-consortium.org/downloads.html)  
225 [consortium.org/downloads.html](http://diagram-consortium.org/downloads.html)), GCLC<sup>36</sup> (high- and low-density lipoproteins, total cholesterol, triglycerides;  
226 <http://csg.sph.umich.edu/abecasis/public/lipids2013/>), GIANT<sup>37</sup> (body mass index, height, waist-to-hip ratio,  
227 waist-to-hip ratio adjusted for body mass index; [https://www.broadinstitute.org/collaboration/giant/index.php/GIANT\\_consortium\\_data\\_files](https://www.broadinstitute.org/collaboration/giant/index.php/GIANT_consortium_data_files)), MAGIC<sup>38</sup> (fasting  
228 insulin and glucose levels; <http://www.magicinvestigators.org/downloads/>), a leptin GWAS,<sup>39</sup> and a body fat  
229 percentage GWAS<sup>40</sup> (<https://walker05.u.hpc.mssm.edu> for the last two). There is no adequately-powered GWAS  
230

231 for blood pressure with full publicly-available data, so GWAS for systolic and diastolic blood pressure were  
232 performed on individuals of white-British ancestry in UK BioBank using Scalable and Accurate Implementation  
233 of GEneralized mixed model and a linear mixed model.<sup>21</sup> In addition, data from the GOLD consortium were used  
234 to determine the effect of these SNPs on hepatic steatosis, as measured by lower liver attenuation on computed  
235 tomography relative to a “phantom” control.<sup>9</sup> Heat maps and clustering was performed using Z scores of SNP-  
236 trait associations. Only traits that were associated with at least one SNP at nominal significance ( $p < 0.05$ ) were  
237 included in the heat map, so only seven GWAS were included. For statistical significance a Bonferroni-adjusted p  
238 value cutoff of 0.05 divided by seven SNPs (one of the identified SNPs and its proxies were not available in any  
239 of the public GWAS data) and seventeen traits ( $p = 4.2 \times 10^{-4}$ ;  $Z = 3.52$ ) was used.

240

#### 241 *Tissue and pathway analyses*

242 Analysis of pathway and tissue enrichment was performed using FUMA-GWAS as previously reported.<sup>41</sup> The  
243 background gene set used was “all”. Tissue enrichment was based on Genome-Tissue Expression Project version  
244 6 and pathway enrichment on Reactome and Gene Ontology biological process terms.

245

## 246 **Results**

### 247 *Genome-wide association study*

248 We carried out genome wide association analyses for ~ 70 million imputed SNPs with cirrhosis ( $n = 1088$  cases  
249 identified using ICD-10 codes vs. 407,873 controls without this diagnosis) in UKBB controlling for age, gender,  
250 and the first ten principal components using a Scalable and Accurate Implementation of GEneralized logistic  
251 mixed model. There was no significant inflation of the association statistic with a lambda of 0.97 (Fig. 2A). Two  
252 SNPs associated with cirrhosis at genome-wide significance levels ( $P < 5 \times 10^{-8}$ ) and an additional twelve had a  
253 suggestive association with  $p < 5 \times 10^{-6}$  (Fig. 2B, Table 1, Supp. Table 2). We tested SNPs with suggestive  
254 association with cirrhosis ( $P < 5 \times 10^{-6}$ ) in UK Biobank with cirrhosis in MGI ( $n = 875$  cases based on ICD-10  
255 codes and text search, vs. 31,221 controls). Only 8 SNPs were common enough to have enough power to be  
256 evaluated for association with cirrhosis in MGI (Table 1, Supp. Table 2). Of these, two were significantly  
257 associated with increased cirrhosis prevalence ( $p < 0.05$ ) in MGI: rs738408-T (*PNPLA3* exon) and rs80215559-C  
258 (*SLC17A2* intron) (Table 1). Of note, rs80215559 is in complete linkage with rs1800562 ( $r^2 = 1.0$  in CEU/GBR),  
259 which is also associated with cirrhosis at genome-wide significance levels ( $p = 3.3 \times 10^{-8}$ ) and corresponds to the  
260 *HFE* C282Y mutation, the primary cause of hereditary hemochromatosis.<sup>16</sup> Conditional analysis of the effect of  
261 rs80215559 on cirrhosis conditional on rs1800562 eliminated its association with cirrhosis [odds ratio 1.61 (95%  
262 CI 1.33-1.84) to 1.00 (95% CI 1.00-1.00)], suggesting that its effect is due to the *HFE* C282Y mutation.

263



264 *Candidate variant analysis*

265 We also examined the effects of SNPs that have previously been associated with cirrhosis due to any cause of  
266 liver disease (Supp. Table 1). Rs738409-G (*PNPLA3*), rs1800562-G (*HFE*), rs28929474-C (*SERPINA1*),  
267 rs58542926-C (*TM6SF2*), rs6834314-A and rs72613567-(no insertion) (*HSD17B13*), rs641738-T and rs626283-G  
268 (*MBOAT7*), and rs7574865-T (*STAT4*) associated with cirrhosis in UK BioBank, and rs738409-G (*PNPLA3*),  
269 rs1800562-G (*HFE*), rs28929474-C (*SERPINA1*), rs6834314-A (*HSD17B13*), and rs12979860-T (*IFNL4*)  
270 associated with cirrhosis in MGI (Table 1). The SNP for each gene with the lowest p value in UK BioBank was  
271 included in subsequent analysis, except in the case of rs738409, which we chose in lieu of rs738408 as rs738409  
272 is in high LD ( $R^2 = 1$ ) and corresponds to the causal variant.<sup>42</sup>

273  
274 The C statistics for a minimal model and a model including all eight cirrhosis-increasing SNPs were, respectively,  
275 0.63 and 0.67 in UKBB and 0.61 and 0.63 in MGI.

276

277 *Effects on liver enzymes*

278 We investigated whether these SNPs had effects on markers of liver injury such as serum ALT, a marker of  
279 hepatocellular injury; AP, a marker of cholestasis/infiltrative disease; and APRI, a noninvasive marker of liver  
280 fibrosis.<sup>43</sup> Rs6834314 was used as a proxy for rs72613567 ( $R^2 = 0.94$ ), as the latter was not available in MGI.  
281 Both rs738409-G (*PNPLA3*) and rs8021559-C (*HFE*) associated with increased APRI, consistent with a role in  
282 worsening fibrosis ( $p = 1.75 \times 10^{-17}$  and  $7.41 \times 10^{-3}$ , respectively) (Table 2). In addition, rs738409-G (*PNPLA3*)  
283 associated with increased ALT ( $p = 1.42 \times 10^{-14}$ ), but not AP, while rs8021559-C (*HFE*) associated with  
284 increased AP ( $p = 8.46 \times 10^{-3}$ ) but not ALT (Table 2). *PNPLA3*, *HFE*, *SERPINA1*, *MBOAT7*, and *HSD17B13*  
285 variants increase AP while *TM6SF2* decreased AP ( $p < 0.05$  for all). *PNPLA3*, *HFE*, *TM6SF2*, *HSD17B13* all  
286 increased ALT ( $p < 0.05$  for all). *PNPLA3*, *HFE*, *SERPINA1*, and *HSD17B13* all associated with increased APRI  
287 ( $p < 0.05$  for all). *STAT4* and *IFNL4* did not associate with abnormal liver enzymes in MGI.

288

289 *Tissue/pathway analyses and PheWAS*

290 The genes implicated by the variants were upregulated in liver (Supp. Fig. 2A).<sup>41</sup> Gene Ontology analysis showed  
291 that these same genes were enriched for effects on pathways related to inflammation, lipid synthesis, and protein  
292 complex synthesis (Supp. Fig. 2B). However, these analyses were based on previously-annotated pathways, and  
293 we sought to use a more unbiased approach to determine whether cirrhosis associated SNPs had effects on other  
294 human diseases and traits using PheWAS analysis of diagnoses/traits in UK Biobank (Supp. Table 3). Nearly all  
295 traits were either ICD-10 diagnoses (Fig. 3A) or blood cell traits (Fig. 3B). We replicated known disease  
296 processes: rs1800562-A (*HFE*) associated with numerous red blood cell-related traits and increased arthroses and

297 skin infections and rs2892947-T (*SERPINA1*) associated with increased emphysema. On PheWAS, *PNPLA3* and  
298 *TM6SF2* variants clustered together due to effect on various liver diseases as well as, unexpectedly, decreased  
299 neutrophil count, hemoglobin traits, and platelet traits. *TM6SF2* and *SERPINA1* variants had distinct effects on  
300 anthropometric traits: the former decreased peripheral fat while the latter increased it (Supp. Table 3). Multiple  
301 variants associated with blood cell traits; some of the unique associations included *HSD17B13* and basophil  
302 count/percentage, *STAT4* with eosinophil count/percentage, *TM6SF2* with neutrophil count, and *PNPLA3* with  
303 leukopenia (Fig. 3B).

304

305 Cirrhosis is itself associated with numerous physiological alterations including in lipid profiles, platelet count, and  
306 red blood cell count. Thus, as sensitivity analysis, we performed a PheWAS in UK BioBank adjusted for cirrhosis  
307 status. For continuous traits, none of the Z scores changed significantly (Z score change >1.96) after adjustment  
308 for cirrhosis (Supp. Table 4). For binary traits, associations between the SNPs and several liver-related traits  
309 (including esophageal varices and liver fibrosis/cirrhosis itself) changed in significance. However, no associations  
310 between SNPs and non-liver binary traits changed in significance; indeed, other than liver-related traits, no Z  
311 scores changed by >1 (Supp. Table 4). This analysis indicates that the associations between cirrhosis-increasing  
312 SNPs and non-liver diseases/traits are not due solely to the effect of these SNPs on cirrhosis.

313 *Metabolic traits and metabolomics*

314 We also characterized whether cirrhosis associated variants associated with any of 19 different metabolic  
315 phenotypes (Methods; Supp. Table 5; Fig. 3C). Due to missing data, we used rs10401969 as a proxy for  
316 rs58542926 (*TM6SF2*;  $R^2 = 0.95$ ) and rs6834314 for rs72613567 ( $R^2 = 0.94$ ); there were no acceptable linkage  
317 disequilibrium proxies ( $R^2 > 0.5$ ) for rs28929474 (*SERPINA1*) in European populations with available data in the  
318 GWASs, so this SNP was not included in this analysis. Several variants with known metabolic effects clustered  
319 together: rs738409-G (*PNPLA3*) and rs5854292-T (*TM6SF2*) associated with decreased liver attenuation  
320 (increased liver fat) and altered lipid profiles. We also identified a separate cluster of variants which had not been  
321 thought to mediate liver disease through metabolic alterations, with effect on numerous metabolic traits. For  
322 example, rs1800562-A (*HFE*) decreased low density lipoprotein and total cholesterol, and increased height and  
323 blood pressure. Rs626283-C (*MBOAT7*) decreased serum triglycerides.

324

325 We finally determined the effect of cirrhosis-associated SNPs on 123 metabolites based on previously-published  
326 data (Fig. 3D, Supp. Table 6).<sup>33</sup> Because the metabolites were highly cross-correlated (Supp. Fig. 1), we  
327 performed hierarchical clustering and with metabolite clusters shown in Supp. Table 6. Consistent with our

328 previous report, the cirrhosis promoting allele rs58542926-T (*TM6SF2*) associated with decreased concentration  
329 of very low-density, intermediate, and high-density lipoproteins.<sup>44</sup> The cirrhosis promoting allele rs2892474-T  
330 (*SERPINA1*) also associated with decreased glycoproteins with several other suggestive associations. *MBOAT7*  
331 associated with fewer CH<sub>2</sub> groups in fatty acids.

332

### 333 Discussion

334 In this study, we identified common and low frequency genetic variants that associate with population based  
335 cirrhosis. PheWAS and metabolomic analysis identified distinct metabolic effects of cirrhosis associated variants  
336 suggesting that liver disease caused by many mechanisms can lead to liver cirrhosis.

337

338 Previously, rare diseases such as cirrhosis, which has an estimated prevalence of 1%, have been difficult to study  
339 at a population level.<sup>2</sup> With the use of large cohorts of densely-genotyped and well-characterized individuals, here  
340 we show that even previously-considered rare liver diseases such as alpha-1 anti-trypsin deficiency and hereditary  
341 hemochromatosis contribute to population based cirrhosis. Furthermore, using biobank PheWAS analysis, we  
342 verified known genetic pleiotropisms associated with liver cirrhosis promoting variants including dyslipidemia,  
343 diabetes, and body fat composition for six identified variants.<sup>45-47</sup> Others have reported associations between *HFE*  
344 C282Y and arthritis, diabetes, chronic pain, and brain iron accumulation,<sup>48-50</sup> and *SERPINA1* variants and hepatitis  
345 and fibrosis.<sup>51-54</sup> Another recent study identified a SNP in *MARCI* associated with cirrhosis, which was also  
346 associated with cirrhosis in UKBB ( $p = 0.047$ ); differences in strengths of associations are likely due to  
347 differences in statistical power and definitions of cirrhosis.<sup>55</sup> However, we also identified previously-unreported  
348 associations such as increased body fat with *SERPINA1*, increased basophil count with *HSD17B13*, increased  
349 neutrophil count despite decreased overall leukocyte count with *PNPLA3*, and multiple sclerosis with *HFE* that  
350 might give new insights into disease pathophysiology caused by variants at these loci.

351

352 Most cirrhosis-increasing variants increased AP, suggesting a common pathway towards liver cholestasis with  
353 cirrhosis. *TM6SF2* was a strong notable exception where the cirrhosis promoting allele decreased AP. The  
354 mechanism of that is not clear but one intriguing possibility is that cholesterol (which cannot be excreted in very  
355 low-density lipoprotein in individuals with *TM6SF2* variants<sup>56</sup>) is shunted to bile synthesis which increases  
356 farnesoid X receptor agonism and lowers AP.<sup>57</sup> Notably, *PNPLA3* and *HSD17B13* variants did not associate with  
357 AP but did increase ALT, suggesting hepatocellular toxicity and potentially distinct disease mechanisms. Some  
358 variants (in *PNPLA3*, *HFE*, *SERPINA1*, and *HSD17B13*) also associated with AST-to-platelet ratio index,

359 suggesting that we may be able to use noninvasive scores to find more variants that associate with cirrhosis in  
360 populations where we do not have diagnoses or direct imaging or pathology verification of the disease.

361

362 Genetic variants implicated genes that were enriched for expression in liver which suggests likely tissue  
363 autonomous effect of these variants. *HFE* and *SERPINA1* were part of acute phase and inflammatory response  
364 pathways but these genes as well as all the other ones (*PNPLA3*, *TM6SF2*, *HSD17B13*, and *MBOAT7*) either were  
365 part of lipid metabolism affecting pathways or affected serum lipids in our metabolomics analyses. While  
366 cirrhosis itself alters lipid profiles,<sup>58</sup> there was a low prevalence of cirrhosis in both cohorts, so these lipid changes  
367 are unlikely to be driven by cirrhosis alone. Another interesting possibility is that disruptions in lipid signaling  
368 represent a final common pathway to cirrhosis. *PNPLA3* and *HSD17B13* for example may alter metabolism of  
369 vitamin A to retinoic acid to effect liver cirrhosis and is known to promote stellate cell activation and liver  
370 fibrosis.<sup>8,59</sup> Whether the effect of other variants will ultimately converge on this as a mechanism to all fibrosis  
371 remains to be determined. The biochemical effects of the variants that promote liver damage however are diverse  
372 and thus it is not likely that one solution to eliminating liver damage will be possible even if the final common  
373 fibrotic pathway is curbed.

374

375 Clustering of genes differs based on whether previously annotated or agnostic methods are used. When previously  
376 annotated pathways such as Reactome are used, *PNPLA3*, *TM6SF2*, *HSD17B13*, and *MBOAT7* cluster because  
377 they affect lipid traits, which is distinct from *HFE* and *SERPINA1* which more affect acute phase and  
378 inflammatory responses. Using more agnostic approaches we show here that *MBOAT7* also affects inflammation-  
379 related traits including neutrophil count and (at nominal significance) ankylosing spondylitis, peritonitis, and  
380 appendicitis. The effect of *MBOAT7* on the immune system has been suggested before—it is expressed at high  
381 levels in immune cells and *MBOAT7* variants are associated with increased inflammation with chronic hepatitis C  
382 infection<sup>60</sup>—but here we demonstrate this at the population level. Conversely, *HFE* and *SERPINA1* affect serum  
383 lipids and *SERPINA1* also affects body fat composition and weight.

384

385 Cirrhosis promoting variants protect against development of other human disease suggesting that simply reversing  
386 their effects may not be universally beneficial. For example, cirrhosis-causing variants decrease coronary artery  
387 disease/ischemic heart disease (*TM6SF2*, *SERPINA1*),<sup>61,62</sup> diabetes (*TM6SF2*), total cholesterol (*PNPLA3*, *HFE*,  
388 *TM6SF2*), and triglycerides (*MBOAT7*, *HSD17B13*, *TM6SF2*). These findings imply that medications may need to

389 be personalized based on individual risk factors and environmental exposures to be able to reverse fibrosis while  
390 maintaining an adequate cardiovascular safety profile.

391

392 NAFLD is a major cause of cirrhosis in the population. Notably, though, genes that predispose to hepatic steatosis  
393 do not necessarily increase risk of fibrosis. We previously showed that variants in/near *GCKR* and *LYPLAL1*  
394 increase nonalcoholic steatohepatitis/fibrosis,<sup>9</sup> but in this study we did not find that they increased cirrhosis in the  
395 population. This discrepancy could be in part because they had a weaker effect on fibrosis than variants in  
396 *TM6SF2*, *PNPLA3*, or *HSD17B13*. Also, *GCKR* and *LYPLAL1* variants may primarily affect steatosis or  
397 inflammation and not fibrosis: in our previous study most individuals with nonalcoholic steatohepatitis also had  
398 fibrosis, so we were not able to distinguish between effects on inflammation alone vs. fibrosis. It will be critical to  
399 distinguish between steatohepatitis and fibrosis in future studies investigating the effect of genetics on outcomes  
400 in NAFLD.

401

402 Limitations of our study include that it was only in individuals of European ancestry and may not have identified  
403 cirrhosis-increasing alleles that may be prevalent in only other ancestry groups. Interestingly, though, we  
404 replicated SNPs previously associated with cirrhosis in Asian populations, suggesting that many variants will  
405 have effects across populations. Further, the UKBB analyses rely on International Classification of Diseases  
406 codes, and while the codes we used for cirrhosis are specific, they are not sensitive, which may reduce overall  
407 sensitivity for identifying cirrhosis-altering variants.<sup>63</sup> This limitation may be why only two SNPs were identified  
408 on GWAS, whereas the rest were identified based on candidate variant analysis. Finally, rare diseases such as  
409 primary biliary cholangitis are under-represented in the general population, so genetic effects that require  
410 particular exposures to develop cirrhosis only in some but not all populations may not be detected.<sup>64</sup> On a related  
411 note, we lacked adequate power to subclassify patients with cirrhosis based on disease etiology. Strengths include  
412 that this is the largest GWAS for cirrhosis to date.

413

414 We demonstrate that eight loci promote liver cirrhosis in population-based data and define their effects on human  
415 diseases and traits including many novel pleiotropic effects. We identified previously unrecognized metabolic and  
416 immune effects of several of these SNPs and defined novel gene clustering. This work gives new insights into the  
417 pathophysiology of liver cirrhosis.

418

419 **References**

420

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567

568 Table 1: Loci that associate with cirrhosis in UK BioBank or Michigan Genomics Initiative.

CHR:POS	Variant	Gene	Ref/ Alt	UK BioBank				Michigan Genomics Initiative			
				EAF	Beta	SE	P value	EAF	Beta	SE	P value
22: 43928850	rs738408	<i>PNPLA3</i> (m)	C/T	0.216	0.472	0.055	<b>6.73E-18</b>	0.233	0.269	0.058	<b>3.54E-06</b>
6: 25917997	rs80215559	<i>SLC17A2</i> (i), <i>HFE</i> (b)	T/C	0.077	0.477	0.083	<b>9.13E-09</b>	0.059	0.280	0.106	<b>8.39E-03</b>
22: 43928847	rs738409	<i>PNPLA3</i> (m)	C/G	0.216	0.470	0.055	<b>9.58E-18</b>	0.233	0.269	0.058	<b>3.56E-06</b>
6: 26092913	rs1800562	<i>HFE</i> (m)	G/A	0.078	0.454	0.082	<b>3.30E-08</b>	0.062	0.300	0.102	<b>3.09E-03</b>
19: 19268740	rs58542926	<i>TM6SF2</i> (m)	C/T	0.076	0.378	0.084	<b>6.01E-06</b>	0.075	0.086	0.093	3.54E-01
14: 94378610	rs28929474	<i>SERPINA1</i> (m)	C/T	0.020	0.727	0.162	<b>7.35E-06</b>	0.018	0.456	0.180	<b>1.11E-02</b>
4: 87310241	rs72613567	<i>HSD17B13</i> (s)	-/A	0.721	0.178	0.048	<b>2.30E-04</b>	NA			
19: 54173307	rs626283	<i>MBOAT7</i> (d)	G/C	0.440	0.121	0.044	<b>5.48E-03</b>	0.433	0.031	0.050	5.31E-01
2: 191099907	rs7574865	<i>STAT4</i> (i)	T/G	0.224	0.117	0.052	<b>2.38E-02</b>	0.224	0.077	0.059	1.87E-01
19: rs12979860		<i>IFNL4</i> (i)	T/C	0.299	0.081	0.047	8.40E-02	0.317	0.115	0.053	<b>3.05E-02</b>

569

570 CHR:POS, chromosome: position. Ref, reference allele. Alt, alternate allele. EAF, effect allele frequency. SE, standard  
 571 error. NA Not available. (m), missense mutation. (i), intron. (b), biologically-relevant gene. (s), splice variant. UK  
 572 BioBank had 1,088 cases and 407,874 controls, and Michigan Genomics Initiative had 875 cases and 30,346 controls.  
 573 Additional variants are shown in Supp. Table 1.

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578 Table 2: Association between liver enzymes and cirrhosis-affecting variants in Michigan Genomics Initiative.

Variant	Gene	Alanine aminotransferase			Alkaline phosphatase			AST-to-platelet ratio index		
		Beta	SE	P value	Beta	SE	P value	Beta	SE	P value
rs738409	<i>PNPLA3</i> (m)	0.091	0.012	<b>1.42E-14</b>	0.009	0.012	4.39E-01	0.102	0.012	<b>1.75E-17</b>
rs80215559	<i>SLC17A2</i> (i), <i>HFE</i> (b)	0.020	0.022	<b>3.55E-01</b>	0.058	0.022	<b>8.46E-03</b>	0.059	0.022	<b>7.41E-03</b>
rs1800562	<i>HFE</i> (m)	0.268	0.037	<b>3.93E-13</b>	0.059	0.021	<b>4.60E-03</b>	0.063	0.021	<b>2.60E-03</b>
rs28929474	<i>SERPINA1</i> (m)	0.268	0.037	<b>3.93E-13</b>	0.264	0.037	<b>9.67E-13</b>	0.214	0.037	<b>8.82E-09</b>
rs58542926	<i>TM6SF2</i> (m)	0.085	0.019	<b>8.00E-06</b>	-0.103	0.019	<b>6.28E-08</b>	0.027	0.019	1.54E-01
rs626283	<i>MBOAT7</i> (d)	0.009	0.010	3.92E-01	0.026	0.010	<b>1.27E-02</b>	0.004	0.010	6.70E-01
rs6834314	<i>HSD17B13</i> (u)	0.039	0.011	<b>1.11E-02</b>	-0.014	0.011	2.15E-01	0.036	0.011	<b>1.26E-03</b>
rs7574865	<i>STAT4</i> (i)	0.013	0.012	2.86E-01	-0.002	0.012	8.96E-01	0.019	0.012	1.03E-01
rs12979860	<i>IFNL4</i> (i)	0.004	0.011	6.85E-01	0.009	0.011	4.15E-01	0.001	0.011	9.14E-01

579

580 (m), missense mutation. (i), intron. (b), biologically-relevant gene. (d), downstream gene. N = 19,598 for alanine  
 581 aminotransferase, 19,493 for alkaline phosphatase, and 19,269 for aspartate aminotransferase-to-platelet ratio index.

582

### 583 Figure Legends

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585

586 Figure 1: Study design

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588 Figure 2: Quantile-quantile and Manhattan plots for GWAS of cirrhosis in UK BioBank. (A) Quantile-quantile  
 589 plot. (B) Manhattan plot.

590

591 Figure 3: Representative pleiotropic effects of cirrhosis-increasing alleles. (A-B) Heat plot of the effect of  
592 cirrhosis-increasing alleles on phenome-wide association study in UK BioBank. Diagnoses are shown in (A) and  
593 blood cell traits in (B). (C) Heat plot of the effect of cirrhosis-increasing alleles on metabolic traits from publicly-  
594 available GWAS. (D) Heat plot of the effect of cirrhosis-increasing alleles on serum/plasma metabolites.  
595 “Cirrhosis” refers to cirrhosis diagnosis in UK BioBank (Methods). \* *IFNL4* trended toward a significant  
596 association with cirrhosis in UK BioBank ( $Z = 1.73$ ,  $p = 0.08$ ). Full list of PheWAS analyses and metabolite  
597 labels are shown in Supp. Tables 3-5. CH2.DB.ratio, CH2 groups in fatty acids. CH2.in.FA, CH2 groups to  
598 double bonds ratio. LDL.D, LDL diameter. Serum.TG, Serum total triglycerides. FAw3, Omega-3 fatty acids.  
599 FAw6, Omega-6 fatty acids. DHA, 22:6 docosahexaenoic acid. Tot.FA, Total fatty acids. PC,  
600 Phosphatidylcholine and other cholines. Gp, Glycoprotein acetyls mainly a1-acid glycoprotein. MUFA, Mono-  
601 unsaturated fatty acids. otPUFA, Other polyunsaturated fatty acids than 18:2. TotPG, Total phosphoglycerides.  
602 ApoB, ApoB. XS.VLDL.PL, Phospholipids in very small very low-density lipoproteins. S.VLDL.PL,  
603 Phospholipids in small very low-density lipoproteins. M.VLDL.PL, Phospholipids in medium very low-density  
604 lipoproteins. L.VLDL.PL, Phospholipids in large very low-density lipoproteins. XL.VLDL.PL, Phospholipids in  
605 very large very low-density lipoproteins. XXL.VLDL.P, Concentration of chylomicrons and extremely large very  
606 low-density lipoproteins particles. S.LDL.P, Concentration of small low-density lipoprotein particles. M.LDL.PL,  
607 Phospholipids in medium low-density lipoproteins. L.LDL.PL, Phospholipids in large low-density lipoproteins.  
608 IDL.PL, Phospholipids in intermediate-density lipoprotein. S.HDL.TG, Triglycerides in small high-density  
609 lipoproteins. XL.HDL.TG, Triglycerides in very large high-density lipoproteins.

Author

## Stage 1: Genome-wide association study

- UK BioBank
- 1,088 cases and 407,873 controls
- 18,530,078 imputed SNPs
- Required for replication:
  - P value  $< 10^{-5}$  in UK BioBank
  - Minor allele count  $> 6$  in Michigan Genomics Initiative

Eight SNPs

## Stage 2: Replication

- Michigan Genomics Initiative
- 875 cases and 30,346 controls
- 30,751,457 imputed SNPs

Two SNPs

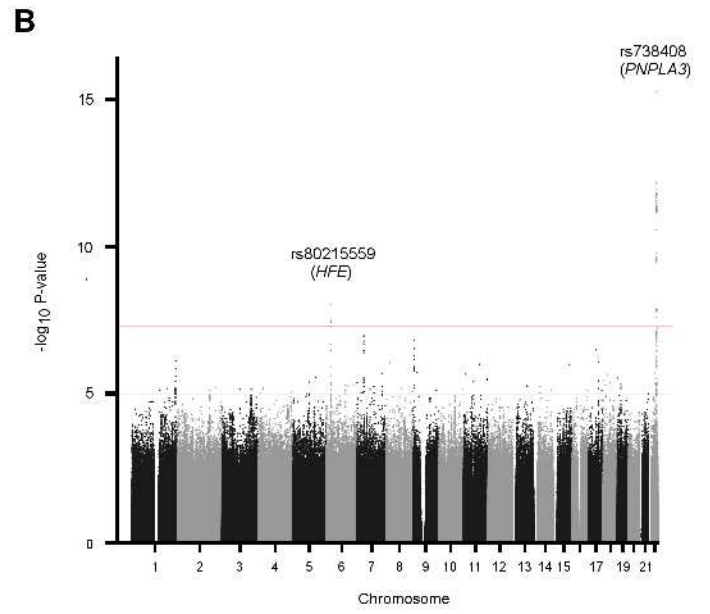
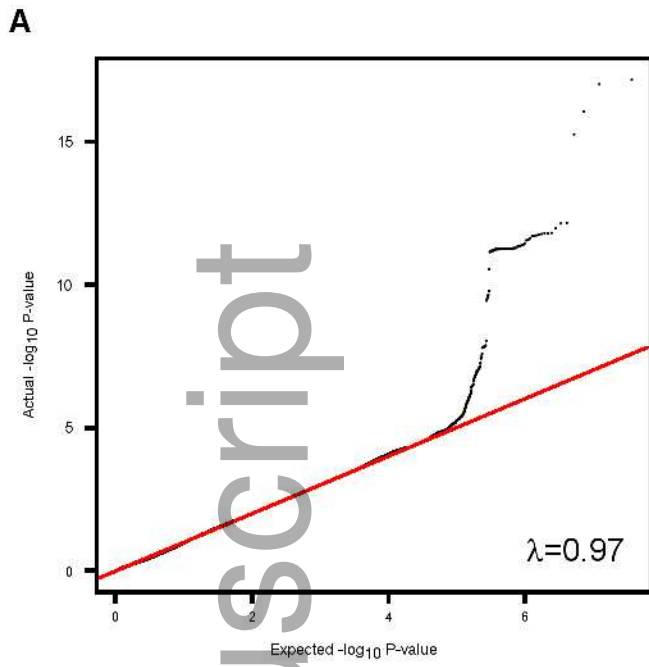
Six additional SNPs

## Stage 3: Variants previously associated with cirrhosis

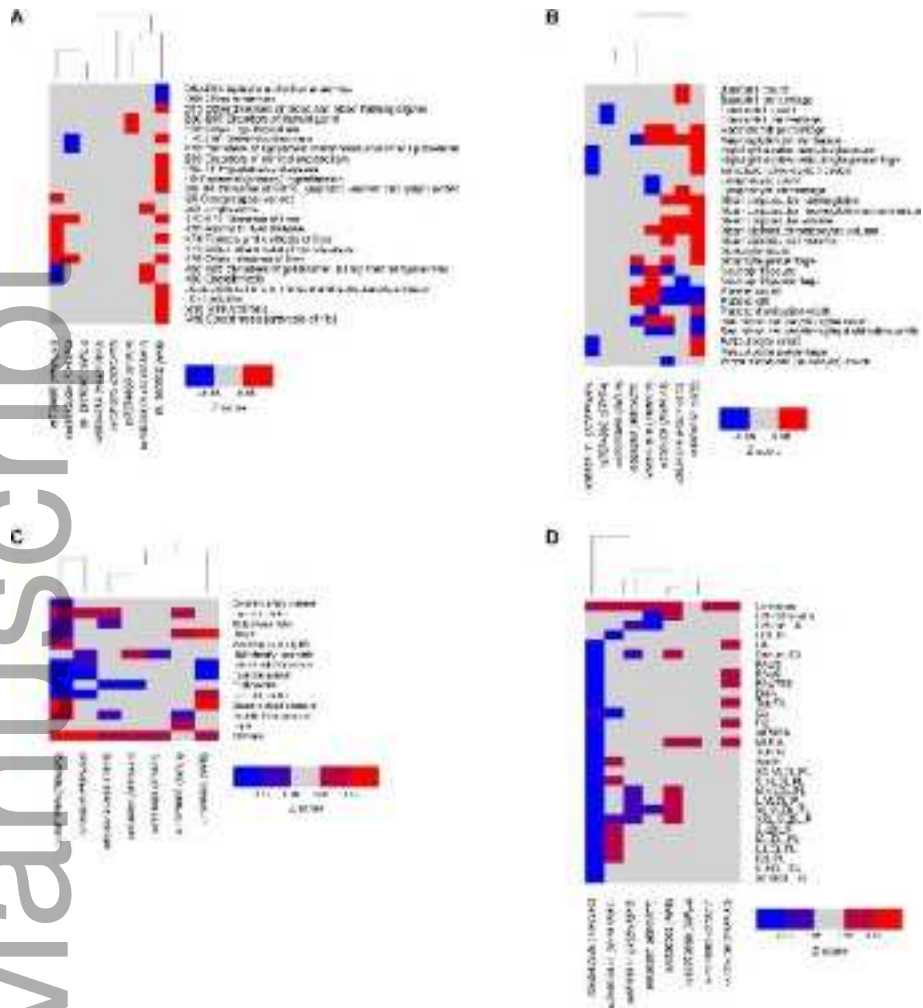
- UK BioBank and Michigan Genomics Initiative
- $P < 0.05$  in either cohort *and*
- Consistent direction of effect across cohorts

## Downstream analysis:

- Phenome-wide association study
- Metabolomics
- Metabolic traits
- Tissue/pathway analysis



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liv\_14321\_f3.tif